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M.S. 214.07, SUBD. 1 (1990)

OCTOBER, 1992

**MINNESOTA BOARD OF DENTISTRY
BIENNIAL REPORT**

REPORT PERIOD

1, 1990 - JUNE 30, 1992

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BIENNIAL REPORT OF EXAMINING AND LICENSING BOARDS

Minnesota Statutes, Section 214.07 (1990)

BOARD: Minnesota Board of Dentistry

LOCATION: 2700 University Avenue West, Suite 70
St Paul, Minnesota 55114

STATUTORY
AUTHORITY: Minnesota Statutes, Section 150A (1990)

REPORT PERIOD: July 1, 1990 to June 30, 1992

SUBMITTED BY: Richard W. Diercks, Executive Director

October, 1992

Copies of this report shall be delivered to: (A) the Legislature in accordance with Minnesota Statutes, Section 3.195 (1990), 1 copy to the Secretary of the Senate, 1 copy to the Chief Clerk of the House of Representatives and 6 copies to the Legislative Reference Library; and (B) the Governor. Each health-related board shall also deliver a copy of their report to the Commissioner of Health.

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MINNESOTA BOARD OF DENTISTRY
Biennial Report
Minnesota Statutes 214.07 (1990)

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MINNESOTA BOARD OF DENTISTRY

Clause a: GENERAL STATEMENT OF BOARD ACTIVITIES

The purpose of the Board of Dentistry is to ensure that citizens of Minnesota receive professional, competent dental care by licensing dentists and dental hygienists and by registering dental assistants whose fitness to practice has been tested and whose training and other qualifications meet the standards established by the Board. In addition, the Board receives, investigates and resolves consumer grievances. The Board also registers professional corporations and approves sponsors for continuing dental education.

During the two year report period, the Board initially licensed 193 dentists and 256 dental hygienists; initially registered 668 dental assistants and 24 professional corporations; reinstated 13 dental licenses, 18 dental hygiene licenses and 132 dental assistant registrations; issued approximately 22,000 annual renewal certificates; acted on 512 consumer complaints; participated in approximately 65 state, regional and national examinations for dentists, dental hygienists and dental assistants; participated in 4 accreditation site visits at dental assisting schools; and reviewed approximately 9,000 continuing education courses.

The Board adopted rules concerning general anesthesia, conscious sedation and nitrous oxide inhalation analgesia; safety/sanitary conditions for dental offices; reinforcement of disciplinary measures; and delegation of duties to dental hygienists and registered dental assistants. The Board will continue to review rules relating to the practice of dentistry in Minnesota on an ongoing basis.

MINNESOTA BOARD OF DENTISTRY

Clause b: BOARD MEETINGS AND ACTIVITIES

Approximate number of hours devoted by Boardmembers to meetings and on other board activities. This includes both public and non-public meetings.

NUMBER OF MEETINGS:	<u>FY 91</u>	<u>FY92</u>	<u>TOTAL</u>
	20	20	40

	<u>TYPE OF ACTIVITY</u>	<u>HOURS</u>		<u>TOTAL</u>
		<u>FY 91</u>	<u>FY 92</u>	
Amundson, Gordon DDS	Board Meeting	6	72	78
	Executive Meeting	17	124	141
	Exams	0	47	47
	Complaints	0	180	180
Buckentin, Mary	Board Meeting	6	58	64
	Executive Meeting	12	172	184
	Exams	0	28	28
	Complaints	0	9	9
Christensen, Cynthia DDS	Board Meeting	49	63	112
	Executive Meeting	67	155	222
	Exams	128	178	306
	Complaints	48	37	85
Dorvinen, Harry DDS	Board Meeting	18	0	18
	Executive Meeting	71	0	71
	Exams	129	0	129
	Complaints	22	0	22
Hild, Shirley RDA	Board Meeting	69	71	140
	Executive Meeting	384	637	1021
	Exams	0	6	6
	Complaints	175	132	307
Hill, Arnold DDS	Board Meeting	97	79	176
	Executive Meeting	214	312	526
	Exams	82	0	82
	Complaints	65	84	149
Irgens, Lawrence DDS	Board Meeting	63	0	63
	Executive Meeting	99	0	99
	Exams	160	0	160
	Complaints	130	0	130

	<u>TYPE OF ACTIVITY</u>	<u>HOURS</u>		<u>TOTAL</u>
		<u>FY 91</u>	<u>FY 92</u>	
Kail, Bonnie	Board Meeting	30	0	30
	Executive Meeting	6	0	6
	Exams	18	0	18
	Complaints	4	0	4
Kinney, George DDS	Board Meeting	96	55	151
	Executive Meeting	140	105	245
	Exams	85	97	182
	Complaints	68	242	310
Sandholm, Hollace	Board Meeting	49	24	73
	Executive Meeting	51	160	211
	Exams	0	35	35
	Complaints	147	187	334
Schwegler, Robert DDS	Board Meeting	0	76	76
	Executive Meeting	0	56	56
	Exams	0	63	63
	Complaints	0	159	159
Young, Lynda DH	Board Meeting	56	50	106
	Executive Meeting	92	23	115
	Exams	0	0	0
	Complaints	190	295	485

MINNESOTA BOARD OF DENTISTRY

Clause c: RECEIPT & DISBURSEMENT OF BOARD FUNDS

	<u>FY 91</u>	<u>FY 92</u>	<u>FY 91 & FY 92</u>
Total Fee Receipts	\$583,566	\$757,695	\$1,341,261
Total Costs	\$583,242	\$731,218	\$1,314,460

Minnesota Statutes, Chapter 214, requires the Board to adjust fees such that the total fees collected will as closely as possible equal anticipated expenditures.

