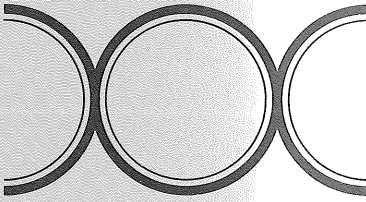
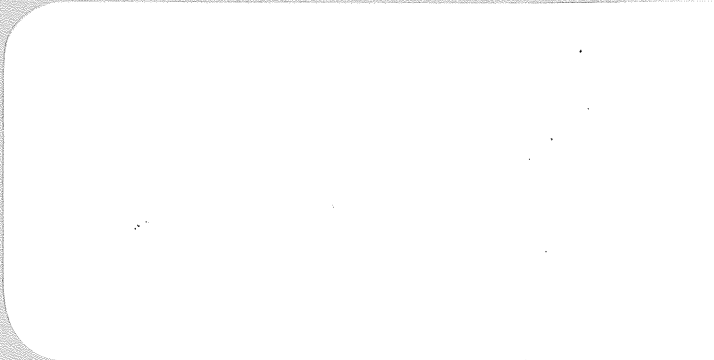


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# Minnesota Department of Corrections

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**COMMUNITY-BASED  
SEX OFFENDER  
PROGRAM EVALUATION PROJECT**

**1995 Report to the Legislature**

Minnesota Department of Corrections  
1450 Energy Park Drive, Suite 200  
St. Paul, Minnesota 55108-5219  
612/642-0200

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# INTRODUCTION

In 1993, the Minnesota Legislature enacted M.S. 241.67, Subd. 8, which requires that the Minnesota Department of Corrections (DOC) provide follow-up information on sex offenders placed on probation and conduct research to provide the data from which to recommend a fiscally sound plan to provide a coordinated system of effective sex offender treatment programming. This legislation also requires the DOC to provide treatment programs in several geographical areas of the state and to encourage the formation of model programs suited to local needs.

The Sex Offender/Chemical Dependency (SO/CD) Services Unit of the DOC has been given the primary responsibility for accomplishing the goals of M.S. 241.67, Subd. 8. In August, 1993 the DOC convened an Advisory Task Force to assist in giving direction to this project. Upon their advice, the SO/CD Services Unit has proposed the following plan to meet the mandate of the legislature.

- (1) Provide follow-up information on each sex offender for a period of three years following the offender's completion of or termination from treatment:**

We have developed instruments to allow for continuous data collection on sex offenders placed on probation. Information will be received on each sex offender at various points throughout his stay on probation, including pre-sentence assessment, placement on probation, entry into treatment, completion of or termination from treatment, and discharge from probation. Positive and negative outcomes will be studied. The development of these instruments is described in this report.

- (2) Provide treatment programs in several geographical areas in the state:**

We administer funding for a wide variety of sex offender treatment programs throughout the state. These programs are monitored closely and have been the source of innovative approaches to dealing with sex offenders on probation. A complete description of the funding provided for FY95 and allocations made for

FY96-7 are contained in this report. More than 800 sex offenders received treatment services in FY95 through funds administered by the SO/CD Services Unit.

- (3) Provide the necessary data to form the basis to recommend a fiscally sound plan to provide a coordinated statewide system of effective sex offender treatment programming:**

The Retrospective Probation Study is a large scale research project studying sex offenders placed on probation in the years 1987, 1989, and 1992. We have obtained data from the probation files of over 700 sex offenders, and have received preliminary results which are enlightening and encouraging. For example, 73% of the offenders in this sample showed no further arrests for any sort of criminal behavior with an average time at risk of more than four years. Further detail is available in this report. We also report on plans to conduct program evaluation research.

- (4) Provide an opportunity to local and regional governments, agencies, and programs to establish models of sex offender programs that are suited to the needs of that region:**

The District Development Component will assist in accomplishing this goal. We believe that it should be based on the results of the efforts described above. They should be driven by a study of the tracking of sex offenders, an examination of the success of the programs we have funded, and analysis of the data collected in the Retrospective Probation Study.

The Community-Based Sex Offender Program Evaluation Project (CBSOPEP) has been active in two major areas in the last fiscal year. The Project has funded treatment for sex offenders through the use of grants and contracts and has begun the research essential to providing the Legislature with the information necessary to develop statewide sex offender programming and supervision. The following report is presented in two sections. The first section concerns the Project's funding of sex offender programming and the second reports on the research completed as of September 1995.

# FUNDING FOR SEX OFFENDER PROGRAMMING

More than 500 adults and 600 juveniles are placed on probation for sex offenses each year (Report of Legislative Auditor, July 1994). Many times, conditions of probation will include a requirement that the offender enter and complete a sex offender treatment program. However, many offenders and their families are of lower income levels and cannot afford to pay the estimated \$7,200 per year charged by a typical community-based sex offender program. The costs of treatment are borne by several other sources, including counties, insurance companies, treatment programs, and the state.

The first part of this report will describe the funding for sex offender programming administered and monitored by the Sex Offender/Chemical Dependency (SO/CD) Services Unit of the Minnesota Department of Corrections (Table 1). This report will describe funding allocated for FY95 as well as the biennium of FY96-97. This includes:

- ◆ Funding for Sex Offenders on Probation;
- ◆ Funding for Sex Offenders on Supervised Release;
- ◆ Funding for Sex Offender Assessments.

Minnesota Statutes 241.67, subd. 1, directs the commissioner of corrections to provide and finance a range of sex offender treatment programs for adults and juveniles. Sex offenders eligible for such treatment, within the limits of available funding, are:

- (1) adults and juveniles committed to the custody of the commissioner;
- (2) adult offenders for whom treatment is required by the court as a condition of probation; and,
- (3) juvenile offenders who have been found delinquent or received a stay of adjudication, and for whom the juvenile court has ordered treatment.

## FISCAL YEAR 1995

### Funding for Sex Offenders on Probation Program Grant Fund

The SO/CD Services Unit allocated \$450,000 under the Program Grant Fund, using a competitive Request for Proposals process to award up to \$45,000 per grantee for the fiscal year. These grants were targeted at:

**TABLE 1  
DOC Funding for Sex Offender Programming & Assessment for FY95-97**

	FY95 # of Sex Offenders Served	FY95 Allocation	FY96 Allocation	FY97 Allocation
<b>FUNDING FOR SEX OFFENDERS ON PROBATION</b>				
Program Grant Fund	202	\$450,000	0	
Sex Offender Treatment Fund	150	750,000	0	
Pilot Project Grant Fund	389	491,000	} 774,000	774,000
Juvenile Sex Offender Treatment Program Fund	142	283,000		
<b>FUNDING FOR SEX OFFENDERS ON SUPERVISED RELEASE</b>				
Post-Release Residential/ICS Fund	68	291,000	} 522,000	522,000
Post-Release Community Supervision Fund	100+	156,000		
<b>SEX OFFENDER ASSESSMENT REIMBURSEMENT</b>				
Sex Offender Assessment Reimbursement Fund	600+	385,000	385,000	385,000

- ◆ new or expanded outpatient treatment for underserved geographic areas;
- ◆ new or expanded outpatient treatment for underserved sex offender populations;
- ◆ new or expanded continuing care programming involving increased interagency collaboration; and,
- ◆ enhancements to existing outpatient sex offender treatment programs (e.g., use of the plethysmograph and/or polygraph, addition of a more intensive chemical dependency component, innovative educational modules, etc.).

As noted in the 1994 Report to the Legislature (DOC, 1994), the SO/CD Services Unit awarded 11 grants last year: five grants started new outpatient sex offender treatment programs for juveniles and adults; three enhanced existing sex offender programming; and three funded special projects, including polygraph examinations and the development of a pre-sentence sex offender assessment protocol. These grants concluded at the end of FY95. Specific information on the agencies receiving these awards and a more complete description of the funded projects can be found in Appendix B.

