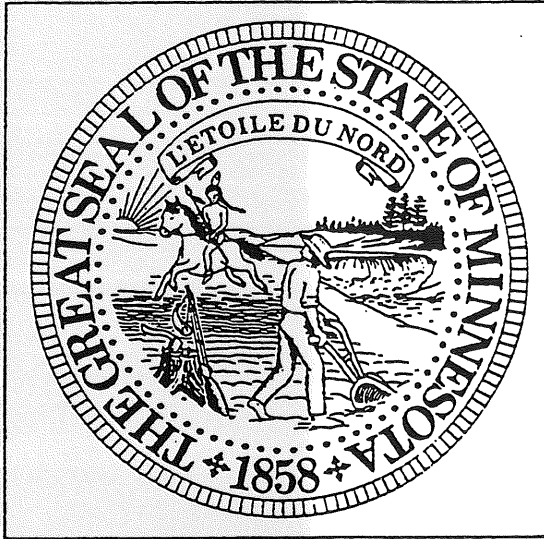


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**Regent Candidate Advisory Council  
Report to the Minnesota Legislature  
1999**

LD3321  
.R44  
1999

**Legislative Coordinating Commission  
85 State Office Building, St. Paul, MN 55155  
(651) 296-9002**

**TO THE PRESIDENT OF THE SENATE AND THE SPEAKER OF THE HOUSE AND  
MEMBERS OF THE MINNESOTA LEGISLATURE:**

This is the sixth report the Regent Candidate Advisory Council has submitted to the Minnesota Legislature since the Council was created by the adoption of Minnesota Statute 137.0245 in 1988 (Exhibit 1.) The statute provides for the appointment of 24 individuals to serve staggered 6 year terms, one-half by the Subcommittee on Committees of the Senate and one-half by the Speaker of the House of Representatives. At the time of its deliberations, there were 22 members on the Council (Exhibit 2.) Twenty participated in this selection process.

The Council's charge is "To assist the Legislature in determining criteria for, and identifying and recruiting qualified candidates for membership on the Board of Regents." In an effort to accomplish this task, the Council is directed to develop a statement of the selection criteria to be applied and a description of the responsibilities and duties of a Regent to be distributed to potential candidates. The Council is further directed to submit the names of at least two, but not more than four, candidates for each Regent position to be filled by the Legislature.

To fulfill its statutory mandate, and to facilitate the accomplishment of its mission, the Council has adopted a number of statements and policies for its guidance which have been reviewed and revised periodically, as it has gained experience and knowledge about the recruitment and selection process. The current list of these documents appears below and copies of the documents are attached as exhibits:

Exhibit 3 - Procedural Rules

Exhibit 4 - Fact Sheet

Exhibit 5 - Statement re. Board of Regent Responsibilities

Exhibit 6 - Statement re. Criteria for University Regents

Exhibit 7 - Statement re. Individual Regent Responsibilities

Exhibit 8 - Application Form

Exhibit 9 - Guidelines for Conduct by Council Members

Exhibit 10 - Policy on Legislative Communications

Exhibit 11 - Selection Procedures for Recommendation

Exhibit 12 - Statement of Diversity

Looking forward to the current round of recommendations, the Council began its two years of activity by electing Humphrey Doermann as its chair and Carol

Batsell Benner and Gregg Orwoll as its Vice Chairs in June of 1997. A number of committees were appointed to carry out Council directions and to make recommendations to the full Council. These included a recruitment committee, a committee on candidate recommendations and references, and subcommittees on the application form, reference review and candidate orientation.

These committees and subcommittees set guidelines and attempted to recruit as many qualified candidates as possible to improve the Council's processes and to inform successful candidates about the legislative selection process.

The Council used a variety of means to publicize its search, including news releases and articles to all of the newspapers and radio and television stations in Minnesota and bordering cities. Letters were sent to many organizations, individuals and institutions. The Council's Chair wrote editorials and articles for the media and he appeared on commercial and public radio.

The Council's efforts resulted in the submission of 81 applications for the four positions the Legislature must fill. Three of the four incumbents sought re-election.

The number of applicants for each of these positions was as follows:

District	Number of Applicants
2	8
3	21
8	18
At-Large	<u>34</u>
	81

The Council met on January 4, 1999, after the close of the application period, for preliminary screening of candidates for interview. Nineteen individuals were chosen for interview, with one withdrawal before interview. The names of these individuals are listed on Exhibit 13.

Candidates were given packets of pertinent documents, including a list of questions to address in their presentations. The list of questions is attached as Exhibit 14. Candidates also were invited to discuss other matters they believed were pertinent.

Interviews were conducted on January 21, 22, 25 and 26. The final selection of names to forward to the Legislature took place on January 26<sup>th</sup>. Selection procedures outlined in Exhibit 11 were followed. The Council is pleased to submit the candidates listed below for consideration by the members of the Legislature:

District 2

Dallas Bohnsack, New Prague, MN  
Rholan Larson, Buffalo, MN

District 3

Richard Clarke, Plymouth, MN  
William Hogan, Minnetonka, MN

District 8

Anthony Baraga, Side Lake, MN  
Fred Friedman, Cloquet, MN  
Frances Gardeski, Hibbing, MN  
Thomas Renier, Duluth, MN

At-Large

David C. Johnson, Minneapolis, MN  
William Peterson, Eagan, MN

Following conclusion of the Council's deliberations, the chair released a public statement, a copy of which is attached as Exhibit 15.

This report, completed application forms and accompanying data submitted by each of these candidates have been delivered to the Speaker of the House, The President of the Senate, the chairs of the House and Senate Education Committees, the majority and minority leaders in the House and Senate and to the conveners of affected congressional district caucuses. A copy of this report also is being delivered to each member of the legislature. All of these documents and candidate interview tapes are available in the office of the Legislative Coordinating Commission.

The Council especially thanks the University Alumni Association, its volunteers and staff, and members of the Board of Regents and its personnel for their help. Barbara Patterson and Chad Thuet provided endless hours of excellent staff work for the Council which also thanks D'Ann Knight and Greg Hubinger for their aid. Many thanks also are due to the many people who helped the Council recruit

the excellent candidates who applied. All of the candidates, irrespective of whether they ultimately were selected, have our thanks for their participation in the process.