Total costs include the direct payment of Board operating costs pursuant to legislative appropriation and indirect costs which the Board does not control but must cover with fee revenue. Indirect costs include statewide indirect costs and Attorney General costs and comprised over one-third of total costs in fiscal years 1991 and 1992.

MINNESOTA BOARD OF DENTISTRY

Clause d: LIST OF BOARD MEMBERS

The Minnesota Board of Dentistry is required by statute to have nine board members. The statutory length of the term is four years and a board member may be reappointed once. The individuals listed below served on the board during FY 91 and FY 92.

<u>NAME/ADDRESS</u>	<u>OCCUPATION</u>	<u>APPOINTMENT BEGIN/END DATE RE-APPOINTMENT DATE</u>
Gordon Amundson Duluth, MN	Dentist	6/9/91 thru 1/2/95
Mary Buckentin Plato, MN	Homemaker	6/8/91 thru 1/2/95
Cynthia Christensen Maple Grove, MN	Dentist	3/8/89 thru 1/4/93
Harry Dorvinen Duluth, MN	Dentist	7/1/83 thru 1/5/87 1/19/87 thru 1/7/91
Shirley Hild Minneapolis, MN	Registered Dental Assistant	4/24/90 thru 1/3/94
Arnold Hill Rochester, MN	Dentist	1/26/88 thru 1/6/92 1/13/92 thru 1/1/96
Lawrence Irgens Alexandria, MN	Dentist	1/19/87 thru 1/7/91
Bonnie Kail St Paul, MN	Homemaker	1/19/87 thru 1/7/91
George Kinney, Jr. St Paul, MN	Dentist	7/4/90 thru 1/3/94
Hollace Sandholm Minnetonka, MN	Retired High School Teacher	1/26/88 thru 1/6/92 1/13/92 thru 1/1/96
Robert Schwegler Albany, MN	Dentist	6/9/91 thru 1/2/95
Lynda Young Minneapolis, MN	Dental Hygienist	1/21/85 thru 1/2/89 3/8/89 thru 1/4/93

MINNESOTA BOARD OF DENTISTRY

Clause e: NAMES AND JOB CLASSIFICATIONS OF BOARD EMPLOYEES

<u>NAME</u>	<u>JOB CLASS</u>	<u>CLASS CODE</u>	<u>STATUS FT</u>	<u>PT</u>	<u>DATES OF SERVICE</u>
Patricia Bradford	Clerk Typist 3	008163		X	3/16/77 to present
Kathleen Carlson	Clerk Typist 1	000180	X		12/19/90 to 4/21/91
Mary Dee	Commerce Investigator	001800	X		6/10/92 to present
Richard Diercks*	Executive Director	029810	X		9/14/92 to present
Arlayne Nelson	Administrative Secretary	000292	X		11/14/77 to 8/14/90
Paula Nierengarten	Clerk Typist 2	000980	X		6/22/90 to present
Cynthia Ogilvie	Clerk 4	000642	X		9/27/89 to present
Vicki Otto	Commerce Consumer Liasion	002488	X		9/26/88 to present
Karen Ramsey	Office Services Supervisor	002118	X		6/27/84 to present
Karen Ramsey**	Acting Executive Director	008163	X		6/27/84 to present
Douglas Sell	Executive Director	008163	X		6/14/86 to 9/5/91

* Richard Diercks was not an employee of the Board during the biennium that this report covers. He is however listed above because he is the individual responsible for submitting this report.

** Karen Ramsey is listed twice as an employee of the Board because from September 1991 to September 1992 she was employed as the Acting Executive Director of the Board.

MINNESOTA BOARD OF DENTISTRY

Clause f: SUMMARY OF BOARD RULES PROPOSED OR ADOPTED

Effective April 27, 1992 the Board of Dentistry had several new rules adopted and existing rules amended. A summary follows:

3100.3600 TRAINING AND EDUCATIONAL REQUIREMENTS TO ADMINISTER ANESTHESIA AND SEDATION.

The rule relating to training and educational requirements to administer anesthesia and sedation is a new rule.

Specifically, a dentist may administer a pharmacological agent for the purpose of general anesthesia only after completing a didactic and clinical program at a dental school, hospital, or graduate medical or dental program accredited by the Commission on Accreditation OR completing a one year residency in general anesthesia at an institution certified by the American Society of Anesthesiology, the American Medical Association, or the Joint Commission on Hospital Accreditation, either program must result in the dentist becoming clinically competent in the administration of general anesthesia AND completing an advanced cardiac life support course. Additionally, the dentist must be currently certified in advanced cardiac life support or basic life support.

Beginning January 1, 1993, a dentist may administer a pharmacological agent for the purpose of conscious sedation only after satisfactorily completing: an education course resulting in the dentist becoming clinically competent for administration of conscious sedation, with a minimum of 60 hours of didactic education, 24 hours of clinical experience, and at least ten individual cases of administration of conscious sedation AND completing an advanced cardiac life support course. Additionally, the dentist must be currently certified in advanced cardiac life support or basic life support.

Prior to January 1, 1993, a licensed dentist currently administering nitrous oxide inhalation analgesia may register that fact with the board and continue to administer nitrous oxide inhalation analgesia. Beginning January 1, 1993, a dentist not previously registered with the board as referenced above may only administer nitrous oxide inhalation analgesia after satisfactorily completing a dental school or postdental graduate education course on the administration of nitrous oxide inhalation analgesia from an institution accredited by the Commission on Accreditation. Additionally, a dentist administering nitrous oxide inhalation analgesia must be currently certified in advanced cardiac life support or basic cardiac life support.

