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# MINNESOTA BOARD OF MEDICAL PRACTICE

## BIENNIAL REPORT

JULY 1, 1992 TO JUNE 30, 1994

RA  
396  
.A4  
M58ax  
1992/94

Pursuant to Minn. Stat  
214.07



**BIENNIAL REPORT**

MINNESOTA BOARD OF MEDICAL PRACTICE  
2700 UNIVERSITY AVENUE WEST  
SUITE 106  
ST. PAUL, MN 55114-1080

STATUTORY AUTHORITY - M.S. 146, 147, 148, 319A

REPORT PERIOD - July 1, 1992 to June 30, 1994

SUBMITTED BY - H. Leonard Boche, Executive Director

BOARD OF MEDICAL PRACTICE

RECEIPT AND DISBURSEMENT OF BOARD FUNDS

	<u>FY 93</u>	<u>FY94</u>	<u>TOTAL</u>
Total State Appropriations	2,045,000	2,045,000	4,090,000
Transfer In-Insurance Supplement		3,715	3,715
Board Expenditures	2,017,000	1,948,000	3,965,000
AGO Expenditures	642,000	924,000	1,566,000
Total Disbursements	2,659,000	2,872,000	5,531,000
Total Fee Receipts	2,980,910	3,089,047	6,069,957

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BOARD OF MEDICAL PRACTICE  
LIST OF MEMBERS WHO SERVED DURING FY 93 AND FY 94  
Number of Board members required by statute: 16  
Length of Terms: 4 years

<u>NAME</u>	<u>OCCUPATION</u>	<u>APPOINTMENT DATES</u>
BAER, Zenas	Attorney	1-28-94 to 1-28-98
BREINER, Adrienne	Teacher	5-17-91 to 1-25-95
BROOKER, Doris	Medical Doctor	9-4-90 to 1-28-98
ELLIS, Cassius	Medical Doctor	1-16-85 to 1-2-93
ENGWALL, Sandra	Medical Doctor	2-22-93 to 1-5-97
GAITHER, Daniel	Medical Doctor	2-22-93 to 1-94
HART, Meredith	Health Advocate	1-6-86 to 1-3-94
HERMAN, David	Medical Doctor	9-4-90 to 1-9-93
IVANCE, Richard	Medical Doctor	2-11-92 to 1-1-96
JILK, Patricia	Homemaker/Volunteer	1-28-94 to 1-28-98
JORDAN, Gloria	Businesswoman	9-4-90 to 1-3-94
KIDDER, David	Doctor of Osteopathy	5-17-91 to 1-2-95
KNAPP, James	Medical Doctor	5-6-90 to 1-28-98
LINDQUIST, Janet	Medical Doctor	2-22-93 to 1-5-97
MARTIN, M.J.	Medical Doctor	1-17-89 to 1-5-97
MIKA, Mary	Businesswoman	2-11-92 to 1-1-96
MULDER, Richard	Medical Doctor	5-17-91 to 1-2-95
NOVAK, Karen	Lobbyist	5-17-91 to 1-1-96
OLSON, Clyde	Medical Doctor	1-5-87 to 1-9-93
SPILSETH, Paul	Medical Doctor	2-11-92 to 1-1-96
THORSON, Stuart	Medical Doctor	2-11-92 to 1-1-96
TROUP, Elliott	Medical Doctor	6-12-94 to 1-5-97

BOARD EMPLOYEES WHO WERE EMPLOYED DURING FY 93 AND FY 94

<u>NAME</u>	<u>JOB CLASS/TITLE</u>	<u>DATES OF SERVICE</u>
ALBRIGHT, Florence	Clerk 3 Renewal Clerk	9-20-80 to 4-29-94
ANDERSON, Therese	Clerk Typist 3 Complaint Review Unit Secretary	11-25-76
BACIGALUPI, Gina	Clerk Typist 1 Summer Temporary	6-3-92 to 9-8-92
BALFANY, Jana	Investigator Medical Regulations Analyst	9-11-91 to 10-2-92
BOWTON, Laurie	Clerk Typist 2 Complaint Review Unit Secretary	10-21-92
BOCHE, H. Leonard	Executive Director	4-11-88
DAVIS, Marsha	Clerk Typist 2 Executive Director's Secretary	7-13-88
DIESTLER, Douglas	Clerk Typist 1 Temporary	8-26-92 to 2-23-93
DRESSEL, Barbara	Clerk Typist 2 Receptionist	10-20-76
DROBAC, Jennifer	Clerk Typist 1 Temporary	4-7-93 to 8-10-93
ENG, Marcus	Student Worker	1-6-92
ERICKSON, Mary	Investigator Medical Regulations Analyst	4-24-91
GIEFER, Pamela	Investigator Medical Regulations Analyst	5-15-91
HAYES, Jill	Clerk Typist 1 Summer Temporary	6-15-94
HAYES, Patricia	Clerk Typist 3 Licensure Specialist	2-27-89

HIGGINS, Maralee	Clerk Typist 1 Temporary Clerk Typist 1 Renewal Assistant	3-9-94 to 5-31-94 6-1-94
HOFFMAN, Jeanne	Investigator, Senior Licensure Coordinator	4-1-87
KAUPPILA, Lois	Office Services Supervisor 2 Office Manager	11-25-85
LAWRENCE, Brendan	Student Worker	9-8-93
LEACH, Robert	Investigator, Supervisor Supervisor, Complaint Review Unit	1-20-88
LEINBERGER, Mary	Investigator, Senior Medical Regulations Analyst, Sr.	2-17-88
LORSUNG, Denise	Clerk Typist 3 Licensure Specialist	4-15-87
LOUGHREN, John	Investigator, Senior Director, CD Unit	6-17-92
MARCZEWSKI, William	Investigator Medical Regulations Analyst	2-3-88
MARTINEZ, Ruth	Investigator Medical Regulations Analyst	1-20-88 to 7-6-93
	Investigator Temporary	6-1-94
McAFEE, Phillip	Management Analyst 2 Policy Analyst	1-27-93 to 6-28-94
MESSERVEY, Betty	Clerk Typist 2	6-16-88 to 12-31-92
MILLA, Debra	Accounting Technician	12-4-91
MORIN, Jolene	Clerk Typist 3 Licensure Specialist	9-25-91
NESS, Christopher	Clerk Typist 1 Temporary Clerk Typist 1 Temporary Clerk Typist 1 Registration Assistant	9-8-93 to 2-18-94 6-1-94 to 6-14-94 6-15-94

OBERFRANK, Erika	Clerk Typist 2 Complaint Review Secretary	1-13-93
PATRIKUS, Helen	Investigator Medical Regulations Analyst	10-23-91
PAULSON, Vicky	Executive 1 Discipline Coordinator	5-2-84
PREBLE, Stephanie	Investigator Medical Regulations Analyst	10-9-91
RYAN, Monica	Investigator Medical Regulations Analyst	6-30-93
SATHER, Damon	Clerk Typist 1 Summer Temporary	5-5-93 to 8-27-93
SCANLON, Gina	Clerk Typist 1 Temporary	7-1-92 to 10-15-92
SLATER, Chad	Student Worker	5-6-92 to 8-27-93
THOMPSON, Eva	EDP Assistant	4-8-92
WALKER-SINGLETON Pauline	Investigator, Supervisor Supervisor, Complaint Review Unit	11-3-86 to 5-3-93
WEST, Toni	Clerk Typist 3	2-10-93
WILLSON, Timothy	Information Systems Specialist Computer Specialist	1-3-90

Clause g: LIST THE NUMBER OF PERSONS HAVING EACH TYPE OF LICENSE AND REGISTRATION ISSUED BY THE BOARD AS OF JUNE 30, 1994 (IN THE YEAR OF THE REPORT)

<u>TYPE OF LICENSE/REGISTRATION</u>	<u>TOTAL # IN EFFECT</u>
Athletic Trainers	56
Medicine & Surgery	13,924
Physical Therapy	2,635
Physician Assistant	273
Professional Medical Corporation	664
Respiratory Care Practitioner	891



## **Clause a: GENERAL STATEMENT OF BOARD ACTIVITIES**

The Minnesota State Board of Medical Practice has been given the following responsibilities:

### **1. Licensure to Practice Medicine and Surgery**

<u>Basis</u>	<u>Licenses Issued</u>	
	FY 93	FY 94
A. FLEX written examination	56	72
B. FLEX examination other state	154	174
C. Reciprocity	23	20
D. Endorsement of National Board of Medical Examiners	618	583
E. Endorsement of National Board of Osteopathic Examiners	28	19
F. Endorsement of Medical Council of Canada	<u>18</u>	<u>19</u>
TOTAL	897	887
Residency Permits	0	524

#### **A. FLEX Written Examination**

The Federation Licensing EXamination (FLEX), a three day examination, was adopted by the Board in December, 1971 and is the examination used by all states within the United States. It is also used by Puerto Rico, the District of Columbia and the Province of Saskatchewan.

The FLEX score of 75.0 on each part is the passing score used by all states, including Minnesota. The examination is given in June and December. This examination is in two parts, each 1 1/2 days long. The FLEX exam has been replaced by the United States Medical Licensing Examination. The last test administration in Minnesota was December, 1993. As of June, 1992, the United States Medical Licensing Examination (USMLE) was being phased in to replace the FLEX examination. During this phase-in period ending prior to the year 2000, the Board will accept certain combinations of the FLEX examination for medical licensure.

#### **B. FLEX Examination Other States**

The Board has authorized the office to accept applications on the basis of FLEX examination taken in other states in lieu of taking our written examination by applying on the basis of reciprocity. Many of these applicants are international medical school graduates.

#### **C. Reciprocity**

Minnesota has reciprocity with all other states having examination

requirements equal to or greater than Minnesota's. This means Minnesota will accept exam scores from other states, but does not guarantee licensure.

**D. Endorsement of National Board of Medical Examiners**

One normally becomes a Diplomate of the National Board of Medical Examiners by taking Day 1 (basic science subjects), as a second year medical student, Day 2 (clinical science subjects), as a fourth year medical student and Day 3, (clinical competence), as a first year resident. This is the basis on which most United States graduates and many Canadian graduates are licensed in Minnesota. As of June, 1992, the United States Medical Licensing Examination (USMLE) was being phased in to replace the National Board of Medical Examiners examination. During this phase in period ending prior to the year 2000, the Board will accept certain combinations of the National Board of Medical Examiners examination and the United States Medical Licensing Examination for medical licensure.

**E. Endorsement of National Board of Osteopathic Examiners**

Since the Board of Osteopathy was merged with the Board of Medical Examiners in 1963, the only license the Doctor of Osteopathy can obtain in Minnesota is the license to practice medicine and surgery; the same license as issued to the Doctor of Medicine. They may apply on the basis of reciprocity, examination or the National Board of Osteopathic Examiners.

**F. Endorsement of Medical Council of Canada**

Minnesota Session Laws 1977, Chapter 7, authorized the Board to grant licenses to licentiates of the Medical Council of Canada.

**G. Endorsement of United States Medical Licensing Examination**

The United States Medical Licensing Examination (USMLE) was developed in a joint effort by the Federation of State Medical Board and the National Board of Medical Examiners to replace both the Federation Licensing Examination and the National Board of Medical Examiners examination. The Board is responsible for administering Step 3 of the examination which was first administered in June, 1994.

**H. Endorsement of Examination Combination**

During the phase-in period for the United States Medical Licensing Examination, the Board will accept examination combinations recommended by the USMLE composite committee providing the combination has been completed prior to the year 2000.

**I. SPEX Written Examination**

Effective, August 1, 1991, the SPEX examination, a one day written examination, has been utilized by the Board to assure minimum

competency of physician applicants who have not taken a licensing examination for ten years and are not currently specialty board certified.

## **2. Endorsement of Certification to Other States**

During the two-year period, July 1, 1992 to June 30, 1994, 124 Minnesota licentiates used their Minnesota license to obtain licensure by reciprocity in other states. In addition to the above endorsements, thousands of certifications are made to the Drug Enforcement Administration, hospitals, drug companies and so forth.

