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MINNESOTA BOARD OF MEDICAL PRACTICE

BIENNIAL REPORT

JULY 1, 1996 TO JUNE 30, 1998

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BIENNIAL REPORT

**MINNESOTA BOARD OF MEDICAL PRACTICE
2829 UNIVERSITY AVENUE SE
SUITE 400
MINNEAPOLIS, MINNESOTA 55414-3246**

STATUTORY AUTHORITY: M.S. 146, 147, 148, 319A

REPORT PERIOD: July 1, 1996 to June 30, 1998

SUBMITTED BY: ROBERT A. LEACH, EXECUTIVE DIRECTOR

MINNESOTA BOARD OF MEDICAL PRACTICE

MISSION STATEMENT

The Board's role is to administer the Medical Practice Act, which creates minimum standards for licensure. Currently, graduates from U.S. medical schools must complete a one year residency program and pass the national standardized examination to be licensed in Minnesota. Foreign graduates must pass their examinations and complete two years of residency in the United States or Canada. The Board also considers other information provided by the applicant and may conduct interviews before a license is granted.

The Board of Medical Practice is made up of 11 physicians and 5 public members, all of whom are appointed by the governor. Approximately 15,000 physicians are licensed by the Board of Medical Practice and the Board also regulates acupuncturists, athletic trainers, physical therapists, physician assistants, respiratory care practitioners and professional firms. The Board's mission is to protect the public by: 1) extending the privilege to practice to qualified applicants, and 2) investigating complaints relating to the competency or behavior of individual licensees or registrants.

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Clause a: GENERAL STATEMENT OF BOARD ACTIVITIES

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The Minnesota State Board of Medical Practice has been given the following responsibilities:

1. LICENSE TO PRACTICE MEDICINE AND SURGERY

<u>Basis</u>	<u>Licenses Issued</u>	
	FY 97	FY 98
A. FLEX examination	156	108
B. Reciprocity	20	15
C. Endorsement of National Board of Medical Examiners	301	283
D. Endorsement of National Board of Osteopathic Examiners	29	36
E. Endorsement of Medical Council of Canada	37	28
F. United States Medical Licensing Exam	367	442
G. Examination Combination	<u>87</u>	<u>58</u>
TOTAL	997	970
Residency Permits	423	670

A. FLEX Examination

The Federation Licensing EXamination (FLEX), a three day examination, was adopted by the Board in December, 1971 and is the examination used by all states within the United States. It is also used by Puerto Rico, the District of Columbia and the Province of Saskatchewan.

The FLEX score of 75.0 is the passing score used by all states, including Minnesota. The FLEX exam has been replaced by the United States Medical Licensing Examination. The last test administration in Minnesota was December, 1993. As of June, 1992, the United States Medical Licensing Examination (USMLE) was phased in to replace the FLEX examination. During this phase-in period ending prior to the year 2000, the Board will accept certain combinations of the FLEX examination for medical licensure. The Board has authorized the office to accept applications on the basis of FLEX examination taken in other states in lieu of taking our written examination by applying on the basis of reciprocity. Many of these applicants are international medical school graduates.

B. Reciprocity

Minnesota has reciprocity with all other states having examination requirements equal to or greater than Minnesota's. This means Minnesota will accept exam scores from other states, but does not guarantee licensure.

C. Endorsement of National Board of Medical Examiners

One normally becomes a Diplomate of the National Board of Medical Examiners by taking Day 1 (basic science subjects), as a second year medical student, Day 2 (clinical science subjects), as a fourth year medical student and Day 3, (clinical competence), as a first year resident. This is the basis on which most United States graduates and many Canadian graduates are licensed in Minnesota. As of June, 1992, the United States Medical Licensing Examination (USMLE) was being phased in to replace the National Board of Medical Examiners examination. During this phase in period ending prior to the year 2000, the Board will accept certain combinations of the National Board of Medical Examiners examination and the United States Medical Licensing Examination for medical licensure.

D. Endorsement of National Board of Osteopathic Examiners

Since the Board of Osteopathy was merged with the Board of Medical Examiners in 1963, the only license the Doctor of Osteopathy can obtain in Minnesota is the license to practice medicine and surgery; the same license as issued to the Doctor of Medicine. They may apply on the basis of reciprocity, examination or the National Board of Osteopathic Examiners.

E. Endorsement of Medical Council of Canada

Minnesota Session Laws 1977, Chapter 7, authorized the Board to grant licenses to licentiates of the Medical Council of Canada.

F. Endorsement of United States Medical Licensing Examination

The United States Medical Licensing Examination (USMLE) was developed in a joint effort by the Federation of State Medical Boards and the National Board of Medical Examiners to replace both the Federation Licensing Examination and the National Board of Medical Examiners examination. The Board is responsible for administering Step 3 of the examination which was first administered in June, 1994. Physicians must have passed all three steps of the exam within a 7 year period. Physicians must pass each step with passing scores as recommended by the USMLE program within three attempts.

G. Endorsement of Examination Combination

During the phase-in period for the United States Medical Licensing Examination, the Board will accept examination combinations recommended by the USMLE composite committee providing each exam has been passed within three attempts and the combination has been completed prior to the year 2000.

H. SPEX Computerized Examination

The SPEX examination has been utilized by the Board since August 1, 1991 to assure minimum competency of physician applicants who have not taken a licensing examination

for ten years and are not currently specialty board certified. The examination is a one day examination which has been computer-administered since September, 1995.

2. ENDORSEMENT OF CERTIFICATION TO OTHER STATES

During the two-year period, July 1, 1996 to June 30, 1998, 35 Minnesota licentiates used their Minnesota license to obtain licensure by reciprocity in other states. In addition to the above endorsements, thousands of certifications are made to the Drug Enforcement Administration, hospitals, drug companies and so forth.

3. PROFESSIONAL CORPORATIONS

During the two-year period, July 1, 1996 to June 30, 1998, 50 new corporations were formed, bringing the total of corporations formed since the original law was passed in 1951 to 1484. The number of corporations registered is 552, as of June 30, 1998.

4. TEMPORARY PERMITS

A temporary permit to practice medicine can be issued until the next Board meeting if all requirements for a full licensure have been met. From July 1, 1996 to June 30, 1998, 2,540 temporary permits were issued.

5. PHYSICAL THERAPY

Physical therapists have been registered in Minnesota since 1953. Physical therapists may be registered on the basis of having passed the National Physical Therapy Examination (NPTE), Assessment Systems, Inc. (ASI) exam, the Professional Examination Service (PES) examination or the American Registry of Physical Therapy Examination. The last three examinations are no longer administered. The number granted registration from July 1, 1996 to June 30, 1997 was 202 and from July 1, 1997 to June 30, 1998 was 203.

6. PHYSICIAN ASSISTANTS

Physician assistants have been registered in Minnesota since 1987. Current regulations dictate that physician assistants may be registered on the basis of having graduated from an accredited program and passed the National Commission on Certification of Physician Assistants, Inc. (NCCPA) exam. Effective March 9, 1992, rules were adopted allowing physicians to delegate authority to NCCPA certified physician assistants to prescribe and administer drugs other than controlled substances. Physician assistants practice under a supervisory agreement with a supervising physician(s). A new law was passed in 1995 replacing the rules governing physician assistants. The new law eliminated the supervisory agreement protocol as well as direct, on-site supervision. NCCPA certified physician assistants may also be delegated prescriptive authority for controlled substances by their supervising physician. The number granted registration from July 1, 1996 to June 30, 1997 was 55 and from July 1, 1997 to June 30, 1998 was 70.

7. RESPIRATORY CARE PRACTITIONERS

Respiratory Care Practitioners have been registered in Minnesota since November, 1992. To establish eligibility for registration, current rules require successful completion of a CAHEA accredited respiratory therapy training program and success completion of the National Board for Respiratory Care (NBRC) examination unless applicant is applying for registration by equivalency which only requires a current NBRC certificate. There was a grandparenting period which ended October 21, 1993 whereby respiratory care practitioners were eligible for transitional registration based on experience or passing the examination. The number granted registration from July 1, 1996 to June 30, 1997 was 97 and from July 1, 1997 to June 30, 1998 was 183.

8. ATHLETIC TRAINERS

Athletic Trainers have been registered in Minnesota since January, 1994. To establish eligibility for registration, current law requires successful completion of an athletic training program accredited by National Athletic Trainers Association Professional Education Committee, the National Athletic Trainers Association Board of Certification (NATABOC), or the Joint Review Committee on Education Programs in Athletic Training and successful completion of the National Athletic Trainers Association Board of Certification examination unless the applicant received a NATABOC certificate prior to 1971 which exempts them from the accredited program requirement. There was a grandparenting period which ends May 18, 1995 whereby athletic trainers are eligible for transitional registration based on experience and passing the examination. The number granted registration from July 1, 1996 to June 30, 1997 was 39 and from July 1, 1997 to June 30, 1998 was 74 .

9. ACUPUNCTURISTS

Acupuncturists have been licensed in Minnesota since January, 1996. The Practice Act requires that acupuncturists be NCCA certified to be eligible for a Minnesota license. During a two year transitional period ending June 30, 1997, acupuncturists may qualify for a license based on practice experience and successful completion of a clean needle technique course. The number granted licensure from July 1, 1996 to June 30, 1997 was 30 and from July 1, 1997 to June 30, 1998 was 50.

Clause b:

**THE NUMBER OF MEETINGS AND APPROPRIATE NUMBER
OF TOTAL HOURS SPENT BY ALL BOARD MEMBERS
IN MEETINGS AND ON OTHER BOARD ACTIVITIES**

	<u>FY 97</u>	<u>FY 98</u>
Total Number of Regularly Scheduled Board Meetings:	6	6
Total Number of Contested Case Meetings Held:	6	3
Total Number of Standing Committee Meetings Held:		
Licensing Committee	6	6
Public Policy Committee	7	4
Complaint Review Committees	24	24

**BOARD MEMBER HOURS
DETAIL REPORT
FY97 & FY98**

BOARD MEMBER	BOARD MTG HOURS FY97	PREPARATION HOURS FY97	TOTAL FY97	BOARD MTG HOURS FY98	PREPARATION HOURS FY98	TOTAL FY98
BAER, ZENAS	83	92	175	56	56	112
BREINER, ADRIENNE	95	140	235	49	36	85
BROOKER, DORIS	70		70	15		15
ENGWALL, SANDRA	27		27			0
GAVISER, JAMES	67	52	119	50	72	122
HAFNER, REBECCA			0	14	8	22
HERMAN, DAVID	11		11	52	16	68
JILK, PATRICIA	76	48	124	81	68	149
KNAPP, JAMES	64	72	136	48	52	100
LETOURNEAU, BARB	37	24	61	38	20	58
LINDQUIST, JANET	81	40	121	74		74
MARTIN, M.J.	56	68	124			0
MIKA, MARY	60	68	128	80	120	200
NELMS, C. RANDALL	8	12	20	36	12	48
SCHWARTZ, BURTON			0	13	16	29
SMYTH, PETER	89	60	149	83	52	135
STONE, CRAIG	43		43	36		36
TONGEN, SCOTT	70	116	186	80	112	192
TROUP, ELLIOTT	95	160	255	44	52	96
WILLETT, JOSEPH	41	60	101	61	56	117
	1,073	1,012		910	748	
TOTALS			<u>2,085</u>			<u>1,658</u>

CLAUSE C: RECEIPT AND DISBURSEMENT OF BOARD FUNDS

	<u>FY97</u>	<u>FY98</u>	<u>TOTAL</u>
TOTAL STATE APPROPRIATIONS	2,444,000	2,355,000	4,799,000
BOARD EXPENDITURES	2,650,000	2,542,000	5,192,000
AGO EXPENDITURES	1,142,000	1,416,000	2,558,000
	<hr/>		
TOTAL DISBURSEMENTS	3,792,000	3,958,000	7,750,000
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TOTAL FEE RECEIPTS	3,355,000	3,518,000	6,873,000

**Claude d: LIST OF BOARD MEMBERS WHO SERVED DURING
FISCAL YEARS 1997 AND 1998**

**NUMBER OF BOARD MEMBERS REQUIRED BY STATUTE: 16
LENGTH OF TERMS: 4 YEARS**

Name & Address	Occupation	Begin & End Date
Zenas Baer, J.D. 331 6 th St., Box 249 Hawley, MN 56549	Attorney	1/94 – 1/98
Hilda Bettermann 8435 Sara Road NW Brandon, MN 56315	Educator	6/98 – 1/2002
Doris Brooker, M.D. 10164 Trotters Path Eden Prairie, MN 55347	Medical Doctor	9/90 – 1/94 1/94 – 1/98
Adrienne Breiner 2829 University Ave. SE, #400 Minneapolis, MN 55414	Retired Teacher	5/91 – 1/95 1/95 – 1/99
Sandra Engwall, M.D. 2829 University Ave., SE, #400 Minneapolis, MN 55414	Medical Doctor	2/93 – 1/97
James Gavisser, M.D. 2545 Chicago Ave. S., #214 Minneapolis, MN 55404	Medical Doctor	3/96 – 1/2000
Rebecca Hafner, M.D. St. John's College Health Center P.O. Box 7122 Collegeville, MN 56321	Medical Doctor	1/98 – 1/2002
David Herman, M.D. Mayo Clinic 200 1 st St. SW Rochester, MN 55902	Medical Doctor	1/97 – 1/2001
Patricia Jilk 9460 Bailey Road Woodbury, MN 55125	Homemaker	1/94 – 1/98 1/98 – 1/2002
James F. Knapp, M.D. 736 Shorewood Drive Detroit Lakes, MN 56501	Medical Doctor	5/90 – 1/94 1/94 – 1/98
Barbara LeTourneau, M.D. 1305 Pinehurst Ave. St. Paul, MN 55116	Medical Doctor	5/96 – 1/2000

Janet Lindquist, M.D. 2229 3 rd Ave. E. Hibbing, MN 55746	Medical Doctor	2/93 – 1/97 1/97 – 1/2001
M.J. Martin, M.D. Mayo Clinic 200 First St. Rochester, MN 55905	Medical Doctor	1/89 – 1/93 1/93 – 1/97
Mary Mika 1704 E. Lake St. Minneapolis, MN 55407	Businesswoman	2/92 – 1/96 1/96 – 1/2000
C. Randall Nelms, Jr., M.D. 393 N. Dunlap, #600 St. Paul, MN 55104	Medical Doctor	3/97 – 1/2001
Burton Schwartz, M.D. 405 Piper Bldg. 800 E. 28 th St. Minneapolis, MN 55407	Medical Doctor	2/98 – 1/2002
Peter Smyth, M.D. Glencoe Medical Clinic 525 18 th St. East Glencoe, MN 55336	Medical Doctor	5/96 – 1/99
Craig Stone, J.D. 1377 Cleveland Ave. St. Paul, MN 55116	Lobbyist	5/96 – 1/2000
Scott Tongen, M.D. 8611 W. Point Douglas Rd. Cottage Grove, MN 55016	Medical Doctor	5/96 – 1/2000
Elliott Troup, M.D. 3410 Chandler Rd. Shoreview, MN 55126	Medical Doctor	6/94 – 1/97 1/97 – 1/2001
Joseph Willett, D.O. Affiliated Community Medical Centers 300 S. Bruce St. Marshall, MN 56258	Doctor of Osteopathy	7/96 – 1/99

**PHYSICAL THERAPY COUNCIL
MINNESOTA BOARD OF MEDICAL PRACTICE**

Clause d: LIST OF COUNCIL MEMBERS WHO SERVED DURING FY 97 AND 98

A. Number of Council members required by statute: 7

B. The statutory length of term: 4 years

NAME	OCCUPATION	BEGINNING/ENDING DATES OF (RE)APPOINTMENT
Florene Dougherty	PT	1-15-96 to 1-3-00
Corinne Ellingham	PT	3-23-98 to 1-3-00
Kathy Fleischaker	PT	1-6-92 to 6-30-94 3-14-96 to 1-4-99
Cynthia Fletschock	PTA	7-15-95 to 1-4-99
Tom Garrett	PT	1-1-84 to 1-5-88 1-5-88 to 1-6-92 1-6-92 to 6-30-94 7-15-96 to 1-1-96 1-15-96 to 12-17-97
David Nash	MD	3-12-88 to 1-6-92 1-6-92 to 6-30-94 1-15-96 to 1-3-00
Don Sheffield	Public	8-9-95 to 1-4-99

**PHYSICIAN ASSISTANT ADVISORY COUNCIL
MINNESOTA BOARD OF MEDICAL PRACTICE**

Clause d: LIST OF COUNCIL MEMBERS WHO SERVED DURING FY 97 AND 98

A. Number of Council members required by statute: 7

B. The statutory length of term: 4 years

NAME	OCCUPATION	BEGINNING/ENDING DATES OF (RE)APPOINTMENT
Robert A. Adams	PA	1-5-87 to 1-7-91 1-7-91 to 6-30-94 7-15-95 to 1-4-99
James Berry	Public	11-19-88 to 1-7-93 1-7-93 to 6-30-94 7-15-95 to 2-26-98
Brian Ebeling	MD	1-5-87 to 1-7-91 1-7-91 to 6-30-94 7-15-95 to 1-4-99
Mark Helgeson	PA	3-11-92 to 6-30-94 7-15-95 to 1-2-96 1-15-96 to 1-3-00
Marvin Heuer	MD	7-15-95 to 1-2-96 1-15-96 to 4-7-97
Suzanne Kaufman	Public	12-10-93 to 6-30-94 7-15-95 to 1-2-96 1-15-96 to 1-3-00
Stephen C. Wandersee	PA	1-5-87 to 1-7-91 1-7-91 to 6-30-94 7-15-95 to 1-4-99

