



MINNESOTA BOARD OF MEDICAL EXAMINERS
2700 University Ave. W.
SUITE 106
ST. PAUL, MN 55114-1080

BIENNIAL REPORT

JULY 1, 1988 to JUNE 30, 1990

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BIENNIAL REPORT

MINNESOTA BOARD OF MEDICAL EXAMINERS
2700 UNIVERSITY AVENUE WEST
SUITE 106
ST. PAUL, MN 55114-1080

STATUTORY AUTHORITY - M.S. 146, 147, 148, 319A

REPORT PERIOD - July 1, 1988 to June 30, 1990

SUBMITTED BY - H. Leonard Boche, Executive Director

Clause a: GENERAL STATEMENT OF BOARD ACTIVITIES

The Minnesota State Board of Medical Examiners has been given the following responsibilities:

1. Licensure to Practice Medicine and Surgery

<u>Basis</u>	<u>Licenses Issued</u>	
	FY 89	FY 90
A. FLEX written examination	49	46
B. FLEX examination other state	104	106
C. Reciprocity	46	57
D. Endorsement of National Board of Medical Examiners	625	649
E. Endorsement of National Board of Osteopathic Examiners	23	20
F. Endorsement of Medical Council of Canada	<u>14</u>	<u>8</u>
TOTAL	861	886

A. FLEX Written Examination

The FLEX examination, a three day examination, was adopted by the Board in December, 1971 and is the examination used by all states within the United States. It is also used by Puerto Rico, the District of Columbia and the Province of Saskatchewan.

The FLEX score of 75.0 on each part is the passing score used by all states, including Minnesota. The examination is given in June and December. This examination is in two parts, each 1 1/2 days long.

B. FLEX Examination Other States

The Board has authorized the office to accept application on the basis of FLEX examination taken in other states in lieu of taking our written examination or applying on the basis of reciprocity. Many of these applicants are international medical school graduates.

C. Reciprocity

Minnesota has reciprocity with all other states having examination requirements equal to or greater than Minnesota's. This means Minnesota will accept exam scores from other states, but does not guarantee licensure.

D. Endorsement of National Board of Medical Examiners

One normally becomes a Diplomate of the National Board of Medical Examiners by taking Day 1 (basic science subjects), as a second

year medical student, Day 2 (clinical science subjects), as a fourth year medical student and Day 3, (clinical competence), as a first year resident. This is the basis on which most United States graduates and many Canadian graduates are licensed in Minnesota.

E. Endorsement of National Board of Osteopathic Examiners

Since the Board of Osteopathy was merged with the Board of Medical Examiners in 1963, the only license the Doctor of Osteopathy can obtain in Minnesota is the license to practice medicine and surgery; the same license as issued to the Doctor of Medicine. They may apply on the basis of reciprocity, examination or the National Board of Osteopathic Examiners.

F. Endorsement of Medical Council of Canada

Minnesota Session Laws 1977, Chapter 7, authorized the Board to grant licenses to licentiates of the Medical Council of Canada.

2. Endorsement of Certification to Other States

During the two-year period, July 1, 1988 to June 30, 1990, 105 Minnesota licentiates used their Minnesota license to obtain licensure by reciprocity in other states. In addition to the above endorsements, thousands of certifications are made to the Drug Enforcement Administration, hospitals, drug companies and so forth.

3. Professional Corporations

During the two-year period, July 1, 1988 to June 30, 1990, 110 new corporations were formed, bringing the total of corporations formed since the original law was passed in 1951 to 1316. The number of corporations registered is 760, as of June 30, 1990.

4. Temporary Licenses

A temporary license to practice medicine can be issued until the next Board meeting if all requirements for a full licensure have been met. From July 1, 1988 to June 30, 1990, 606 temporary licenses were issued.

5. Physical Therapy

Physical therapists have been registered in Minnesota since 1953. Physical therapists may be registered on the basis of having passed the Assessment Systems, Inc. (ASI) exam, the Professional Examination Service (PES) examination or the American Registry of Physical Therapy Examination (no longer available).

FY 89	153
FY 90	<u>179</u>
TOTAL	332

BOARD OF MEDICAL EXAMINERS

RECEIPT AND DISBURSEMENT OF BOARD FUNDS

	<u>FY 89</u>	<u>FY90</u>	<u>TOTAL</u>
Total State Appropriations	2,061	2,102	4,163
Total Non-Dedicated Fee Receipts	2,085	2,139	4,224
Total Disbursements	1,413	1,686	3,099

BOARD OF MEDICAL EXAMINERS

LIST OF BOARD MEMBERS WHO SERVED DURING FY 89 AND FY 90

Number of Board members required by statute: 11

Length of term: 4 years

<u>NAME & ADDRESS</u>	<u>OCCUPATION</u>	<u>APPOINTMENT DATES</u>
BERT, Jack	Medical Doctor	4-6-83 to 1-5-91
ELLIS, Cassius M.C., III	Medical Doctor	1-16-85 to 1-9-93
GILBERTSON, David	Doctor of Osteopathy	1-5-87 to 1-5-91
HART, Meredith	Businesswoman	1-6-86 to 1-6-90
HARTMANN, Mary	Businesswoman	4-6-83 to 1-5-91
KELLEY, Stephen P.	Attorney	1-20-84 to 1-6-92
KNAPP, James F.	Medical Doctor	5-6-90 to 1-3-94
MARTIN, George B.	Medical Doctor	3-17-82 to 1-6-90
MARTIN, Maurice J.	Medical Doctor	1-17-89 to 1-9-93
OLSON, Clyde	Medical Doctor	1-5-87 to 1-9-93
QUATTLEBAUM, Frank	Medical Doctor	1-5-87 to 1-6-92
SIGEL, Melvin	Medical Doctor	1-20-84 to 1-6-92
TOMPKINS, Richard	Medical Doctor	5-14-81 to 1-7-89

Clause d: LIST OF BOARD MEMBERS WHO SERVED DURING FY 89 AND FY 90

FOR EASY REFERENCE PLEASE GIVE:

(A) Number of Board members required by statute: 7.(B) The statutory length of term: 4 years.