## **3. Professional Corporations**

During the two-year period, July 1, 1992 to June 30, 1994, 51 new corporations were formed, bringing the total of corporations formed since the original law was passed in 1951 to 1404. The number of corporations registered is 667, as of June 30, 1994.

## **4. Temporary Licenses**

A temporary license to practice medicine can be issued until the next Board meeting if all requirements for a full licensure have been met. From July 1, 1992 to June 30, 1994, 8391 temporary permits were issued.

## **5. Physical Therapy**

Physical therapists have been registered in Minnesota since 1953. Physical therapists may be registered on the basis of having passed the National Physical Therapy Examination, Assessment Systems, Inc. (ASI) exam, the Professional Examination Service (PES) examination or the American Registry of Physical Therapy Examination. The last three examinations are no longer available. The number granted registration from July 1, 1992 to June 30, 1993 was 158 and from July 1, 1993 to June 30, 1994 was 180.

## **6. Physician Assistants**

Physician assistants have been registered in Minnesota since 1987. Current regulations dictate that physician assistants may be registered on the basis of having graduated from an accredited program and passed the National Commission on Certification of Physician Assistants, Inc. (NCCPA) exam. Effective March 9, 1992, rules were adopted allowing physician to delegate authority to physician assistants to prescribe and administer drugs. Physician assistants practice under a supervisory agreement with a supervising physician(s). The number granted registration from July 1, 1992 to June 30, 1993 was 32 and from July 1, 1993 to June 30, 1994 was 51.

## **7. Respiratory Care Practitioners**

Respiratory Care Practitioners have been registered in Minnesota

since November, 1992. To establish eligibility for registration, current rules require successful completion of a CAHEA accredited respiratory therapy training program and success completion of the National Board for Respiratory Care (NBRC) examination unless applicant is applying for registration by equivalency which only requires a current NBRC certificate. There was a grandparenting period which ended October 21, 1993 whereby athletic trainers were eligible for transitional registration based on experience or passing the examination. The number granted registration from July 1, 1992 to June 30, 1993 was 100 and from July 1, 1993 to June 30, 1994 was 793.

#### **8. Athletic Trainers**

Athletic Trainers have been registered in Minnesota since January, 1994. To establish eligibility for registration, current law requires successful completion of an athletic training program accredited by National Athletic Trainers Association Professional Education Committee, the National Athletic Trainers Association Board of Certification (NATABOC), or the Joint Review Committee on Education Programs in Athletic Training and successful completion of the National Athletic Trainers Association Board of Certification examination unless the applicant received a NATABOC certificate prior to 1971 which exempts them from the accredited program requirement. There was a grandparenting period which ends May 18, 1995 whereby athletic trainers are eligible for transitional registration based on experience and passing the examination. The number granted registration from July 1, 1993 to June 30, 1994 was 56.



**PHYSICAL THERAPY ADVISORY COUNCIL  
MINNESOTA BOARD OF MEDICAL PRACTICE**

Clause d: LIST OF COUNCIL MEMBERS WHO SERVED DURING FY 93 AND 94

A. Number of Council members required by statute: 7

B. The statutory length of term: 4 years

NAME	OCCUPATION	BEGINNING/ENDING DATES OF (RE)APPOINTMENT
Jackie Franklin	PT	2-10-89 to 1-7-91 1-7-91 to 1-2-95
Tom Garrett	PT	1-1-84 to 1-5-88 1-5-88 to 1-6-92 1-6-92 to 1-1-96
Kathy Fleischaker	PT	1-6-92 to 1-1-96
Judy Hawley	PTA	2-10-89 to 1-7-91 1-7-91 to 1-2-95
Steven Koop	MD	7-11-92 to 1-2-95
David Nash	MD	3-12-88 to 1-6-92 1-6-92 to 1-1-96
Jack Schaaf	Public	1-1-80 to 1-7-91 1-7-91 to 7-15-94

NOTE: The Council was sunsetted effective June 30, 1994.

**PHYSICIAN ASSISTANT ADVISORY COUNCIL  
MINNESOTA BOARD OF MEDICAL PRACTICE**

Clause d: LIST OF COUNCIL MEMBERS WHO SERVED DURING FY 93 AND 94

A. Number of Council members required by statute: 7

B. The statutory length of term: 4 years

NAME	OCCUPATION	BEGINNING/ENDING DATES OF (RE)APPOINTMENT
Robert A. Adams	PA	1-5-87 to 1-7-91 1-7-91 to 1-2-95
Chester A. Anderson	MD	1-4-89 to 1-6-93
James Berry	Public	11-19-88 to 1-7-93 1-7-93 to 1-6-97
Arthur Carrizales	MD	3-31-93 to 12-20-93
Brian Ebeling	MD	1-5-87 to 1-7-91 1-7-91 to 1-2-95
Mark Helgeson	PA	3-16-92 to 1-2-96
Stephen C. Wandersee	PA	1-5-87 to 1-7-91 1-7-91 to 1-2-95

NOTE: The Council was sunsetted effective June 30, 1994.

**RESPIRATORY CARE PRACTITIONER ADVISORY COUNCIL  
MINNESOTA BOARD OF MEDICAL PRACTICE**

Clause d: LIST OF COUNCIL MEMBERS WHO SERVED DURING FY 93 AND 94

A. Number of Council members required by statute: 7

B. The statutory length of term: 4 years

NAME	OCCUPATION	BEGINNING/ENDING DATES OF (RE)APPOINTMENT
Edward Didier	MD	3-16-92 to 8-1-94
Ian Gilmour	MD	3-16-92 to 6-29-94
Cecilia Harrington	Public	3-16-92 to 1-2-96
Lillian Warren Lazenberry	Public	3-16-92 to 8-29-94
Robert Lee	RCP	3-16-92 to 1-2-95
Tracy Weseloh	RCP	3-16-92 to 1-2-95
John T. Wheeler	RCP	3-16-92 to 1-2-96 1-7-91 to 1-2-95

NOTE: The Council was sunsetted effective June 30, 1994.

**ATHLETIC TRAINER ADVISORY COUNCIL  
MINNESOTA BOARD OF MEDICAL PRACTICE**

Clause d: LIST OF COUNCIL MEMBERS WHO SERVED DURING FY 93 AND 94

A. Number of Council members required by statute: 7

B. The statutory length of term: 4 years

NAME	OCCUPATION	BEGINNING/ENDING DATES OF (RE)APPOINTMENT
Sharon Androff	Public	7-10-93 to 1-1-96
Joel Boyd	MD	7-10-93 to 1-1-96
Cynthia Finke	AT/PT	7-10-93 to 1-1-96
Howard Johnson	DC	7-10-93 to 1-2-95
Lori Lebert	ATC	7-10-93 to 1-2-95
Gary Reinholtz	ATC	7-10-93 to 1-1-96
John Steele	Public	7-10-93 to 1-2-95
David Thorson	MD	7-10-93 to 1-2-95



### BOARD OF MEDICAL PRACTICE

Clause h: ADMINISTRATION OF EXAMINATION BY BOARD

EXAMINATION: FLEX

LOCATION	TYPE OF LICENSE	DATES	EXAM TYPE
St. Louis Park, MN	Medicine & Surgery	Dec 1,2, 3, 1992	Written
St. Louis Park, MN	Medicine & Surgery	Jun 15,16 17, 1993	Written
St. Louis Park, MN	Medicine & Surgery	Dec 7,8, 9, 1993	Written

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EXAMINATION: SPEX

LOCATION	TYPE OF LICENSE	DATES	EXAM TYPE
St. Paul, MN	Medicine & Surgery	9-17-92	Written
St. Paul, MN	Medicine & Surgery	12-3-92	Written
St. Paul, MN	Medicine & Surgery	3-18-93	Written
St. Paul, MN	Medicine & Surgery	6-17-93	Written
St. Paul, MN	Medicine & Surgery	9-14-93	Written
St. Paul, MN	Medicine & Surgery	12-9-93	Written
St. Paul, MN	Medicine & Surgery	3-17-94	Written
St. Paul, MN	Medicine & Surgery	6-16-94	Written

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EXAMINATION: Assessment Systems, Inc.

LOCATION	TYPE OF REGISTRATION	DATES	EXAM TYPE
Minneapolis, MN	Physical Therapy	7-23-92	Written
St. Paul, MN	Physical Therapy	11-5-92	Written
Rochester, MN	Physical Therapy	3-3-93	Written
Minneapolis, MN	Physical Therapy	7-21-93	Written
St. Paul, MN	Physical Therapy	11-17-93	Written

MINNESOTA BOARD of MEDICAL PRACTICE

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Clauses i, j, k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: FLEX Minnesota

AGE GROUP	FY 93									FY 94									FY 93 and FY 94								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0	0	1	1	0	1	1	0	0	0
18-25	0	0	0	0	0	0	0	0	0	2	0	2	2	0	2	0	0	0	2	0	2	2	0	2	0	0	0
26-34	21	8	29	21	8	29	0	0	0	28	13	41	28	13	41	0	0	0	49	21	70	49	21	70	0	0	0
35-59	14	4	18	14	4	18	0	0	0	17	5	22	17	5	22	0	0	0	31	9	40	31	9	40	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	35	12	47	35	12	47	0	0	0	47	19	66	47	19	66	0	0	0	82	31	113	82	31	113	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	74	26	100	74	26	100	0	0	100	71	29	100	71	29	100	0	0	100	73	27	100	73	27	100	0	0	100
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MINNESOTA BOARD of MEDICAL PRACTICE

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Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: FLEX Minnesota

AGE GROUP	FY 93									FY 94									FY 93 and FY 94								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
26-34	5	0	5	5	0	5	0	0	0	4	0	4	4	0	4	0	0	0	9	0	9	9	0	9	0	0	0
35-59	3	1	4	3	1	4	0	0	0	2	0	2	2	0	2	0	0	0	5	1	6	5	1	6	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	8	1	9	8	1	9	0	0	0	6	0	6	6	0	6	0	0	0	14	1	15	14	1	15	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	89	11	100	89	11	100	0	0	100	100	0	100	100	0	100	0	0	100	93	7	100	93	7	100	0	0	100
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# MINNESOTA BOARD of MEDICAL PRACTICE

## Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents by state only who were (1) examined Use a separate page for each type of license or registration.

### TYPE OF LICENSE/REGISTRATION: FLEX Minnesota

STATE	FY 93									FY 94									FY 93 and 94								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
CA	2	0	2	2	0	2	0	0	0	0	0	0	0	0	0	0	0	0	2	0	2	2	0	2	0	0	0
ND	5	0	5	5	0	5	0	0	0	5	0	5	5	0	5	0	0	0	10	0	10	10	0	10	0	0	0
TN	1	0	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	1	0	1	0	0	0
	0	1	1	0	1	1	0	0	0	1	0	1	1	0	1	0	0	0	1	1	2	1	1	2	0	0	0
Totals	8	1	9	8	1	9	0	0	0	6	0	6	6	0	6	0	0	0	14	1	15	14	1	15	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	89	11	100	89	11	100	0	0	100	100	0	100	100	0	100	0	0	100	93	7	100	93	7	100	0	0	100
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MINNESOTA BOARD of MEDICAL PRACTICE

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Clauses i, j, k: ATHLETIC TRAINERS TYPE OF LICENSE/REGISTRATION

List the number of Athletic Trainers that were Licensed/Registered.