**RESPIRATORY CARE PRACTITIONER ADVISORY COUNCIL
MINNESOTA BOARD OF MEDICAL PRACTICE**

Clause d: LIST OF COUNCIL MEMBERS WHO SERVED DURING FY 97 AND 98

A. Number of Council members required by statute: 7

B. The statutory length of term: 4 years

NAME	OCCUPATION	BEGINNING/ENDING DATES OF (RE)APPOINTMENT
Kenneth Ashmore	Public	10-10-95 to 1-1-00
Alison Coulter	MD	8-14-95 to 1-1-99
Cecilia Harrington	Public	3-16-92 to 6-30-94 8-14-95 to 4-16-98
David Ingbar	MD	8-14-95 to 1-1-00
Robert Lee	RCP	3-16-92 to 6-30-94 8-14-95 to 1-1-00
Tracy Weseloh	RCP	3-16-92 to 6-30-94 8-14-95 to 1-1-99
John T. Wheeler	RCP	3-16-92 to 6-30-94 8-14-95 to 1-1-00

**ATHLETIC TRAINER ADVISORY COUNCIL
MINNESOTA BOARD OF MEDICAL PRACTICE**

Clause d: LIST OF COUNCIL MEMBERS WHO SERVED DURING FY 97 AND 98

A. Number of Council members required by statute: 7

B. The statutory length of term: 4 years

NAME	OCCUPATION	BEGINNING/ENDING DATES OF (RE)APPOINTMENT
Sharon Androff	Public	7-10-93 to 1-1-96 1-15-96 to 1-3-00
Joel Boyd	MD	7-10-93 to 1-1-96 1-15-96 to 1-3-00
Cynthia Finke	AT/PT	7-10-93 to 1-1-96 1-15-96 to 1-3-00
Keith Johnson	DC	1-2-95 to 1-4-99
Lori Lebert	ATC	7-10-93 to 1-2-95 1-2-95 to 1-4-99
Gary Reinholtz	ATC	7-10-93 to 1-1-96 1-15-96 to 1-3-00
John Steele	Public	7-10-93 to 1-2-95 1-2-95 to 1-4-99
David Thorson	MD	7-10-93 to 1-2-95 1-2-95 to 1-4-99

**ACUPUNCTURE ADVISORY COUNCIL
MINNESOTA BOARD OF MEDICAL PRACTICE**

Clause d: LIST OF COUNCIL MEMBERS WHO SERVED DURING FY 97 AND 98

A. Number of Council members required by statute: 7

B. The statutory length of term: 4 years

NAME	OCCUPATION	BEGINNING/ENDING DATES OF (RE)APPOINTMENT
Miles Belgrade	MD	7-15-95 to 1-6-97 1-16-97 to 1-3-00
Deah Cain	Acupuncturist	7-15-95 to 1-4-99
Ned Holley	Acupuncturist	1-16-97 to 1-3-00
Vicki Radel	Acupuncturist	7-15-95 to 1-4-99
Janice Rapheal	Public	7-15-95 to 1-4-99
Evie Schulte	DC	7-15-95 to 1-5-98 1-17-98 to 1-3-00
Michael Studer	Acupuncturist	7-15-95 to 1-6-97
Jilun Zhang	Acupuncturist	5-20-96 to 1-5-98 1-17-98 to 1-3-00

CLAUSE E: List of Board employees who were employed during FY 97 and/or FY 98

NAME	CURRENT JOB CLASSIFCATION/TITLE	DATES OF SERVICE
Therese Anderson	Clerk Typist 3 – Licensure Specialist	10-25-76 – present
Barbara Dressel	Clerk Typist 2 – Receptionist	10-20-76 – present
Mary Erickson	Investigator Senior Medical Regulations Analyst Senior	4-24-91 – present
Denise Greising	Clerk Typist 3 - Licensure Specialist	4-15-87 - present
Jill Hayes	Clerk Typist 1 - Temporary	5-21-96 – 8-30-96 12-23-96 – 1-13-97 6-2-97 – 8-26-97 12-22-97 – 1-13-98 5-27-98 – present
Patricia Hayes	Clerk Typist 4 - Licensure Specialist	11-22-95 – present
Jeanne Hoffman	Management Analyst Supervisor 3 Licensure Supervisor	4-1-87 - present
Lois Kauppila	Office Services Supervisor 2 Office Manager	11-25-85 - present
Cheryl Kohanek	Clerk Typist 3 - Complaint Review Unit Asst.	12-10-97 - present
Brad Lagoon	Clerk Typist 2 - Complaint Review Unit Asst.	11-2-94 - present
Robert Leach	Executive Director	1-20-88 - present
Mary Leinberger	Investigation Supervisor Complaint Review Unit Supervisor	2-17-88 - present
Paul Luecke	Clerk Typist 2 - Registration Assistant	4-3-96 - present
William Marczewski	Investigator - Medical Regulations Analyst	2-3-88 - present
Ruth Martinez	Investigator Senior Medical Regulations Analyst Senior	1-20-88-7-7-93 6-1-94 - present
Sarah Milbrandt	Investigator - Medical Regulations Analyst	1-6-97 - present

Debra Milla	Accounting Officer Senior	12-4-91-present
Erika Oberfrank	Clerk Typist 3 - Registration Specialist	1-13-93-10-15-97 4-15-98-present
Helen Patrikus	Investigator - Medical Regulations Analyst	10-23-91-present
Vicky Paulson	Executive 1 - Executive Assistant	5-2-84-present
Stephanie Preble	Investigator - Medical Regulations Analyst	10-9-91-present
Nathan Schmidt	Information Technology Specialist 1	8-1-94-present
Eva Thompson	Information Technology Specialist 2	4-8-92-present
Richard Auld	Assistant Executive Director	11-27-85 – 6-16-92 8-24-94 – 1-21-96
Michael Bastian	Student Worker	10-4-96 – 12-31-96
Pamela Giefer	Investigator Senior Medical Regulations Analyst Senior	5-15-91 – 11-19-97
Maralee Higgins	Clerk Typist 3 - Registration Specialist	3-9-94 – 5-1-98
Christopher Ness	Clerk Typist 3 – Registration Specialist	9-8-93 – 9-22-96
Kara Stokke	Student Worker	5-6-96 – 6-8-98

Clause F:

**A BRIEF SUMMARY OF BOARD RULES
PROPOSED OR ADOPTED DURING THE REPORTING PERIOD
WITH APPROPRIATE CITATIONS TO THE STATE REGISTER AND
PUBLISHED RULES.**

1.) INFECTION CONTROL RULE

19 SR 2481 June 26, 1995

21 SR 1668 May 27, 1997

Sets forth infection control requirements for health professionals.

2.) FEE RULE

22 SR 718 November 10, 1997

Increases the registration fees for Respiratory Care Practitioners and establishes new fees.

3.) FETAL ALCOHOL EDUCATION RULE

22 SR 953 December 1, 1997

Sets forth the process for which physicians caring for pregnant woman and/or children with fetal alcohol syndrome can obtain educational materials on fetal alcohol syndrome/effects, prevention and treatment.

BOARD OF MEDICAL PRACTICE

Clause g: LIST THE NUMBER OF PERSONS HAVING EACH TYPE OF LICENSE AND REGISTRATION ISSUED BY THE BOARD AS OF JUNE 30, 1998 (IN THE YEAR OF THE REPORT)

TYPE OF LICENSE/REGISTRATION	TOTAL # IN EFFECT
Medicine and Surgery	14,765
Physical Therapy	2,877
Physician Assistant	397
Respiratory Care Practitioners	1143
Athletic Trainers	301
Acupuncturists	82
Professional Medical Corporation	552

BOARD OF MEDICAL PRACTICE

Clause h: ADMINISTRATION OF EXAMINATION FOR THE BOARD

EXAMINATION: USMLE - Step 3

LOCATION	TYPE OF LICENSE	DATES	EXAM TYPE
Mpls & Roch, MN	Medicine & Surgery	Dec 3-4, 1996	Written
Mpls & Roch, MN	Medicine & Surgery	May 13-14, 1997	Written
Mpls & Roch, MN	Medicine & Surgery	Dec 2-3, 1997	Written
Mpls & Roch, MN	Medicine & Surgery	May 12-13, 1998	Written

EXAMINATION: SPEX

The SPEX examination is computerized and taken by appointment.

EXAMINATION: Professional Examination Services, Inc.

LOCATION	TYPE OF REGISTRATION	DATES	EXAM TYPE
St. Paul, MN	Physical Therapy	7-96	Written
St. Paul, MN	Physical Therapy	11-96	Written

MINNESOTA BOARD of MEDICAL PRACTICE

Clauses i, j, k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: FLEX Minnesota

AGE GROUP	FY 1997									FY 1998									FY 1997 and FY 1998								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
26-34	2	1	3	2	1	3	0	0	0	1	1	2	1	1	2	0	0	0	3	2	5	3	2	5	0	0	0
35-59	0	0	0	0	0	0	0	0	0	2	2	4	2	2	4	0	0	0	2	2	4	2	2	4	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	2	1	3	2	1	3	0	0	0	3	3	6	3	3	6	0	0	0	5	4	9	5	4	9	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	67	33	100	67	33	100	0	0	100	50	50	100	50	50	100	0	0	100	56	44	100	56	44	100	0	0	100
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MINNESOTA BOARD of MEDICAL PRACTICE

Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: FLEX Minnesota

AGE GROUP	FY 1997									FY 1998									FY 1997 and FY 1998								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
26-34	3	0	3	3	0	3	0	0	0	0	0	0	0	0	0	0	0	0	3	0	3	3	0	3	0	0	0
35-59	1	0	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	1	0	1	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	4	0	4	4	0	4	0	0	0	0	0	0	0	0	0	0	0	0	4	0	4	4	0	4	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	100	0	100	100	0	100	0	0	100	0	0	100	0	0	100	0	0	100	100	0	100	100	0	100	0	0	100
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MINNESOTA BOARD of MEDICAL PRACTICE

Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents by state only who were (1) examined Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: FLEX Minnesota

STATE	FY 1997									FY 1998									FY 1997 and 1998								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
IL	1	0	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	1	0	1	0	0	0
MA	1	0	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	1	0	1	0	0	0
ND	1	0	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	1	0	1	0	0	0
TX	1	0	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	1	0	1	0	0	0
Totals	4	0	4	4	0	4	0	0	0	0	0	0	0	0	0	0	0	0	4	0	4	4	0	4	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	100	0	100	100	0	100	0	0	100	0	0	100	0	0	100	0	0	100	100	0	100	100	0	100	0	0	100
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MINNESOTA BOARD of MEDICAL PRACTICE

Clauses i, j, k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: Physical Therapist

AGE GROUP	FY 1997									FY 1998									FY 1997 and 1998								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	0	0	0	0	0	0	0	0	0	1	4	5	1	4	5	0	0	0	1	4	5	1	4	5	0	0	0
26-34	0	1	1	0	1	1	0	0	0	1	3	4	1	3	4	0	0	0	1	4	5	1	4	5	0	0	0
35-59	0	0	0	0	0	0	0	0	0	0	2	2	0	2	2	0	0	0	0	2	2	0	2	2	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	0	1	1	0	1	1	0	0	0	2	9	11	2	9	11	0	0	0	2	10	12	2	10	12	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	0	100	100	0	100	100	0	0	100	18	82	100	18	82	100	0	0	100	17	83	100	17	83	100	0	0	100
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M I N N E S O T A B O A R D o f M E D I C A L P R A C T I C E

Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: Physical Therapist

AGE GROUP	FY 1997									FY 1998									FY 1997 and 1998								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
26-34	0	1	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0
35-59	0	1	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	0	2	2	0	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2	0	2	2	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	0	100	100	0	100	100	0	0	100	0	0	100	0	0	100	0	0	100	0	100	100	0	100	100	0	0	100
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M I N N E S O T A B O A R D o f M E D I C A L P R A C T I C E

Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents by state only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: Physical Therapist

STATE	FY 1997									FY 1998									FY 1997 and 1998								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
VA	0	1	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0
	0	1	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0
Totals	0	2	2	0	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2	0	2	2	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	0	100	100	0	100	100	0	0	100	0	0	100	0	0	100	0	0	100	0	100	100	0	100	100	0	0	100
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M I N N E S O T A B O A R D o f M E D I C A L P R A C T I C E

Clauses i, j, k: PHYSICIAN ASSISTANTS TYPE OF LICENSE/REGISTRATION

List the number of Physician Assistants that were Licensed/Registered.

TYPE OF LICENSE/REGISTRATION: Physician Assistants

AGE GROUP	FY 1997									FY 1998									FY 1997 and 1998								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	0	0	0	1	2	3	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	1	3	4	0	0	0
26-34	0	0	0	14	9	23	0	0	0	0	0	0	15	23	38	0	0	0	0	0	0	29	32	61	0	0	0
35-59	0	0	0	10	19	29	0	0	0	0	0	0	15	16	31	0	0	0	0	0	0	25	35	60	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	0	0	0	25	30	55	0	0	0	0	0	0	30	40	70	0	0	0	0	0	0	55	70	125	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	0	0	100	45	55	100	0	0	100	0	0	100	43	57	100	0	0	100	0	0	100	44	56	100	0	0	100
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MINNESOTA BOARD of MEDICAL PRACTICE

Clauses i, j, k: RESPIRATORY CARE PRACTITIONERS TYPE OF LICENSE/REGISTRATION

List the number of Respiratory Care Practitioners that were Licensed/Registered.

TYPE OF LICENSE/REGISTRATION: Respiratory Care Practitioners

AGE GROUP	FY 1997									FY 1998									FY 1997 and 1998								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	1	1	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	2	2	0	0	0
18-25	0	0	0	3	15	18	0	0	0	0	0	0	3	16	19	0	0	0	0	0	0	6	31	37	0	0	0
26-34	0	0	0	14	20	34	0	0	0	0	0	0	27	36	63	0	0	0	0	0	0	41	56	97	0	0	0
35-59	0	0	0	21	23	44	0	0	0	0	0	0	49	48	97	0	0	0	0	0	0	70	71	141	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	2	0	2	0	0	0	0	0	0	2	0	2	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0	0	0	0	1	0	1	0	0	0
Totals	0	0	0	38	59	97	0	0	0	0	0	0	82	101	183	0	0	0	0	0	0	120	160	280	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	0	0	100	39	61	100	0	0	100	0	0	100	45	55	100	0	0	100	0	0	100	43	57	100	0	0	100
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M I N N E S O T A B O A R D o f M E D I C A L P R A C T I C E

Clauses i, j, k: ATHLETIC TRAINERS TYPE OF LICENSE/REGISTRATION

List the number of Athletic Trainers that were Licensed/Registered.

TYPE OF LICENSE/REGISTRATION: Athletic Trainers

AGE GROUP	FY 1997									FY 1998									FY 1997 and 1998								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0
18-25	0	0	0	6	13	19	0	0	0	0	0	0	12	20	32	0	0	0	0	0	0	18	33	51	0	0	0
26-34	0	0	0	8	9	17	0	0	0	0	0	0	24	15	39	0	0	0	0	0	0	32	24	56	0	0	0
35-59	0	0	0	1	1	2	0	0	0	0	0	0	2	1	3	0	0	0	0	0	0	3	2	5	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	0	0	0	16	23	39	0	0	0	0	0	0	38	36	74	0	0	0	0	0	0	54	59	113	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	0	0	100	41	59	100	0	0	100	0	0	100	51	49	100	0	0	100	0	0	100	48	52	100	0	0	100
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M I N N E S O T A B O A R D o f M E D I C A L P R A C T I C E

Clauses i, j, k: AUCUPUNCTURE TYPE OF LICENSE/REGISTRATION

List the number of Acupuncturists that were Licensed/Registered.