Some highlights of the grants awarded under the Program Grant Fund:

- ◆ Five new programs provided easier access to treatment for sex offenders living in the following counties: (1) Aitkin, Crow Wing, and Morrison; (2) Sibley, McLeod, LeSueur, and Scott; (3) Todd and Wadena; (4) Blue Earth, Nicollet, Cottonwood, and Faribault; (5) Kittson, Roseau, Lake of the Woods, Cass, Beltrami, and Clearwater. The treatment groups at four of the five new programs were filled to capacity and these programs responded by developing more groups.
- ◆ A specialized, comprehensive assessment protocol was developed for juvenile sex offenders of lower intellectual functioning.
- ◆ A sex offender program for juveniles used polygraph examinations to encourage offender honesty during the initial assessment.

- ◆ Anoka County developed a model for integrating in-depth chemical dependency services into sex offender supervision/reoffense prevention programming.
- ◆ A practice of interagency sharing of techniques and resources developed among the grantees.

A variety of activities have occurred during the past fiscal year which suggest growing cooperation among county, state, and private agencies, including probation agents, therapists, and others involved with sex offender treatment and supervision. Many of these personnel have met on a regular basis to discuss common problems and tentative solutions. In addition, many agencies are conducting education programs for police, probation officials, court officials, and the public.

**Sex Offender Treatment Fund**

\$750,000 was allocated to be used as a Sex Offender Treatment Fund. This money was targeted toward sex offenders who had received a downward dispositional departure (i.e., were placed on probation instead of being sent to prison). It was anticipated that this money would be quickly spent; however, because of some difficulties in administering the fund, only \$268,250 of the amount allocated was used. These difficulties included:

- ◆ Differences in funding mechanisms for CCA and non-CCA counties. Grants were written for CCA counties and most of the money allocated to them was used. However, for non-CCA counties, contracts had to be written for each individual offender for whom treatment funding was sought. This made the funding process cumbersome and discouraged applications.
- ◆ A change in SO/CD Services Unit directors.
- ◆ A lower than expected number of offenders in the target group for whom funding was not already arranged from other sources (i.e., existing state grants, county funding, insurance payments, self-payment), and for whom funding could be quickly arranged. Based on conversations with probation officers and other county personnel, if a Treatment Fund were available on a consistent basis, more than \$270,000 would be expended per year. By next year's report, we should have data that will better define our true funding needs.

**A total of 883 sex offenders received treatment services in FY95 through funds administered by the SO/CD Services Unit.**

Despite these difficulties, approximately 150 sex offenders were funded for treatment using the Sex Offender Treatment Fund.

The money which was allocated, but not disbursed, has been returned to the General Fund.

#### **Pilot Project Grant Fund/Juvenile Sex Offender Treatment Program Grant Fund**

These two funds were initially allocated in FY90-91. These grants funded programs for adult and juvenile sex offenders throughout the state. Over 500 sex offenders received programming through these funds. See Appendix B for a complete listing of the programs funded during FY95.

#### **Funding for Sex Offenders on Supervised Release**

M.S. 241.67, subd. 3b requires that the commissioner of corrections provide for residential and outpatient sex offender programming and aftercare when required for conditional release under section 609.1352, or as a condition of supervised release. There are two funds which accomplish this.

#### **Post-Release Residential/ICS Fund**

This fund provides halfway house placement and sex offender groups for sex offenders released from prison who are found to be Public Risk Monitoring cases. These individuals are required to exit from prison to a halfway house. The fund also provides for intensive community supervision for those individuals deemed to pose the highest risk to reoffend.

#### **Post-Release Community Supervision Fund**

This fund provides treatment, education and supervision groups for sex offenders released in the state of Minnesota who were not found to be Public Risk Monitoring cases. This includes provision of services for released sex offenders in non-metro locations and specialized services for sex offenders who deny their offense. See Appendix D for a summary of the various agencies funded in FY95.

#### **Sex Offender Assessment Reimbursement Fund**

This fund was established in FY92-93 to reimburse counties for the costs of sex offender assessments for adults. Counties are reimbursed at up to \$600 per assessment. The amount of money allocated for this fund was \$385,000 for FY95. Counties were reimbursed for more than 600 assessments out of this fund.

## **FISCAL YEAR 1996-97**

### **Funding for Sex Offenders on Probation**

In the interests of efficiency, SO/CD Services Unit staff have combined the Pilot Project Grant Fund and the Juvenile Sex Offender Treatment Fund into a single funding resource pool. Beginning in FY96, all grants for sex offender programming made by the SO/CD Services Unit are funded from this pool.

In April 1995, a competitive Request for Proposals was published in the State Register and distributed according to DOC guidelines. The funding criteria were the same as the FY95 Program Grant Fund which were described earlier in this report. The Request for Proposals elicited 45 proposals from 27 agencies. Fifty-four of the 87 counties of Minnesota were represented in the proposals received. Forty of the 54 counties are represented by proposals which received funding. A review committee was formed to evaluate the proposals and make recommendations to the commissioner for award priorities.

#### **Highlights**

- ◆ Twenty-six proposals from 16 agencies were funded. The average award was for 52% of the amount requested. Appendix C provides a list of the grantees, amount awarded and a brief description of the funded projects.
- ◆ New reporting requirements and monitoring procedures have been developed and implemented to support grantee performance and fiscal accountability. A full day of training was provided to grantees to explain these new requirements and procedures;
- ◆ Funding was renewed for 17 programs. These programs include:
  - a. traditional outpatient sex offender programming for adults and juveniles throughout the state (e.g., Arrowhead Regional Corrections, Central Minnesota Community Corrections, Dakota County Community Corrections, Todd-Wadena Community Corrections, Tri-County Community Corrections, Upper Mississippi Mental Health Center);
  - b. programming for females through Transition Place;

- c. programming for older sex offenders through the University of Minnesota Program in Human Sexuality;
- d. programming for juvenile sex offenders in Hennepin County.

◆ New programs funded include:

- a. three programs for juvenile sex offenders and one for adult sex offenders;
- b. a training program in sex offender-specific treatment for developmentally disabled offenders to be delivered to selected locations across the state by the Metropolitan Community Mental Health Center;
- c. planning and start-up for residential programming for juvenile sex offenders at the Northeast Regional Corrections Center;
- d. an outreach worker to enhance the IMPACT Program at Storefront/Youth Action, Inc., an innovative program that focuses on high-risk children and their families;
- e. support for the TEAMS Program of Minneapolis Youth Diversion, an innovative program that focuses on high-risk elementary-age children in school settings.

### **Funding for Sex Offenders on Supervised Release**

The two funds established for treatment/supervision of sex offenders released from incarceration have been combined in order to simplify grant administration. Funding for halfway house placement/ICS has remained at \$291,000, the same level as in past years. Funding for post-release sex offender treatment groups has been increased by \$75,000 to \$231,000. Appendix D contains a list of the programs which have been awarded contracts. A problem identified in the past has been that sex offenders released to more rural areas often do not have any post-release programming easily available to them. A conscious effort was made to spread the funding out throughout the state to diminish this problem. All geographic areas of the state which applied for funding were awarded some contract money.

### **Sex Offender Assessment Reimbursement Fund**

For FY96-97, \$385,000 has been appropriated for each year for reimbursement of sex offender assessments. These funds will be administered in the same manner as in previous years. However, the SO/CD Services Unit will be requiring more information from the counties on the offenders who have been assessed.

### **Program Cooperation in Research Efforts**

Programs financed by the state are required to report on their activities and cooperate in program evaluation as part of their funding. As such, these programs form a "laboratory" that provide an opportunity to:

- ◆ examine current practice as the programs provide descriptions and justifications for their activities which can be measured against their actual behavior;
- ◆ allow a variety of techniques and strategies to develop, including alternative treatment models and/or new combinations of programming;
- ◆ discuss the movement towards "managed care" in all health fields and develop standards more appropriate to sex offender services; and,
- ◆ implement program evaluation research.



