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- Report on vulnerable adult maltrea



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Report of Vulnerable Adult Maltreatment Allegations

1998 Statewide Results

August 1999

HV
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2000

Minnesota Department of **Human Services**
Aging and Adult Services Division
444 Lafayette Road
St. Paul, MN 55155-3843

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Background

In 1980 the Minnesota Legislature enacted MS626.557, Reporting of Maltreatment of Vulnerable Adults. This section, commonly referred to as the Vulnerable Adult Act, (hereafter referred to as the Act) was intended “to protect adults who, because of physical or mental disability or dependency on institutional services, are particularly vulnerable to abuse or neglect...” The Act established a system for reporting incidences of suspected maltreatment, investigations of allegations, and civil or criminal penalties. By 1993, however, several organizations were recommending that the law be revised which led to legislation creating an advisory committee to achieve a consensus and propose amendments.

The 1995 comprehensive reform legislation was the result of two years of committee work and contains four key areas of reform:

1. Definitions were clarified;
2. reporting was streamlined;
3. investigations were delegated to one lead agency; and
4. consequences were matched to the gravity of the harm.

Vulnerable adult status is dependent on the adult (any person 18 years of age or older) having some form of disability or impairment to meet either the “categorical” or “functional” definition. Categorical vulnerable adults are those persons who receive services, both residential and non-residential, from specific programs licensed by the Minnesota Department of Human Services or the Minnesota Department of Health. Functional vulnerable adults have a physical, mental or emotional infirmity that impairs their ability to provide for their care without assistance, and this disability must impair the adult’s ability to protect himself or herself from maltreatment.

Among the 1995 statutory changes was a requirement for each county board to designate a “common entry point”, hereafter referred to as CEP, to receive all allegations of vulnerable adult maltreatment for the county. Each CEP must be available to receive reports of maltreatment (abuse, neglect or financial exploitation of a vulnerable adult) 24 hours a day, 7 days a week. The reports are recorded on a Common Entry Point Intake Form (DHS-3243, see Appendix) and then forwarded, depending on the type of allegations, to the appropriate lead agency for investigation. The lead agency for investigation could be a county Adult Protection Unit for allegations concerning abuse, financial exploitation, self-neglect, or caregiver neglect that occur in non-licensed settings. The

licensing division at the Department of Human Services would be the lead agency for allegations of maltreatment occurring in group-homes or community-based programs. Allegations occurring in nursing homes or licensed home-care settings would be forwarded to the Office of Health Facility Complaints at the Department of Health.

The amended law requires mandated reporters to immediately report maltreatment against vulnerable adults, which includes abuse, neglect or financial exploitation incidents; requires law enforcement officers, county adult protection staff and state agency staff to investigate reports; and provides administrative, civil and criminal sanctions for perpetrators of maltreatment.

Facilities licensed by the Department of Health or the Department of Human Services must develop procedures for educating their staff about their responsibilities under the Act. A system for internal reporting and investigating of allegations must be in place and the facility must designate a reporter to notify the local CEP of any incidents of maltreatment. Family members, neighbors, and anyone else with reason to believe maltreatment has occurred may also notify the local CEP.

SUMMARY

As of January 1, 1998, a copy of each allegation (submitted on form DHS-3243) is required to be sent to the Aging and Adult Services Division of the state Department of Human Services. This state report includes the information received from 83 counties that sent reports to the Department of Human Services in 1998; four counties declined to send in reports and therefore are not included in this summary. A total of 10,894 CEP reports alleged:

- 4,075 incidents of neglect
- 2,400 incidents of self-neglect
- 2,225 incidents of abuse
- 1,294 incidents of financial exploitation.

This document is the first report of statewide information since the CEP reporting requirement went into effect; therefore there is no baseline from which to draw inferences about either increase or decrease in incidence of reported maltreatment of vulnerable adults in the state. Because the law does not require all items to be completed at the time of recording, many reports from this initial year are missing data elements. Training is provided on an on-going basis to local CEP staff to improve the quality of the data submitted to the Department of Human Services.

METHODS

The information on alleged maltreatment was collected and recorded on the Common Entry Point (CEP) Intake Form by the designated agency in each county in Minnesota. Since the Act requires a 24-hour, 7-day-a-week response, there were usually two agencies taking reports of alleged maltreatment in each county. Typically, county social services would function as the CEP during weekdays and the county sheriff would function as the CEP during the evening and weekend hours.

In 14 counties the sheriff's office was the full-time CEP. One county used public health as the full-time CEP. Five counties contracted with a private community-based crisis line to take the reports on a full-time basis. Several metro counties contracted with the Red Cross Emergency Social Services to function as the evening and weekend CEP.

All data contained in this report are based on **allegations** of maltreatment of vulnerable adults. A separate report summarizing the results of the investigations of alleged maltreatment conducted by the lead agencies will be completed later this year. Because of the many agencies and staff responsible for completing the form, the first year's data are incomplete in several areas. CEP staff was instructed to forward the report to the lead investigative agency as soon as possible even if data elements were missing.

Four counties did not submit reports to the Aging and Adult Services Division of the Department of Human Services for data collection for 1998; these counties are represented as "Declined to Report." "Unknown" or "missing" is used to account for the elements of reports that are missing or otherwise unavailable.

Statewide training was conducted in December 1997 prior to the implementation of the revised system, and again in 1999. Subsequent training will be conducted annually to instruct new CEP staff and improve the quality of the reporting system. Consultation and technical assistance is also provided to CEP agencies on use of the database program developed for CEP reporting and on submission of their data electronically for collation at the Department of Human Services.

INTRODUCTION

The 1998 report of allegations of maltreatment of Vulnerable Adults contains the following sections:

I. Summary of Statewide Data - Demographics

- Age
- Race
- Gender
- Disability
- Location of incident

II. Summary of Statewide Data – Numbers and Types of Allegations

- Statewide CEP Counts
- Abuse
- Financial exploitation
- Neglect
- Self-neglect

III. County and Regional Reports of Allegations

- Regional State Map
- By county within each region

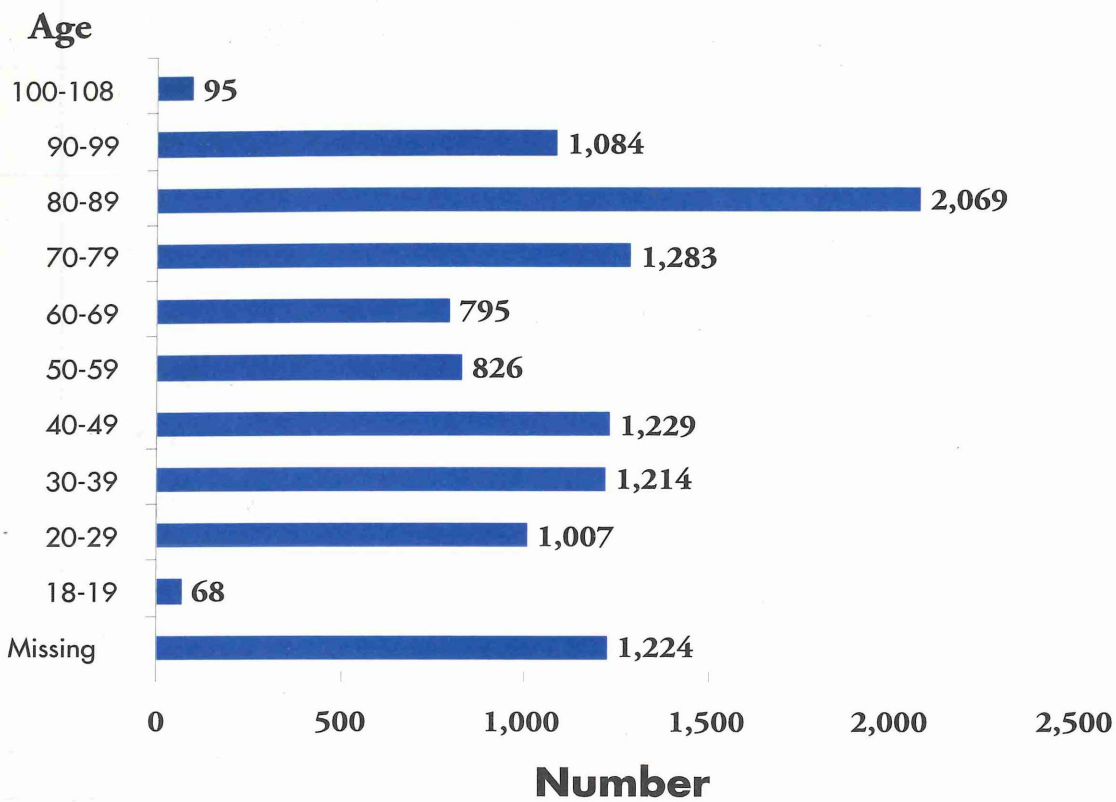
It is important to recognize that all data contained in this report is based on **allegations** reported by both mandatory and voluntary reporters. This first report of CEP data, for the 1998 year, demonstrates that some refinement is needed in the data collection and analysis as we move forward to follow-up on allegations with data collected from lead administrative investigative agencies. It is crucial that data collected from CEP significantly correlates to the investigative data.

I.

SUMMARY OF STATEWIDE DATA

DEMOGRAPHICS

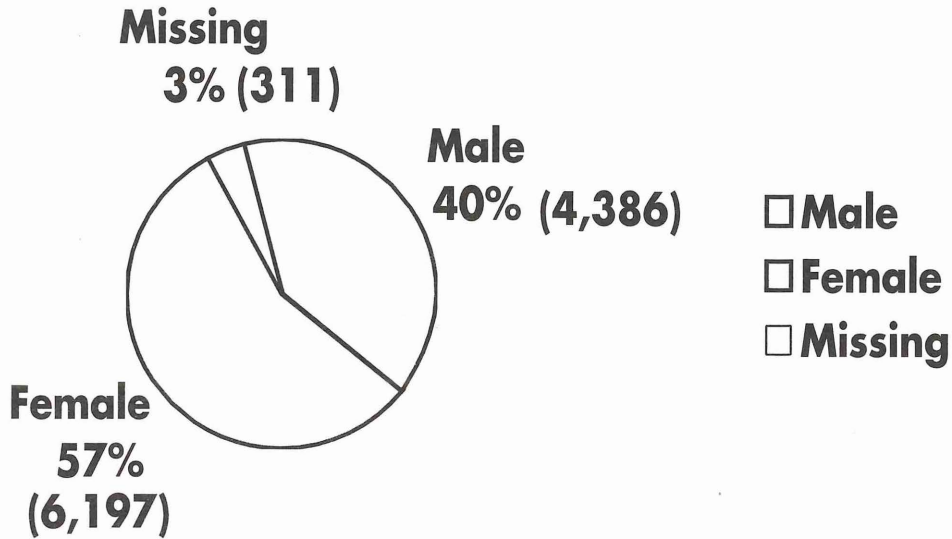
Age of Alleged Maltreated Vulnerable Adults In 1998



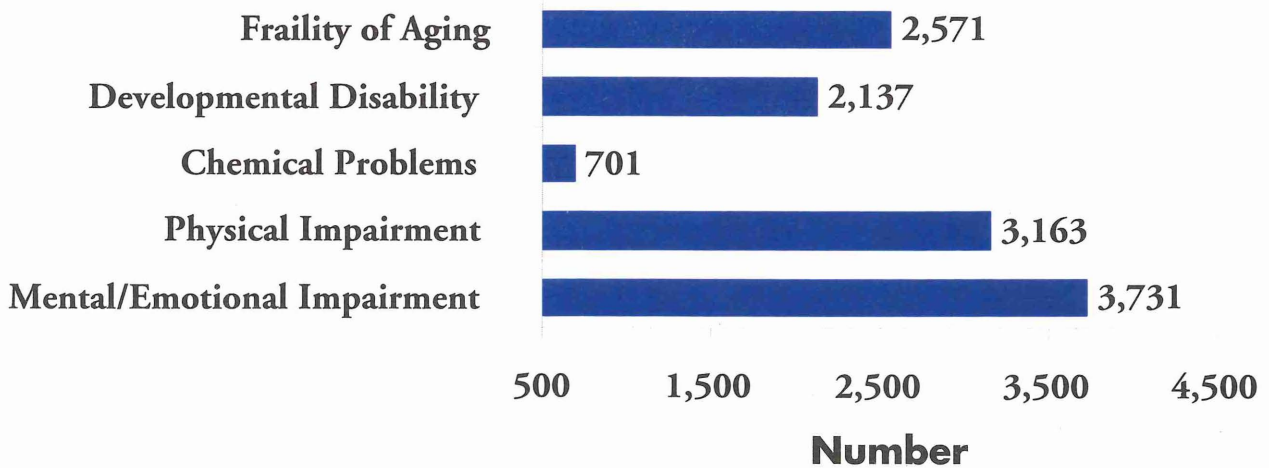
Race of Alleged Maltreated Vulnerable Adults in 1998

RACE	Total CEPs : 10,894
African American, not Hispanic	554
American Indian/ Alaskan Native	155
Asian American/Pacific Islander	53
Caucasian	7,274
Hispanic Origin	65
Other Race	22
Unknown	231
Missing	2,540

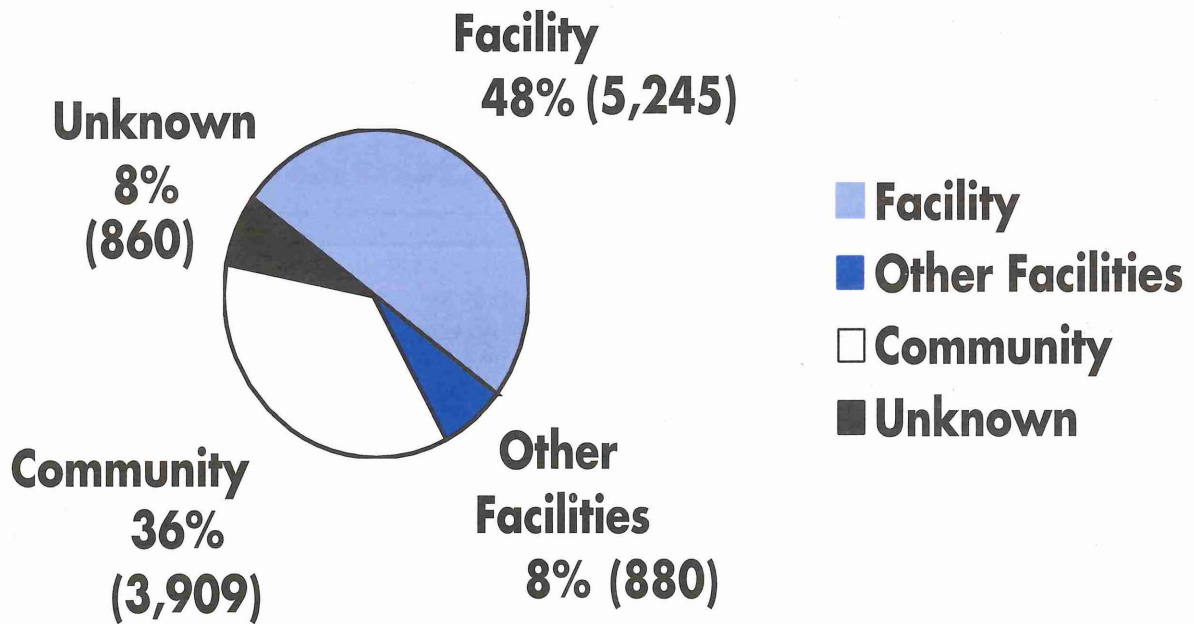
Gender of Alleged Maltreated Vulnerable Adults In 1998



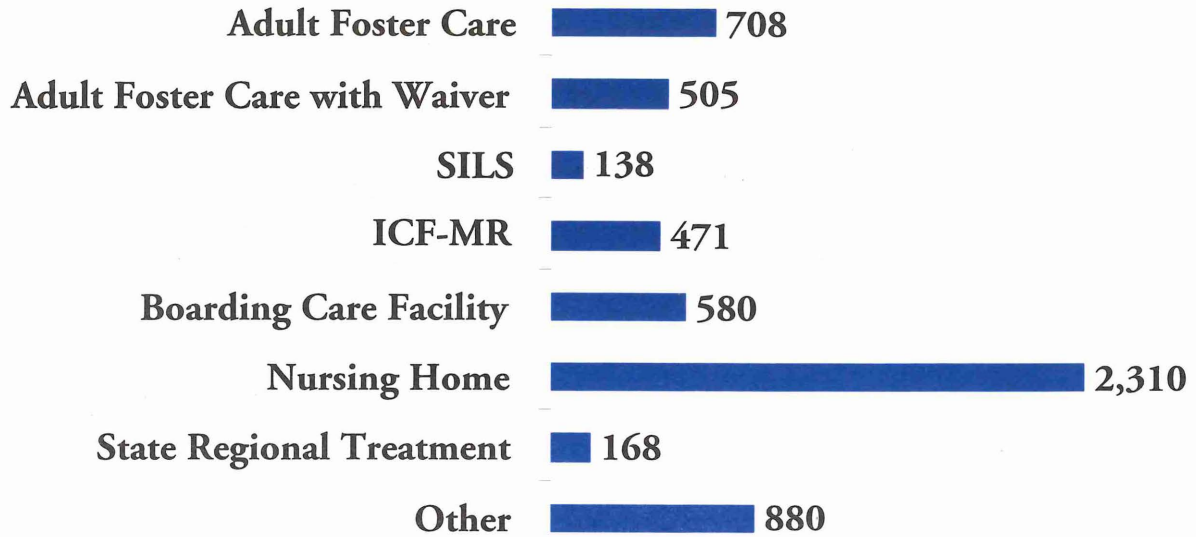
Number of Alleged Maltreated Vulnerable Adults with Disabilities in 1998



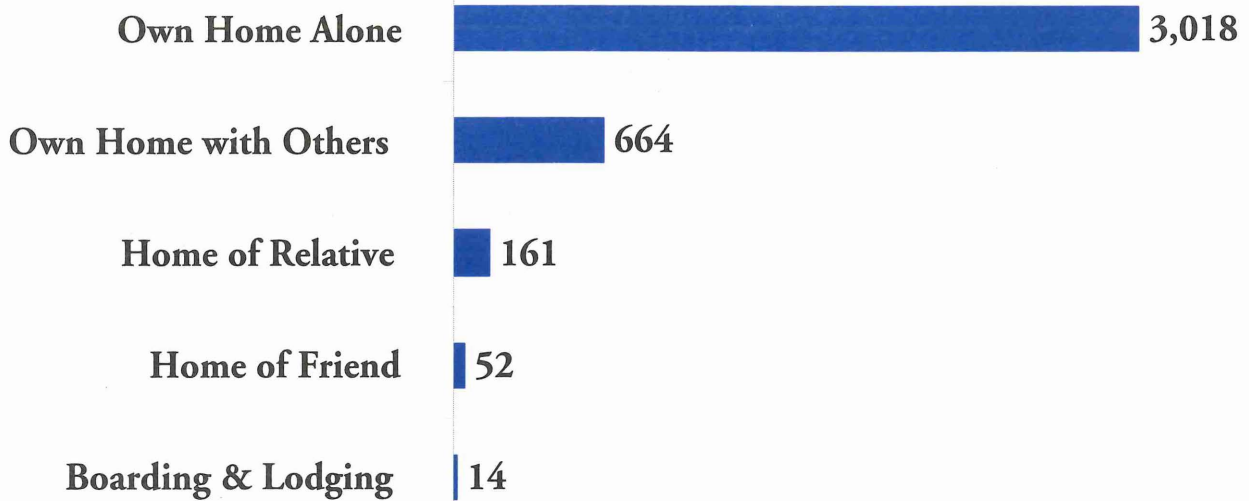
Number of Alleged Incidents of Maltreatment by Location



Number of Alleged Incidents by Type of Facility



Number of Alleged Incidents by Type of Community Residence



II.