TYPE OF LICENSE/REGISTRATION: Acupuncture

AGE GROUP	FY 1997									FY 1998									FY 1997 and 1998								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
26-34	0	0	0	1	1	2	0	0	0	0	0	0	5	5	10	1	0	1	0	0	0	6	6	12	1	0	1
35-59	0	0	0	9	16	25	0	0	0	0	0	0	13	26	39	0	0	0	0	0	0	22	42	64	0	0	0
60-65	0	0	0	1	0	1	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	1	1	2	0	0	0
66+	0	0	0	1	1	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	2	0	0	0
Totals	0	0	0	12	18	30	0	0	0	0	0	0	18	32	50	1	0	1	0	0	0	30	50	80	1	0	1

Calculate % of Male and % of Female to the total of each Category

Total	0	0	100	40	60	100	0	0	100	0	0	100	36	64	100	100	0	100	0	0	100	38	63	100	100	0	100
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M I N N E S O T A B O A R D o f M E D I C A L P R A C T I C E

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FY 1998	FY 1997 and FY 1998
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	149	102	251
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis		
		0-18	18-25	26-34	35-59	60-65	66+	M	F		Grant	Deny
Physicians	AZ	0	0	1	2	0	0	3	0	FLEX	3	0
	FL	0	0	1	1	0	0	2	0		2	0
	GA	0	0	0	1	0	0	1	0		1	0
	IA	0	0	4	4	0	0	4	4		8	0
	IL	0	0	3	4	0	0	6	1		7	0
	KS	0	0	0	1	0	0	1	0		1	0
	KY	0	0	1	0	0	0	1	0		1	0
	MD	0	0	0	2	0	0	2	0		2	0
	MI	0	0	1	3	0	0	3	1		4	0
	MI	0	0	1	3	0	0	3	1		4	0
	MN	1	0	89	79	0	0	122	47		169	0
	MN	1	0	89	79	0	0	122	47		169	0
	MN	1	0	89	79	0	0	122	47		169	0
	NC	0	0	1	0	0	0	0	1		1	0
	ND	0	0	4	7	0	0	9	2		11	0
	ND	0	0	4	7	0	0	9	2		11	0
	NE	0	0	1	2	0	0	3	0		3	0
	NY	0	0	3	6	0	0	8	1		9	0
	NY	0	0	3	6	0	0	8	1		9	0
	OH	0	0	1	0	0	0	1	0		1	0
	OR	0	0	0	1	0	0	1	0		1	0
	PA	0	0	0	4	0	0	2	2		4	0
	SC	0	0	0	1	0	0	1	0		1	0
	SD	0	0	2	5	0	0	7	0		7	0
	TN	0	0	0	1	0	0	1	0		1	0

MINNESOTA BOARD of MEDICAL PRACTICE

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FY 1998	FY 1997 and FY 1998
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	149	102	251
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physicians	TX	0	0	4	2	0	0	5	1	FLEX	6	0
	UT	0	0	0	1	0	0	0	1		1	0
	VA	0	0	1	0	0	0	1	0		1	0
	WI	0	0	1	5	0	0	5	1		6	0

MINNESOTA BOARD of MEDICAL PRACTICE

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FYFY 1997 and 1998 1998	
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	76	54	130
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of License State Registration of	Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physicians	CO	0	0	3	0	0	0	2	1	COMB	3	0
	FL	0	0	0	1	0	0	1	0		1	0
	IA	0	0	0	2	0	0	1	1		2	0
	IL	0	0	1	0	0	0	1	0		1	0
	MI	0	0	2	1	0	0	3	0		3	0
	MN	0	0	89	20	0	0	61	48		109	0
	NC	0	0	1	0	0	0	1	0		1	0
	ND	0	0	1	1	0	0	0	2		2	0
	NE	0	0	1	1	0	0	2	0		2	0
	NJ	0	0	0	1	0	0	1	0		1	0
	NY	0	0	2	0	0	0	1	1		2	0
	VA	0	0	1	0	0	0	1	0		1	0
	WI	0	0	1	1	0	0	2	0		2	0

MINNESOTA BOARD of MEDICAL PRACTICE

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FYFY 1997 and 1998 1998	
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	37	28	65
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License of Res.	AGE GROUP						Gender		Method of Lic/Regis		
		0-18	18-25	26-34	35-59	60-65	66+	M	F		Grant	Deny
Physicians	AB	0	0	5	2	0	0	6	1	LMCC	7	0
	BC	0	0	4	1	0	0	4	1		5	0
	MB	0	0	6	1	0	0	5	2		7	0
	MN	0	0	23	10	0	0	19	14		33	0
	ND	0	0	1	2	0	0	3	0		3	0
	NJ	0	0	1	0	0	0	1	0		1	0
	OH	0	0	0	1	0	0	0	1		1	0
	ON	0	0	4	2	0	0	2	4		6	0
	PQ	0	0	1	0	0	0	1	0		1	0
	SD	0	0	0	1	0	0	1	0		1	0

M I N N E S O T A B O A R D o f M E D I C A L P R A C T I C E

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FYFY 1997 and 1998 1998	
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	301	283	584
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physicians	AL	0	0	1	1	0	0	1	1	NBME	2	0
	AR	0	0	0	1	0	0	0	1		1	0
	AZ	0	0	1	3	0	0	4	0		4	0
	BC	0	0	0	1	0	0	1	0		1	0
	CA	0	0	3	10	0	0	11	2		13	0
	CO	0	0	0	6	0	0	4	2		6	0
	FL	0	0	3	8	0	0	9	2		11	0
	GA	0	0	1	0	0	0	1	0		1	0
	HI	0	0	1	1	0	0	2	0		2	0
	IA	0	0	3	3	0	1	6	1		7	0
	IL	0	0	6	4	0	0	8	2		10	0
	IN	0	0	1	1	1	0	3	0		3	0
	KS	0	0	2	3	0	0	4	1		5	0
	KY	0	0	1	1	0	0	1	1		2	0
	LA	0	0	0	1	0	1	2	0		2	0
	MA	0	0	2	2	0	0	2	2		4	0
	MB	0	0	0	1	0	0	1	0		1	0
	MD	0	0	2	4	0	0	4	2		6	0
	MI	0	0	6	4	0	0	9	1		10	0
	MN	1	0	147	207	4	0	254	105		359	0
	MO	0	0	0	1	0	0	1	0		1	0
	MT	0	0	0	0	1	0	1	0		1	0
	ND	0	0	5	24	0	0	27	2		29	0
	NE	0	0	0	1	0	0	1	0		1	0
	NY	0	0	3	4	0	0	6	1		7	0

M I N N E S O T A B O A R D o f M E D I C A L P R A C T I C E

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FYFY 1997 and 1998 1998	
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	301	283	584
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type. of Registration	License of Res.	AGE GROUP						Gender		Method of Lic/Regis		
		0-18	18-25	26-34	35-59	60-65	66+	M	F		Grant	Deny
Physicians	OH	0	0	0	3	0	0	2	1	NBME	3	0
	OK	0	0	0	1	0	0	1	0		1	0
	OR	0	0	0	1	0	0	1	0		1	0
	PA	0	0	0	4	0	0	4	0		4	0
	RI	0	0	0	2	0	1	3	0		3	0
	SC	0	0	0	1	0	0	1	0		1	0
	SD	0	0	7	19	0	0	23	3		26	0
	TN	0	0	0	2	0	0	2	0		2	0
	TX	0	0	0	2	0	0	2	0		2	0
	UT	0	0	0	1	0	0	0	1		1	0
	VA	0	0	0	2	0	0	2	0		2	0
	VT	0	0	0	0	2	0	2	0		2	0
	WA	0	0	1	1	0	0	1	1		2	0
	WI	0	0	9	34	1	0	32	12		44	0
	WV	0	0	0	1	0	0	1	0		1	0

MINNESOTA BOARD of MEDICAL PRACTICE

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FYFY 1997 and 1998 1998	
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	29	36	65
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of License State Registration of	Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physicians	CA	0	0	1	0	0	0	1	0	NBOE	1	0
	IA	0	0	0	5	0	0	5	0		5	0
	KS	0	0	0	1	0	0	1	0		1	0
	MD	0	0	0	1	0	0	1	0		1	0
	ME	0	0	0	1	0	0	1	0		1	0
	MN	1	0	24	24	0	0	33	16		49	0
	ND	0	0	0	2	0	0	2	0		2	0
	OH	0	0	1	0	0	0	1	0		1	0
	PA	0	0	0	1	0	0	1	0		1	0
	SD	0	0	0	1	0	0	1	0		1	0
	UT	0	0	0	1	0	0	0	1		1	0
	WI	0	0	0	1	0	0	1	0		1	0

MINNESOTA BOARD of MEDICAL PRACTICE

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FYFY 1997 and 1998 1998	
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	423	671	1094
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License of Res.	AGE GROUP						Gender		Method of Lic/Regis		
		0-18	18-25	26-34	35-59	60-65	66+	M	F		Grant	Deny
Physicians	AL	0	1	2	0	0	0	2	1	RESPRG	3	0
	AR	0	0	2	0	0	0	2	0		2	0
	AZ	0	0	4	1	0	0	3	2		5	0
	CA	0	0	12	4	0	0	13	3		16	0
	CO	0	0	5	0	1	0	6	0		6	0
	CT	0	0	3	1	0	0	3	1		4	0
	FL	0	1	10	3	0	0	11	3		14	0
	GA	0	0	2	1	0	0	2	1		3	0
	IA	1	0	10	3	0	0	11	3		14	0
	IL	0	0	18	3	0	0	11	10		21	0
	IN	0	1	5	0	0	0	3	3		6	0
	KS	0	0	8	0	0	0	7	1		8	0
	KY	0	0	3	1	0	0	2	2		4	0
	LA	0	0	3	0	0	0	0	3		3	0
	MA	0	0	3	1	0	0	2	2		4	0
	MD	0	0	4	1	0	0	5	0		5	0
	MI	0	2	14	2	0	0	11	7		18	0
	MN	2	22	610	126	0	1	455	306		761	0
	MO	0	0	5	1	0	0	3	3		6	0
	MT	0	0	0	1	0	0	0	1		1	0
	NC	0	0	4	1	0	0	3	2		5	0
	ND	0	0	13	2	0	0	12	3		15	0
	NE	0	0	7	0	0	0	6	1		7	0
	NH	0	0	1	0	0	0	1	0		1	0
	NJ	0	0	5	2	0	0	6	1		7	0

MINNESOTA BOARD of MEDICAL PRACTICE

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FYFY 1997 and 1998 1998	
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	423	671	1094
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of License State Registration of	Res.	AGE GROUP						Gender		Method of Lic/Regis		
		0-18	18-25	26-34	35-59	60-65	66+	M	F		Grant	Deny
Physicians	NM	0	0	5	0	0	0	4	1	RESPRG	5	0
	NV	0	1	3	0	0	0	4	0		4	0
	NY	0	0	14	6	0	0	15	5		20	0
	OH	0	0	7	1	0	0	7	1		8	0
	OK	0	0	1	1	0	0	2	0		2	0
	OR	0	0	1	0	0	0	1	0		1	0
	PA	0	0	7	1	0	0	8	0		8	0
	RI	0	0	1	0	0	0	0	1		1	0
	SC	0	0	2	0	0	0	2	0		2	0
	SD	1	0	2	2	0	0	4	1		5	0
	TN	0	0	2	0	0	0	1	1		2	0
	TX	0	2	9	2	0	0	7	6		13	0
	UT	0	0	3	0	0	0	3	0		3	0
	VA	0	0	6	2	0	0	6	2		8	0
	VT	0	0	3	0	0	0	2	1		3	0
	WA	0	0	2	3	0	0	2	3		5	0
	WI	0	0	18	2	0	2	13	9		22	0
	WV	0	0	0	1	0	0	1	0		1	0
	WY	0	0	1	0	0	0	1	0		1	0
		0	4	25	11	0	0	30	10		40	0

M I N N E S O T A B O A R D o f M E D I C A L P R A C T I C E

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FYFY 1997 and 1998 1998	
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	19	15	34
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis		
		0-18	18-25	26-34	35-59	60-65	66+	M	F		Grant	Deny
Physicians	AR	0	0	0	0	1	0	1	0	STATE	1	0
	AZ	0	0	0	0	0	1	1	0		1	0
	BC	0	0	0	0	0	1	1	0		1	0
	CA	0	0	0	0	1	2	2	1		3	0
	CO	0	0	0	0	1	0	1	0		1	0
	FL	0	0	0	0	1	0	1	0		1	0
	IA	0	0	0	1	0	0	1	0		1	0
	ID	0	0	0	0	0	1	1	0		1	0
	KS	0	0	0	0	1	0	1	0		1	0
	KY	0	0	0	0	0	1	1	0		1	0
	MI	0	0	0	0	0	1	1	0		1	0
	MN	1	1	0	2	3	1	7	1		8	0
	MO	0	0	0	0	1	0	1	0		1	0
	NC	0	0	0	1	0	0	1	0		1	0
	ND	0	0	0	1	0	0	0	1		1	0
	NE	0	0	0	0	0	2	2	0		2	0
	NM	0	0	0	0	1	0	1	0		1	0
	OR	0	0	0	0	1	0	1	0		1	0
	PA	0	0	0	0	0	1	1	0		1	0
	SD	0	0	0	1	0	0	1	0		1	0
	UT	0	0	0	1	0	0	1	0		1	0
	WA	0	0	0	0	1	0	1	0		1	0
	WI	0	0	0	1	0	1	2	0		2	0

M I N N E S O T A B O A R D o f M E D I C A L P R A C T I C E

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FYFY 1997 and 1998 1998	
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	365	442	807
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis		
		0-18	18-25	26-34	35-59	60-65	66+	M	F		Grant	Deny
Physicians	CA	0	0	1	1	0	0	1	1	USMLE	2	0
	CO	0	0	1	0	0	0	0	1		1	0
	DC	0	0	0	1	0	0	0	1		1	0
	IA	0	0	4	1	0	0	2	3		5	0
	IL	0	0	1	1	0	0	0	2		2	0
	IN	0	0	2	0	0	0	2	0		2	0
	MB	0	0	1	0	0	0	1	0		1	0
	MI	0	0	2	0	0	0	1	1		2	0
	MN	4	7	623	96	0	0	461	269		730	0
	MO	0	0	1	0	0	0	0	1		1	0
	NC	0	0	1	0	0	0	1	0		1	0
	ND	0	0	10	7	0	0	12	5		17	0
	NE	0	0	0	2	0	0	2	0		2	0
	NH	0	0	1	0	0	0	1	0		1	0
	NJ	0	0	0	1	0	0	0	1		1	0
	NS	0	0	1	0	0	0	1	0		1	0
	NY	0	0	3	0	0	0	1	2		3	0
	OH	0	0	2	1	0	0	3	0		3	0
	OR	0	0	0	1	0	0	1	0		1	0
	PA	0	0	1	1	0	0	2	0		2	0
	PQ	0	0	1	0	0	0	1	0		1	0
	SD	0	0	10	2	0	0	9	3		12	0
	TX	0	0	2	1	0	0	1	2		3	0
	UT	0	0	1	0	0	0	1	0		1	0
	VA	0	0	1	0	0	0	1	0		1	0

M I N N E S O T A B O A R D o f M E D I C A L P R A C T I C E

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FYFY 1997 and 1998 1998	
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	365	442	807
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License of Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physicians	WI	0	0	7	1	0	0	7	1	USMLE	8	0
	WY	0	0	1	0	0	0	1	0		1	0
		0	0	0	1	0	0	1	0		1	0

MINNESOTA BOARD of MEDICAL PRACTICE

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 97	FY 98	FY 97 and 98
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	154	182	336
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physical Therapist	AB	0	1	0	0	0	0	1	0	NPTE	1	0
	AL	0	0	0	1	0	0	0	1		1	0
	CA	0	0	1	0	0	0	1	0		1	0
	CO	0	0	0	1	0	0	1	0		1	0
	CT	0	1	0	0	0	0	0	1		1	0
	DC	0	0	1	0	0	0	1	0		1	0
	GA	0	0	0	1	0	0	0	1		1	0
	IA	0	1	2	1	0	0	1	3		4	0
	IL	0	0	3	1	0	0	1	3		4	0
	MA	0	0	1	0	0	0	0	1		1	0
	MI	0	0	2	2	0	0	2	2		4	0
	MN	0	89	144	36	0	0	81	188		269	0
	MO	0	0	0	1	0	0	1	0		1	0
	MT	0	0	1	0	0	0	1	0		1	0
	ND	0	5	2	2	0	0	7	2		9	0
	NV	0	0	0	1	0	0	1	0		1	0
	NY	0	2	0	0	0	0	2	0		2	0
	OH	0	0	1	0	0	0	0	1		1	0
	OR	0	0	1	0	0	0	0	1		1	0
	SD	0	3	4	0	0	0	3	4		7	0
	TN	0	0	2	0	0	0	1	1		2	0
VA	0	0	2	0	0	0	0	2	2	0		
WI	0	5	10	5	0	0	5	15	20	0		

M I N N E S O T A B O A R D o f M E D I C A L P R A C T I C E

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1997	FY 1998	1997 and 1998
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	45	10	55
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physical Therapist	AZ	0	0	1	0	0	0	0	1	ASI/PES	1	0
	CO	0	0	0	1	0	0	0	1		1	0
	CT	0	0	0	1	0	0	1	0		1	0
	DE	0	0	1	0	0	0	0	1		1	0
	IL	0	0	3	0	0	0	1	2		3	0
	IN	0	0	0	1	0	0	1	0		1	0
	KS	0	0	1	1	0	0	1	1		2	0
	LA	0	0	1	0	0	0	1	0		1	0
	MI	0	0	1	0	0	0	1	0		1	0
	MN	0	2	11	10	0	0	7	16		23	0
	NC	0	0	0	1	0	0	1	0		1	0
	ND	0	0	1	3	0	0	2	2		4	0
	NY	0	0	1	0	0	0	0	1		1	0
	OK	0	0	1	0	0	0	0	1		1	0
	PA	0	0	1	1	0	0	0	2		2	0
	SD	0	1	6	0	0	0	2	5		7	0
	WA	0	0	0	1	0	0	0	1		1	0
	WI	0	1	1	1	0	0	0	3		3	0

Clause m: Persons previously licensed or registered by the Board whose licenses or registrations were subject to Corrective Action, with brief statements of the reasons for the Corrective Action.

Status Change totals for the biennial period:

<u>Status Change Type</u>	<u>FY 97</u>	<u>FY 98</u>	<u>TOTAL</u>
Action	12	8	20
Action Satisfied	9	14	23

Persons previously licensed or registered by the Board whose licenses or registrations were revoked, suspended, or otherwise altered in status, with brief statements of the reasons for the revocation, suspension or alteration.

