

BIENNIAL REPORT

JULY 1, 1990 TO JUNE 30, 1992

RA 396 .A4 M58ax 1990/92

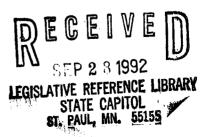
#### **BIENNIAL REPORT**

MINNESOTA BOARD OF MEDICAL EXAMINERS 2700 UNIVERSITY AVENUE WEST SUITE 106 ST. PAUL, MN 55114-1080

STATUTORY AUTHORITY - M.S. 146, 147, 148, 319A

REPORT PERIOD - July 1, 1990 to June 30, 1992

SUBMITTED BY - H. Leonard Boche, Executive Director



# BOARD OF MEDICAL PRACTICE RECEIPT AND DISBURSEMENT OF BOARD FUNDS

Total State Appropriations	<u>FY 91</u> 2,090,523	<u>FY92</u> 1,958,000	<u>TOTAL</u> 4,048,523
Board Expenditures	2,086,774	1,836,410	3,923,184
AGO Expenditures	356,751	716,000	1,072,751
Total Disbursements	2,443,525	2,552,410	4,995,935
Total Fee Receipts	2,188,856	2,706,500	4,895,356

## BOARD OF MEDICAL PRACTICE LIST OF MEMBERS WHO SERVED DURING FY 91 AND FY 92 Number of Board members required by statute: 16 Length of terms: 4 years

NAME & ADDRESS	<b>OCCUPATION</b>	APPOINTMENT DATES
BERT, Jack	Medical Doctor	4-5-83 to 1-5-91
BREINER, Adrienne	Teacher	5-17-91 to 1-25-95
BROOKER, Doris	Medical Doctor	9-4-90 to 1-3-94
ELLIS, Cassius	Medical Doctor	1-16-85 to 1-2-93
GILBERTSON, David	Doctor of Osteopathy	1-5-87 to 1-5-91
HART, Meredith	Health Advocate	1-6-86 to 1-6-90
HARTMANN, Mary	Administrator	4-6-83 to 1-5-91
HERMAN, David	Medical Doctor	9-4-90 to 1-9-93
IVANCE, Richard	Medical Doctor	2-11-92 to 1-9-96
JORDAN, Gloria	Businesswoman	9-4-90 to 1-3-94
KELLEY, Stephen	Attorney	1-20-84 to 1-6-92
KIDDER, David	Doctor of Osteopathy	5-17-91 to 1-2-95
KNAPP, James	Medical Doctor	5-6-90 to 1-3-94
MARTIN, M.J.	Medical Doctor	1-17-89 to 1-9-93
MIKA, Mary	Homemaker	2-11-92 to 1-1-96
MULDER, Richard	Medical Doctor	5-17-91 to 1-2-95
NOVAK, Karen	School Board	5-17-91 to 1-1-96
OLSON, Clyde	Medical Doctor	1-5-87 to 1-9-93
QUATTLEBAUM, Frank	Medical Doctor	1-5-87 to 1-6-92
SIGEL, Melvin	Medical Doctor	1-20-84 to 1-6-92
SPILSETH, Paul	Medical Doctor	2-11-92 to 1-1-96
THORSON, Stuart	Medical Doctor	2-11-92 to 1-1-96

### BOARD EMPLOYEES WHO WERE EMPLOYED DURING FY 91 AND FY 92

NAME ALBRIGHT, Florence	JOB CLASS/TTTLE Clerk 3 Renewal Clerk	DATES OF SERVICE 9-20-80
ANDERSON, Therese	Clerk Typist 3 Complaint Review Unit Secretary	11-25-76
ANDREWS, Archie	Clerk Typist 1 Complaint Review Unit Secretary	7-5-90 to 5-7-91
AULD, Dick	Assistant Executive Director	11-27-85 to 6-16-92
AULD, Jennifer	Clerk Typist 1 Temporary	12-5-90 to 8-6-91
BACIGALUPI, Gina	Clerk Typist 1 Summer Temporary	5-29-90 to 8-16-90 6-13-91 to 8-8-91 6-3-92
BALFANY, Jana	Commerce Investigator Medical Regulations Analyst	9-11-91
BELLANT, Anita	Commerce Investigator Medical Regulations Analyst	11-7-90 to 5-3-91
BJORAKER, Jolene	Clerk Typist 3 Registration Specialist	9-25-91
BOCHE, H. Leonard	Executive Director	4-11-88
DAVIS, Marsha	Clerk Typist 2 Complaint Review Unit Secretary	7-13-88
DRESSEL, Barbara	Clerk Typist 2 Receptionist	10-20-76
ENG, Marcus	Student Worker	1-6-92
ERICKSON, Mary	Clerk Typist 3 Licensure Specialist	4-24-91
GIEFER, Pamela	Clerk Typist 2 Complaint Review Unit Secretary	5-15-91
HAYES, Patricia	Clerk Typist 3 Licensure Specialist	2-27-89
HAAS, Mary	Commerce Consumer Liasion Medical Regulations Analyst	2-17-88

HOFFMAN, Jeanne	Commerce Investigator, Sr. Licensure Coordinator	4-1-87
JONES, Lisa	Clerk Typist 1 Temporary	12-20-90 to 1-3-91
KAUPPILA, Lois	Office Services Supervisor 2 Office Manager	11-25-85
LEACH, Robert	Commerce Investigator, Sr. Medical Regulations Analyst	1-20-88
LONG, Sharon	Commerce Investigator Medical Refulations Analyst	9-27-89 to 4-9-91
LORSUNG, Denise	Clerk Typist 3 Licensure Specialist	4-15-87
LOUGHREN, John	Asst. Executive Director	6-17-92
MARCZEWSKI, William	Commerce Investigator Medical Regulations Analyst	2-3-88
MARTINEZ, Ruth	Commerce Investigator Medical Regulations Analyst	1-20-88
MAY, Karen	Clerk Typist 2 Complaint Review Unit Secretary	9-26-90 to 2-15-91
MESSERVEY, Betty	Clerk Typist 2 Executive Director's Secretary	6-16-86
MILLA, Debra	Accounting Technician	12-4-91
MORPHEW, Paula	Commerce Investigator Medical Regulations Analyst	9-12-90 to 8-11-91
NELSON, Kevin	Student Worker	7-5-90 to 3-1-91
ORR, Marcella	Clerk Typist 2 Certification Secretary	9-27-78 to 10-11-90
PATRIKUS, Helen	Commerce Investigator Medical Regulations Analyst	10-23-91
PAULSON, Vicky	Executive 1 Discipline Coordinator	5-2-84
PREBLE, Stephanie	Commerce Investigator Medical Regulations Analyst	10-9-91
PRIBULA, Karen	Clerk Typist 1 Temporary	7-9-90 to 8-2-90

SLATER, Chad	Student Worker	6-19-91 to 1-3-92 5-6-92
THOMPSON, Eva	EDP Assistant Computer Assistant	4-8-92
WALKER-SINGELTON Pauline	Commerce Investigator, Supv. Supervisor Complaint Review Unit	11-3-86
WILLSON, Timothy	Data Processing Coordinator Computer Specialist	1-3-90

#### BOARD OF MEDICAL PRACTICE

Clause g: LIST THE NUMBER OF PERSONS HAVING EACH TYPE OF LICENSE AND REGISTRATION ISSUED BY THE BOARD AS OF JUNE 30, 1992 (IN THE YEAR OF THE REPORT)

TYPE OF LICENSE/REGISTRATION	TOTAL # IN EFFECT
Medicine and Surgery	14,408
Osteopathy	3
Physical Therapy	2,583
Physician Assistant	179
Professional Medical Corporation	644

#### Clause a: GENERAL STATEMENT OF BOARD ACTIVITIES

The Minnesota State Board of Medical Practice has been given the following responsibilities:

#### 1. Licensure to Practice Medicine and Surgery

<u>Basi</u>	<u>.s</u>	<u>Licenses</u> FY 91	Issued FY 92
Α.	FLEX written examination	34	41
В.	FLEX examination other state	151	162
c.	Reciprocity	44	30
D.	Endorsement of National Board of		
	Medical Examiners	520	617
<b>E</b> .	Endorsement of National Board of		
	Osteopathic Examiners	8	15
F.	Endorsement of Medical Council		
	of Canada	<u>16</u>	<u> 19</u>
	TOTAL	773	884

#### A. FLEX Written Examination

The FLEX examination, a three day examination, was adopted by the Board in December, 1971 and is the examination used by all states within the United States. It is also used by Puerto Rico, the District of Columbia and the Province of Saskatchewan.

The FLEX score of 75.0 on each part is the passing score used by all states, including Minnesota. The examination is given in June and December. This examination is in two parts, each 1 1/2 days long.

#### B. FLEX Examination Other States

The Board has authorized the office to accept applications on the basis of FLEX examination taken in other states in lieu of taking our written examination of applying on the basis of reciprocity. Many of these applicants are international medical school graduates.

#### C. Reciprocity

Minnesota has reciprocity with all other states having examination requirements equal to or greater than Minnesota's. This means Minnesota will accept exam scores from other states, but does not quarantee licensure.

#### D. Endorsement of National Board of Medical Examiners

One normally becomes a Diplomate of the National Board of Medical Examiners by taking Day 1 (basic science subjects), as a second

year medical student, Day 2 (clinical science subjects), as a fourth year medical student and Day 3, (clinical competence), as a first year resident. This is the basis on which most United States graduates and many Canadian graduates are licensed in Minnesota.

#### E. Endorsement of National Board of Osteopathic Examiners

Since the Board of Osteopathy was merged with the Board of Medical Examiners in 1963, the only license the Doctor of Osteopathy can obtain in Minnesota is the license to practice medicine and surgery; the same license as issued to the Doctor of Medicine. They may apply on the basis of reciprocity, examination or the National Board of Osteopathic Examiners.

#### F. Endorsement of Medical Council of Canada

Minnesota Session Laws 1977, Chapter 7, authorized the Board to grant licenses to licentiates of the Medical Council of Canada.

#### G. SPEX Written Examination

Effective, August 1, 1991, the SPEX examination, a one day written examination, has been utilized by the Board to assure minimum competency of physician applicants who have not taken a licensing examination for ten years and are not currently specialty board certified.

#### 2. Endorsement of Certification to Other States

During the two-year period, July 1, 1990 to June 30, 1992, 302 Minnesota licentiates used their Minnesota license to obtain licensure by reciprocity in other states. In addition to the above endorsements, thousands of certifications are made to the Drug Enforcement Administration, hospitals, drug companies and so forth.

#### 3. Professional Corporations

During the two-year period, July 1, 1990 to June 30, 1992, 51 new corporations were formed, bringing the total of corporations formed since the original law was passed in 1951 to 1357. The number of corporations registered is 644, as of June 30, 1992.