SUMMARY OF STATEWIDE DATA

NUMBERS AND TYPES

OF ALLEGATIONS

STATEWIDE

CEP Counts – Main Information

Total CEP forms Entered: 10,894*

Functional VA Status	3820	
Categorical VA Status	7436	
Resident of Facility	5872	
Non-Residential	1630	
Incident Involves Allegation of Maltreatment	8770	Not Indicated 2124
Involves Abuse	2225	
Involves Financial Exploitation	1294	
Involves Neglect	4075	
Involves Self-Neglect	2400	
Need for Adult Protective Services	1460	
Suspected Criminal Activity	2828	
Assault	359	
Sexual Assault	258	
Theft	335	
Forgery	36	
Robbery	2	
Burglary	1	
Other	231	
Police Report Filed	1006	
CEP Forms to Police	2538	
Referred to Lead Investigative Agency	8918	
Health	2835	
DHS	2410	
County	3841	

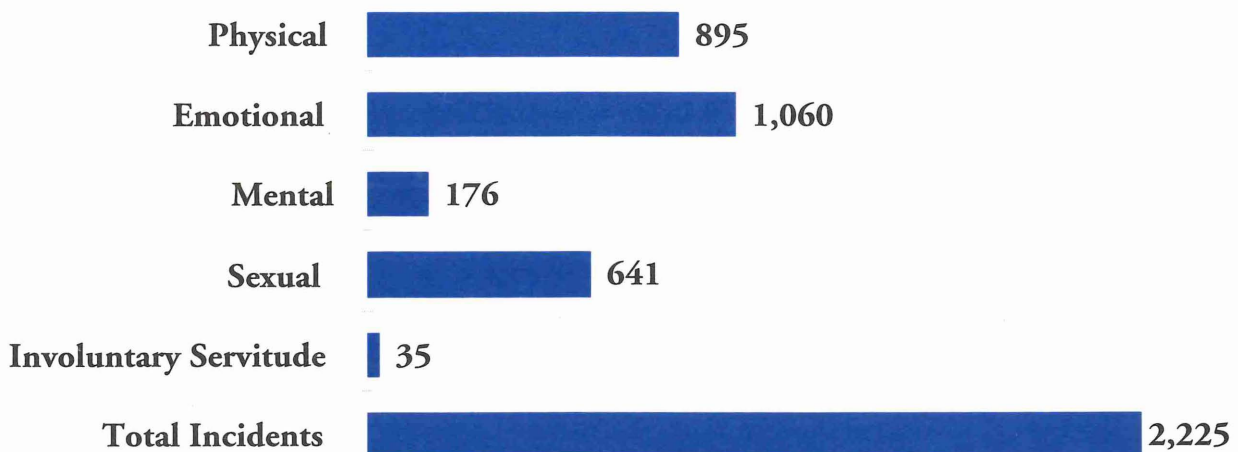
* Categories may not total forms entered due to incomplete reporting.

REPORTED ALLEGATIONS OF ABUSE OF VULNERABLE ADULTS

Allegations of abuse include physical, emotional, mental or sexual abuse. Many of the reports allege more than one category of abuse. The law requires reporting of resident-to-resident abuse in instances where the adult lives in a licensed residential facility only in cases where “serious harm” has occurred.

Emotional abuse characterized by oral, written or gestured language, either repeated or malicious, was the most common allegation. This was followed by physical abuse, sexual abuse and mental abuse. The alleged incidents of abuse occurred proportionately more often in a facility than in a family or community setting.

Number of Alleged Incidents Involving Abuse by Type



REPORTED ALLEGATIONS OF FINANCIAL EXPLOITATION OF VULNERABLE ADULTS

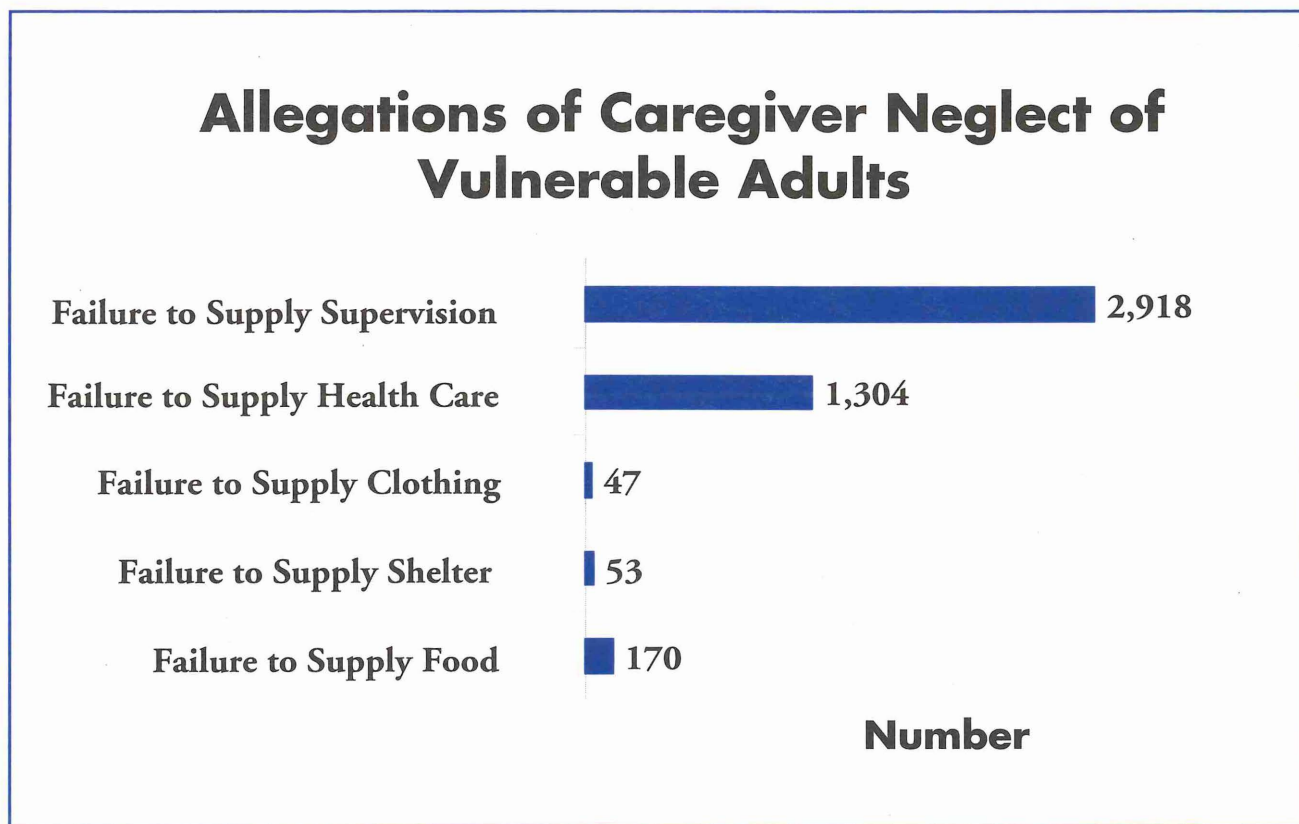
Persons who had no fiduciary relationship but who were relatives or friends of the victim were most often alleged to have perpetrated financial exploitation on vulnerable adults. A typical allegation would involve an adult child or grandchild of the victim acquiring possession or control of a vulnerable adult's property or funds by using undue influence. If a family member has established a fiduciary relationship with the victim it most often is a power of attorney.

Either facility staff or family and friends can be reported as alleged perpetrators of financial exploitation of residents in a facility. Facility staff was alleged to have misused client accounts, borrowed money from residents or stolen money or property of the vulnerable adult. Family members/friends were more likely to have been alleged to fail to provide funds for the resident's personal needs or failure to turn over social security or other income for the resident's care.

REPORTED ALLEGATIONS OF NEGLECT OF VULNERABLE ADULTS

For alleged victims who were residents of facilities or receiving licensed in-home services, failure to provide supervision of vulnerable adults was the most frequent allegation of neglect. Failure to provide health care and medication errors were the second and third most common allegations.

For alleged victims living alone or in family settings, absence of services was the most frequent allegation. Lack of supervision and lack of health care were the second and third most common allegations.

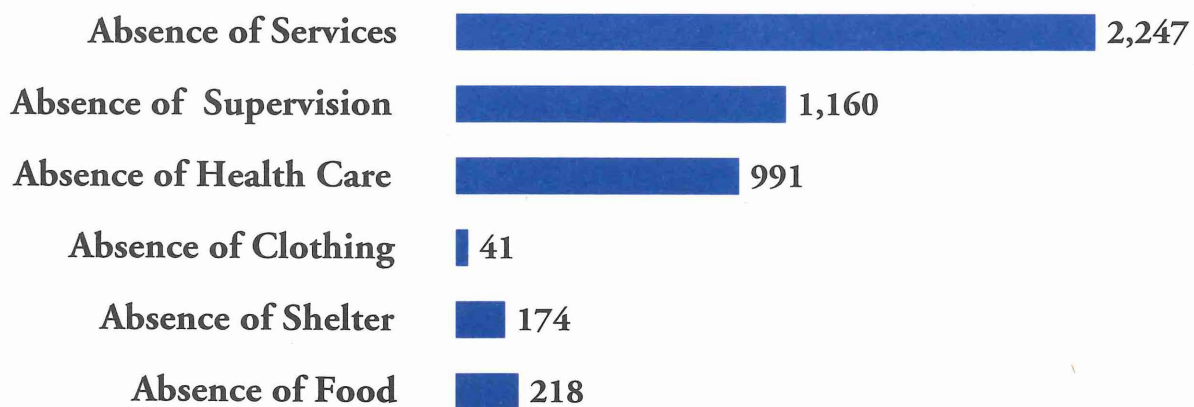


REPORTED ALLEGATIONS OF SELF-NEGLECT OF VULNERABLE ADULTS

Investigations into allegations of self-neglect are the responsibility of county adult protective services, in their capacity as a lead investigative agency. Since each county establishes its own priorities and procedures for investigating maltreatment reports received from the common entry point (CEP), responses to self-neglect reports vary greatly. Some counties refer self-neglect cases to adult protective services for assessment in lieu of using the investigative process; other counties conduct an investigation and document their findings using Report of Vulnerable Adult Maltreatment (DHS form 2494).

Self-neglect is not specifically defined in the Act. A sub-category of neglect is the “absence or likelihood of absence of care or services, including but not limited to, food, clothing, shelter, health care, or supervision necessary to maintain the physical and mental health of the vulnerable adult which a reasonable person would deem essential to obtain or maintain the vulnerable adult’s health, safety, or comfort considering the physical or mental capacity or dysfunction of the vulnerable adult.”

Allegations of Vulnerable Adult Self-Neglect



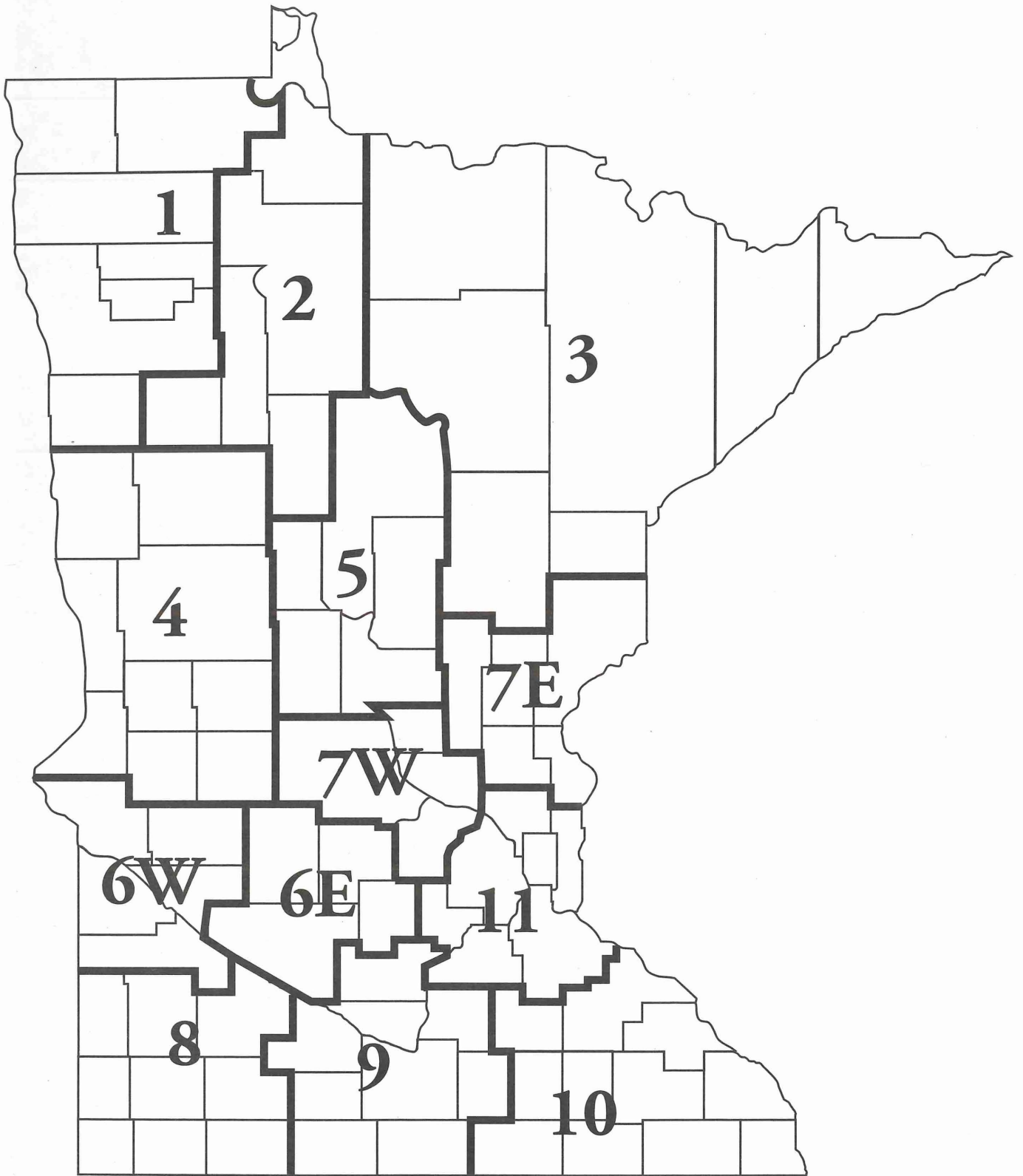
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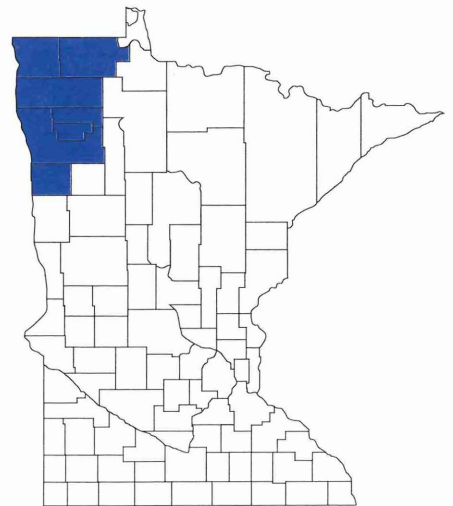
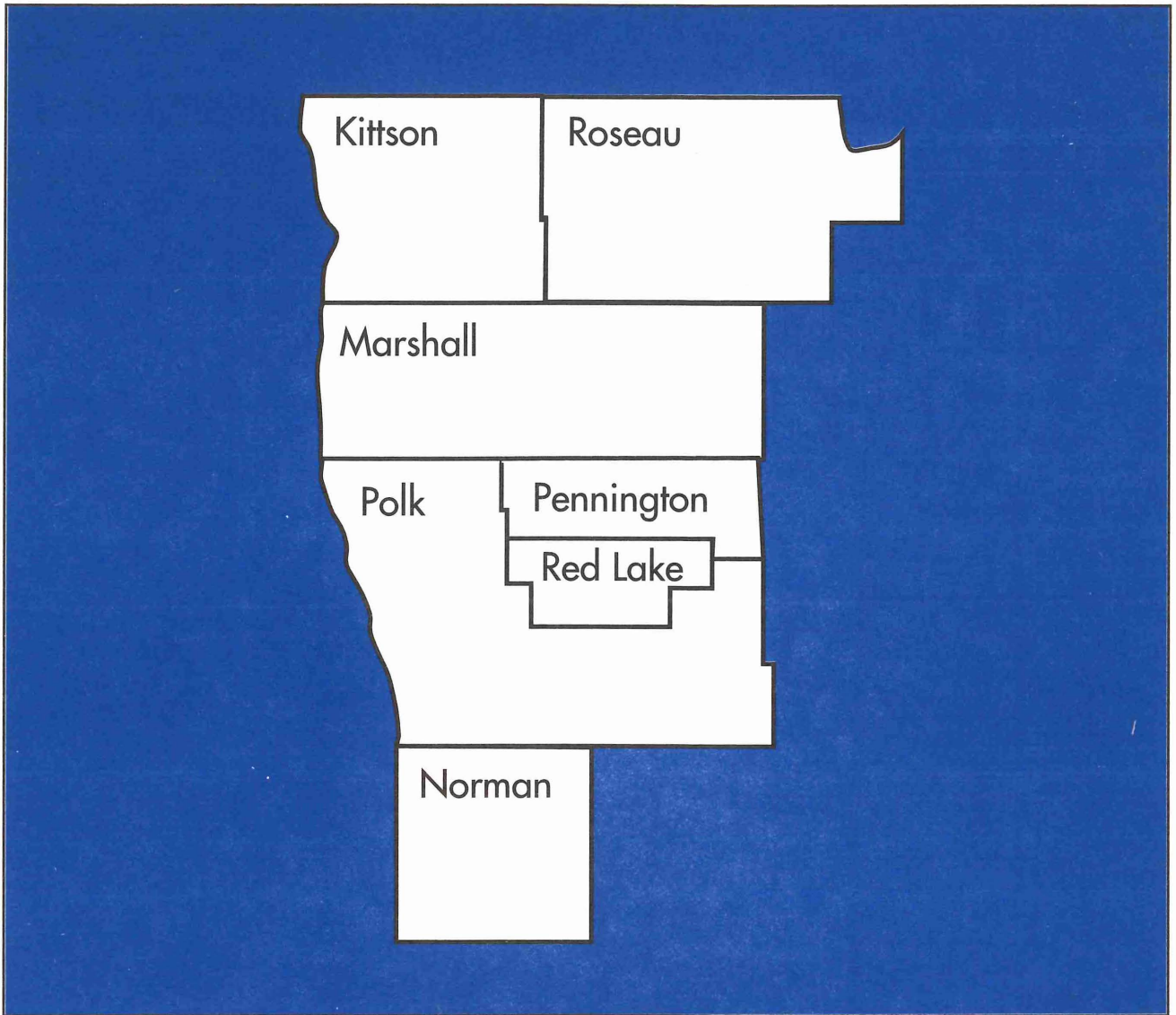
III.