NAME & ADDRESS	OCCUPATION	GIVE BEGIN AND END DATE OF APPOINTMENT AND EACH RE-APPOINTMENT
FRANKLIN, Jackie	Physical Therapy Assistant	01/01/87 - 02/10/89
" "	Physical Therapist	02/10/89 - 01/07/91
GARRETT, Tom	Physcial Therapist	01/01/84 - 01/01/88
" "	" "	01/01/88 - 01/01/92
HAWLEY, Judy	Physical Therapy Assistant	02/10/89 - 01/07/91
MONTGOMERY, Patricia	Physical Therapist	01/01/84 - 01/01/88
" "	" "	01/01/88 - 01/01/92
NASH, David	Medical Doctor	03/12/88 - 01/01/92
OREN, Glenn	Medical Doctor	09/10/88 - 01/07/91
SCHAAF, John R.	Public Member	01/01/80 - 01/01/84
" "	" "	01/01/84 - 01/01/85
" "	" "	01/01/85 - 01/01/87
" "	" "	01/01/87 - 01/01/91
GJERDE, Kris	Physcial Therapy	01/01/87 - 01/01/89

Clause d: LIST OF BOARD MEMBERS WHO SERVED DURING FY 89 AND FY 90

FOR EASY REFERENCE PLEASE GIVE:

(A) Number of Board members required by statute: 7.(B) The statutory length of term: 4 years.

NAME & ADDRESS	OCCUPATION	GIVE BEGIN AND END DATE OF APPOINTMENT AND EACH RE-APPOINTMENT
Robert A. Adams, RPA	Physician Assistant	3-1-86 to 1-5-87 1-5-87 to 1-7-91
Chester Anderson, MD	Physician	1-4-89 to 1-6-93
James Berry	Public Member	11-19-88 to 1-7-93
Brian Ebeling, MD	Physician	3-1-86 to 1-5-87 1-5-87 to 1-7-91
Betty McCollum	Public Member	5-10-86 to 1-4-88 1-4-88 to 1-6-92
Steve Scott, RPA	Physician Assistant	3-1-86 to 1-4-88 1-4-88 to 9-15- 0
Steve Wandersee, RPA	Physician Assistant	3-1-86 to 1-5-87 1-5-87 to 1-7-91

BOARD EMPLOYEES WHO WERE EMPLOYED DURING FY 88 AND FY 89

<u>NAME</u>	<u>JOB CLASS/TITLE</u>	<u>DATES OF SERVICE</u>
ALBRIGHT, Florence	Clerk 3 Renewal Clerk	9-20-80
ANDERSON, Therese	Clerk Typist 2 Discipline Secretary	11-25-76
AULD, Richard	Assistant Executive Director	11-27-85
BACIGALUPI, Gina	Clerk Typist 1 Summer Temporary	5-29-90 to 8-16-90
BOCHE, H. Leonard	Executive Director	4-11-88
DAVIS, Marsha	Clerk Typist 1 Discipline Secretary	7-13-88
DRESSEL, Barbara	Clerk Typist 2 Receptionist	10-20-76
FOSS, Kristine	Clerk Typist 1 Temporary	6-11-90 to 7-6-90
HAYES, Patricia	Clerk Typist 1 Discipline Secretary	2-27-89
HAAS, Mary	Clerk Typist 3 Registration Specialist	2-17-88
HEINZ, Karen	Commerce Investigator Medical Regulations Analyst	10-11-89 to 6-20-90
HOFFMAN, Jeanne	Commerce Investigator, Sr. Licensure Coordinator	4-1-87
KAUPPILA, Lois	Office Services Supervisor 2 Office Manager	11-25-85
LEACH, Robert	Commerce Investigator Medical Regulations Analyst	1-20-88
LONG, Sharon	Commerce Investigator Medical Regulations Analyst	9-27-89

LORSUNG, Denise	Clerk Typist 2 Licensure Specialist	4-15-87
LOWN, Laurie	Clerk Typist 1 Temporary	3-8-90 to 4-12-90
MARCZEWSKI, William	Commerce Investigator Medical Regulations Analyst	2-3-88
MARTINEZ, Ruth	Clerk Typist 3 Licensure Specialist	1-20-88
MESSERVEY, Betty	Clerk Typist 2 Executive Director's Secretary	6-16-86
ORR, Marcella	Clerk Typist 2 Certification Secretary	9-27-78 to 10-11-90
PAULSON, Vicky	Clerk Typist 4 Discipline Coordinator	5-2-84
SCHWARTZ, Patrick	Commerce Investigator Medical Regulations Analyst	9-16-87 to 9-5-89
STILLMANK, Mary	Commerce Investigator Medical Regulations Analyst	9-27-89 to 5-25-90
WALKER-SINGLETON Pauline	Commerce Investigation, Supv. Supervisor Complaint Unit	11-3-86
WILLSON, Timothy	EDP Operations Assistant Computer Specialist	1-3-90

Clause g: LIST THE NUMBER OF PERSONS HAVING EACH TYPE OF LICENSE
AND REGISTRATION ISSUED BY THE BOARD AS OF JUNE 30, 1990
(IN THE YEAR OF THE REPORT)

TYPE OF LICENSE/REGISTRATION	TOTAL NUMBER IN EFFECT
MEDICINE AND SURGERY	13,876
OSTEOPATHY	6
PHYSICAL THERAPY	2512
PROFESSIONAL MEDICAL CORPORATION	760
Physician Assistants	154

88 to 06/30/90

WRITTEN PRACTICAL ORAL

[illegible]

EXAMINATION: FLEX

[illegible]

WRITTEN

PRACTICAL

ORA

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Clauses i, j, k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration

TYPE OF LICENSE/REGISTRATION

FLEX MINNESOTA

AGE GROUP	FY89									FY90									FY89 AND FY90								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
Under 18																											
18-25																											
26-34	26	7	33	24	5	29	2	2	4	21	6	27	20	4	24	1	2	3	47	13	60	44	9	53	3	4	7
35-50	9	2	11	9	1	10	0	1	1	13	2	15	13	1	14	0	1	1	22	4	26	22	2	24	0	2	2
60-65																											
66 & Over																											
Total	35	9	44	33	6	39	2	3	5	34	8	42	33	5	38	1	3	4	69	17	86	66	11	77	3	6	9
Calculate % of Male and % of Female to the Total of Each Category																											
% of Total			100			100			100			100			100			100			100			100			100

Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION

FLEX MINNESOTA

AGE GROUP	FY 89									FY 90									FY 89 AND FY 90								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
Under 18	1		1		1	1					1	1							1	1	2		1	1			
18-25	7	3	10	6	2	8	2	1	3	7	5	12	5	4	9	1	3	4	14	8	22	11	6	17	3	4	7
26-34		1	1								1	1				1		1		2	2				1		1
35-59																											
60-65																											
66 & Over																											
Total	8	4	12	6	3	9	2	1	3	7	7	14	5	4	9	2	3	5	15	11	26	11	7	18	4	4	8

Calculate % of Male and % of Female to the Total of Each Category

% of Total			100			100			100			100			100			100			100			100			100
------------	--	--	-----	--	--	-----	--	--	-----	--	--	-----	--	--	-----	--	--	-----	--	--	-----	--	--	-----	--	--	-----

State

PLEASE LIST THE TOTAL NUMBER OF NON-RESIDENTS BY STATE

MI	2	0	2	2	0	2	0	0	0	1	1	2	1	0	1	0	1	1	3	1	4	3	0	3	0	1	1
WI	5	1	6	3	2	5	2	0	2	4	0	4	3	0	3	1	0	1	9	1	10	6	2	8	3	0	3
IA	1	2	3	1	1	2	0	1	1	1	2	3	1	1	2	0	1	1	2	4	6	2	2	4	0	2	2
ND		1	1							1	4	5	0	3	3	1	1	2	1	5	6	0	3	3	1	1	2

Clause 1: THE NUMBER OF PERSONS NOT TAKING EXAMINATIONS WHO WERE LICENSED OR REGISTERED BY THE BOARD OR WHO WERE DENIED LICENSING OR REGISTRATION WITH THE REASONS FOR THE LICENSING OR REGISTRATION OR DENIAL THEREOF .

		FY89	FY90	FY
TOTAL NUMBER OF PERSONS <u>NOT</u> TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION		<u>49</u>	<u>46</u>	<u>95</u>
TOTAL NUMBER OF PERSONS <u>NOT</u> TAKING EXAMS AND DENIED LICENSES OR REGISTRATION		_____	_____	_____

FOR EACH PERSON GIVE:

Type of Lic./Regis.;	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or De
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
PHYSICIANS &	MN			61	17			65	13	FLEX	78		
SURGEONS	MI			4				3	1		4		
	WI			6	1			7	0		7		
	IA			6				2	4		6		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, et
 ** REASONS FOR GRANTING OR DENIAL: Attach Additional Sheets if necessary.

MINNESOTA MEDICAL EXAMINERS

BOARD

Clause 1: THE NUMBER OF PERSONS NOT TAKING EXAMINATIONS WHO WERE LICENSED OR REGISTERED BY THE BOARD OR WHO WERE DENIED LICENSING OR REGISTRATION WITH THE REASONS FOR THE LICENSING OR REGISTRATION OR DENIAL THEREOF .

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION FY 89 FY90 FY
625 649 1274

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION _____

FOR EACH PERSON GIVE:

Type of lic./Regis.; PHYSICIANS &	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or De
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
<u>SURGEONS</u>	MN		8	522	98	2		546	184	NATIONAL BOARD	630		
	MI			46	8			43	11		54		
	IL			31	15	3		42	7		49		
	UT			1	3	1		4	1		5		
	SD		1	7	3			10	1		11		
	WI		3	31	18	13		54	11		65		
	NC			11	4			13	2		15		
	ND			19	1			17	3		20		
	MA		1	15	3	2		17	4		21		
	PA			22	1	1		19	5		24		
	CA		3	49	7			48	11		59		

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MINNESOTA MEDICAL EXAMINERS

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TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION FY 89 FY 90 FY
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FOR EACH PERSON GIVE:

Type of Lic./Regis.	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or Denial
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
PHYSICIANS &	WV			1				0	1	NATIONAL BOARD	1		
SURGEONS	SC			3				3	1		3		
	TN			3	1			4	0		4		
	OR			4	1			4	1		5		
	VA		1	4				5	0		5		
	AL			2				2	0		2		
	RI			3				1	2		3		
	KY			1	2			3	0		3		
	AR			1	1			2	0		2		
	HI			1				1	0		1		
	OK				1			1	0		1		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, et
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MINNESOTA MEDICAL EXAMINERS

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TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION FY89 FY90 FY

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION

FOR EACH PERSON GIVE:

Type of lic./Regis.	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or De
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
PHYSICIANS &	MT		1	4	2			5	2	NATIONAL BOARD	7		
SURGEONS	TX			4	1	1		6	0		6		
	LA		1	1				2	0		2		
	IA		1	15	1			14	3		17		
	CO			7	2	1		10	0		10		
	NH			2				2	0		2		
	ME			4	1			5	0		5		
	NE			6				5	1		6		
	GA			2				2	0		2		
	DC			1	1			1	1		2		
	VT			3				3	0		3		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, et
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MINNESOTA MEDICAL EXAMINERS

BOARD

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TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION FY 89 FY 90 FY
 TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION _____ _____ _____

FOR EACH PERSON GIVE:

Type of Lic./Regis.;	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or De
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
PHYSICIAN &	FL			5		1		6	0	NATIONAL BOARD	6		
SURGEONS	NM			2				1	1		2		
	CT			6				5	1		6		
	WA			9	2			11	0		11		
	AZ				2			2	0		2		
	OH			23	3	1		19	8		27		
	MO		1	9				6	4		10		
	KS			18	1			10	3		13		
	NY			28	5			28	5		33		
	MD			4				4	0		4		
	AK			1				1	0		1		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, et

** REASONS FOR GRANTING OR DENIAL: Attach Additional Sheets if necessary.

Page ____ of ____ pages for Clause 1

Page ____

MINNESOTA MEDICAL EXAMINERS

BOARD

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TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION FY 89 FY 90 FY
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FOR EACH PERSON GIVE:

Type of Lic./Regis.;	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or De
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
PHYSICIANS &	IN			7	2	2		8	3	NATIONAL BOARD	11		
SURGEONS	NJ			2				1	1		2		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, et
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TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION FY89
23 FY 90
20 FY
43

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION _____ _____ _____

FOR EACH PERSON GIVE:

Type of Lic./Regis.;	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or De
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
PHYSICIANS &	MN			14	1			13	2	N.B.O.E.	15		
SURGEONS	WI			6				4	2		6		
	CA			2	1			3			3		
	MI			2	1	1		3	1		4		
	IA			4				2	2		4		
	MO			3	2			4	1		5		
	LA			3	1			3	1		4		
	ID			1	1			1	1		2		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, et
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MINNESOTA MEDICAL EXAMINERS

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TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION FY 89 14 FY90 .8 FY 22

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION _____

FOR EACH PERSON GIVE:

Type of Lic./Regis.;	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or De
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
PHYSICIANS &	MN			3	2			3	2	L.M.C.C.	5		
SURGEONS	IA				5			4	1		5		
	CO			2				2			2		
	MI				3			3			3		
	ND			4	1			4	1		5		
	TX				2			1	1		2		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, et
 ** REASONS FOR GRANTING OR DENIAL: Attach Additional Sheets if necessary.