#### 4. Temporary Licenses

A temporary license to practice medicine can be issued until the next Board meeting if all requirements for a full licensure have been met. From July 1, 1990 to June 30, 1992, 704 temporary permits were issued.

#### 5. Physical Therapy

Physical therapists have been registered in Minnesota since 1953. Physical therapists may be registered on the basis of having passed the Assessment Systems, Inc. (ASI) exam, the Professional

Examination Service (PES) examination or the American Registry of Physical Therapy Examination (no longer available). The number granted registration is as follows:

FY 91 197 FY 92 <u>178</u> TOTAL 375

#### 6. Physician Assistants

Physician assistants have been registered in Minnesota since 1987. Current regulations dictate that physician assistants may be registered on the basis of having graduated from an accredited program and passed the National Commission on Certification of Physician Assistants, Inc. (NCCPA) exam. Effective March 9, 1992, rules were adopted allowing physician to delegate authority to physician assistants to prescribe and administer drugs. Physician assistants practice under a supervisory agreement with a supervising physician(s). The number granted registration is as follows:

FY 91 35 FY 92 29 TOTAL 64

## PHYSICAL THERAPY ADVISORY COUNCIL MINNESOTA BOARD OF MEDICAL PRACTICE

Clause d: LIST OF COUNCIL MEMBERS WHO SERVED DURING FY 91 AND 92

- A. Number of Council members required by statute: 7
- B. The statutory length of term: 4 years

NAME	OCCUPATION	BEGINNING/ENDING DATES OF (RE)APPOINTMENT
Jackie Franklin	PT	2-10-89 to 1-7-91 1-7-91 to 1-2-95
Tom Garrett	PT	1-1-84 to 1-5-88 1-5-88 to 1-6-92 1-6-92 to 1-1-96
Kathy Fleischaker	PT	1-6-92 to 1-1-96
Judy Hawley	PTA	2-10-89 to 1-7-91 1-7-91 to 1-2-95
Trish Montgomery	PT	1-1-84 to 1-5-88 1-5-88 to 1-6-92
David Nash	MD	3-12-88 to 1-6-92 1-6-92 to 1-1-96
Glenn Oren	MD	9-10-88 to 1-7-91 1-7-91 to 6-1-92
Jack Schaaf	Public	1-1-80 to 1-7-91 1-7-91 to 1-2-95

## PHYSICIAN ASSISTANT ADVISORY COUNCIL MINNESOTA BOARD OF MEDICAL PRACTICE

Clause d: LIST OF COUNCIL MEMBERS WHO SERVED DURING FY 91 AND 92

- A. Number of Council members required by statute: 7
- B. The statutory length of term: 4 years

NAME	OCCUPATION	BEGINNING/ENDING DATES OF (RE)APPOINTMENT
Robert A. Adams	PA	1-5-87 to 1-7-91 1-7-91 to 1-2-95
Chester A. Anderson	MD	1-4-89 to 1-6-93
James Berry	Public	11-19-88 to 1-7-93
Brian Ebeling	MD	1-5-87 to 1-7-91 1-7-91 to 1-2-95
Mark Helgeson	PA	3-16-92 to 1-2-96
Vicki Jones	PA	10-31-90 to 1-6-92
Betty L. McCollum	Public	1-4-88 to 1-6-92
Stephen C. Wandersee	PA	1-5-87 to 1-7-91 1-7-91 to 1-2-95

### BOARD OF MEDICAL PRACTICE

Clause h: ADMINISTRATION OF EXAMINATION BY BOARD

EXAMINATION: FLEX

LOCATION	TYPE OF LICENSE	DATES	EXAM TYPE
St. Louis Park, MN	Medicine & Surgery	Dec 4,5, 6, 1990	Written
St. Louis Park, MN	Medicine & Surgery	Jun 11,12 13, 1991	Written
St. Louis Park, MN	Medicine & Surgery	Dec 3,4, 5, 1991	Written
St. Louis Park, MN	Medicine & Surgery	Jun 16,17 18, 1992	Written

EXAMINATION: SPEX

LOCATION	TYPE OF LICENSE	DATES	EXAM TYPE
St. Paul, MN	Medicine & Surgery	3-14-91	Written
St. Paul, MN	Medicine & Surgery	6-13-91	Written
St. Paul, MN	Medicine & Surgery	9-12-91	Written
St. Paul, MN	Medicine & Surgery	12-5-91	Written
St. Paul, MN	Medicine & Surgery	3-19-92	Written
St. Paul, MN	Medicine & Surgery	6-18-92	Written

EXAMINATION: Assessment Systems, Inc.

LOCATION	TYPE OF REGISTRATION	DATES	EXAM TYPE
Minneapolis, MN	Physical Therapy	7-2-90	Written
St. Paul, MN	Physical Therapy	11-2-90	Written
Rochester, MN	Physical Therapy	2-28-91	Written
Minneapolis, MN	Physical Therapy	7-25-91	Written
St. Paul, MN	Physical Therapy	11-7-91	Written
Rochester, MN	Physical Therapy	3-5-92	Written

#### Clauses i, j, k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

#### TYPE OF LICENSE/REGISTRATION: FLEX Minnesota

				FY	199	91							FY	199	2						F	Y 1991	and	199	2		
AGE							N	TOI								N	TOI								N	TO	
GROUP	EXA	MINE	D	LIC/	REGI	S	LIC/	REGI	S	EXA	MINE	D	LIC/	REGI	S	LIC/	'REGI	S	EXA	MINE	D	LIC/	REGI	S	LIC/	REGI	.s
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	М	F	T
UND18	0	1	1	0	<b></b> -	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	. <b>-</b>	0	0	0
18-25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
26-34	15	7	22	15	7	22	0	0	0	20	8	28	20	8	28	0	0	0	35	15	50	35	15	50	0	0	0
35-59	5	4	9	5	4	9	0	0	0	12	0	12	12	0	12	0	0	0	17	4	21	17	4	21	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	- 0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	20	12	32	20	12	32	0	0	0	32	8	40	32	8	40	0	0	0	52	20	72	52	20	72	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total 063 038 100 063 038 100 000 000 100 080 020 100 080 020 100 000 000 100 072 028 100 072 028 100 000 000 100

#### Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

#### TYPE OF LICENSE/REGISTRATION: FLEX Minnesota

				F	199	1							FY	199	2						F	Y 1991	and	1 199	2		
AGE							N	TO								N	TO								N	TO	
GROUP	EXA	MINE	ED	LIC	'REGI	S	LIC/	REGI	S	EXA	MINE	:D	LIC/	REGI	S	LIC/	REG1	S	EXA	MINE	:D	LIC/	REG1	rs.	LIC/	/REGI	S
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	М	F	T	M	F	T
UND18	o	0	. <b></b>	0	0	o	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
26-34	1	1	2	1	1	2	0	0	0	1	0	1	1	0	1	0	0	0	2	1	3	2	1	3	0	0	0
35-59	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	1	1	2	1	1	2	0	0	0	1	0	1	1	0	1	0	0	0	2	1	3	2	1	3	0	0	0

#### Calculate % of Male and % of Female to the total of each Category

#### Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents by state only who were (1) examined (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

#### TYPE OF LICENSE/REGISTRATION: FLEX Minnesota

				FY	199	1							FY	199	2						F	Y 1991	and	199	2		
							N	TOI								N	TO								N	TOI	
STATE	EXA	MINE	D.	LIC/	REGI	S	LIC/	'REGI	s	EXA	MINE	ED	LIC/	REGI	S	LIC/	REGI	[S	EXA	MINE	D	LIC/	REGI	S	LIC/	REGI	s
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
MD	1	O	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	1	0	1	0	0	0
OH	0	1	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0
TX	0	0	0	0	0	0	0	0	0	1	0	1	1	0	1	0	0	0	1	0	1	1	0	1	0	0	0
Totals	1	1	2	1	1	2	0	0	0	1	0	1	1	0	1	0	0	0	2	1	3	2	1	3	0	0	0

Calculate % of Male and % of Female to the total of each Category

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

Total Number of Persons Not Taking Exams and Granted Licenses or Registration 151 162 313
Total Number of Persons Not Taking Exams and Denied Licenses or Registration 1 0 1

Type of License Registration				AGE G						Method of Lic/Regis			
	Res.					60-65	66+			LIO/ NOGIO	Grant	Deny	
Physicians		0	0	1	0	0	0	1	0	FLEX	1	0	·
-	AR	0	0	1	0	0	0	1	0		1	0	
	ΑZ	0	0	0	1	0	0	1	0		1	0	
	BC	0	0	0	1	0	0	1	0		1	. 0	
	CA	0	0	1			0	3	1		4	0	
	FL	0	0	1	2		0	3	. 0		3	0	
	IA	0	0				0	8	2		10	0	
	IL	0	0				0	3	2		5	0	
	IN	0	0				0	2	0		2	0	
	KS	0	0	1	0	0	0	. 0	1		1	0	
	KY	0	0	0	2	0	0	2	0		2	0	
	LA	0	0				0	2	1		3	0	
	MA	0	0			0	0	2	0		-2	1	
	MD	0	0	0	1	0	0	0	1		1	0	
	MI	0	0		0	_	0	0	1		1	0	
	MN	. 0	0				0	170	51		221	0	
	MO	0	0	0	1	0	0	1	0		1	0	
	MT	0	0		_	0	0	1	0		1	0	
	ND	0	0			_	0	5	5		10	0	
	NH	. 0	0				0	0	1		1	0	
	NJ	0					0	2	0		2	0	
	NV	0	0			_	0	1	0		1	0	
•	NY	0	0				0	4	2		6	0	
	OH	0	0				0	2	0		2	0	
	OR	0	0	0	1	0	0	1	0		1	0	

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1991	FY 1992	1991 and 1992
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	151	162	313
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	1	0	1

Type of License Registration	State of			AGE G	ROUP			Gend		- · /- ·			
	Res.	0-18	18-25	26-34	35-59	60-65	66+	M	F		Grant	Deny	
Physicians	PA	0	0	1	. 3	0	0	 3		FLEX	<b></b>	0	
_	RI	0	0	0	1	0	0	1	0		1	. 0	
	SD	0	0	5	6	0	0	11	0		11	0	
	TX	0	0	1	1	0	0	2	0		2	0	
	UT	0	0	1	0	0	0	1	0		1	0	
	VA	0	0	0	1	0	0	0	1		1	0	
	WI	0	0	5	3	0	0	7	1		8	0	
	WV .	0	0	0	1	0	0	1	0		1	. 0	

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1991	FY 1992	1991 and 1992
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	16	19	35
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