<u>Status Change Type</u>	<u>FY 97</u>	<u>FY 98</u>	<u>TOTAL</u>
Reinstatement	22	20	42
Amended Orders	5	8	13
Reprimand	1	0	1
Restriction, Reprimand, Civil Penalty	14	17	31
Summary Suspension	0	2	2
Suspension	8	14	22
Suspension Stayed	7	2	9
Voluntary Surrender	0	3	3
Revocation	2	0	2
	<u>59</u>	<u>66</u>	<u>125</u>

The number of persons previously licensed or registered by the board whose licenses or registrations were subject to corrective action with brief statements of the reasons for the corrective action:

Type of License And Fiscal Year	Type of Status Change	Violation of MN Stat. 147.091 Sudb.1
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FY 97 & 98

1.	M.D.	Corrective Action Satisfied	Requirement Met
2.	M.D.	Corrective Action Satisfied	Requirement Met
3.	M.D.	Corrective Action Satisfied	Requirement Met
4.	M.D.	Corrective Action	G,K
5.	M.D.	Corrective Action Satisfied	Requirement Met
6.	M.D.	Corrective Action	G,K
7.	M.D.	Corrective Action	K,S,O
8.	M.D.	Corrective Action Satisfied	Requirement Met
9.	M.D.	Corrective Action Satisfied	Requirement Met
10.	M.D.	Corrective Action Satisfied	Requirement Met
11.	M.D.	Corrective Action	K
12.	M.D.	Corrective Action	G,K,O
13.	M.D.	Corrective Action Satisfied	Requirement Met
14.	M.D.	Corrective Action	G,K
15.	M.D.	Corrective Action Satisfied	Requirement Met
16.	M.D.	Corrective Action	G,K
17.	M.D.	Corrective Action Satisfied	Requirement Met
18.	M.D.	Corrective Action	G,K
19.	M.D.	Corrective Action Satisfied	Requirement Met
20.	M.D.	Corrective Action	G,K,O
21.	M.D.	Corrective Action Satisfied	Requirement Met
22.	M.D.	Corrective Action	O
23.	M.D.	Corrective Action	G,K
24.	M.D.	Corrective Action	K,O
25.	M.D.	Corrective Action Satisfied	Requirement Met
26.	M.D.	Corrective Action Satisfied	Requirement Met
27.	M.D.	Corrective Action	K,L
28.	M.D.	Corrective Action Satisfied	Requirement Met
29.	M.D.	Corrective Action	G,K,O
30.	M.D.	Corrective Action Satisfied	Requirement Met
31.	M.D.	Corrective Action	G,T,K
32.	M.D.	Corrective Action	
33.	M.D.	Corrective Action Satisfied	Requirement Met
34.	M.D.	Corrective Action Satisfied	Requirement Met
35.	M.D.	Corrective Action Satisfied	Requirement Met
36.	M.D.	Corrective Action	G,K,O
37.	M.D.	Corrective Action	K
38.	M.D.	Corrective Action Satisfied	Requirement Met
39.	M.D.	Corrective Action	K
40.	M.D.	Corrective Action Satisfied	Requirement Met
41.	M.D.	Corrective Action	G,K
42.	M.D.	Corrective Action Satisfied	Requirement Met
43.	M.D.	Corrective Action Satisfied	Requirement Met

The number of persons previously licensed or registered by the board whose licenses or registrations were revoked, suspended, or otherwise altered in status with brief statements of the reasons for revocation, suspension or alteration:

Type of License And Fiscal Year	Type of Status Change	Violation of MN Stat. 147.091 Sudb.1
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FY 97

1.	M.D.	Amended, Suspend	F,G,L,R
2.	M.D.	Suspended	F,G,K,L,R,S
3.	M.D.	Condition	L,R
4.	M.D.	Civil Penalty, Condition	F,G,K,L
5.	M.D.	Amended, Condition	L,R
6.	M.D.	Condition, Suspended, Suspension Stayed	D,G,K,L,O,R,S,T,U
7.	M.D.	Amended, Condition	L
8.	M.D.	Condition	G,K,O,S
9.	M.D.	Amended, Condition	G,K,T
10.	M.D.	Civil Penalty, Condition, Suspended, Suspension Stayed	F,L
11.	M.D.	Amended, Condition	L,R
12.	M.D.	Condition	G,K,S
13.	M.D.	Condition, Suspended, Suspension Stayed	D
14.	M.D.	Condition, Suspended, Suspension Stayed	D
15.	M.D.	Condition	F,G,K,L,R,S
16.	M.D.	Suspended	C,D,Q
17.	M.D.	Condition, Suspended, Suspension Stayed	D
18.	M.D.	Amended, Condition	L,R
19.	M.D.	Civil Penalty, Revoked	F,G,K,L,O,Q,R,S,T,U
20.	M.D.	Civil Penalty, Suspended	G,K,L
21.	M.D.	Civil Penalty, Condition	G,K,O,S
22.	M.D.	Civil Penalty, Reprimanded	G,M
23.	M.D.	Civil Penalty, Reprimanded, Condition	G,K,O,T
24.	M.D.	Civil Penalty, Condition	G,K,O,P,S
25.	M.D.	Suspended	L,R
26.	M.D.	Condition	G,K,L,R
27.	M.D.	Condition	G,K,O
28.	M.D.	Civil Penalty, Condition	G,K,O,S
29.	M.D.	Suspended	F,L,R
30.	P.T.	Civil Penalty, Condition	F
31.	M.D.	Condition	G,K,L,R
32.	M.D.	Condition, Suspended, Suspension Stayed	C,G,K,T
33.	M.D.	Suspended	J
34.	M.D.	Suspended	L,R
35.	M.D.	Condition, Suspended, Suspension Stayed	D
36.	M.D.	Condition	G,K
37.	M.D.	Civil Penalty, Revoked	G,K,O,Q,T
38.	M.D.	Reinstatement	Requirement Met
39.	M.D.	Reinstatement	Requirement Met
40.	M.D.	Reinstatement	Requirement Met
41.	M.D.	Reinstatement	Requirement Met
42.	M.D.	Reinstatement	Requirement Met
43.	M.D.	Reinstatement	Requirement Met
44.	M.D.	Reinstatement	Requirement Met
45.	M.D.	Reinstatement	Requirement Met
46.	M.D.	Reinstatement	Requirement Met

Type of License Type of Status
And Fiscal Year Change

Violation of MN
Stat. 147.091 Subd.1

FY 97

47.	M.D.	Reinstatement
48.	M.D.	Reinstatement
49.	M.D.	Reinstatement
50.	M.D.	Reinstatement
51.	M.D.	Reinstatement
52.	M.D.	Reinstatement
53.	M.D.	Reinstatement
54.	M.D.	Reinstatement
55.	M.D.	Reinstatement
56.	M.D.	Reinstatement
57.	M.D.	Reinstatement
58.	M.D.	Reinstatement
59.	M.D.	Reinstatement

Requirement Met
Requirement Met
Requirement Met
Requirement Met
Requirement Met
Requirement Met
Requirement Met
Requirement Met
Requirement Met
Requirement Met
Requirement Met
Requirement Met
Requirement Met

The number of persons previously licensed or registered by the board whose licenses or registrations were revoked, suspended, or otherwise altered in status with brief statements of the reasons for revocation, suspension or alteration:

Type of License And Fiscal Year	Type of Status Change	Violation of MN Stat. 147.091 Sudb.1
FY 98		
1. M.D.	Amended	F,G,K,L,O,R
2. M.D.	Condition, Civil Penalty	D,G,K,M,O,T,U
3. R.C.P.	Suspend	
4. M.D.	Suspend, Civil Penalty	G,K,L,R,T
5. M.D.	Condition, Suspend, Civil Penalty	G,K,T
6. M.D.	Reinstatement	Requirement Met
7. M.D.	Reinstatement	Requirement Met
8. P.T.	Condition, Civil Penalty	F,L
9. M.D.	Reinstatement	Requirement Met
10. M.D.	Amended, Condition, Suspend, Suspend Stay.	F,G,K,L,R,S
11. M.D.	Amended, Condition	F,L,R
12. M.D.	Voluntary Surrendered	L
13. M.D.	Reinstatement	Requirement Met
14. M.D.	Suspend	G,K,F.L.O.R.S
15. M.D.	Suspend	G,K,L,O,R
16. M.D.	Reinstatement	Requirement Met
17. M.D.	Reinstatement	Requirement Met
18. M.D.	Reprimand, Condition, Civil Penalty	G.H.K.O
19. M.D.	Reprimand, Condition, Civil Penalty	K
20. P.A.	Suspend	L,R
21. M.D.	Condition	G,K,L,S
22. M.D.	Reprimand, Condition	T
23. R.C.P.	Suspend	L,R
24. M.D.	Reprimand, Condition	F,L,R
25. M.D.	Voluntary Surrendered	G,K,O
26. M.D.	Reinstatement	Requirement Met
27. P.T.	Suspend	Y
28. M.D.	Voluntary Surrendered, Civil Penalty	G,K,O,S
29. M.D.	Condition	L,R
30. M.D.	Amended, Suspend	F
31. M.D.	Reprimand, Condition, Civil Penalty	F,G,K,O,U
32. M.D.	Condition	D,L,R
33. M.D.	Condition, Suspend, Suspend Stay	D
34. M.D.	Summary Suspension	G,K,O
35. M.D.	Suspend, Civil Penalty	F,G,K,S,T
36. M.D.	Condition	F,G,K,L,O,R,S
37. M.D.	Amended, Suspend	F,L,R
38. M.D.	Condition	R
39. M.D.	Suspend	C,G,K
40. M.D.	Reprimand, Condition, Civil Penalty	F,G,I,K,O
41. A.P.	Condition	G,T
42. M.D.	Suspend	F,G,K,L,O
43. M.D.	Amended	L,R
44. M.D.	Reinstatement	Requirement Met
45. M.D.	Amended, Suspend	F,G,L,R,S
46. M.D.	Reinstatement	Requirement Met

Type of License Type of Status
And Fiscal Year Change

Violation of MN
Stat. 147.091 Subd.1

FY 98

47.	R.C.P.	Amended, Condition	L
48.	M.D.	Reinstatement	Requirement Met
49.	M.D.	Reinstatement	Requirement Met
50.	P.A.	Reinstatement	Requirement Met
51.	M.D.	Amended, Condition	L,R
52.	M.D.	Reinstatement	Requirement Met
53.	M.D.	Reinstatement	Requirement Met
54.	M.D.	Reinstatement	Requirement Met
55.	M.D.	Reinstatement	Requirement Met
56.	P.T.	Reinstatement	Requirement Met
57.	M.D.	Reinstatement	Requirement Met
58.	M.D.	Reinstatement	Requirement Met
59.	M.D.	Reinstatement	Requirement Met
60.	M.D.	Condition, Civil Penalty	K,L,O,R
61.	M.D.	Reprimand, Condition, Civil Penalty	G,K,L,O,T
62.	M.D.	Reprimand, Condition, Civil Penalty	F,G,K,O
63.	M.D.	Amended, Condition	F,G,K,L,O,R,S
64.	M.D.	Summary Suspension	G,K,O
65.	M.D.	Amended, Condition	L,R
66.	M.D.	Amended, Condition	F,G,K,L,R,S

Key for Violations of MN Stat. 147.091

Subdivision 1. Grounds listed. The board may refuse to grant a license or may impose disciplinary action as described in section 147.141 against any physician. The following conduct is prohibited and is grounds for disciplinary action:

- a) Failure to demonstrate the qualifications or satisfy the requirements for a license contained in this chapter or rules of the board. The burden of proof shall be upon the applicant to demonstrate such qualifications or satisfaction of such requirements.
- b) Obtaining a license by fraud or cheating, or attempting to subvert the licensing examination process. Conduct which subverts or attempts to subvert the licensing examination process includes, but is not limited to : (1) conduct which violates the security of the examination materials, such as removing examination materials from the examination room or having unauthorized possession of any portion of a future, current, or previously administrated licensing examination; (2) conduct which violates the standard of test administration, such as communicating with another examinee during the administration of the examination, copying another examinee's answers, permitting another examinee to copy one's answers, or possessing unauthorized materials; or (3) impersonating an examinee or permitting an impersonator to take the examination on one's own behalf.
- (c) Conviction, during the previous five years, of a felony reasonably related to the practice of medicine or osteopathy. Conviction as used in this subdivision shall include a conviction of an offense which if committed in this state would be deemed a felony without regard to its designation elsewhere, or a criminal proceeding where a finding or verdict of guilt is made or returned but the adjudication of guilt is either withheld or not entered thereon.
- (d) Revocation, suspension, restriction, limitation, or other disciplinary action against the person's medical license in another state or jurisdiction, failure to report to the board that charges regarding the person's license have been brought in another state or jurisdiction, or having been refused a license by any other state or jurisdiction.
- (e) Advertising which is false or misleading, which violates any rule of the board, or which claims without substantiation the positive cure of any disease, or professional superiority to or greater skill than that possessed by another physician.
- (f) Violating a rule promulgated by the board or an order of the board, a state, or federal law which relates to the practice of medicine, or in part regulates the practice of medicine including without limitation sections 148A.02, 609.344, and 609.345, or a state or federal narcotics or controlled substance law.

- (g) Engaging in any unethical conduct, conduct likely to deceive, defraud, or harm the public, or demonstrating a willful or careless disregard for the health, welfare or safety of a patient; or medical practice which is professionally incompetent, in that it may create unnecessary danger to any patient's life, health, or safety, in any of which cases, proof of actual injury need not be established.
- (h) Failure to supervise a physician's assistant or failure to supervise a physician under any agreement with the board.
- (i) Aiding or abetting an unlicensed person in the practice of medicine, except that it is not a violation of this paragraph for a physician to employ, supervise, or delegate functions to a qualified person who may or may not be required to obtain a license or registration to provide health services if that person is practicing within the scope of that person's license or registration or delegated authority.
- (j) Adjudication as mentally incompetent, mentally ill, or mentally retarded, or as a chemically dependent person, a person dangerous to the public, a sexually dangerous person, or a person who has a sexual psychopathic personality by a court of competent jurisdiction, within or without this state. Such adjudication shall automatically suspend a license for the duration thereof unless the board orders otherwise.
- (k) Engaging in unprofessional conduct. Unprofessional conduct shall include any departure from or the failure to conform to the minimal standards of acceptable and prevailing medical practice in which proceeding actual injury to a patient need not be established.
- (l) Inability to practice with reasonable skill and safety to patients by reason of illness, drunkenness, use of drugs, narcotics, chemicals or any other type of material or as a result of any mental or physical conditions, including deterioration through the aging process or loss of motor skills.
- (m) Revealing a privileged communication from or relating to a patient except when otherwise required or permitted by law.
- (n) Failure by a doctor of osteopathy to identify the school of healing in the professional use of the doctor's name by one of the following terms: osteopathic physician and surgeon, doctor of osteopathy, or D.O.
- (o) Improper management of medical records, including failure to maintain adequate medical records, to comply with a patient's request made pursuant to section 144.335 or to furnish a medical record or report required by law.
- (p) Fee splitting, including without limitation:

(1) paying, offering to pay, receiving, or agreeing to receive, a commission, rebate, or remuneration, directly or indirectly, primarily for the referral of patients or the prescription of drugs or devices:

(2) dividing fees with another physician or a professional corporation, unless the division is in proportion to the services provided and the responsibility assumed by each professional and the physician has disclosed the terms of the division;

(3) referring a patient to any health care provider as defined in section 144.335 in which the referring physician has a significant financial interest unless the physician has disclosed the physician's own financial interest; and

(4) dispensing for profit any drug or device, unless the physician has disclosed the physician's own profit interest. The physician must make the disclosures required in this clause in advance and in writing to the patient and must include in the disclosure a statement that the patient is free to choose a different health care provider. This clause does not apply to the distribution of revenues from a partnership, group practices, nonprofit corporation, or professional corporation to its partners, shareholders, members, or employees if the revenues consist only of fees for service performed by the physician or under a physician's direct supervision, or to the division or distribution of prepaid or capitated health care premiums, or fee-for services withhold amounts paid under contracts established under other state law.

(q) Engaging in abusive or fraudulent billing practices, including violations of the federal Medicare and Medicaid laws or state medical assistance laws.

(r) Becoming addicted or habituated to a drug or intoxicant.

(s) Prescribing a drug or device for other than medically accepted therapeutic or experimental or investigative purposes authorized by a state or federal agency or referring a patient to any health care provider as defined in section 144.335 for services or tests not medically indicated at the time of the referral.

(t) Engaging in conduct with a patient which is sexual or may reasonably be interpreted by the patient as sexual, or in any verbal behavior which is seductive or sexually demeaning to a patient.

(u) Failure to make reports as required by section 147.111 or to cooperate with an investigation of the board as required by section 147.131.

(v) Knowingly providing false or misleading information that is directly related to the care of that patient unless done for an accepted therapeutic purpose such as the administration of a placebo.

(w) Aiding suicide or aiding attempted suicide in violation of section 609.215 as established by any of the following:

(1) a copy of the record of criminal conviction or plea of guilty for a felony in violation of section 609.215, subdivision 1 or 2;

(2) a copy of the record of a judgment of contempt of court for violating an injunction issued under section 609.215, subdivision 4,

(3) a copy of the record of a judgment assessing damages under section 609.215, subdivision 5; or

(4) a finding by the board that the person violated section 609.215, subdivision 1 or 2. The board shall investigate any complaint of a violation of section 609.215, subdivision 1 or 2.

(x) Practice of a board-regulated profession under lapsed or nonrenewed credentials.

(y) Failure to repay a state or federally secured student loan in accordance with the provisions of the loan.

Clause n: List the number of complaints and other communications received by the Executive Director, each Board Member, employee, or other person performing services for the Board.

Complaints that allege or imply a violation of a statute or rule which the Board is empowered to enforce. These totals include cases referred to the Attorney General's staff who are assigned to assist your Board:

Jurisdictional complaints:	<u>FY 97</u>	<u>FY 98</u>	<u>TOTAL</u>
	938	907	1,845

Complaints which are forwarded to other agencies required by M.S. 214.10:

<u>FY 97</u>	<u>FY 98</u>	<u>TOTAL</u>
55	41	96

The number of complaints referred to another governmental agency (Federal, State, and Local) in each fiscal year is listed below:

<u>Agency</u>	<u>FY 97</u>	<u>FY 98</u>	<u>TOTAL</u>
OHFC	0	2	2
Medical Board Other Juris.	0	0	0
Board of Chiropractors	1	10	11
Board of Dentistry	1	1	2
Board of Marriage and Family	1	0	1
Board of Nursing	25	15	40
Board of Optometry	0	0	0
Board of Pharmacy	0	1	1
Board of Psychology	18	5	23
Board of Podiatry	3	2	5
Board of Social Work	3	1	4
Other Enforcement Agency	2	1	3
Unlicensed Mental Health	1	1	2
	<u>55</u>	<u>39</u>	<u>94</u>

Non-Jurisdictional	<u>FY 97</u>	<u>FY 98</u>	<u>TOTAL</u>
(Not referred to another agency)	11	5	15

Clause o: Summarize, by specific category, the substance of the complaints and communications referred to in clause (n) of M.S. 214.07 and, for each specific category, the responses and dispositions thereof pursuant to M.S. 214.10 and 214.11.