3100.6200 CONDUCT UNBECOMING A LICENSEE OR REGISTRANT.

The rule relating to conduct unbecoming a licensee or registrant was amended to add failing to maintain adequate safety and sanitary conditions for a dental office as specified in part 3100.6300 and failing to provide access to and transfer of medical and dental records as prescribed by Minnesota Statutes, section 144.335 (1990) as conduct that is unbecoming a licensee or registrant.

3100.6300 ADEQUATE SAFETY AND SANITARY CONDITIONS FOR DENTAL OFFICES.

The rule relating to adequate safety and sanitary conditions for dental offices was amended to add the following requirements: Infection Control. Dental health care workers shall comply with the most current infection control recommendations, guidelines, precautions, procedures, practices, strategies, and techniques specified in the United States Department of Health and Human Services, Public Health Service, Centers for Disease Control publications of the Morbidity and Mortality Weekly Report (MMWR). The current infection control techniques set forth in the MMWR dated July 12, 1992, volume 40, number RR-8, pages 1 to 9 are incorporated by reference in the rule. Sharps and contaminated waste. Sharp items and contaminated wastes must be disposed of in accordance with Minnesota Statutes, sections 116.76 to 116.83 (1990), and rules adopted under them, and requirement established by local government agencies. CPR training. A minimum of one person who is currently certified in advanced cardiac life support or basic life support must be present in the dental office when dental services are provided.

3100.8500 REGISTERED DENTAL ASSISTANTS.

The rule relating to registered dental assistants was amended to add additional duties for the registered dental assistant. Specifically, a registered dental assistant may now, under indirect supervision take impressions for casts and appropriate bite registration; and place and remove elastic orthodontic separators. A registered dental assistant may now, under direct supervision remove excess bond material from orthodontic appliances with hand instruments only; etch appropriate enamel surfaces before bonding of orthodontic appliances by a dentist; etch appropriate enamel surfaces and apply pit and fissure sealants. However, before the application of pit and fissure sealants, a registered dental assistant must successfully complete a course in pit and fissure sealants at a dental school, dental hygiene school, or dental assisting school that has been accredited by the Commission on Accreditation; and make preliminary adaptation of temporary crowns.

3100.8700 DENTAL HYGIENISTS.

The rule relating to dental hygienists was amended to add additional duties for the dental hygienist under different levels of supervision. Specifically, a dental hygienist may not, under general supervision remove excess bond material from orthodontic appliances. A dental hygienist may now, under direct supervision etch appropriate enamel surfaces before bonding of orthodontic appliances by a dentist; and make preliminary adaptation of temporary crowns.

MINNESOTA BOARD OF DENTISTRY

Clause g: NUMBER OF PERSONS LICENSED OR REGISTERED

As of June 30, 1991:

<u>TYPE OF LICENSE/REGISTRATION</u>	<u>TOTAL NUMBER</u>
Dentist	3,930
Dental Hygienist	2,793
Registered Dental Assistant	4,007
Professional Corporation	719

As of June 30, 1992:

<u>TYPE OF LICENSE/REGISTRATION</u>	<u>TOTAL NUMBER</u>
Dentist	3,915
Dental Hygienist	2,849
Registered Dental Assistant	4,242
Professional Corporation	728

MINNESOTA BOARD OF DENTISTRY

Clause h: **ADMINISTRATION OF EXAMINATIONS BY THE BOARD.**

The Board of Dentistry accepts the results of examinations offered by the following organizations:

Joint Commission on National Board Examination

Dentists and dental hygienists must successfully complete these examinations to become eligible for licensure in Minnesota. The examinations are offered semiannually at three sites in Minnesota. The examinations are written examinations.

Central Regional Dental Testing Service

Dentists and dental hygienists must successfully complete these examinations to become eligible for licensure in Minnesota. The examinations are offered 16 times at nine test locations within the eleven states comprising the central region. The examinations are offered semiannually in Minnesota. The examinations are both written and practical.

EVALCOR

Dental assistants must successfully complete the Minnesota Registration examination to become eligible for registration in Minnesota. The examination is offered 20 times each year at 12 locations throughout the state. The examination is a written examination.

In addition to the above noted examinations, the board is required to administer an examination covering the dental practice act and board rules. This examination is offered while students are in school and may also be taken any business day at the Board office. The examination is a written examination.

MINNESOTA BOARD OF DENTISTRY

Clause i,j,k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

The numbers indicated below are the individuals that were licensed by successfully passing the National Board examination and the clinical examination offered by the Central Regional Dental Testing Service. These numbers do not include individuals licensed by credentials.

Type of License/Registration: DENTIST

Age Group	FY 91			FY 92			FY 91 & FY 92		
	<u>Licensed</u>			<u>Licensed</u>			<u>Licensed</u>		
	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>
Under 18	0	0	0	0	0	0	0	0	0
18-25	13	3	16	11	2	13	24	5	29
26-34	46	10	56	36	15	51	82	25	107
35-59	0	1	1	1	1	2	1	2	3
60-65	0	0	0	0	0	0	0	0	0
Over 65	0	0	0	0	0	0	0	0	0
TOTALS:	59	14	73	48	18	66	107	32	139

MINNESOTA BOARD OF DENTISTRY

Clause i,j,k: **NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION**

The numbers indicated below are the individuals that were licensed by successfully passing the National Board examination and the clinical examination offered by the Central Regional Dental Testing Service. These numbers do not include individuals licensed by credentials.