Type of License Registration	State of			AGE G	ROUP			Gend		Method of Lic/Regis			
Nog1001u01on	Res.	0-18	18-25	26-34	35-59	60-65	66+	M			Grant	Deny	
Physicians		0	0	0	1	0	0	1	0	LMCC	1	0	 
_	IA	0	0	0	0	1	0	1	0		'n	0	
	MB	0	0	2	2	0	0	3	1		4	0	
	MN .	. 0	3	16	5	0	0	19	5		24	0	
	NC	0	0	1	0	0	0	1	0		1	0	
	ND	0	0	0	1	0	0	1	0		1	0	
	ON	0	1	1	0	0	0	2	0		2	0	
	ТX	0	0	0	0	1	0	1	0		1	0	

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

Total Number of Persons Not Taking Exams and Granted Licenses or Registration 519 617 1136
Total Number of Persons Not Taking Exams and Denied Licenses or Registration 0 3 3

Type of License Registration				AGE G						Method of Lic/Regis			
Registration	Res.					60-65					Grant	Deny	
Physicians		0	0	2	1	0	0	2	1	NBME	3	0	
-	AK	0	0	1	0	0	0	1	0		1	0	
	AR	0	0	1	0	0	0	1	0		1	. 0	
	AZ	0	0	6			1	6	4		10	0	
	CA	0	0	7	5	2	0	11	3		14	0	
	co	0	0	1	0	0	0	0	1		1	0	
	CT	0	0	2	0	1	1	4	0		4	0	
•	DC	0	0	1	0	0	0	1	0		1	0	•
	DĒ	0	0	0	1	0	0	1	0		1	0	
	FL	0	0	4	4	0	0	. 7	1		8	0	
	GA	0	0	_		1	0	2	0		2	0	
	HI	0	0	_		0	0	1	0		1	0	
	IA	0	0	_			0	5	3		8	0	
	IL	0	0	4	4	0	0	5	3		8	0	
	IN	0	0	1	. 0	0	0	1	0		1	0	
	KS	0	0	1	0	_	0	1	0		1	1	
•	LA	0	0			0	1	1	1		2	. 0	
	MA	0	0	_		_	0	3	0		3	0	
	MB	0	0	0	1	0	0	1	0		1	0	
	MD	1	0			0	0	2	0		2	0	
	ME	0				_	0	0	1		1	0	
	MI	0				_	1	10	2		12	0	
•	MN	2					0	646	237		88,3		
	MO	0				_	0	2	1		3		
<b>7</b> 0	MS	0	0	0	) 1	. 0	0	1	0		1	. 0	

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

Total Number of Persons Not Taking Exams and Granted Licenses or Registration 519 617 1136
Total Number of Persons Not Taking Exams and Denied Licenses or Registration 0 3 3

Type of License Registration										Method of Lic/Regis			
	Res.					60-65		M		HIC/REGIS	Grant	Deny	
Physicians	NC	0	0	6	3	0	0	4	5	NBME	9	0	
	ND	1	0	13	20	1	0	28	7		35	0	
	NE	0	0	2	2	0	0	3	1		4	0	
	NJ	0	0	1	1	0	0	2	0		2	0	
	NM	. 0	0	1	0	. 0	0	1	0		1	0	
	NV	0	0	0	1	0	0	1	0		1	0	
	NY	0	0	2	3	0	1	6	0		6	0	
	OH	0	0	6	0	0	0	5	1		6	0	
	ON	0	0	0	2	0	0	2	0		2	0	
	OR	0	0	1	1	0	1	. 3	0		3	0	
	PA	0	0	2	2	0	0	4	0		4	0	
	PR	0	0	1	0	0	0	1	0		1	0	
	RI	0	0	1	1	0	0	1	1		2	0	
	SC	0	0		_	0	0	1	1		2	2	
	SD	0	0			0	0	14	5		19	0	
	TN	0	0	2	1	0	0	2	1		3	0	
	ТX	0	0	5	3	0	0	6	2		8	0	
	UT	0	0	1	1	1	0	3	0		3	0	
	VA	0	0	2	1	0	0	2	1		3	0	
	VI	0	0	1	1	0	0	1	1		2	0	
	WA	0	0	4	1	0	0	4	1		5	0	
	WI	0	0	25	17	0	0	27	15		42	0	

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1991	FY 1992	1991 and 1992
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	8	15	23
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

Type of License Registration	State of			AGE G	ROUP			Gend		Method of Lic/Regis			
,	Res.	0-18	18-25	26-34	35-59	60-65	66+	M			Grant I	Deny	
Physicians	FL	0	0	0	1	0	0	1	0	NBOE	1	0	 
_	GA	0	0	. 1	0	0	0	1	0		1	0	
	IA	. 0	0	1	2	0	0	3	0		3	0	
	IL	0	0	1	0	0	0	1	0		1	0	
	MI	0	0	0	1	. 0	0	0	1		1	0	
	MN	0	0	6	7	0	0	10	3		13	0	
	ОН	0	0	2	0	0	0	1	1		2	0	
	SD	0	0	1	0	0	0	1	0		1	0	

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

Total Number of Persons Not Taking Exams and Granted Licenses or Registration 44 30 74
Total Number of Persons Not Taking Exams and Denied Licenses or Registration 1 1 2

Type of License Registration	State of			AGE G	ROUP			Gend	er 	Method of Lic/Regis				
	Res.	0-18	18-25	26-34	35-59	60-65	66+	M	F		Grant	Deny	 	 
Physicians	AL						0	1		STATE	1	0	 	 
	ΑZ	0	0	0			1	2	0		2	0		
	CA	0	0	0			0	5	0		5	0		
	co	0	0				0	0	1		1	0		
	FL	0	0	0			0	1	0		1	0		
	GA	0	0	0			0	1	0		1	0		
	IA	0	0	-			0	1	0		1	0		
	ID	0	0				1	2	0		2	0		
	IL	0					1	3	0		3	0		
	IN	0	0				0	. 1	0		1	0		
	KS	0	_	_		<del>-</del>	0	1	0		1	0		
	KY	0	0				0	1	0		1	0		
	MN	0					3	24	3		27	0		
	MO	0	0				0	0	0		0	1		
	NC	0	_	_			0	1	0		1	0		
	ND	0	_				0	4	0		4	0		
	NE	0	_				1	2	0		2	0		
	NJ	0	0	_		_	0	1	0		1	0		
	NY	0	-	-		_	0	1	0		1	0		
	OR	0		_			0	3	1		4	0		
	PA	0	_				0	2	1		3	0		
	SD	0	_	_	_		0	2	0		2	0	•	
	ТX	0	_	-			1	2	0		2	0		
	VA	0	_	_			0	1	1		2	0		
	WA	0	0	0	0	0	1	1	0		1	0		

Clause 1:	The Number of Persons No	ot Taking	Examinations	Who We	e Licensed	or	Registered by	the	Board	or	Who	Were	Denied
	Licensing or Registration	on.											

	FY 1991	FY 1992	1991 and 1992
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	44	30	74
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	1	1	2

Type of Li Registration				AGE G						Method of Lic/Regis		
	Res.	0-18	18-25	26-34	35~59	60-65	66+	М	F	· -	Grant	Deny
Physicians	WI	0	0	0	1	2	1	4	0	STATE	4	1

#### Clauses i, j, k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

#### TYPE OF LICENSE/REGISTRATION: Physical Therapist

				FY	199	91							FY	199	2						F	Y 199	l and	d 199	2		
AGE							N	TO								N	TO								N	TO	
GROUP	EXA	MINE	D	LIC/	REG:	[S	LIC/	REGI	S	EXA	MINE	ED	LIC/	REG1	S	LIC/	'REGI	S	EXF	MIN	ED	LIC	/REG	IS	LIC/	REGI	s
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	 1	0	 1	1	0	 1	0	0	0	 1	0	 1	1	0	1	0	0	0
18-25	9	52	61	9	52	61	0	0	0	9	51	60	9	51	60	0	0	0	18	103	121	18	103	121	0	0	0
26-34	13	29	42	13	29	42	0	0	0	11	23	34	11	22	33	0	1	1	24	52	76	24	51	75	0	1	1
35-59	0	7	7	0	7	7	0	0	0	3	5	8	3	5	8	0	0	0	3	12	15	3	12	15	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	22	88	110	22	88	110	0	0	0	24	79	103	24	78	102	0	1	1	46	167	213	46	166	212	0	1	1

#### Calculate % of Male and % of Female to the total of each Category

Total 020 080 100 020 080 100 000 000 100 023 077 100 024 076 100 000 100 100 022 078 100 022 078 100 000 100 100

#### Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

#### TYPE OF LICENSE/REGISTRATION: Physical Therapist

				FY	199	1							FY	199	2						F	Y 1991	and	199	2		
AGE							N	OT				•					TOI									TO	
GROUP	EXA	MINE	D	LIC/	REGI	S	LIC/	REGI	S	EXA	MINE	D	LIC/	REGI	S	LIC/	REGI	S	EXA	MINE	D	LIC/	REGI	S	LIC/	REGI	S
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	М	F	T
UND18	0	0	0	0	0	0	0	0	0	<del>-</del>	0	0	0	0	0	0	0	0	o	0	0	0 .	0	0	0	0	0
18-25	1	17	18	1	17	18	0	0	0	2	10	12	2	10	12	0	0	0	3	27	30	3	27	30	0	0	0
26-34	2	4	6	2	4	6	0	0	0	1	3	4	1	3	4	0	0	0	3	7	10	3	7	10	0	0	0
35-59	2	0	2	2	0	2	0	0	0	0	1	1	0	1	1	0	0	0	2	1	3	2	1	3	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	o ·	0	0	0	0	Ò	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	5	21	26	5	21	26	0	0	0	3	14	17	3	14	17	0	0	0	8	35	43	8	35	43	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total 019 081 100 019 081 100 000 000 100 018 082 100 018 082 100 000 000 100 019 081 100 019 081 100 000 000 100

#### Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents by state only who were (1) examined and either (2) Licensed/Registered or (3) Not Licensed/Registered after being examined for the type of License/Registration noted. Use a separate page for each type of license or registration.