# RESEARCH

## INTRODUCTION

Minnesota Statutes 241.67, subd. 8 requires the commissioner of corrections to develop a long-term project to:

- (1) provide follow-up information on each sex offender for a period of three years following the offender's completion of or termination from treatment; and
- (2) provide the necessary data to form the basis to recommend a fiscally sound plan to provide a coordinated statewide system of effective sex offender treatment programming.

The SO/CD Services Unit, acting in concert with the Advisory Task Force, has designed a research plan to accomplish this.

It is based on the following assumptions:

- ◆ A belief that we must first study current practices of assessment, supervision, and treatment of sex offenders who are placed on probation.

- ◆ A recognition that while incarcerated sex offenders often receive the most focus of attention in the state, there are at least three times as many sex offenders on probation or supervised release as there are in state correctional facilities. We also recognize that it is while they are in the community that they pose a potential risk to public safety.
- ◆ The belief that a coherent tracking system must be set up in order to better monitor what happens to sex offenders throughout the period of time they are on probation. This involves tracking at all points of the programming system, following the model discussed in last year's report to the legislature (Assessment → Treatment → Aftercare → Supervision).
- ◆ The knowledge that many data pools on sex offenders already exist and that efforts should be made to utilize these data through cooperative efforts, rather than attempting to reinvent the wheel.
- ◆ The belief that in order to make meaningful comparisons about sex offenders placed on probation, we must also study other groups of sex

**TABLE 2**  
**Summary of Research Activities**

Name of Research Project	Target Population	Current Stage of Completion	What Information This Research Will Provide
<b>RETROSPECTIVE PROBATION STUDY</b>	Sample of offender population for sentencing years 1987 and 1989 and the entire offender population for 1992.	50% complete.	Information on the offense behavior, charging, sentencing, and conditions of probation; information on victims; and criminal behavior since the original conviction.
<b>CONTINUING DATA COLLECTION</b>	All sex offenders placed on probation after January 1, 1996.	Development of data collection instruments; implementation to begin January 1, 1996.	A record of all adult sex offenders as they enter, pass through, and exit the criminal justice system.
<b>EVALUATION PROGRAM RESEARCH</b>	Sex offenders in various treatment programs throughout the state.	In planning stage; may be assisted by grant from NIMH or other granting agency.	Efficacy of sex offender treatment programming.

offenders (i.e., incarcerated sex offenders, sex offenders committed as sexual psychopathic personalities, and sexually dangerous persons). We must study our "successes" as well as our "failures."

- ◆ The belief that decisions made about sex offenders should be based on empirical research and not just on "common sense."

Sex offenses occur in every county in Minnesota. With the 87 county seats widely dispersed and often removed from easy access, collection of information from those sites proves difficult. The accumulation of those data necessary to meet the mandate of the legislature provides probation officials, sex offender treatment professionals, and other interested persons with an opportunity to study the occurrence of criminal sexual conduct across the entire state.

What follows is an overview of the CBSOPEP research activities. See Table 2 for a summary of these activities.

### **RETROSPECTIVE PROBATION STUDY**

This portion of the research was designed to examine past sex offenders in light of their probation requirements. To this end, the SO/CD Services Unit is developing a large database which will provide the information necessary to gauge the delivery of services provided to sex offenders placed on probation. This database will include:

- ◆ Information on the offense behavior, the intervention(s) that occurred since the offending behavior was discovered or reported, and the type of behavior exhibited by the offender since the onset of the intervention;
- ◆ Information with which to determine the scope of available sex offender treatment and other community-based services such as chemical dependency treatment, family programs, and education;
- ◆ Information about conditions of probation, including treatment requirements, and the degree to which those requirements were followed;
- ◆ Information about the sanctions imposed on offenders who violate probation and to what degree these sanctions affect the behavior of the offender.

In addition to the probation information, the researchers intend to examine other aspects of the offenders, the criminal behaviors, the victims, and currently practiced court-mandated processes. This will include analyses of:

- ◆ Information about pre-sentence assessments, which are commonly conducted on offenders prior to sentencing to determine treatment needs. At present, there is no standard procedure for conducting sex offender assessments (Office of the Legislative Auditor, 1994). A standardized assessment protocol would serve as an ideal starting point for assisting programs in matching offenders with appropriate forms of treatment.
- ◆ The frequency with which results of the pre-sentence assessment are directly reflected in the conditions of probation. Anecdotal information indicates that offenders are often assessed as being in need of chemical dependency services, individual therapy, family therapy, gambling therapy, or other therapeutic or educational services, but that the probation conditions do not always reflect those assessments.
- ◆ The frequency with which sex offenders violate probation conditions and are sent to prison.
- ◆ The extent to which offenders on probation are arrested for activities that do not lead to imprisonment.
- ◆ The manner and degree to which violations of probation, rearrest, or reconviction actually measure "recidivism."
- ◆ The significance of the use of chemicals in the overall picture of sexual offending.
- ◆ Victims and the relationship of the victims to the offenders. The age of the victim in relationship to the offender is a charging and sentencing determinant for sex offenders in Minnesota. In addition, the characteristics of victims can provide important information about the appropriate intervention strategies for each offender. For example, an offender who sexually assaults a child in his family will likely require different treatment and corrections interventions than an offender who sexually assaults an adult stranger.
- ◆ The manner in which the offender acquired contact with the victim, the manner in which the offender

gained victim compliance, the relationship of the offender to the victim, and the length of the offending contact is significant in assessing the therapeutic needs of the offender, in determining the level of supervision required, and in determining the degree to which the offender should be required to participate in restitution efforts.

**Research Methodology**

The retrospective probation study is an ambitious effort which appears to have never been conducted before. Most studies of sex offender treatment have looked only at offenders who have been through a specific treatment program, prison, or psychiatric facility. Other large scale correctional studies have been superficial and have tended to focus only on reconviction or re-imprisonment, with little analysis of offender characteristics, conditions of probation, or specifics about the types of treatment required for offenders. This project, therefore, should contribute much to the body of knowledge regarding the treatment of sex offenders in a community setting.

For the purpose of this project, the researchers have defined sexual offending as the actions of those adults who have been convicted of criminal sexual conduct in the first through the fourth degree as established by Minnesota statutes. This presents a legally well-defined population and, although this is a good starting point, it excludes offenders whose behavior needs to be examined. For example, our statutory definitions exclude individuals convicted of fifth-degree criminal sexual conduct (a misdemeanor), even if they were initially charged with felony level CSC. It is also known that many offenders (at least 10% of the prison population of sex offenders, for example) commit crimes which are sexual in nature, but then plead guilty to crimes such as Burglary or Assault.

The Minnesota Sentencing Guidelines Commission (MSGC) conducted studies of felony offenders in 1987 and 1989. The MSGC researchers identified the entire population of felony offenders and then selected a sample of those offenders that appeared to be representative of the felony-offender population statewide. From that sample, Project research staff selected those offenders convicted under criminal

sexual conduct statutes one through four who were placed on probation.

In addition to those two years, the Project researchers selected 1992 as a year that would reflect many of the recent changes in legislation regarding sex offenders. This would provide us with a statewide population of sex offenders to follow for the mandated three-year period by the end of 1995.

The researchers have measured the background characteristics and the behavior of the offender through the use of the presentence investigation report, the original criminal complaint, available psychological assessments, and other probation documents. We strove to collect data which reflected a complete description of the offender's criminal sexual behavior, rather than just the items indicated by the statutes the offender was convicted of.

The SO/CD Services Unit researchers developed the data collection instrument by drawing on previous research efforts on sex offender behavior and commonly held definitions of offender demographics. This research instrument contains 208 separate items that represent approximately 1700 individual attributes on each offender and circumstances of the offense. This is an

extraordinary number and variety of attributes; much of the research conducted previously was concerned only with a specific facet of the offender behavior and not with the complete picture. Absent the opportunity to proceed with a research agenda that included interviews with the individual offenders, capturing the quantity and quality of those pertinent data through the use of this kind of file search instrument was the next best alternative.