COUNTY AND REGIONAL REPORTS

OF

ALLEGATIONS





Region 1

Region 1

KITTSOON COUNTY

DECLINED
TO
REPORT

MARSHALL COUNTY

DECLINED
TO
REPORT

NORMAN COUNTY Total CEPs entered: 0

Functional VA Status
Categorical VA Status

Incident Involves Allegation
Of Maltreatment
Not Indicated as Maltreatment

Involves Abuse
Involves Financial Exploitation
Involves Neglect
Involves Self Neglect

Suspected Criminal Activity

Referred to Lead Investigation Agency

DHS
OHFC
County

PENNINGTON COUNTY Total CEPs entered: 10

Functional VA Status 3
Categorical VA Status 7

Incident Involves Allegation
Of Maltreatment 9
Not Indicated as Maltreatment 1

Involves Abuse 6
Involves Financial Exploitation 1
Involves Neglect 3
Involves Self Neglect 2

Suspected Criminal Activity 1

Referred to Lead Investigation Agency 3

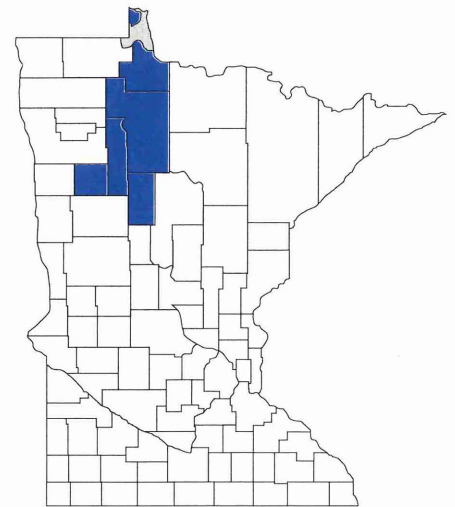
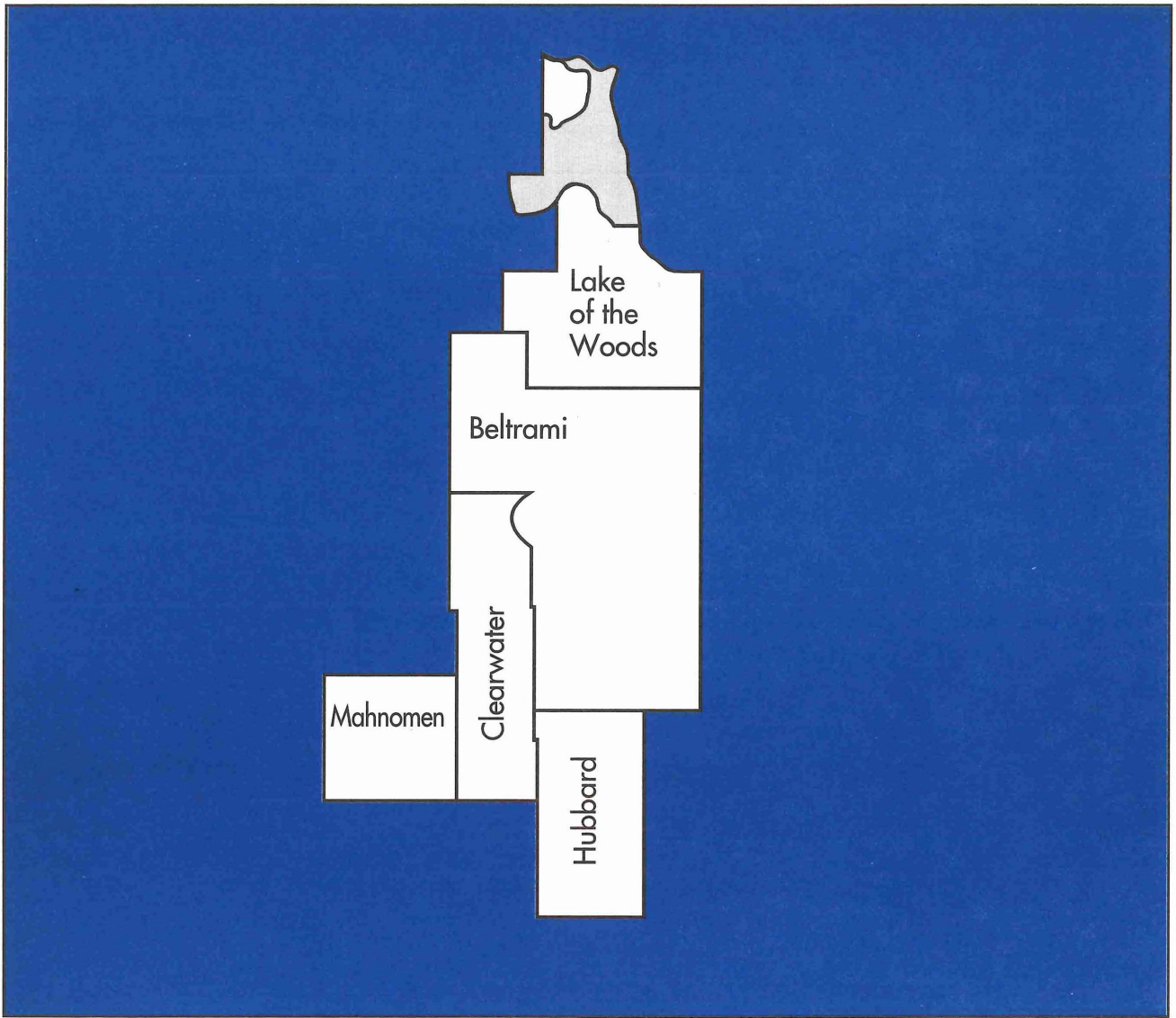
DHS 0
OHFC 1
County 2

Region 1

POLK COUNTY	Total CEPs entered: 37
Functional VA Status	7
Categorical VA Status	30
Incident Involves Allegation Of Maltreatment	33
Not Indicated as Maltreatment	4
Involves Abuse	16
Involves Financial Exploitation	8
Involves Neglect	15
Involves Self Neglect	0
Suspected Criminal Activity	9
Referred to Lead Investigation Agency	34
DHS	4
OHFC	9
County	21

RED LAKE COUNTY	Total CEPs entered: 0
Functional VA Status	
Categorical VA Status	
Incident Involves Allegation Of Maltreatment	
Not Indicated as Maltreatment	
Involves Abuse	
Involves Financial Exploitation	
Involves Neglect	
Involves Self Neglect	
Suspected Criminal Activity	
Referred to Lead Investigation Agency	
DHS	
OHFC	
County	

ROSEAU COUNTY	Total CEPs entered: 23
Functional VA Status	11
Categorical VA Status	12
Incident Involves Allegation Of Maltreatment	18
Not Indicated as Maltreatment	5
Involves Abuse	4
Involves Financial Exploitation	4
Involves Neglect	8
Involves Self Neglect	6
Suspected Criminal Activity	2
Referred to Lead Investigation Agency	12
DHS	4
OHFC	6
County	3



Region 2

Region 2

<u>BELTRAMI COUNTY</u>	Total CEPs entered: 23
Functional VA Status	1
Categorical VA Status	22
Incident Involves Allegation Of Maltreatment	23
Not Indicated as Maltreatment	0
Involves Abuse	8
Involves Financial Exploitation	1
Involves Neglect	14
Involves Self Neglect	0
Suspected Criminal Activity	19
Referred to Lead Investigation Agency	21
DHS	2
OHFC	18
County	2

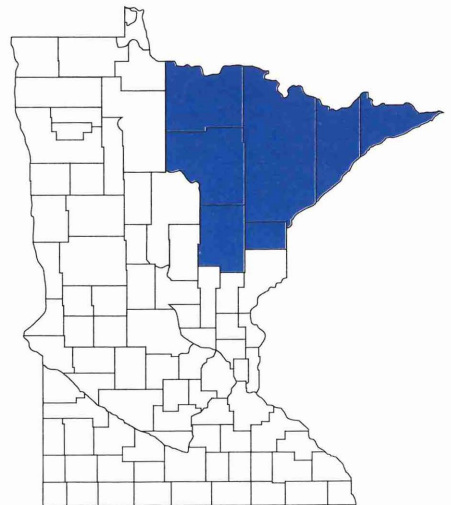
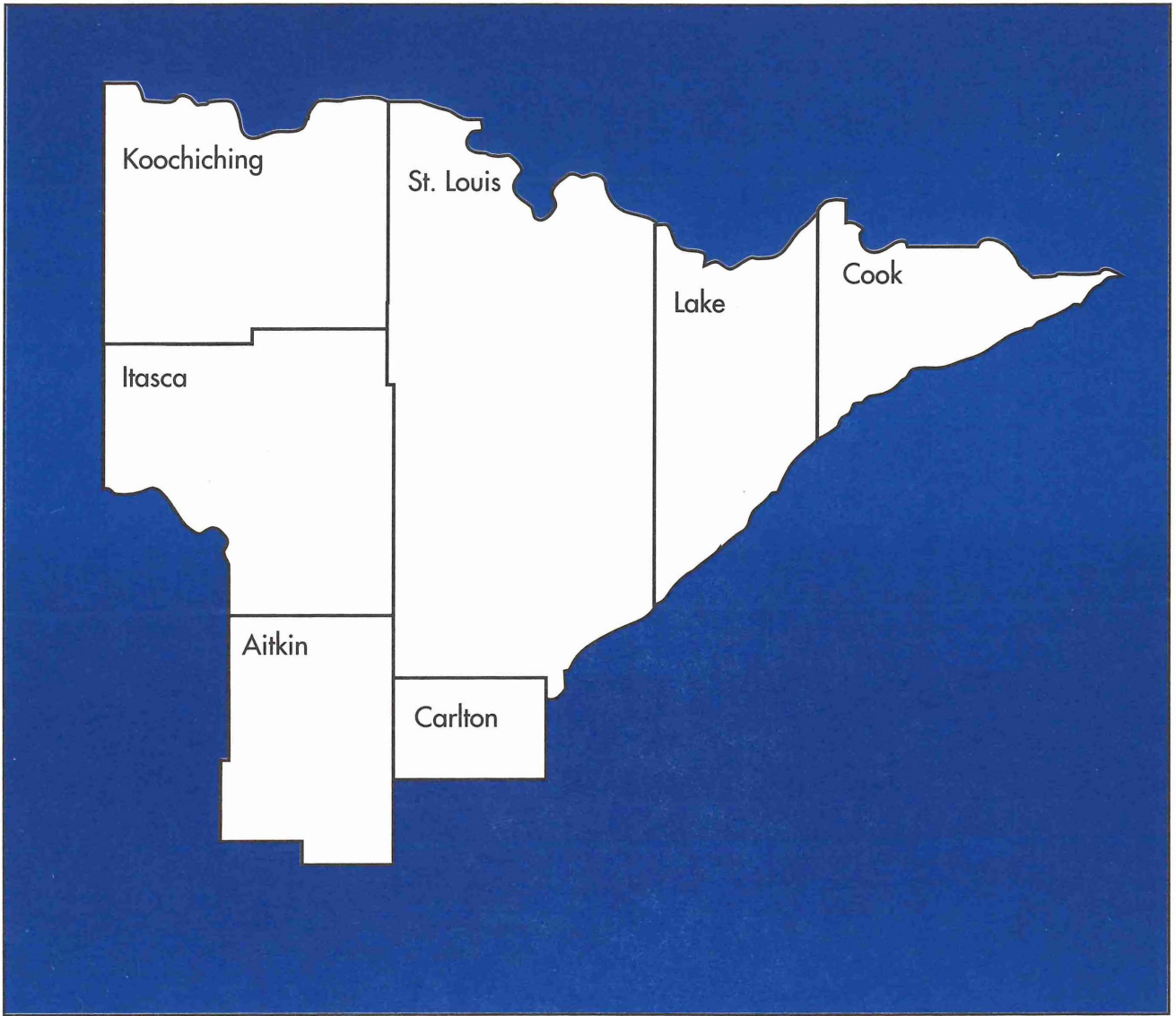
<u>CLEARWATER COUNTY</u>	Total CEPs entered: 0
Functional VA Status	
Categorical VA Status	
Incident Involves Allegation Of Maltreatment	
Not Indicated as Maltreatment	
Involves Abuse	
Involves Financial Exploitation	
Involves Neglect	
Involves Self Neglect	
Suspected Criminal Activity	
Referred to Lead Investigation Agency	
DHS	
OHFC	
County	

<u>HUBBARD COUNTY</u>	Total CEPs entered: 39
Functional VA Status	18
Categorical VA Status	20
Incident Involves Allegation Of Maltreatment	36
Not Indicated as Maltreatment	3
Involves Abuse	13
Involves Financial Exploitation	10
Involves Neglect	8
Involves Self Neglect	12
Suspected Criminal Activity	3
Referred to Lead Investigation Agency	16
DHS	1
OHFC	2
County	13

Region 2

<u>LAKE OF THE WOODS COUNTY</u>		Total CEPs entered: 1
Functional VA Status		0
Categorical VA Status		1
Incident Involves Allegation Of Maltreatment		1
Not Indicated as Maltreatment		0
Involves Abuse		1
Involves Financial Exploitation		0
Involves Neglect		0
Involves Self Neglect		0
Suspected Criminal Activity		0
Referred to Lead Investigation Agency		0
DHS		0
OHFC		0
County		0

<u>MAHNOMEN COUNTY</u>		Total CEPs entered: 1
Functional VA Status		1
Categorical VA Status		0
Incident Involves Allegation Of Maltreatment		1
Not Indicated as Maltreatment		0
Involves Abuse		0
Involves Financial Exploitation		0
Involves Neglect		0
Involves Self Neglect		1
Suspected Criminal Activity		0
Referred to Lead Investigation Agency		1
DHS		0
OHFC		0
County		1



Region 3

Region 3

<u>AITKIN COUNTY</u>	Total CEPs entered: 15
Functional VA Status	7
Categorical VA Status	4
Incident Involves Allegation Of Maltreatment	10
Not Indicated as Maltreatment	5
Involves Abuse	2
Involves Financial Exploitation	2
Involves Neglect	1
Involves Self Neglect	7
Suspected Criminal Activity	2
Referred to Lead Investigation Agency	2
DHS	0
OHFC	0
County	2

<u>CARLTON COUNTY</u>	Total CEPs entered: 17
Functional VA Status	4
Categorical VA Status	13
Incident Involves Allegation Of Maltreatment	15
Not Indicated as Maltreatment	2
Involves Abuse	5
Involves Financial Exploitation	2
Involves Neglect	8
Involves Self Neglect	0
Suspected Criminal Activity	2
Referred to Lead Investigation Agency	8
DHS	3
OHFC	4
County	1

<u>COOK COUNTY</u>	Total CEPs entered: 0
Functional VA Status	
Categorical VA Status	
Incident Involves Allegation Of Maltreatment	
Not Indicated as Maltreatment	
Involves Abuse	
Involves Financial Exploitation	
Involves Neglect	
Involves Self Neglect	
Suspected Criminal Activity	
Referred to Lead Investigation Agency	
DHS	
OHFC	
County	

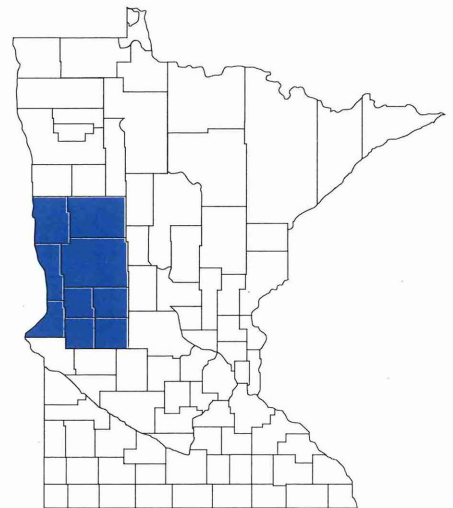
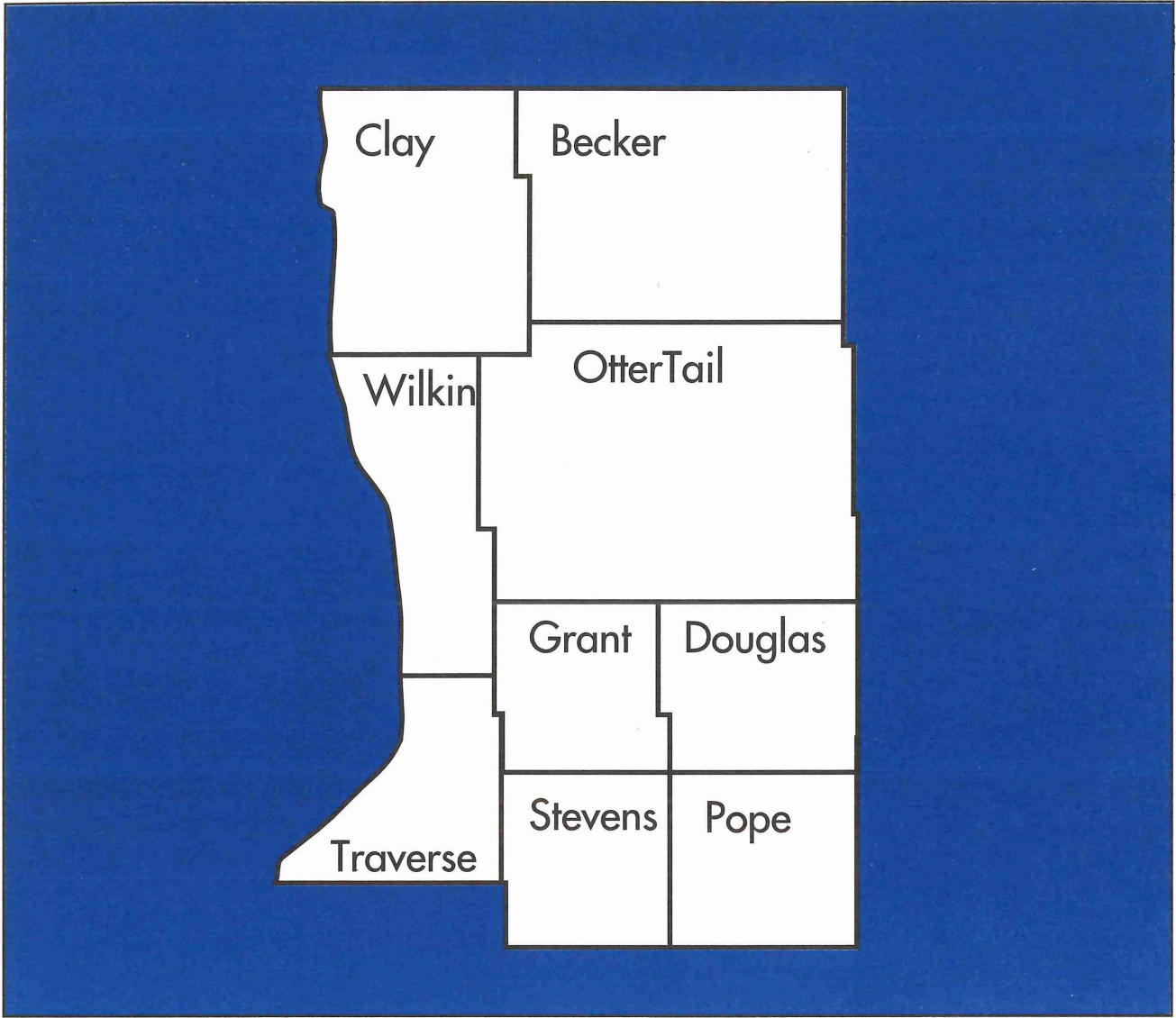
<u>ITASCA COUNTY</u>	Total CEPs entered: 75
Functional VA Status	24
Categorical VA Status	48
Incident Involves Allegation Of Maltreatment	59
Not Indicated as Maltreatment	16
Involves Abuse	0
Involves Financial Exploitation	14
Involves Neglect	16
Involves Self Neglect	17
Suspected Criminal Activity	9
Referred to Lead Investigation Agency	38
DHS	8
OHFC	12
County	18

Region 3

<u>KOOCHICHING COUNTY</u> Total CEPs entered: 9	
Functional VA Status	2
Categorical VA Status	7
Incident Involves Allegation Of Maltreatment	9
Not Indicated as Maltreatment	0
Involves Abuse	3
Involves Financial Exploitation	1
Involves Neglect	3
Involves Self Neglect	1
Suspected Criminal Activity	1
Referred to Lead Investigation Agency	8
DHS	1
OHFC	6
County	1