BOARD

	FY89	FY90	FY
TOTAL NUMBER OF PERSONS <u>NOT</u> TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION	<u>49</u>	<u>57</u>	<u>106</u>
TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION			

Type of lic./Regis.;	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or De
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
PHYSICIANS &	MN									RECIPROCITY			
SURGEONS	AK				1			1	0		1		
	TN				2			2	0		2		
	IL				2	1	1	2	2		4		
	WI				2	1	2	3	2		5		
	LA				2	1		2	1		3		
	TX				2	3		5	0		5		
	AR					1	1	2	0		2		
	UT					1		1	0		1		
	KY					1		1	0		1		
	OH				2	3		3	2		5		

Page ____ of ____ pages for Clause 1

Page

MINNESOTA MEDICAL EXAMINERS

BOARD

Clause 1: THE NUMBER OF PERSONS NOT TAKING EXAMINATIONS WHO WERE LICENSED OR REGISTERED BY THE BOARD OR WHO WERE DENIED LICENSING OR REGISTRATION WITH THE REASONS FOR THE LICENSING OR REGISTRATION OR DENIAL THEREOF .

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION FY 89 FY 90 FY

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION _____

FOR EACH PERSON GIVE:

Type of lic./Regis.;	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or De
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
PHYSICIANS &	MI					2		2	0	RECIPROCITY	2		
SURGEONS	PA					1	1	2	0		2		
	IN				2	2		4	0		4		
	NE				3			3	0		3		
	CA					2	2	2	2		4		
	VT					1		1	0		1		
	CO					2	2	3	1		4		
	GA					2		2	0		2		
	TN					2		1	1		2		
	IA				1	3		3	1		4		
	NY				1	2	1	2	2		4		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, et

** REASONS FOR GRANTING OR DENIAL: Attach Additional Sheets if necessary.

MINNESOTA MEDICAL EXAMINERS

BOARD

Clause 1: THE NUMBER OF PERSONS NOT TAKING EXAMINATIONS WHO WERE LICENSED OR REGISTERED BY THE BOARD OR WHO WERE DENIED LICENSING OR REGISTRATION WITH THE REASONS FOR THE LICENSING OR REGISTRATION OR DENIAL THEREOF .

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION

FY89 FY90 FY

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION

FOR EACH PERSON GIVE:

Type of Lic./Regis.;	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or De
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
PHYSICIANS &	WA					1	1	1	1	RECIPROCITY	2		
SURGEONS	ND				2			2	0		2		
	FL					1	3	4	0		4		
	KS					1		1	0		1		
	MT					1	1	2	0		2		
	SD				1	1		2	0		2		
	AZ					2	2	2	2		4		
	OR				1			1	0		1		
	ID					2		2	0		2		
	NM				1	3		2	2		4		
	NJ				1	3		3	1		4		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, et
 ** REASONS FOR GRANTING OR DENIAL: Attach Additional Sheets if necessary.

MINNESOTA MEDICAL EXAMINERS

BOARD

Clause 1: THE NUMBER OF PERSONS NOT TAKING EXAMINATIONS WHO WERE LICENSED OR REGISTERED BY THE BOARD OR WHO WERE DENIED LICENSING OR REGISTRATION WITH THE REASONS FOR THE LICENSING OR REGISTRATION OR DENIAL THEREOF .

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION FY 89 FY 90 FY
 TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION _____

FOR EACH PERSON GIVE:

Type of Lic./Regis.;	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or De
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
PHYSICIANS &	WV					1	1	2	0	RECIPROCITY	2		
SURGEONS	MO				2	1		2	1		3		
	PA				1	2		3	0		3		
	MS					3		2	1		3		
	AL				1	3	1	3	2		5		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, et
 ** REASONS FOR GRANTING OR DENIAL: Attach Additional Sheets if necessary.

Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION Physical Therapy

AGE GROUP	FY 89									FY 90									FY 89 AND FY 90								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
Under 18																											
18-25	4	19	23	4	19	23				4	12	16	4	12	16				8	31	39	8	31	39			
26-34	5	20	25	5	20	25				7	24	31	7	24	31				12	44	56	12	44	56			
35-59	6	3	9	6	3	9				3	4	7	3	4	7				9	7	16	9	7	16			
60-65										0	1	1	0	1	1				0	1	1	0	1	1			
66 & Over																											
Total	15	42	57	15	42	57				14	41	55	14	41	55				29	83	112	29	83	112			

Calculate % of Male and % of Female to the Total of Each Category

% of Total	26	74	100	26	74	100	0	0	100	26	74	100	26	74	100	0	0	100	26	74	100	26	74	100	0	0	100
------------	----	----	-----	----	----	-----	---	---	-----	----	----	-----	----	----	-----	---	---	-----	----	----	-----	----	----	-----	---	---	-----

State

PLEASE LIST THE TOTAL NUMBER OF NON-RESIDENTS BY STATE

CA	2	3	5	2	3	5				1	0	1	1	0	1				3	3	6	3	3	6			
CO	0	1	1	0	1	1													0	1	1	0	1	1			
FL	0	1	1	0	1	1				1	0	1	1	0	1				1	1	2	1	1	2			
GA										1	0	1	1	0	1				1	0	1	1	0	1			

CONTINUATION SHEET:

Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION Physical Therapy

State	FY 89									FY 90									FY 89 AND FY 90								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
PLEASE LIST THE TOTAL NUMBER OF NON-RESIDENTS BY STATE																											
IA	2	1	3	2	1	3				1	4	5	1	4	5				3	5	8	3	5	8			
IL	4	1	5	4	1	5				3	5	8	3	5	8				7	6	13	7	6	13			
IN	0	1	1	0	1	1				0	1	1	0	1	1				0	2	2	0	2	2			
KS										2	2	4	2	2	4				2	2	4	2	2	4			
KY										0	1	1	0	1	1				0	1	1	0	1	1			
LA										0	1	1	0	1	1				0	1	1	0	1	1			
MA	0	1	1	0	1	1													0	1	1	0	1	1			
MO										1	1	2	1	1	2				1	1	2	1	1	2			
ND	1	10	11	1	10	11				2	6	8	2	6	8				3	16	19	3	16	19			
NE	0	1	1	0	1	1				0	2	2	0	2	2				0	3	3	0	3	3			
NY										0	1	1	0	1	1				0	1	1	0	1	1			
OH										0	1	1	0	1	1				0	1	1	0	1	1			

CONTINUATION SHEET:

Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION Physical Therapy

	FY 89									FY 90									FY 89 AND FY 90								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
State	PLEASE LIST THE TOTAL NUMBER OF NON-RESIDENTS BY STATE																										
OR	0	1	1	0	1	1				1	1	2	1	1	2				1	2	3	1	2	3			
PA	0	1	1	0	1	1													0	1	1	0	1	1			
SC	1	1	2	1	1	2													1	1	2	1	1	2			
SD	0	1	1	0	1	1													0	1	1	0	1	1			
UK	1	0	1	1	0	1													1	0	1	1	0	1			
UT	0	1	1	0	1	1													0	1	1	0	1	1			
VA	0	1	1	0	1	1													0	1	1	0	1	1			
VT	0	1	1	0	1	1													0	1	1	0	1	1			
WI	5	13	18	5	13	18				8	10	17	7	10	17				12	23	35	12	23	35			

MINNESOTA MEDICAL EXAMINERS

BOARD

Clause 1: THE NUMBER OF PERSONS NOT TAKING EXAMINATIONS WHO WERE LICENSED OR REGISTERED BY THE BOARD OR WHO WERE DENIED LICENSING OR REGISTRATION WITH THE REASONS FOR THE LICENSING OR REGISTRATION OR DENIAL THEREOF .

TOTAL NUMBER OF PERSONS <u>NOT</u> TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION	FY <u>89</u>	FY <u>90</u>	FY <u>89/90</u>
TOTAL NUMBER OF PERSONS <u>NOT</u> TAKING EXAMS AND DENIED LICENSES OR REGISTRATION	<u>57</u>	<u>55</u>	<u>112</u>

FOR EACH PERSON GIVE:

Type of Lic./Regis.	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or Denial
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
Physical Therapy	CA		2	4				3	3	PES/ASI EXAM	6		
	CO			1				0	1		1		
	FL			1	1			1	1		2		
	GA			1				1	0		1		
	IA		1	5	2			3	5		8		
	IL		4	8	1			7	6		13		
	IN			1	1			0	2		2		
	KS		1	3				2	2		4		
	KY				1			0	1		1		
	LA			1				0	1		1		
	MA		1					0	1		1		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, etc
 ** REASONS FOR GRANTING OR DENIAL: Attach Additional Sheets if necessary.

MINNESOTA MEDICAL EXAMINERS

BOARD

Clause 1: THE NUMBER OF PERSONS NOT TAKING EXAMINATIONS WHO WERE LICENSED OR REGISTERED BY THE BOARD OR WHO WERE DENIED LICENSING OR REGISTRATION WITH THE REASONS FOR THE LICENSING OR REGISTRATION OR DENIAL THEREOF .

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION FY 89 FY 90 FY 89/90

~~TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION~~ _____

FOR EACH PERSON GIVE:

Type of Lic./Regis.:	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or Denial
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
Physical Therapy	MO		2					1	1	PES/ASI EXAM	2		
	ND		8	10	1			3	16		19		
	NE		1	1	1			0	3		3		
	NY				1			0	1		1		
	OH		1					0	1		1		
	OR		1	2				1	2		3		
	PA				1			0	1		1		
	SC		1	0	1			1	1		2		
	SD		1					0	1		1		
	UK			1				0	1		1		
	UT			1				0	1		1		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, etc
 ** REASONS FOR GRANTING OR DENIAL: Attach Additional Sheets if necessary.

MINNESOTA MEDICAL EXAMINERS

BOARD

Clause 1: THE NUMBER OF PERSONS NOT TAKING EXAMINATIONS WHO WERE LICENSED OR REGISTERED BY THE BOARD OR WHO WERE DENIED LICENSING OR REGISTRATION WITH THE REASONS FOR THE LICENSING OR REGISTRATION OR DENIAL THEREOF.

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATION FY 89 FY 90 FY 89/90

~~TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION~~ ~~_____~~ ~~_____~~ ~~_____~~

FOR EACH PERSON GIVE:

Type of Lic./Regis.	State of Res.	AGE GROUP						SEX		* Method of Lic./Regis.			** Reasons for Granting or Denial
		0-18	18-25	26-34	35-59	60-69	66-	M	F		Grant	Deny	
Physical Therapy	VA				1			0	1	PES/ASI EXAM	1		
↓	VT			1				0	1	↓	1		
	WI		16	18	1			12	23		35		

* IDENTIFY METHOD: e.g. Application, Reciprocity, Endorsements, Credential Evaluation, Comity, etc

** REASONS FOR GRANTING OR DENIAL: Attach Additional Sheets if necessary.

Clauses i, j, k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration

TYPE OF LICENSE/REGISTRATION Physical Therapy

AGE GROUP	FY 89									FY 90									FY 89 AND FY 90								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
Under 18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18-25	12	55	67	12	55	67	0	0	0	15	65	80	15	65	80	0	0	0	27	120	147	27	120	147	0	0	0
26-34	6	13	19	6	13	19	0	0	0	22	15	37	22	15	37	0	0	0	28	28	56	28	28	56	0	0	0
35-59	3	7	10	3	7	10	0	0	0	2	4	6	2	4	6	0	0	0	5	11	16	5	11	16	0	0	0
60-65	0	0	0	0	0	0	0	0	0	1	0	1	1	0	1	0	0	0	1	0	1	1	0	1	0	0	0
66 & Over	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	21	75	96	21	75	96	0	0	0	40	84	124	40	84	124	0	0	0	61	59	220	61	59	220	0	0	0
Calculate % of Male and % of Female to the Total of Each Category																											
% of Total	22	78	100	22	78	100	0	0	100	32	68	100	32	68	100	0	0	100	28	72	100	28	72	100	0	0	100