TYPE OF LICENSE/REGISTRATION: Athletic Trainers

AGE GROUP	FY 1993									FY 1994									FY 1993 and 1994								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	0	0	0	0	0	0	0	0	0	0	0	0	5	5	10	0	0	0	0	0	0	5	5	10	0	0	0
26-34	0	0	0	0	0	0	0	0	0	0	0	0	11	16	27	0	0	0	0	0	0	11	16	27	0	0	0
35-59	0	0	0	0	0	0	0	0	0	0	0	0	12	5	17	0	0	0	0	0	0	12	5	17	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0	0	0	0	1	0	1	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0	0	0	0	1	0	1	0	0	0
Totals	0	0	0	0	0	0	0	0	0	0	0	0	30	26	56	0	0	0	0	0	0	30	26	56	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	0	0	100	0	0	100	0	0	100	0	0	100	54	46	100	0	0	100	0	0	100	54	46	100	0	0	100
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# MINNESOTA BOARD of MEDICAL PRACTICE

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 93	FY 94	FY 93 and FY 94
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	154	174	328
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	1	1

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant	Deny
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physicians	AB	0	0	0	1	0	0	1	0	FLEX	1	0
	AZ	0	0	0	3	0	0	3	0		3	0
	CA	0	0	1	5	0	0	5	1		6	0
	CO	0	0	1	2	0	0	2	1		3	0
	CT	0	0	0	1	0	0	1	0		1	0
	DC	0	0	0	1	0	0	0	1		1	0
	FL	0	0	0	2	0	0	2	0		2	0
	IA	0	0	3	7	0	0	9	1		10	0
	IL	0	0	1	3	0	0	1	3		4	0
	IN	0	0	1	0	0	0	1	0		1	0
	KY	0	0	0	1	0	0	1	0		1	0
	LA	0	0	0	1	0	0	1	0		1	0
	MB	0	0	1	2	0	0	3	0		3	0
	MI	0	0	1	0	0	0	0	1		1	0
	MN	0	2	153	81	0	1	166	71		237	1
	MO	0	0	1	5	0	0	4	2		6	0
	NC	0	0	4	0	0	0	1	3		4	0
	ND	0	0	1	3	0	0	3	1		4	0
	NJ	0	0	0	1	0	0	0	1		1	0
	NV	0	0	0	1	0	0	1	0		1	0
	NY	0	0	2	2	0	0	3	1		4	0
	OH	0	0	1	3	0	0	3	1		4	0
	PA	0	0	2	1	0	0	1	2		3	0
	SD	0	0	2	3	0	0	3	2		5	0
	TX	0	0	3	3	1	0	4	3		7	0

# MINNESOTA BOARD OF MEDICAL PRACTICE

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 93	FY 94	FY 93 and FY 94
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	154	174	328
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	1	1

FOR EACH PERSON GIVE:

Type of Registration	License of Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physicians	UT	0	0	1	1	0	0	2	0	FLEX	2	0
	WI	0	0	4	7	0	0	7	4		11	0
	WV	0	0	0	1	0	0	1	0		1	0

M I N N E S O T A   B O A R D   o f   M E D I C A L   P R A C T I C E

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Clause 1:    The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 93	FY 94	FY 93 and 94
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	18	19	37
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License of Res.	AGE GROUP						Gender		Method of Lic/Regis		
		0-18	18-25	26-34	35-59	60-65	66+	M	F		Grant	Deny
Physicians	CA	0	0	1	0	0	0	1	0	LMCC	1	0
	MB	0	0	2	4	0	0	6	0		6	0
	MN	0	1	16	7	1	0	20	5		25	0
	ND	0	0	1	0	0	0	1	0		1	0
	ON	0	0	0	3	0	0	3	0		3	0
	TX	0	0	1	0	0	0	1	0		1	0



M I N N E S O T A   B O A R D   o f   M E D I C A L   P R A C T I C E

Clause 1:    The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 93	FY 94	FY 93 and 94
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	618	583	1201
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physicians	AE	0	0	2	0	0	0	1	1	NBME	2	0
	AL	0	0	0	1	0	0	1	0		1	0
	AZ	0	0	1	5	0	0	4	2		6	0
	CA	0	0	14	11	0	1	22	4		26	0
	CO	0	0	1	2	0	0	2	1		3	0
	DC	0	0	0	0	1	0	1	0		1	0
	FL	0	0	4	3	1	0	6	2		8	0
	HI	0	0	0	1	0	0	1	0		1	0
	IA	0	0	1	2	0	0	2	1		3	0
	ID	0	0	1	0	0	0	1	0		1	0
	IL	0	0	7	2	0	1	6	4		10	0
	IN	0	0	3	1	0	0	3	1		4	0
	KY	0	0	0	1	0	0	0	1		1	0
	LA	0	0	1	0	0	0	1	0		1	0
	MA	0	0	1	1	1	0	3	0		3	0
	MB	0	0	0	1	0	0	1	0		1	0
	MD	0	0	3	5	0	0	6	2		8	0
	ME	0	0	1	2	0	0	2	1		3	0
	MI	0	0	11	3	0	0	12	2		14	0
	MN	1	12	711	211	4	0	646	293		939	0
	MO	0	0	8	2	0	0	4	6		10	0
	MS	0	0	0	1	0	0	1	0		1	0
	NC	0	0	6	2	0	0	8	0		8	0
	ND	0	0	11	12	0	0	21	2		23	0
	NE	0	0	3	0	0	0	3	0		3	0

M I N N E S O T A   B O A R D   o f   M E D I C A L   P R A C T I C E

Clause 1:    The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board   or   Who Were Denied Licensing or Registration.

	FY 93	FY 94	FY 93 and 94
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	618	583	1201
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physicians	NH	0	0	0	1	0	0	1	0	NBME	1	0
	NJ	0	0	5	0	0	0	4	1		5	0
	NM	0	0	2	1	0	0	1	2		3	0
	NY	0	0	10	7	0	0	12	5		17	0
	OH	0	0	5	1	0	0	4	2		6	0
	OK	0	0	1	0	0	0	1	0		1	0
	ON	0	0	1	0	0	0	1	0		1	0
	OR	0	0	1	0	0	0	0	1		1	0
	PA	0	0	7	3	0	0	10	0		10	0
	RI	0	0	1	0	0	0	0	1		1	0
	SC	0	0	0	1	0	0	0	1		1	0
	SD	0	0	8	9	0	0	14	3		17	0
	TN	0	0	3	0	0	1	4	0		4	0
	TX	0	0	3	2	0	0	4	1		5	0
	UT	0	0	2	0	0	0	2	0		2	0
	VA	0	0	4	2	0	0	2	4		6	0
	WA	0	0	0	6	1	0	5	2		7	0
	WI	0	0	10	19	0	0	20	9		29	0
	WV	0	0	2	0	0	0	2	0		2	0
		0	0	1	0	0	0	1	0		1	0

MINNESOTA BOARD of MEDICAL PRACTICE

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Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 93	FY 94	FY 93 and 94
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	28	19	47
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physicians	AR	0	0	1	0	0	0	1	0	NBOE	1	0
	CA	0	0	0	2	0	0	2	0		2	0
	FL	0	0	0	3	0	0	2	1		3	0
	IA	0	0	1	1	0	0	2	0		2	0
	MN	0	0	16	14	0	0	20	10		30	0
	ND	0	0	0	3	0	0	2	1		3	0
	NJ	0	0	0	1	0	0	1	0		1	0
	OH	0	0	2	0	0	0	2	0		2	0
	OK	0	0	1	1	0	0	2	0		2	0
	WI	0	0	1	0	0	0	1	0		1	0

# MINNESOTA BOARD of MEDICAL PRACTICE

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 93	FY 94	FY 93 and 94
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	0	524	524
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP							Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F	Grant		Deny	
Physicians	CA	0	0	5	1	0	0	4	2	RESPRG	6	0	
	CT	0	0	1	0	0	0	0	1		1	0	
	DC	0	0	1	0	0	0	1	0		1	0	
	FL	0	0	0	1	0	0	1	0		1	0	
	IA	0	0	1	0	0	0	1	0		1	0	
	IL	0	1	2	1	0	0	3	1		4	0	
	IN	0	0	2	0	0	0	1	1		2	0	
	KY	0	0	1	0	0	0	0	1		1	0	
	LA	0	0	1	0	0	0	1	0		1	0	
	MA	0	1	3	1	0	0	5	0		5	0	
	MD	0	0	1	1	0	0	1	1		2	0	
	MI	0	1	3	0	0	0	4	0		4	0	
	MN	0	2	219	56	0	0	154	123		277	0	
	MO	0	0	2	0	0	0	2	0		2	0	
	MS	0	0	0	1	0	0	1	0		1	0	
	ND	0	0	1	0	0	0	0	1		1	0	
	NV	0	0	1	0	0	0	0	1		1	0	
	NY	0	1	4	0	0	0	3	2		5	0	
	OH	0	0	2	0	0	0	1	1		2	0	
	OK	0	0	1	0	0	0	1	0		1	0	
	ON	0	0	1	0	0	0	1	0		1	0	
	PQ	0	0	1	0	0	0	0	1		1	0	
	TN	0	0	2	0	0	0	1	1		2	0	
	TX	0	0	2	0	0	0	2	0		2	0	
	UT	0	0	1	0	0	0	1	0		1	0	

# MINNESOTA BOARD of MEDICAL PRACTICE

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 93	FY 94	FY 93 and 94
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	0	524	524
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License of Res.	State	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
			0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physicians	WA		0	0	0	1	0	0	1	0	RESPRG	1	0
	WI		0	0	2	2	0	0	1	3		4	0
			1	15	156	21	0	0	131	62		193	0

MINNESOTA BOARD of MEDICAL PRACTICE

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Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 93	FY 94	FY 93 and 94
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	23	20	43
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	1	0	1

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP					Gender			Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physicians	AR	0	0	0	1	0	0	1	0	STATE	1	0
	AZ	0	0	0	0	1	0	1	0		1	0
	CA	0	0	0	0	4	2	6	0		6	0
	CO	0	0	0	2	0	0	2	0		2	0
	IA	0	0	0	0	1	0	1	0		1	0
	IL	0	0	0	0	2	0	2	0		2	0
	MD	0	0	0	0	1	0	1	0		1	0
	MI	0	0	0	0	1	0	1	0		1	0
	MN	0	0	0	8	2	2	11	1		12	1
	MO	0	0	0	1	0	0	1	0		1	0
	MT	0	0	0	0	1	0	1	0		1	0
	NC	0	0	0	0	0	1	1	0		1	0
	ND	0	0	0	2	0	0	2	0		2	0
	NV	0	0	0	0	0	1	1	0		1	0
	NY	0	0	0	0	1	0	1	0		1	0
	OK	0	0	0	0	0	1	1	0		1	0
	SC	0	0	0	1	0	0	1	0		1	0
	TN	0	0	0	0	0	1	1	0		1	0
	TX	0	0	0	2	1	0	3	0		3	0
	UT	0	0	0	0	1	0	1	0		1	0
	WI	0	0	0	0	2	0	2	0		2	0

# MINNESOTA BOARD of MEDICAL PRACTICE

## Clauses i, j, k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

### TYPE OF LICENSE/REGISTRATION: Physical Therapist

AGE GROUP	FY 1993									FY 1994									FY 1993 and 1994								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	6	36	42	6	36	42	0	0	0	0	0	0	0	0	0	0	0	0	6	36	42	6	36	42	0	0	0
26-34	7	13	20	7	13	20	0	0	0	0	1	1	0	1	1	0	0	0	7	14	21	7	14	21	0	0	0
35-59	6	7	13	6	7	13	0	0	0	0	0	0	0	0	0	0	0	0	6	7	13	6	7	13	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	19	56	75	19	56	75	0	0	0	0	1	1	0	1	1	0	0	0	19	57	76	19	57	76	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	25	75	100	25	75	100	0	0	100	0	100	100	0	100	100	0	0	100	25	75	100	25	75	100	0	0	100
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# MINNESOTA BOARD of MEDICAL PRACTICE

## Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

### TYPE OF LICENSE/REGISTRATION: Physical Therapist

AGE GROUP	FY 1993									FY 1994									FY 1993 and 1994								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	2	6	8	2	6	8	0	0	0	0	0	0	0	0	0	0	0	0	2	6	8	2	6	8	0	0	0
26-34	2	1	3	2	1	3	0	0	0	0	0	0	0	0	0	0	0	0	2	1	3	2	1	3	0	0	0
35-59	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	4	7	11	4	7	11	0	0	0	0	0	0	0	0	0	0	0	0	4	7	11	4	7	11	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	36	64	100	36	64	100	0	0	100	0	0	100	0	0	100	0	0	100	36	64	100	36	64	100	0	0	100
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MINNESOTA BOARD of MEDICAL PRACTICE

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Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents by state only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: Physical Therapist

STATE	FY 1993									FY 1994									FY 1993 and 1994								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
MI	0	1	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0
ND	1	2	3	1	2	3	0	0	0	0	0	0	0	0	0	0	0	0	1	2	3	1	2	3	0	0	0
SD	2	1	3	2	1	3	0	0	0	0	0	0	0	0	0	0	0	0	2	1	3	2	1	3	0	0	0
TX	0	1	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0
WI	1	2	3	1	2	3	0	0	0	0	0	0	0	0	0	0	0	0	1	2	3	1	2	3	0	0	0
Totals	4	7	11	4	7	11	0	0	0	0	0	0	0	0	0	0	0	0	4	7	11	4	7	11	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	36	64	100	36	64	100	0	0	100	0	0	100	0	0	100	0	0	100	36	64	100	36	64	100	0	0	100
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M I N N E S O T A   B O A R D   o f   M E D I C A L   P R A C T I C E

Clause 1:    The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1993	FY 1994	1993 and 1994
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	66	50	116
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
		0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physical Therapist	AL	0	0	0	1	0	0	0	1	ASI/PES	1	0
	AZ	0	0	1	0	0	0	0	1		1	0
	CA	0	0	1	2	0	0	1	2		3	0
	CO	0	0	1	0	0	0	0	1		1	0
	DE	0	0	1	0	0	0	0	1		1	0
	FL	0	0	0	1	0	0	1	0		1	0
	IA	0	0	3	1	0	0	1	3		4	0
	IL	0	0	3	2	0	0	1	4		5	0
	IN	0	0	3	0	0	0	2	1		3	0
	KS	0	0	2	0	0	0	0	2		2	0
	MA	0	0	0	1	0	0	0	1		1	0
	MI	0	0	1	0	0	0	0	1		1	0
	MN	0	7	34	13	0	0	11	43		54	0
	MO	0	0	1	0	0	0	0	1		1	0
	ND	0	5	3	2	0	0	4	6		10	0
	NH	0	0	1	0	0	0	0	1		1	0
	NY	0	0	0	1	0	0	0	1		1	0
	OH	0	0	0	1	0	0	0	1		1	0
	OK	0	0	1	0	0	0	0	1		1	0
	PA	0	1	2	0	0	0	1	2		3	0
	SD	0	0	1	0	0	0	0	1		1	0
	TN	0	0	1	0	0	0	0	1		1	0
	TX	0	0	1	1	0	0	0	2		2	0
	VA	0	0	1	0	0	0	0	1		1	0
	WA	0	0	1	1	0	0	1	1		2	0

MINNESOTA BOARD of MEDICAL PRACTICE

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Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1993	FY 1994	1993 and 1994
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	66	50	116
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License of Res.	State Res.	AGE GROUP						Gender		Method of Lic/Regis	Grant Deny	
			0-18	18-25	26-34	35-59	60-65	66+	M	F			
Physical Therapist	WI		0	2	5	5	0	0	0	12	ASI/PES	12	0
	WY		0	1	0	0	0	0	1	0		1	0

# MINNESOTA BOARD OF MEDICAL PRACTICE

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 93	FY 94	FY 93 and 94
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	6	129	135
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

FOR EACH PERSON GIVE:

Type of Registration	License State of Res.	AGE GROUP						Gender		Method of Lic/Regis		
		0-18	18-25	26-34	35-59	60-65	66+	M	F		Grant	Deny
Physical Therapist	CO	0	1	0	0	0	0	0	1	NPTE	1	0
	DE	0	0	1	0	0	0	0	1		1	0
	HI	0	0	1	0	0	0	0	1		1	0
	IA	0	2	1	0	0	0	0	3		3	0
	IL	0	3	0	0	0	0	1	2		3	0
	IN	0	0	1	0	0	0	0	1		1	0
	MA	0	0	1	0	0	0	0	1		1	0
	MB	0	0	1	0	0	0	0	1		1	0
	MI	0	0	1	0	0	0	0	1		1	0
	MN	0	53	40	10	0	0	28	75		103	0
	ND	0	1	0	0	0	0	0	1		1	0
	OH	0	1	0	0	0	0	0	1		1	0
	PA	0	0	0	1	0	0	0	1		1	0
	SD	0	1	1	1	0	0	2	1		3	0
	TX	0	1	0	0	0	0	0	1		1	0
	VA	0	0	0	0	1	0	0	1		1	0
	WA	0	2	0	0	0	0	1	1		2	0
	WI	0	5	4	0	0	0	2	7		9	0

MINNESOTA BOARD of MEDICAL PRACTICE

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Clauses i, j, k: PHYSICIAN ASSISTANTS TYPE OF LICENSE/REGISTRATION

List the number of Physician Assistants that were Licensed/Registered.

TYPE OF LICENSE/REGISTRATION: Physician Assistants

AGE GROUP	FY 1993									FY 1994									FY 1993 and 1994								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	0	0	0	1	2	3	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	1	3	4	0	0	0
26-34	0	0	0	4	9	13	0	0	0	0	0	0	6	17	23	0	0	0	0	0	0	10	26	36	0	0	0
35-59	0	0	0	6	10	16	0	0	0	0	0	0	16	11	27	0	0	0	0	0	0	22	21	43	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	0	0	0	11	21	32	0	0	0	0	0	0	22	29	51	0	0	0	0	0	0	33	50	83	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	0	0	100	34	66	100	0	0	100	0	0	100	43	57	100	0	0	100	0	0	100	40	60	100	0	0	100
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MINNESOTA BOARD of MEDICAL PRACTICE

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Clauses i, j, k: RESPIRATORY CARE PRACTITIONERS TYPE OF LICENSE/REGISTRATION

List the number of Respiratory Care Practitioners that were Licensed/Registered.

TYPE OF LICENSE/REGISTRATION: Respiratory Care Practitioners

AGE GROUP	FY 1993									FY 1994									FY 1993 and 1994								
							NOT									NOT									NOT		
	EXAMINED			LIC/REGIS			LIC/REGIS			EXAMINED			LIC/REGIS			LIC/REGIS			EXAMINED			LIC/REGIS			LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	0	0	0	3	6	9	0	0	0	0	0	0	3	6	9	0	0	0
18-25	0	0	0	1	3	4	0	0	0	0	0	0	12	31	43	0	0	0	0	0	0	13	34	47	0	0	0
26-34	0	0	0	8	22	30	0	0	0	0	0	0	71	184	255	0	0	0	0	0	0	79	206	285	0	0	0
35-59	0	0	0	27	36	63	0	0	0	0	0	0	183	300	483	0	0	0	0	0	0	210	336	546	0	0	0
60-65	0	0	0	0	3	3	0	0	0	0	0	0	0	3	3	0	0	0	0	0	0	0	6	6	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Totals	0	0	0	36	64	100	0	0	0	0	0	0	269	524	793	0	0	0	0	0	0	305	588	893	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total	0	0	100	36	64	100	0	0	100	0	0	100	34	66	100	0	0	100	0	0	100	34	66	100	0	0	100
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Clause m: Persons previously licensed or registered by the Board whose licenses or registrations were revoked, suspended, or otherwise altered in status, with brief statements of the reasons for the revocation, suspension, or alteration.

Status change totals for the biennial period:

<u>Status Change Type</u>	<u>FY 93</u>	<u>FY 94</u>	<u>Total</u>
Amended Order	1	0	1
Amended Order Restriction	11	9	20
Amended Order Suspended	1	0	1
Reinstatement	30	30	60
Reprimand	3	5	8
Reprimand & Civil Penalty	2	4	6
Restriction	19	29	48
Restriction Reprimand	1	0	1
Restriction & Civil Penalty	7	6	13
Summary Suspension Stayed	1	1	2
Suspension	10	3	13
Suspension Stayed	10	8	18
Suspension & Civil Penalty	1	0	1
Voluntary Surrender	5	3	8
Revocation	2	0	2
TOTAL	104	98	202



Clause n: List the number of complaints and other communications received by the Executive Director, each Board Member, employee, or other person performing services for the Board.

Complaints that allege or imply a violation of a statute or rule which the Board is empowered to enforce. These totals include cases referred to the Attorney General's staff who are assigned to assist your Board:

Jurisdictional complaints:

	<u>FY 93</u>	<u>FY 94</u>	<u>Total</u>
Written	1,252	1,052	2,304
Oral	0	0	0
Total	1,252	1,052	2,304

Complaints which are forwarded to other agencies as required by M.S. 214.10:

	<u>FY 93</u>	<u>FY 94</u>	<u>Total</u>
Written	51	51	102
Oral	0	0	0
Total	51	51	102

Please indicate the number of complaints referred to each other governmental agency (Federal, State, and Local) in each fiscal year.

<u>Agency</u>	<u>FY 93</u>	<u>FY 94</u>	<u>Total</u>
Board of Chiropractors	4	3	7
Board of Dentistry	4	4	8
Board of Nursing	15	17	32
Board of Optometry	1	3	4
Board of Pharmacy	2	0	2
Board of Podiatry	5	1	6
Board of Psychology	7	11	18
Board of Social Work	2	2	4
OHFC	7	8	15
Other Enforcement Agency	3	2	5
Unlicensed Mental Health	1	0	1
Total	51	51	102

Clause o: Summarize, by specific category, the substance of the complaints and communications referred to in clause (n) of M.S. 214.07 and, for each specific category, the resources and dispositions thereof pursuant to M.S. 214.10 and 214.11 (indicate authority/citations for dispositions).

(Dispositions occurring during this period for complaints and communications received prior to July 1, 1992, and complaints and communications received, but not disposed, as of June 30, 1994, should be included.)