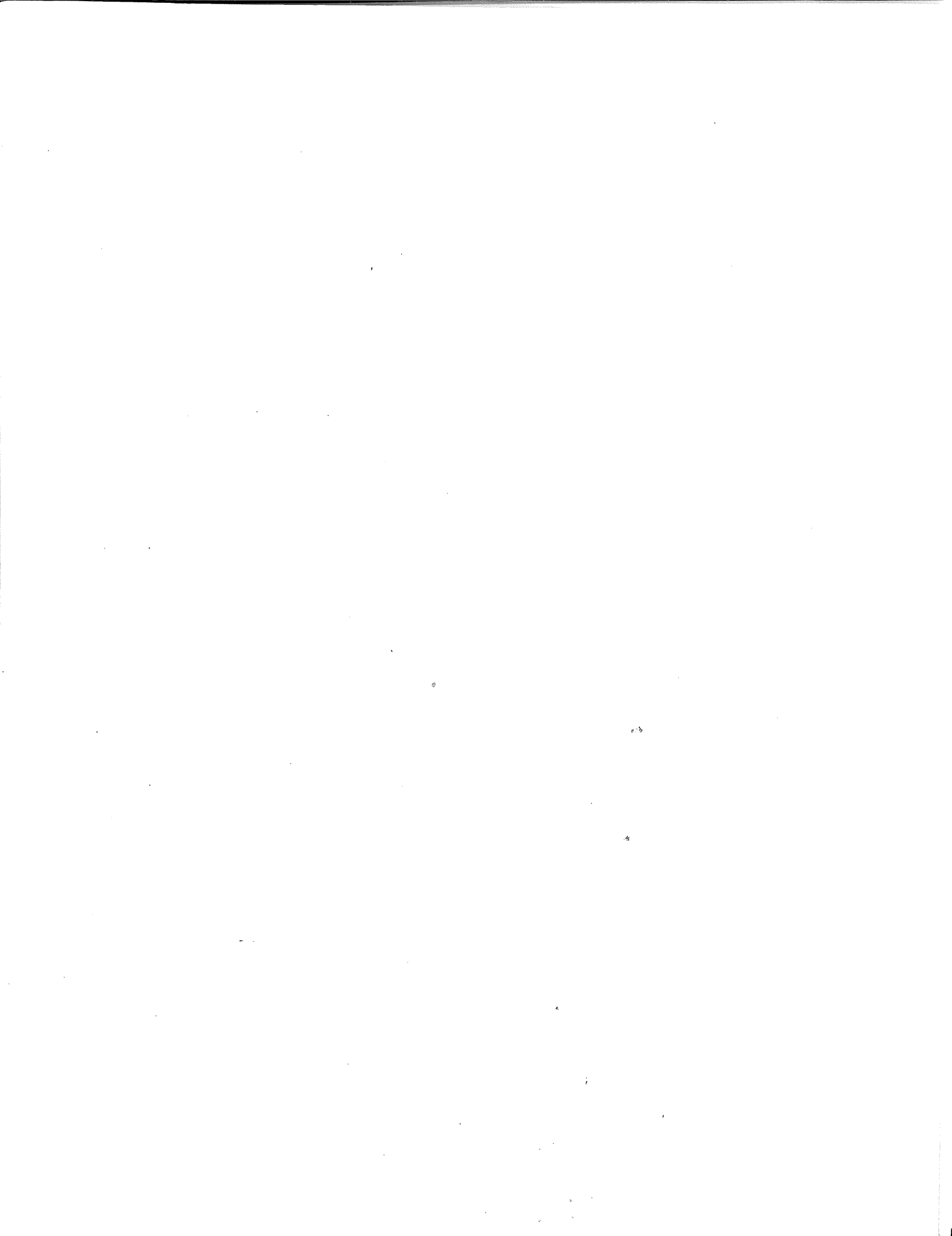
Finally, the Council thanks the Legislature for affording us this opportunity to serve in this capacity. We believe this Regent selection system, the first such in the nation, has improved the selection process. We believe it has increased the number of excellent candidates who are willing to present themselves for potential service on this, the most important public service board in Minnesota. We regret that the number of candidates who applied this round did not include more women and people of color. The Council will continue its efforts to attract more such candidates.

Respectfully submitted

Humphrey Doermann, Chair  
Regent Candidate Advisory Council



# EXHIBITS



Laws 1988, Chapter 703, Sec. 16. (S.F. 2569)

Passed by Legislature April 19, 1988. Signed by the Governor April 28, 1988. Effective April 29, 1988. Amended by laws 1990, Chapter 383 (S.F. 2159). Passed by the Legislature March 26, 1990. Signed by the Governor April 3, 1990.

**Sec. 16. [137.0245] [REGENT CANDIDATE ADVISORY COUNCIL.]**

**Subdivision 1. [ESTABLISHMENT.]** A regent candidate advisory council is established to assist the legislature in determining criteria for, and identifying and recruiting qualified candidates for membership on the board of regents.

**Subd. 2. [MEMBERSHIP.]** The regent candidate advisory council shall consist of 24 members. Twelve members shall be appointed by the subcommittee on committees of the committee on rules and administration of the senate. Twelve members shall be appointed by the speaker of the house of representatives. No more than one-third of the members appointed by each appointing authority may be current or former legislators. No more than two-thirds of the members appointed by each appointing authority may belong to the same political party; however, political activity or affiliation is not required for the appointment of any member. Geographical representation must be taken into consideration when making appointments. Section 15.0575 shall govern the advisory council, except that the members shall be appointed to six-year terms with one-third appointed each even-numbered year.

**Subd. 3. [DUTIES.]** The advisory council shall:

(1) develop, in consultation with current and former regents and the administration of the University of Minnesota, a statement of the selection criteria to be applied and a description of the responsibilities and duties of a regent, and shall distribute this to potential candidates; and

(2) for each position on the board, identify and recruit qualified candidates for the board of regents, based on the background and experience of the candidates, and their potential for discharging the responsibilities of a member of the board of regents.

**Subd. 4. [RECOMMENDATIONS.]** The advisory council shall recommend at least two and not more than four candidates. By March 15 of each odd-numbered year, the advisory council shall submit its recommendations to the president of the senate and the speaker of the house of representatives. The legislature shall not be bound by these recommendations.

**Subd. 5. [SUPPORT SERVICES.]** The legislative coordinating commission shall provide administrative and support services for the advisory council.

**Sec. 17. [INITIAL TERMS.]**

By September 1, 1988, each appointing authority shall appoint four members to terms that expire January 1990, four members to terms that expire January 1992, and four members to terms that expire January 1994.

## REGENT CANDIDATE ADVISORY COUNCIL

Listed below are the 22 appointees the Regent Candidate Advisory Council (there are two vacancies on the board) for the Regent Candidate Advisory Council (in alphabetical order). Members of the Council serve staggered six year terms. As required by law, the group is bi-partisan and represents all areas of Minnesota.

**Joseph Aitken of Bemidji, MN.** He has been the Director of the Minnesota Indian Scholarship Program since 1976. Joe is a member of the Leech Lake Reservation. He serves on the Minnesota Supreme Court Racial Bias Council, is a member of Bemidji Area Race Relations Task Force and the Blandin Foundation Indian Advisory Council. Joe holds both his bachelors and masters degrees from Bemidji State University.

**Kenneth Albrecht of North Mankato, MN.** He is a graduate of the University of Minnesota Institute of Technology, the Graduate School, and the Humphrey Institute. He is a Blandin Foundation Trustee and a director of the Minnesota 4-H Foundation. He is a founding Director and current Chair of the Rivers Council of Minnesota and was also a founding Director and the first Chair of The Initiative Fund. In addition to a 32 year career in education, he served 27 years as a local elected official, including 14 years as a Nicollet County Commissioner.