Summary of complaints and communications by specific category
Individual complaints may involve more than one category.

<u>Type</u>	<u>FY 97</u>	<u>FY 98</u>	<u>TOTAL</u>
Failure to Meet Licensure Requirements	3	0	3
Conviction of Felony	1	0	1
License Discipline in Another Jurisdiction	38	18	56
False/Misleading Advertising	4	10	14
Violating a Rule/Law/Order	29	27	56
Engaging in Unethical Conduct	618	221	839
Failure to Supervise	3	7	10
Hospital Privileges	21	28	49
Aiding/Abetting Unlicensed	2	3	5
Adjudicated mentally incompetent	1	0	1
Illness	85	67	152
License Renewal Application	2	3	5
Reveal Privileged Communication	28	12	40
Improper Management of Medical Records	95	88	183
Fee Splitting	0	3	3
Engaging in Abusive Billing	18	17	35
Addicted to Drug/Intoxicant	21	20	41
Prescribing	143	114	247
Sexual Misconduct	57	60	117
Failure to Report/Cooperate	4	00	4
Practicing without License/Registration	22	19	41
Unprofessional Conduct	456	538	994
	<u>1,651</u>	<u>1,255</u>	<u>2,906</u>

Summary of complaints and communications by specific source:

	<u>FY 97</u>	<u>FY 98</u>	<u>TOTAL</u>
Board of Medical Practice	103	97	200
Family Member of Patient	154	101	255
Patient	354	390	744
Third Party (Except Licensed Health Professionals)	33	18	51
Enforcement Agency	77	46	123
OHFC	13	24	37
Insurance Company	130	150	280
Reporting Obligation (Licensed Health Professional)	62	82	144
Self-Report	11	12	23
	<u>938</u>	<u>920</u>	<u>1,858</u>

<u>Dispositions</u>	<u>FY 97</u>	<u>FY 98</u>
Complaints Dismissed	612	590
Complaints Dismissed After Medical Coordinator Conference	87	73
Complaints Dismissed After Complaint Review Committee Conference	49	47

This clause includes two Orders and one Corrective Action Agreement about licensed physicians involving obstetrics, gynecology, prenatal care, and delivery.

Clause P: Any other objective information which the Board members believe will be useful in reviewing Board activities:

1. In September 1997, the Board convened a taskforce of experts to provide advice and recommendations on how the Board can improve its handling of impaired physicians.

The Taskforce consisted of:

John Ulwelling, Facilitator

Joseph Bloom, M.D., Psychiatrist

Barbara Schneidman, M.D., Psychiatrist

Richard Lentz, M.D., Psychiatrist

Donald Kjome, M.D., Family Practice Physician

The taskforce was supported by Alison Coulter, M.D., Medical Board Coordinator; Linda Close, J.D. and Mary Ann Bernard, J.D. of the Attorney General's Office; and the following Board staff: Robert Leach, Executive Director; Richard Auld, Assistant Executive Director; Mary Leinberger, Complaint Review Unit Supervisor; and Pamela Giefer, Senior Medical Regulations Analyst.

The taskforce issued its report entitled Recommendations to the Minnesota Board of Medical Practice Regarding the Management of Physician Impairment to the Board at its July 11, 1998 Board meeting. Following consideration and recommendations by the Board's Public Policy Committee, the Board accepted and approved all but one of the taskforce's recommendations at its September 12, 1998 Board meeting.

A copy of this report is available from the Board office upon request.

Clause q: The following numbered cases are summaries of individual complaints that were pending on the biennial report for July 1, 1988 and June 30, 1990 involving allegations of sexual contact between a regulated professional and a patient. These include the following in their respective numbered sections:

1. A listing of the allegation
2. Findings of the investigation
3. A list of the steps the Board has taken in acting on the case
4. Statement of disposition or investigation is "in progress"
5. Rationale for disposition

Case # 1

1. Allegation that physician inappropriately touched a patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee review, Consultant review.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Successful completion of Corrective Action Agreement.

Clause q: The following numbered cases are summaries of individual complaints that were pending on the biennial report for July 1, 1990 and June 30, 1992 involving allegations of sexual contact between a regulated professional and a patient. These include the following in their respective numbered sections:

1. A listing of the allegation
2. Findings of the investigation
3. A list of the steps the Board has taken in acting on the case
4. Statement of disposition or investigation is "in progress"
5. Rationale for disposition

Case # 1

1. Allegation that physician inappropriately touched a patient.
2. Investigation supported allegation.
3. Attorney General Office Investigation, Complaint Review Committee review, Complaint Review Committee appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 2

1. Allegation that physician kissed a patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee appearance, Evaluation of physician, Contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 3

1. Allegation that physician had sex with a patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee appearance, Evaluation of physician, Contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 4

1. Allegation that physician had sexual contact and engaged in inappropriate behavior with a patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee appearance, Evaluation of physician, Contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 5

1. Allegation that physician kissed a patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee appearance, Evaluation of physician, Contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 6

1. Allegation that physician had sex with a patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee appearance, Evaluation of physician, Contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 7

1. Allegation that physician had sex with a patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee appearance, Evaluation of physician, Contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 8

1. Allegation that physician had sex with a patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee appearance, Evaluation of physician, Contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 9

1. Allegation that physician had sex with a patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee appearance, Evaluation of physician, Contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 10

1. Allegation that physician kissed a patient and engaged in an inappropriate relationship.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee appearance, Evaluation of physician, Contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 11

1. Allegation that physician sexually abused a patient.

2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee conference. Consultant review. Contested case filed. Mediation. Order signed by respondent.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 12

1. Allegation that physician engaged in an inappropriate relationship with a patient.
2. Investigation supported allegations.
3. AGO Investigation. Complaint Review Committee review and appearance. Order offered. Contested case initiated. Evaluation. Revised Order offered.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 13

1. Allegation that physician was terminated from employment because of sexual misconduct.
2. Investigation did not substantiate all allegations.
3. Attorney General Office investigation, Complaint Review Committee review, Complaint Review Committee appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations unsubstantiated.

Case # 14

1. Allegation that physician made an inappropriate comment to a patient.
2. Investigation did not substantiate all allegations.
3. Attorney General Office investigation, Complaint Review Committee review, Complaint Review Committee appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations unsubstantiated.

Case # 15

1. Allegation that physician engaged in sexual relationship with patient.
2. Investigation did not substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation unsubstantiated.

Case # 16

1. Allegation that physician inappropriately touched a patient.
2. Investigation supported allegation.
3. Attorney General Office investigation, Complaint Review Committee review, contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 17

1. Allegation that physician had sexual relationship with patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Clause q: The following numbered cases are summaries of individual complaints that were pending on the biennial report for July 1, 1992 and June 30, 1994 involving allegations of sexual contact between a regulated professional and a patient. These include the following in their respective numbered sections:

1. A listing of the allegation
2. Findings of the investigation
3. A list of the steps the Board has taken in acting on the case
4. Statement of disposition or investigation is "in progress"
5. Rationale for disposition

Case # 1

1. Allegation that physician assistant took inappropriate photographs of patients in his office.
2. Investigation supported allegations.
3. Physician Assistant Council review, Complaint Review Committee appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 2

1. Allegation that physician assistant engaged in inappropriate sexual contact with patients.
2. Investigation supported boundaries violations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Sexual misconduct allegations unsubstantiated.

Case # 3

1. Allegation that physician made sexual advances to a patient after serving her alcohol.
2. Physician died before completion of investigation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Case dismissed because of death of respondent.

Case # 4

1. Allegation that physician improperly touched a patient during a breast exam.
2. Respondent agreed to disciplinary action pending criminal court trial. A 2nd order approved-Stayed suspension/no female patients except after evaluation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 5

1. Allegation that physician had engaged in criminal sexual conduct with two patients.
2. Physician agreed to disciplinary action pending criminal court trial. A 2nd order approved-Stayed suspension/no female patients except after evaluation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 6

1. Allegation that physician inappropriately touched a patient during a breast exam.
2. Respondent agreed to disciplinary action pending criminal court trial. A 2nd order approved-Stayed suspension/no female patients except after evaluation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 7

1. Allegation that physician engaged in criminal sexual conduct with two patients.
2. Respondent agreed to disciplinary action pending criminal court trial. A 2nd order approved-Stayed suspension/no female patients except after evaluation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 8

1. Allegation that physician engaged in criminal sexual conduct with two patients.
2. Respondent agreed to disciplinary action pending criminal court trial. A 2nd order approved-Stayed suspension/no female patients except after evaluation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 9

1. Allegation that physician engaged in criminal sexual misconduct with two patients.
2. Respondent agreed to disciplinary action pending criminal court trial. A 2nd order approved-Stayed suspension/no female patients except after evaluation.
3. AGO investigation, Complaint Review Committee conference and review.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 10

1. Allegation that physician engaged in criminal sexual conduct with two patients.
2. Respondent agreed to disciplinary action pending criminal court trial. A 2nd order approved-Stayed suspension/no female patients except after evaluation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 11

1. Allegation that physician inappropriately touched a patient during a breast exam.
2. Respondent agreed to disciplinary action pending criminal court trial. A 2nd order approved-Stayed suspension/no female

- patients except after evaluation.
3. AGO review, Complaint Review Committee review and appearance.
 4. Case Closed - Reason: ORDER
 5. Allegation substantiated.

Case # 12

1. Allegation that physician inappropriately touched a patient during a breast exam.
2. Respondent agreed to disciplinary action pending criminal court trial. A 2nd Order approved-Stayed suspension/no female patients except after evaluation.
3. AGO review, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 13

1. Allegation that physician inappropriately touched a patient during a breast exam.
2. Respondent agreed to disciplinary action pending criminal court trial. A 2nd Order approved-Stayed suspension/no female patients except after evaluation.
3. AGO review, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 14

1. Allegation that physician inappropriately touched a patient and made an inappropriate comment to a patient.
2. Investigation supported one of the allegations.
3. Attorney General Office investigation, Complaint Review Committee review, Complaint Review Committee appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations unsubstantiated.

Case # 15

1. Allegation of sexual contact with a patient.
2. Investigation supported allegations.
3. AGO Investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 16

1. Allegation that an unlicensed physician engaged in inappropriate sexual contact with patients.
2. Investigation supported allegation.
3. AGO Investigation, Complaint Review Committee review, referred to County Attorney. Returned and referred to the Office of Mental Health Practitioners.
4. Open Case - Pending
5. N/A

Case # 17

1. Allegation that physician conducted an inappropriate breast exam.
2. Investigation could not substantiate allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 18

1. Allegation that physician inappropriately touched patients during therapy.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee review, Complaint Review Committee appearance, evaluation and contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 19

1. Allegation that physician was involved in an inappropriate relationship with patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee review, Complaint Review Committee appearance, evaluation and contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 20

1. Allegation that physician had a sexual relationship with a patient.
2. Investigation supported allegation.
3. Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Action in another state.

Case # 21

1. Allegation that physician used drugs to take sexual advantage of a patient.
2. Investigation corroborated evidence of boundary violations.
3. AGO investigation. Contested case. Mediation/Settlement. Order signed.
4. Case Closed - Reason: ORDER
5. Sexual misconduct allegations unsubstantiated.

Case # 22

1. Allegation that physician engaged in inappropriate relationship with patient.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Order offered. Contested case initiated. Evaluation. Revised Order.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 23

1. Allegation that physician engaged in inappropriate relationship with a patient.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Order offered. Contested case initiated. Evaluation. Revised Order offered.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 24

1. Allegation that physician engaged in inappropriate relationship with patient.

2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Order offered. Contested case initiated. Evaluation. Revised Order offered.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 25

1. Allegation that physician asked sexually inappropriate questions during gynecological exams.
2. Investigation did not substantiate inappropriate behavior.
3. AGO Investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegation not substantiated.

Case # 26

1. Allegation that physician inappropriately touched patient's breasts during exam.
2. Investigation did not substantiate allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 27

1. Allegation that physician pursued inappropriate relationship with patient.
2. Investigation supported allegations.
3. AGO Investigation, Complaint Review Committee appearance, Contested Case initiated.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Physician's license cancelled for non-renewal.

Case # 28

1. Allegation that physician held and kissed a patient.
2. Investigation unable to substantiate the allegations
3. AGO investigation
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 29

1. Allegation that physician inappropriately touched a patient and had sex with a patient.
2. Investigation inconclusive.
3. Attorney General Office investigation, Complaint Review Committee review, Complaint Review Committee appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations unsubstantiated.

Case # 30

1. Allegation that physician inappropriately touched a patient, made inappropriate comments to a patient and had sex with a patient.
2. Investigation inconclusive.
3. Attorney General Office investigation, Complaint Review Committee review, Complaint Review appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations unsubstantiated.

Case # 31

1. Allegation that physician inappropriately touched a patient.
2. Investigation supported allegation.
3. Attorney General Office investigation, Complaint Review Committee review, contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 32

1. Allegation that physician inappropriately touched a patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee review and appearance, contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 33

1. Allegation that physician made an inappropriate comment to a patient.
2. Allegation substantiated.
3. AGO investigation, Complaint Review Committee review and appearance, contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 34

1. Allegation that physician inappropriately touched patients.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee review and appearance, contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 35

1. Allegation that physician inappropriately touched patients.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee review and appearance, contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 36

1. Allegation that physician inappropriately touched patients.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee review and appearance, contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 37

1. Allegation that physician asked sexually inappropriate questions during exam.
2. Investigation did not substantiate allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 38

1. Allegation that physician engaged in a sexual relationship with a patient.
2. Investigation substantiated allegation.

3. AGO Investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 39

1. Allegation that physician made inappropriate comments to a patient.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 40

1. Allegation that physician inappropriately touched patients.
2. Investigation supported some allegations.
3. Attorney General Office investigation, Complaint Review Committee review, Complaint Review Committee appearance
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations unsubstantiated.

Case # 41

1. Allegation that physician inappropriately touched patient during exam.
2. Investigation supported allegation.
3. AGO Investigation, Complaint Review Committee conference, publication of sexual misconduct allegations in contested case hearing process.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 42

1. Allegation that physician inappropriately touched a patient.
2. Investigation inconclusive.
3. Attorney General Office investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations unsubstantiated.

Case # 43

1. Allegation that physician inappropriately touched a patient.
2. Investigation inconclusive.
3. Attorney General Office investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation unsubstantiated.

Case # 44

1. Allegation that physician inappropriately touched a patient.
2. Investigation supported allegation.
3. Attorney General Office investigation, Complaint Review Committee review and appearance, evaluation of physician, contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 45

1. Allegation of sexual contact with an adolescent patient.
2. Investigation supported allegation.

3. AGO Investigation, Complaint Review Committee review and appearance, contested case initiated.
4. Open Case - Pending
5. N/A

Case # 46

1. Allegation that physician engaged in sexual relationship with a patient.
2. Investigation inconclusive.
3. AGO Investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Sexual misconduct allegations unsubstantiated.

Case # 47

1. Allegation that physician had multiple sexual encounters with a patient.
2. Investigation inconclusive.
3. AGO Investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Sexual misconduct allegations unsubstantiated.

Case # 48

1. Allegation that physician engaged in sexual relationship with a patient.
2. Investigation inconclusive.
3. AGO Investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Sexual misconduct allegations unsubstantiated.

Case # 49

1. Allegation that physician engaged in sexual relationship with a patient.
2. Investigation inconclusive.
3. AGO Investigation, Complaint Review Committee review, Physician appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Sexual misconduct allegations unsubstantiated.

Case # 50

1. Allegation that physician engaged in sexual relationship with a patient.
2. Investigation inconclusive.
3. AGO Investigation, Complaint Review Committee review, Physician Appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Sexual misconduct allegations unsubstantiated.

Case # 51

1. Allegation that physician had a sexual relationship with a patient.
2. Investigation supported allegation.
3. Attorney General Office investigation, Complaint Review Committee review, Complaint Review Committee appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Successful completion of Corrective Action Agreement.

Case # 52

1. Allegation that physician sexually harassed female staff.
2. Investigation supported allegations involving competency problems.
3. AGO investigation, consultant review, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Sexual misconduct allegations unsubstantiated.

Case # 53

1. Allegation that physician engaged in sexual harassment of female staff.
2. Investigation supported allegation involving competency problems.
3. AGO Investigation, consultant review, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Sexual misconduct allegations unsubstantiated.

Case # 54

1. Allegation that physician sexually harassed a patient.
2. Investigation unsubstantiated allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 55

1. Allegation that physician artificially inseminated a patient with his own sperm and had a sexual relationship with a patient.
2. AGO investigation initiated but stopped when Respondent died.
3. AGO Investigation. Complaint Review Committee review. Contested case hearing authorized.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Respondent died.

Case # 56

1. Allegation that physician inappropriately touched a patient.
2. Investigation did not support allegations.
3. Attorney General Office investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations unsubstantiated.

Case # 57

1. Allegation that physician was sexually involved with a patient.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Order offered.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 58

1. Allegation that physician was sexually involved with a patient.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Order offered.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 59

1. Allegation that physician was sexually involved with a patient.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Order offered.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 60

1. Allegation that physician was sexually involved with a patient.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Order offered.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 61

1. Allegation that physician sexually harassed fellow employee who was also a patient.
2. Investigation failed to substantiate allegation.
3. AGO Investigation, Complaint Review Committee review, physician appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not substantiated.

Case # 62

1. Allegation that physician sexually harassed fellow employee who was also a patient.
2. Investigation failed to substantiate allegation.
3. AGO Investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not substantiated.