#### TYPE OF LICENSE/REGISTRATION: Physical Therapist

				FY	199	91							FY	199	2						F	Y 1991	and	199	2		
							N	TO								N	TOT								N	TO	
STATE	EXA	MINE	D	LIC/	REG1	S	LIC/	REGI	S	EXA	MINE	D	LIC/	REGI	S	LIC/	'REGI	S	EXA	MINE	D	LIC/	REGI	S	LIC/	REGI	S
	М	F	T	M	F	T	M	F	T	M	F	T	M	F	T	М	F	T	M	F	T	M	F	T	M	F	T
	0	<b></b>	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0	- <b>-</b>	1	1	 0	1	1	0	0	0
CO	1	2	3	1	2	3	0	0	0	0	0	0	0	0	0	0	0	0	1	2	3	1	2	3	0	0	0
FL	0	1	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0
IA	0	2	2	0	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2	0	2	2	0	0	0
ID	2	0	2	2	0	2	0	0	0	0	0	0	0	0	0	0	0	0	2	0	2	2	0	2	0	0	0
IL	0	0	0	. 0	0	0	0	0	0	0	1	1	0	1	1	0	0	0	0	1	1	0	1	1	0	0	0
ND	1	5	6	1	5	6	0	0	0	1	1	2	1	1	2	0	0	0	2	6	8	2	6	8	0	0	0
NE	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0	0	1	1	0	1	1	0	0	0
ON	0	0	0	0	0	0	0	0	0	0	2	2	0	2	2	0	0	0	0	2	2	0	2	2	0	0	0
SD	0	3	3	0	3	3	0	0	0	2	4	6	2	4	6	0	0	0	2	7	9	2	7	9	0	0	0
UT	0	1	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0
WA	0	1	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0
WI	1	5	6	1	5	6	0	0	0	0	4	4	0	4	4	0	0	0	1	9	10	1	9	10	0	0	0
WY	0	1	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	0	0
Totals	5	21	26	5	21	26	0	0	0	3	14	17	3	14	17	0	0	0	8	35	43	8	35	43	0.	0	0

Calculate % of Male and % of Female to the total of each Category

Total 019 081 100 019 081 100 000 000 100 018 082 100 018 082 100 000 000 100 019 081 100 019 081 100 000 000 100

Clause 1: The Number of Persons Not Taking Examinations Who Were Licensed or Registered by the Board or Who Were Denied Licensing or Registration.

	FY 1991	FY 1992	1991 and 1992
Total Number of Persons Not Taking Exams and Granted Licenses or Registration	61	59	120
Total Number of Persons Not Taking Exams and Denied Licenses or Registration	0	0	0

Type of License Registration	State of			AGE G	ROUP			Gend		Method of Lic/Regis			
	Res.	0-18	18-25	26-34	35-59	60-65	66+	M			Grant	Deny	
Physical Therapist	:	0	0	0	- <b></b> -	0	0	0.	1	ASI/PES	1	0	
-	AZ	0	0	1	0	0	0	1	0	•	1	0	
	CA	0	1	5	0	0	0	2	4		6	0	
	FL	0	0	0	1	0	0	1	0		1	0	
	IA	0	0	1	0	0	0	1	0		1	0	
	IL	0	0	0	1	0	0	0	1		1	0	
	IN	0	0	0	1	0	0	1	0		1	0	
	MA	0	1	0	0	0	0	0	1		1	0	
	MB	0	0	0	1	0	0	0	1		1	0	
	MN	0	15	51	17	0	0	19	64		83	0	
	MO	0	0	1	0	0	0	1	0		1	0	
	ND	0	1	5	0	0	0	3	3		6	0	
	NY	0	0	1	1	0	0	1	1		2	0	
	OR	0	0	1	0	0	0	0	1		1	0	
	SC	0	0	1	0	0	0	0	1		1	0	
	SD	0	1	1	. 0	0	0	1	1		2	0	
	ТX	0	0	1	. 0	0	0	0	1		1	0	
	VA	0	0	1	. 1	0	0	0	2		2	0	
	WI	0	2	3	. 2	0	0	3	4		7	0	

#### Clauses i, j, k: PHYSICIAN ASSISTANTS TYPE OF LICENSE/REGISTRATION

List the number of Physician Assistants that were Licensed/Registered.

#### TYPE OF LICENSE/REGISTRATION: Physician Assistants

				FY	199	1							FY	199	2						F	Y 1991	and	1 199	2		
AGE							N	TOI								N	TOI								N	TO	
GROUP	EXA	MINE	ED	LIC/	REG1	S	LIC/	'REGI	S	EXA	MINE	D	LIC/	REGI	S	LIC/	REG1	S	EXA	MINE	ED	LIC/	'REGI	S	LIC/	REG]	S
	M	F	T	M	F	T	M	F	T	М	F	T	М	F	T	М	F	T	M	F	T	M	F	T	М	F	T
UND18	<del>_</del> _	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	<u>-</u>	0	0	o	- <b></b> -	<del>-</del> -	0	0	0
18-25	0	0	0	0	1	1	0	0	0	0	0	0	0	3	3	0	0	0	0	0	0	0	4	4	0	0	0
26-34	0	0	0	4	10	14	0	0	0	0	0	0	6	5	11	0	0	0	0	0	0	10	15	25	0	0	0
35-59	0	0	0	14	5	19	0	0	0	0	0	0	7	8	15	0	0	0	0	0	0	21	13	34	0	0	0
60-65	0	0	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0,	0	0	0	0	0	0	0	0	0	0	0	0
Totals	0	0	0	19	16	35	0	0	0	0	0	0	13	16	29	0	0	0	0	0	0	32	32	64	0	0	0

Calculate % of Male and % of Female to the total of each Category

#### Clauses i, j, k: RESPIRATORY CARE PRACTITIONERS TYPE OF LICENSE/REGISTRATION

List the number of Respiratory Care Practitioners that were Licensed/Registered.

#### TYPE OF LICENSE/REGISTRATION: Respiratory Care Practitioners

				FY	199	1							FY	199	2						F	Y 1991	and	1 199	2		
AGE							Ŋ	TOI								N	TOI								N	TO	
GROUP	EXA	MINE	ED	LIC/	'REGI	S	LIC/	'REGI	s	EXA	MINE	D	LIC/	'REGI	S	LIC/	'REGI	S	EXA	MINE	ED	LIC/	REG1	[S	LIC/	/REGI	S
	M	F	T	М	F	T	М	F	T	M	F	T	М	F	T	М	F	T	M	F	T	M	F	T	M	F	T
UND18	0	0	0	0	0	0	0	0	0	o	0	0	0	0	0	0	0	0	o	0	0	o	0	 0	0	0	0
18-25	0	0	0	0	0	0	0	0	0	0	0	0	O	0	0	0	0	0	0	0	0	0	0	0	0	0	0
26-34	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
35-59	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
60-65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
66+	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Calculate % of Male and % of Female to the total of each Category

Total 000 000 100 000 000 100 000 000 100 000 000 100 000 000 100 000 000 100 000 000 100 000 000 100 000 000 100

Clause m: Persons previously licensed or registered by the Board whose licenses or registrations were revoked, suspended, or otherwise altered in status, with brief statements of the reasons for the revocation, suspension, or alteration.

Status Change totals for the biennial period:

Status Change Type	<u>FY91</u>	FY92	<u>Total</u>
Amended Order	1	<u> </u>	6
Emeritus Registration	0	0	0
Prohibition	1	1	2
Reinstatement	20	13	33
Reprimand & Civil Penalty	0	1	1
Reprimand & Civil Penalty	12	12	24
Restriction	27	28	55
Revocation	1	3	4
Surrender	6	6	12
Suspension	6	13	19
Total	74	82	156

Clause n: List the number of complaints and other communications received by the Executive Director, each Board Member, employee, or other person performing services for the Board.

Complaints that allege or imply a violation of a statute or rule which the Board is empowered to enforce. These totals <u>include</u> cases referred to the Attorney General's staff who are assigned to assist your Board:

#### Jurisdictional complaints:

	<u>FY91</u>	<u>FY92</u>	<u>Total</u>
Written	943	1,156	2,099
Oral			
Total	943	1,156	2,099

Complaints which are forwarded to other agencies as required by M.S. 214.10:

	<u>FY91</u>	<u>FY92</u>	<u>Total</u>
Written	40	31	71
Oral	0	0	0
Total	40	31	71

Please indicate the number of complaints referred to each other governmental agency (Federal, State, and Local) in each fiscal year:

Agency	FY91	FY92	<u>Total</u>
Board of Chiropractors	8	3	11
Board of Dentistry	1	2	3
Board of Nursing	14	9	23
Board of Optometry	3	3	6
Board of Podiatry	2	1	3
Board of Psychology	7	5	12
Health Department	1	2	3
OHFC	4	3	7
Unlisted		3	3
Total	40	31	71

Clause o: Summarize, by specific category, the substance of the complaints and communications referred to in clause (n) of M.S. 214.07 and, for each specific category, the resources and dispositions thereof pursuant to M.S. 214.10 and 214.11 (indicate authority/citations for dispositions).

(Dispositions occurring during this period of complaints and communications received prior to July 1, 1990, and complaints and communications received, but not disposed, as of June 30, 1992 should be included.)

Summary of complaints and communications by specific category (Give number in each specific category. Individual complaints may involve more than one category.):

Type	FY91	FY92	<u>Total</u>
Access to Medical Records (A)	5	13	18
Acting outside the Scope of Licensure (OS)	1	17	18
Billing Practice (BP)	29	31	60
Chemical Dependency (CD)	52	59	111
Competency (C)	5	50	55
Ethics (E)	118	76	194
Failure to Report (Reporting Obligation) (RO)	53	14	67
False/Misleading Advertising (FA)	13	13	26
Fee Splitting (FS)	8	2	10
Fraud (F)	5	2 3	8
Improper Management of Medical Records (IM)	36	6	42
Inappropriate Delegation (D)	. 9	11	20
License Disciplined in Another State (LD)	37	48	85
Mental Competency (MC)	6	5	11
Non-Jurisdictional (X)	33	13	46
Practicing without License/Registration (W/O)	25	15	40
Prescribing Practices (P)	131	170	301
Questionable License/Registration (L)	24	31	55
Questions/Concerns regarding Hospital Privileges (H)	7	18	25
Sexually Inappropriate Acts (S)	78	66	144
Taxes Delinquent (T)	1	4	5
Unprofessional Conduct (UP)	861	718	1579
Violation of Stipulation and Order (V)	14	12	26
Total	1551	1395	2946

Summary of complaints and communications by specific source:

Source	FY91	FY92	<u>Total</u>
Anonymous (AN)	4	0	4
Board of Medical Practice (BMP)	227	341	568
Enforcement Agency (EA)	80	78	158
Family Member of Patient (FM)	136	116	252
Insurance Company (IN)	0	6.	6
Office of Health Facility Complaints (OHFC)	51	47	98
Patient (PT)	357	346	703
Reporting Obligation (Licensed Health Professionals) (RO)	187	201	388
Third Party (Except Licensed Health Professional) (TP)	19	82	101
Total	1061	1217	2278

Status change details for the biennial period (Cases are M.D. licensees, unless otherwise noted. See Clause o for explanation of abbreviations.):