**Carol Batsell Benner of Minneapolis, MN.** She works as a public defender for Hennepin County.

**Jane Belau of Rochester, MN.** She has experience in education associations and in a business. She is president of the Belau Consulting Group and formerly vice president of Control Data Corporation. She served on the University Graduate School Board of Advisors, is a Director of the Minnesota High Technology Council, a member of the Minnesota Commission on Reform and Efficiency, a Director of the Minnesota 4-H Foundation Board of Trustees and the Minnesota Private College Council.

**Gertrude Buckanaga of Minneapolis, MN.** Appointed August 17, 1998

**Manuel J. Cervantes of St. Paul, MN.** Cervantes has been a Second Judicial District Court Referee since 1992. He was a Judge of the Workers' Compensation Court of Appeals from 1986 to 1992, an assistant St. Paul city attorney in 1986, a labor-management attorney for the American Federation of Government Employees from 1981 to 1985, and a housing management specialist for the United States Department of Housing and Urban Development from 1980 to 1981. Cervantes earned his juris doctorate degree from the University of Minnesota School of Law in 1980 and his bachelor of arts degree from Macalester College in St. Paul in 1973. He also received a fellowship certificate from the Hubert H. Humphrey Graduate School of Public Policy in Minneapolis in 1986.

**Humphrey Doermann of St. Paul, MN.** Mr. Doermann is a Visiting Professor in the Department of Economics of Macalester College, St. Paul, and teaches a course on policy issues in higher education. Until 1997, he was President of the Bush Foundation. He is Chair of the Regent Candidate Advisory Council, and has chaired the (national) Council on Foundations, the Minnesota Council on Foundations, and the 1993-94 Minnesota Legislative Task Force on Financial Aid. He has served in administrative jobs at Harvard College, as well as on its elected Board of Overseers.

**Paul Dovre of Moorhead, MN.** Mr. Dovre is the president of Concordia College in Moorhead, Minnesota. He is a current or past member of the boards of Minnesota Public Radio, the Minnesota Private College Council, the Tri-College University, the Council of Independent Colleges and the Teacher Education Accreditation Council. His graduate degrees were earned at Northwestern University. In 1992, he was a visiting scholar in the Graduate School of Education at Harvard University.

**Richard G. Ista Ada, MN.** Mr. Ista was born and raised in the Colfax, North Dakota area and has farmed at Colfax and Ada, Minnesota since 1946. He has served on the local school board, town board and several others. He was appointed Workmen Compensation Commissioner and during that tenure, he served on the North Dakota Investment Board. His interest has always been in education and assisting young people in agriculture.

**David Kanatz of Brooklyn Center, MN.** He has been a University of Minnesota administrator for 35 years and thus understands the workings of the University from the inside. His involvement with the University and its regents has ranged from the Student Activities Bureau to Assistant Director of the student Financial Aid Office.

**Rebecca Mathern of Waseca, MN.** Rebecca is currently working on her graduate program at the University of Minnesota. While doing her undergraduate work at the University, she became involved in student government and thus, the Regents' selection process. She is also working on mass transit issues at the University as an intern for Parking and Transportation Services. In her spare time, Rebecca enjoys running, golf and playing with her dog. She also is extremely interested in educational policy at the state level and hopes to focus some of her graduate work on this issue.

**Mary McLeod of St. Paul, MN.** Ms. McLeod is an attorney specializing in mediation services. Formerly she has practiced law with Faegre and Benson and served as Director of Minnesota Government Relations at Norwest Corporation. Presently, she is a board member of the Minnesota Gambling Control Board and the Minnesota Fair Housing Center.

**David Naumann of Minneapolis, MN.** He is a faculty member in the Carlson School of Management at the University of Minnesota. He is an alumnus of the College of Liberal Arts (1971) and the Graduate School (1973 and 1978). He served on the Telecommunications Council, and is currently a member of the Executive Committee on the Board of Directors of the Minnesota Council of Hostelling International.

**Colman O'Connell of St. Joseph, MN.** Sister of the Order of St. Benedict, St. Benedict's Monastery, St. Joseph, MN.

**Gregg Orwoll of Rochester, MN.** Greg Orwoll of Rochester, MN. Graduate of Northwestern University and University of MN Law School; formerly associated and partner with Dorsey & Whitney, General Counsel and Senior Counsel Mayo Clinic/Foundation; service on State Compensation Council, University of MN Law Alumni Board and its Board of Visitors; member of University of MN Presidents Club.

**Jo-Anne E. Stately.** She is the Senior Program Officer for the Saint Paul Foundation and serves as the Chair of the Two Feathers Fund of the Diversity Endowment Fund of the Foundation. Prior to accepting the position of Senior Program Officer, she worked on a special initiative of the F.R. Bigelow Foundation that supported a community based model of decision making, granting and

neighborhood development that focused on improving the lives of children and families in the Frogtown and Summit-Pillager Band. Ms. Stately's professional career and volunteer work continues to reflect her culture and status as a tribal member. She holds a bachelors degree from Moorhead State University.

**Paul Thatcher, Sr. of Minneapolis, MN.** He is currently chair of the board of Trussbilt, Inc., Minneapolis, and the MEC Corporation of Neodesha, Kansas. He is a member of the Executive Committee of the Board of Directors of the Minnesota Orchestral Association and a member of the Metropolitan Sports Facilities Commission.

**Jane Tschida of St. Paul, MN.** She is with the public relations firm of Goff & Howard. She was formerly the executive director of the Minnesota Trial Lawyers Association and the Minnesota Consumer Alliance. She is a former councilwoman from St. Louis Park and former Chair of the Minnesota Government Relations Council.

**Jona M. Turner of Minneapolis.** Jona Turner is Manager, Government Affairs for the Pillsbury Company. She is responsible for state and local government lobbying in the Midwest. Besides food issues, she also manages all Federal labor, health, education and welfare issues for the company. Before joining Pillsbury, she was a staff analyst for the U.S. House Ways and Means, Human Resources Subcommittee which crafted the landmark welfare legislation signed by President Clinton in 1996. Jona has also worked in the White House for President George Bush as a Staff Assistant; worked for Governor Arne Carlson as Deputy Director for Cabinet Affairs; and managed special projects for the Ceridian Corporation.

**Bob Vanasek of New Prague, MN.** Member of the Minnesota House of Representatives 1972-1992, Majority Leader 1987, Speaker 1987-1991. President of the Minnesota High Technology Council 1991-1994; Vice President for Public Affairs, Metropolitan State University 1994-1997. Currently owns and operates Robert Vanasek and Associates, a consulting firm.

**Nedra Wicks, of Rochester, MN.** Ms. Wicks brings to the Council 30 years of community and board leadership which has been focused in the areas of education and human services. She currently serves on the State Board of Education, the Minnesota News Council, and the Minnesota Public Radio Board. Her work experience ranges from mathematics teacher and elected public official, to facilitator and fund raiser.