Case # 63

1. Allegations of inappropriate touch and inappropriate comments.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Contested case initiated. Evaluation of Respondent.
4. Open Case - Pending
5. N/A

Case # 64

1. Allegations of inappropriate comments and inappropriate examination.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Contested case initiated. Evaluation of Respondent.
4. Open Case - Pending
5. N/A

Case # 65

1. Allegation that physician engaged in inappropriate relationship with a patient.

2. Investigation unable to substantiate a physician-patient relationship.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Jurisdictional violation not established.

Case # 66

1. Allegation that physician had sexual relationship with a patient.
2. Investigation unable to substantiate a sexual relationship.
3. AGO Investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Sexual misconduct allegations unsubstantiated.

Case # 67

1. Allegation that physician inappropriately touched a patient and made inappropriate comments to a patient.
2. Investigation did not support allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations unsubstantiated.

Case # 68

1. Allegation that physician had sexual relationship with patient.
2. License suspended for competency issues.
3. AGO investigation, CRC appearance, contested case.
4. Case Closed - Reason: ORDER
5. Sexual misconduct allegations unsubstantiated.

Case # 69

1. Allegations that the physician had sexual relationship with patient.
2. License suspended for competency issues.
3. AGO investigation, CRC appearance, contested case
4. Case Closed - Reason: ORDER
5. Sexual misconduct allegations unsubstantiated.

Case # 70

1. Allegations that physician inappropriately touched a patient.
2. License suspended for competency issues.
3. AGO investigation, CRC appearance, contested case.
4. Case Closed - Reason: ORDER
5. Sexual misconduct allegations unsubstantiated.

Case # 71

1. Allegation that physician made sexual comments and inappropriately touched a patient.
2. License suspended for competency issues.
3. AGO investigation, CRC appearance, contested case.
4. Case Closed - Reason: ORDER
5. Sexual misconduct allegations unsubstantiated.

Case # 72

1. Allegation that physician engaged in criminal sexual misconduct.
2. Investigation substantiated allegation.
3. AGO investigation, Complaint Review Committee review and appearance.

4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 73

1. Allegation that physician engaged in criminal sexual misconduct.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 74

1. Allegation that physician asked sexually inappropriate questions.
2. Investigation unable to substantiate sexual misconduct allegations.
3. AGO Investigation. Complaint Review Committee review and appearance. Order offered. Evaluation. Contested case initiated. Mediated Stipulation & Order.
4. Case Closed - Reason: ORDER
5. Sexual misconduct allegations unsubstantiated, yet other violations of medical practice act were noted.

Case # 75

1. Allegation that physician asked sexually inappropriate questions.
2. Investigation unable to substantiate sexual misconduct.
3. AGO Investigation, Complaint Review Committee appearance, Order offered, Evaluation, Contested case initiated, Mediated Stipulation and Order.
4. Case Closed - Reason: ORDER
5. Allegations of sexual misconduct unsubstantiated, yet other violations of medical practice act were noted.

Case # 76

1. Allegation that physician hugged patient and inappropriately touched patient during exam.
2. Investigation inconclusive.
3. AGO Investigation, Complaint Review Committee review, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegations not substantiated.

Case # 77

1. Allegation that physician hugged patient and inappropriately touched patient during exam.
2. Investigation inconclusive.
3. AGO Investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegations not substantiated.

Case # 78

1. Allegation that physician inappropriately touched and hugged patient.
2. Investigation inconclusive.
3. AGO Investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE

5. Allegations not substantiated.

Case # 79

1. Allegation that physician was sexually involved with a patient.
2. Respondent denied alleged sexual misconduct.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Order for issues other than sexual allegations, because they were unable to be substantiated.

Case # 80

1. Allegation that physician made inappropriate remarks to patients.
2. Investigation substantiated most allegations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Behavioral issues addressed by Corrective Action.

Case # 81

1. Allegation that physician made inappropriate remarks to patients.
2. Investigation substantiated most of allegations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Behavioral issues addressed by corrective action.

Case # 82

1. Allegation that physician made inappropriate remarks to patients.
2. Allegations substantiated most allegations.
3. AGO investigation, Complaint Review Committee conference.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Behavioral issues addressed by corrective action.

Case # 83

1. Allegation that physician engaged in an inappropriate relationship with patient.
2. License cancelled.
3. Non-jurisdictional.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Dismissed non-jurisdictional. License cancelled.

Case # 84

1. Allegation that physician engaged in an inappropriate relationship with patient.
2. License cancelled.
3. Non-jurisdictional.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Dismissed non-jurisdictional. License cancelled.

Case # 85

1. Allegation that physician sexually assaulted patient.
2. Investigation did not support all allegations.
3. Attorney General Office investigation, Complaint Review Committee review, Medical Coordinator conference
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE

5. Allegations unsubstantiated.

Case # 86

1. Allegation that physician inappropriately touched patient's breasts during treatment.
2. Investigation unable to substantiate allegation.
3. AGO investigation, consultant review, Complaint Review Committee review and appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Sexual misconduct allegation not substantiated.

Case # 87

1. Allegation that physician made inappropriate remarks to patient.
2. Investigation substantiated allegation of some inappropriate remarks.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Not all allegations substantiated.

Case # 88

1. Allegation that physician made sexually inappropriate remarks to patient.
2. Investigative report substantiated some inappropriate sexual remarks.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Not all allegations substantiated.

Case # 89

1. Allegation that physician made sexually inappropriate remarks to patient.
2. AGO investigation substantiated some inappropriate sexual remarks.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Not all allegations substantiated.

Case # 90

1. Allegation that physician inappropriately touched a patient.
2. Investigation somewhat supported allegations.
3. Attorney General Office investigation, Complaint Review Committee review, Medical Coordinator conference, further Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegations unsubstantiated.

Case # 91

1. Allegation that physician inappropriately touched a patient.
2. Investigation somewhat supported allegation.
3. Attorney General Office investigation, Complaint Review Committee review, Medical Coordinator conference, further Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegations unsubstantiated.

Case # 92

1. Allegation that patient had a sexual relationship with physician.
2. Unable to locate unlicensed person.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Dismissed non-jurisdictional.

Clause q: The following numbered cases are summaries of individual complaints that were pending on the biennial report for July 1, 1994 and June 30, 1996 involving allegations of sexual contact between a regulated professional and a patient. These include the following in their respective numbered sections:

1. A listing of the allegation
2. Findings of the investigation
3. A list of the steps the Board has taken in acting on the case
4. Statement of disposition or investigation is "in progress"
5. Rationale for disposition

Case # 1

1. Allegations that physician assistant inappropriately touched a patient.
2. Unable to support allegations.
3. AGO Investigation, Physician Assistant Council review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Unable to substantiate the allegations.

Case # 2

1. Allegations of inappropriate touch and inappropriate comments made to patients.
2. Investigation revealed an evaluation of Respondent.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Evaluation did not substantiate predatory behavior.

Case # 3

1. Allegations of inappropriate touch and inappropriate comments made to patients.
2. Investigation revealed an evaluation of Respondent.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Evaluation did not substantiate predatory behavior.

Case # 4

1. Allegations of inappropriate touch and inappropriate comments made to patients.
2. Investigation revealed an evaluation of Respondent.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Evaluation did not substantiate predatory behavior.

Case # 5

1. Self-reported allegations of inappropriate touch and inappropriate comments to patients.

2. Investigation revealed an evaluation of Respondent.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Evaluation did not substantiate predatory behavior.

Case # 6

1. Allegation that physical therapist improperly massaged patient's breasts.
2. AGO investigation did not support allegation.
3. AGO investigation, Complaint Review Committee review, Physical Therapy Council review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegation not substantiated.

Case # 7

1. Allegation that physical therapist improperly touched patient and asked inappropriate questions.
2. Investigation did not substantiate allegations.
3. AGO investigation, Physical Therapy Council review, Complaint Review Committee review, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 8

1. Allegations that physical therapist engaged in sexual misconduct with a patient.
2. Physical therapist denied sexual misconduct allegations but admitted to questionable boundaries.
3. AGO investigation, Physical Therapy Council review, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Most allegations substantiated.

Case # 9

1. Alleged improper sexual contact with patient during breast exam.
2. No investigation conducted as license previously surrendered.
3. Complaint Review Committee review.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Dismissed, as license was surrendered in 1989.

Case # 10

1. Allegation that physician improperly touched patient during physical exam.
2. Investigation could not substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 11

1. Allegations that physician inappropriately touched a patient during a breast exam.
2. Allegations admitted at conference.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 12

1. Allegation that physician inappropriately touched a patient during a pelvic examination.
2. Respondent admitted to allegations.
3. AGO Investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 13

1. Allegation that physician acted inappropriately with a patient during an examination.
2. Investigation could not substantiate allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 14

1. Allegation that physician inappropriately touched a patient.
2. Physician denied allegation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations unsubstantiated. License resigned.

Case # 15

1. Allegation that physician inappropriately touched a patient.
2. Physician denied allegation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations unsubstantiated. License resigned.

Case # 16

1. Allegation that physician inappropriately touched a patient.
2. Physician denied allegation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations unsubstantiated. License resigned.

Case # 17

1. Allegations that physician was sexually inappropriate with a patient.
2. Not all allegations substantiated.
3. Attorney General's Office investigation, Medical Coordinator review, Complaint Review Committee review and appearance. Alleged victim appeared before Committee.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations not substantiated.

Case # 18

1. Allegations that physician was sexually inappropriate with a patient.
2. Not all allegations substantiated.
3. Attorney General's Office investigation, Medical Coordinator review, Complaint Review Committee review and appearance. Alleged victim appeared before Committee.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations not substantiated.

Case # 19

1. Allegation of inappropriate touch during physical exam.
2. Police investigation. Respondent pled guilty to one count felony sexual misconduct.
3. AGO investigation. Police investigation. Automatic revocation.
4. Case Closed - Reason: ORDER
5. MN Statute 147.091 Subd. 1a. provides for automatic revocation of license by the Board for conviction of felony sexual misconduct.

Case # 20

1. Respondent charged with criminal sexual conduct.
2. Police investigation. Respondent pled guilty to one count felony sexual misconduct.
3. AGO investigation. Police investigation. Automatic revocation after conviction of a felony sexual misconduct.
4. Case Closed - Reason: ORDER
5. MN Statute 147.091 Subd. 1a. provides for automatic revocation of license by the Board for conviction of felony sexual misconduct.

Case # 21

1. Respondent charged with criminal sexual misconduct.
2. Police investigation. Pled guilty to one count of criminal sexual misconduct.
3. AGO investigation. Police investigation. Automatic revocation after conviction.
4. Case Closed - Reason: ORDER
5. MN Statute 147.091 Subd. 1a. provides for automatic revocation of license by the Board for conviction of felony sexual misconduct.

Case # 22

1. Respondent charged with criminal sexual misconduct.
2. Police investigation. Pled guilty to one count of criminal sexual misconduct.
3. AGO investigation. Police investigation. Automatic revocation after conviction.
4. Case Closed - Reason: ORDER
5. MN Statute 147.091 Subd. 1a. provides for automatic revocation of license by the Board for conviction of felony sexual misconduct.

Case # 23

1. Allegations that Respondent's privileges were being suspended pending investigation of alleged boundaries violations.
2. Allegations substantiated by social services and AGO investigations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. MN Statute 147.091 Subd. 1a. provides for automatic revocation of license by the Board for conviction of felony sexual misconduct.

Case # 24

1. Allegations of possible sexual abuse of a minor by the respondent.

2. Investigation by another state agency did not find evidence of maltreatment.
3. AGO investigation. Police investigation. Criminal conviction for felony sexual misconduct.
4. Case Closed - Reason: ORDER
5. MN Statute 147.091 Subd. 1a. provides for automatic revocation of license by the Board for conviction of felony sexual misconduct.

Case # 25

1. Allegation that respondent engaged in sexual contact with patients.
2. Allegations appeared to be substantiated and respondent was found to be practicing without a licence.
3. AGO investigation. Complaint Review Committee recommended referral to County Attorney for prosecution of practicing medicine without a license.
4. Open Case - Pending
5. N/A

Case # 26

1. Allegations that respondent engaged in sexual contact with patients.
2. Allegations appeared to be substantiated and respondent was found to be practicing medicine without a license.
3. AGO investigation, Complaint Review Committee review, referred to county attorney for prosecution of practicing medicine without a license.
4. Open Case - Pending
5. N/A

Case # 27

1. Allegations that respondent engaged in sexual contact with patients.
2. Allegations were substantiated and respondent found to be practicing without a license.
3. AGO investigation. Complaint Review Committee review. Case referred to the County Attorney for prosecution of practicing medicine without a license.
4. Open Case - Pending
5. N/A

Case # 28

1. Alleged respondent was sexual with a patient in the 1970s and early 1980s.
2. No investigation. Patient refused to cooperate. Respondent under Board Order for prescribing and boundaries violations.
3. Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Because of the age of the complaint information, lack of patient cooperation & issues covered by existing Order, Complaint Review Committee dismissed.

Case # 29

1. Allegation that respondent inappropriately touched patient's buttocks.
2. Investigation unable to support allegations.
3. AGO investigation. Complaint Review Committee review. Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE

5. Allegations not substantiated.

Case # 30

1. Allegation that physician improperly touched patient during exam.
2. AGO investigation confirmed physical contact occurred but physician denied sexual intent.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegations not substantiated.

Case # 31

1. Allegation that MD sexually assaulted complainant's daughter.
2. Unable to substantiate allegation.
3. Attorney General's Office investigation, Medical Coordinator review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 32

1. Allegations that physician was sexually inappropriate with a patient.
2. Unable to substantiate allegations.
3. AGO investigation. Complaint Review Committee review. Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegations not substantiated.

Case # 33

1. Allegation that physician was sexually inappropriate with a patient.
2. Patient not identified.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not substantiated.

Case # 34

1. Allegation that physician engaged in sexual behavior with a patient.
2. Physician denied allegations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not adequately substantiated.

Case # 35

1. Allegation that physician was inappropriately sexual with a patient.
2. Physician denied allegations.
3. AGO investigation, Complaint Review Committee review, Complaint Review Committee appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not adequately substantiated.

Case # 36

1. Allegations that physician asked inappropriate questions and made inappropriate comments.
2. Conduct was questionable.

3. Similar allegations previously investigated by Attorney General's Office, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not adequately substantiated.

Case # 37

1. Allegation that respondent may have exhibited inappropriate sexual behavior during chemical dependency relapse.
2. No investigation was conducted since no specific incident was described.
3. Discussion by Complaint Review Committee. File closed after Amended Order related to illness.
4. Case Closed - Reason: DISMISSED
5. Previous information resulted in 1990 Order based on chemical dependency. Sexual misconduct allegations not substantiated.

Case # 38

1. Allegation that physician inappropriately smelled a patient's clothing.
2. Records unavailable from 1978; allegation could not be substantiated.
3. Board investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 39

1. Allegation that physician engaged in a sexual relationship with a patient.
2. Investigation supported boundary violations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Physician completed requirements of Corrective Action Agreement.

Case # 40

1. Allegation that physician engaged in sexual conduct with patient.
2. Investigation supported boundary violations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Physician completed requirements of Corrective Action Agreement.

Case # 41

1. Allegation that respondent used sexual innuendo during a physical exam.
2. Patient admittedly sexualized the exam. No sexual misconduct.
3. AGO investigation. Medical Coordinator Review. Complaint Review Committee reviewed and dismissed.
4. Case Closed - Reason: DISMISSED
5. Allegations unsubstantiated.

Case # 42

1. Allegations that physician made sexually inappropriate verbal communication with a patient.
2. Investigation supported only boundaries violations.
3. AGO investigation. Complaint Review Committee review and

- appearance. Physician evaluation.
4. Case Closed - Reason: ORDER
 5. Sexual misconduct not substantiated.

Case # 43

1. Allegations of inappropriate sexual contact/comments involving adolescent.
2. AGO investigation supported only boundaries violations.
3. AGO investigation. Complaint Review Committee review and appearance. Physician evaluation.
4. Case Closed - Reason: ORDER
5. Sexual misconduct not substantiated.

Case # 44

1. Allegation that physician conducted an inappropriate breast exam.
2. No evidence to support allegations.
3. AGO Investigation, Complaint Review Committee review
4. Case Closed - Reason: DISMISSED
5. Sexual misconduct not substantiated.

Case # 45

1. Allegation that physician acted inappropriately with female patients.
2. AGO investigation revealed problems with physician's style and conduct.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Physician took boundaries course at request of clinic; matter closed after completion of course.

Case # 46

1. Allegation that physician conducted improper breast exams.
2. AGO investigation revealed problems with physician's style and conduct.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Boundaries course completed voluntarily.

Case # 47

1. Allegations of inappropriate touch during a breast exam.
2. Unable to substantiate allegations.
3. AGO investigation. Complaint Review Committee reviewed. Case closed after medical coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegations not substantiated.

Case # 48

1. Allegation that respondent did an inappropriate breast exam.
2. The respondent was found to be using a dated and uncommon breast exam technique.
3. AGO Investigation. Medical Coordinator review. Complaint Review Committee requested Medical Coordinator Conference. Dismissed after the conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Physician educated following Medical Coordinator Conference.

Case # 49

1. Allegation that physician engaged in sexual relationship with at least one patient.
2. Investigation could not identify any patient.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 50

1. Allegation that physician improperly touched patient during exam.
2. Investigation revealed communication problems.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegations not substantiated.