**Research Progress**

To date, research staff have recorded information found in probation offices on 708 offenders over the three years of this sample. This includes 176 sex offender probation files in Anoka, Dodge, Fillmore, and Olmsted counties from years other than those in the primary three years of the study. The information on these additional cases allows for some simple estimations of the nature of the supervision of sex offenders in those years since 1987, but not included in the primary focus years of the project. We expect to collect data on over 1500 sex offenders placed on probation in 1987, 1989, or 1992.

**73% of the offenders in the Retrospective Probation Study sample showed no further arrests for any sort of criminal behavior — average time at risk is more than 4 years.**

The next step after collecting probation file data will be to access the treatment files of these offenders within the programs they were ordered to attend. There may be some difficulties in accomplishing this, as some treatment providers are reluctant to disclose such data, believing that it may violate privacy statutes. However, we have already obtained agreements with some of the state's largest treatment providers to accomplish this. They see it as being in their best interests to be able to learn more about what has happened to their clients over time.

We begin our studies of the treatment experiences of these offenders with a framework of program evaluation. We believe that it is important for treatment programs to spell out the content of what they call sex offender treatment and to be able to explain how clients are selected for or excluded from treatment. We also believe that treatment centers should be able to specify how treatment progress is measured and what is meant by treatment completion (or non-completion).

Sex offender treatment is a relatively new field and this type of program evaluation approach may be a difficult endeavor for some treatment providers. We recognize that there have been significant changes over the past few years in the ways that sex offender treatment programs operate and that there is probably more of a standardized approach (focusing on what is often called relapse prevention) to treatment now than in the past. Still we believe that it is important to attempt to appraise the effects of community-based sex offender treatment in terms of a cost/benefit analysis, relative to other possible interventions. In the short term, a reduction in prison bed space is obviously accomplished through community-based sex offender treatment, with many offenders acclimating to treatment, supervision, and other sanctions with few incidents and with a very low rate of recurring offenses. What is not known yet is whether sex offender treatment as a part of community corrections actually reduces the necessity for prison expenses in the long run. This project should provide the legislature with this crucial information.

### **Preliminary Research Findings**

*Data collection is not yet complete. It is therefore premature to make any analytical conclusions about the sex offenders in the research population, based upon the incomplete sample that presently exists. The preliminary results presented below, which are based on the 708 offenders currently in the SO/CD Services Unit's database, should therefore be interpreted as*

*preliminary results only and not as a completed research product.* The offenders in the sample are overrepresented in some (especially metro area) counties and underrepresented in others and the information currently contained in the database is based more on 1992 cases than 1987 or 1989 cases. These disparities have more to do with the access to information available to researchers at the beginning of this research project than with the proportionate representation of offenders in particular counties or years. It is likely that some of the information from this present sample reflects the manner in which the data have been collected up to this point. *To reiterate, it is too early to draw definitive conclusions about sex offenders and their supervision in the state of Minnesota based on the preliminary results presented here.*

With this precaution in mind, it is still important to look at the information that has been collected so far. Thus, the following paragraphs give some general descriptive information about the offenders and offenses in the present sample.

The research staff have completed BCA criminal history checks and other follow-up investigations on 612 of the 708 offenders in the sample; information was incomplete or not available on the remaining 96. Early results from these sources indicate that:

- ◆ The most prominent observation regarding these sex offenders placed on probation is that 73% of the offenders examined by the researchers showed no further contact with the criminal justice system outside standard adult supervision. This means that there was no record of any arrest in the BCA data base. The average period of time from placement on probation to the date of BCA check ("time at risk") for these offenders was 4.3 years. These results, while too early in the analysis to be definitive, suggest that probation and its accompanying interventions may indeed be a viable alternative to the resource-intensive, high-cost solution of incarceration.
- ◆ Ninety-nine of these 612 offenders (16%) had additional criminal-justice contact which resulted in incarceration in a state correctional facility. Of these 99 offenders, probation file searches indicate that the most common violation of probation was for failure to complete a sex offender or other mandated treatment program. Other common violations include a failure to meet conditions of probation (unspecified), failure to abide by no-

contact orders, and failure to maintain sobriety. Because of missing data and multiple entries, it is too early at this point in our research to make strong conclusions about this group of offenders and the reasons for their ultimate failure at supervision within the community. However, the completion of this portion of our research is vital to understanding the population of sex offenders who, by their behavior, appear not to be amenable to probation.

- ◆ Sixty-six of the 612 offenders (11%) violated conditions of probation but were not sent to prison. They had similar patterns of violations as did the offenders who were incarcerated (i.e., failure to complete treatment, etc.).

The offenders whose probation records have been examined up to this point do not appear to differ significantly from sex offender groups in previous studies. Taken in aggregate, they had the following characteristics:

- ◆ 97% of the offenders were male;
- ◆ 80% of the offenders were white;
- ◆ 45% of the offenders had high school educations or better;
- ◆ 38% of the offenders were married at the time of the offense and 54% had dependent children;
- ◆ 51% of the offenders were classified as unskilled workers, with 45% having either unstable employment or no employment.

The consideration of employment status, dependent children, and educational attainment will be important in future structuring of payment obligations for sex offenders regarding their assessments, treatment, or the payment of treatment costs of their victims. At this time, the researchers are not able to provide a definitive profile of the offenders' income structure, but this information will be contained in future reports.

Our results indicate that offenders in the sample victimized individuals who:

- ◆ were female (87% of victims in the present sample);

- ◆ were between the ages of 9 and 15 (50% of victims in the sample), with an average age of 13;
- ◆ were members of the offender's family (50% of victims) or otherwise known to the offender (an additional 40% of victims in the sample); and,
- ◆ were therefore available to the offender as a result of pre-existing relationships with varying degrees of familiarity (e.g., family, employment, friendships, etc.).

Treatment for sex offender and chemical dependency issues are a critical part of the supervision of sex offenders placed on probation. Preliminary results of the research indicate that:

- ◆ 88% of the offenders in this portion of the study were ordered by the court to undergo some form of treatment, including sex offender, chemical dependency, and mental health treatment;
- ◆ 54% of the offenders were ordered into sex offender treatment;

- ◆ 5% were ordered into chemical dependency treatment;
- ◆ 17% were ordered into both sex offender and chemical dependency treatment.

Initial results suggest a need to examine the issues of offenders' chemical use closely in the final analysis and report, since 39% of offenders were under the influence of alcohol and/or another drug at the time of the offense. Chemical dependency assessments were conducted on 47% of the offenders in this sample.

- ◆ 35% of the offenders had indications of heavy and/or addictive consumption of alcohol;
- ◆ 12% of the offenders had indications of heavy and/or addictive use of other drugs.

The researchers will examine the use of chemicals by sex offenders in much greater detail when data collection is complete.

The researchers from the SO/CD Services Unit involved in this project estimate that the entire retrospective phase of this research should be

**50% of the sample committed their sexual offenses against family members; 40% against unrelated acquaintances.**

completed and a report issued no later than July 1, 1996. All the issues discussed in these preliminary results will be presented and analyzed in much greater detail at that time and in our next report to the legislature on October 15, 1996.

## CONTINUING DATA COLLECTION

Ongoing data collection for persons convicted of criminal sexual conduct and placed on probation is essential for the development of a database that will assist in the supervision, treatment, and management of these offenders. Project staff have developed a number of instruments to allow for collection of this crucial information once the retrospective phase of the research is complete. These instruments include the **initial probation form**, the **court assessment document**, the **treatment intake form**, and the **treatment discharge form**.

The **initial probation form** will be completed by the probation office where the offender is assigned for supervision after sentencing and will record the conditions of probation, information about the victim, and the victimizing behavior that resulted in this conviction, criminal history information, sentencing information, and any supervision requirements the probation office indicates. Probation officers will complete this form immediately following the offender's initial report to the probation office and will then forward the information to the DOC as soon as possible. This report will allow the SO/CD Services Unit staff to open a file for each sex offender as those offenders come into the corrections supervision systems. This form has been field tested and will be required of probation offices beginning January 1, 1996.