**Billie Young of St. Paul, MN.** She was owner and manager of Old Mexico Shop, Inc., on Grand Avenue for 22 years until closing the business in April, 1994. She lived for seven years in Latin America, where she was founder and director of the Instituto Colombo-Americano, a U.S. Information Services agency, in Cali, Colombia. She also taught in Guatemala and at the University of Illinois. Her board experience includes service on the boards of the League of Women Voters, United Way, Junior Achievement, Planned Parenthood, Minnesota Public Radio, Greenspring, and the Science Museum of Minnesota. She is a writer with six published books and holds a B.A. from the University of Kansas and an M.A. from the University of Illinois.

## **Regent Candidate Advisory Council** **Procedural Rules**

### **Introduction**

The Regent Candidate Advisory Council was established by an act of the Legislature of the State of Minnesota in 1988 to advise the Legislature in the election of regents of the University of Minnesota. Its duties are to develop a description of the duties of regents, outline criteria to be applied in recommending candidates, and identify and recruit at least two, and not more than four, qualified candidates for each opening on the Board of Regents.

The Council consists of twenty-four members appointed according to the provisions of the legislative act establishing the Council. After initial appointments of one-third of the members each for two-, four-, and six-year terms respectively, the statute provides that appointments will be made in even-numbered years to terms of six years.

For purposes of conducting its business expeditiously, the Council has adopted the following procedural rules.

### **Rules Adopted by the Council**

#### **I. Officers**

1. The officers of the Council shall be a Chair and one or more Vice Chairs as the Council shall, from time to time, deem advisable for the effective conduct of its business.
2. Officers shall be elected by a majority of the Council for two-year terms and shall serve until their successors are elected.
3. The first election of officers shall be for terms to expire at the annual meeting. Subsequently, the Council shall regularly elect officers at its first biennial meeting (Section III, #1) following biennial appointments to the Board of Regents. In the event of a vacancy, for whatever cause, the Council may elect an officer to complete the vacated term at any meeting by majority vote.

#### **II. Powers and Duties of the Officers**

1. The Chair shall preside at all meetings of the Council, shall have a right to vote on all questions, shall appoint to all committees after consultation with the Council, shall schedule meetings and establish the agenda of meetings in consultation with the Council, shall be responsible for notice of meetings, and shall have such other powers and duties as the Council from time to time may prescribe.

2. The Vice Chair(s) shall perform such duties as may be delegated by the Chair or prescribed from time to time by the Council. In the absence of the Chair, the Vice Chair designated by the Chair shall perform the duties of the Chair.

### III. Meetings

1. The biennial meeting of the Council shall be called in the month of June of the Odd-numbered years to review its proceedings, elect officers in accord with Section I, #3 of these procedures, establish committee memberships, conduct other business, and establish a schedule of regular meetings as required to carry out its duties and responsibilities.

2. Special meetings may be held at the call of the Chair, and it shall be the duty of the Chair to call a special meeting within thirty days at the request of five members of the Council.

3. Written notice of all meetings shall be sent to each member of the Council at least seven days before the date of the meeting. In the case of special meetings, the notice shall state the purposes of the meeting, and no business shall be transacted that does not relate to the purpose stated.

4. Whenever notice is required under the provision of these rules, a waiver of notice signed by the persons entitled to notice shall be deemed equivalent to waiver of the notice provision. Attendance at any meeting shall be conclusively deemed a waiver of notice unless the member appears at the meeting solely to object to the legitimacy of the meeting.

5. A majority of the Council shall be necessary and sufficient to constitute a quorum for the transaction of business, and the act of a majority of the members present and voting at a duly called meeting of the Council or of any committee shall be the act of the Council, or the committee, except as may be provided by statute or these rules. Once a quorum has been determined, there shall be no further quorum calls and business may be conducted by a majority vote of those present and voting.

6. Meetings shall be conducted according to Robert's Rules of Order, except as otherwise provided in these rules.

7. Minutes of all meetings of the Council and committees of the Council shall be distributed to members of the Council.



#### IV. Action without Formal Meeting

Any action required to be taken by the Council or any committee of the Council may be taken without a formal meeting by unanimous consent of the members. Meetings may be conducted by mail, telephone, or in any other way the council approves. However, a written consent setting forth the action so taken and signed by all members of the Council or of a committee must be filed with the minutes of the meeting.

#### V. Committees

1. Standing committees shall be established as the Council deems advisable for carrying out its duties and responsibilities. The Chair and other members of standing committees shall be appointed by the Chair of the Council after consultation with the Council.
2. The Network Committee, the Internal Affairs Committee, the Evaluation Committee and the Officer's Committee shall be the standing committees of the Regent Candidate Advisory Council.
3. The Council, through action taken in accord with provisions outlined in Section III, #5 of these procedures may at any time make adjustments to the name and/or charge of any of its standing committees.
4. A standing committee may be discontinued in accord with Section VI, Amendment of Rules, of these procedures.
5. The Chair of the Council, after consultation with the Council, may from time to time, appoint special committees to assist in the business of the Council.

#### VI. Amendment of Rules

These rules may be changed or amended at any meeting of the Council by a two-thirds vote of those present, provided notice of the substance of the proposed amendment is sent to all members of the Council at least seven days before the meeting.

Adopted May 4, 1990  
Revised April 10, 1992

REGENT CANDIDATE ADVISORY COUNCIL  
FACT SHEET

The Regent Candidate Advisory Council has prepared this fact sheet relating to the Council and to the selection of Regents of the University of Minnesota. The facts contained herein are statutory if so indicated, or otherwise are in accordance with the Work plan adopted by the Council.

A. What is the legal standing of the Council?

The Regent Candidate Advisory Council was created by the Legislature in 1988 and is composed of 24 public members appointed to six-year, staggered terms, one-half by the Speaker of the House, and one-half appointed by the Senate Subcommittee on Committees of the Committee on Rules and Administration.

B. What are the statutory duties of the Council?

To develop criteria for selection of Regents; to develop a description of the responsibilities of Regents; and to recommend two to four Regent Candidates to the Legislature for each vacancy arising out of expired terms of the Board of Regents.

C. How many Regents are there and what are their terms?

There are 12 Regents elected by the Legislature to six-year, staggered terms; four terms expire in every odd-numbered year. Eight of the Regents represent each of the eight congressional districts of the state. Four of the Regents are elected At-Large. One At-Large Regent must (by statute) be a student enrolled in a degree program at the time of his/her election to the Board of Regents.

D. Are members of the Board of Regents paid for their service on the Board?

No, service on the Board of Regents is voluntary. Regents are reimbursed for travel expense.

E. How does one become considered for election as Regent by the Legislature?

By recommendation to the Legislature by the Regent Candidate Advisory Council or by nomination from the floor of one of the legislative bodies.

F. How does one become considered by the Regent Candidate Advisory Council?

Submission of our application to the Council.

G. When are nominations to the Board of Regents open?

Nominations are open and applications are available on **Tuesday, September, 15, 1998.**

H. Must an application be filed?

Yes. Upon request, an application form will be sent, and must be completed and submitted no later than **Tuesday, December 1, 1998.**

I. Is the selection process public?

Final candidate interviews with the Regent Candidate Advisory Council are public interviews -- although often sparsely attended. Names of Regent candidates who are recommended to the Legislature are also released to public media. The initial candidate application forms, however, are not public documents.