Case # 51

1. Alleged inappropriate touch by physician in 1977.
2. Unable to substantiate the allegations.
3. AGO Investigation. Medical Coordinator review. Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 52

1. Allegation that physician inappropriately touched a patient.
2. Unable to substantiate allegations.
3. AGO investigation, Medical Coordinator review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 53

1. Allegations that physician inappropriately touched a patient.
2. Unable to substantiate.
3. AGO investigation, Medical Coordinator review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 54

1. Allegation that physician inappropriately touched a patient.
2. Unable to substantiate.
3. AGO investigation, Medical Coordinator review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 55

1. Allegations that physician inappropriately touched a patient during a pelvic examination.
2. Unable to substantiate allegations.
3. Attorney General's Office investigation, Medical Coordinator review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 56

1. Allegations of inappropriate breast exam.
2. Unable to substantiate the allegations.

3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations not substantiated.

Case # 57

1. Allegations that physician inappropriately touched a patient in a sexual manner.
2. Unable to substantiate the allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 58

1. Allegations that physician performed an inappropriate breast exam and made inappropriate comments.
2. Unable to substantiate.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 59

1. Allegation that physician performed breast exam in improper manner.
2. Investigation did not substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 60

1. Allegation that respondent inappropriately removed the patient's clothing without asking permission and was verbally demeaning and unprofessional.
2. AGO investigation found that the patient was more concerned about the unprofessional conduct & did not interpret the action as sexual.
3. AGO investigation. Medical Coordinator Review. Complaint Review Committee review.
4. Case Closed - Reason:
5. Allegations of sexual misconduct unsubstantiated.

Case # 61

1. Allegations that physician inappropriately touched a patient in a sexual manner and asked inappropriate questions.
2. Unable to substantiate.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Unable to substantiate allegations.

Case # 62

1. Allegations that physician asked inappropriate questions during an exam.
2. Physician admitted asking the questions.
3. AGO investigated. Complaint review committee reviewed. Closed after medical coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Physician educated during Medical Coordinator conference.

Case # 63

1. Allegations that physician had a sexual relationship with a

- patient.
2. AGO investigation confirmed allegations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 64

1. Allegations that physician harrassed another physician.
2. Allegations not substantiated.
3. AGO investigation. Complaint Review Committee reviewed and closed.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 65

1. Allegations that physician had a sexual relationship with a patient.
2. AGO investigation confirmed the allegations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 66

1. Allegation that physician had a sexual relationship with a patient.
2. Allegation substantiated.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 67

1. Allegation that physician had a sexual relationship with a patient.
2. Allegations substantiated.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 68

1. Allegations that physician had a sexual relationship with a patient.
2. AGO investigation confirmed the allegations.
3. AGO investigation, Complaint review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 69

1. Allegations that physician inappropriately touched a patient in a sexual manner and made sexual comments.
2. AGO investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 70

1. Allegations that physician inappropriately touched a patient in a sexual manner.
2. Attorney General's Office investigation initiated.
3. Attorney General's Office investigation.
4. Open Case - Pending
5. N/A

Case # 71

1. Allegations that physician used inappropriate language with the patient.
2. Some of the allegations were substantiated.
3. AGO investigation. Medical Coordinator review. Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations were substantiated and respondent had been disciplined by the institution where employed.

Case # 72

1. Allegation that physician inappropriately touched a patient.
2. Investigation revealed that physician did not communicate with the patient about the exam he needed to conduct.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Insufficient evidence to support inappropriate conduct.

Case # 73

1. Allegation that physician improperly touched patients.
2. Investigation confirmed that physician improperly touched patients during manic episode.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 74

1. Allegation that physician improperly touched patients.
2. Investigation revealed physician suffered manic episode leading to improper touch.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 75

1. Allegation that physician inappropriately watched a patient undress.
2. Investigation was inconclusive.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 76

1. Allegations that physician harassed a patient and made improper advances between 1987 and 1988.
2. Case closed when respondent was under a suspension order. Case reopened in 3-96 when respondent sought to lift suspension. Allegations inconclusive.
3. AGO Investigation. Complaint Review Committee discussed the case. Case to be included in evaluation physician must

complete as part of suspension order.

4. Reopened Case - Pending
5. N/A

Case # 77

1. Allegation that physician made inappropriate comments about patient's breasts.
2. Allegations not substantiated.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegation not substantiated.

Case # 78

1. Allegations that physician refused to allow a patient to have a nurse in the room during her exam and that the physician kissed the patient after the exam.
2. Allegations substantiated.
3. AGO investigation, Complaint Review Committee review and appearance, license temporarily suspended, contested case hearing resulting in revocation.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 79

1. Allegations that physician hugged a patient without her permission following an exam.
2. Allegations substantiated.
3. AGO investigation, Complaint Review Committee review and appearance, license temporarily suspended, contested case and license revoked.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 80

1. Allegation that physician inappropriately touched a patient, made inappropriate comments and had sex with a patient.
2. Investigation supported allegations.
3. Attorney General Office investigation, Complaint Review Committee review and appearance, evaluation of physician, contested case hearing.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 81

1. Allegations that physician conducted an inappropriate medical procedure and was verbally abusive to a patient, and was sexually inappropriate with a second patient.
2. Allegations substantiated.
3. MD previously appeared before the Complaint Review Committee, license temporarily suspended, contested case hearing, license revocation.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 82

1. Allegations that physician conducted an inappropriate medical procedure and was verbally abusive to a patient and that he was sexually inappropriate with a second patient.
2. Allegations substantiated.

3. MD previously conferenced, Attorney General's Office investigation, MD's license temporarily suspended, contested case hearing, license revocation.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 83

1. Allegations of inappropriate touch with a young adult patient.
2. Investigation pending.
3. AGO investigation, Complaint Review Committee review and appearance, contested case initiated.
4. Open Case - Pending
5. N/A

Case # 84

1. Allegation that physician engaged in improper sexual relationships with 2 patients.
2. Investigation confirmed allegations.
3. Attorney General investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 85

1. Allegation that physician engaged in improper relationship with 2 patients.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 86

1. Allegation that physician engaged in inappropriate relationship with patient who was later employed in physician's office.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 87

1. Allegation that physician engaged in inappropriate conduct with 2 female patients who were also employed in physician's office.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 88

1. Allegation that physician made inappropriate remark to patient regarding after breast biopsy.
2. Physician admitting to allegation.
3. AGO investigation, Complaint Review Committee review, Medical coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE

5. Physician educated during Medical Coordinator Conference.

Case # 89

1. Allegations that respondent inappropriately kissed and touched a patient.
2. Some of the allegations were substantiated.
3. AGO investigation. Medical Coordinator review. Complaint Review Committee reviewed & asked for Medical Coordinator Conference. Dismissed.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Physician educated during Medical Coordinator Conference.

Case # 90

1. Allegation that patient was touched inappropriately during pelvic exam.
2. Investigation could not substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 91

1. Alleged that patient related that she was inappropriately touched by MD during an examination.
2. Allegation not substantiated.
3. AGO investigation, Medical Coordinator review, Complaint Review Committee review
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 92

1. Physician disciplined in another state for inappropriate relationship with patient.
2. No investigation.
3. Complaint Review Committee member review.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. License cancelled in 1983.

Case # 93

1. Allegation that physician engaged in a sexual relationship with a patient.
2. Allegations substantiated.
3. AGO Investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 94

1. Allegation that physician asked inappropriate questions and conducted inappropriate pelvic exam.
2. AGO investigation could not substantiate sexual misconduct.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegation not substantiated.

Case # 95

1. Allegation that physician engaged in sexual relationship with a patient.
2. Investigation did not confirm improper behavior.
3. AGO investigation, Complaint Review Committee review.

4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 96

1. Allegation that physician engaged in sexual relationship with patient.
2. Investigation did not confirm allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 97

1. Allegation that physician improperly touched patient.
2. Investigation substantiated allegation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 98

1. Allegation that physician improperly touched patient during exam and asked inappropriate questions.
2. Investigation was inconclusive.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations not substantiated.

Case # 99

1. Allegations that physician inappropriately touched a patient.
2. Allegations not substantiated.
3. Obtained advise from AGO. Obtained MD's response and records. Obtained AGO opinion. Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Unable to substantiate allegations.

Case # 100

1. Allegation that physician improperly hugged patients.
2. Investigation substantiated boundary violation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Allegation substantiated.

Case # 101

1. Allegation that physician performed inappropriate exams of patient.
2. Investigation was inconclusive regarding inappropriate sexual conduct but supported communication problems.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Allegation substantiated regarding need for education.

Case # 102

1. Allegation that physician engaged in a sexual relationship with a patient.
2. Investigation substantiated allegations.
3. AGO investigation, Complaint Review Committee review and

- appearance. Physician evaluation.
4. Case Closed - Reason: ORDER
 5. Substantiated sexual misconduct.

Case # 103

1. Allegations that physician had a sexual relationship with a patient.
2. Investigation substantiated allegations.
3. AGO investigation, Complaint Review Committee review and appearance. Physician evaluation.
4. Case Closed - Reason: ORDER
5. Sexual misconduct substantiated.

Case # 104

1. Alleged inappropriate sexual conduct with a patient.
2. Allegations substantiated.
3. Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 105

1. Allegations that physician invaded a patient's personal space in a way that may be interpreted as sexual.
2. Physician may have problems with personal space issues, but conduct did not appear to be sexual in nature.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED
5. Unable to substantiate allegations.

Case # 106

1. Allegation that physician improperly touched patient during exam.
2. AGO investigation could not substantiate allegation of improper touch.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 107

1. Allegations of inappropriate touch and inappropriate comments.
2. Investigation supports allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Contested case initiated. Evaluation of Respondent.
4. Open Case - Pending
5. N/A

Case # 108

1. Allegation that physician inappropriately touched a patient in a sexual manner during an examination.
2. Questionable conduct supported.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Sexual misconduct not substantiated.

Case # 109

1. Allegations that physician conducted an inappropriate breast

- exam.
- 2. Unable to substantiate the allegations.
- 3. AGO investigation. Complaint Review Committee reviewed and closed.
- 4. Case Closed - Reason: DISMISSED
- 5. Unable to substantiate the allegations.

Case # 110

- 1. Allegations that physician conducted an inappropriate breast examination.
- 2. Unable to substantiate.
- 3. AGO investigation. Complaint Review Committee reviewed and closed.
- 4. Case Closed - Reason: DISMISSED
- 5. Unable to substantiate allegations.

Case # 111

- 1. Alleged inappropriate conduct with patients.
- 2. Investigation concluded that conduct may be result of illness.
- 3. AGO investigation, Complaint Review Committee review and appearance.
- 4. Case Closed - Reason: ORDER
- 5. Illness impaired ability to practice with reasonable skill/safety to patient

Case # 112

- 1. Allegation that physician had a sexual relationship with a patient.
- 2. Unable to substantiate.
- 3. AGO investigation, CRC contested case investigation of another matter resulted in revocation of the physician's license.
- 4. Case Closed - Reason: ORDER
- 5. License revoked.

Case # 113

- 1. Alleged sexual relationship with a patient.
- 2. AGO investigation pending.
- 3. AGO investigation.
- 4. Open Case - Pending
- 5. N/A.

Case # 114

- 1. Allegations that physician admitted that he inappropriately touched patients in a sexual manner while they were sedated.
- 2. Physician admitted conduct and was also convicted of a felony criminal sexual misconduct in regards to sexual contact with her daughter.
- 3. Offered physician an Order to revoke his license. Board approved the Order.
- 4. Case Closed - Reason: ORDER
- 5. Allegations substantiated.

Case # 115

- 1. Physician pled guilty to criminal sexual conduct and suspension of hospital privileges.
- 2. Physician admitted that he inappropriately touched female patients in a sexual manner while they were under sedation.
- 3. AGO investigation, Complaint Review Committee Review, Board approval of Stipulation and Order revoking license.

4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 116

1. Allegations that physician watched a patient undress and inappropriately touched a patient in a sexual manner.
2. Allegations not substantiated.
3. Attorney General's Office investigation, Medical Coordinator review, Complaint Review Committee review
4. Case Closed - Reason: DISMISSED
5. Unable to substantiate allegations.

Case # 117

1. Allegation that physician improperly touched patient during exam.
2. Investigation inconclusive.
3. AGO investigation, consultant review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 118

1. Allegations that physician engaged in sexual conduct with a patient.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Order offered. Evaluated. Contested case initiated. Mediated Stipulation and Order.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 119

1. Allegation that physician engaged in sexual contact with a patient.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Order offered. Evaluation. Contested case initiated. Mediated revised Order.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 120

1. Allegations that physician inappropriately touched a patient.
2. Allegations not substantiated.
3. Attorney General's Office investigation, Medical Coordinator review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 121

1. Allegation that physician improperly touched patient.
2. Investigation inconclusive.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegation not substantiated.

Case # 122

1. Allegations that physician inappropriately touched a patient.
2. Unable to substantiate allegations.

3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Unable to substantiate allegation.

Case # 123

1. Allegation of inappropriate touching.
2. Unable to substantiated allegations because the patient could not be identified.
3. Complaint Review Committee reviewed.
4. Case Closed - Reason: DISMISSED
5. Unable to substantiate the allegations.

Case # 124

1. Allegations that physician engaged in sexual misconduct with a patient by conducting an inappropriate examination.
2. Insufficient evidence to support discipline.
3. AGO Investigation, Complaint Review Committee review, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Physician educated by Medical Coordinator Conference.

Case # 125

1. Allegations that physician performed an inappropriate physical examination on an adolescent patient.
2. Allegations unsubstantiated.
3. Complaint Review Committee reviewed and recommended a Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Physician educated by Medical Coordinator conference.

Case # 126

1. Allegation that physician performed breast exams inappropriately.
2. AGO investigation could not identify patients.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 127

1. Allegations that physician engaged in an inappropriate relationship with a patient.
2. Unable to substantiate allegations.
3. AGO investigation, Case closed after Complaint Review Committee Review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 128

1. Allegations that physician engaged in an inappropriate relationship with a patient.
2. Unable to substantiate allegations.
3. AGO investigation. Closed after Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 129

1. Allegations that physician engaged in an inappropriate relationship with a patient.
2. Substantiated allegations.

3. AGO investigation, Complaint Review Committee review and appearance. Order offered and pending.
4. Open Case - Pending
5. N/A

Case # 130

1. Allegations that physician had an inappropriate sexual relationship with a patient.
2. Allegations substantiated.
3. AGO investigation, Complaint Review Committee review and appearance. Order offered and pending.
4. Open Case - Pending
5. N/A

Case # 131

1. Allegations that physician inappropriately touched a patient and made sexual comments to her.
2. Unable to substantiate allegations. Unable to locate alleged victim.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Unable to substantiate allegations.

Case # 132

1. Allegation that physician looked at patient in sexually inappropriate manner.
2. AGO investigation could not verify misconduct.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 133

1. Allegations that physician had a sexual relationship with a patient.
2. Allegations unsubstantiated.
3. AGO investigation, Medical Coordinator review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations unsubstantiated.

Case # 134

1. Allegations that physician made sexually inappropriate comments to a patient.
2. Allegations unsubstantiated.
3. AGO investigation. Complaint Committee Review.
4. Case Closed - Reason: DISMISSED
5. Unable to substantiate allegations.

Case # 135

1. Allegations that physician asked a patient inappropriate questions during an examination.
2. Investigation unable to substantiate allegations.
3. AGO investigation, Complaint review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Unable to substantiate allegations.

Case # 136

1. Allegations that physician made inappropriate verbal comments

- to a patient.
- 2. Allegations unsubstantiated.
- 3. Obtained MD's response and records, Medical Coordinator review, Complaint Review Committee review.
- 4. Case Closed - Reason: DISMISSED
- 5. Allegation not substantiated.

Case # 137

- 1. Allegations that physician made inappropriate remarks to a patient.
- 2. Allegations substantiated.
- 3. Attorney General Review; Complaint Review Committee review and conference; Order proposed.
- 4. Case Closed - Reason: ORDER
- 5. Allegations of personality disorder substantiated.

Case # 138

- 1. Alleged sexually inappropriate comments to patients.
- 2. Substantiated inappropriate comments.
- 3. Attorney General review; Complaint Review Committee review and conference; Order proposed.
- 4. Case Closed - Reason: ORDER
- 5. Allegations of personality disorder substantiated.

Case # 139

- 1. Allegations that physician inappropriately touched a patient in a sexual manner.
- 2. Allegations unsubstantiated.
- 3. AGO investigation, Complaint Review Committee review and closed.
- 4. Case Closed - Reason: DISMISSED
- 5. Unable to substantiate the allegations.

Case # 140

- 1. Allegation that physician improperly touched patient.
- 2. Allegations unsubstantiated.
- 3. AGO investigation, Complaint Review Committee review.
- 4. Case Closed - Reason: DISMISSED
- 5. Unable to substantiate allegations.

Case # 141

- 1. Allegations that physician conducted an inappropriate breast examination.
- 2. Allegations unsubstantiated.
- 3. AGO investigation, Complaint Review Committee review.
- 4. Case Closed - Reason: DISMISSED
- 5. Unable to substantiate allegation.

Case # 142

- 1. Allegation that physician improperly performed breast exam.
- 2. Investigation did not support allegation; patient refused to cooperate.
- 3. AGO investigation, Complaint Review Committee review.
- 4. Case Closed - Reason: DISMISSED
- 5. Allegation not substantiated.

Case # 143

- 1. Allegation that physician improperly performed breast exam.
- 2. Investigation did not support allegation; patient refused to cooperate.

3. AGO investigation; Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 144

1. Allegation that physician sexually assaulted patient during exam.
2. Investigation was inconclusive in supporting allegation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not substantiated.

Case # 145

1. Allegations that unlicensed individual had a sexual relationship with a patient.
2. Respondent not licensed in MN as physician, psychologist, or social worker. Clinic unable to verify employment.
3. Attempted to verify licensure/employment; Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Unable to verify individual's identity.