<u>LAKE COUNTY</u> Total CEPs entered: 11	
Functional VA Status	2
Categorical VA Status	9
Incident Involves Allegation Of Maltreatment	10
Not Indicated as Maltreatment	1
Involves Abuse	3
Involves Financial Exploitation	1
Involves Neglect	4
Involves Self Neglect	1
Suspected Criminal Activity	0
Referred to Lead Investigation Agency	2
DHS	1
OHFC	1
County	0

<u>ST LOUIS COUNTY</u> Total CEPs entered: 728	
Functional VA Status	172
Categorical VA Status	553
Incident Involves Allegation Of Maltreatment	499
Not Indicated as Maltreatment	229
Involves Abuse	166
Involves Financial Exploitation	81
Involves Neglect	200
Involves Self Neglect	90
Suspected Criminal Activity	126
Referred to Lead Investigation Agency	596
DHS	123
OHFC	288
County	203



Region 4

Region 4

<u>BECKER COUNTY</u>	Total CEPs entered: 105
Functional VA Status	76
Categorical VA Status	26
Incident Involves Allegation Of Maltreatment	63
Not Indicated as Maltreatment	42
Involves Abuse	16
Involves Financial Exploitation	14
Involves Neglect	14
Involves Self Neglect	28
Suspected Criminal Activity	19
Referred to Lead Investigation Agency	67
DHS	0
OHFC	18
County	55

<u>CLAY COUNTY</u>	Total CEPs entered: 218
Functional VA Status	141
Categorical VA Status	72
Incident Involves Allegation Of Maltreatment	154
Not Indicated as Maltreatment	64
Involves Abuse	27
Involves Financial Exploitation	33
Involves Neglect	38
Involves Self Neglect	63
Suspected Criminal Activity	61
Referred to Lead Investigation Agency	142
DHS	17
OHFC	19
County	112

DOUGLAS COUNTY

DECLINED
TO
REPORT

Region 4

<u>GRANT COUNTY</u>	Total CEPs entered: 16
Functional VA Status	0
Categorical VA Status	15
Incident Involves Allegation Of Maltreatment	14
Not Indicated as Maltreatment	2
Involves Abuse	6
Involves Financial Exploitation	3
Involves Neglect	3
Involves Self Neglect	1
Suspected Criminal Activity	2
Referred to Lead Investigation Agency	9
DHS	3
OHFC	2
County	4

<u>OTTER TAIL COUNTY</u>	Total CEPs entered: 140
Functional VA Status	62
Categorical VA Status	76
Incident Involves Allegation Of Maltreatment	130
Not Indicated as Maltreatment	10
Involves Abuse	28
Involves Financial Exploitation	19
Involves Neglect	51
Involves Self Neglect	48
Suspected Criminal Activity	22
Referred to Lead Investigation Agency	130
DHS	31
OHFC	20
County	83

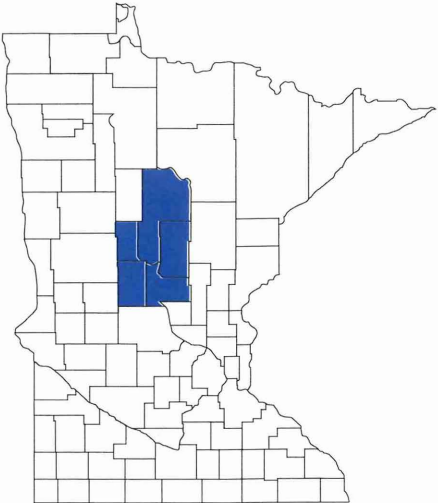
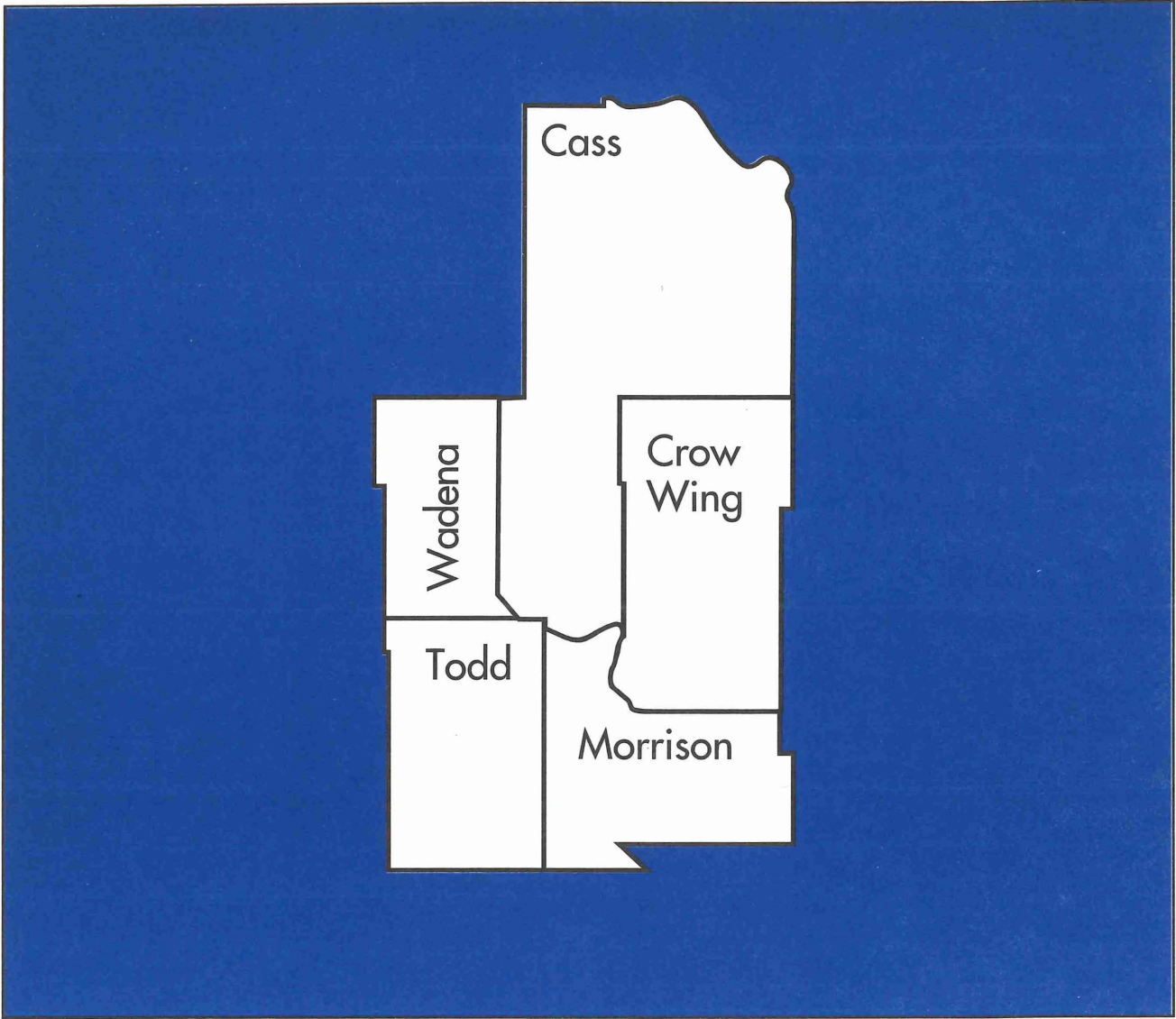
<u>POPE COUNTY</u>	Total CEPs entered: 8
Functional VA Status	1
Categorical VA Status	6
Incident Involves Allegation Of Maltreatment	7
Not Indicated as Maltreatment	1
Involves Abuse	5
Involves Financial Exploitation	1
Involves Neglect	1
Involves Self Neglect	1
Suspected Criminal Activity	1
Referred to Lead Investigation Agency	5
DHS	0
OHFC	3
County	2

Region 4

<u>STEVENS COUNTY</u>	Total CEPs entered: 11
Functional VA Status	2
Categorical VA Status	8
Incident Involves Allegation Of Maltreatment	10
Not Indicated as Maltreatment	1
Involves Abuse	5
Involves Financial Exploitation	1
Involves Neglect	2
Involves Self Neglect	2
Suspected Criminal Activity	1
Referred to Lead Investigation Agency	4
DHS	2
OHFC	2
County	1

<u>TRAVERSE COUNTY</u>	Total CEPs entered: 1
Functional VA Status	0
Categorical VA Status	1
Incident Involves Allegation Of Maltreatment	1
Not Indicated as Maltreatment	0
Involves Abuse	0
Involves Financial Exploitation	0
Involves Neglect	1
Involves Self Neglect	0
Suspected Criminal Activity	1
Referred to Lead Investigation Agency	0
DHS	0
OHFC	0
County	0

<u>WILKIN COUNTY</u>	Total CEPs entered: 6
Functional VA Status	3
Categorical VA Status	3
Incident Involves Allegation Of Maltreatment	6
Not Indicated as Maltreatment	0
Involves Abuse	1
Involves Financial Exploitation	2
Involves Neglect	2
Involves Self Neglect	1
Suspected Criminal Activity	1
Referred to Lead Investigation Agency	3
DHS	0
OHFC	1
County	2



Region 5

<u>CASS COUNTY</u>	Total CEPs entered: 58
Functional VA Status	36
Categorical VA Status	22
Incident Involves Allegation Of Maltreatment	41
Not Indicated as Maltreatment	17
Involves Abuse	10
Involves Financial Exploitation	8
Involves Neglect	13
Involves Self Neglect	18
Suspected Criminal Activity	6
Referred to Lead Investigation Agency	53
DHS	8
OHFC	13
County	37

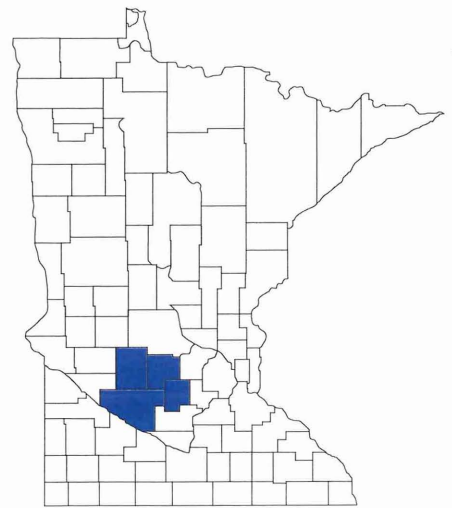
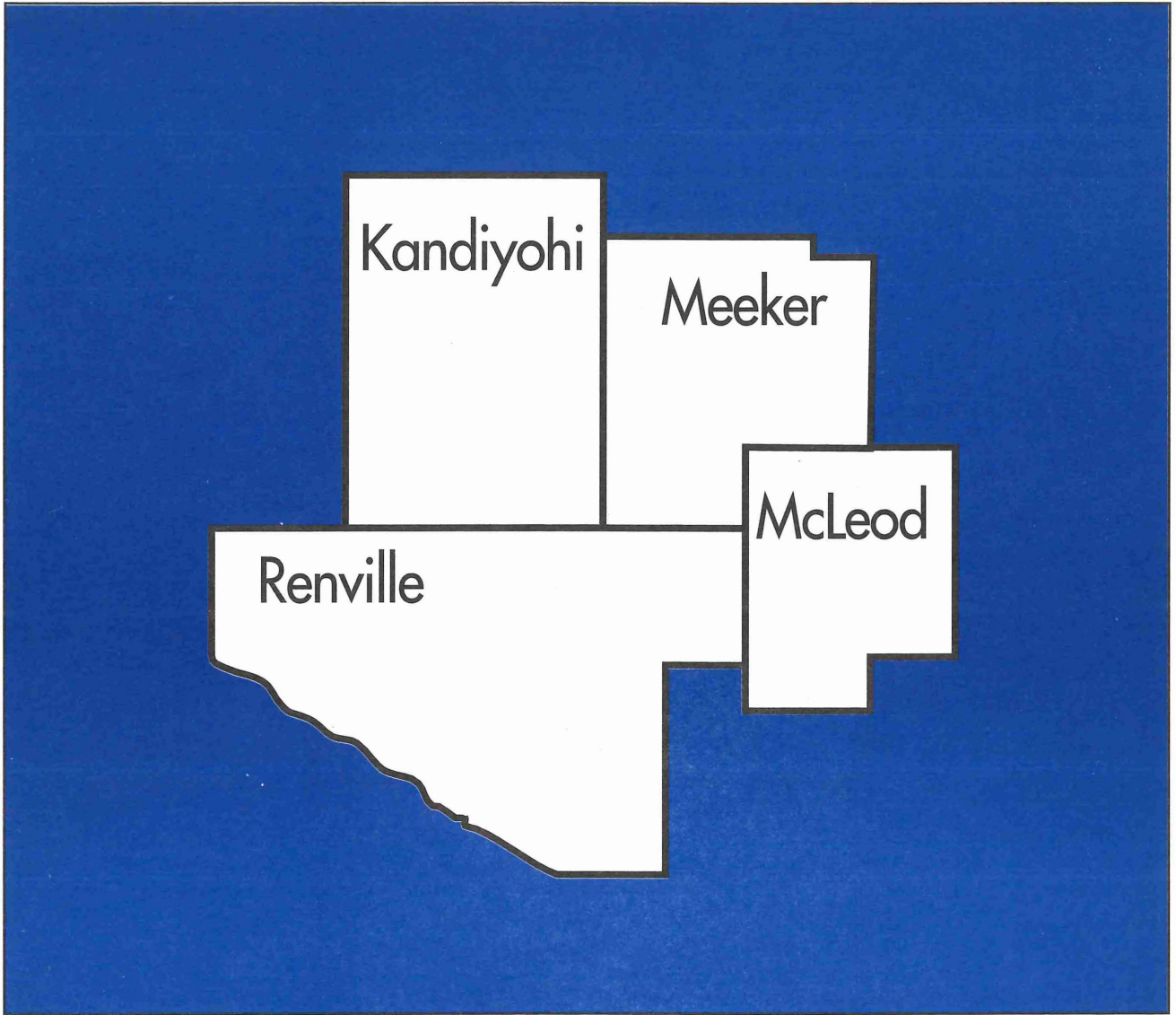
<u>CROW WING COUNTY</u>	Total CEPs entered: 118
Functional VA Status	77
Categorical VA Status	58
Incident Involves Allegation Of Maltreatment	88
Not Indicated as Maltreatment	30
Involves Abuse	38
Involves Financial Exploitation	18
Involves Neglect	33
Involves Self Neglect	33
Suspected Criminal Activity	10
Referred to Lead Investigation Agency	74
DHS	19
OHFC	19
County	39

<u>MORRISON COUNTY</u>	Total CEPs entered: 25
Functional VA Status	2
Categorical VA Status	23
Incident Involves Allegation Of Maltreatment	22
Not Indicated as Maltreatment	3
Involves Abuse	9
Involves Financial Exploitation	3
Involves Neglect	11
Involves Self Neglect	0
Suspected Criminal Activity	3
Referred to Lead Investigation Agency	12
DHS	9
OHFC	3
County	1

Region 5

<u>TODD COUNTY</u>	Total CEPs entered:	19
Functional VA Status		4
Categorical VA Status		16
Incident Involves Allegation Of Maltreatment		19
Not Indicated as Maltreatment		0
Involves Abuse		12
Involves Financial Exploitation		1
Involves Neglect		6
Involves Self Neglect		0
Suspected Criminal Activity		2
Referred to Lead Investigation Agency		17
DHS		9
OHFC		9
County		1

<u>WADENA COUNTY</u>	Total CEPs entered:	5
Functional VA Status		0
Categorical VA Status		5
Incident Involves Allegation Of Maltreatment		3
Not Indicated as Maltreatment		2
Involves Abuse		2
Involves Financial Exploitation		1
Involves Neglect		1
Involves Self Neglect		0
Suspected Criminal Activity		1
Referred to Lead Investigation Agency		4
DHS		2
OHFC		2
County		0



Region 6E

Region 6E

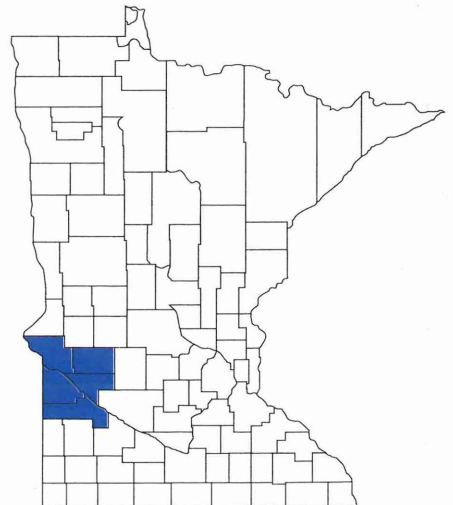
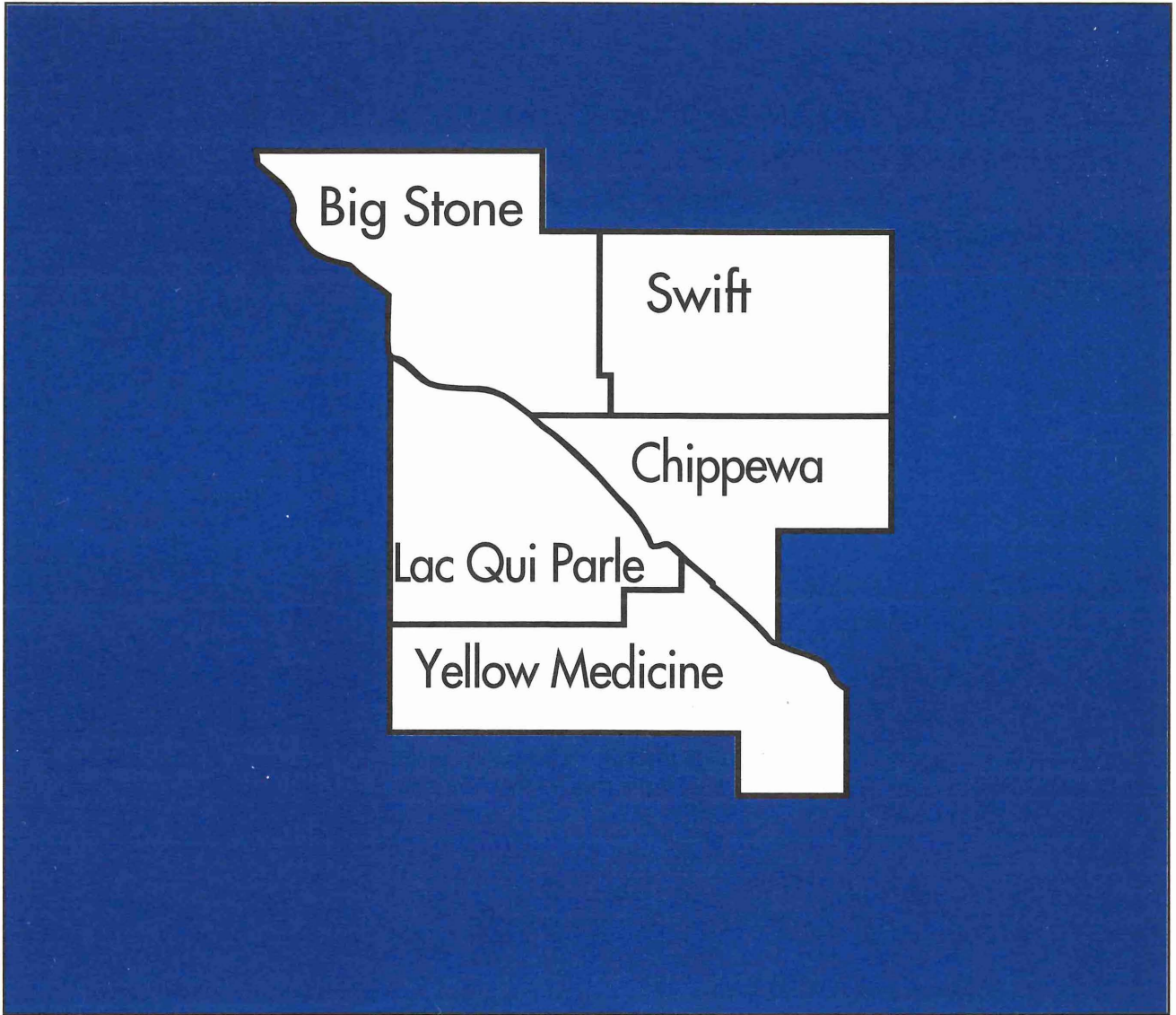
<u>KANDIYOHI COUNTY</u>	Total CEPs entered:	245
Functional VA Status		37
Categorical VA Status		207
Incident Involves Allegation Of Maltreatment		233
Not Indicated as Maltreatment		12
Involves Abuse		86
Involves Financial Exploitation		27
Involves Neglect		120
Involves Self Neglect		15
Suspected Criminal Activity		59
Referred to Lead Investigation Agency		239
DHS		117
OHFC		57
County		67