J. When does the Council take action on the nominations?

In early January, 1999, the Council will review all applications and determine which nominees to interview. In late January, the Council will

conduct interviews and recommend two to four persons for each open position to the Legislature for its consideration by **Monday, February 1, 1999.**

We have been assured by the legislative leadership that final selection will be made by Thursday, February 18, 1999.

If you need further information, please call or write:

Barb Pattterson  
The Regent Candidate Advisory Council  
C/O Legislative Coordinating Commission  
Room 85, State Office Building  
St. Paul, MN 55155  
(651) 296-9002



**UNIVERSITY OF MINNESOTA**

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**Board of Regents' Responsibilities**

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1. Clarify the mission of the University and approve programs necessary to achieve it.
2. Appoint, monitor, advise, motivate, support, evaluate, and if, necessary or advisable, replace the President.
3. Approve major policies, long-range plans, educational programs, and annual budgets, while clearly delegating administrative responsibilities.
4. Accept fiduciary responsibility for the long-term welfare of the University.
5. Ensure adequate resources-human, financial, physical-and effective management of those resources.
6. Preserve institutional autonomy, recognizing that the preservation of autonomy requires accountability.
7. Ensure collaboration with other educational systems and with other institutions related to the University's mission.
8. Serve as a court of appeals when appropriate.
9. Enhance the public image of the University.
10. Monitor and evaluate the performance of the institution in achieving its goals and mission.
11. Regularly evaluate the Board's performance and take steps to improve it.
12. Ensure that the University of Minnesota remains an equal opportunity institution.

Approved by the Board of Regents - February 10, 1989

## REGENT CANDIDATE ADVISORY COUNCIL CRITERIA FOR UNIVERSITY REGENTS

### **Residency**

Regent candidates must be Minnesota residents. Candidates for the seat identified by Congressional district must be residents of the corresponding district as defined by statute.

### **Interest in higher education**

Knowledge and understanding of the higher education system in Minnesota and particular understanding of the role of the University as a public research and land-grant institution are advantages in seeking appointment to the Board of Regents. Candidates should at least demonstrate an informed interest in higher education and general awareness of the threefold mission of the University in teaching, research and outreach/public service.

### **Civic and social commitment**

A record of civic and social commitment, whether through volunteer service or by the nature of one's employment, can be a helpful indicator of the kind of experience and dedication relevant to distinguished service on the Board of Regents.

### **Board Experience**

Candidates are ordinarily expected to have prior experience on boards of directors or other governing bodies with responsibilities analogous to those of the Board of Regents. In the absence of such experience, candidates may offer other evidence of ability to participate responsibly in the governance of a large and complex public corporation.

### **Personal Qualities**

Candidates must be persons of a high moral and ethical integrity. Among many other qualities which might be cited, breadth of vision and ability to work constructively as a member of a diverse group in an atmosphere of collegiality and common concern are perhaps most important. Scarcely less important is the ability to function calmly and impartially in meetings open to the public and under public scrutiny.

### **Conflicting interest**

Candidates should recognize any activity that could be harmful or disruptive if appointed to the Board of Regents and should be willing to place the University before any self interest or constituency and act accordingly.

Candidates should recognize that holding or seeking major public elective office may be incompatible with appropriate service on the Board of Regents. Regent candidates should be prepared to discuss this possible conflict prior to selection, if it applies to them, and should be prepared to have to choose between Regent tenure and other major elective public service if the question should arise during the term of Regent service.

### **Feasibility**

Candidates need to be not only willing but physically and financially able to devote a sizable amount of time and energy over a period of six years to a position for which there is no monetary compensation.

### **Student Regent**

Candidates for the student position on the Board of Regents must be enrolled in a degree program at the University at the time of election to the Board. Candidates for the student position are judged by the same criteria as other candidates except that quality of participation in student activities is considered rather than experience on boards of directors or other governing bodies.



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## Individual Regent Responsibilities

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1. To seek to be fully informed about the University and its role in the state and in higher education and to be responsive to the changing environments that affect it.
2. To support the mission of the University.
3. To speak one's mind at Regents' meetings, but support policies and programs once established.
4. To understand that the Regents' role is policy making and not involvement in administration or the management process.
5. To strengthen and sustain the President while being an active, energetic, and probing Board member, exercising critical judgment on policy matters.
6. To communicate promptly to the President any significant concern or complaint and then let the President deal with it.
7. To defend the autonomy and the independence of the University.
8. To maintain an overriding loyalty to the entire University, rather than to any part of it or constituency within it.
9. To represent all the people of Minnesota and no particular interest, community, or constituency.
10. To help enhance the public image of the University and the role of the Board of Regents.
11. To recognize that authority rests only with the Board as a whole and not in its individual members.
12. To recognize that the President is the primary spokesperson for the University, and the Chair of the Board is the only other person authorized to speak for the Board.
13. To foster openness and trust among the Board of Regents, the administration, the faculty, the students, state government, and the public.
14. To maintain a decent respect for the opinions of one's colleagues and a proper restraint in criticism of colleagues and officers.
15. To recognize that no Board member shall make any request or demand for actions that violate the written policies, rules, and regulations of the Board or of the University.
16. To maintain the highest ethical standards and never to allow any person conflict of interest to exist.



APPLICATION FOR THE UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS

Please submit completed and signed application by 4:30 p.m. December 1, 1998.

Barb Patterson  
Regent Candidate Advisory Council  
C/O Legislative Coordinating Commission  
State Office Building, Room 85  
St. Paul, MN 55155  
(651) 296-9002

Regent position(s) for which you are applying. Please check your congressional district.

\_\_\_\_ 2<sup>nd</sup> U.S. Congressional District

\_\_\_\_ 3<sup>rd</sup> U.S. Congressional District

\_\_\_\_ 8<sup>th</sup> U.S. Congressional District

\_\_\_\_ At-Large

**PERSONAL INFORMATION**

Name:

\_\_\_\_\_  
(First) (Middle/Maiden-Optional) (Last)

Address: \_\_\_\_\_  
(Street) (Apt. #)

\_\_\_\_\_  
(City) (State) (Zip)

Phone: \_\_\_\_\_ Congressional District \_\_\_\_\_

**EMPLOYMENT HISTORY**

Past occupation or experience (voluntary or paid), including dates and person to whom you reported. Please limit to last three positions and list most current information first.