Clauses i, j, k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration

TYPE OF LICENSE/REGISTRATION Physician Assistant

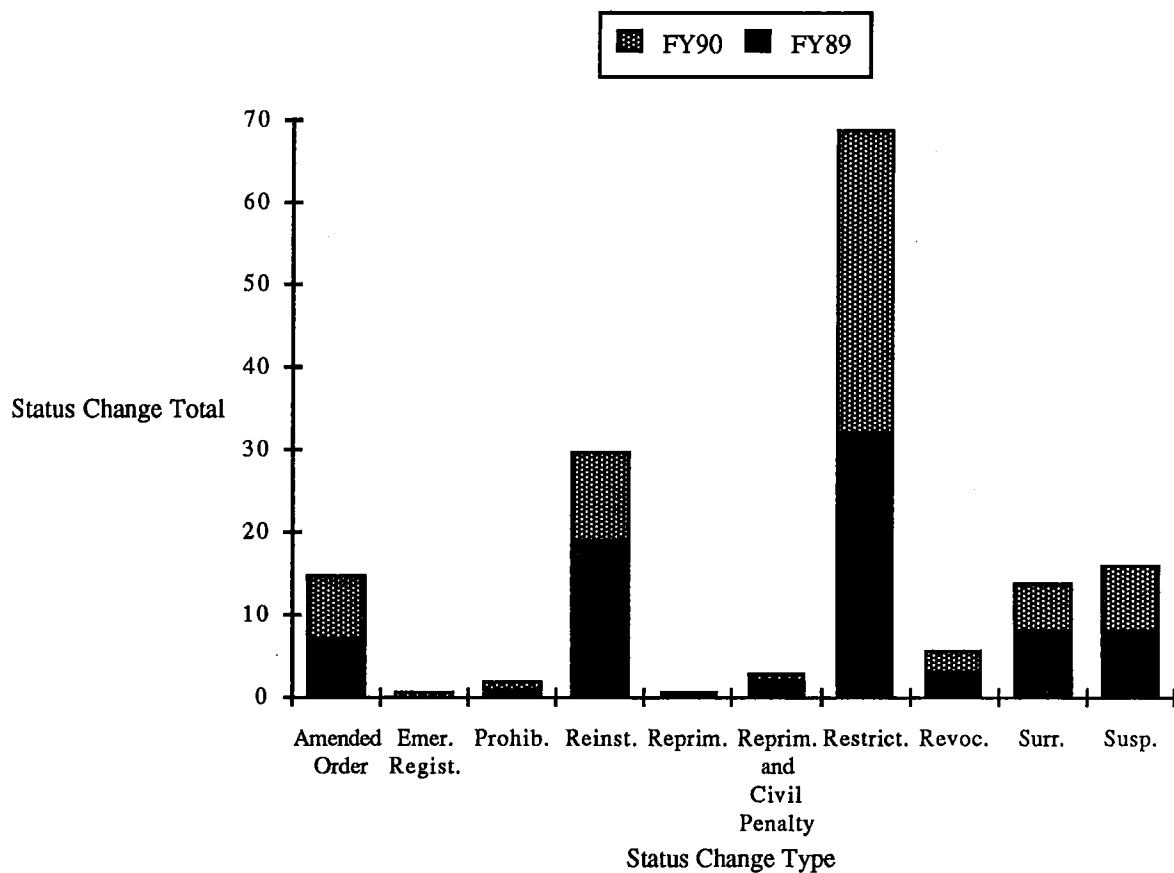
AGE GROUP	FY 89									FY 90									FY 89 AND FY 90								
	EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS			EXAMINED			LIC/REGIS			NOT LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
Under 18																											
18-25										0	1	1	0	1	1				0	1	1	0	1	1			
26-34	11	9	20	11	9	20	1	0	1	6	8	14	6	8	14				17	17	34	17	17	34	1	0	1
35-59	21	9	30	21	9	30	30			9	8	17	9	8	17	1	0	1	30	17	47	30	17	47	1	0	1
60-65																											
66 & Over																											
Total	32	18	50	32	18	50	1	0	1	15	17	32	15	17	32	1	0	1	47	35	82	47	35	82	2	0	2
Calculate % of Male and % of Female to the Total of Each Category																											
% of Total	64	36	100	64	36	100	100	0	100	47	53	100	47	53	100	100	0	100	57	43	100	57	43	100	100	0	100

Clause m: Persons previously licensed or registered by the Board whose licenses or registrations were revoked, suspended, or otherwise altered in status, with brief statements of the reasons for the revocation, suspension, or alteration.

Status change totals for the biennial period:

<u>Status Change Type</u>	<u>FY 89</u>	<u>FY 90</u>	<u>Total</u>
Amended Order	7	8	15
Emeritus Registration	0	1	1
Prohibition	1	1	2
Reinstatement	19	11	30
Reprimand	1	0	1
Reprimand and Civil Penalty	2	1	3
Restriction	32	37	69
Revocation	3	3	6
Surrender	8	6	14
Suspension	8	8	16
Total	81	76	157

Status Change Types, FY89 & FY90



Status change details for the biennial period (cases are M. D. licensees, unless otherwise noted. See Clause o for explanation of abbreviations.):