SO/CD Services Unit staff, in conjunction with probation agents and the advisory task force, are currently developing a document that will be used to report changes in the status of the offender, and in that manner, the progress of the offender throughout the probationary period, the sex offender treatment process, and the post-treatment phase can be captured in the DOC database.

All offenders charged with criminal sexual conduct should receive a court assessment prior to sentencing, and in some cases the courts seek this assessment prior to the plea negotiation. The **court assessment document** will entail the assessors' utilizing a standardized protocol developed by the SO/CD Services Unit for specifically that purpose. This

document is currently in field testing, and will be required as of July 1, 1996. The DOC is currently reimbursing counties for sex offender assessments ordered by the courts. The reporting system just described will enable the SO/CD Services Unit staff to manage the reimbursement process, as well as guide the assessors in developing processes and procedures for sex offender assessments. Furthermore, the assessment protocol will assist the assessor in appraising the risk the offender poses to the community, the amenability of the offender to treatment, and the ability of the offender to participate in the payment of assessment and treatment expenses. This protocol and reporting device will standardize as much as possible the assessment process and report those results in a manner that will be useful to the SO/CD Services Unit staff in both the ongoing management of the offender and ongoing program evaluation efforts.

The **treatment intake form** will provide the SO/CD Services Unit with information not contained in the initial probation form or the court assessment report. This form will provide more information on the offender's history, including psychological and other treatment related information. We are also in the process of developing a **treatment discharge form** which will provide us with information on treatment progress and the prognosis given the offender. Researchers have field tested this document at one of the large urban treatment facilities.

Through the use of these documents, the DOC will create and maintain a database on all offenders in the criminal justice system starting on January 1, 1996. This information will be used to develop and refine sex offender programming and management practices into the future. The information we collect will also be analyzed and shared with those the probation offices and treatment centers who have given us the information in the first place. This should provide them with valuable feedback on their clients.

## PROGRAM EVALUATION RESEARCH

The principal goal of future research efforts is to determine the efficacy of sex offender treatment in a community setting. Program evaluation research will provide the DOC and the legislature with:

- ◆ A definition of the goals and objectives of sex offender treatment programs;
- ◆ An explanation of how offenders are assessed, and the criteria for selection into or exclusion from treatment;

- ◆ A description of the content of sex offender treatment, and how offender needs are matched to treatment components;
- ◆ A description of how treatment progress is measured and documented;
- ◆ A definition of treatment completion (and non-completion);
- ◆ An analysis of the cost-effectiveness of community-based sex offender treatment;
- ◆ Information about the future behavior of offenders after treatment, including those who are considered to be successful, as well as those who fail;
- ◆ Some measure of the many other variables which may affect an offender during and after the treatment experience.

## **DISTRICT DEVELOPMENT COMPONENT**

The Project's 1994 report describes the plans and goals for the District Development Component (DOC, 1994:13-15). The component has not been developed during FY95 because the Project's research goals and funding activities were a higher priority. In this fiscal year, the SO/CD Services Unit has created a staff position to begin doing the tasks necessary to fulfill the goals of the component. These tasks include:

- ◆ contacting relevant county representatives, corrections personnel, treatment providers, victim service providers, and other interested stakeholders in the community;
- ◆ initiating and supporting the development of district coalitions made up of the aforementioned persons;
- ◆ working with the coalitions to conduct both a district-wide survey of the sex offender treatment system and a needs assessment, to analyze and identify the strengths and weaknesses of the system, and to develop a district plan to meet the identified needs; coordinating the district coalitions and working with Project staff to develop the individual district needs and plans into a statewide plan.

It is anticipated that the initial tasks assigned to this staff position will be quite practical. First, he/she will

be involved in disseminating the results of the Retrospective Probation Study throughout the state, back to those individuals who provided us with the raw data in the first place. Second, this position will continue to encourage already existing collaborations between corrections and treatment professionals by working with them to produce a sex offender supervision handbook for probation officers and a workbook to be used by offenders on probation for sex offenses.

## **NIMH GRANT**

A research grant proposal was submitted to the National Institute of Mental Health (NIMH) in March 1995. The design of this research is described in detail in last year's report (DOC: 1994: 19-23). The proposal was written in collaboration with Dr. Ray Knight, one of the consultants originally provided by the NIMH, and has now been evaluated by a special review panel. The review panel did not recommend that the proposal be funded in its current state. However, the panel viewed the proposed research as both timely and important, and supported the idea of a state-federal collaboration in sex offender research. The panel considered the strength of the proposed research to be the assessment and treatment efficacy elements, and the development of an extensive data base on Minnesota sex offenders. Dr. James Breiling of the NIMH has encouraged the DOC to consider revising the proposal to meet the criticisms of the review panel and resubmitting the proposal.

Dr. Knight has met with Project staff and the Advisory Task Force to discuss the review panel's comments and criticisms and whether to proceed with a revision and resubmission. The DOC is convening an ad hoc committee to discuss the desirability of seeking grant funding from NIMH or other sources. This committee will focus on seeking funding which will further the mission of the DOC.

## **OTHER RESEARCH EFFORTS**

The research projects mandated by the legislature through M.S. 241.67, Subd. 8 are only a part of the research efforts currently underway in the DOC. We believe that it is important to study the entire population of identified sex offenders. Since 1991, the DOC has been involved in several research projects on incarcerated offenders; a summary is provided in Table 3. We have also begun to collaborate with other state agencies (i.e. Department of Human Services and the Bureau of Criminal Apprehension) to plan ways in which we can use already existing data bases to further

**TABLE 3**  
**Current DOC Sex Offender Research Projects**

Name of Research Project	Target Population	Current Stage of Completion	What Information This Research Will Provide
<b>SEX OFFENDER HISTORY</b>	All sex offenders (including those who pled guilty to non-CSC felonies, but whose behavior indicated they committed a sex offense) who entered Minnesota correctional facilities from 1985 until present.	A study of more than 1,500 offenders incarcerated from July 1990 to July 1995 will be released within two months.	Information about sex offender demographics and personal characteristics, offense behavior, level of honesty, and willingness to participate in treatment.
<b>SEX OFFENDER TREATMENT/ RECIDIVISM</b>	<p><b>Study One:</b> All sex offenders released from DOC institutions in 1988.</p> <p><b>Study Two:</b> Sex offenders in Oak Park Heights Treatment Unit between 1986 and 1992.</p>	<p>A research report on Study One has been released (Kaul, et al, 1994).</p> <p>Data collection is complete and preliminary results have been disseminated.</p>	Primary findings have indicated that sex offenders who are terminated from treatment and have a history of chemical dependency pose the highest risk of reoffense.
<b>SEX OFFENDER SCREENING TOOL (SOST)</b>	SOST research was based on offenders selected from Study One above.	Recidivism study has allowed the development of the SOST which has been presented twice to the national conference of the Association for the Treatment of Sexual Abusers. A journal article is being prepared.	The SOST assists DOC professionals in identifying sex offenders about to be released from incarceration who pose the greatest risk of re-arrest for a subsequent sex offense. "High-risk" offenders can be referred to their home county for consideration.

our understanding of sexual offending and to provide benefits to both the DOC and the other agencies. A summary of these collaborative efforts is provided in Table 4.

**COLLABORATION WITH THE UNIVERSITY OF MINNESOTA**

We have begun to develop a working relationship with nationally known experts in criminology, psychology, and statistics at the University of Minnesota. Professor David Ward, an original member of the Advisory Task Force, has provided the SO/CD Services Unit with guidance and has given us access to graduate students who have become essential to the research conducted in

the Retrospective Probation Study. Professor Norman Carlson and Professor Ward have also given us the opportunity to develop working relationships with nationally known experts in correctional program evaluation. Professor Ronald Anderson has been a valued addition to the Advisory Task Force and served as a collaborator/consultant on the NIMH grant application. He has assisted the SO/CD Services Unit research staff in the creation of a working data base and has made significant contributions to the methodological structure of the research projects. Assistant Professor Michael Miner, also a member of the Advisory Task Force, served as a collaborator on the NIMH grant application and provided valuable feedback on earlier drafts of this report.