Employer: \_\_\_\_\_ Voluntary or Paid: \_\_\_\_\_

Address: \_\_\_\_\_

Position: \_\_\_\_\_

From (Month/Year): \_\_\_\_\_ To (Month/Year) \_\_\_\_\_

Supervisor: \_\_\_\_\_ Phone: \_\_\_\_\_

**EMPLOYMENT HISTORY (continued)**

Employer: \_\_\_\_\_ Voluntary or Paid: \_\_\_\_\_

Address: \_\_\_\_\_

Position: \_\_\_\_\_

From (Month/Year): \_\_\_\_\_ To (Month/Year) \_\_\_\_\_

Supervisor: \_\_\_\_\_ Phone: \_\_\_\_\_

Employer: \_\_\_\_\_ Voluntary or Paid: \_\_\_\_\_

Address: \_\_\_\_\_

Position: \_\_\_\_\_

From (Month/Year): \_\_\_\_\_ To (Month/Year) \_\_\_\_\_

Supervisor: \_\_\_\_\_ Phone: \_\_\_\_\_

**GOVERNING BOARD EXPERIENCE**

Such as government, business, education, church, charities, non-profits, etc.

Organization: \_\_\_\_\_

Position Held: \_\_\_\_\_

From (Month/Year): \_\_\_\_\_ To (Month/Year): \_\_\_\_\_

Chair (s) during service: \_\_\_\_\_ Phone: \_\_\_\_\_

Organization: \_\_\_\_\_

Position Held: \_\_\_\_\_

From (Month/Year): \_\_\_\_\_ To (Month/Year): \_\_\_\_\_

Chair (s) during service: \_\_\_\_\_ Phone: \_\_\_\_\_

Organization: \_\_\_\_\_

Position Held: \_\_\_\_\_

From (Month/Year): \_\_\_\_\_ To (Month/Year): \_\_\_\_\_

Chair (s) during service: \_\_\_\_\_ Phone: \_\_\_\_\_

**GOVERNING BOARD EXPERIENCE (continued)**

Organization: \_\_\_\_\_

Position Held: \_\_\_\_\_

From (Month/Year): \_\_\_\_\_ To (Month/Year): \_\_\_\_\_

Chair (s) during service: \_\_\_\_\_ Phone: \_\_\_\_\_

**EDUCATION**

	Name & Location of School	Major Area of Study	Diploma/Degree Date Received
High School			
College/Post-secondary			
College/Post-secondary			
College/Post-secondary			

**REFERENCES**

Name: \_\_\_\_\_ Organization/Firm: \_\_\_\_\_

Relationship: \_\_\_\_\_ Phone: \_\_\_\_\_ (H): \_\_\_\_\_

Name: \_\_\_\_\_ Organization/Firm: \_\_\_\_\_

Relationship: \_\_\_\_\_ Phone: \_\_\_\_\_ (H): \_\_\_\_\_

Name: \_\_\_\_\_ Organization/Firm: \_\_\_\_\_

Relationship: \_\_\_\_\_ Phone: \_\_\_\_\_ (H): \_\_\_\_\_

Name: \_\_\_\_\_ Organization/Firm: \_\_\_\_\_

Relationship: \_\_\_\_\_ Phone: \_\_\_\_\_ (H): \_\_\_\_\_

**Please answer the following questions, using no more than two additional pages:**

1. What has been your most important work or volunteer achievement? Please describe it briefly and indicate why it was important.
2. Having read the enclosed description of Regents selection criteria and responsibilities, please describe how your experience and qualifications would enable you to be a good Regent.
3. How do you characterize your style in group decision making? Give specific example.
4. What are the most important issues confronting the University of Minnesota at the present time and how would you contribute to solving them?
5. What is your understanding of conflict of interest that arises in connection with service on a governing board? What should a board member do when the possibility of a conflict of interest arises, with respect to yourself or a fellow board member?
6. Regents have indicated that service on the Board reasonably requires a commitment of at least 40 hours per month. The Board of Regents meets for two days each month. In addition to preparation for their meetings, there are numerous other University activities that a Regent may be called on to attend or elect to attend. Are you prepared to make such a commitment? Please elaborate.
7. Is it your present intention, if elected to the Board of Regents, to serve for the full duration of the term to which you are elected? What circumstances might lead you to choose not to serve for the full duration of your term? Please offer explanatory comment.

I certify that all information in this application is factually correct, and do hereby consent to my nomination.

By signing below, permission is granted to obtain information from all organizations and individuals mentioned in this application.

Candidates selected to be interviewed will be contacted the week of January 11, 1999. Interviews will be held January 21, 22, 25, and if needed 26, 1999.

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

**NOTE:** Please do not submit written endorsements, letters or recommendation, supporting documentation or additional material unless requested.

## REGENT CANDIDATE ADVISORY COUNCIL

Exhibit 9

### GUIDELINES FOR CONDUCT BY MEMBERS OF THE REGENT CANDIDATE ADVISORY COUNCIL

The conduct of members of the Regent Candidate Advisory Council should be guided by the same high ethical standards sought in candidates for the Board of Regents. Only in this way will the integrity and quality of the Council's work be preserved. These guidelines have been adopted by the Council to ensure that objective.

#### GUIDELINES

1. Members of the Council should support the mission of the University of Minnesota and be well informed about it, its role in the state, and the changing environments which affect it.
2. Members of the Council should be informed about the role of the Board of Regents and in particular understand the distinction between higher education governance and administration which establishes the relationship of the board of Regents to the President of the University.
3. Members of the Council should be committed to seeking the best qualified persons to govern the University. They should not allow social, business or other relationships to influence their objective review of individual Regent candidates.
4. While members of the Council are chosen to be broadly representative of the congressional districts of the state, they should carefully avoid serving regional or private interests. In no circumstances should a member derive financial benefit from service on the Council.
5. Members of the Council should devote serious attention to the qualifications of candidates for the Board of Regents, debate the relative merits of candidates in a fair and objective manner, and support publicly and privately the selection of candidates once made.
6. Members of the Council should respect the role of the chairperson of the Council as its only spokesperson. Any communications about the Council with legislators, candidates or others should be objective and clearly indicated as personal.
7. Members of the Council should refrain from lobbying the Legislature or trying to influence public opinion for or against any candidate for the Board of Regents.
8. To safeguard the integrity of the Council, members should avoid exploiting their Council membership to influence individual Regents or the Board of Regents as a whole.
9. A member of the Council should not be a candidate for the Board of Regents.
10. Finally, the conduct of the members of the Council should promote public confidence in the Regent candidate selection process as a non-partisan, good-faith effort to secure the best-qualified candidates for the Board of Regents.

**REGENT CANDIDATE ADVISORY COUNCIL  
POLICY ON LEGISLATIVE COMMUNICATIONS**

The Council wishes to maintain clear and open communications with the legislature in an orderly fashion. The following policies will guide the Council and its members in its relations with the legislature.

1. In accordance with the guidelines for conduct for the Council, the Council chair is the primary and official spokesperson for the Council and the only person authorized to speak for the Council. Members of the Council speaking with the legislators will emphasize that they are speaking as individuals and not for the Council.

The Chair, at his/her discretion, may delegate legislative contact to other members of the council.