Summary of complaints and communications by specific category (Give number in each specific category. Individual complaints may involve more than one category.):

<u>Type</u>	<u>FY 93</u>	<u>FY 94</u>	<u>Total</u>
Failure to Meet Licensure Requirements (A)	1	0	1
Obtaining a License by Fraud/Cheating (B)	0	0	0
Felony (C)	2	2	4
License Disciplined in Another State (D)	83	38	121
Advertising which is False/Misleading (E)	13	7	20
Violating Rule/Law/Order (F)	17	27	44
Engaging in Unethical Conduct (G)	386	520	906
Failure to Supervise (H)	14	4	18
Hospital Privileges (HP)	15	18	33
Aiding/Abetting Unlicensed Person (I)	2	1	3
Mental Competency (J)	0	1	1
Unprofessional Conduct (K)	691	678	1,369
Inability to Practice Medicine due to Chemical Dependency or Mental or Physical Condition (L)	21	16	37
Licensure Application (LA)	0	0	0
Licensure Renewal (LR)	8	7	15
Revealing Privileged Communication (M)	19	21	40
Failure by D.O. to Identify School of Healing (N)	0	0	0
Improper Management of Medical Records (O)	51	45	96
Fee Splitting (P)	3	2	5
Engaging in Abusive Billing Practices (Q)	4	31	35
Addicted to Drug/Intoxicant (R)	48	60	108
Prescribing (S)	155	164	319
Sexual Misconduct (T)	160	107	267
Failure to Cooperate With Board (U)	3	0	3
Practicing w/o License (WL)	26	21	47
TOTAL	1,722	1,770	3,492

Summary of complaints and communications by specific source:

<u>Source</u>	<u>FY 93</u>	<u>FY 94</u>	<u>Total</u>
Anonymous	29	37	66
Board of Medical Practice	20	11	31
Enforcement Agency	291	235	526
Family Member of Patient	159	128	287
Insurance Company	7	3	10
Office of Health Facility Complaints	43	40	83
Patient	455	425	880
Reporting Obligation (Licensed Health Professionals)	190	154	344
Third Party	59	26	85
Total	1,253	1,059	2,312

Status change details for the biennial period (cases are M.D. licensees, unless otherwise noted. See Clause o for explanation of abbreviations.):

<u>Case</u>	<u>Reason(s) for Status Change</u>	<u>Status Change</u>
1	G, K	Corrective Action
2	L, R	Corrective Action
3	S	Corrective Action
4	L, R	Corrective Action
5	L, R	Corrective Action
6	O, S	Corrective Action
7	G, K	Corrective Action
8	S	Corrective Action
9	L, R	Corrective Action
10	G, K, O	Corrective Action
11 (PT)	L	Corrective Action
12	G	Corrective Action
13	Compliance with Order	Reinstatement
14	Compliance with Order	Reinstatement
15	Compliance with Order	Reinstatement
16	Compliance with Order	Reinstatement
17	Compliance with Order	Reinstatement
18	Compliance with Order	Reinstatement
19	Compliance with Order	Reinstatement
20	Compliance with Order	Reinstatement
21	Compliance with Order	Reinstatement
22	Compliance with Order	Reinstatement
23	Compliance with Order	Reinstatement
24	Compliance with Order	Reinstatement
25	Compliance with Order	Reinstatement
26	Compliance with Order	Reinstatement
27	Compliance with Order	Reinstatement
28	Compliance with Order	Reinstatement
29	Compliance with Order	Reinstatement
30	Compliance with Order	Reinstatement
31	Compliance with Order	Reinstatement
32	Compliance with Order	Reinstatement
33	Compliance with Order	Reinstatement
34	Compliance with Order	Reinstatement
35	Compliance with Order	Reinstatement

36	Compliance with Order	Reinstatement
37	Compliance with Order	Reinstatement
38	Compliance with Order	Reinstatement
39	Compliance with Order	Reinstatement
40	Compliance with Order	Reinstatement
41	Compliance with Order	Reinstatement
42	Compliance with Order	Reinstatement
43	Complainece with Order	Reinstatement
44	Compliance with Order	Reinstatement
45	Compliance with Order	Reinstatement
46	Compliance with Order	Reinstatement
47	Compliance with Order	Reinstatement
48	Compliance with Order	Reinstatement
49	Compliance with Order	Reinstatement
50	Compliance with Order	Reinstatement
51	Compliance with Order	Reinstatement
52	Compliance with Order	Reinstatement
53	Compliance with Order	Reinstatement
54	Compliance with Order	Reinstatement
55	Compliance with Order	Reinstatement
56	Compliance with Order	Reinstatement
57	Compliance with Order	Reinstatement
58	Compliance with Order	Reinstatement
59	Compliance with Order	Reinstatement
60	Compliance with Order	Reinstatement
61	Compliance with Order	Reinstatement
62	Compliance with Order	Reinstatement
63	Compliance with Order	Reinstatement
64	Compliance with Order	Reinstatement
65	Compliance with Order	Reinstatement
66	Compliance with Order	Reinstatement
67	Compliance with Order	Reinstatement
68	Compliance with Order	Reinstatement
69	Compliance with Order	Reinstatement
70	Compliance with Order	Reinstatement
71	Compliance with Order	Reinstatement
72	Compliance with Order	Reinstatement
73 (PT)	Complainece with Order	Reinstatement
74	L, R	Amended Order
75	L, R	Amended Order
76	L, R	Amended Order
77	G, S, O, K	Amended Order
78	G, K, T	Amended Order
79	G, K, T	Amended Order
80	G, S, K, O	Amended Order
81	C	Amended Order
82	G, O, Q	Amended Order
83	D	Amended Order
84	L, R	Amended Order
85	L, R	Amended Order
86	L, R	Amended Order
87	L, R	Amended Order
88	L, R	Amended Order
89	D, L, R	Amended Order
90	L, R	Amended Order
91	L, R	Amended Order
92	G, S, K	Amended Order
93	L, R	Amended Order
94	L, R	Amended Order
95	R	Amended Order

96	G, H	Reprimand
97	A	Reprimand
98	G, K, S, O	Reprimand
99	K	Reprimand
100	K	Reprimand
101	U	Reprimand
102	O	Reprimand
103	G, K, I	Reprimand
104	G, K, S, O	Reprimand & Civil Penalty
105	F, H, K, I, G	Reprimand & Civil Penalty
106	F, G, I, K, H	Reprimand & Civil Penalty
107	F, K, G, H, I	Reprimand & Civil Penalty
108	G, O, S, K, T	Reprimand & Civil Penalty
109	K, U, O	Reprimand & Civil Penalty
110	L	Restriction
111	D	Restriction
112	L, R	Restriction
113	L, R	Restriction
114	L, R	Restriction
115	L, R	Restriction
116	L	Restriction
117	L	Restriction
118	L, R	Restriction
119	L, R	Restriction
120	D, L, R, G, K	Restriction
121	F, L, K, O, R, S, G	Restriction
122	L, R	Restriction
123	L, R	Restriction
124	L, R	Restriction
125	O, S	Restriction
126	F, G, T, O, K, L	Restriction
127	C, K, Q, G, L	Restriction
128	L	Restriction
129	L, R	Restriction
130	T	Restriction
131	D	Restriction
132	L	Restriction
133	L	Restriction
134	D, G, T, K	Restriction
135	D	Restriction
136	L, R	Restriction
137	G, K	Restriction
138	R	Restriction
139	L	Restriction
140	R	Restriction
141	L	Restriction
142	L, R	Restriction
143	D	Restriction
144	G, K, R, L	Restriction
145	L, R	Restriction
146	L	Restriction
147	G, L, R, K	Restriction
148	K, L, O, R	Restriction
149	R	Restriction
150	L, R	Restriction
151	D	Restriction
152	L, R	Restriction
153	L, R	Restriction
154	G, R, K, L	Restriction
155	L, R	Restriction

156	L	Restriction
157	L	Restriction
158 (RT)	L, R	Restriction
159 (PA)	L, R	Restriction
160	T	Restriction & Civil Penalty
161	K, L, Q	Restriction & Civil Penalty
162	G, K, O, T	Restriction & Civil Penalty
163	G, H, K, O	Restriction & Civil Penalty
164	G, K, S, O	Restriction & Civil Penalty
165	K, O	Restriction & Civil Penalty
166	G, K, T	Restriction & Civil Penalty
167	F, K, S, G	Restriction & Civil Penalty
168	G, K, O, S	Restriction & Civil Penalty
169	G, K, O	Restriction & Civil Penalty
170	G, T, K	Restriction & Civil Penalty
171	G, O, K	Restriction & Civil Penalty
172	C, G, K, O, T	Restriction & Civil Penalty
173	D, G, K	Suspension
174	D, T	Suspension
175	D	Suspension
176	F, G, R, L, K	Suspension
177	D, L	Suspension
178	L, R	Suspension
179	D	Suspension
180	D, S, G, K	Suspension
181	S, K, S, G	Suspension
182	D	Suspension
183	G, R, K, L	Suspension
184	L	Suspension
185	D	Suspension
186	D	Suspension
187	D, G, K	Suspension
188	F, G, T, K	Suspension
189	D	Suspension
190	D, U	Suspension
191	C, F, K, G	Suspension
192	O	Suspension
193	L	Suspension
194	L	Suspension
195	D	Suspension
196	D	Suspension
197	D, R	Suspension
198	D	Suspension
199	D	Suspension
200	R	Suspension
201	L, R	Suspension
202	F	Suspension
203	F	Suspension
204	K, R, U, L	Suspension
205	G, K, L	Suspension
206	G, R, L, K	Suspension
207	G, S	Suspension
208	D, T	Voluntary Surrender
209	G, U	Voluntary Surrender
210	G, K	Voluntary Surrender
211	G, T, K	Voluntary Surrender
212	G, S, K	Voluntary Surrender
213	K	Voluntary Surrender
214	D	Voluntary Surrender
215	L	Voluntary Surrender

216 D  
217 D, G, T, K

Revocation  
Revocation

Clause q: The following numbered cases are summaries of individual complaints that were pending on the biennial report for July 1, 1986 and June 30, 1988 involving allegations of sexual contact between a regulated professional and a patient. These include the following in their respective numbered sections:

1. A listing of the allegation
2. Findings of the investigation
3. A list of the steps the Board has taken in acting on the case
4. Statement of disposition or investigation is "in progress"
5. Rationale for disposition

Case # 1

1. Allegation that physician was involved in sexual relationship with physician.
2. Investigation corroborated allegation.
3. AGO investigation, Complaint Review Committee review, consultant review.
4. Case Closed - Reason: DISMISSED
5. Allegation occurred in 1983 prior to change in law which clearly made this conduct improper.

Case # 2-3

1. Allegation that physician engaged in inappropriate sexual relationship with patient.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee appearance.
4. Case Closed - Reason: DISMISSED
5. Physician successfully completed a Boundaries course.

Clause q: The following numbered cases are summaries of individual complaints that were pending on the biennial report for July 1, 1988 and June 30, 1990 involving allegations of sexual contact between a regulated professional and a patient. These include the following in their respective numbered sections:

1. A listing of the allegation
2. Findings of the investigation
3. A list of the steps the Board has taken in acting on the case
4. Statement of disposition or investigation is "in progress"
5. Rationale for disposition

Case # 1

1. Allegation that physician performed breast exam inappropriately.
2. Investigation revealed communication problems between physician and patient.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 2-3

1. Allegation that physician inappropriately touched patient



- during exam.
2. Investigation unable to substantiate allegation.
  3. AGO investigation, consultant review, Complaint Review Committee review, Medical Coordinator conference.
  4. Case Closed - Reason: DISMISSED
  5. Allegation was not substantiated.

Case # 4

1. Allegation that physician inappropriately touched patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee review, Consultant review.
4. Open Case - Pending
5. N/A

Case # 5

1. Allegation that physician asked patients to strip to underwear or perform massages during therapy.
2. Allegation was not substantiated.
3. AGO review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 6

1. Allegation that physician made inappropriate comments to patient.
2. Allegation could not be substantiated.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation was not substantiated.

Case # 7

1. Allegation that physician inappropriately touched patient and used sexually inappropriate therapy techniques.
2. Physician admitted to some allegations but denied inappropriate behavior.
3. AGO investigation, Complaint Review Committee conference.
4. Case Closed - Reason: DISMISSED
5. Physician completed Boundaries course.

Case # 8

1. Allegation that physician inappropriately touched patient.
2. Investigation unable to substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation was not substantiated.

Case # 9

1. Allegation that physician behaved in a sexually inappropriate manner during exam.
2. Allegation substantiated.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: ORDER
5. Investigation substantiated allegation.

Case # 10-11

1. Allegation that physician had sexual relationship with patient.
2. Physician admitted to relationship in 1974-5.
3. AGO investigation, Complaint Review Committee conference,

Psychiatric evaluation of physician.

4. Case Closed - Reason: DISMISSED
5. Physician completed Boundaries course, admitted relationship occurred prior to change in law making behavior inappropriate.

Case # 12

1. Allegation that physician was sexually involved with a patient.
2. Investigation substantiated the allegation.
3. AGO investigation, Complaint Review Committee conference, mental evaluation, offered Order, contested case, settlement.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 13

1. Allegation that physician acted inappropriately during exam.
2. Investigation could not substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 14

1. Allegation that physician conducted unnecessarily rough pelvic exam.
2. Investigation could not substantiate complaint.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 15

1. Allegation that physician hugged, kissed, and improperly touched two patients.
2. Investigation did not substantiate allegations.
3. AGO investigation, Complaint Review Committee review, Psychiatric evaluation of physician.
4. Case Closed - Reason: DISMISSED
5. Physician completed Boundaries course.