<u>MCLEOD COUNTY</u>	Total CEPs entered:	20
Functional VA Status		2
Categorical VA Status		17
Incident Involves Allegation Of Maltreatment		20
Not Indicated as Maltreatment		0
Involves Abuse		13
Involves Financial Exploitation		3
Involves Neglect		4
Involves Self Neglect		0
Suspected Criminal Activity		4
Referred to Lead Investigation Agency		9
DHS		5
OHFC		1
County		5

<u>MEEKER COUNTY</u>	Total CEPs entered:	46
Functional VA Status		7
Categorical VA Status		35
Incident Involves Allegation Of Maltreatment		35
Not Indicated as Maltreatment		11
Involves Abuse		12
Involves Financial Exploitation		8
Involves Neglect		20
Involves Self Neglect		4
Suspected Criminal Activity		9
Referred to Lead Investigation Agency		43
DHS		4
OHFC		20
County		17

RENVILLE COUNTY

**DECLINED
TO
REPORT**



Region 6W

<u>BIG STONE COUNTY</u>	Total CEPs entered: 3
Functional VA Status	1
Categorical VA Status	2
Incident Involves Allegation Of Maltreatment	3
Not Indicated as Maltreatment	0
Involves Abuse	3
Involves Financial Exploitation	0
Involves Neglect	3
Involves Self Neglect	0
Suspected Criminal Activity	3
Referred to Lead Investigation Agency	0
DHS	0
OHFC	0
County	0

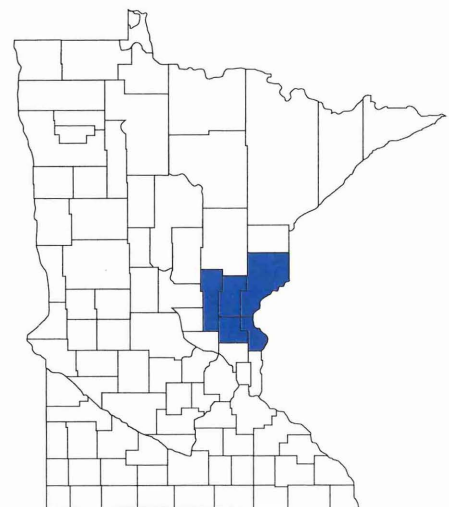
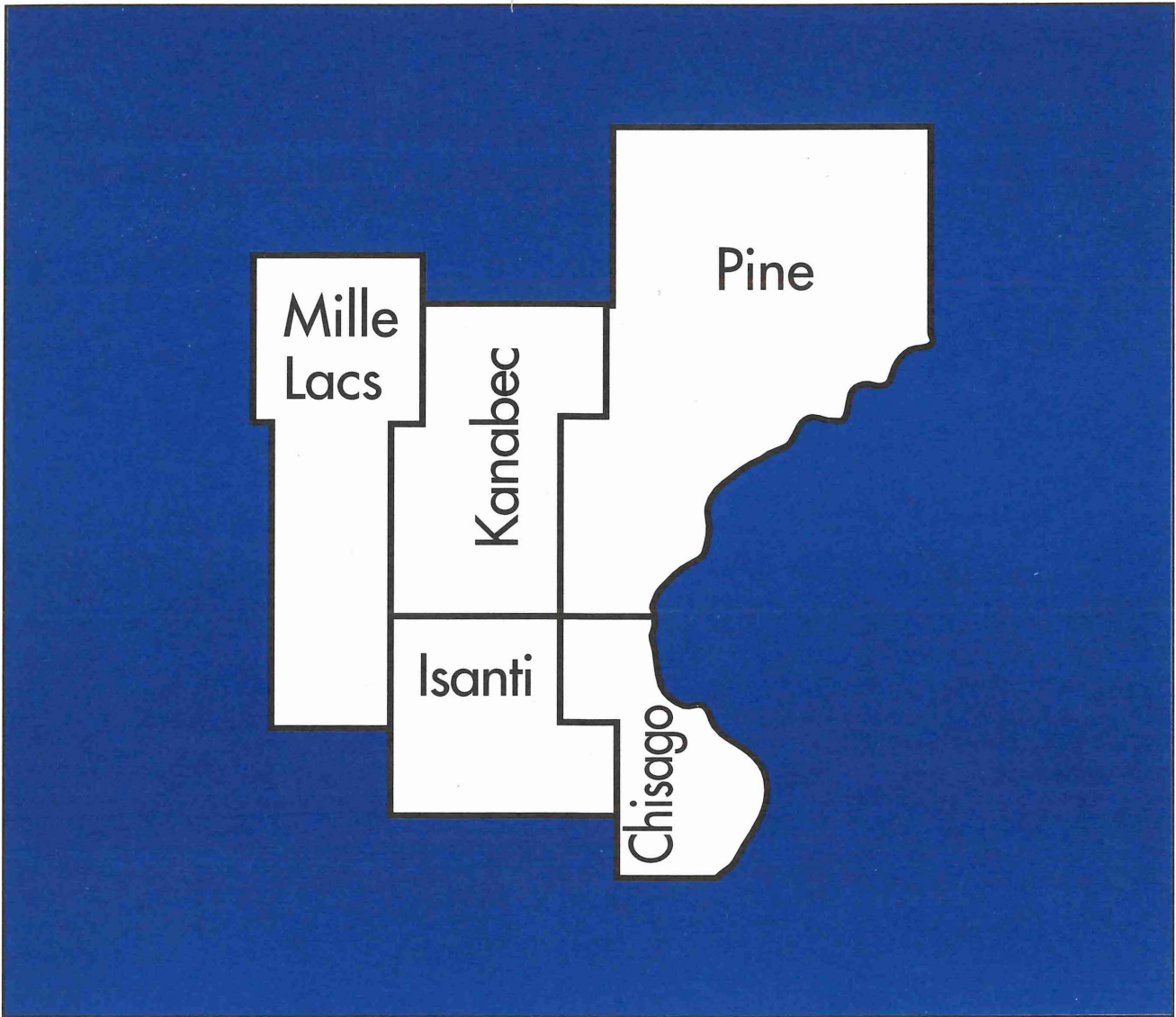
<u>CHIPPEWA COUNTY</u>	Total CEPs entered: 1
Functional VA Status	0
Categorical VA Status	1
Incident Involves Allegation Of Maltreatment	1
Not Indicated as Maltreatment	0
Involves Abuse	0
Involves Financial Exploitation	0
Involves Neglect	1
Involves Self Neglect	0
Suspected Criminal Activity	0
Referred to Lead Investigation Agency	0
DHS	0
OHFC	0
County	0

<u>LAC QUI PARLE COUNTY</u>	Total CEPs entered: 10
Functional VA Status	4
Categorical VA Status	6
Incident Involves Allegation Of Maltreatment	9
Not Indicated as Maltreatment	1
Involves Abuse	2
Involves Financial Exploitation	0
Involves Neglect	4
Involves Self Neglect	3
Suspected Criminal Activity	0
Referred to Lead Investigation Agency	8
DHS	1
OHFC	3
County	4

Region 6W

<u>SWIFT COUNTY</u>	Total CEPs entered:	12
Functional VA Status		6
Categorical VA Status		6
Incident Involves Allegation Of Maltreatment		12
Not Indicated as Maltreatment		0
Involves Abuse		4
Involves Financial Exploitation		2
Involves Neglect		3
Involves Self Neglect		3
Suspected Criminal Activity		2
Referred to Lead Investigation Agency		12
DHS		4
OHFC		2
County		6

<u>YELLOW MEDICINE COUNTY</u>	Total CEPs entered:	4
Functional VA Status		1
Categorical VA Status		3
Incident Involves Allegation Of Maltreatment		4
Not Indicated as Maltreatment		0
Involves Abuse		3
Involves Financial Exploitation		1
Involves Neglect		1
Involves Self Neglect		0
Suspected Criminal Activity		1
Referred to Lead Investigation Agency		2
DHS		0
OHFC		0
County		2



Region 7E

<u>CHISAGO COUNTY</u>	Total CEPs entered: 27
Functional VA Status	6
Categorical VA Status	21
Incident Involves Allegation Of Maltreatment	24
Not Indicated as Maltreatment	3
Involves Abuse	15
Involves Financial Exploitation	5
Involves Neglect	11
Involves Self Neglect	0
Suspected Criminal Activity	9
Referred to Lead Investigation Agency	22
DHS	10
OHFC	3
County	9

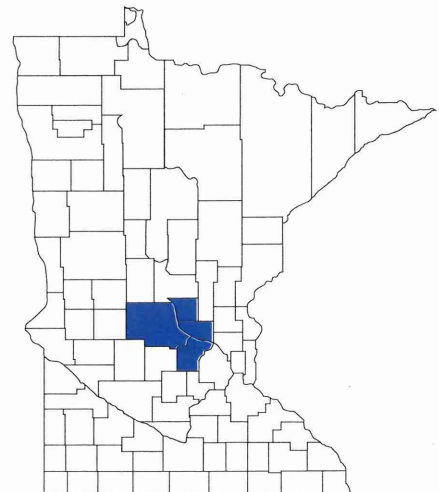
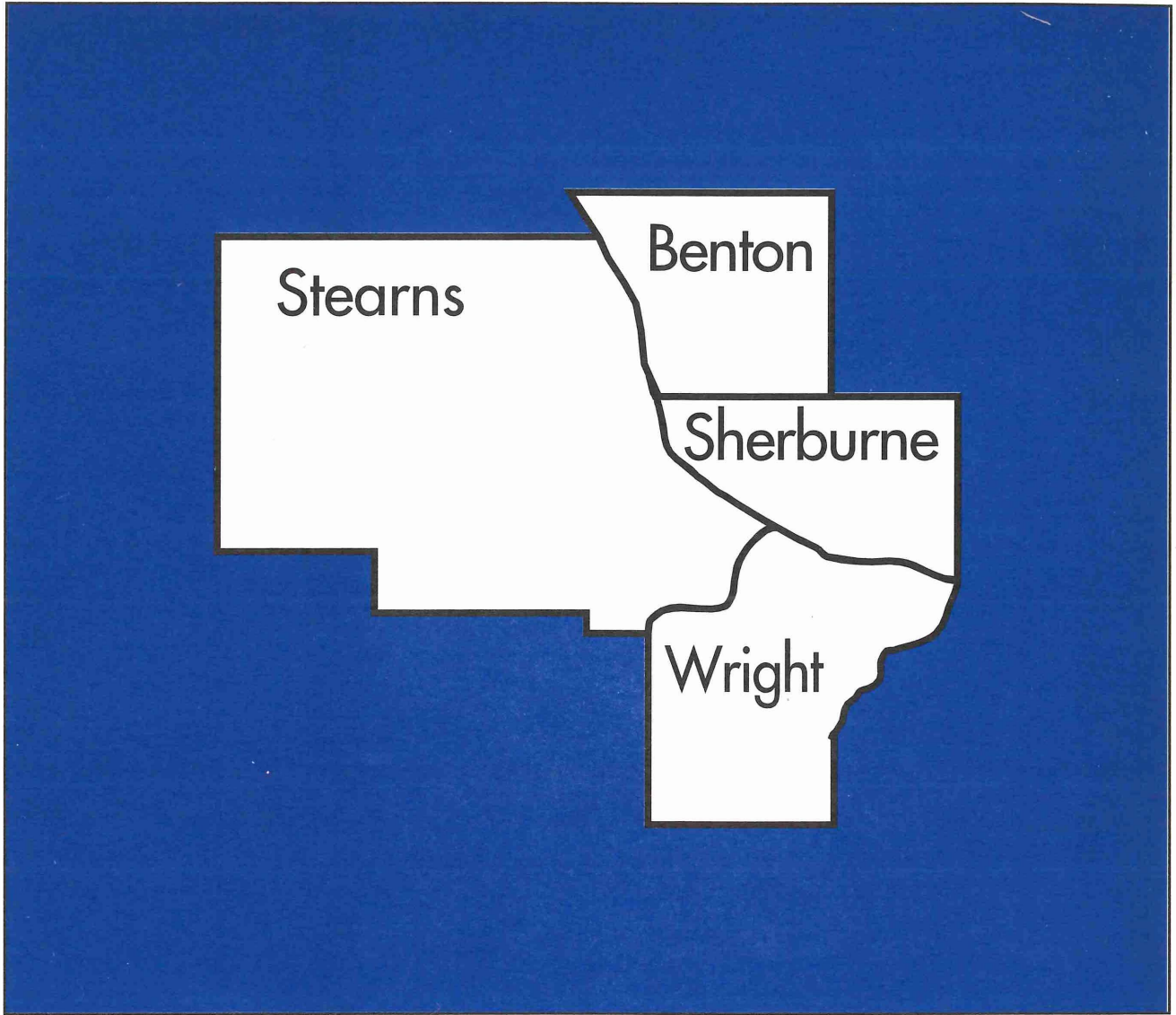
<u>ISANTI COUNTY</u>	Total CEPs entered: 29
Functional VA Status	4
Categorical VA Status	24
Incident Involves Allegation Of Maltreatment	29
Not Indicated as Maltreatment	0
Involves Abuse	14
Involves Financial Exploitation	2
Involves Neglect	13
Involves Self Neglect	1
Suspected Criminal Activity	7
Referred to Lead Investigation Agency	29
DHS	20
OHFC	7
County	3

<u>KANABEC COUNTY</u>	Total CEPs entered: 8
Functional VA Status	2
Categorical VA Status	6
Incident Involves Allegation Of Maltreatment	8
Not Indicated as Maltreatment	0
Involves Abuse	4
Involves Financial Exploitation	3
Involves Neglect	2
Involves Self Neglect	0
Suspected Criminal Activity	5
Referred to Lead Investigation Agency	6
DHS	2
OHFC	1
County	3

Region 7E

<u>MILLE LACS COUNTY</u>	Total CEPs entered:	18
Functional VA Status		2
Categorical VA Status		13
Incident Involves Allegation Of Maltreatment		14
Not Indicated as Maltreatment		4
Involves Abuse		5
Involves Financial Exploitation		3
Involves Neglect		2
Involves Self Neglect		2
Suspected Criminal Activity		4
Referred to Lead Investigation Agency		15
DHS		3
OHFC		5
County		7

<u>PINE COUNTY</u>	Total CEPs entered:	13
Functional VA Status		4
Categorical VA Status		9
Incident Involves Allegation Of Maltreatment		11
Not Indicated as Maltreatment		2
Involves Abuse		4
Involves Financial Exploitation		1
Involves Neglect		5
Involves Self Neglect		1
Suspected Criminal Activity		2
Referred to Lead Investigation Agency		10
DHS		6
OHFC		2
County		2



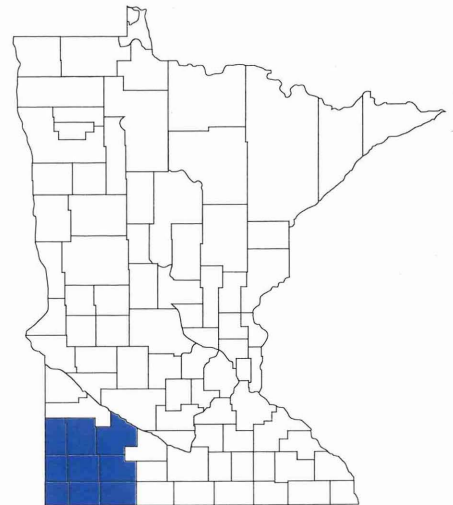
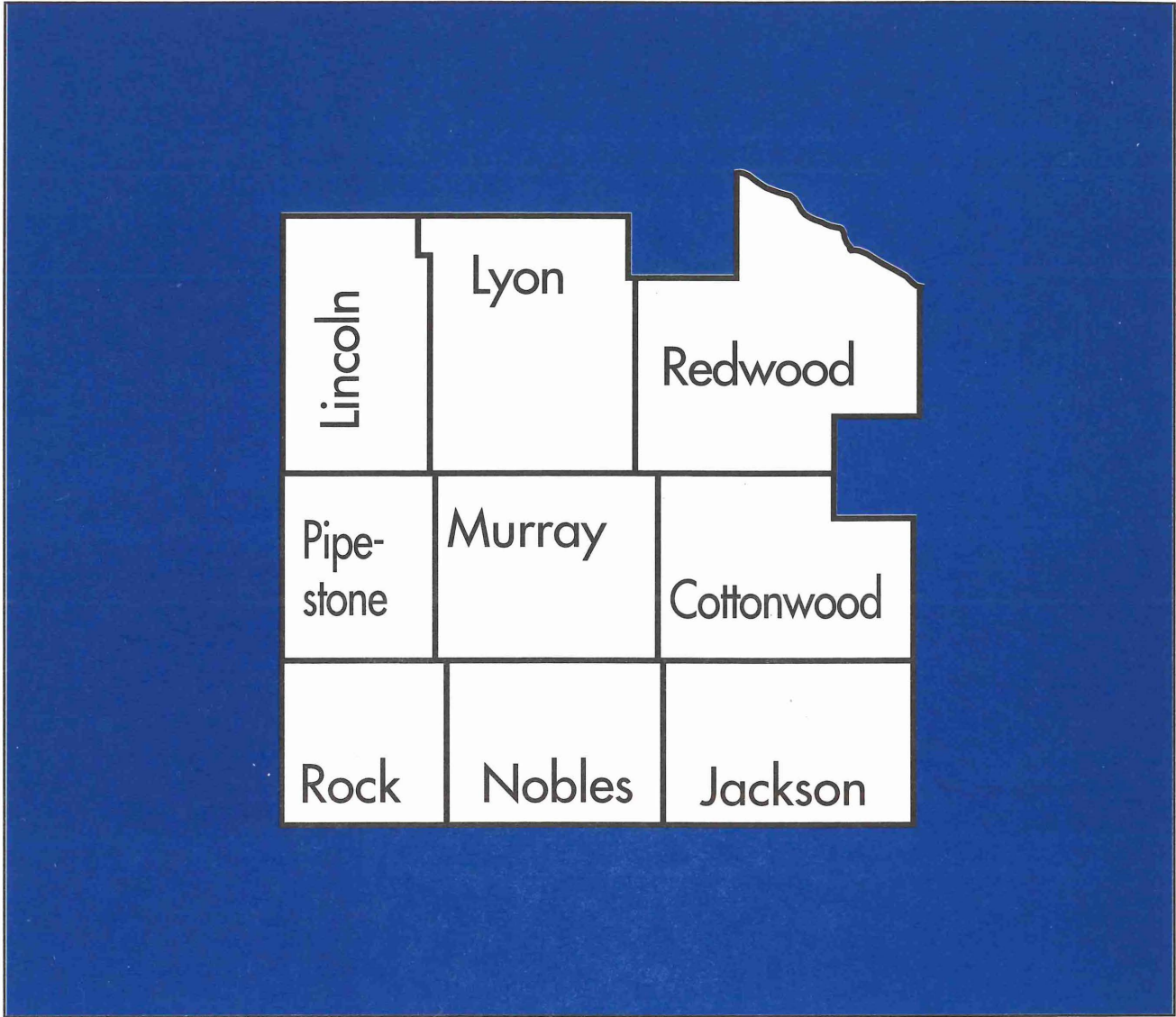
Region 7W