<u>Case</u>	<u>Reason(s) for Status Change</u>	<u>Status Change</u>
1	Compliance with Order (CD)	Amended Order
2	Financial hardship (P, UP)	Amended Order
3	Amendment (S)	Amended Order
4	Amendment request (CD)	Amended Order
5	Amendment request (CD, P, MC)	Amended Order
6	Amendment request (CD, MC)	Amended Order
7	Amendment (IM, C, BP)	Amended Order
8	Amendment request (CD)	Amended Order
9	Amendment request (mental illness)	Amended Order
10	Amendment request (mental illness)	Amended Order
11	Amendment petition (CD)	Amended Order
12	Amendment (C, CD, LD)	Amended Order
13	Amendment request (CD)	Amended Order
14	Amendment petition (CD)	Amended Order
15	Amendment (illness)	Amended Order
16	IM, P	Emeritus Registration
17 (no license)	W/O	Prohibition
18 (no license)	W/O	Prohibition
19	Compliance with Order (CD)	Reinstatement
20	Compliance with Order (E, UP, P)	Reinstatement
21	Compliance with Order (P)	Reinstatement
22	Compliance with Order (P, UP)	Reinstatement
23	Compliance with Order (CD, UP)	Reinstatement
24	Compliance with Order (CD, UP)	Reinstatement
25	Compliance with Order (V, CD)	Reinstatement
26	Compliance with Order (CD)	Reinstatement
27	Compliance with Order (IM, D)	Reinstatement
28	Compliance with Order (CD, P, MC)	Reinstatement
29	Compliance with Order (CD, MC)	Reinstatement
30	Compliance with Order (P, UP)	Reinstatement
31	Compliance with Order (CD, LD)	Reinstatement
32	Compliance with Order (CD, UP, lying on Board application)	Reinstatement
33	Compliance with Order (P, CD, UP)	Reinstatement
34	Compliance with Order (CD)	Reinstatement
35	Compliance with Order (S, UP)	Reinstatement
36	Compliance with Order (CD, LD)	Reinstatement
37	Compliance with Order (P)	Reinstatement
38 (D. O.)	Compliance with Order (UP)	Reinstatement
39	Compliance with Order (CD)	Reinstatement
40	Compliance with Order (E, UP, P)	Reinstatement
41	Compliance with Order (P, UP)	Reinstatement
42	Compliance with Order (E, IM, P)	Reinstatement
43	Compliance with Order (LD, UP, P)	Reinstatement
44	Compliance with Order (CD)	Reinstatement
45	Compliance with Order (P, UP)	Reinstatement
46	Compliance with Order (CD)	Reinstatement
47	Compliance with Order (IM, C, BP)	Reinstatement
48	Compliance with Order (CD)	Reinstatement

<u>Case</u>	<u>Reason(s) for Status Change</u>	<u>Status Change</u>
49	UP	Reprimand
50	Failure to cooperate with Board investigation	Reprimand and Civil Penalty
51	E, IM, UP	Reprimand and Civil Penalty
52	S	Reprimand and Civil Penalty
53	E, UP	Restriction
54	IM, P	Restriction
55	E, P, UP	Restriction
56	E, P, UP	Restriction
57	P	Restriction
58	F, MC	Restriction
59	Compliance with Order (CD)	Restriction (suspension removed)
60	E, IM, P, UP	Restriction
61	E, IM, P, UP	Restriction
62	E, RO, UP	Restriction
63	IM, P, UP	Restriction
64	F	Restriction
65	E, UP	Restriction
66	CD	Restriction
67	CD	Restriction
68	CD	Restriction
69	CD	Restriction
70	P	Restriction
71	E, IM, P, UP	Restriction
72	CD	Restriction
73	CD	Restriction
74	P	Restriction
75	P, S	Restriction
76	LD	Restriction
77	MC	Restriction
78	P, UP	Restriction
79	CD	Restriction
80	CD, E, LD, P, UP	Restriction
81	E, S, UP	Restriction
82	CD, E, UP, LD	Restriction
83	CD, P lying on Board application	Restriction
84	IM, D	Restriction
85	E, P, UP	Restriction
86	P	Restriction
87	E, LD, UP	Restriction
88	E, LD, P, UP	Restriction (suspension removed)
89	E, F, IM	Restriction
90	CD	Restriction
91	E, P, UP	Restriction
92	IM, UP	Restriction
93	UP	Restriction
94	E, P, UP	Restriction
95	E, P, UP	Restriction
96	E, P, UP	Restriction
97	MC	Restriction
98	E, P, UP	Restriction
99	C	Restriction
100	E, IM, UP	Restriction

<u>Case</u>	<u>Reason(s) for Status Change</u>	<u>Status Change</u>
101	CD	Restriction
102	P	Restriction
103	E, P, UP	Restriction
104	E, P, UP	Restriction
105	CD	Restriction
106	E, P, UP	Restriction
107	E, IM, P, UP	Restriction
108	S	Restriction
109	CD	Restriction
110	LD	Restriction
111	P	Restriction (suspension removed)
112	CD	Restriction
113	E, P, UP	Restriction
114	IM, UP	Restriction
115	IM, UP	Restriction
116	E, P, UP	Restriction
117	CD	Restriction
118	E, P, UP	Restriction
119	CD	Restriction
120	CD	Restriction
121	LD	Restriction
122	BP, E, IM, MC, UP, C, failure to cooperate with Board investigation, violation of law	Revocation
123	LD	Revocation
124	V	Revocation
125	V	Revocation
126	CD, P (request for permanent surrender)	Revocation
127	S, V, W/O	Revocation
128	CD	Surrender
129	Poor Health	Surrender
130	E, IM, UP, V	Surrender
131	Illness	Surrender
132	CD	Surrender
133	E, IM, P, UP, V	Surrender
134	E, IM, P, UP	Surrender
135	UP	Surrender
136	V	Surrender
137	P	Surrender
138	E, P, UP	Surrender
139	LD	Surrender
140	E, UP, retirement	Surrender
141	CD, S, UP, failure to cooperate with Board investigation	Surrender
142	V	Suspension
143	V (CD, UP)	Suspension (six-month)
144	Illness, absence from office	Suspension
145	CD	Suspension
146	LD, C	Suspension
147	MC, P, UP, V	Suspension
148	MC	Suspension
149	V (CD, LD)	Suspension

<u>Case</u>	<u>Reason(s) for Status Change</u>	<u>Status Change</u>
150	V (CD, lying, P)	Suspension
151	BP, E, IM, MC, UP, C, failure to cooperate with Board investigation, violation of law	Suspension (temporary)
152	CD, illness	Suspension
153	BP, D, IM, P, UP, failure to cooperate with Board investigation	Suspension
154	V (CD, LD)	Suspension
155	Illness	Suspension
156	Illness	Suspension (temporary)
157	E, IM, S, UP	Suspension (stayed)

Clause n: List the number of complaints and other communications received by the Executive Secretary, each Board Member, employee, or other person performing services for the Board.