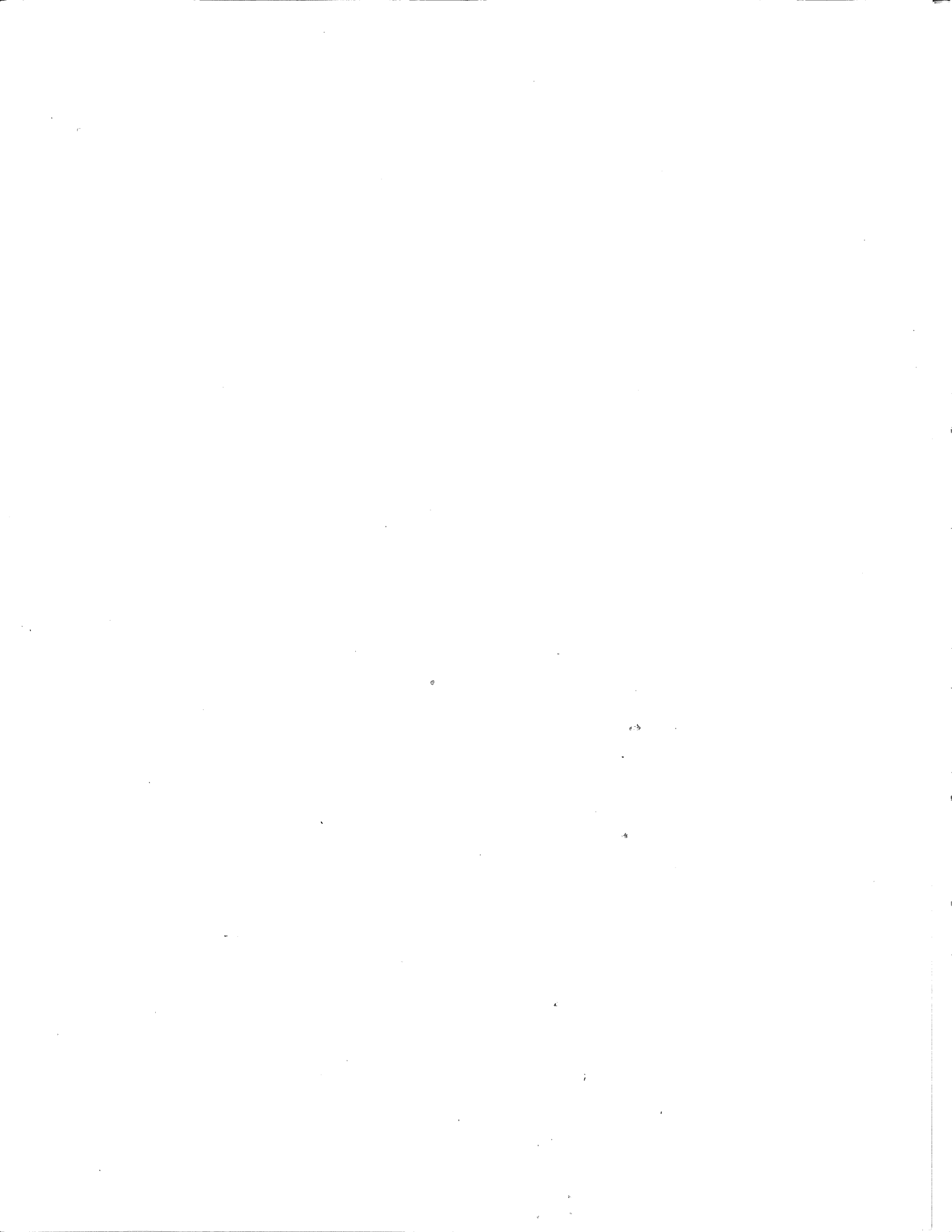
2. Legislative leadership and the leadership of the Education Committees in the House and Senate (both majority and minority), will receive copies of all Council minutes and documents.
3. If other legislators express an interest, they shall be sent the same written materials.
4. It is inappropriate for Council members to lobby individual candidates for the Board of Regents at any time.

Effective proactive and reactive legislative communications can help ensure that our end product will be understood and accepted for what it is -- a good faith, intelligent endeavor to recommend worthy people as nominees for the position of University of Minnesota Regent.

(Adopted by the RCAC November 2, 1990)

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**REGENT CANDIDATE ADVISORY COUNCIL**

**SELECTION PROCEDURES FOR RECOMMENDATION OF CANDIDATES TO THE  
LEGISLATURE**

**ADOPTED November 11, 1995**

**I. Guidelines for selection of finalists to be interviewed:**

- A. The Council will strive to interview a diverse group of qualified candidates for each open seat.
- B. Finalists shall be selected by ballot, with Council members voting for up to four nominees for each open seat. A simple majority of those voting (voting may be done by mail) will qualify a nominee as a finalist.
- C. Candidates receiving no votes will be eliminated from consideration. The remaining candidates will be placed on a second ballot. Members shall then vote for 0 to 4 candidates. Those receiving a simple majority will qualify as finalists for interview.
- D. A third and final ballot may only be conducted if moved and seconded by Council members. If conducted, the third ballot shall follow the procedures stated in C. above.
- E. No individual may be chosen as a finalist without having submitted a complete and signed Application Form.

**II. Selection Procedures**

**A. General Rules**

- 1. Regardless of the number of Council members present and voting, candidates shall not be recommended to the legislature without having received support from a majority of total Council membership.
- 2. The numbers prescribed herein assume full Council membership (24). Those numbers may be reduced to accommodate vacant

positions on the Council. They may not, however, fall below the level which will provide majority support for successful candidates.

3. At any point, after the selection of at least 2 candidates for each open seat, selections may be closed by motion of any Council member with support of a majority of the full council membership.
4. No individual may be recommended to the legislature without having been interviewed and duly selected as prescribed herein.

**B. Voting procedures for individual District, student regent, or at-large, if only one at-large seat is open:**

**1. First Ballot:**

- a. In the initial ballot for each seat, each Council member votes for up to 4 candidates.
- b. If a candidate, or candidates, receives 16 or more votes, the individual with the highest vote total (or highest two individuals, if a tie) is selected.
- c. If no candidate receives 16 or more votes, there is no selection.
- d. Whether or not there is a selection, candidates receiving no votes are eliminated. The candidate, or candidates, with the fewest number of votes are also eliminated.

**2. Subsequent Balloting:**

- a. Subsequent ballots will involve all remaining candidates, excluding those elected and those eliminated in prior ballots. The process for elimination of candidates will be the same in subsequent ballots as that used in the first ballot.
- b. Council members will vote for up to the number of open slots remaining after each prior ballot. For instance, if one person is selected on the first ballot, members will vote for up to 3 on the second ballot and so on.
- c. In all remaining ballots after the initial ballot, only one person

may be elected in each ballot.

- d. After the initial ballot, the number of votes required for selection will decline with each ballot to 15, then 14, then finally 13.

**C. Voting procedures for At-Large seats when two or more seats are open.**

**1. First Ballot:**

- a. Each Council member votes for up to the number of open seats times 4 (2 seats, vote for up to 8; 3 seats, vote for up to up to 12; etc.)
- b. If a candidate, or candidates, receives 16 or more votes, the individual with the highest and second highest vote totals of 16 or more votes will be selected, provided there are no ties which make the determination ambiguous.
- c. If ambiguity does not permit the selection of any one (e.g., 4 candidates have 17 votes, the highest number), there is no selection.
- d. If no candidate receives 16 or more votes, there is no selection.
- e. Whether or not there is a selection, all candidates receiving no votes are eliminated. All candidates having the two smallest numbers of votes are also eliminated.