Case # 16

1. Allegation that physician inappropriately touched patient.
2. Investigation substantiated allegation.
3. AGO investigation, consultant review, Complaint Review Committee conference.
4. Case Closed - Reason: DISMISSED
5. Substantiated questionable boundaries violations, but no formal action.

Case # 17

1. Allegation that physician inappropriately touched patient.
2. Physician admitted to assisting patients in removing clothing during exam.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Evidence insufficient to show sexual misconduct.

Case # 18

1. Allegation that physician inappropriately touched patient during crisis intervention.
2. Allegation was not substantiated during investigation.
3. AGO investigation, Complaint Review Committee review.

4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 19

1. Allegation that physician made sexual advances to patient and inappropriately touched her breasts.
2. Investigation did not substantiate allegations.
3. AGO investigation, Complaint Review Committee conference.
4. Case Closed - Reason: ORDER
5. Physician disciplined for prescribing issues and surrendered license.

Clause q: The following numbered cases are summaries of individual complaints that were pending on the biennial report for July 1, 1990 and June 30, 1992 involving allegations of sexual contact between a regulated professional and a patient. These include the following in their respective numbered sections:

1. A listing of the allegation
2. Findings of the investigation
3. A list of the steps the Board has taken in acting on the case
4. Statement of disposition or investigation is "in progress"
5. Rationale for disposition

Case # 1-3

1. Allegations that physical therapist improperly touched patient's breasts.
2. Allegations not substantiated.
3. AGO investigation, Complaint Review Committee conference.
4. Case Closed - Reason: CORRECTIVE ACTION
5. Allegation substantiated.

Case # 4

1. Allegation that physician asked sexually inappropriate questions of patient.
2. Investigation did not support the allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation was not substantiated.

Case # 5-6

1. Allegation that physician inappropriately touched patient and used inappropriate language during exam.
2. Investigation revealed physician had poor communication skills.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 7

1. Allegation that physician inappropriately touched patients during exam.
2. AGO investigation discontinued when physician agreed to surrender license for malprescribing issues.
3. AGO review, Complaint Review Committee conference.

4. Case Closed - Reason: ORDER
5. Violation of Medical Practice Act for other issues substantiated.

Case # 8

1. Allegation that physician inappropriately touched patient's breast.
2. Investigation not able to substantiate allegation from 1964.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 9

1. Allegation that physician inappropriately touched patient's penis and made inappropriate remarks.
2. Physician died before investigation completed.
3. AGO review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Physician died.

Case # 10

1. Allegation that physician performed unnecessarily rough rectal exam.
2. No evidence of assault trauma found in reviewing ER records where patient presented the following day.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 11

1. Allegation that physician removed patient's clothing without permission during breast exam.
2. Patient did not cooperate with investigation, Physician denied misconduct.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 12

1. Allegation of sexually inappropriate behavior with a patient.
2. Physician admitted to discussing personal issues with patient.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Physician agreed to Emeritus status.

Case # 13-14

1. Allegation that physician inappropriately touched patient.
2. Physician admitted hugging patient.
3. AGO investigation, Complaint Review Committee review, Psychiatric consult, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED
5. No pattern of misconduct.

Case # 15

1. Allegation that physician asked patients to undress and do massages during therapy.
2. Allegations investigated previously.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED

5. Allegation occurred prior to previous psychiatric evaluation of physician.

Case # 16-17

1. Allegation that physician engaged in long term sexual relationship with patient.
2. Allegation supported by investigation.
3. AGO investigation, Complaint Review Committee conference, Evaluation of physician.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 18

1. Allegation that physician fondled patient's genitals, masturbated patient, and performed oral sex.
2. Physician admitted to some allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Open Case - Pending
5. N/A

Case # 19

1. Allegation that physician acted inappropriately by requiring patient to move around exam room in paper gown without under garments.
2. Investigation did not support allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation was not substantiated.

Case # 20

1. Allegations that physician performed painful pelvic exam and later hugged patient.
2. Complainant unwilling to cooperate with AGO investigation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 21-29

1. Allegation that physician kissed patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee appearance, Evaluation of physician.
4. Open Case - Pending
5. N/A

Case # 30

1. Allegation that physician sexually abused patient.
2. Investigation did corroborate sexual abuse.
3. AGO investigation, Complaint Review Committee conference.
4. Open Case - Pending
5. N/A

Case # 31

1. Allegation that physician engaged in sexual relationship with patient and encouraged chemically dependent patient to use alcohol.
2. Respondent denied sexual contact.
3. AGO investigation, Complaint Review Committee conference.
4. Case Closed - Reason: DISMISSED
5. Physician completed Boundaries course.

Case # 32

1. Allegation that physician looked at patient's penis inappropriately.
2. Investigation documented that exam was appropriate.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 33

1. Allegation that physician engaged in sexual relationship with patient.
2. Investigation in progress.
3. AGO Investigation.
4. Open Case - Pending
5. N/A

Case # 34-36

1. Allegation that physician was disciplined by another state for engaging in sex with a patient.
2. Allegation was substantiated during investigation.
3. Out-of-State disciplinary action obtained, Complaint Review Committee appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 37-38

1. Allegation that physician was terminated from employment because of sexual misconduct.
2. Interviews supported allegations. Physician was not cooperative during investigation.
3. AGO investigation, Complaint Review Committee Review
4. Open Case - Pending
5. N/A

Case # 39

1. Allegation that physician inappropriately touched patient's genitals.
2. Investigation could not substantiate allegation.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED
5. Allegation was not substantiated.

Case # 40-41

1. Allegation that physician engaged in inappropriate behavior during exam.
2. Investigation supported allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 42

1. Allegation that physician engaged in sexual relationship with patient.
2. Investigation in progress.
3. AGO investigation, Complaint Review Committee review.
4. Open Case - Pending
5. N/A

Case # 43-44

1. Allegation that physician conducted unnecessarily rough breast and rectal exam and asked inappropriate questions.
2. Investigation revealed physician had poor communication and lack of sensitivity.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Evidence insufficient to show sexual misconduct.

Case # 45

1. Allegation that physician acted inappropriately during exam and improperly touched patient's breast.
2. Respondent denied the impropriety of his actions.
3. AGO review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 46

1. Allegation that physician inappropriately touched patient.
2. Investigation revealed issues of transference and countertransference.
3. AGO investigation, Complaint Review Committee conference.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 47

1. Allegation that physician conducted inappropriate breast exam and watched female patient disrobe and dress.
2. Physician pled guilty by Alford Plea to three counts of criminal sexual conduct.
3. AGO Investigation, Complaint Review Committee review.
4. Open Case - Pending
5. N/A

Case # 48

1. Allegation that physician had sexual relationship with patient.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee review.
4. Open Case - Pending
5. N/A

Case # 49

1. Allegation that physician engaged in inappropriate conduct during pelvic ultrasound.
2. Investigation did not support the allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation was not substantiated.

Case # 50

1. Allegation that physician inappropriately touched patient during admission physical to mental health facility.
2. Investigation did not substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 51

1. Allegation that physician inappropriately touched juvenile's

- breast and asked inappropriate questions during exam.
- 2. Investigation did not substantiate allegations.
- 3. AGO investigation, Complaint Review Committee review.
- 4. Case Closed - Reason: DISMISSED
- 5. Allegation not substantiated.

Case # 52

- 1. Allegation that physician touched patient's breasts and acted in a sexually inappropriate manner.
- 2. Allegations of sexual misconduct not substantiated.
- 3. AGO investigation, Complaint Review Committee review.
- 4. Case Closed - Reason: DISMISSED
- 5. Allegation not substantiated.

Case # 53-55

- 1. Allegation that physician made inappropriate sexual advances to patient.
- 2. Investigation substantiated physician's contact with patient.
- 3. AGO Investigation, Evaluation of physician, Complaint Review Committee appearance.
- 4. Case Closed - Reason: ORDER
- 5. Allegations substantiated.

Case # 56

- 1. Allegation that physician pursued sexual relationship with patient.
- 2. Investigation did not support the allegation.
- 3. AGO investigation, Complaint Review Committee review.
- 4. Case Closed - Reason: DISMISSED
- 5. Allegation was not substantiated.

Case # 57-58

- 1. Allegations that Respondent repeatedly sexually abused a patient and drank alcohol in patient's presence.
- 2. Respondent died and alleged victims could not be identified.
- 3. AGO review, Complaint Review Committee review.
- 4. Case Closed - Reason: DISMISSED
- 5. Allegation not substantiated.

Case # 59

- 1. Allegation that physician had personal relationship with patient.
- 2. AGO investigation unable to substantiate allegation.
- 3. AGO investigation.
- 4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
- 5. N/A

Case # 60

- 1. Allegation that physician performed breast exam in inappropriate manner.
- 2. Allegation not supported by investigation.
- 3. AGO investigation, Complaint Review Committee review.
- 4. Case Closed - Reason: DISMISSED
- 5. Allegation not substantiated.

Case # 61

- 1. Allegation that physician made inappropriate remarks to patient during exam.
- 2. Allegation supported by investigation.
- 3. Physician response, Complaint Review Committee review.



4. Case Closed - Reason: DISMISSED
5. Violation of Medical Practice Act not substantiated.

Case # 62

1. Allegation that physician conducted exam inappropriately.
2. Insufficient evidence of sexual misconduct.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 63

1. Allegation that physician inappropriately touched patient's breast during x-ray.
2. Patient refused to be identified.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 64

1. Allegation that physician removed patient's gown without warning and made inappropriate comments during exam.
2. Investigation revealed physician used poor exam technique.
3. AGO investigation, Complaint Review Committee conference.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Violation of Medical Practice Act not substantiated.

Case # 65

1. Allegation that physician inappropriately touched and spoke to teenaged patient.
2. Allegation supported by investigation.
3. AGO investigation, Complaint Review Committee conference.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 66-67

1. Allegation that physician engaged in sexual relationship with juvenile patient.
2. Investigation substantiated allegations.
3. AGO investigation, Complaint Review Committee conference.
4. Case Closed - Reason: ORDER
5. Physician's license revoked.

Case # 68

1. Allegation that physician asked inappropriate questions and conducted pelvic exam in an inappropriate manner.
2. Allegation not supported by investigation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation was not substantiated.

Case # 69

1. Allegation that physician had sexual relationship with patient.
2. Patient corroborated relationship.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Physician resigned license in 1991, Investigative information shared with physician's other states of licensure.

Case # 70

1. Allegation that physician performed pelvic exam in a sexual manner.
2. Patient refused to cooperate with investigation, Physician denied allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 71-74

1. Allegation that physician inappropriately touched patient and used improper language during therapy.
2. Investigation substantiated allegations, physician convicted of fourth degree assault.
3. AGO investigation, Complaint Review Committee review, Comprehensive evaluation of physician.
4. Case Closed - Reason: ORDER
5. Allegations substantiated.

Case # 75

1. Allegation that physician engaged in sexual relationship with patient.
2. Patient refused to cooperate with investigation.
3. AGO review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Physician granted Emeritus status prior to receipt of complaint.

Case # 76

1. Allegation that physician behaved in sexually inappropriate manner with patient.
2. Investigation could not substantiate allegation.
3. AGO investigation, Complaint Review Committee conference.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 77

1. Allegations that physician engaged in sexual misconduct during exam of juvenile.
2. Investigation did not substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 78

1. Allegation that physician inappropriately touched patient's genitals.
2. Allegation could not be substantiated.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation was not substantiated.

Clause q: The following numbered cases are summaries of individual complaints filed with the Board or acted upon by the Board between July 1, 1992 and June 30, 1994 involving allegations of sexual contact between a regulated professional and a patient. These include the following in their respective numbered sections:

1. A listing of the allegation
2. Findings of the investigation
3. A list of the steps the Board has taken in acting on the case
4. Statement of disposition or investigation is "in progress"
5. Rationale for disposition

Case # 1

1. Allegation that physician assistant took inappropriate photographs of patients in his office.
2. Investigation supported allegations.
3. Physician Assistant Council review, Complaint Review Committee appearance.
4. Open Case - Pending
5. N/A.