Care	Pensan(a) for Status Change	Status Change
<u>Case</u>	Reason(s) for Status Change	Status Change
1	Compliance with Order (CD)	Amended Order
2		Amended Order
3	Compliance with Order (CD)	Amended Order
4	Compliance with Order (IM,UP,E)	Amended Order
5	Compliance with Order (CD)	Amended Order
6	Compliance with Order (P)	Amended Order
7	ID _	Prohibition
8 (no license)	W/O	Prohibition
9	Compliance with Order (CD)	Reinstatement
10	Compliance with Order (CD)	Reinstatement
11	Compliance with Order (CD)	Reinstatement
12	Compliance with Order (CD)	Reinstatement
13	Compliance with Order (CD)	Reinstatement
14	Compliance with Order (CD)	Reinstatement
15		
	Compliance with Order (CD)	Reinstatement
16	Compliance with Order (CD)	Reinstatement
17	Compliance with Order (CD)	Reinstatement
18	Compliance with Order (CD)	Reinstatement
19	Compliance with Order (P)	Reinstatement
20	Compliance with Order (P)	Reinstatement
21	Compliance with Order (UP)	Reinstatement
22	Compliance with Order (UP)	Reinstatement
23	Compliance with Order (UP)	Reinstatement
24	Compliance with Order (P)	Reinstatement
25	Compliance with Order (P)	Reinstatement
26	Compliance with Order (P)	Reinstatement
27	Compliance with Order (P)	Reinstatement
28	Compliance with Order (P)	Reinstatement
29		
	Compliance with Order (CD)	Reinstatement
30	Compliance with Order (P)	Reinstatement
31	Compliance with Order (UP)	Reinstatement
32	Compliance with Order (P)	Reinstatement
33	Compliance with Order (CD)	Reinstatement
34	Compliance with Order (P)	Reinstatement
35	Compliance with Order (UP,C)	Reinstatement
36	Compliance with Order (E,UP,IM,P)	Reinstatement
37	Compliance with Order (UP,P)	Reinstatement
38	Compliance with Order (E,UP,P)	Reinstatement
39	Compliance with Order (P)	Reinstatement
40	Compliance with Order (E,UP)	Reinstatement
41	Compliance with Order (S)	Reinstatement
42	E,UP,IM	Reprimand & Civil Penalty
43	Compliance with Order (CD)	Restricted (Suspension removed)
44	CD	Restriction
45		
	CD	Restriction
46	CD	Restriction
47	CD	Restriction
48	Illness	Restriction
49	Illness, CD	Restriction
50	Illness, MC	Restriction

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51
                Illness
                                                               Restriction
52
                CD
                                                               Restriction
53
                Illness, P
                                                               Restriction
54
                Illness
                                                               Restriction
55
                CD
                                                               Restriction
56
                CD
                                                               Restriction
                CD
                                                               Restriction
57
                Illness
                                                               Restriction
58
59
                CD
                                                               Restriction
60
                Illness, CD
                                                               Restriction
61
               Illness
                                                               Restriction
62
                CD
                                                               Restriction
63
               Illness, CD
                                                               Restriction
64
               Illness
                                                               Restriction
65
               Illness
                                                               Restriction
                Illness
                                                               Restriction
66
                                                               Restriction
67
                CD
                UP,P
                                                               Restriction
68
                CD
69
                                                               Restriction
70
                Illness
                                                               Restriction
                CD, P
                                                               Restriction
71
72
                CD
                                                               Restriction
                CD
                                                               Restriction (suspension removed)
73
74
                CD
                                                               Restriction
75
                                                               Restriction
                \mathbf{L}\mathbf{D}
                E, UP, CD, Illness
                                                               Restriction
76
                UP
                                                               Restriction
77
78
                E, UP, IM, P, S
                                                               Restriction
79
               \mathbf{L}\mathbf{D}
                                                               Restriction
80
                E, UP, IM, P
                                                               Restriction & Civil Penalty
                E, UP, IM, P
                                                               Restriction & Civil Penalty
81
82
               \mathbf{L}\mathbf{D}
                                                               Restriction
                                                               Restriction & Civil Penalty
83
                E, UP, IM, P
84
               LD, CD
                                                               Restriction
                E, UP, S, IM
                                                               Restriction & Civil Penalty
85
                E, UP, IM, P
                                                               Restriction & Civil Penalty
86
                                                               Restriction
87
                P
88
               E, UP, P
                                                               Restriction
89
               LD, E, UP
                                                               Restriction
                UP, E
                                                               Restriction & Civil Penalty
90
91
                                                               Restriction & Civil Penalty
                CD, Failure to cooperate with the
                             Board investigation
92
               UP, E, IM, S
                                                               Restriction & Civil Penalty
93
               UP, S
                                                               Restriction & Civil Penalty
                                                               Restriction & Civil Penalty
94
               UP, E, IM, S
95
               Compliance with Order (CD, P, RO)
                                                               Restriction (Suspension removed)
96
               E. UP
                                                               Restriction
97
               LD
                                                               Restriction
               E, UP, IM, P
E, UP, IM, P
98
                                                               Restriction & Civil Penalty
99
                                                               Restriction
                                                               Restriction & Civil Penalty
100
               E, UP, IM, P
                                                               Restriction & Civil Penalty
               E, UP, IM, P
101
               E, UP, IM, P
                                                               Restriction & Civil Penalty
102
103
               E, UP, P
                                                               Restriction
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104	E, UP	Restriction
105	UP, IM, P	Restriction
106	E, UP, IM	Restriction
		and the second s
107	E, UP, IM, P	Restriction
108	E, UP, IM	Restriction
109	E, UP, IM	Restriction & Civil Penalty
110	E, UP, IM	Restriction & Civil Penalty
111	UP, E	Restriction
112	E, UP, IM, P	Restriction & Civil Penalty
113	E, UP, IM	Restriction & Civil Penalty
114	UP, IM	Restriction
115	ΓD	Restriction
116	UP, E, P	Restriction & Civil Penalty
117	E, UP, P, S	Restriction & Civil Penalty
118	UP, E, P	Restriction & Civil Penalty
119	E, UP, P	Restriction & Civil Penalty
120	UP, E, CD, P	Restriction
121	E, UP, P,	Restriction & Civil Penalty
122	E, UP	Revocation
123	UP, E,P	Revocation
124	LD, E, UP, CD, P, S	Revocation
125	E, UP	Revocation
126	Illness	Surrender
127	Illness	Surrender
128	Illness	Surrender
129	Illness	Surrender
130	CD	Surrender
131	Illness	Surrender
132	Illness	Surrender
133	CD	Surrender
134	E, UP, P	Surrender
135	LD .	Surrender
136	RO, E, UP, S	Surrender
137	E, UP, IM	Surrender
138	CD	Suspension
139	MC	Suspension
140	CD	Suspension
141	CD.	Suspension
142	Illness, CD	
		Suspension
143	Illness	Suspension
144	Illness	Suspension
145	CD	Suspension
146	Violation of Stip & Order	Suspension
147	CD	Suspension
148	CD, RO	Suspension
149	Illness, CD, P, RO	Suspension
150		
	Violation of Stip & Order	Suspension
151	Violation of Stip & Order	Suspension
152	UP, E, S, P, IM	Suspension
153	ID	Suspension
154	Violation of Stip & Order	Suspension
155	TD.	Suspension
156	Violation of Stip & Order	Suspension
100	Fioration of Sup & Oraci	Suspension

Summary of responses and dispositions for each specific category (Give number in each specific category.):

	FY9	<u>1 FY92</u>	<u>Total</u>
Dismissed as non-jurisdictional	118	61	179
Dismissed but jurisdictional	578	464	1,042
In process	179	501	680
Referred to AGO	186	191	377
(Disciplinary Action taken*	74	82	156
(Referred to other agencies*	40	31	71)
Total	1,061	1,217	2,278

<sup>\*</sup>Secondary dispositions, not included in total.

Clause q: The following numbered cases are summaries of individual complaints that were pending on the biennial report for July 1, 1986 through June 30, 1988 involving allegations of sexual contact between a physician and a patient. These include the following in their respective numbered sections:

- 1. A listing of the allegation.
- 2. Findings of the investigation or a statement that the investigation is "in progress."
- 3. A list of the steps the Board has taken in acting on the case.
- 4. Statement of disposition.
- 5. Rationale for disposition.

# Case #1-#3

- 1. Allegation that physician engaged in sexual misconduct by inappropriately touching a patient.
- 2. Investigation substantiated the allegation.
- 3. Discipline Committee review, AGO investigation, Discipline Committee appearance.
- 4. License restricted.
- 5. Investigation substantiated allegations.

## Case #4

- 1. Allegation that physician sexually harassed and assaulted a patient who was also a former employee.
- 2. Investigation revealed a physician who denies making sexual advances toward this patient but admits there are times when he hugs and kisses his patients (specifically, at the time of childbirth).
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conferences.
- Dismissal.
- 5. Allegation was not substantiated and the physician completed Boundaries course.

#### Case #5-#6

- 1. Allegation that physician made inappropriate sexual advances toward one of his patients.
- 2. Investigation supported the allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review appearance is scheduled.
- 4. Pending.
- 5. N/A.

- 1. Allegation that physician was involved in a sexual relationship with a patient.
- 2. Investigation revealed a dispute of facts between the patient and the physician.
- 3. Discipline Committee review, AGO investigation, full Discipline Committee discussion, second full Discipline Committee discussion scheduled.
- 4. Pending.
- 5. N/A.

## Case #8-#10

1. Allegation that physician engaged in sexual misconduct by making sexual remarks to his patients in the office as well as during unannounced visits to the patients' homes. Some additional allegations are pending.

2. Investigation supported allegations, and physician is unwilling to cooperate with the investigation.

3. Discipline Committee review, AGO investigation, Notice and Order for Hearing before Administrative Law Judge, Contested Case hearing.

4. License Suspended.

5. Investigation substantiated allegations.

## Case #11-#17

1. Allegation that physician engaged in sexual misconduct by making sexual advances toward a patient through inappropriate touching. Two other patients allege physician failed to have a female attendant present during examinations, as required by physician's Order. Several other complaints of a variety of allegations are outstanding.

2. Investigation results are indeterminate.

3. Discipline Committee review, AGO investigation.

4. Dismissal.

5. Physician died.

#### Case #18

1. Allegation that physician is sexually involved with one of his patients.

2. Investigation supported the allegations.

3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.

4. License restricted.

5. Investigation supported the allegations.

Clause q: The following numbered cases are summaries of individual complaints filed with the Board or acted upon by the Board between July 1, 1988 and June 30, 1990 involving allegations of sexual contact between a physician and a patient. These include the following in their respective numbered sections:

1. A listing of the allegations.

- 2. Findings of the investigation or a statement that the investigation is "in progress."
- 3. A listing of the steps the Board has taken in acting on the case.

4. Statement of disposition.

5. Rationale for disposition.

# Case #1

1. Allegation that physician inappropriately touched a female patient during an examination.

2. Findings did not substantiate the allegations.

3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.