<u>BENTON COUNTY</u>	Total CEPs entered:	43
Functional VA Status		16
Categorical VA Status		27
Incident Involves Allegation Of Maltreatment		41
Not Indicated as Maltreatment		2
Involves Abuse		10
Involves Financial Exploitation		7
Involves Neglect		15
Involves Self Neglect		10
Suspected Criminal Activity		13
Referred to Lead Investigation Agency		39
DHS		5
OHFC		12
County		22

<u>SHERBURNE COUNTY</u>	Total CEPs entered:	48
Functional VA Status		21
Categorical VA Status		25
Incident Involves Allegation Of Maltreatment		44
Not Indicated as Maltreatment		4
Involves Abuse		16
Involves Financial Exploitation		8
Involves Neglect		19
Involves Self Neglect		4
Suspected Criminal Activity		10
Referred to Lead Investigation Agency		44
DHS		11
OHFC		14
County		19

<u>STEARNS COUNTY</u>	Total CEPs entered:	37
Functional VA Status		7
Categorical VA Status		30
Incident Involves Allegation Of Maltreatment		35
Not Indicated as Maltreatment		2
Involves Abuse		12
Involves Financial Exploitation		6
Involves Neglect		13
Involves Self Neglect		2
Suspected Criminal Activity		10
Referred to Lead Investigation Agency		24
DHS		12
OHFC		9
County		4

<u>WRIGHT COUNTY</u>	Total CEPs entered:	169
Functional VA Status		93
Categorical VA Status		73
Incident Involves Allegation Of Maltreatment		162
Not Indicated as Maltreatment		7
Involves Abuse		46
Involves Financial Exploitation		18
Involves Neglect		72
Involves Self Neglect		43
Suspected Criminal Activity		32
Referred to Lead Investigation Agency		124
DHS		23
OHFC		16
County		97



Region 8

<u>COTTONWOOD COUNTY</u>	Total CEPs entered:	53
Functional VA Status		7
Categorical VA Status		46
Incident Involves Allegation Of Maltreatment		41
Not Indicated as Maltreatment		12
Involves Abuse		3
Involves Financial Exploitation		15
Involves Neglect		21
Involves Self Neglect		6
Suspected Criminal Activity		17
Referred to Lead Investigation Agency		53
DHS		12
OHFC		31
County		10

<u>JACKSON COUNTY</u>	Total CEPs entered:	25
Functional VA Status		7
Categorical VA Status		18
Incident Involves Allegation Of Maltreatment		21
Not Indicated as Maltreatment		4
Involves Abuse		4
Involves Financial Exploitation		0
Involves Neglect		12
Involves Self Neglect		7
Suspected Criminal Activity		3
Referred to Lead Investigation Agency		23
DHS		3
OHFC		12
County		9

<u>LINCOLN COUNTY</u>	Total CEPs entered:	8
Functional VA Status		2
Categorical VA Status		5
Incident Involves Allegation Of Maltreatment		6
Not Indicated as Maltreatment		2
Involves Abuse		2
Involves Financial Exploitation		1
Involves Neglect		2
Involves Self Neglect		1
Suspected Criminal Activity		2
Referred to Lead Investigation Agency		3
DHS		0
OHFC		3
County		0

Region 8

<u>LYON COUNTY</u>	Total CEPs entered: 52
Functional VA Status	18
Categorical VA Status	34
Incident Involves Allegation Of Maltreatment	45
Not Indicated as Maltreatment	7
Involves Abuse	18
Involves Financial Exploitation	7
Involves Neglect	17
Involves Self Neglect	7
Suspected Criminal Activity	11
Referred to Lead Investigation Agency	32
DHS	10
OHFC	5
County	17

<u>MURRAY COUNTY</u>	Total CEPs entered: 1
Functional VA Status	0
Categorical VA Status	1
Incident Involves Allegation Of Maltreatment	1
Not Indicated as Maltreatment	0
Involves Abuse	0
Involves Financial Exploitation	1
Involves Neglect	0
Involves Self Neglect	0
Suspected Criminal Activity	1
Referred to Lead Investigation Agency	0
DHS	0
OHFC	0
County	0

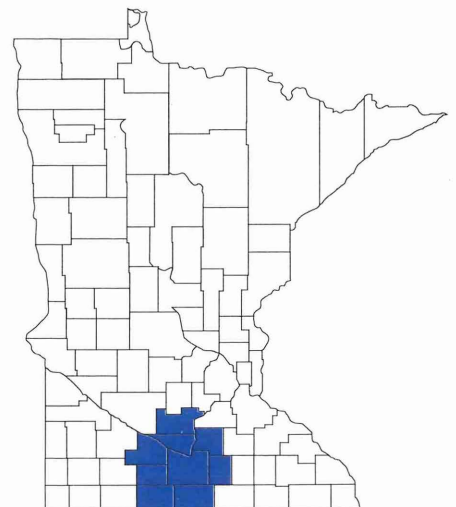
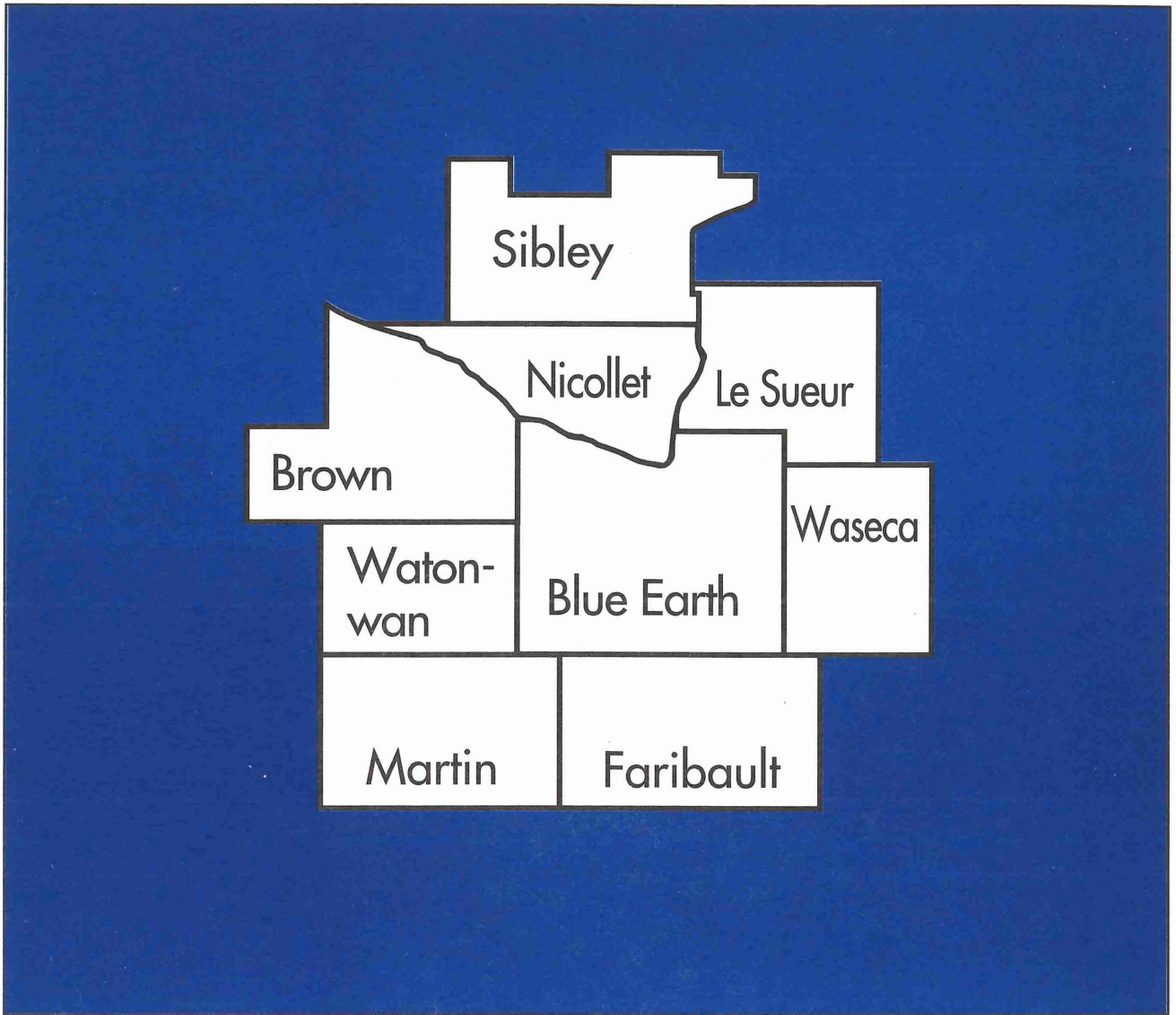
<u>NOBLES COUNTY</u>	Total CEPs entered: 20
Functional VA Status	1
Categorical VA Status	19
Incident Involves Allegation Of Maltreatment	20
Not Indicated as Maltreatment	0
Involves Abuse	7
Involves Financial Exploitation	2
Involves Neglect	9
Involves Self Neglect	0
Suspected Criminal Activity	2
Referred to Lead Investigation Agency	14
DHS	7
OHFC	6
County	1

Region 8

<u>PIPESTONE COUNTY</u>	Total CEPs entered: 9
Functional VA Status	0
Categorical VA Status	9
Incident Involves Allegation Of Maltreatment	8
Not Indicated as Maltreatment	1
Involves Abuse	6
Involves Financial Exploitation	0
Involves Neglect	1
Involves Self Neglect	1
Suspected Criminal Activity	1
Referred to Lead Investigation Agency	5
DHS	0
OHFC	3
County	3

<u>REDWOOD COUNTY</u>	Total CEPs entered: 14
Functional VA Status	3
Categorical VA Status	12
Incident Involves Allegation Of Maltreatment	11
Not Indicated as Maltreatment	3
Involves Abuse	5
Involves Financial Exploitation	0
Involves Neglect	7
Involves Self Neglect	0
Suspected Criminal Activity	2
Referred to Lead Investigation Agency	14
DHS	6
OHFC	7
County	1

<u>ROCK COUNTY</u>	Total CEPs entered: 19
Functional VA Status	3
Categorical VA Status	16
Incident Involves Allegation Of Maltreatment	15
Not Indicated as Maltreatment	4
Involves Abuse	6
Involves Financial Exploitation	3
Involves Neglect	3
Involves Self Neglect	3
Suspected Criminal Activity	4
Referred to Lead Investigation Agency	19
DHS	5
OHFC	7
County	6



Region 9

BLUE EARTH COUNTY	Total CEPs entered:	152
Functional VA Status		65
Categorical VA Status		86
Incident Involves Allegation Of Maltreatment		131
Not Indicated as Maltreatment		21
Involves Abuse		36
Involves Financial Exploitation		23
Involves Neglect		30
Involves Self Neglect		52
Suspected Criminal Activity		30
Referred to Lead Investigation Agency		139
DHS		23
OHFC		23
County		98

BROWN COUNTY	Total CEPs entered:	8
Functional VA Status		2
Categorical VA Status		6
Incident Involves Allegation Of Maltreatment		8
Not Indicated as Maltreatment		0
Involves Abuse		3
Involves Financial Exploitation		2
Involves Neglect		1
Involves Self Neglect		1
Suspected Criminal Activity		2
Referred to Lead Investigation Agency		2
DHS		1
OHFC		0
County		2

FARIBAULT COUNTY	Total CEPs entered:	40
Functional VA Status		19
Categorical VA Status		21
Incident Involves Allegation Of Maltreatment		31
Not Indicated as Maltreatment		9
Involves Abuse		8
Involves Financial Exploitation		10
Involves Neglect		8
Involves Self Neglect		13
Suspected Criminal Activity		5
Referred to Lead Investigation Agency		25
DHS		2
OHFC		8
County		17

Region 9

<u>LE SUEUR COUNTY</u>	Total CEPs entered: 45
Functional VA Status	14
Categorical VA Status	30
Incident Involves Allegation Of Maltreatment	38
Not Indicated as Maltreatment	7
Involves Abuse	6
Involves Financial Exploitation	3
Involves Neglect	20
Involves Self Neglect	11
Suspected Criminal Activity	7
Referred to Lead Investigation Agency	29
DHS	8
OHFC	10
County	12

<u>MARTIN COUNTY</u>	Total CEPs entered: 49
Functional VA Status	21
Categorical VA Status	28
Incident Involves Allegation Of Maltreatment	44
Not Indicated as Maltreatment	5
Involves Abuse	17
Involves Financial Exploitation	7
Involves Neglect	18
Involves Self Neglect	8
Suspected Criminal Activity	4
Referred to Lead Investigation Agency	46
DHS	7
OHFC	19
County	19

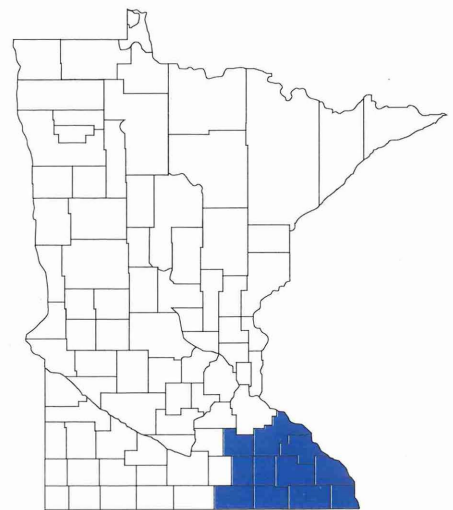
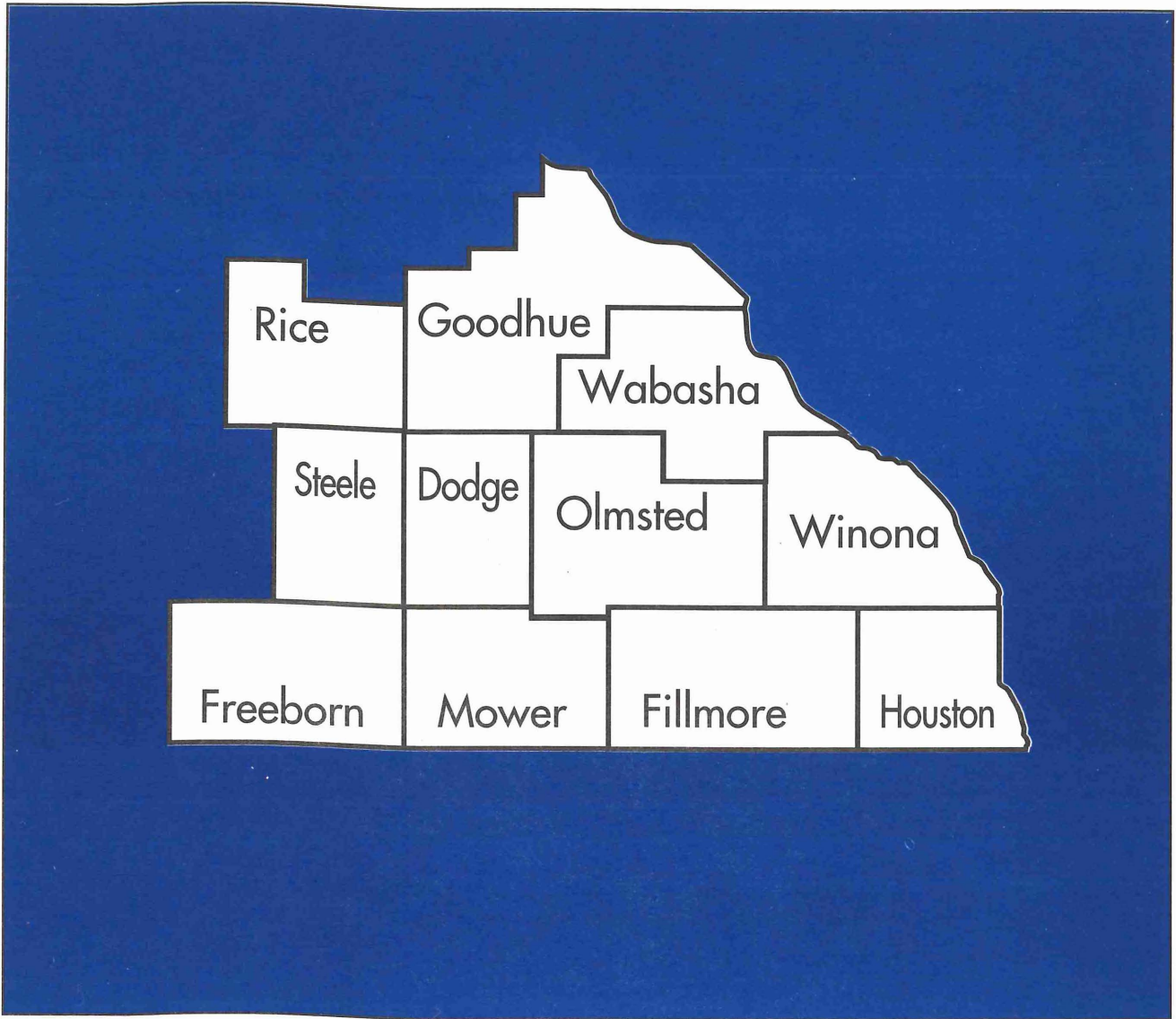
<u>NICOLLET COUNTY</u>	Total CEPs entered: 46
Functional VA Status	16
Categorical VA Status	30
Incident Involves Allegation Of Maltreatment	40
Not Indicated as Maltreatment	6
Involves Abuse	22
Involves Financial Exploitation	8
Involves Neglect	10
Involves Self Neglect	3
Suspected Criminal Activity	20
Referred to Lead Investigation Agency	45
DHS	15
OHFC	10
County	20

Region 9

<u>SIBLEY COUNTY</u>	Total CEPs entered: 28
Functional VA Status	10
Categorical VA Status	18
Incident Involves Allegation Of Maltreatment	25
Not Indicated as Maltreatment	3
Involves Abuse	10
Involves Financial Exploitation	8
Involves Neglect	6
Involves Self Neglect	7
Suspected Criminal Activity	8
Referred to Lead Investigation Agency	25
DHS	4
OHFC	5
County	16

<u>WASECA COUNTY</u>	Total CEPs entered: 20
Functional VA Status	3
Categorical VA Status	17
Incident Involves Allegation Of Maltreatment	19
Not Indicated as Maltreatment	1
Involves Abuse	8
Involves Financial Exploitation	5
Involves Neglect	10
Involves Self Neglect	1
Suspected Criminal Activity	3
Referred to Lead Investigation Agency	13
DHS	2
OHFC	6
County	4

<u>WATONWAN COUNTY</u>	Total CEPs entered: 32
Functional VA Status	12
Categorical VA Status	15
Incident Involves Allegation Of Maltreatment	28
Not Indicated as Maltreatment	4
Involves Abuse	15
Involves Financial Exploitation	6
Involves Neglect	8
Involves Self Neglect	6
Suspected Criminal Activity	5
Referred to Lead Investigation Agency	15
DHS	0
OHFC	7
County	8



Region 10

Region 10

<u>DODGE COUNTY</u>	Total CEPs entered:	33
Functional VA Status		16
Categorical VA Status		17
Incident Involves Allegation Of Maltreatment		33
Not Indicated as Maltreatment		0
Involves Abuse		5
Involves Financial Exploitation		4
Involves Neglect		15
Involves Self Neglect		12
Suspected Criminal Activity		4
Referred to Lead Investigation Agency		20
DHS		1
OHFC		11
County		7