Type of License/Registration: DENTIST

Age Group	FY 91			FY 92			FY 91 & FY 92		
	<u>Licensed</u>			<u>Licensed</u>			<u>Licensed</u>		
	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>
Under 18	0	0	0	0	0	0	0	0	0
18-25	1	0	1	1	0	1	1	0	1
26-34	8	2	10	9	3	12	17	5	22
35-59	2	1	3	0	1	1	2	2	4
60-65	0	0	0	0	0	0	0	0	0
Over 65	0	0	0	0	0	0	0	0	0
TOTALS:	11	3	14	10	4	14	21	7	28

MINNESOTA BOARD OF DENTISTRY

Clause i,j,k: TOTAL NON-RESIDENTS BY STATE

The numbers indicated below are the individuals that were licensed by successfully passing the National Board examination and the clinical examination offered by the Central Regional Dental Testing Service. These numbers do not include individuals licensed by credentials.

Type of License/Registration: DENTIST

State	FY 91			FY 92			FY 91 & FY 92		
	<u>Licensed</u>			<u>Licensed</u>			<u>Licensed</u>		
	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>
CA	2	0	2	1	0	1	3	0	3
GA	0	0	0	1	0	1	1	0	1
HI	0	0	0	1	0	1	1	0	1
IA	0	0	0	0	1	1	0	1	1
IL	0	0	0	1	0	1	1	0	1
MD	0	1	1	0	0	0	0	1	1
MO	1	0	1	0	0	0	1	0	1
MT	0	0	0	1	0	1	1	0	1
NC	0	0	0	1	0	1	1	0	1
ND	1	1	2	1	0	1	2	1	3
NE	3	0	3	0	0	0	3	0	3
NV	0	1	1	0	0	0	0	1	1
PA	2	0	2	0	0	0	2	0	2
SD	1	0	1	0	0	0	1	0	1
TX	0	0	0	1	1	2	1	1	2
WI	1	0	1	1	2	3	2	2	4

MINNESOTA BOARD OF DENTISTRY

Clause i,j,k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

The numbers indicated below are the individuals that were licensed by successfully passing the National Board examination and the clinical examination offered by the Central Regional Dental Testing Service. These numbers do not include individuals licensed by credentials.

Type of License/Registration: DENTAL HYGIENE

Age Group	FY 91			FY 92			FY 91 & FY 92		
	<u>Licensed</u>			<u>Licensed</u>			<u>Licensed</u>		
	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>
Under 18	0	0	0	0	0	0	0	0	0
18-25	1	65	66	0	51	51	1	116	117
26-34	0	15	15	0	28	28	0	43	43
35-59	0	16	16	0	12	12	0	28	28
60-65	0	0	0	0	0	0	0	0	0
Over 65	0	0	0	0	0	0	0	0	0
TOTALS:	1	96	97	0	91	91	1	187	188

MINNESOTA BOARD OF DENTISTRY

Clause i,j,k: NON-MINNESOTA RESIDENTS BY TYPE OF
LICENSE/REGISTRATION

The numbers indicated below are the individuals that were licensed by successfully passing the National Board examination and the clinical examination offered by the Central Regional Dental Testing Service. These numbers do not include individuals licensed by credentials.

Type of License/Registration: DENTAL HYGIENE

Age Group	FY 91			FY 92			FY 91 & FY 92		
	<u>Licensed</u>			<u>Licensed</u>			<u>Licensed</u>		
	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>
Under 18	0	0	0	0	0	0	0	0	0
18-25	0	12	12	0	6	6	0	18	18
26-34	0	4	4	0	6	6	0	10	10
35-59	0	1	1	0	0	0	0	1	1
60-65	0	0	0	0	0	0	0	0	0
Over 65	0	0	0	0	0	0	0	0	0
TOTALS:	0	17	17	0	12	12	0	29	29

MINNESOTA BOARD OF DENTISTRY

Clause i,j,k: TOTAL NON-RESIDENTS BY STATE

The numbers indicated below are the individuals that were licensed by successfully passing the National Board examination and the clinical examination offered by the Central Regional Dental Testing Service. These numbers do not include individuals licensed by credentials.

Type of License/Registration: DENTAL HYGIENE

State	FY 91			FY 92			FY 91 & FY 92		
	<u>Licensed</u>			<u>Licensed</u>			<u>Licensed</u>		
	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>
AR	0	0	0	0	1	1	0	1	1
IA	0	4	4	0	1	1	0	5	5
ND	0	7	7	0	7	7	0	14	14
SD	0	2	2	0	0	0	0	2	2
WI	0	4	4	0	4	4	0	8	8

MINNESOTA BOARD OF DENTISTRY

Clause i,j,k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

The numbers indicated below are the individuals that were registered by successfully passing the Minnesota Registration examination.

Type of License/Registration: DENTAL ASSISTANT

Age Group	FY 91			FY 92			FY 91 & FY 92		
	<u>Licensed</u>			<u>Licensed</u>			<u>Licensed</u>		
	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>
Under 18	0	1	1	0	0	0	0	1	1
18-25	0	174	174	1	197	198	1	371	372
26-34	0	82	82	0	98	98	0	180	180
35-59	0	34	34	0	66	66	0	100	100
60-65	0	0	0	0	0	0	0	0	0
Over 65	0	0	0	0	0	0	0	0	0
TOTALS:	0	291	291	1	361	362	1	652	653

MINNESOTA BOARD OF DENTISTRY

Clause i,j,k: NON-MINNESOTA RESIDENTS BY TYPE OF
LICENSE/REGISTRATION

The numbers indicated below are the individuals that were registered by successfully passing the Minnesota Registration examination.