4. Dismissal.

5. Allegation not substantiated.

# Case #2-#5

1. Allegation of inappropriate sexual conduct with young males at a youth center, including inappropriate touching and language.

2. Investigation revealed that charges were without basis.

3. Discipline Committee review, AGO investigation, Complaint Review discussion.

4. Dismissal.

5. Allegations refuted by investigation.

- 1. Allegation that physician inappropriately touched a female patient during an examination.
- 2. Investigation results were indeterminate.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Dismissal.
- 5. Not enough evidence to support allegation.

#### Case #7-#8

- 1. Allegation that physician inappropriately touched and engaged in sexual intercourse with an adolescent female in medical setting.
- 2. Physician acknowledged having intercourse with patient, but denied any improper examinations.

He admitted trying to resume the relationship in 1988.

- 3. Discipline Committee review, AGO investigation, Complaint Review Committee, Mental and Physical exam ordered for evaluation purposes.
- 4. Pending.
- 5. N/A.

## Case #9-#12

- 1. Allegation that physician made inappropriate sexual advances toward a patient, including attempted sexual intercourse.
- 2. Investigation results were indeterminate.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee appearance.
- 4. Dismissal.
- 5. Lack of evidence and reliable witness.

# Case #13

- 1. Allegation that physician sexually harassed an employee and inappropriately touched the same during an examination.
- 2. Investigation revealed a dispute of facts between physician and complainant.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Dismissal.
- 5. Allegations not substantiated and physician completed Boundaries course.

# Case #14

- 1. Allegations that physician inappropriately touched patients and exposed himself to them.
- 2. The physician denies the allegations. The complainants, through their therapists, corroborate independently events of inappropriate touch and sexual contact.
- 3. Discipline Committee review, AGO investigation, Complaint Review Conference scheduled.
- 4. Pending.
- 5. N/A.

- 1. Allegation that physician inappropriately touched and made inappropriate comments to two daughters of the complainant during their sports physicals, and that there was no nurse present during these examinations.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegation that physician improperly touched a patient during an examination. The physician performed a breast exam, touched the patient's legs, and inquired about a pelvic exam even though the patient was present because of back pain from an auto accident.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A

# Case #17

- 1. Allegation that physician performed an inappropriate breast, pelvic, and rectal exam on an 11-year-old female; that no parental consent for examination was given; and that no nurse was present during the examination.
- 2. Evidence does not provide a substantial basis for concluding the physician engaged in sexual conduct with the patient. However, the report raises numerous questions about his practice of performing breast and pelvic examinations, not providing coverings for female patients, and performing perineal stretching during prenatal visits.
- 3. Discipline Committee review, AGO investigation, physician will be scheduled for a disciplinary conference.
- 4. Pending.
- 5. N/A.

#### Case #18

- 1. Allegation of inappropriate touching of a patient's breasts during a crisis intervention visit.
- 2. Physician is a resident at Hennepin County medical center and was reprimanded internally by department chief.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #19

- 1. Allegations of sexual harassment during gynecological examinations of two patients and of a sexual relationship with a hospital nurse.
- 2. Physician denied the allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. Pending.
- 5. N/A.

#### Case #20

- 1. Allegation that physician was engaged in an extramarital sexual affair with the woman who later became his wife.
- 2. The woman involved is now the physician's wife. She is not available as a witness currently.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. Letter of Reprimand and Civil Penalty.
- 5. Witness failed to cooperate with the Board's investigation.

- 1. Allegation that physician improperly conducted himself during an examination by looking inside the gown of a patient while listening to her heart.
- 2. Physician denied the allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee appearance scheduled.
- 4. Pending.
- 5. N/A.

- 1. Allegation that physician inappropriately touched a female patient during an examination.
- 2. Investigation reveals that physician may be guilty of poor communication or explanation of his proceedings rather than sexual misconduct.
- 3. Discipline Committee review, AGO investigation.
- 4. Dismissal.
- 5. Allegations unsubstantiated by investigation.

# Case #23

- 1. Allegation that physician made inappropriate sexual remarks and engaged in inappropriate contact and behavior within a psychiatric ward in 1968.
- 2. Physician denied all allegations. The complainant was diagnosed as having anxiety reaction.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference scheduled.
- 4. Pending.
- 5. N/A.

## Case #24

- 1.Allegations that physician inappropriately touched a female patient during psychiatric sessions in 1970 and 1971, that he threatened to commit her if she did not have sex with him, and that he traded sexual activity for drugs with several males.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #25-#26

- 1. Allegation of a sexual relationship with a patient.
- 2. Physician admitted kissing and sexually touching patient.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. Restricted License and Civil Penalty.
- 5. Admitted inappropriate sexual involvement with a patient.

## Case #27-#28

- 1. Allegation that physician gave unrequested hugs and kisses on the mouth and made inappropriate sexual comments during a four year psychiatric treatment period.
- 2. Investigation revealed a dispute of facts between patient and physician.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee appearance.
- 4. Dismissal.
- 5. Insufficient grounds for disciplinary action.

- 1. Allegation that physician performed an inappropriate pelvic examination and that he was unnecessarily rough during the examination.
- 2. Investigation incomplete.
- 3. Discipline Committee review, AGO investigation, Complaint Review conference scheduled.
- 4. Dismissal.
- 5. Physician died.

1. Allegation that physician was unnecessarily rough during a pelvic exam and that he did not afford the patient privacy.

2. Investigation dropped.

3. Discipline Committee review, AGO investigation.

4. Dismissal.

5. Physician died.

## Case #31

1. Allegation that physician sexually assaulted and harassed a former employee throughout her employment.

2. Investigation revealed a dispute of fact between physician and complainant.

3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.

4. Dismissal.

5. Physician died.

## Case #32

1. Allegation of improper conduct and language related to a prenatal exam.

2. Neither the physician or patient interpreted the allegation as sexual. The physician showed poor judgement, but no sexual intent was found.

3. Discipline Committee review, AGO investigation.

4. Dismissal.

5. Facts of the specific situation would not support an action by the Board in a formal disciplinary proceeding.

## Case #33-#34

1. Allegation of inappropriate touching of a vulnerable adult patient in the course of a physical therapy exam by a registered physical therapist.

2. Criminal investigation was closed because of a lack of evidence. Reports from the AGO and Adult Protection and Care Facility are inconsistent with each other because of information reported by the accused and other staff at the facility.

3. Discipline Committee review, AGO investigation, Physical Therapy Council review.

4. Dismissal.

5. Facts of the specific situation would not support an action by the Board in a formal disciplinary proceeding.

## Case #35-#38

1. Allegation that the physician was involved in an extramarital sexual relationship with a patient.

2. Investigation substantiates the allegations.

3. Discipline Committee review, AGO investigation, Complaint Review Committee appearance.

4. License restricted.

5. Allegations substantiated.

## Case #39

1. Allegation that the physician was dating a patient.

2. Woman involved was not a patient of the physician.

3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.

4. Dismissal.

5. There was no Physician/Patient relationship.

- 1. Allegation that physician was disciplined by the Massachusetts Board for sexual misconduct was brought to the Board by the physician in his application for Minnesota licensure.
- 2. Massachusetts license revoked for sexual misconduct.
- 3. Discipline Committee review, AGO investigation.
- 4. Board denied physician license in Minnesota.
- 5. License in Massachusetts revoked for inappropriate sexual conduct.

## Case #41

- 1. Allegation that physician was engaged in sexual relationship with a patient.
- 2. Investigation supported allegation.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee appearance, Stipulation and Order now being negotiated.
- 4. Pending
- 5. N/A.

## Case #42-#43

- 1. Allegations that physician engaged in sexual relations with his son.
- 2. The physician pleaded guilty to criminal sexual misconduct charges.
- 3. Discipline Committee review, AGO investigation
- 4. License restricted.
- 5. Allegations substantiated.

# Case #44

- 1. Allegation of unrequested touching and sexual manipulation in return for medical favors.
- 2. The physician pleaded guilty to criminal sexual misconduct charges.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. License restricted.
- 5. Allegations substantiated.

#### Case #45-#50

- 1. Allegation that physician engaged in sexual misconduct with a minor male patient.
- 2. Physician was acquitted of all criminal counts.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Pending.
- 5. N/A.

#### Case #51

- 1. Self-Report in which physician acknowledges, but does not corroborate, allegations of general misconduct.
- 2. Physician was acquitted on all criminal counts.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Pending.
- 5. N/A.

- 1. Allegation of improper sexual contact by physician during an examination.
- 2. Unable to substantiate the allegation.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee appearance.
- 4. Dismissal.
- 5. Unable to substantiate allegations.

# Case #53-#55

1. Allegation that physician had an extramarital sexual relationship with a patient.

- 2. Physician admits having sexual relationship with patient but claims that he ended their medical relationship prior to beginning sexual relationship.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.

4. Dismissal.

5. Medical records indicate that physician stopped treating patient prior to beginning the sexual relationship.

# Case #56

1. Allegation that physician engaged in an extramarital sexual relationship with the complainant's wife and that the physician sexually abused the complainant during an exam.

2. Physician admits having sexual relationship but the medical records reflect he stopped treating her as patient prior to beginning the sexual relationship.

3. Discipline Committee review, AGO investigation, Complaint Review Committee appearance.

4. Dismissal.

5. Physician ended his medical relationship before he began his sexual relationship.

## Case #57

1. Allegation that physician performed an inappropriate pelvic exam.

2. Physician denied allegation. Complainant's credibility questioned.

3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.

4. Dismissal.

5. Insufficient grounds for disciplinary action.

#### Case #58

1. Allegations that physician made inappropriate sexual comments to patient.

2. Investigation not available without complainant's name.

3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.

4. Dismissal.

5. Facts of the complaint would not support an action by the Board in a formal disciplinary proceeding.

#### Case #59

- 1. Allegation of inappropriate sexual relationship during a two to three year period of therapy in the late 1970's.
- 2. Investigation not completed.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.

4. Dismissed Non-Jurisdictional.

5. Licensed cancelled in accordance with Minn. Stat. § 147.039 (Supp. 1991)

## Case #60-#61

1. Allegation that physician coerced patient into a sexual relationship.

2. Investigation substantiated allegations.

3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.

4. Licensed Restricted.

5. Allegations were substantiated.

- 1. Allegation that physician inappropriately touched a female patient during an examination.
- 2. Poor bedside manner but no sexual intent.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion scheduled.
- 4. Pending.
- 5. N/A.

# Case #63

- 1. Allegation that physician inappropriately touched a female patient and encouraged inappropriate sexual rehabilitative treatment such as having patient watch slides of couples having sex for "desensitization."
- 2. Physician partially corroborated allegations but denies sexual intent.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Pending.
- 5. N/A.