<u>FILLMORE COUNTY</u>	Total CEPs entered:	85
Functional VA Status		46
Categorical VA Status		38
Incident Involves Allegation Of Maltreatment		79
Not Indicated as Maltreatment		6
Involves Abuse		13
Involves Financial Exploitation		4
Involves Neglect		18
Involves Self Neglect		45
Suspected Criminal Activity		14
Referred to Lead Investigation Agency		73
DHS		3
OHFC		11
County		63

<u>FREEBORN COUNTY</u>	Total CEPs entered:	86
Functional VA Status		6
Categorical VA Status		79
Incident Involves Allegation Of Maltreatment		62
Not Indicated as Maltreatment		24
Involves Abuse		16
Involves Financial Exploitation		5
Involves Neglect		36
Involves Self Neglect		4
Suspected Criminal Activity		8
Referred to Lead Investigation Agency		65
DHS		15
OHFC		46
County		6

<u>GOODHUE COUNTY</u>	Total CEPs entered:	10
Functional VA Status		0
Categorical VA Status		10
Incident Involves Allegation Of Maltreatment		10
Not Indicated as Maltreatment		0
Involves Abuse		4
Involves Financial Exploitation		0
Involves Neglect		6
Involves Self Neglect		0
Suspected Criminal Activity		1
Referred to Lead Investigation Agency		10
DHS		5
OHFC		5
County		0

Region 10

<u>HOUSTON COUNTY</u>	Total CEPs entered:	14
Functional VA Status		2
Categorical VA Status		12
Incident Involves Allegation Of Maltreatment		13
Not Indicated as Maltreatment		1
Involves Abuse		7
Involves Financial Exploitation		0
Involves Neglect		5
Involves Self Neglect		3
Suspected Criminal Activity		1
Referred to Lead Investigation Agency		11
DHS		0
OHFC		3
County		11

<u>MOWER COUNTY</u>	Total CEPs entered:	83
Functional VA Status		4
Categorical VA Status		76
Incident Involves Allegation Of Maltreatment		77
Not Indicated as Maltreatment		6
Involves Abuse		36
Involves Financial Exploitation		4
Involves Neglect		37
Involves Self Neglect		0
Suspected Criminal Activity		11
Referred to Lead Investigation Agency		69
DHS		15
OHFC		46
County		9

<u>OLMSTED COUNTY</u>	Total CEPs entered:	105
Functional VA Status		20
Categorical VA Status		85
Incident Involves Allegation Of Maltreatment		99
Not Indicated as Maltreatment		6
Involves Abuse		44
Involves Financial Exploitation		10
Involves Neglect		46
Involves Self Neglect		1
Suspected Criminal Activity		26
Referred to Lead Investigation Agency		95
DHS		32
OHFC		45
County		18

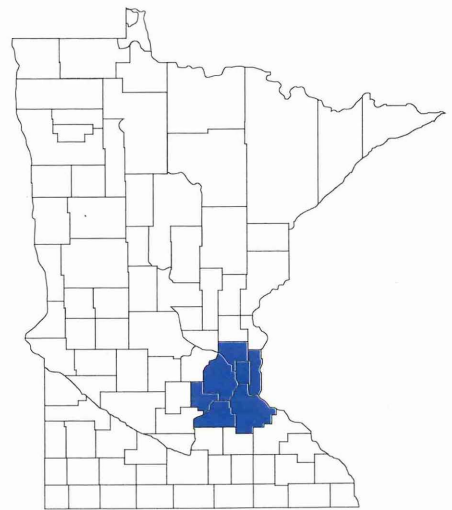
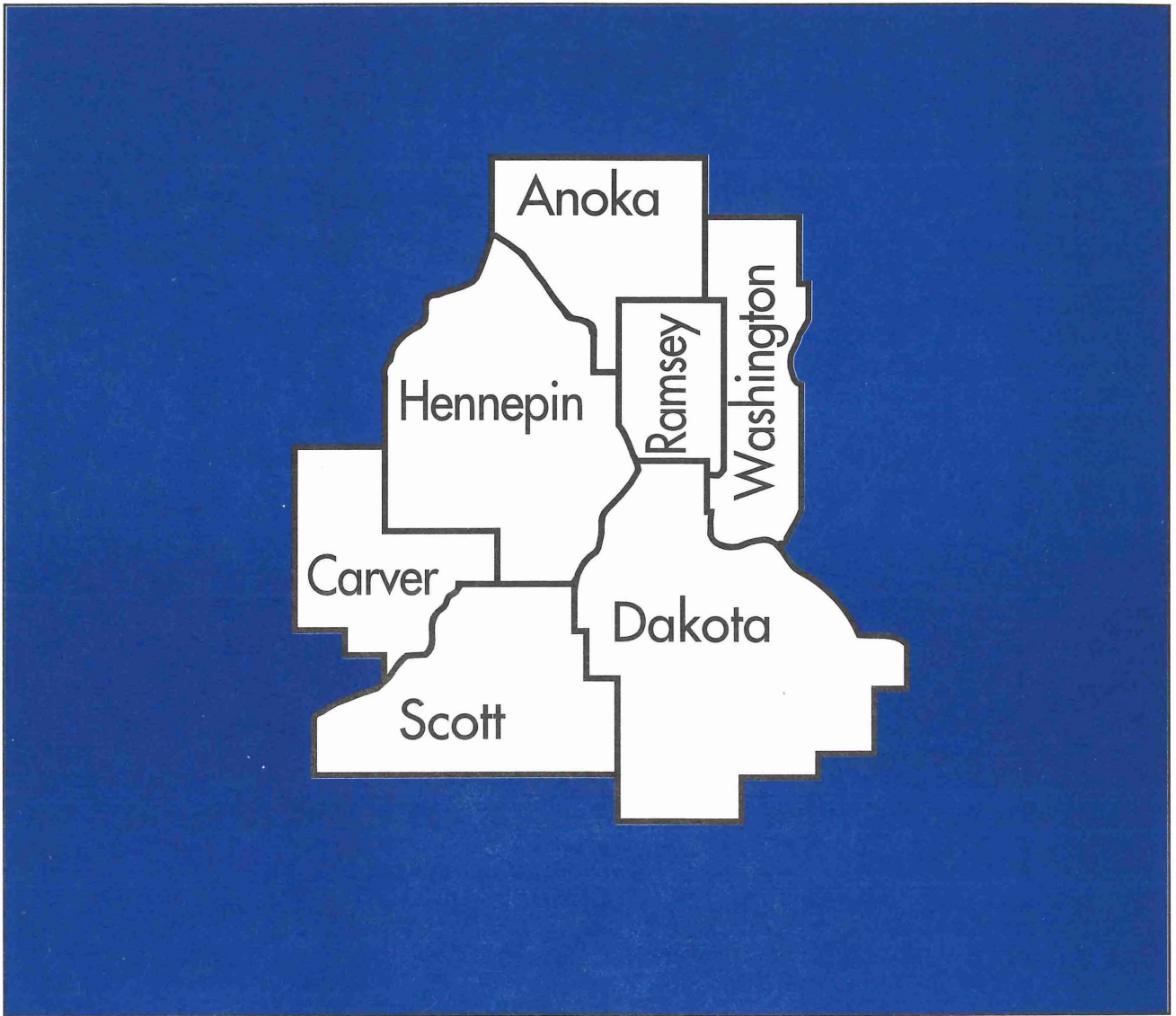
<u>RICE COUNTY</u>	Total CEPs entered:	245
Functional VA Status		73
Categorical VA Status		171
Incident Involves Allegation Of Maltreatment		226
Not Indicated as Maltreatment		19
Involves Abuse		56
Involves Financial Exploitation		23
Involves Neglect		105
Involves Self Neglect		48
Suspected Criminal Activity		40
Referred to Lead Investigation Agency		233
DHS		68
OHFC		49
County		115

Region 10

<u>STEELE COUNTY</u>	Total CEPs entered: 30
Functional VA Status	3
Categorical VA Status	27
Incident Involves Allegation Of Maltreatment	30
Not Indicated as Maltreatment	0
Involves Abuse	10
Involves Financial Exploitation	2
Involves Neglect	19
Involves Self Neglect	1
Suspected Criminal Activity	0
Referred to Lead Investigation Agency	28
DHS	21
OHFC	6
County	1

<u>WABASHA COUNTY</u>	Total CEPs entered: 14
Functional VA Status	8
Categorical VA Status	6
Incident Involves Allegation Of Maltreatment	14
Not Indicated as Maltreatment	0
Involves Abuse	3
Involves Financial Exploitation	3
Involves Neglect	2
Involves Self Neglect	7
Suspected Criminal Activity	6
Referred to Lead Investigation Agency	14
DHS	2
OHFC	4
County	11

<u>WINONA COUNTY</u>	Total CEPs entered: 5
Functional VA Status	0
Categorical VA Status	5
Incident Involves Allegation Of Maltreatment	5
Not Indicated as Maltreatment	0
Involves Abuse	1
Involves Financial Exploitation	0
Involves Neglect	2
Involves Self Neglect	0
Suspected Criminal Activity	2
Referred to Lead Investigation Agency	5
DHS	1
OHFC	1
County	3



Region 11

<u>ANOKA COUNTY</u>	Total CEPs entered:	452
Functional VA Status		145
Categorical VA Status		294
Incident Involves Allegation Of Maltreatment		417
Not Indicated as Maltreatment		35
Involves Abuse		143
Involves Financial Exploitation		63
Involves Neglect		137
Involves Self Neglect		107
Suspected Criminal Activity		67
Referred to Lead Investigation Agency		415
DHS		119
OHFC		82
County		215

<u>CARVER COUNTY</u>	Total CEPs entered:	59
Functional VA Status		28
Categorical VA Status		31
Incident Involves Allegation Of Maltreatment		46
Not Indicated as Maltreatment		13
Involves Abuse		17
Involves Financial Exploitation		3
Involves Neglect		18
Involves Self Neglect		15
Suspected Criminal Activity		8
Referred to Lead Investigation Agency		45
DHS		20
OHFC		6
County		24

<u>DAKOTA COUNTY</u>	Total CEPs entered:	487
Functional VA Status		108
Categorical VA Status		362
Incident Involves Allegation Of Maltreatment		412
Not Indicated as Maltreatment		75
Involves Abuse		120
Involves Financial Exploitation		45
Involves Neglect		188
Involves Self Neglect		85
Suspected Criminal Activity		121
Referred to Lead Investigation Agency		470
DHS		168
OHFC		111
County		203

<u>HENNEPIN COUNTY</u>	Total CEPs entered:	4815
Functional VA Status		2101
Categorical VA Status		3164
Incident Involves Allegation Of Maltreatment		3684
Not Indicated as Maltreatment		1131
Involves Abuse		536
Involves Financial Exploitation		143
Involves Neglect		1916
Involves Self Neglect		1466
Suspected Criminal Activity		1563
Referred to Lead Investigation Agency		3815
DHS		851
OHFC		1215
County		1768

Region 11

<u>RAMSEY COUNTY</u>	Total CEPs entered:	1057
Functional VA Status		145
Categorical VA Status		902
Incident Involves Allegation Of Maltreatment		893
Not Indicated as Maltreatment		164
Involves Abuse		309
Involves Financial Exploitation		98
Involves Neglect		496
Involves Self Neglect		59
Suspected Criminal Activity		300
Referred to Lead Investigation Agency		1014
DHS		450
OHFC		359
County		232

<u>SCOTT COUNTY</u>	Total CEPs entered:	82
Functional VA Status		20
Categorical VA Status		61
Incident Involves Allegation Of Maltreatment		65
Not Indicated as Maltreatment		17
Involves Abuse		26
Involves Financial Exploitation		8
Involves Neglect		29
Involves Self Neglect		11
Suspected Criminal Activity		18
Referred to Lead Investigation Agency		75
DHS		14
OHFC		29
County		34

<u>WASHINGTON COUNTY</u>	Total CEPs entered:	139
Functional VA Status		30
Categorical VA Status		108
Incident Involves Allegation Of Maltreatment		126
Not Indicated as Maltreatment		13
Involves Abuse		47
Involves Financial Exploitation		24
Involves Neglect		64
Involves Self Neglect		1
Suspected Criminal Activity		40
Referred to Lead Investigation Agency		108
DHS		51
OHFC		24
County		43

APPENDIX

1. Who is a vulnerable adult?

Subd. 21. VULNERABLE ADULT

“Vulnerable adult” means any person 18 years of age or older who:

(1) is a resident or inpatient of a facility;

(2) receives services at or from a facility required to be licensed to serve adults under sections 245A.01 to 245A.15, except that a person receiving outpatient services for treatment of chemical dependency or mental illness, or one who is committed as a sexual psychopathic personality or as a sexually dangerous person under chapter 253B, is not considered a vulnerable adult unless the person meets the requirements of clause (4);

(3) receives services from a home care provider required to be licensed under section 144A.46; or from a person or organization that exclusively offers, provides, or arranges for personal care assistant services under the medical assistance program as authorized under sections 256B.04, subdivision 16, 256B.0625, subdivision 19a, and 256B.0627; or

(4) regardless of residence or whether any type of service is received, possesses a physical or mental infirmity or other physical, mental, or emotional dysfunction:

(i) that impairs the individual’s ability to provide adequately for the individual’s own care without assistance, including the provision of food, shelter, clothing, health care, or supervision; and

(ii) because of the dysfunction or infirmity and the need for assistance, the individual has an impaired ability to protect the individual from maltreatment.

2. Who must report maltreatment of a vulnerable adult?

Subd. 16. MANDATED REPORTER

“Mandated reporter” means a professional or professional’s delegate while engaged in: (1) social services; (2) law enforcement; (3) education; (4) the care of vulnerable adults; (5) any of the occupations referred to in section 214.01, subdivision 2; (6) an employee of a rehabilitation facility certified by the commissioner of jobs and training for vocational rehabilitation; (7) an employee or person providing services in a facility as defined in subdivision 6; or (8) a person that performs the duties of the medical examiner or coroner.

3. What is maltreatment?

Subd. 15. MALTREATMENT

“Maltreatment” means abuse as defined in subdivision 2, neglect as defined in subdivision 17, or financial exploitation as defined in subdivision 9.

Subd. 2. ABUSE

“Abuse” means:

(a) An act against a vulnerable adult that constitutes a violation of, an attempt to violate, or aiding and abetting a violation of:

(2) use of repeated or malicious oral, written, or gestured language toward a vulnerable adult or the treatment of a vulnerable adult which would be considered by a reasonable person to be disparaging, derogatory, humiliating, harassing, or threatening;

(3) use of any aversive or deprivation procedure, unreasonable confinement, or involuntary seclusion, including the forced separation of the vulnerable adult from other persons against the will of the vulnerable adult or the legal representative of the vulnerable adult; and

(4) use of any aversive or deprivation procedures for persons with developmental disabilities or related conditions not authorized under section 245.825.

(c) Any sexual contact or penetration as defined in section 609.341, between a facility staff person or a person providing services in the facility and a resident, patient, or client of that facility.

(d) The act of forcing, compelling, coercing, or enticing a vulnerable adult against the vulnerable adult’s will to perform services for the advantage of another.

(e) For purposes of this section, a vulnerable adult is not abused for the sole reason that the vulnerable adult or a person with authority to make health care decisions for the vulnerable adult under sections 144.651, 144A.44, chapter 145B, 145C or 252A, or section 253B.03 or 525.539 to 525.6199, refuses consent or withdraws consent, consistent with that authority and within the boundary of reasonable medical practice, to any therapeutic conduct, including any care, service, or procedure to diagnose, maintain, or treat the physical or mental condition of the vulnerable adult or, where permitted under law, to provide nutrition and hydration parenterally or through intubation. This paragraph does not enlarge or diminish rights otherwise held under law by:

(1) a vulnerable adult or a person acting on behalf of a vulnerable adult, including an involved family member, to consent to or refuse consent for therapeutic conduct; or

(2) a caregiver to offer or provide or refuse to offer or provide therapeutic conduct.

(f) For purposes of this section, a vulnerable adult is not abused for the sole reason that the vulnerable adult, a person with authority to make health care decisions for the vulnerable adult, or a caregiver in good faith selects and depends upon spiritual means or prayer for treatment or care of disease or remedial care of the vulnerable adult in lieu of medical care, provided that this is consistent with the prior practice or belief of the vulnerable adult or with the expressed intentions of the vulnerable adult.

(g) For purposes of this section, a vulnerable adult is not abused for the sole reason that the vulnerable adult, who is not impaired in judgment or capacity by mental or emotional dysfunction or undue influence, engages in consensual sexual contact with:

(1) a person, including a facility staff person, when a consensual sexual personal relationship existed prior to the care giving relationship; or

(2) a personal care attendant, regardless of whether the consensual sexual personal relationship existed prior to the care giving relationship.

Subd. 9. FINANCIAL EXPLOITATION

“Financial exploitation” means:

(a) In breach of a fiduciary obligation recognized elsewhere in law, including pertinent regulations, contractual obligations, documented consent by a competent person, or the obligations of a responsible party under section 144.6501 a person:

(1) engages in unauthorized expenditure of funds entrusted to the actor by the vulnerable adult which results or is likely to result in detriment to the vulnerable adult; or

(2) fails to use the financial resources of the vulnerable adult to provide food, clothing, shelter, health care, therapeutic conduct or supervision for the vulnerable adult, and the failure results or is likely to result in detriment to the vulnerable adult.

(b) In the absence of legal authority a person:

(1) willfully uses, withholds, or disposes of funds or property of a vulnerable adult;

(2) obtains for the actor or another the performance of services by a third person for the wrongful profit or advantage of the actor or another to the detriment of the vulnerable adult;

(3) acquires possession or control of, or an interest in, funds or property of a vulnerable adult through the use of undue influence, harassment, duress, deception, or fraud; or

(4) forces, compels, coerces, or entices a vulnerable adult against the vulnerable adult’s will to perform services for the profit or advantage of another.

(c) Nothing in this definition requires a facility or caregiver to provide financial management or supervise financial management for a vulnerable adult except as otherwise required by law.

Subd. 17. NEGLECT

“Neglect” means:

(a) The failure or omission by a caregiver to supply a vulnerable adult with care or services, including but not limited to, food, clothing, shelter, health care, or supervision which is:

(1) reasonable and necessary to obtain or maintain the vulnerable adult's physical or mental health or safety, considering the physical and mental capacity or dysfunction of the vulnerable adult; and

(2) which is not the result of an accident or therapeutic conduct.

(b) The absence or likelihood of absence of care or services, including but not limited to, food, clothing, shelter, health care, or supervision necessary to maintain the physical and mental health of the vulnerable adult which a reasonable person would deem essential to obtain or maintain the vulnerable adult's health, safety, or comfort considering the physical or mental capacity or dysfunction of the vulnerable adult.