Case # 2-3

1. Allegation that Physician Assistant engaged in inappropriate sexual contact with patients.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee review.
4. Open Case - Pending
5. N/A

Case # 4

1. Allegation that physician improperly touched patient.
2. Investigation unable to substantiate improper touching.
3. AGO investigation, Physical Therapy Council review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 5-10

1. Allegation that physical therapist kissed two patients.
2. Investigation substantiated allegation.
3. AGO investigation, Complaint Review Committee conference, Evaluation of respondent.
4. Case Closed - Reason: ORDER
5. N/A

Case # 11

1. Allegation that physical therapist improperly touched patient.
2. No findings--AGO declined to investigate anonymous complaint.
3. AGO Review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 12

1. Allegation that physician improperly touched patient.
2. Investigation could not substantiate allegation, patient refused to cooperate.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED

5. Allegation was not substantiated.

Case # 13-14

1. Allegations that physician fondled patient's breast during exam.
2. Physician surrendered his license in 1989.
3. Complaint Review Committee review.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Physician no longer licensed in Minnesota.

Case # 15-16

1. Allegation of sexual contact with a patient.
2. Physician not currently licensed in Minnesota.
3. AGO investigation, Complaint Review Committee review, Physician's license flagged should he reapply for license.
4. Case Closed - Reason: DISMISSED
5. Physician's license expired in 1976.

Case # 17

1. Allegation that physician was disciplined by another state for inappropriate sexual behavior.
2. Investigation supported allegation.
3. Out-of-State disciplinary action obtained, Complaint Review Committee review.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Physician's license cancelled.

Case # 18-20

1. Allegation that physician engaged in sexual relationship with patient and conducted excessive number of pelvic exams.
2. Investigation revealed evidence to support allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not substantiated.

Case # 21

1. Allegation that physician made sexual advances to patient after serving her alcohol.
2. Physician died before completion of investigation.
3. AGO Investigation. Review by Complaint Review Committee.
4. Open Case - Pending
5. N/A

Case # 22

1. Allegation that physician forced patient to undergo pelvic exam.
2. Investigation in progress.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 23

1. Allegation that physician fondled patient's breasts during exam.
2. Investigation showed breast exam occurred during exam, physician denied inappropriate touch.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. No evidence to support inappropriate touch.

Case # 24

1. Allegation that physician engaged in sexual contact with patient during use of prescribed drug.
2. No investigation conducted.
3. Complaint Review Committee review.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Physician not licensed in MN since 1976.

Case # 25-27

1. Allegation that physician fondled patient's breast during exam.
2. Patient refused to cooperate with investigation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 28-37

1. Allegation that physician improperly touched patient during breast exam.
2. Respondent agreed to disciplinary action pending criminal court trial.
3. AGO investigation, Complaint Review Committee conference.
4. Open Case - Pending
5. Allegation substantiated.

Case # 38-40

1. Allegation of inappropriate breast exam.
2. No investigation. Respondent surrendered license as part of plea bargain in which he admitted to three counts of criminal sexual conduct.
3. AGO Review, Complaint Review Committee review.
4. Case Closed - Reason: ORDER
5. Criminal conviction for 3 counts of sexual misconduct.

Case # 41

1. Allegation that physician stated "you've got the world by the balls" and grabbed a patient in the groin.
2. Patient would not cooperate. Physician denied grabbing patient but admitted he may have said, "You've got the world by the balls."
3. AGO Investigation, Complaint Review Committee Review
4. Open Case - Pending
5. N/A

Case # 42

1. Allegations of an aggressive pelvic exam.
2. Patient did not want identity revealed.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 43

1. Allegation that physician engaged in inappropriate touch and psychotherapy.
2. No patient identified, allegation could not be substantiated.
3. AGO review, Complaint Review Committee review.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Allegation not substantiated.

Case # 44

1. Physician disciplined by another state for engaging in sexual relationship with patient.
2. No investigation conducted.
3. Obtained copy of Texas Order, Complaint Review Committee review.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Physician's license resigned prior to receipt of information.

Case # 45

1. Allegation that physician conducted inappropriate exam.
2. Investigation found real source of problem to be miscommunication.
3. AGO Investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 46

1. Allegation that physician had inappropriate relationship with patient.
2. Investigation did not substantiate allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 47

1. Allegation that physician engaged in sexual relationship with patient.
2. Physician admitted to sexual contact.
3. AGO investigation, Psychiatric evaluation of Physician, Complaint Review Committee appearance.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 48

1. Allegation of sexual contact with patient in therapy
2. Physician admitted to some sexual contact.
3. AGO Investigation, Complaint Review Committee review
4. Open Case - Pending
5. N/A

Case # 49-50

1. Allegation of inappropriate exam by physician.
2. Investigation unable to substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 51-52

1. Allegation of inappropriate touch during an exam and inappropriate sexual comments/questions.
2. Victim and physician both credible in their versions of what transpired.
3. AGO Investigation, Complaint Review Committee conference.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. Allegation not substantiated.

Case # 53

1. Allegation that physician engaged in inappropriate sexual contact with patients.

2. Investigation supported allegation.
3. AGO Investigation, Complaint Review Committee review, referred to County Attorney.
4. Open Case - Pending
5. N/A

Case # 54

1. Allegation that physician inappropriately fondled patient during gynecological exam.
2. Physician denied allegation, no other evidence to support allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Physician's license cancelled.

Case # 55

1. Allegation that physician conducted breast exam inappropriately.
2. Investigation in progress.
3. AGO Investigation.
4. Open Case - Pending
5. N/A

Case # 56-57

1. Allegation that physician inappropriately touched patients during therapy.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee Conference, Psychiatric evaluation of Physician.
4. Open Case - Pending
5. N/A.

Case # 58

1. Allegation that physician was sexually involved with a patient.
2. Investigation in progress.
3. Complaint Review Committee review, continued pending California action.
4. Open Case - Pending
5. N/A

Case # 59

1. Allegation that conduct by physician during an exam was sexually inappropriate.
2. Investigation revealed that both the patient and physician appeared credible.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED
5. Education provided to physician.

Case # 60

1. Allegation that physician used drugs to take sexual advantage of patient.
2. Investigation in progress.
3. AGO investigation.
4. Open Case - Pending
5. N/A

Case # 61

1. Allegation that physician improperly removed patient's bra during exam.
2. Investigation did not substantiate allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 62

1. Allegation of sexual involvement with co-worker/patient.
2. Substantiated other issues regarding documentation.
3. AGO investigation, consultant review, Complaint Review Committee conference.
4. Case Closed - Reason: ORDER
5. Substantiated other issues.

Case # 63

1. Allegation of inappropriate touch during an exam.
2. Patient refused to be interviewed. Physician did not recall patient but stated he takes femoral pulses during exam.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED
5. Investigation revealed that physician may have communication problems. Allegation otherwise not substantiated.

Case # 64

1. Allegation of improper touching of patient.
2. Investigation could not substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 65

1. Allegations that physician inappropriately touched patient and made inappropriate remarks to her.
2. Physician denied inappropriate conduct.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 66-68

1. Allegation that physician engaged in inappropriate relationship with patient.
2. Investigation in progress.
3. AGO Investigation.
4. Open Case - Pending
5. N/A

Case # 69

1. Allegation that physician asked patient to have sex with him.
2. Investigation could not substantiate allegation, patient refused to cooperate.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 70

1. Allegation that physician inappropriately touched patient during exam.
2. Patient could not be located, allegation was not



substantiated.

3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 71

1. Allegation that Respondent performed pelvic exam inappropriately.
2. Respondent denied allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 72

1. Allegation of inappropriate touching during examination.
2. Investigation unable to substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 73

1. Allegation that physician engaged in seductive verbal behavior with patient.
2. Physician asked questions to determine if patient had sexually transmitted disease.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 74

1. Allegations that the physician made sexual comments, told dirty jokes and talked about his wife's sexuality.
2. Patient refused to be identified.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 75

1. Allegation that physician made inappropriate remarks to patient and behaved in an inappropriate manner during exam.
2. Investigation was inconclusive in supporting allegations.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegation not adequately substantiated.

Case # 76

1. Allegation that physician asked sexually inappropriate questions during gynecological exams.
2. Investigation in progress.
3. AGO Investigation.
4. Open Case - Pending
5. N/A

Case # 77

1. Allegation that physician inappropriately touched patient's breasts during exam.
2. Investigation in progress.
3. AGO Investigation.
4. Open Case - Pending

5. N/A.

Case # 78

1. Allegation of inappropriate sexual contact with a patient.
2. California disciplined physician for this conduct. Physician resigned Minnesota license.
3. Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Physician resigned license prior to investigation.

Case # 79

1. Allegations of a long and detailed breast exam.
2. Patient said physician did not do anything blatantly sexual.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 80

1. Allegation that physician engaged in sexual misconduct.
2. Investigation in progress.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 81

1. Allegation that physician pursued inappropriate relationship with patient.
2. Investigation in progress.
3. AGO Investigation.
4. Open Case - Pending
5. N/A

Case # 82

1. Allegation that physician held and kissed patient.
2. Investigation in progress.
3. AGO investigation
4. Open Case - Pending
5. N/A

Case # 83

1. Allegations of sexual misconduct and inappropriate touch.
2. Alleged victim not willing to be identified.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 84-85

1. Allegation of inappropriate touch during an examination.
2. Mother of patient did not cooperate with investigation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 86

1. Allegation of inappropriate sexual comments and roughness during an exam and that physician behaved romantically with patient.
2. Complainant stalked physician, no egregious behavior by physician substantiated.
3. AGO review, Complaint Review Committee review.

4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 87-88

1. Allegation that physician had sexual intercourse with and inappropriately touched patient.
2. Pending
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 89

1. Allegation that physician inappropriately touched patient.
2. Physician denied allegation, patient had history of unusual behavior.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 90-95

1. Allegation of inappropriate touch and exposure during several exams.
2. Physician pled guilty by Alford Plea to three counts of criminal sexual conduct.
3. AGO Investigation, Complaint Review Committee review.
4. Open Case - Pending
5. N/A

Case # 96

1. Allegation that physician engaged in sexual intercourse with a patient.
2. No investigation conducted because physician previously disciplined for this conduct.
3. AGO review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Prior Disciplinary Action of physician for same conduct during same time period.

Case # 97

- 1.
- 2.
- 3.
4. Case Closed - Reason: DISASSEMBLED
5. N/A

Case # 98

1. Allegation that physician inappropriately touched patient.
2. Investigation substantiated touch occurred, but physician said it was accidental.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. No grounds for disciplinary action.

Case # 99

1. Allegations of inappropriate sexual remarks and behavior.
2. Substantiated inappropriate behavior.
3. AGO Investigation, Complaint Review Committee conference, Evaluation, summary suspension of license.
4. Case Closed - Reason: ORDER
5. N/A

Case # 100

1. Allegation that physician asked sexually inappropriate questions during exam.
2. Investigation in progress.
3. AGO Investigation.
4. Open Case - Pending
5. N/A

Case # 101-102

1. Allegation that physician improperly touched patient during exam.
2. No investigation, physician already disciplined for this behavior.
3. Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Physician already disciplined for this behavior.