Type of License/Registration: DENTAL ASSISTANT

Age Group	FY 91			FY 92			FY 91 & FY 92		
	<u>Licensed</u>			<u>Licensed</u>			<u>Licensed</u>		
	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>
Under 18	0	0	0	0	0	0	0	0	0
18-25	0	6	6	0	4	4	0	10	10
26-34	0	0	0	0	2	2	0	2	2
35-59	0	3	3	0	0	0	0	3	3
60-65	0	0	0	0	0	0	0	0	0
Over 65	0	0	0	0	0	0	0	0	0
TOTALS:	0	9	9	0	6	6	0	15	15

MINNESOTA BOARD OF DENTISTRY

Clause i,j,k: TOTAL NON-RESIDENTS BY STATE

The numbers indicated below are the individuals that were registered by successfully passing the Minnesota Registration examination.

Type of License/Registration: DENTAL ASSISTANT

<u>State</u>	FY 91			FY 92			FY 91 & FY 92		
	<u>Licensed</u>			<u>Licensed</u>			<u>Licensed</u>		
	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>	<u>M</u>	<u>F</u>	<u>T</u>
FL	0	1	1	0	0	0	0	1	1
IA	0	1	1	0	0	0	0	1	1
ND	0	2	2	0	2	2	0	4	4
SC	0	1	1	0	0	0	0	1	1
WI	0	3	3	0	4	4	0	7	7

MINNESOTA BOARD OF DENTISTRY

Clause 1. PERSONS NOT EXAMINED, ISSUED LICENSE OR DENIED LICENSE

Total number of persons NOT taking examination for licensure and granted a license by credentials:

FY 91
Dentists: 14

Total number of persons NOT taking examination for licensure and denied a license by credentials:

FY 91
Dentists: 2

TYPE OF LICENSE	RES STATE	AGE GROUP				SEX		METHOD OF LIC.	GRANT	DENY	REASON
		18-25	26-34	35-59	60-65	M	F				
Dental	MN		X			X		Cred	X		Met Req
Dental	WI			X		X		Cred	X		Met Req
Dental	FL			X		X		Cred	X		Met Req
Dental	MN			X		X		Cred	X		Met Req
Dental	MN		X			X		Cred	X		Met Req
Dental	MI			X		X		Cred	X		Met Req
Dental	ND			X		X		Cred	X		Met Req
Dental	MD		X			X		Cred	X		Met Req
Dental	IA			X		X		Cred	X		Met Req
Dental	MT		X			X		Cred	X		Met Req
Dental	DC			X		X		Cred	X		Met Req
Dental	IL			X		X		Cred	X		Met Req
Dental	MN			X		X		Cred	X		Met Req
Dental	MI			X		X		Cred		X	Note #1
Dental	TX			X		X		Cred		X	Note #2

Note #1

Applicant was denied licensure by credentials based on failure to truthfully respond to questions concerning disciplinary action in another licensing jurisdiction.

Note #2

Applicant was denied licensure by credentials based on failure to demonstrate to the Board minimum knowledge in dental subject areas required for licensure.

MINNESOTA BOARD OF DENTISTRY

Clause 1. PERSONS NOT EXAMINED, ISSUED LICENSE OR DENIED LICENSE

Total number of persons NOT taking examination for licensure and granted a license by credentials:

FY 91
Dental Hygienists: 18

Total number of persons NOT taking examination for licensure and denied a license by credentials:

FY 91
Dental Hygienists: 0

TYPE OF LICENSE	RES STATE	AGE GROUP				SEX METHOD		LIC. GRANT	DENY	REASON
		18-25	26-34	35-59	60-65	M	F			
Hygiene	MN		X			X	Cred	X		Met Req
Hygiene	MN			X		X	Cred	X		Met Req
Hygiene	MN			X		X	Cred	X		Met Req
Hygiene	MN			X		X	Cred	X		Met Req
Hygiene	MN		X			X	Cred	X		Met Req
Hygiene	ND			X		X	Cred	X		Met Req
Hygiene	MN		X			X	Cred	X		Met Req
Hygiene	NV			X		X	Cred	X		Met Req
Hygiene	MN		X			X	Cred	X		Met Req
Hygiene	MN		X			X	Cred	X		Met Req
Hygiene	WI		X			X	Cred	X		Met Req
Hygiene	IL		X			X	Cred	X		Met Req
Hygiene	ND		X			X	Cred	X		Met Req
Hygiene	MN			X		X	Cred	X		Met Req
Hygiene	PA			X		X	Cred	X		Met Req
Hygiene	FL		X			X	Cred	X		Met Req
Hygiene	MN		X			X	Cred	X		Met Req

MINNESOTA BOARD OF DENTISTRY

Clause 1. PERSONS NOT EXAMINED, ISSUED LICENSE OR DENIED LICENSE

Total number of persons NOT taking examination for licensure and granted a license by credentials:

FY 92
Dentists: 13

Total number of persons NOT taking examination for licensure and denied a license by credentials:

FY 92
Dentists: 2

TYPE OF LICENSE	RES STATE	AGE GROUP				SEX		METHOD OF LIC.	GRANT	DENY	REASON
		18-25	26-34	35-59	60-65	M	F				
Dental	MN			X		X		Cred	X		Met Req
Dental	NJ			X		X		Cred	X		Met Req
Dental	OR		X			X		Cred	X		Met Req
Dental	IA			X		X		Cred	X		Met Req
Dental	MN			X		X		Cred	X		Met Req
Dental	MN		X			X		Cred	X		Met Req
Dental	IL			X		X		Cred	X		Met Req
Dental	MN		X				X	Cred	X		Met Req
Dental	WI			X			X	Cred	X		Met Req
Dental	MT		X			X		Cred	X		Met Req
Dental	MN			X		X		Cred	X		Met Req
Dental	MN		X			X		Cred	X		Met Req
Dental	MN		X			X		Cred	X		Met Req
Dental	NV			X		X		Cred		X	Note #1
Dental	NM			X		X		Cred		X	Note #2

Note #1

Applicant was denied licensure by credentials based on failure to demonstrate to the Board minimum knowledge in the area of treating medical emergencies, and failure to submit letters of reference which adequately attest to being a reputable dentist of good moral character and professional attainment.

Note #2

Applicant was denied licensure by credentials based on failure to demonstrate to the Board minimum knowledge in the areas of diagnosis and treatment planning, periodontal disease and recordkeeping.

MINNESOTA BOARD OF DENTISTRY

Clause 1. PERSONS NOT EXAMINED, ISSUED LICENSE OR DENIED LICENSE

Total number of persons NOT taking examination for licensure and granted a license by credentials:

FY 92
Dental Hygienists: 21

Total number of persons NOT taking examination for licensure and denied a license by credentials:

FY 92
Dental Hygienists: 0

TYPE OF LICENSE	RES STATE	AGE GROUP				SEX		METHOD OF LIC.	GRANT	DENY	REASON
		18-25	26-34	35-59	60-65	M	F				
Hygiene	MN	X				X		Cred	X		Met Req
Hygiene	MN		X			X		Cred	X		Met Req
Hygiene	NC		X			X		Cred	X		Met Req
Hygiene	MN	X				X		Cred	X		Met Req
Hygiene	NM		X			X		Cred	X		Met Req
Hygiene	AZ		X			X		Cred	X		Met Req
Hygiene	VA	X				X		Cred	X		Met Req
Hygiene	NE		X			X		Cred	X		Met Req
Hygiene	CANADA		X			X		Cred	X		Met Req
Hygiene	MN		X			X		Cred	X		Met Req
Hygiene	ND			X		X		Cred	X		Met Req
Hygiene	MN		X			X		Cred	X		Met Req
Hygiene	MN			X		X		Cred	X		Met Req
Hygiene	MN		X			X		Cred	X		Met Req
Hygiene	MN		X			X		Cred	X		Met Req
Hygiene	MN			X		X		Cred	X		Met Req
Hygiene	WI			X		X		Cred	X		Met Req
Hygiene	MN			X		X		Cred	X		Met Req
Hygiene	TX			X		X		Cred	X		Met Req
Hygiene	TX		X			X		Cred	X		Met Req
Hygiene	CA		X			X		Cred	X		Met Req

MINNESOTA BOARD OF DENTISTRY

Clause m: PERSONS PREVIOUSLY LICENSED/REGISTERED WHOSE LICENSE OR REGISTRATION WAS REVOKED, SUSPENDED OR OTHERWISE ALTERED IN STATUS

	<u>FY 91</u>	<u>FY 92</u>
Revocation	0	1
Suspension	3	0
Change in Status	27	20

<u>TYPE OF LICENSE/ REGISTRATION</u>	<u>REVOKED</u>	<u>SUSPENDED</u>	<u>CHANGE</u>	<u>REASON</u>
Dentist	X			Chemical Substance Abuse
Dentist		X		Competency and Fraud
Dentist		X		Auxiliary Misuse
Registered Dental Asst		X		Chemical Substance Abuse
Dentist			X	Auxiliary Misuse
Dentist			X	Chemical Substance Abuse and failure to cooperate
Dentist			X	Safety and Sanitary Office Conditions
Dentist			X	Auxiliary Misuse
Dentist			X	Chemical Substance Abuse
Dentist			X	Auxiliary Misuse
Dentist			X	Safety and Sanitary Office Conditions
Dentist			X	Failure to Cooperate
Dentist			X	Auxiliary Misuse
Dentist			X	Chemical Substance Abuse
Dentist			X	Auxiliary Misuse

<u>TYPE OF LICENSE/ REGISTRATION</u>	<u>REVOKED</u>	<u>SUSPENDED</u>	<u>CHANGE</u>	<u>REASON</u>
Dentist			X	Chemical Substance Abuse
Dentist			X	Auxiliary Misuse
Dentist			X	Auxiliary Misuse
Dentist			X	Safety and Sanitary Office Conditions and Advertising
Dentist			X	Physical and/or Mental Disability
Dentist			X	Licensure
Dentist			X	Chemical Substance Abuse
Dentist			X	Auxiliary Misuse
Dentist			X	Sexual Misconduct
Dentist			X	Safety and Sanitary Office Conditions
Dentist			X	Competency, Auxiliary Misuse, Safety and Sanitary Office Conditions, Sexual Misconduct, and Unprofessional Conduct
Dentist			X	Chemical Substance Abuse, Auxiliary Misuse, Fraud and Unprofessional Conduct
Dentist			X	Auxiliary Misuse
Dentist			X	Safety and Sanitary Office Conditions
Dentist			X	Auxiliary Misuse
Dentist			X	Unprofessional Conduct
Dentist			X	Auxiliary Misuse, Fraud, Advertising
Dentist			X	Auxiliary Misuse