#### Case #64

- 1. Allegation that medical student sexually stimulated a patient during a gynecological exam.
- 2. Physician is not licensed in Minnesota.
- 3. Discipline Committee review, AGO investigation, Licensure Committee notified.
- 4. Dismissal
- 5. Non-jurisdictional.

## Case #65

- 1. Allegation that physician sexually molested a vulnerable adult patient.
- 2. Complainant unable to identify physician.
- 3. Discipline Committee review, AGO investigation
- 4. Dismissal
- 5. Victim unable to identify physician.

## Case #66-#67

- 1. Allegation that physician performed inappropriate examinations of female patients. Physician also failed to report that he was on probation by the North Dakota Board.
- 2. Investigation substantiates the allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Permanent Surrender of License
- 5. Allegations substantiated and M.D. under order by North Dakota Board.

#### Case #68

- 1. Allegation that physician inappropriately touched a patient and used inappropriate language during a pelvic exam.
- 2. Physician did not violate practice standards.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee hearing.
- 4. Dismissal
- 5. Insufficient basis for action.

- 1. Allegation that physician sexually harassed a patient during a physical examination.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegation that psychiatrist engaged in sexual relationship with a patient during the mid-1970's.
- 2. Investigation is in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #71

- 1. Allegations that physician inappropriately touched a patient during four separate physical examinations following a car accident.
- 2. Investigation re-opened and in progress.
- 3. Discipline Committee review, AGO investigation, Investigation dropped for lack of the victim's name, Returned to AGO with the victim's name.
- 4. Pending.
- 5. N/A.

#### Case #72

- 1. Allegation that psychiatrist repeatedly touched a patient during a therapy session.
- 2. Investigation is in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending
- 5. N/A.

#### Case #73

- 1. Allegation that physician made inappropriate sexual requests and statements.
- 2. Investigation is in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #74

- 1. Self report alleging possible inappropriate meeting between a psychiatrist and her patient.
- 2. Physician immediately stopped seeing the patient when she was informed that her conduct was inappropriate. She also reported the situation immediately to the Board.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee hearing.
- 4. Dismissal.
- 5. Physician handled the situation appropriately.

#### Case #75

- 1. Allegation of sexual misconduct during a dermatological examination.
- 2. Allegation were not substantiated.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee hearing.
- 4. Dismissal.
- 5. Allegation were not substantiated.

- 1. Allegation of unrequested touching and sexual manipulation in return for sexual favors.
- 2. Investigation substantiated allegations.
- 3. Discipline Committee review, AGO investigation, Contested Case hearings.
- 4. License suspended.
- 5. Allegations substantiated.

- 1. Allegation that physician attempted to split up a relationship between two patients he was seeing for pre-marital counseling and made inappropriate remarks to one of them.
- 2. Investigation is in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #78

- 1. Allegation of inappropriate sexual touch and comments with patient by physician.
- 2. Investigation revealed physician who admits hugging patients but denies sexual allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee hearing.
- 4. License restricted.
- 5. License restricted for other complaints.

#### Case #79

- 1. Allegation that physician became sexually involved with complainant's wife while she was employed by him as a nurse and that he is sending complainant harassing letters.
- 2. Investigation revealed dispute of facts between the physician and the complainant.
- 3. Discipline Committee review, AGO investigation
- 4. Pending.
- 5. N/A.

## Case #80

- 1. Allegation of sexual behavior and inappropriate touch with patient by physician.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #81

- 1. Allegation of sexual remarks during a breast exam.
- 2. Investigation substantiated allegations.
- 3. Discipline Committee review, AGO investigation, Contested case hearings.
- 4. License Suspended.
- 5. Allegations substantiated.

- 1. Allegation that physician injured a patient during a pelvic exam because of physician's large hands.
- 2. Complainant's credibility questioned. Physician disputed the allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee hearing scheduled.
- 4. Pending.
- 5. N/A.

Clause q: The following numbered cases are summaries of individual complaints filed with the Board or acted upon by the Board between July 1, 1990 and June 30, 1992 involving allegations of sexual contact between a physician and a patient. These include the following in their respective numbered sections.

- 1. A listing of the allegations.
- 2. Findings of the investigation or a statement that the investigation is "in progress."
- 3. A listing of the steps the Board has taken in acting on the case.
- 4. Statement of disposition.
- 5. Rationale for disposition.

## Case #1

- 1. Self Report that physician had sexual relationship with a patient who was a former nurse at the clinic.
- 2. No investigation needed since self report provided adequate information.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee appearance.
- 4. Dismissal.
- 5. Committee felt this was an isolated incident.

### Case #2-#4

- 1. Allegation that physician had sexual relationship with a patient who was a former nurse at the clinic.
- 2. No investigation since self report provided adequate information.
- 3. Discipline Committee review, Complaint Review Committee appearance.
- 4. Dismissal.
- 5. Committee felt this was an isolated incident.

# Case #5

- 1. Allegations that physician engaged in sexual misconduct with a minor male patient.
- 2. Investigation substantiated allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Pending.
- 5. N/A.

## Case #6-#7

- 1. Physician pleaded guilty to criminal sexual misconduct with son.
- 2. Physician does not deny sexual misconduct with his son.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee appearance.
- 4. License restricted.
- 5. Allegations were confirmed.

- 1. Allegation that physician had a sexual relationship with a female patient who was in a vulnerable psychological state.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A

# Case #9-#10

- 1. Allegation that physician made inappropriate comments and sexual touching during an exam.
- 2. Investigation discontinued.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Dismissal.
- 5. Physician died.

#### Case #11

- 1. Allegation that physician made inappropriate remarks during a psychiatric therapy session with a female patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

#### Case #12

- 1. Allegation that physician sexually harassed female patients by having them partially undress, massaging patients and making inappropriate comments.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #13-#14

- 1. Allegation that physician sexually assaulted patient in her home and later dated her several times.
- 2. Physician admits having a one month relationship with patient. He claims that he terminated the physician/patient relationship prior to dating the woman. Medical records show that the physician/patient relationship was not terminated until after the dating relationship had begun.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference scheduled.
- 4. Pending.
- 5. N/A.

#### Case #15-#16

- 1. Allegation that physician inappropriately touched a female patient during a rectal exam.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #17-#18

- 1. Allegation that physician performed an unnecessary gynecological examination on a patient with neck and back pain resulting from a car accident.
- 2. Physician's license to practice medicine was revoked in August 1985.
- 3. Discipline Committee review, Copy of Stipulation and Order was sent to complainant.
- 4. Case closed.
- 5. Physician's license was already revoked under 1985 Order.

- 1. Allegation that physician tore the patient's rectum during a rectal exam.
- 2. No physical evidence of assault or trauma found by ER physician who saw the patient the day after the alleged incident.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Pending.
- 5. N/A.

## Case #20

- 1. A third party reported allegation that physician sexually abused a female patient.
- 2. Alleged victim would not cooperate with the investigation.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference, reconsidered investigation, Complaint Review Committee conference.
- 4. Dismissal.
- 5. Credibility of complainant questioned and lack of cooperation from victim make case difficult to support in contested case situation.

#### Case #21

- 1. Allegation that the physician sexually abused the patient, when the patient was a juvenile.
- 2. Patient would not release her name and findings were insufficient to warrant Board action without it.
- 3. Discipline Committee review, AGO investigation.
- 4. Dismissal.
- 5. Investigation did not substantiate allegations because of insufficient information.

## Case #22

- 1. Allegation that physician made a sexual gesture to the complainant with his finger.
- 2. Investigation is unwarranted.
- 3. Discipline Committee review, AGO recommendation, Complaint Review Committee discussion.
- 4. Dismissal.
- 5. Allegations did not warrant Board action.

## Case #23-#27

- 1. Allegation that physician had sex with a patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #28

- 1. Allegation that physician inappropriately touched a patient's genitals.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegation that optometrist inappropriately touched a patient during examination.
- 2. Non-jurisdictional.
- 3. Discipline Committee review, referred to Optometry Board.
- 4. Dismissal.
- 5. Person named in complaint is an Optometrist.

## Case #30-#31

- 1. Allegation that physician had a sexual relationship with a patient.
- 2. Investigation confirmed the allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee appearance.
- 4. License restricted.
- 5. Investigation supported allegations.

#### Case #32

- 1. Allegation that physician made inappropriate remarks and offered no privacy to female patient as she undressed.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #33

- 1. Allegation that physician made inappropriate remarks and was unnecessarily rough during a pelvic examination.
- 2. Allegations not substantiated.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Dismissal.
- 5. Allegations not substantiated.

# Case #34-#35

- 1. Allegation that physician engaged in sexual misconduct with a female patient.
- 2. Allegations not substantiated.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Dismissal.
- 5. Allegations not substantiated.

# Case #36

- 1. Allegation that physician violated the patient's privacy.
- 2. Complaint was non-jurisdictional.
- 3. Discipline Committee review, AGO investigation.
- 4. Dismissal
- 5. Non-jurisdictional complaint.

#### Case #37

- 1. Allegation that physician performed an unnecessary breast examination.
- 2. Physician acknowledged performing exam and states that he includes breast exams as a regular part of physicals.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Pending.
- 5. N/A.

## Case #38-#39

- 1. Allegation that physician had sex with a patient.
- 2. Investigation substantiated allegation.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee appearance.
- 4. Pending.
- 5. N/A.

- 1. Allegations of inappropriate remarks and touching with a male patient.
- 2. Allegations were not substantiated.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Dismissal.
- 5. Allegations were not substantiated.

## Case #41

- 1. Allegation of inappropriate comments to and touching of a juvenile patient.
- 2. Investigation supported allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Pending.
- 5. N/A.

## Case #42

- 1. Allegation that physician had sexual contact with patient.
- 2. Physician already under Board Order.
- 3. Discipline Committee review, Review by AGO.
- 4. Dismissal.
- 5. Physician already under Board Order for allegations.

#### Case #43

- 1. Allegation that physician had sexual relations with a patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #44-#46

- 1. Allegation that physician performed an inappropriate rectal exam.
- 2. Allegations unsubstantiated by the investigation.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Dismissal.
- 5. Unable to substantiate the claim.

# Case #47

- 1. Allegation of inappropriate touching during a therapy session.
- 2. Respondent was a psychologist, not a psychiatrist.
- 3. Information transmitted to psychology Board.
- 4. Case Closed.
- 5. Non-jurisdictional.

- 1. Allegation that physician walked in on a female patient while she was undressing. The physician repeatedly asked to do a pelvic exam and rubbed his erect genitalia on the patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegation of sexual misconduct by psychiatrist in 1964-65.
- 2. Psychiatrist denies sexual misconduct, but admits to taking patient to a bar.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Pending.
- 5. N/A.