(c) For purposes of this section, a vulnerable adult is not neglected for the sole reason that:

(1) the vulnerable adult or a person with authority to make health care decisions for the vulnerable adult under sections 144.651, 144A.44, chapter 145B, 145C, or 252A, or section 253B.03, or 525.539 to 525.6199, refuses consent or withdraws consent, consistent with that authority and within the boundary of reasonable medical practice, to any therapeutic conduct, including any care, service, or procedure to diagnose, maintain, or treat the physical or mental condition of the vulnerable adult, or, where permitted under law, to provide nutrition and hydration parenterally or through intubation; this paragraph does not enlarge or diminish rights otherwise held under law by:

(i) a vulnerable adult or a person acting on behalf of a vulnerable adult, including an involved family member, to consent to or refuse consent for therapeutic conduct; or

(ii) a caregiver to offer or provide or refuse to offer or provide therapeutic conduct;

or

(2) the vulnerable adult, a person with authority to make health care decisions for the vulnerable adult, or a caregiver in good faith selects and depends upon spiritual means or prayer for treatment or care of disease or remedial care of the vulnerable adult in lieu of medical care, provided that this is consistent with the prior practice or belief of the vulnerable adult or with the expressed intentions of the vulnerable adult;

(3) the vulnerable adult, who is not impaired in judgment or capacity by mental or emotional dysfunction or undue influence, engages in sexual contact with: (i) a person including a facility staff person when a consensual sexual personal relationship existed prior to the care giving relationship; or (ii) a personal care attendant, regardless of whether the consensual sexual personal relationship existed prior to the care giving relationship; or

(4) an individual makes a single mistake in the provision of therapeutic conduct to a vulnerable adult which: (i) does not result in injury or harm which reasonably requires the care of a physician or mental health professional, whether or not the care was sought;

(ii) is immediately reported internally by the employee or person providing services in the facility; and (iii) is sufficiently documented for review and evaluation by the facility and any applicable licensing and certification agency.

(d) Nothing in this definition requires a caregiver, if regulated, to provide services in excess of those required by the caregiver's license, certification, registration, or other regulation.

4. When must maltreatment be reported?

Subd. 3. TIMING OF REPORT

(a) A mandated reporter who has reason to believe that a vulnerable adult is being or has been maltreated, or who has knowledge that a vulnerable adult has sustained a physical injury which is not reasonably explained shall immediately report the information to the common entry point. If an individual is a vulnerable adult solely because the individual is admitted to a facility, a mandated reporter is not required to report suspected maltreatment of the individual that occurred prior to admission, unless:

(1) the individual was admitted to the facility from another facility and the reporter has reason to believe the vulnerable adult was maltreated in the previous facility; or

(2) the reporter knows or has reason to believe that the individual is a vulnerable adult as defined in section 626.5572, subdivision 21, clause (4).

(b) A person not required to report under the provisions of this section may voluntarily report as described above.

(c) Nothing in this section requires a report of known or suspected maltreatment, if the reporter knows or has reason to know that a report has been made to the common entry point.

(d) Nothing in this section shall preclude a reporter from also reporting to a law enforcement agency.

Subd. 3a. REPORT NOT REQUIRED

The following events are not required to be reported under this section:

(a) A circumstance where federal law specifically prohibits a person from disclosing patient identifying information in connection with a report of suspected maltreatment, unless the vulnerable adult, or the vulnerable adult's guardian, conservator, or legal representative, has consented to disclosure in a manner which conforms to federal requirements. Facilities whose patients or residents are covered by such a federal law shall seek consent to the disclosure of suspected maltreatment from each patient or resident, or

a guardian, conservator, or legal representative, upon the patient's or resident's admission to the facility. Persons who are prohibited by federal law from reporting an incident of suspected maltreatment shall immediately seek consent to make a report.

(b) Verbal or physical aggression occurring between patients, residents, or clients of a facility, or self-abusive behavior by these persons does not constitute abuse unless the behavior causes serious harm. The operator of the facility or a designee shall record incidents of aggression and self-abusive behavior to facilitate review by licensing agencies and county and local welfare agencies.

(c) Accidents as defined in section 626.5572, subdivision 3.

(d) Events occurring in a facility that result from an individual's single mistake, as defined in section 626.5572, subdivision 17, paragraph (c), clause (4).

(e) Nothing in this section shall be construed to require a report of financial exploitation, as defined in section 626.5572, subdivision 9, solely on the basis of the transfer of money or property by gift or as compensation for services rendered.

Subd. 4. REPORTING

A mandated reporter shall immediately make an oral report to the common entry point. Use of a telecommunications device for the deaf or other similar device shall be considered an oral report. The common entry point may not require written reports. To the extent possible, the report must be of sufficient content to identify the vulnerable adult, the caregiver, the nature and extent of the suspected maltreatment, any evidence of previous maltreatment, the name and address of the reporter, the time, date, and location of the incident, and any other information that the reporter believes might be helpful in investigating the suspected maltreatment. A mandated reporter may disclose not public data, as defined in section 13.02, and medical records under section 144.335, to the extent necessary to comply with this subdivision.

Subd. 4a. INTERNAL REPORTING OF MALTREATMENT

(a) Each facility shall establish and enforce an ongoing written procedure in compliance with applicable licensing rules to insure that all cases of suspected maltreatment are reported. If a facility has an internal reporting procedure, a mandated reporter may meet the reporting requirements of this section by reporting internally. However, the facility remains responsible for complying with the immediate reporting requirements of this section.

(b) A facility with an internal reporting procedure that receives an internal report by a mandated reporter shall give the mandated reporter a written notice stating whether the facility has reported the incident to the common entry point. The written notice must be

provided within two working days and in a manner that protects the confidentiality of the reporter.

(c) The written response to the mandated reporter shall note that if the mandated reporter is not satisfied with the action taken by the facility on whether to report the incident to the common entry point, then the mandated reporter may report externally.

(d) A facility may not prohibit a mandated reporter from reporting externally, and a facility is prohibited from retaliating against a mandated reporter who reports an incident to the common entry point in good faith. The written notice by the facility must inform the mandated reporter of this protection from retaliatory measures by the facility against the mandated reporter for reporting externally.

5. Are there any immunities or penalties?

Subd. 5. IMMUNITY; PROTECTION FOR REPORTERS

(a) A person who makes a good faith report is immune from any civil or criminal liability that might otherwise result from making the report, or from participating in the investigation, or for failure to comply fully with the reporting obligation under section 609.234 or 626.557, subdivision 7.

(b) A person employed by a lead agency or a state licensing agency who is conducting or supervising an investigation or enforcing the law in compliance with this section or any related rule or provision of law is immune from any civil or criminal liability that might otherwise result from the person's actions, if the person is acting in good faith and exercising due care.

(c) A person who knows or has reason to know a report has been made to a common entry point and who in good faith participates in an investigation of alleged maltreatment is immune from civil or criminal liability that otherwise might result from making the report, or from failure to comply with the reporting obligation or from participating in the investigation.

(d) The identity of any reporter may not be disclosed, except as provided in subdivision 12b.

Subd. 6. FALSIFIED REPORTS

A person or facility who intentionally makes a false report under the provisions of this section shall be liable in a civil suit for any actual damages suffered by the reported facility, person or persons and for punitive damages up to \$10,000 and attorney's fees.

Subd. 7. FAILURE TO REPORT

A mandated reporter who negligently or intentionally fails to report is liable for damages caused by the failure. Nothing in this subdivision imposes vicarious liability for the acts or omissions of others.

STATEWIDE COMMON ENTRY POINT DIRECTORY

For reporting Vulnerable Adult Maltreatment

COUNTY	PHONE	
	DAY	EVENING/WEEKEND
AITKIN	(218) 927-3744	(218) 927-2138
ANOKA	(612) 422-7168	(612) 291-4680
BECKER	(701) 235-3620	(701) 235-3620
BELTRAMI	(218) 759-4223	(218) 751-9111
BENTON	(320) 968-5087	(320) 968-7201
BIG STONE	(320) 839-3558	(320) 839-3558
BLUE EARTH	(507) 389-8192	(507) 389-8192
BROWN	(507)354-8246	(507) 233-6720
CARLTON	(218) 879-4511	(218) 384-4285
CARVER	(612) 361-1600	(612) 291-4680
CASS	(218) 547-1340	(218) 547-1424
CHIPPEWA	(320) 269-6401	(320) 269-2121
CHISAGO	(612) 257-0374	(612) 257-4100
CLAY	(701) 235-3620	(701) 235-3620
CLEARWATER	(218) 694-6226	(218) 694-6226
COOK	(218) 387-3000	(218) 387-3030
COTTONWOOD	(507) 831-1891	(507) 831-1375
CROW WING	(218) 828-3966	(218) 829-4749
DAKOTA	(612) 891-7400	(612) 891-7171
DODGE	(507) 635-6170	(507) 625-6200
DOUGLAS	(320) 762-8151	(320) 762-8151
FARIBAULT	(507) 526-3265	(507) 526-3265
FILLMORE	(507) 765-3304	(507) 765-3874
FREEBORN	(507) 377-5400	(507) 377-3081
GOODHUE	(651) 385-3232	(612) 385-3155
GRANT COUNTY	(218) 685-5303	(218) 685-5303
HENNEPIN	(612) 348-8526	(612) 348-8526
HOUSTON	(507) 724-5811	(507) 724-3379
HUBBARD	(218) 732-1451	(218) 732-3331
ISANTI	(612) 689-1711	(612) 689-1711
ITASCA	(218) 327-2941	(218) 327-2941

STATEWIDE COMMON ENTRY POINT DIRECTORY

For reporting Vulnerable Adult Maltreatment

COUNTY	PHONE	
	DAY	EVENING/WEEKEND
JACKSON	(507) 847-4000	(507) 847-4420
KANABEC	(320) 679-6350	(320) 679-2141
KANDIYOHI	(320) 231-6232	(320) 235-2244
KITTSOON	(800) 422-0863	(800) 422-0863
KOOCHICHING	(218) 283-8405	(218) 283-4416
LAC QUI PARLE	(320) 598-3720	(320) 598-3720
LAKE	(218) 834-8400	(218) 834-8385
LAKE OF THE WOODS	(218) 634-1143	(218) 634-1143
LE SUEUR	(507) 357-2251	(507) 357-2251
LINCOLN	(507) 537-6747	(507) 694-1664
LYON	(507) 537-6747	(507) 532-3258
MAHNOMEN	(218) 935-2568	(218) 935-9290
MARSHALL	(218) 745-5411	(218) 745-5411
MARTIN	(507) 238-4757	(507) 238-4481
MC LEOD	(320) 864-3144	(320) 864-3134
MEEKER	(320) 693-5300	(320) 693-2828
MILLE LACS	(320) 983-8208	(320) 983-8257
MORRISON	(320) 632-2951	(320) 632-9233
MOWER	(800) 873-1514	(507) 437-9400
MURRAY	(507) 537-6747	(507) 836-6168
NICOLLET	(507) 931-6800	(507) 931-1570
NOBLES	(507) 372-2157	(507) 372-2136
NORMAN	(800) 422-0863	(800) 422-0863
OLMSTED	(507) 285-7009	(507) 281-6248
OTTER TAIL	(218) 739-3575	(218) 739-3575
PENNINGTON	(218) 681-4240	(218) 681-4240
PINE	(320) 245-2268	(800) 629-3930
PIPESTONE	(507) 825-6700	(507) 825-6700
POLK	(218) 281-3127	(800) 437-7029
POPE	(320) 634-5750	(320) 634-5411
RAMSEY	(651) 266-4012	(651) 291-6795

STATEWIDE COMMON ENTRY POINT DIRECTORY

For reporting Vulnerable Adult Maltreatment

COUNTY	PHONE	
	DAY	EVENING/WEEKEND
RED LAKE	(218) 253-4131	(218) 253-2996
REDWOOD	(507) 637-4050	(507) 637-4036
REGION VIII NORTH	(507) 537-6747	(507) 537-6747
RENVILLE	(320) 523-1161	(320) 523-1161
RICE	(507) 332-6115	(800) 422-1286
ROCK	(507) 283-5070	(507) 283-5000
ROSEAU	(218) 463-2411	(218) 463-1421
SCOTT	(612) 496-8495	(612) 445-2273
SHERBURNE	(800) 443-5239	(800) 433-5245
SIBLEY	(507) 237-4035	(507) 389-7527
ST. LOUIS	(218) 726-2164	(218) 726-2164
STEARNS	(320) 656-6000	(320) 251-4240
STEELE	(507) 451-0414	(507) 451-8230
STEVENS	(320) 589-7400	(320) 589-2141
SWIFT	(320) 843-3160	(320) 843-3133
TODD	(320) 732-2157	(320) 732-2157
TRAVERSE	(320) 563-4244	(320) 563-4244
WABASHA	(612) 565-3361	(612) 565-3361
WADENA	(218) 631-7605	(218) 631-7600
WASECA	(507) 835-0560	(507) 835-0505
WASHINGTON	(651) 430-6484	(651) 291-6795
WATONWAN	(507) 375-3294	(507) 375-3341
WILKIN	(218) 643-8013	(218) 643-8544
WINONA	(507) 457-6368	(507) 457-6368
WRIGHT	(612) 682-7407	(612) 291-4680
YELLOW MEDICINE	(320) 564-2130	(320) 564-2130

COMMON ENTRY POINT (CEP) INTAKE FORM

Vulnerable Adult Maltreatment Report

** DISCLOSURE **

Prior to any disclosure refer to Minn. Stat. sec. 13.113 and Minn. Stat. sec. 626.557 subd. 12b

A VA'S NAME:	RACE	DOB	PHONE:	GENDER: <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE
VA'S ADDRESS: <input type="checkbox"/> PRIVATE HOME <input type="checkbox"/> FACILITY NAME OF FACILITY _____	CITY:		STATE:	ZIP:

VA Status and Disability, if any:

___ Functional VA: A vulnerable adult because of infirmity that impairs VA's ability to adequately care for self and protect self from maltreatment:

___ Categorical VA: A vulnerable adult because of the type of services received:
 ___ non-residential services
 ___ resident of facility (facility name) _____

Name of non-residential services: _____
 Address: _____

Check any that apply:
 ___ Mental/Emotional Impairment
 ___ Physical Impairment
 ___ Chemical use problems
 ___ Developmental Disability
 ___ Frailty of aging
 ___ Diagnosis if known: _____

Is there reason to believe that the life of the Vulnerable Adult (VA) is PRESENTLY threatened or that he or she is in imminent danger of serious injury? No Yes If yes, will caller protect the VA from harm? If not, either advise the caller to call 911 or call 911 yourself.

B Caller's Name:	WORK PHONE:	HOME PHONE:	CELL PHONE:	PAGER:
WORK/HOME ADDRESS:	CITY:		STATE:	ZIP:
CALLER'S RELATIONSHIP TO VA:	CODE:	CALLER'S RELATIONSHIP TO ALLEGED PERPETRATOR:		CODE

Is Caller the designated facility reporter? No Yes If yes, who was the initial reporter?

C Initial Reporter's Name:	WORK PHONE:	HOME PHONE:	CELL PHONE:	PAGER:
WORK/HOME ADDRESS:	CITY:		STATE:	ZIP:
INITIAL REPORTER'S RELATIONSHIP TO VA:	CODE	INITIAL REPORTER'S RELATIONSHIP TO ALLEGED PERPETRATOR:		CODE

Notification:

Does Caller wish to receive notification of initial disposition? No Yes

If Lead Agency is Health or DHS, does Caller wish to receive notification of final disposition? No Yes

D INCIDENT DATE & TIME:	LOCATION OF INCIDENT:	
SERVICE PROVIDER FACILITY NAME:	PHONE:	STATE LICENSE BY: <input type="checkbox"/> DEPT. OF HEALTH <input type="checkbox"/> DEPT. OF HUMAN SERVICES
STREET ADDRESS:	CITY:	STATE: ZIP:
CORRECTIVE ACTION PROVIDED:	COUNTY:	RULE NUMBER:

WHERE INCIDENT OCCURRED:

E

DESCRIPTION OF INCIDENT (What is the maltreatment being alleged?) "[Use additional sheets if necessary]

Incident involves allegation of maltreatment? No Yes

MALTREATMENT CHECKLIST GUIDE

Issues to consider when completing Description of the Incident:

1. Is the person a vulnerable adult?
2. Is the incident information complete enough to allow a Lead Agency or Law Enforcement to investigate?
3. Is there jurisdiction in your county to investigate the alleged maltreatment or crime?
4. Is this report a duplication of one already received and processed by the CEP?
5. Does the incident represent an allegation of maltreatment under the Vulnerable Adult Act?

F Please **check** and **circle all** that apply. Explain details in incident box.
The allegation involves:

ABUSE:

- Physical:** hitting / slapping / kicking / punching / biting / corporal punishment (Notify Police)
- Emotional:**
 - Oral / written / gestured **repeated** derogatory / humiliating / harassing/threatening remarks
 - Oral / written / gestured; **malicious** derogatory / humiliating / harassing / threatening remarks
 - Mental:** unauthorized aversive/deprivation procedures; unreasonable confinement/seclusion
 - Sexual:** contact or penetration / consensual or non-consensual (Call police)
 - Involuntary servitude:** forced to perform services for advantage of another

Indicate in the incident box (E) if there are marks / bruises / documents / other evidence present.

FINANCIAL EXPLOITATION:

- Person **has** fiduciary relationship: guardian / conservator / power of attorney / joint account / contract / documented consent / responsible party
 - Unauthorized expenditure of funds: resulting /likely to result in detriment to VA
 - Failed to use funds for VA **AND** resulting / likely to result in detriment to VA
- Person has **NO** fiduciary relationship
 - Willfully uses / withholds / disposes of funds / property of VA
 - Obtains for self/another services to detriment of VA
 - Acquires possession/control/interest in VA's property / funds through harassment / undue influence / duress / deception / fraud
- Forces / compels / coerces / entices VA to perform services for another's advantage

NEGLECT: Failure to supply CARE/SERVICES reasonable and necessary to maintain person

- Caregiver** fails to supply food / shelter / clothing / health care / supervision
- Absence of food / shelter / clothing / health care / supervision / services essential to welfare or safety of the person

G	ALLEGED PERPETRATOR'S (AP) NAME:			WORK PHONE:	HOME PHONE:
	FIRST	MIDDLE	LAST	CELL PHONE:	PAGER:
WORK/HOME ADDRESS:			CITY:	STATE:	ZIP:

Description of AP: Sex: Male Female Height _____ Weight _____ Eyes _____ Hair: _____
 Age _____ DOB _____ Race _____ AP's Relationship to VA: _____ Code: _____

IS THERE EVIDENCE OF PREVIOUS MALTREATMENT BY ALLEGED PERPETRATOR: NO YES
Nature of maltreatment:

Where can more information be obtained?

H	Guardian or Conservator Name:	WORK PHONE:	HOME PHONE:	CELL PHONE:	PAGER:
	RELATIONSHIP TO VA:	CODE:	RELATIONSHIP TO ALLEGED PERPETRATOR:	CODE:	
WORK/HOME ADDRESS:		CITY:	STATE:	ZIP:	

I	Witness Name:	WORK PHONE:	HOME PHONE:	CELL PHONE:	PAGER:
	RELATIONSHIP TO VA:	CODE:	RELATIONSHIP TO ALLEGED PERPETRATOR:	CODE:	
WORK/HOME ADDRESS:		CITY:	STATE:	ZIP:	

Other:	WORK PHONE:	HOME PHONE:	CELL PHONE:	PAGER:
	RELATIONSHIP TO VA:	CODE:	RELATIONSHIP TO ALLEGED PERPETRATOR:	CODE:
WORK/HOME ADDRESS:		CITY:	STATE:	ZIP:

J REFERRAL EVALUATION/ACTION TAKEN BY CEP

Is There Suspected Criminal Activity? No Yes
 Type of crime: (circle) Assault / Sexual Assault / Theft / Forgery / Robbery / Burglary / Other _____
 Was a police report made? No Yes Report # _____
 Date and time of notification: _____
 Was CEP Form sent to police? No Yes
 Name and Phone number of contact: _____

Is there a need for Adult Protection Services? No: Yes:
 Name of specific county adult protection services agency notified: _____

 Date and time of notification: _____
 Name and Phone number of contact: _____
 Referred to Lead Investigative Agency? No Yes Date and Time of Referral _____
 Health: DHS: County: (Specify county) _____

REPORT DATE & TIME:	RECEIVED BY NAME:	PHONE #:	COUNTY
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HV 6626.3 .R47 2000

Report on vulnerable adult
maltreatment

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Report on vulnerable adult
maltreatment

DATE	ISSUED TO

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