<u>TYPE OF LICENSE/ REGISTRATION</u>	<u>REVOKED</u>	<u>SUSPENDED</u>	<u>CHANGE</u>	<u>REASON</u>
Dentist			X	Licensure, Advertising
Dentist			X	Unprofessional Conduct
Dentist			X	Auxiliary Misuse
Dentist			X	Order Lifted
Registered Dental Asst			X	Registration
Registered Dental Asst			X	Performing Non-Permitted Duties
Registered Dental Asst			X	Performing Non-Permitted Duties
Registered Dental Asst			X	Performing services not Authorized by Dentist
Registered Dental Asst			X	Performing Non-Permitted Duties
Registered Dental Asst			X	Performing Non-Permitted Duties
Registered Dental Asst			X	Performing Non-Permitted Duties
Registered Dental Asst			X	Performing Non-Permitted Duties
Registered Dental Asst			X	Performing Non-Permitted Duties
Registered Dental Asst			X	Performing Non-Permitted Duties
Registered Dental Asst			X	Performing Non-Permitted Duties

<u>TYPE OF LICENSE/ REGISTRATION</u>	<u>REVOKED</u>	<u>SUSPENDED</u>	<u>CHANGE</u>	<u>REASON</u>
Registered Dental Asst			X	Order Lifted
Registered Dental Asst			X	Order Lifted
Registered Dental Asst			X	Order Lifted

MINNESOTA BOARD OF DENTISTRY

Clause n: NUMBER OF COMPLAINTS OR OTHER COMMUNICATION RECEIVED

	<u>FY 91</u>	<u>FY 92</u>	<u>TOTALS</u>
Written Complaints	258	254	512
Oral	0	0	0

MINNESOTA BOARD OF DENTISTRY

Clause o: **SUMMARY OF COMPLAINTS REFERRED TO IN CLAUSE N BY SPECIFIC CATEGORY**

The Board tracks complaints by type and, within type, by disposition. During the period July 1, 1990 to June 30, 1992 the Board received 512 complaints that alleged substandard care, chemical substance abuse, improper prescribing, advertising violations, etc., and has acted on the complaints as follows:

INCOMPETENCE AND SUBSTANDARD CARE

The Board received 201 complaints during the biennium and carried forward 29 complaints from previous biennia that alleged incompetence and/or substandard care. During this period the Board took final action on 152 complaints. Of those complaints, 5 were dismissed as non-jurisdictional, 139 were dismissed following an inquiry, 4 were dismissed following an agreement made between the licensee/registrant and the Board, and 4 complaints required Board action revoking, suspending or conditioning the license or registration. In addition to these final actions, 55 complaints are still under investigation and 23 complaints are still pending in this category.

LICENSURE

The Board received 25 complaints during the biennium and carried forward no complaints from previous biennia that alleged individuals were practicing without a license/registration or were permitting someone to practice without a license/registration. During this period the Board took final action on 15 complaints. Of those complaints, 3 were dismissed as non-jurisdictional, 1 was dismissed following an inquiry, and 11 complaints required Board action revoking, suspending or conditioning the license or registration. In addition to these final actions, 5 complaints are under investigation and 5 complaints are still pending in this category.

IMPROPER OR UNAUTHORIZED PRESCRIPTION DISPENSING, ADMINISTERING OR PERSONAL USE OF DRUGS

The Board received 19 complaints during the biennium and carried forward 41 complaints from previous biennia that alleged licensees/registrants improperly prescribed, administered or dispensed legend drugs or were chemically dependent. During this period the Board took final action on 37 complaints. Of those complaints, 16 were dismissed as non-jurisdictional, 3 were dismissed following an inquiry, 6 were dismissed following an agreement made between the licensee/registrant and the Board, 12 complaints required Board action revoking, suspending or conditioning the license or registration. In addition to these final actions, 17 complaints are still under investigation and 6 complaints are still pending in this category.

SEXUAL MISCONDUCT

The Board received 7 complaints during the biennium and carried forward no complaints from previous biennia that alleged individuals behaved in an immoral manner while providing services under a professional/patient relationship. During this period the Board took final action on no complaints. 7 complaints are still under investigation in this category.

AUXILIARY MISUSE

The Board received 38 complaints during the biennium and carried forward 34 complaints from previous biennia that alleged improper utilization of dental auxiliaries. During this period the Board took final action on 47 complaints. Of those complaints, 1 was dismissed as non-jurisdictional, 6 were dismissed following an inquiry, 3 were dismissed following an agreement made between the licensee/registrant and the Board, and 37 complaints required Board action revoking, suspending or conditioning the license/registration. In addition to these final actions, 18 complaints are still under investigation and 7 complaints are still pending in this category.

UNSANITARY/UNSAFE OFFICE CONDITIONS

The Board received 18 complaints during the biennium and carried forward 3 complaints from the previous biennia that alleged unsanitary and/or unsafe office conditions. During this period the Board took final action on 12 complaints. Of those complaints, 1 was dismissed as non-jurisdictional, 4 were dismissed following an inquiry, 5 were dismissed following an agreement made between the licensee/registrant and the Board, and 37 complaints required Board action revoking, suspending or conditioning the license/registration. In addition to these final actions, 18 complaints are still under investigation and 7 complaints are still pending in this category.

ADVERTISING

The Board received 46 complaints during the biennium and carried forward no complaints from previous biennia that alleged misleading or false advertising of dental services or credentials. During this period the Board took final action on 26 complaints. Of those complaints, 3 were dismissed as non-jurisdictional, 21 were dismissed following an inquiry, 1 was dismissed following an agreement made between the licensee/registrant and the Board, and 1 complaint required Board action revoking, suspending or conditioning the license/registration. In addition to these final actions, 10 complaints are still under investigation and 10 complaints are still pending in this category.