Case # 103

1. Allegation that physician engaged in sexual relationship with patient.
2. Investigation in progress.
3. AGO Investigation.
4. Open Case - Pending
5. N/A

Case # 104

1. Allegation of inappropriate touching when patient was fully clothed.
2. Question whether physician gave adequate explanation for his exam technique.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 105

1. Allegation that physician made sexually inappropriate remarks to patient.
2. Investigation in progress.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 106

1. Allegation of inappropriate touch and rape which occurred 12 years ago.
2. No investigation, alleged victim refused to have her name released.
3. AGO review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 107

1. Allegation that physician inappropriately touched patient during exam.
2. Allegation was not supported by investigation, patient gave contradictory information.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED

5. Allegation not substantiated.

Case # 108

1. Allegation of unnecessary breast exams, careless disrobing of patients and leaving them unnecessarily exposed.
2. Investigation in progress.
3. AGO investigation
4. Open Case - Pending
5. N/A

Case # 109

1. Allegation that physician conducted inappropriate pelvic exam 13 years ago.
2. Investigation did not support allegation.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator conference.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 110-111

1. Allegation that physician spoke to staff in inappropriate sexual manner.
2. Investigation supported allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. No evidence to support inappropriate behavior with patients.

Case # 112-116

1. Allegation that physician inappropriately touched patient during exam.
2. Investigation in progress.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 117

1. Allegation that physician examined patient in an inappropriate manner.
2. Investigation did not substantiate violation of Medical Practice Act.
3. AGO Investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED AFTER MDC CONFERENCE
5. Allegation not substantiated.

Case # 118

1. Allegation that physician improperly touched patient during exam.
2. Investigation could not confirm or deny allegation.
3. AGO investigation, Complaint Review Committee conference, Mental evaluation of physician.
4. Case Closed - Reason: ORDER
5. Physician disciplined for other conduct.

Case # 119-120

1. Allegation of inappropriate breast exam.
2. Pending
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 121

1. Allegation that physician performed inappropriate breast exam, abusive vaginal and rectal exams, did not wear gloves, used hot speculum, and touched patient's clitoris three times.
2. Investigation revealed questionable practice issues.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 122

1. Allegation that physician threatened prisoner with pelvic exam if she did not leave examination area.
2. Respondent denied allegations. No other witnesses found.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 123

1. Allegation that physician engaged in sexual contact with chemically dependent adolescent.
2. Investigation supported allegation.
3. AGO Investigation, Complaint Review Committee conference.
4. Open Case - Pending
5. N/A

Case # 124

1. Allegation that physician sexually abused patient.
2. Investigation did substantiate allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 125-126

1. Allegation that physician inappropriately touched patient during exam.
2. Investigation unable to substantiate allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 127

1. Allegation that physician conducted sexually inappropriate exam.
2. Investigation did not substantiate allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 128

1. Allegation that physician assisted patient in removing her clothing without patient's permission during exam.
2. Respondent indicated he may have helped patient with clothing.
3. AGO investigation, Complaint Review Committee review, physician completed interpersonal communication program.
4. Case Closed - Reason: DISMISSED
5. Physician completed education.

Case # 129-133

1. Allegation that physician engaged in sexual relationship with patient.
2. Investigation in progress.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 134

1. Allegation that physician was disciplined by another state for making sexual advances towards a patient.
2. Investigation supported allegation.
3. Out-of-State disciplinary action obtained, Complaint Review Committee review.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Physician's license cancelled, no jurisdiction for further action.

Case # 135

1. Allegation that physician engaged in sexual relationship with former patient.
2. Pending
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 136

1. Allegation that physician coerced patient into sexual relationship.
2. Physician denied allegation, investigation did not find supporting evidence.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 137

1. Allegation that physician was involved in a sexual relationship with patient.
2. AGO investigation could not support allegation.
3. AGO investigation, Complaint Review Committee appearance.
4. Case Closed - Reason: ORDER
5. Investigation substantiated allegations.

Case # 138-139

1. Allegation that physician sexually harassed female staff.
2. Investigation supported allegation.
3. AGO investigation, consultant review, Complaint Review Committee appearance.
4. Open Case - Pending
5. N/A

Case # 140-143

1. Allegation that physician inappropriately touched patient during exam and acted in an inappropriate manner.
2. Investigation found communication problems between physician and patient.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 144

1. Allegation that physician engaged in sexual relationship with patient.
2. Allegation could not be substantiated when patient refused to be identified.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 145

1. Allegation that physician sexually harassed patient by making repeated telephone calls to her residence.
2. Investigation in progress.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 146

1. Allegation that physician undressed patient before performing vaginal and rectal exam.
2. Patient refused to cooperate with investigation and physician denied allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 147-157

1. Allegation that physician was engaged in sexual relationship with patient who was also an employee.
2. Respondent and patient denied allegations.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 158

1. Allegation that physician performed unnecessary breast exam in an inappropriate manner.
2. Respondent denied the allegations. Patient admitted exam was not sexual.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 159

1. Allegation that physician improperly removed patient's clothing to listen to her breathing.
2. Investigation did not substantiate sexually inappropriate behavior.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 160

1. Allegation of inappropriately touching/fondling leg and breast during an exam
2. Patient did not cooperate with investigation - unable to substantiate.
3. AGO investigation, Complaint Review Committee review
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.



Case # 161

1. Allegation that physician inappropriately touched patient during pelvic exam.
2. Complainant refused to cooperate with investigation and Respondent denied all allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 162

1. Allegation that physician engaged in sex with patient and artificially inseminated patient with physician's semen.
2. Investigation in progress.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 163

1. Allegation that physician inappropriately touched patient during exam.
2. Investigation in progress.
3. AGO Investigation.
4. Open Case - Pending
5. N/A

Case # 164-167

1. Allegation that physician was sexually involved with a patient.
2. Investigation pending
3. AGO investigation
4. Open Case - Pending
5. N/A

Case # 168-169

1. Allegation that physician sexually harassed fellow employee.
2. Investigation in progress.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 170-171

1. Allegation that physician asked sexually inappropriate questions and improperly exposed patient's breasts during exam.
2. Investigation revealed suspicious practice.
3. AGO Investigation, Complaint Review Committee review.
4. Open Case - Pending
5. N/A

Case # 172

1. Allegation that physician engaged in inappropriate relationship with patient and later harassed patient after relationship terminated.
2. Investigation in progress.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 173

1. Allegation that physical therapist inappropriately touched patient during exam.
2. Unable to substantiate the allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED AFTER CRC APPEARANCE
5. N/A.

Case # 174

1. Allegations of inappropriate sexual comments during a session including fondling of arm and leg.
2. AGO declined to investigate as physician resigned license 1-31-93.
3. AGO opinion, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Physician resigned license.

Case # 175

1. Allegation that physician inappropriately touched patient during exam.
2. Investigation could not substantiate allegation.
3. AGO investigation, consultant review, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 176

1. Allegation that physician had sexual relationship with patient.
2. Investigation in progress.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 177

1. Allegations of excessive touching during school physical of 12 year old boy.
2. The patient had an undescended testicle that physician tried to move down.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 178

1. Allegation that physician inappropriately spoke to and touched hospitalized patient.
2. Pending
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 179

1. Allegation that physician fondled patient's breasts during exam.
2. Investigation did not substantiate allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 180

1. Allegation that physician conducted improper breast exam of

- patient.
2. Physician denied allegation and patient was unable to recall pertinent details.
  3. AGO investigation, Complaint Review Committee review.
  4. Case Closed - Reason: DISMISSED
  5. Allegation not substantiated.

Case # 181-182

1. Allegation that physician was disciplined by another state for improper sexual contact with a patient.
2. Allegation supported by investigation.
3. Out-of-State disciplinary action obtained, Complaint Review Committee review.
4. Case Closed - Reason: ORDER
5. Allegation substantiated.

Case # 183-186

1. Allegation that physician had sexual relationship with multiple personality disorder patient.
2. Investigation in progress.
3. AGO investigation
4. Open Case - Pending
5. N/A

Case # 187-188

1. Allegation that physician engaged in criminal sexual misconduct.
2. Investigation in progress.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 189

1. Allegation of inappropriate sexual contact during prostate exam.
2. Investigation could not substantiate allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation was not substantiated.

Case # 190-191

1. Allegation that physician engaged in criminal sexual conduct with a patient.
2. Local law enforcement authorities declined to prosecute, alleged victim refused to cooperate with AGO and physician denied allegation.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation could not be substantiated.

Case # 192-194

1. Allegation that physician engaged in sexually and emotionally threatening conduct.
2. Physician denied allegations and investigator could not corroborate the allegations.
3. AGO investigation, Complaint Review Committee review, Medical Coordinator Conference.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 195

1. Allegation that physician engaged in sexual relationship with patient.
2. Patient corroborated the sexual contact. Physician resigned license in 1990.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Physician no longer licensed in Minnesota.

Case # 196-198

1. Allegation that physician hugged patient and inappropriately touched patient during exam.
2. Investigation in progress.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 199

1. Allegation that physician was sexually involved with a patient.
2. Respondent denied alleged sexual misconduct.
3. AGO investigation, Complaint Review Committee conference.
4. Open Case - Pending
5. N/A

Case # 200-202

1. Allegation that physician made inappropriate remarks to several patients.
2. Investigation substantiated most of allegations.
3. AGO investigation, Complaint Review Committee conference.
4. Open Case - Pending
5. N/A.

Case # 203-204

1. Allegation that physician engaged in an inappropriate relationship with patient.
2. Investigation in progress.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 205

1. Allegation that physician sexually assaulted patient in Emergency Department.
2. Investigation in progress.
3. AGO Investigation
4. Open Case - Pending
5. N/A

Case # 206

1. Allegation that physician inappropriately touched patient's daughter.
2. No evidence to verify action. Patient mentally incompetent.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 207

1. Allegation that physician engaged in personal relationship with patient.

2. AGO investigation provided some support to allegation.
3. AGO Investigation, Complaint Review Committee appearance.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 208-213

1. Allegations that physician told patient he was sexually excited during the patient's pelvic exam.
2. Investigation determined complaint was from previous complainant.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation previously investigated and addressed.

Case # 214

1. Allegation that physician inappropriately touched patient's breasts during treatment.
2. Investigation unable to substantiate allegation.
3. AGO investigation, consult review, Complaint Review Committee review.
4. Open Case - Pending
5. N/A.

Case # 215-217

1. Allegation that physician made inappropriate remarks to patient.
2. Investigation substantiated allegation of inappropriate remarks.
3. AGO investigation, Complaint Review Committee reivew, Medical Coordinator conference.
4. Open Case - Pending
5. N/A

Case # 218-219

1. Allegation that physician conducted inappropriate breast exam.
2. Physician acted inappropriately with investigator and did not appear to understand appropriate boundaries.
3. AGO investigation
4. Open Case - Pending
5. N/A

Case # 220

1. Allegation that physician examined patient in an inappropriate manner and made inappropriate comments during exam.
2. Investigation was unable to substantiate the allegations.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegations not substantiated.

Case # 221

1. Allegation that physician sexually abused patient.
2. Investigation did not substantiate allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 222

1. Allegation that physical therapist engaged in sexual

- relationship with patient.
2. Investigation unable to prove that relationship occurred while there was patient-physical therapist relationship.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 223

1. Allegation that physician conducted pelvic exam without patient's consent.
2. Investigation did not substantiate allegation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation not substantiated.

Case # 224

1. Allegation that physician inappropriately touched patient during rectal exam.
2. Physician denied allegation and patient refused to cooperate with investigation.
3. AGO Investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. N/A

Case # 225

1. Allegation that patient had a sexual relationship with physician for 2 years.
2. Investigation in progress.
3. AGO investigation
4. Open Case - Pending
5. N/A

Case # 226

1. Allegation that physician hugged and kissed patient.
2. Investigation could not substantiate allegation--patient retracted statement.
3. AGO investigation, Complaint Review Committee review.
4. Case Closed - Reason: DISMISSED
5. Allegation was not substantiated.

Case # 227

1. Allegation that respondent had sexual intercourse with former patient.
2. No investigation conducted because respondent is not licensed and his whereabouts are unknown.
3. Patient's medical records reviewed, Complaint Review Committee review.
4. Case Closed - Reason: NON-JURISDICTIONAL
5. Respondent not licensed in Minnesota.