Case # 146

1. Allegation that respondent was convicted of sexual misconduct with a patient while treating the patient as a physician assistant.
2. Investigation by Board staff revealed the person was not registered with the Board as a Physician Assistant.
3. Complaint Review Committee review and dismissed because individual is not licensed or registered in Minnesota.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Person is not registered as a physician assistant. Licensure will flag any application by respondent for referral to the Complaint Review Unit.

Case # 147

1. Allegation that Respondent, as Medical Director, engaged in an inappropriate relationship with a staff member.
2. No investigation.
3. Referred to Nursing Board.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Respondent is not licensed by our agency.

Case # 148

1. Allegation that physician asked inappropriate questions during exam.
2. Investigation did not support improper behavior.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Clause q: The following numbered cases are summaries of individual complaints filed with the Board or acted upon by the Board between July 1, 1996 and June 30, 1998 involving allegations of sexual contact between a regulated professional and a patient. These include the following in their respective numbered sections:

1. A listing of the allegation
2. Findings of the investigation
3. A list of the steps the Board has taken in acting on the case
4. Statement of disposition or investigation is "in progress"
5. Rationale for disposition

Case # 1

1. Allegations that acupuncturist inappropriately touched a patient and made inappropriate comments.
2. Investigation supported allegations.
3. Attorney General Office investigation, Licensure Committee review, evaluation of acupuncturist. Registered under Order.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 2

1. Allegations that physician assistant was terminated from a clinic for boundary problems with staff and patients.
2. Investigation substantiated allegations.
3. AGO investigation, Complaint Review Committee review and appearance. Physician assistant had an evaluation.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Evaluation found physician assistant safe to practice. Also, voluntarily completed boundaries training course.

Case # 3

1. Allegation that physical therapist made sexually inappropriate gesture to patient.
2. Physical therapist denied allegation.
3. AGO investigation, Physical Therapy Council review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 4

1. Allegation that physician had a sexual relationship with a patient.
2. No investigation.
3. Physicians license cancelled in December 1985. Case dismissed non-jurisdictional.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Dismissed non-jurisdictional.

Case # 5

1. Allegation that physician improperly touched a patient during an exam.
2. Investigation did not substantiate allegation.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not substantiated.

Case # 6

1. Allegation that physician engaged in inappropriate relationship with patient.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Physician died.

Case # 7

1. Allegation that physician engaged in inappropriate relationship with patient.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Physician died.

Case # 8

1. Allegations that physician sexually assaulted a patient.
2. No investigation.
3. Review by Complaint Review Committee member.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. License previously surrendered as a result of conviction for criminal sexual conduct with patients.

Case # 9

1. Allegation that physician made inappropriate comment to patient.
2. Allegation could not be substantiated.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 10

1. Allegation that the physician's participation privileges were terminated by HMO following criminal conviction for sexual misconduct with a patient.
2. No investigation done.
3. Review by a Complaint Review Committee member.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. License previously revoked for conviction of felony sexual conduct.

Case # 11

1. Allegation that physician sexually assaulted a patient during a pelvic exam.
2. Allegation could not be substantiated.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 12

1. Allegations of sexual contact and inappropriate behavior with female patients.
2. No investigation because Respondent is no longer licensed.
3. Review by Complaint Review Committee member.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. License cancelled in 1992 for non-renewal. File will be reopened if respondent attempts to reactivate license.

Case # 13

1. Allegation that physician improperly touched mother of a

- young patient during examination.
2. Investigation did not substantiate allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 14

1. Allegation that physician inappropriately touched a patient.
2. Investigation did not support allegation.
3. Attorney General Office investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation unsubstantiated.

Case # 15

1. Allegations of inappropriate sexual comments, inappropriate touch, and rude and unprofessional conduct during an IME.
2. The investigation was not completed prior to the death of the physician.
3. AGO investigation and Complaint Review Committee review.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Physician died.

Case # 16

1. Allegation that physician conducted an inappropriate breast exam.
2. Investigation did not support allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 17

1. Allegation that physician had sexual contact with a patient.
2. Investigation supported allegations.
3. AGO review. Allegations included with other ongoing investigation.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 18

1. Allegation that physician had unlawful sexual contact with patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 19

1. Allegation that physician improperly performed a breast examination.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 20

1. Allegation that physician inappropriately touched a patient.
2. Investigations somewhat supported allegations.
3. Attorney General Office investigation, Complaint Review Committee review, Medical Coordinator conference.

4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegations unsubstantiated.

Case # 21

1. Allegation that physician improperly touched vulnerable adult patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 22

1. Allegation that physician spoke in sexually demeaning manner to patients.
2. Investigation confirmed some allegations.
3. AGO investigation, Complaint Review Committee review and appearance. Corrective Action Agreement.
4. Open Case - Pending
5. N/A

Case # 23

1. Allegations that physician had sexual relations with patients.
2. License revoked in 1985 for conviction of criminal sexual misconduct.
3. Dismissed non-jurisdictional.c
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Dismissed non-jurisdictional. License previously revoked.

Case # 24

1. Allegation that physician conducted inappropriate pelvic examination.
2. AGO investigation initiated.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 25

1. Allegations that physician acted inappropriately with a patient.
2. Unable to substantiate allegations.
3. AGO investigation. Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Unable to substantiate allegations.

Case # 26

1. Allegations that physician inappropriately touched a patient and made inappropriate comments.
2. AGO investigation initiated.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 27

1. Allegation that physician conducted inappropriate examination.
2. Investigation could not substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 28

1. Allegations that physican inappropriately touched a patient's breasts.
2. AGO investigation initiated.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 29

1. Allegations that physician kissed a patient and inappropriately spoke to the patient.
2. AGO investigation initiated.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 30

1. Allegations that physician conducted an inappropriate gynecological exam.
2. AGO investigation did not support allegations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Open Case - Pending
5. N/A

Case # 31

1. Allegations that patient was exploited by physician.
2. Not investigated because license previously revoked.
3. Case dismissed as non-jurisdictional.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Dismissed since license was previously revoked.

Case # 32

1. Allegations that physician made sexual comments to a patient.
2. AGO investigation substantiated that the comments were made, but were misinterpreted.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations of sexual misconduct not substantiated.

Case # 33

1. Allegation that physician engaged in sexual relationship with patient.
2. AGO investigation initiated.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 34

1. Allegation that physician made inappropriate comments to a patient.
2. Investigation did not support allegations.
3. AGO investigation and Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations unsubstantiated.

Case # 35

1. Allegation that physician inappropriately touched a patient.
2. AGO investigation did not support allegations.

3. AGO investigation, Complaint Review Committee review and Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Physician educated during Medical Coordinator conference.

Case # 36

1. Allegations that physician inappropriate touched a patient.
2. AGO investigation initiated.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 37

1. Allegations that physician asked inappropriate questions about the patient's personal life during an exam.
2. Investigation did not support the allegations.
3. AGO investigation and Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations were unsubstantiated.

Case # 38

1. Allegation that physician had sex with patient.
2. Investigation supported allegation.
3. AGO investigation, publication of sexual misconduct allegations during contested case proceeding.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 39

1. Allegation that physician engaged in sexual contact with a patient.
2. Investigation supported allegation.
3. AGO investigation, publication of sexual misconduct allegations during contested case proceeding.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 40

1. Allegation that physician engaged in sex with patient.
2. Investigation supported allegation.
3. AGO investigation, publication of sexual misconduct allegations during contested case proceeding.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 41

1. Allegations that physician had a sexual relationship with a patient.
2. AGO investigation initiated.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 42

1. Allegations that physician had a sexual relationship with a patient.
2. AGO investigation initiated.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 43

1. Allegations that physician had a sexual relationship with a patient.
2. AGO Investigation initiated.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 44

1. Allegations that physician had a sexual relationship with a patient.
2. AGO investigation initiated.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 45

1. Allegations that physician had a sexual relationship with a patient.
2. AGO investigation initiated.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 46

1. Allegations that physician had sexual contact with a patient.
2. Investigation supports allegations.
3. AGO investigation. Combined with ongoing contested case.
4. Open Case - Pending
5. N/A

Case # 47

1. Allegations of inappropriate sexual contact with a vulnerable young adult.
2. Investigation unable to support allegations of sexual misconduct.
3. AGO investigation. Contested case initiated.
4. Open Case - Pending
5. N/A

Case # 48

1. Allegations of inappropriate touch and inappropriate conduct with a patient.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review. Contested case initiated.
4. Open Case - Pending
5. N/A

Case # 49

1. Allegation that physician improperly touched patient.
2. Patient refused to cooperate with investigation, allegation could not be substantiated.
3. AGO investigation, Consultant review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 50

1. Allegation that physician inappropriately touched patient during examination.
2. Investigation pending.
3. AGO investigation pending.
4. Open Case - Pending
5. N/A

Case # 51

1. Allegation that physician improperly touched a patient during an exam.
2. AGO investigation did not substantiate the allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 52

1. Allegations that physician inappropriately touched a patient's breast.
2. Unable to substantiate the allegations.
3. AGO investigation and Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Unable to substantiate allegations.

Case # 53

1. Allegations that physician performed an unnecessary pelvic exam.
2. AGO investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 54

1. Allegation that physician had a sexual relationship with a patient.
2. AGO investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 55

1. Allegation that physician improperly touched a patient during a breast exam.
2. Unable to substantiate.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not substantiated.

Case # 56

1. Allegation that physician improperly touched a patient during a breast exam.
2. Unable to substantiate.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not substantiated.

Case # 57

1. Allegation that physician improperly touched a patient during a breast exam.

2. Unable to substantiate.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not substantiated.

Case # 58

1. Allegation that physician improperly touched a patient during a breast exam.
2. Unable to substantiate.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not substantiated.

Case # 59

1. Allegation that physician improperly touched a patient during an exam.
2. Investigation did not substantiate allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 60

1. Allegation that physician engaged in a sexual relationship with a patient.
2. Investigation substantiated the allegations.
3. AGO investigation, Complaint Review Committee review and appearance. Contested case initiated.
4. Open Case - Pending
5. N/A

Case # 61

1. Allegation that physician engaged in sexual relationship with a patient.
2. Investigation substantiated the allegations.
3. AGO investigation, Complaint Review Committee review and appearance. Contested case initiated.
4. Open Case - Pending
5. N/A

Case # 62

1. Allegation that physician conducted inappropriate examination of patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 63

1. Allegations that physician had sex with a patient.
2. Investigation unable to support allegations.
3. AGO investigation. Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Unable to substantiate allegations.

Case # 64

1. Allegation that physician improperly touched a patient.
2. Investigation did not substantiate the allegations.

3. AGO investigation and Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Unable to substantiate allegations.

Case # 65

1. Allegation that physician improperly touched a patient.
2. AGO investigation did not substantiate the allegations.
3. AGO investigation, Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Unable to substantiate the allegations.

Case # 66

1. Allegations that physician inappropriately touched a patient.
2. Unable to substantiate the allegations.
3. AGO investigation and Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 67

1. Allegation that physician inappropriately touched a patient.
2. AGO investigation did not substantiate the allegations.
3. AGO investigation. Complaint Review Committee review and Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Physician educated during Medical Coordinator Conference.

Case # 68

1. Allegations that physician inappropriate touched a patient's breast.
2. Unable to substantiate allegations.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator Conference, closed.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegations not substantiated.

Case # 69

1. Allegation that physician had a sexual relationship with his minor patient's mother.
2. AGO investigation did not substantiate the allegations.
3. AGO Investigation. Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations unsubstantiated.

Case # 70

1. Allegation that physician had an inappropriate relationship with a patient.
2. Investigation did not support all allegations.
3. AGO investigation. Complaint Review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegations unsubstantiated.

Case # 71

1. Allegation that physician had a relationship with a prison inmate, where he worked.
2. AGO investigation substantiated the allegations.
3. Complaint Review Committee reviewed and closed since this file related to an issue already known to the Board.

4. Case Closed - ORDER
5. Allegations substantiated.

Case # 72

1. Allegation that physician inappropriately touched a patient.
2. AGO investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 73

1. Allegations that physician inappropriately touched a patient.
2. Investigation did not support all allegations.
3. AGO investigation and Complaint review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations unsubstantiated.

Case # 74

1. Allegation that physician had a sexual relationship with a patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 75

1. Allegation that physician had a sexual relationship with a patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 76

1. Allegation that physician had a sexual relationship with a patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 77

1. Allegation that physician had a sexual relationship with a patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 78

1. Allegation that physician had a sexual relationship with a patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 79

1. Allegations that physician inappropriately touched a patient and made inappropriate comments.

2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee and appearance. Physician evaluated. Contested case hearing initiated.
4. Open Case - Pending
5. N/A

Case # 80

1. Allegation that physician conducted an inappropriate examination.
2. Investigation supported allegation.
3. AGO investigation. Complaint Review Committee review and appearance. Physician evaluation. Contested case initiated.
4. Open Case - Pending
5. N/A

Case # 81

1. Allegations that physician inappropriately touched a patient.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Physician evaluated. Contested case initiated.
4. Open Case - Pending
5. N/A

Case # 82

1. Allegations that physician inappropriately touched a patient and made inappropriate comments.
2. Investigation supported allegations.
3. AGO investigation. Complaint Review Committee review and appearance. Physician evaluated. Contested case hearing initiated.
4. Open Case - Pending
5. N/A

Case # 83

1. Allegation that physician improperly touched a patient.
2. Investigation did not support allegation.
3. AGO investigation. Complaint review Committee review and appearance.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegation unsubstantiated.

Case # 84

1. Allegation that physician sexually assaulted a patient.
2. Investigation did not support allegation.
3. AGO investigation, consultant review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation unsubstantiated.

Case # 85

1. Allegation that physician sexually assaulted a patient.
2. Investigation did not support allegation.
3. Attorney General Office investigation, consultant review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation unsubstantiated.

Case # 86

1. Allegation that physician had a sexual relationship with a

- patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 87

1. Allegations that physician inappropriately touched a patient during an exam.
2. AGO investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 88

1. Allegation that physician conducted an inappropriate pelvic exam.
2. Consultant confirmed that this type of exam is inappropriate.
3. AGO investigation. Complaint Review Committee review and appearance. Consultant review. Contested case hearing initiated.
4. Open Case - Pending
5. N/A

Case # 89

1. Allegations that physician conducted an inappropriate pelvic exam.
2. Consultant confirmed that this type of exam is inappropriate.
3. AGO investigation. Complaint Review Committee review and appearance. Consultant review. Contested case hearing initiated.
4. Open Case - Pending
5. N/A

Case # 90

1. Allegation that physician inappropriately touched a patient.
2. Previously investigated as the result of another complaint.
3. Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Case previously reviewed and closed.

Case # 91

1. Allegation that physician had inappropriate sexual contact with a patient.
2. Investigation able to support boundaries violations but unable to support sexual misconduct.
3. AGO investigation. Complaint Review Committee review and appearance. Order offered. Contested case initiated. Evaluation of Respondent. Revised Order.
4. Case Closed - Reason: ORDER
5. Sexual misconduct allegations not substantiated.

Case # 92

1. Allegations of inappropriate touch and sexual involvement with a patient.
2. Investigation substantiated boundaries violations but failed to substantiate allegations of sexual misconduct.
3. AGO investigation. Complaint Review Committee review. Order offered. Evaluation of Respondent. Contested case initiated. Revised Order offered.

4. Case Closed - Reason: ORDER
5. Sexual misconduct allegations not substantiated.

Case # 93

1. Allegations that physician inappropriate touched a patient and had sexual contact with a patient.
2. Investigation unable to substantiate sexual misconduct allegations, however, did substantiate boundaries violations.
3. AGO investigation. Complaint Review Committee review and appearance. Order offered. Contested case hearing initiated. Mediation.
4. Case Closed - Reason: ORDER
5. Sexual misconduct allegations not substantiated.

Case # 94

1. Allegation that physician improperly touched a patient during an exam.
2. Investigation did not substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 95

1. Allegation that physician had a sexual relationship with a patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 96

1. Allegation that physician had a sexual relationship with a patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 97

1. Allegation that physician had a sexual relationship with a patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 98

1. Allegation that physician had a sexual relationship with a patient.
2. Investigation pending.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 99

1. Allegations that physician made inappropriate comments and inappropriately touched a patient.
2. Investigation did not support all allegations.
3. Attorney General Office investigation, Complaint Review Committee review, Medical Coordinator conference.

4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegations unsubstantiated.

Case # 100

1. Allegations that physician made inappropriate comments to patient.
2. AGO investigation could not substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 101

1. Allegations that physician asked the patient inappropriate questions.
2. Case previously investigated and dismissed after an appearance.
3. Dismissed on previous information.
4. Case Closed - Reason: DISMISSED
5. Unable to substantiate allegations.

Case # 102

1. Allegations that physician inappropriately touched a patient.
2. The AGO was unable to substantiate these allegations.
3. AGO investigation and Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Unable to substantiate allegations.

Case # 103

1. Allegations that physician inappropriately touched a patient.
2. Physician admitted to the allegations.
3. AGO investigation. Complaint Review Committee review and appearance.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 104

1. Allegation that physician inappropriately touched a patient.
2. Unable to substantiate the allegation.
3. AGO investigation. CRC reviewed and dismissed.
4. Case Closed - Reason: DISMISSED
5. Allegation unsubstantiated.

Case # 105

1. Allegation that an unlicensed individual inappropriately touched a patient.
2. AGO investigation pending.
3. AGO investigation.
4. Case Closed - Reason: DISMISSED
5. N/A

Case # 106

1. Allegation that physician pursued a social relationship with a patient and performed an improper breast exam on another patient.
2. Investigation could not substantiate allegations
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 107

1. Allegation that an unlicensed resident physician engaged in inappropriate sexual behavior with two patients.
2. AGO investigation supported allegations.
3. AGO investigation and Complaint Review Committee review. Unable to pursue disciplinary action as the physician was not licensed in the state. Flagged file.
4. Open Case - Pending
5. N/A