UNPROFESSIONAL CONDUCT

The Board received 119 complaints during the biennium and carried forward 18 complaints from previous biennia that alleged unprofessional conduct such as patient abuse and performing unnecessary services. During this period the Board took action on 100 complaints. Of those complaints, 31 were dismissed as non-jurisdictional, 58 were dismissed following an inquiry, 8 were

dismissed following an agreement made between the licensee/registrant and the Board, and 3 complaints required Board action revoking, suspending or conditioning the license/registration. In addition to these final actions, 29 complaints are still under investigation and 8 complaints are still pending in this category.

FRAUD

The Board received 26 complaints during the biennium and carried forward 7 complaints from previous biennia that alleged fraud upon patients, third-party payers, or other relating to the practice of dentistry. During this period the Board took final action on 18 complaints. Of those complaints, 3 were dismissed as non-jurisdictional, 8 were dismissed following an inquiry, and 7 complaints required Board action revoking, suspending or conditioning the license/registration. In addition to these final actions, 9 complaints are still under investigation and 6 complaints are still pending in this category.

FAILURE TO COOPERATE WITH THE BOARD

The Board did not receive any complaints during the biennium and carried forward 1 complaint from previous biennia that alleged failure to cooperate with the Board. 1 complaint is still under investigation in this category.

UNCONSCIONABLE FEES

The Board received 12 complaints during the biennium and carried forward 2 complaints from previous biennia that alleged unconscionable fees. During this period the Board took final action on 10 complaints. Of those complaints, 8 were dismissed as non-jurisdictional, 1 was dismissed following an inquiry, and 1 complaint required Board action revoking, suspending or conditioning the license/registration. In addition to these final actions 3 complaints are still under investigation and 1 complaint is still pending in this category.

PHYSICAL, MENTAL, EMOTIONAL OR OTHER DISABILITY

The Board received 1 complaint during the biennium and carried forward no complaints from previous biennia that alleged a physical, mental, emotional or other disability adversely affecting a licensee/registrant's ability to perform the service for which the person is licensed or registered. The 1 complaint required Board action revoking, suspending or conditioning the license or registration.

MINNESOTA BOARD OF DENTISTRY

Clause p: **STATE ANY OTHER OBJECTIVE INFORMATION WHICH THE BOARD MEMBERS BELIEVE WILL BE USEFUL IN REVIEWING BOARD ACTIVITIES**

A number of trends influenced the Board during the biennium and will continue to do so. Access to dental care continues to increase. Simultaneously, the public is becoming more aware of its rights. Commensurate with this increase in service and awareness of patient rights has been an increase in the number of consumers dissatisfied with their health care service, including their dental care. This has driven and will continue to drive, more complaints for the Board to handle. Costs of responding to complaints will increase correspondingly.

Similarly, the advent of HealthRight legislation will increase the access of dental services to Minnesotans. In turn, the Board's role of ensuring proper dental services from competent providers will become even more important.

Additionally, increased public concern over the transmission of the AIDS and Hepatitis B viruses and the passage of legislation in 1992 will increase the Board's role in ensuring that proper infection control practices are occurring in dental offices and in monitoring the viruses amongst dental providers.

The Board actively participated with the Council of State Governments disciplinary clearinghouse (CLEAR). This organization's charter provided a number of forums for information exchange, formally and informally, among licensing boards throughout the country. The personal and organizational contacts made as a result of these forums have aided in the licensing and investigative process.

The Board of Dentistry has, since 1985, reported all disciplinary actions to the National Disciplinary Information System (NDIS). This organization was established by CLEAR in 1981 as a national clearinghouse for disciplinary action. The Board receives and reviews quarterly reports from NDIS and takes action when necessary. The Board also reports all disciplinary action to the American Association of Dental Examiners (AADE). The Board receives quarterly reports from the AADE and takes action when necessary. Since September, 1990 the Board has reported all disciplinary action to the National Practitioner Data Bank (NPDB). The NPDB is an agency set up by the federal government to serve as a national clearinghouse for disciplinary action in all 50 states. The Board makes routine inquiries of the NPDB on all applicants for licensure that hold licenses to practice in another state.

During the biennium, the Board has continued information exchange with the Drug Enforcement Administration, the Department of Defense, and the Bureau of Criminal Apprehension in an increased effort to curtail illicit use and distribution of controlled substances.

MINNESOTA BOARD OF DENTISTRY

Clause q: A SUMMARY OF EACH INDIVIDUAL CASE COMPLAINT OR OTHER COMMUNICATION THAT INVOLVED POSSIBLE SEXUAL CONDUCT OF A LICENSEE/REGISTRANT WITH A PATIENT OR CLIENT

Each summary must include:

1. A description of the alleged misconduct.
2. The general results of the investigation.
3. The nature of Board activities relating to the case.
4. The disposition of the case.
5. The reasons for Board decisions concerning the disposition of the case.

Case #1

Alleged sexual harrassment of an employee. The case is currently under investigation.

Case #2

Alleged sexual contact by dentist placing instruments on patients chest. The case is currently under investigation.

Case #3

Alleged incest. The case is currently under investigation.

Case #4

Alleged sexual harrassment of an employee. The case is currently under investigation.

Case #5

Alleged improper touching of female patients under nitrous oxide inhalation analgesia. The case is currently under investigation.

Case #6

Alleged improper touching female patients breasts. The case is currently under investigation.