**2. Subsequent Balloting:**

- a. Subsequent ballots will involve all remaining candidates, excluding those selected and those eliminated in prior ballots. The process for elimination of candidates will be the same in subsequent ballots as that used in the first ballot.
- b. Council members will vote for the number of open slots remaining after each prior ballot.

- c. In all remaining ballots after the initial ballot, only one person may be selected on each ballot.
- d. After the initial ballot, the number of votes required for selection will decline with each ballot to 15, then 14, then finally 13.

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1996 revised in 2/27/95

**REGENT CANDIDATE ADVISORY COUNCIL**

**STATEMENT OF DIVERSITY**

The recommendations of the Regent Candidate Advisory Council to the Legislature for positions on the Board of Regents shall reflect diversity in terms of geography, gender, race, occupation, and experience.

REGENT CANDIDATE ADVISORY COUNCIL

85 State Office Building

Saint Paul, Minnesota 55155

(612) 296-9002

Exhibit 13

For Immediate Release

Date: January 4, 1999

Contact: Humphrey Doermann, Chair  
Regent Candidate Advisory Council

Phone: 651-696-6828 (office)  
651-224-0921 (home)

The Regent Candidate Advisory Council, (RCAC) met today to select 19 candidates to be interviewed later this month. The candidates seek to fill four open positions on the University of Minnesota Board of Regents. On February 1<sup>st</sup>, following the RCAC interviews, between two and four candidates for each open seat will be recommended by the RCAC to the Minnesota Legislature, so that the Legislature may make the final individual choices in February.

Following are the names of candidates to be interviewed by the RCAC for each open Regent seat:

Second Congressional District:

Julie Bleyhl, Dallas Bohnsack, Rholan Larson, and Burton Nypen

Third Congressional District:

Richard Clarke, Marlene Garvis, William Hogan, Jann Olsten

Eighth Congressional District:

Anthony Baraga, Fred Friedman, Frances Gardeski, James Gustafson, John Kelly, and Tom Renier

At-Large Seat:

Sandra Hale, David Johnson, William Peterson, and Roger Nierengarten, and Gregory Renteria

SUGGESTED QUESTIONS FOR CANDIDATES - 1998-99

1. WHAT SKILLS DO YOU HAVE THAT QUALIFY YOU TO BE A REGENT?
2. WHAT IS THE ROLE OF A REGENT?
3. WHAT DO YOU THINK ARE THE MOST IMPORTANT POSITIVE DEVELOPMENTS WHICH HAVE OCCURRED AT THE UNIVERSITY IN, SAY, THE LAST FIVE YEARS?
4. DO YOU THINK OF YOURSELF AS A FOLLOWER OR A LEADER? IF YOU THINK OF YOURSELF AS A LEADER, DESCRIBE YOUR LEADERSHIP SKILLS.
5. EXPLAIN WHAT YOU PERCEIVE TO BE THE ROLE OF A REGENT. HOW WOULD YOU CARRY OUT YOUR ROLE?
6. DO YOU KNOW OF ANY CONFLICT OF INTEREST THAT MAY ARISE AS A CANDIDATE OR AS A SITTING REGENT?  
  
IF A CONFLICT OF INTEREST AROSE, HOW WOULD YOU HANDLE IT? EXPLAIN.
7. THE TIME DEMANDS OF A REGENT ARE HEAVY. DO YOU THINK YOU COULD MEET THOSE DEMANDS AND HOW WOULD YOU SCHEDULE TO DO SO?
8. WHAT IS THE MISSION OF THE UNIVERSITY OF MINNESOTA? SHOULD THIS CHANGE DURING THE DECADE COMING?
9. DO YOU THINK THE BOARD OF REGENTS SHOULD BE INVOLVED IN THE ADMINISTRATIVE FUNCTIONS OF THE UNIVERSITY? WHY OR WHY NOT?
10. IN YOUR OPINION, WHAT IS THE ROLE OF THE BOARD OF REGENTS IN THEIR INTERACTIONS WITH THE PRESIDENT?
11. WHAT ARE YOUR VIEWS ON ACADEMIC FREEDOM?
12. WHICH OF THE REGENT RESPONSIBILITIES WOULD CAUSE YOU THE MOST CONCERN? WHY? HOW WOULD YOU ADDRESS THE CONCERN?
13. SHOULD THE BOARD OF REGENTS ATTEMPT TO AFFECT THE DIVERSITY REPRESENTED IN FACULTY, STUDENTS, AND CURRICULUM AT THE UNIVERSITY OF MINNESOTA? IF SO, HOW?
14. TELL US ABOUT YOUR EXPERIENCE IN FISCAL MANAGEMENT.



**REGENT CANDIDATE ADVISORY COUNCIL**

85 State Office Building

Saint Paul, Minnesota 55155

(612) 296-9002

**Exhibit 15**

**For Immediate Release**

**From:** Regent Candidate Advisory Council  
Room 85 State Office Building  
St. Paul, MN 55155

**Contact:** Humphrey Doermann  
Chair, RCAC  
(651) 696-6828 (ofc)  
(651) 224-0921 (home)

**Date:** January 26, 1999

The Regent Candidate Advisory Council voted today to recommend 10 candidates to the Minnesota Legislature for final consideration to be elected Regent of the University of Minnesota. Four Regent seats are open. If the Legislature follows its present schedule, it will elect during February, 1999, four persons to fill those seats.

The terms of Regents representing the Second, Third and Eighth Districts expire this year, along with the term of one statewide or at-large seat. The finalists now recommended to the Legislature are the following:

**Second Congressional District:** Dallas Bohnsack and Rholan Larson

**Third Congressional District:** Richard Clarke and William Hogan, II

**Eighth Congressional District:** Anthony Baraga; Frances Gardeski; Fred Friedman and Tom Renier

**At-Large:** David Johnson and William Peterson

The Council received 81 applications for these positions, and interviewed 19 applicants. The twelve member Board of Regents is the governing body of the University of Minnesota. The Legislature elects one Regent each from Minnesota's eight congressional districts, and four from the state at-large, one of which is a student Regent. Regents are elected to six year terms.

The Regent Candidate Advisory Council was created by the Legislature in 1988 to recruit, interview, and recommend Regent candidates to the Legislature. While the Legislature is not

Page 2 - RCAC  
Press Release  
January 26, 1999

required to choose Regents from within the group recommended by the Council, it has done so in every round of selections since 1988.

"The Council was honored to receive another strong group of Regent applications," said Humphrey Doermann, RCAC Chair. "We were able to insist on a high standard of experience and qualifications in all the recommended candidates, and believe that any of them, if chosen by the Legislature, will serve capably as Regent. We are equally grateful for the candidacy of those who were not finally recommended to the Legislature. These persons, together, set the high standard which the recommended candidates had to meet."