## Case #50

- 1. Allegation of inappropriate touching, by the physician, during a vaginal exam.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

#### Case #51

- 1. Allegation that Physician Assistant made sexual advances at a patient who also worked at the same hospital as a nurse.
- 2. Non-jurisdictional, the complainant did not have a patient/PA relationship with the Physician Assistant.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Pending.
- 5. N/A.

#### Case #52

- 1. Allegation that physician asked to perform breast exam during an IME for orthopaedic injuries.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #53

- 1. Allegation that physician engaged in sexual misconduct with female patient. The physician allegedly made inappropriate remarks and fondled the patient.
- 2. Physician denies the allegations and the complainant's credibility is questionable.
- 3. Discipline Committee review, AGO investigation, CRC discussion.
- 4. Pending.
- 5. N/A.

## Case #54

- 1. Allegation of sexually inappropriate behavior, by the physician, with patient.
- 2. Physician died.
- 3. Discipline Committee review, AGO investigation.
- 4. Case closed.
- 5. Physician died.

- 1. Allegation that physician made inappropriate remarks to a female patient and engaged in inappropriate touching.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegation that intern/resident sexually assaulted/abused female patient.
- 2. Physician is not licensed.
- 3. Referred to County Attorney's Office.
- 4. Holding file, non-jurisdictional.
- 5. Intern is not licensed.

## Case #57

- 1. Allegation of inappropriate touching and remarks, by physician, to a female patient
- 2. Physician admits to the allegation. The victim refuses to cooperate with the investigation.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #58

- 1. Allegation that physician had sexual contact with female patient during therapy sessions in the patient's home.
- 2. Patient is unwilling to testify on the case.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Pending.
- 5. N/A.

## Case #59

- 1. Allegation that physician forced a female patient to perform oral sex and other sexual acts during psychiatric sessions.
- 2. Physician is dead.
- 3. Discipline Committee review, AGO investigation.
- 4. Case Closed.
- 5. Physician is deceased.

# Case #60-#61

- 1. Allegation that physician made inappropriate remarks and engaged in sexual touching during a breast exam.
- 2. Non-Jurisdictional, physician not licensed in Minnesota.
- 3. Referred to Wisconsin Board of Medical Practice.
- 4. Case Closed
- 5. Non-jurisdictional, referred to Wisconsin Board.

## Case #62

- 1. Allegation that physician continued to perform cystoscopy even though patient was in pain and asked the physician to stop.
- 2. Unable to substantiate allegations.
- 3. Discipline Committee review, AGO investigation.
- 4. Dismissal.
- 5. Unable to substantiate the allegations.

- 1. Allegation that physician was sexually involved with a female patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegations that physician sexually molested female patients.
- 2. Investigation is in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #65

- 1. Allegation that physician performed an improper breast exam.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #66-#67

- 1. Allegation that physician inappropriately touched a juvenile patient.
- 2. Investigation substantiated allegation.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference, Stipulation and Order negotiations.
- 4. Pending.
- 5. N/A.

## Case #68-#69

- 1. Allegation that physician inappropriately hugged and touched a female patient during therapy sessions.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #70

- 1. Allegation that physician made sexually suggestive remarks during therapy session and continued to harass the female patient after the physician/patient relationship had ended.
- 2. Investigation substantiates the allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference, Physical and mental evaluation of the physician, Board approved Stipulation and Order, Contested Case proceedings.
- 4. Pending
- 5. N/A.

#### Case #71

- 1. Allegation that physician performed an inappropriate breast examination.
- 2. Patient misinterpreted the physician's actions.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. Pending.
- 5. N/A.

- 1. Allegation that physician had sex with patient.
- 2. Unable to substantiate allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. Case Closed.
- 5. Physician died.

- 1. Allegation that physician kissed a female juvenile patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation, Sent to consultant.
- 4. Pending.
- 5. N/A.

#### Case #74

- 1. Allegation that physician made inappropriate remarks to female patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #75

- 1. Allegation that physician was unnecessarily rough and made inappropriate comments during a breast and rectal exam.
- 2. Investigation does not substantiate allegations of sexual misconduct.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. Pending.
- 5. N/A.

## Case #76-#78

- 1. Allegation that physician engaged in sexual relationship with patient.
- 2. No investigation done, Vermont Board substantiated allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Pending.
- 5. N/A.

#### Case #79

- 1. Allegation that physician inappropriately touched patient during breast and pelvic exam.
- 2. Investigation held until malprescribing investigation completed.
- 3. Discipline Committee review, Complaint Review Committee conference, Board proposed Stipulation and Order, Board meeting scheduled.
- 4. Pending.
- 5. N/A.

#### Case #80

- 1. Allegation that physician molested patient during vaginal/rectal exam.
- 2. Investigation did not substantiate the allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. Dismissal.
- 5. Allegations were not substantiated.

# Case #81-#82

- 1. Allegation of inappropriate sexual misconduct with female patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegation that physician had patient demonstrate physical movements in exam gown when she wore no undergarments.
- 2. Physician verified that he had patient remove enough of her clothes to view affected body parts during exam.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. Pending.
- 5. N/A.

#### Case #84-#86

- 1. Allegation of inappropriate sexual conduct with patient.
- 2. Investigation substantiated the allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee appearance.
- 4. Pending.
- 5. N/A.

## Case #87-#89

- 1. Self report that physician is under investigation by the Ramsey County Attorney's Office for sexually harassing a female patient.
- 2. Physician convicted by Ramsey County for 4th degree sexual misconduct.
- 3. Discipline Committee review, AGO investigation, Complaint review Committee conference, Board offered Stipulation and Order, Contested Case Hearings.
- 4. Pending.
- 5. N/A.

## Case #90

- 1. Allegation that physician made inappropriate remarks during an unnecessary pelvic exam.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #91-#92

- 1. Allegation that physician had sex with a patient.
- 2. No investigation-used Wisconsin Order which substantiated the allegations.
- Discipline Committee review, Complaint Review Committee conference, Stipulation and Order offered to physician.
- 4. Pending.
- 5. N/A.

## Case #93

- 1. Allegation that physician engaged in sexual misconduct with a patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegation that physician hugged patient during therapy sessions ten years ago.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #95-#96

- 1. Allegation that physician intentionally rubbed his genitals on the patient's knee.
- 2. No basis for successfully asserting violation of the Medical Practices Act.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. Dismissal.
- 5. No basis for successfully asserting violation of the Medical Practices Act.

## Case #97

- 1. Adverse Action report shows that the physician was disciplined by the Florida Board for sexual misconduct.
- 2. Non-Jurisdictional, the physician is no longer licensed in Minnesota.
- 3. Discipline Committee review.
- 4. Dismissal.
- 5. Physician is no longer licensed in Minnesota.

## Case #98

- 1. Allegation of inappropriate behavior during pelvic examination.
- 2. Investigation did not support the allegations.
- 3. Discipline Committee review, AGO investigation, Compliant Review Committee conference.
- 4. Pending.
- 5. N/A.

## Case #99

- 1. Allegation that physician sexually abused female patient from 1972-1974.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

#### Case #100

- 1. Allegation of inappropriate touching and remarks during an examination.
- 2. Investigation is on hold until the physician's first name becomes known.
- 3. Discipline Committee review, correspondence to OHFC.
- 4. Pending.
- 5. N/A.

# Case #101-#102

- 1. Allegation that physician made inappropriate remarks and gestures to female patient during a colposcopy procedure.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegation that physician had rubbed the patient during an examination.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegation that physician inappropriately touched the patient during a breast exam.
- 2. Patient refuses to be identified.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. Pending.
- 5. N/A.

# Case #105

- 1. Allegation that physician inappropriately touched and hurt patient during pelvic exam.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. Pending.
- 5. N/A.

## Case #106

- 1. Allegation that physician inappropriately touched and abused the patient during an exam.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation, combine with other complaints.
- 4. Pending.
- 5. N/A.

# Case #107-#108

- 1. Allegation that physician sexually harassed his staff.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #109

- 1. Allegation that physician inappropriately touched a male patient during an examination.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #110

- 1. Allegation that physician inappropriately touched the patient's genitals.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #111-#112

- 1. Allegation that physician made inappropriate sexual comments to patient.
- 2. License suspended.
- 3. Discipline Committee review, AGO investigation.
- 4. Dismissal.
- 5. License already suspended indefinitely.

- 1. Allegation that physician made inappropriate sexual remarks to patient during an exam.
- 2. Physician confirmed allegation.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. Pending.
- 5. N/A.

# Case #114

- 1. Allegation that physician had sex with patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #115

- 1. Allegation that unlicensed "reflexologist" massages female client's breasts and genitals.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #116-#120

- 1. Allegation that physician had sex with patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #121

- 1. Allegation that physician watches female patients disrobe before and dress after examinations.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #122-124

- 1. Allegation that physician made inappropriate remarks and sexual touching during a physical therapy exam.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #125-#126

- 1. Allegation that physician sexually abused patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegation that physician had female patient disrobe in front of him and did not offer her any covering or gown.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #128

- 1. Allegation that physician made sexually inappropriate remarks.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

## Case #129

- 1. Allegation that physician performed an inappropriate breast examination.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #130-#131

- 1. Allegation that physician sexually harassed an employee at the hospital where the physician is employed.
- 2. Non-jurisdictional
- 3. Discipline Committee review, AGO review.
- 4. Dismissal, non-jurisdictional.
- 5. The committee found that there was no patient/physician relationship involved.

## Case #132

- 1. Allegation that physician made inappropriate comments and sexual questions during a group therapy.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #133

- 1. Allegation that physician is sexually involved with a patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegation that physician is sexually involved with two patients.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- 1. Allegation of inappropriate touching and sexual relations during psychiatric treatment.
- 2. Physician has already surrendered Minnesota license.
- 3. Discipline Committee review, sent Stipulation and Order to complainant.
- 4. Case closed.
- 5. Physician permanently surrendered license in 1986.

#### Case #136

- 1. Complainant alleges sexual abuse, but doesn't give any specifics.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

#### Case #137

- 1. Allegation that physician and nurse pushed female patient against the wall and sexually molested her.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

#### Case #138

- 1. Allegation of sexual misconduct with patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

# Case #139

- 1. Allegation that physician had sexual relations with patients.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee discussion.
- 4. Pending.
- 5. N/A.

## Case #140

- 1. Allegation that physician had sexual relations with several patients.
- 2. Investigations substantiate allegations.
- 3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
- 4. License restricted.
- 5. Allegations were substantiated.

- 1. Allegation that physician had sexual relationship with a patient.
- 2. Investigation in progress.
- 3. Discipline Committee review, AGO investigation.
- 4. Pending.
- 5. N/A.

- Case #142-#144
  1. Allegation that physician engaged in sexual misconduct with several patients.
  2. Investigation in progress.
  3. Discipline Committee review, AGO investigation.
  4. Pending.
  5. N/A.