**TABLE 4  
Proposed Sex Offender Research Projects with Other Agencies**

Name of Research Project	Target Population	Current Stage of Completion	What Information This Research Will Provide
<b>COOPERATIVE EFFORTS WITH MINNESOTA DEPARTMENT OF HUMAN SERVICES</b>	Sex offenders sent to Intensive Treatment Program for Sexual Aggressives (ITSPA) for assessment and/or ordered into treatment as a condition of probation.	In planning stage, discussions have been held.	This research will provide the DOC with detailed information on a large sample of offenders on probation. It will provide DHS with an evaluation of ITSPA.
	Individuals committed as sexual psychopathic personalities and sexually dangerous persons.	In planning stage, discussions have been held.	An analysis of the most dangerous sex offenders.
<b>COOPERATIVE RESEARCH EFFORTS WITH BCA AGENTS</b>	Minnesota Sex Crimes Analysis Program data base and the Sex Offender Registration data base.	In planning stage, discussions have been held.	Allows for inclusion of very specific offense-related data into other DOC research efforts. This research should assist the BCA in identifying high-risk sex offenders.

## SUMMARY & RECOMMENDATIONS

The SO/CD Services Unit has been active in fulfilling the mandate of the legislature during this year. Through the RFP process, we have allocated money throughout the state for a variety of programs aimed at reducing recidivism among convicted sex offenders. We have improved our reporting requirements and provided training for grantees. We have made substantial progress on the Retrospective Probation Study. Ongoing data collection on sex offenders placed on probation will begin January 1, 1996. We have begun cooperative research efforts with DHS and the BCA, and have received assistance from the University of Minnesota in structuring our research projects and accomplishing our goals.

We believe that it is important that the CBSOPEP be a research effort which provides the legislature with an extensive base of information about sex offenders. We provide the following recommendations which have the potential to make the CBSOPEP a more comprehensive research project and expand the scope of our understanding of the causes of and solutions to the problem of sexual assault.

### RECOMMENDATION 1:

The legislature should provide language to clarify and define the content of "sex-related" crimes; M.S. 241.67, subd. 8(a) should be broadened to include all adult offenders sentenced and all juvenile offenders adjudicated for a sex or sex-related crime; the statute should be broadened to include offenders who are charged with any level of sex offense or sex-related offense; i.e., misdemeanors and gross misdemeanors.

#### Rationale:

MS 241.67, subd. 8(a), defines a sex offender as "an adult who has been convicted, or a juvenile who has been adjudicated, for a sex offense or sex-related offense and has been sentenced to sex offender treatment as a condition of probation." This excludes from our study three important groups of sex offenders:

1. Previously incarcerated sex offenders now on supervised release in the community.
2. Sex offenders convicted of felony-level sex offenses and placed on probation, but not required to complete treatment as a condition of probation.
3. Gross misdemeanor and misdemeanor level sex offenders.

We believe that it is essential to include in our research all identified sex offenders. We especially believe that it is important to include in the CBSOPEP sex offenders who are on supervised release; this group of sex offenders probably poses a higher potential risk of reoffending than any other group of sex offenders, and it is the group about which the public is most concerned. This is the group which could be subject to community notification in the near future. Including this group, and the others in the CBSOPEP would supply the project with excellent comparison groups and allow for a more complete follow-up on sex offenders who are living in the community.

### RECOMMENDATION 2:

The follow-up period required by M.S. 241.67, subd. 8(b)(1), should be extended from three years to five years and legislative support for the project should be extended commensurate with this time frame.

#### Rationale:

Current research indicates that sex offenders who reoffend tend to do so further in time from their original charge than previous reports suggested. An additional two years of follow-up will allow the Project to observe this apparent trend.

### RECOMMENDATION 3:

The legislature should add language to M.S. 241.67, subd. 8, that permits the commissioner of corrections, for the research purposes of the Community-Based Sex Offender Program Evaluation Project, to access and examine any and all information on any sex offender held by assessors, treatment programs, probation agencies, and the courts. We would also recommend that this language be extended to include juveniles as well as adults, as access to juvenile files is particularly difficult at this time.

#### Rationale:

We are anticipating having some difficulty accessing some treatment files of some of the individuals on probation, even if the state paid for the treatment. This difficulty is generally based on data privacy concerns. We believe that these concerns could be addressed by writing into legislation language which would require the department of corrections to refrain from identifying any individual offender and allow us to only report on such data in aggregate form.

## ACKNOWLEDGEMENTS

We would like to thank the members of the Advisory Task Force for their continuing guidance and for their assistance in reviewing earlier drafts of this report. A list of Advisory Task Force members is contained as Appendix A.

This report was written by staff members of the SO/CD Services Unit, including: Stephen J. Huot, Director; Will Alexander, Research Analyst; Alan Listiak, Bill Donnay, and Mary Popp, Corrections Program and Policy Monitors.

Data analysis organized and conducted by Will Alexander and Denise Hesselton. Data collection by Jesalyn Desjarlais, Shelly Owen, Gina Seal, Sarah Hustad, and Beth Kloyda. Report was formatted by Amy McKenney-Maki.

We would also like to thank former Advisory Task Force member Professor David Ward for his contributions to the research project and his continued assistance to the staff of the SO/CD Services Unit.

We would also like to acknowledge the helpful comments and suggestions provided by the following, who reviewed the final draft copy of this report:

**Frank W. Wood**  
Commissioner

**R.T. Mulcrone**  
Deputy Commissioner,  
Community Services

**Dan O'Brien**  
Assistant to the Commissioner

**Bill Guelker**  
Assistant to Deputy Commissioner,  
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**Dr. Jim Kaul**  
Director, Kenny Treatment Unit

## APPENDIX A – Advisory Task Force Members

### James Ahrens

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### Steve Sawyer

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St. Paul, MN 55104

### Patricia Seleen

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### James Sop

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### Kay Tegt

Woodland Centers  
P.O. Box 787  
Willmar, MN 56201

## APPENDIX B – FY95 Grant Recipients

### PROGRAM GRANT FUND

#### Alpha Human Services

1561 West Lake Street  
Minneapolis, MN 55408

**Amount Awarded:** \$23,061

**Objectives:** Add polygraphy to intake assessments of 75 adult sex offenders regarding accuracy of self-report of current offense, prior victimization of others, and own histories of being victimized.

#### Alpha PHASE

1600 University Avenue West, Suite 305  
St. Paul, MN 55104-3825

**Amount Awarded:** \$34,600

**Objectives:** Added polygraph to intake assessments of 100 juvenile sex offenders regarding accuracy of self-report of current offense, prior victimization of others, and own histories of being victimized.

#### Anoka County Community Corrections

Sex Offender Supervision Program  
325 East Main Street  
Anoka, MN 55303

**Amount Awarded:** \$44,850

**Objectives:** Added a critical thinking skills curriculum, added chemical dependency evaluation, added a chemical abuse component, implemented reoffense prevention curriculum, utilized polygraph and plethysmograph testing to existing sex offender supervision groups.

#### Central Minnesota Community Corrections

Sex Offender Supervision Program  
1777 Highway 18 East, Building 19  
Brainerd, MN 56401

**Amount Awarded:** \$45,000

**Objectives:** Started three therapy groups per week and one case management group bi-weekly.

#### Dodge-Fillmore-Olmsted Community Corrections System

151 4th Street SE  
Rochester, MN 55904-3711

**Amount Awarded:** \$44,960

**Objectives:** Started program for female offenders; developed standardized intake assessment instrument; added 590 hours of couples and family therapy to existing Isolated Sex Offender Program.

#### Hennepin County Community Corrections

Psychological Services  
C-2300 Government Center  
Minneapolis, MN 55487-0533

**Amount Awarded:** \$44,994

**Objectives:** Develop a uniform sex offender protocol for sentencing disposition.

#### Leo Hoffman Center

105 South 3rd Street  
St. Peter, MN 56082

**Amount Awarded:** \$45,000

**Objectives:** Started assessment and outpatient services for juveniles and adults in southern Minnesota locations as needed; traveled to locations for assessments; if sufficient numbers developed at a location, staff conducted a group twice a week at the location and family therapy as needed.

#### Metropolitan Community Mental Health Center

2201 Blaisdell Avenue South  
Minneapolis, MN 55404

**Amount Awarded:** \$44,521

**Objectives:** Enhanced existing program by adding a six to eight week comprehensive assessment period, initiated parent support/education groups coincidental with the assessment, obtained expert consultation.

**Project Pathfinder**

1821 University Avenue, Suite N385  
St. Paul, MN 55104

**Amount Awarded:** \$32,400

**Objectives:** Started an outpatient program for adults in a suitable location to serve clients in Carver, LeSueur, McLeod, and Scott Counties.

**Todd-Wadena Community Corrections**

Comprehensive Sex Offender Treatment Program  
239 Central Avenue  
Long Prairie, MN 56347

**Amount Awarded:** \$40,448

**Objectives:** Started a comprehensive sex offender treatment program with a weekly treatment group, bi-monthly maintenance group, aftercare/support group, and individual and family therapy as needed.

**Upper Mississippi  
Mental Health Center**

Sex Offender Treatment Program  
P.O. Box 650  
Bemidji, MN 56601

**Amount Awarded:** \$45,000

**Objectives:** Started new juvenile and adult groups in Baudette and Walker, MN, initiated an Advisory Task Force in each city.

**JUVENILE SEX OFFENDER TREATMENT PROGRAM FUND**

**Anoka County  
Division of Community Corrections**

Courthouse  
Anoka, MN 55303

**Amount Awarded:** \$18,900

**Objectives:** Offered juvenile sex offender treatment programming and sexuality education at the Anoka County Juvenile Center. Included group, individual, and family therapy.

**Arrowhead Regional Corrections**

100 North 5th Avenue West, Suite 319  
Duluth, MN 55802

**Amount Awarded:** \$50,000

**Objectives:** Provided intensive supervision and group sessions for juveniles facilitated by a Relapse Prevention Team. The Relapse Prevention Model included extended community involvement including school, family, and other significant persons in the juvenile's life.

**Central Minnesota  
Mental Health Center**

1321 North 13th Street  
St. Cloud, MN 56303

**Amount Awarded:** \$25,000

**Objectives:** Provided outpatient adolescent sex offender treatment program for boys ages 13-18. Included group and family therapy.

**Itasca County Human Services**

123 North East 45th Street  
Grand Rapids, MN 55744-2680

**Amount Awarded:** \$47,100

**Objectives:** Provided outpatient group therapy services to adolescent male sex offenders.

**Metropolitan Community  
Mental Health Center**

2201 Blaisdell Avenue South  
Minneapolis, MN 55404

**Amount Awarded:** \$22,000

**Objectives:** Provided a day treatment program for developmentally delayed adolescent male sex offenders. Clients had extensive developmental issues and a high level of impulsivity.

**West Central  
Community Services Center**

P.O. Box 787  
Willmar, MN 56201

**Amount Awarded:** \$47,150

**Objectives:** Provided outpatient treatment services to adolescent sex offenders. Services included individual, group, family, and psychoeducational treatment.

## PILOT PROJECT GRANT FUND

### **Dakota County Community Corrections**

Judicial Center  
1560 West Highway 55  
Hastings, MN 55033

**Amount Awarded:** \$66,133

**Objectives:** Provided outpatient treatment and case management groups to convicted sex offenders.

### **Hennepin County Bureau of Community Corrections**

Contractual Services Office (Female)  
C-2353 Government Center  
Minneapolis, MN 55487-0056

**Amount Awarded:** \$46,332

**Objectives:** Provided individual, group, and family therapy for adult female sex offenders.

### **Hennepin County Bureau of Community Corrections**

Contractual Services Office (Juvenile)  
C-2353 Government Center  
Minneapolis, MN 55487-0056

**Amount Awarded:** \$63,200

**Objectives:** Provided intensive specialized casework to juvenile sex offenders who presented the greatest risk for adjustment problems and relapse after primary treatment. Included weekly relapse prevention meeting.

### **Hubbard County Social Services Department**

Courthouse, Box 32  
Park Rapids, MN 56470-1483

**Amount Awarded:** \$124,370

**Objectives:** Provided outpatient sex offender treatment to adults, juveniles, and a group of low-functioning and/or denying adults. Services included individual, group, and psycho-educational components.

### **Tri-County Community Corrections**

600 Bruce Street, P.O. Box 624  
Crookston, MN 56716

**Amount Awarded:** \$111,194

**Objectives:** Provided outpatient sex offender treatment to adults and juveniles. Included group and individual therapy, sex education, and intensive supervision.

### **University of Minnesota**

Office of Research & Technology Transfer Admin.  
1100 Washington Avenue South  
Minneapolis, MN 55415-1226

**Amount Awarded:** \$67,590

**Objectives:** Provided outpatient sex offender treatment to elderly offenders in their sixties, seventies, and eighties.

## APPENDIX C – FY96-7 Grant Recipients

### **Alpha Human Services, Inc.**

2712 Fremont Avenue South  
Minneapolis, MN 55408-1198

**Amount Awarded:** \$12,000

**Objectives:** Administer polygraph examinations to clients prior to the conclusion of residential sex offender treatment; explore the impact of this intervention on the accountability, honesty, and participation of clients in treatment as well as on determinations of client progress.

### **Alpha PHASE, Inc.**

2712 Fremont Avenue South  
Minneapolis, MN 55408

**Amount Awarded:** \$60,000

**Objectives:** Administer polygraph examinations to juvenile sex offenders as part of the Education/Assessment Program portion of the PHASE Program; explore the impact of this intervention on the accountability, honesty, and participation of clients in treatment as well as on determinations of client progress.

### **Anoka County Community Corrections**

Anoka County Juvenile Center; Correctional Program;  
Sex Offender Specific  
325 East Main Street  
Anoka, Minnesota 55303

**Amount Awarded:** \$46,000

**Objectives:** Subcontract with a suitable provider for the delivery of sex offender therapy groups, family, and individual therapy, parent support groups, and consultation with staff in the Sex Specific Program at the Anoka County Juvenile Center.

### **Arrowhead Regional Corrections**

Juvenile Center  
100 North 5th Avenue West, #319  
Duluth, MN 55802-1202

**Amount Awarded:** \$43,500

**Objectives:** Plan, develop, and implement sex offender programming for the Arrowhead Juvenile Center's Sex Offender Residential Treatment Program.

### **Arrowhead Regional Corrections**

Juvenile and Family Focused Learning Program  
100 North 5th Avenue West, #319  
Duluth, MN 55802-1202

**Amount Awarded:** \$50,000

**Objectives:** Enhance existing program components for juvenile sex offenders by developing and implementing the Juvenile and Family Focused Learning Program. This program will include relapse support groups, family assessments, family meetings, and planning for a Native American sex offender component.

### **Arrowhead Regional Corrections**

Northeast Regional Corrections Center  
100 North 5th Avenue West, #319  
Duluth, MN 55802-1202

**Amount Awarded:** \$30,600

**Objectives:** Plan and develop a family component for the Sex Offender Treatment Program at the Northeast Regional Corrections Center.

### **Central Minnesota Community Corrections**

1777 Highway 18 East, Building 19  
Brainerd, MN 56401

**Amount Awarded:** \$90,000

**Objectives:** Continue to develop and operate its outpatient adult sex offender treatment program serving central Minnesota.

### **Central Minnesota Community Corrections**

Juvenile Sex Offender Program  
1777 Highway 18 East, Building 19  
Brainerd, MN 56401

**Amount Awarded:** \$40,000

**Objectives:** Develop and implement a new outpatient juvenile sex offender treatment program to serve central Minnesota.

### **Central Minnesota Mental Health Center**

1321 13th Street North  
St. Cloud, MN 56303

**Amount Awarded:** \$68,000

**Objectives:** Continue to develop and operate its outpatient juvenile sex offender treatment program; develop and implement a new outpatient adult sex offender treatment program.



**Dakota County  
Community Corrections**

Dakota County Sex Offender Program  
1560 West Highway 55  
Hastings, Minnesota 55033

**Amount Awarded:** \$90,000

**Objectives:** Continue to develop and operate its outpatient adult sex offender treatment program.

**Hennepin County  
Juvenile Corrections Department**

Hennepin County Relapse Prevention Program  
C-2353 Government Center  
Minneapolis, Minnesota 55487

**Amount Awarded:** \$90,000

**Objectives:** Continue to develop and operate its juvenile sex offender Relapse Prevention Program.

**Metropolitan Community  
Mental Health Center**

Alternatives ONWARD Program – Greater Minnesota  
Outreach Program  
2201 Blaisdell Avenue South  
Minneapolis, MN 55404

**Amount Awarded:** \$23,000

**Objectives:** Develop and implement a training program for providers in greater Minnesota in sex offender specific treatment for clients with developmental disabilities or intellectual impairments.

**Metropolitan Community  
Mental Health Center**

Enhancement to BEYOND Day Treatment Program  
2201 Blaisdell Avenue South  
Minneapolis, MN 55404

**Amount Awarded:** \$54,000

**Objectives:** Continue the comprehensive assessment component of its BEYOND Day Treatment Program and add groups for parent support/education, consultation for staff, and behavioral management assistance.

**Metropolitan Community  
Mental Health Center**

BEYOND Day Treatment Program  
2201 Blaisdell Avenue South  
Minneapolis, MN 55404

**Amount Awarded:** \$53,000

**Objectives:** Continue to develop and operate its BEYOND Day Treatment Program for developmentally disabled adolescent male sex offenders.

**Minneapolis  
Youth Diversion Program**

TEAMS Program  
1905 3rd Avenue South  
Minneapolis, MN 55404

**Amount Awarded:** \$80,000

**Objectives:** Provide services through its Teaching Early Acceptable Methods of Socialization (TEAMS) Program that: meet individual developmental, physical, and safety needs of elementary age children who display sexually aggressive behavior; educate school personnel, professionals, and the general community about sexual violence and child sexual abuse; and help develop the network of other community agencies and groups and improve system responsiveness to sexual aggression in schools.

**Project Pathfinder, Inc.**

1821 University Avenue, Suite N385  
St. Paul, MN 55104

**Amount Awarded:** \$79,768

**Objectives:** Continue to develop and operate the outpatient adult sex offender treatment program serving Scott, Carver, LeSueur, McLeod, and Sibley counties.

**Storefront/Youth Action**

IMPACT Program  
4570 West 77th Street, Suite 198  
Edina, MN 55435

**Amount Awarded:** \$42,000

**Objectives:** Add a .5 FTE minority advocate/outreach worker to support and facilitate participation in the IMPACT Program by families whose children are in the program.

**Todd-Wadena Community Corrections**

Comprehensive Sex Offender Treatment Program  
239 Central Avenue  
Long Prairie, Minnesota 56347

**Amount Awarded:** \$78,000

**Objectives:** Continue to develop and operate the Comprehensive Sex Offender Treatment Program for adults.

**Todd-Wadena Community Corrections**

Juvenile Program  
239 Central Avenue  
Long Prairie, Minnesota 56347

**Amount Awarded:** \$56,000

**Objectives:** Plan, develop, and implement a sex offender treatment program for juveniles.

**Transition Place, Inc.**

Female Sex Offender Program  
Contractual Services Office, C-2353 Government Center  
Minneapolis, Minnesota 55487-0056

**Amount Awarded:** \$40,000

**Objectives:** Continue to develop and operate sex offender treatment services for adult female sex offenders.

**Tri-County Community Corrections**

600 Bruce Street, P.O. Box 624  
Crookston, Minnesota 56716

**Amount Awarded:** \$90,000

**Objectives:** Continue to develop and operate the Northwest Community Treatment Program for Sex Offenders.

**University of Minnesota**

Program in Human Sexuality  
Office of Research and Technology Transfer Admin.  
1100 Washington Avenue South, Suite 201  
Minneapolis, MN 55415

**Amount Awarded:** \$45,000

**Objectives:** Continue to provide sex offender treatment services to senior-aged males through the Program in Human Sexuality.

**Upper Mississippi  
Mental Health Center**

Adolescent Sex Offender Treatment  
P.O. Box 640  
Bemidji, MN 56601

**Amount Awarded:** \$75,000

**Objectives:** Continue to develop and operate the Adolescent Sex Offender Treatment Program in Bemidji, Minnesota.

**Upper Mississippi  
Mental Health Center**

Adult Sex Offender Treatment  
P.O. Box 640  
Bemidji, MN 56601

**Amount Awarded:** \$80,000

**Objectives:** Continue to develop and operate the Adult Sex Offender Treatment Program in Bemidji, Minnesota.

**Upper Mississippi  
Mental Health Center - Baudette**

P.O. Box 640  
Bemidji, MN 56601

**Amount Awarded:** \$45,000

**Objectives:** Continue to develop and provide sex offender treatment services through a program in Baudette, Minnesota.

**Upper Mississippi  
Mental Health Center - Walker**

P.O. Box 640  
Bemidji, MN 56601

**Amount Awarded:** \$45,000

**Objectives:** Continue to develop and provide sex offender treatment services through a program in Walker, Minnesota.

## APPENDIX D – Post-Release Programming

	1995	1996	1997
<b>Alpha Service Industries, Inc.</b> 2712 Fremont Avenue South Minneapolis, MN 55408	\$32,500	\$33,000	\$33,000
<b>Brainerd Regional Human Services Center</b> 1777 Highway 18 East Brainerd, MN 56401	26,325	0	0
<b>Citizens Council</b> 822 South 3rd Street, Suite 100 Minneapolis, MN 55415	0	4,000	4,000
<b>CORE Psychological Services – Brainerd</b> 617 Oak Street Brainerd, MN 56401	0	20,000	19,000
<b>CORE Psychological Services – St. Cloud</b> 617 Oak Street Brainerd, MN 56401	0	20,000	19,000
<b>Dakota County Community Corrections</b> 1560 West Highway 55 Hastings, MN 55033	0	4,000	4,000
<b>Dodge-Fillmore-Olmsted Community Corrections System</b> Department of Court Services 151 4th Street Rochester, MN 55904	0	16,000	16,000
<b>Opportunity Center, Inc.</b> Griggs Midway Building, Suite S139 1821 University Avenue West St. Paul, MN 55104	9,500	6,000	6,000
<b>Range Mental Health Center, Inc.</b> 624 13th Street South, Box 1188 Virginia, MN 55792	17,000	5,000	5,000
<b>Re-Entry – Ashland</b> 532 Ashland Avenue St. Paul, MN 55102	15,750	31,500	31,500
<b>SPECTRA, Inc.</b> 205 West 2nd Street, Suite 301N Duluth, MN 55802	0	15,000	15,000

<b>Transition Place</b> 23 Fourth Avenue S.E. Minneapolis, MN 55414	15,000	7,000	7,000
<b>Upper Mississippi Mental Health Center, Inc.</b> 722 15th Street, Box 640 Bemidji, MN 56601-0640	25,000	18,500	18,500
<b>Woodland Centers</b> P.O. Box 787 Willmar, MN 56201	0	15,000	15,000
<b>180 Degrees (STP), Inc.</b> 236 Clinton Avenue Minneapolis, MN 55403	280,000	280,000	yet to be awarded

## APPENDIX E – Bibliography

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Minnesota Department of Corrections.

*Sex Offender Treatment Programs.* July 1994. Office of the Legislative Auditor.

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Minnesota Department of Corrections.