Complaints that allege or imply a violation of a statute or rule which the Board is empowered to enforce. These totals include cases referred to the Attorney General's staff who are assigned to assist your Board:

Jurisdictional complaints:

	<u>FY 89</u>	<u>FY 90</u>	<u>Total</u>
Written	1,020	1,060	2,080
Oral	0	0	0
Total	1,020	1,060	2,080

Complaints which are forwarded to other agencies as required by M.S. 214.10:

	<u>FY 89</u>	<u>FY 90</u>	<u>Total</u>
Written	22	*59	81
Oral	0	0	0
Total	22	59	81

*Includes two complaints forwarded to two separate agencies

Please indicate the number of complaints referred to each other governmental agency (federal, State, and Local) in each fiscal year:

<u>Agency</u>	<u>FY89</u>	<u>FY90</u>	<u>Total</u>
Board of Chiropractors	8	3	11
Board of Dentistry	0	39	39
Board of Nursing	0	3	3
Board of Optometry	3	5	8
Board of Podiatry	1	0	1
Board of Psychiatry	0	4	4
DHS	0	1	1
Health Department	1	0	1
OHFC	6	6	12
Unlisted	3	0	3
Total	22	61	83

Clause o: Summarize, by specific category, the substance of the complaints and communications referred to in clause (n) of M.S. 214.07 and, for each specific category, the responses and dispositions thereof pursuant to M.S. 214.10 and 214.11 (indicate authority/citations for disposition).

(Dispositions occurring during this period of complaints and communications received prior to July 1, 1988, and complaints and communications received but not disposed of as of June 30, 1990 should be included.)

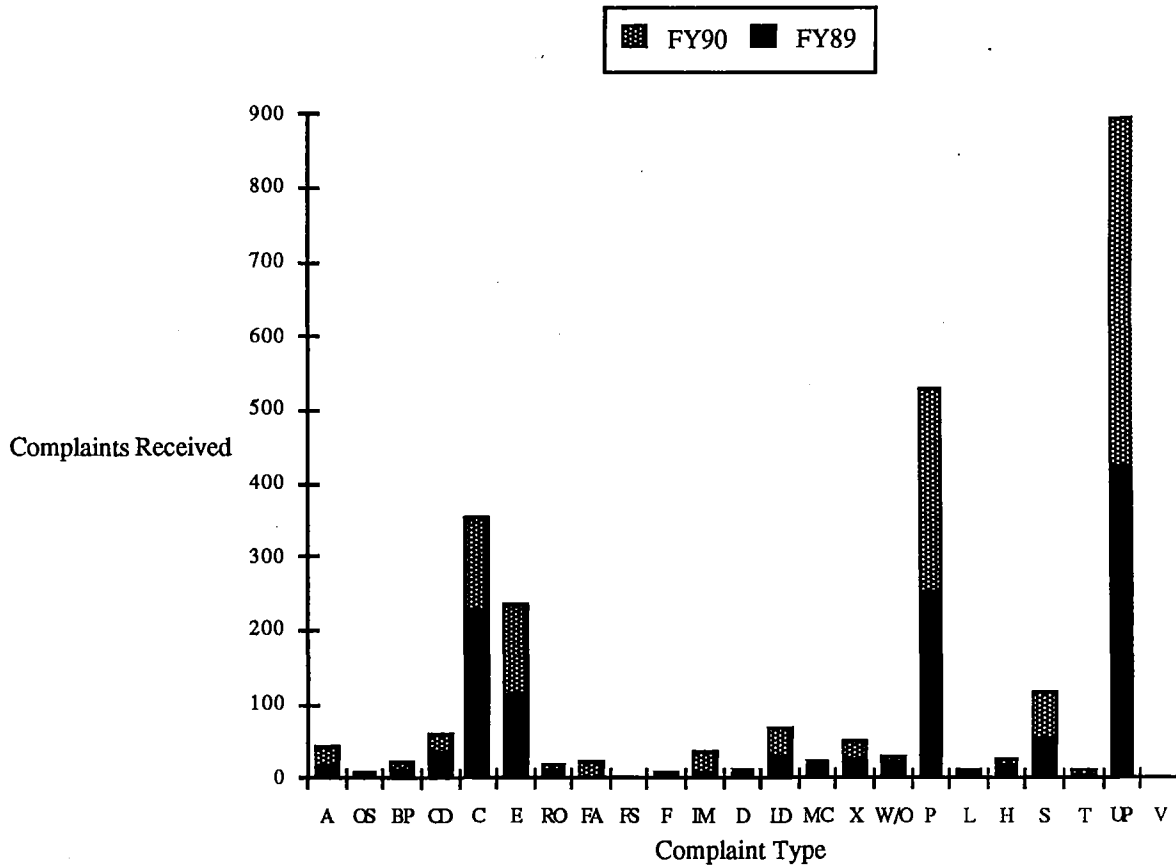
Summary of complaints and communications by specific category (Give number in each specific category. Individual complaints may involve more than one category.):

<u>Type</u>	<u>FY89</u>	<u>FY90</u>	<u>Total</u>
Access to Medical Records (A)	16	28	44
Acting outside Scope of Licensure (OS)	4	5	9
Billing Practices (BP)	9	16	25
Chemical Dependency (CD)	34	29	63
Competency (C)	227	129	356
Ethics (E)	112	124	236
Failure to Report (Reporting Obligation) (RO)	8	11	19
False/Misleading Advertising (FA)	0	23	23
Fee Splitting (FS)	1	1	2
Fraud (F)	6	4	10
Improper Management of Medical Records (IM)	6	32	38
Inappropriate Delegation (D)	10	4	14
License Disciplined in Another State (LD)	28	40	68
Mental Competency (MC)	20	3	23
Non-Jurisdictional (X)	24	26	50
Practicing without License/Registration (W/O)	19	10	29
Prescribing Practices (P)	252	277	529
Questionable License/Registration (L)	10	3	13
Questions/Concerns regarding Hospital Privileges (H)	15	13	28
Sexually Inappropriate Acts (S)	52	67	119
Taxes Delinquent (T)	4	10	14
Unprofessional Conduct (UP)	420	477	897
Violation of Stipulation and Order (V)	1	3	4
Total	1,278	1,335	2,613

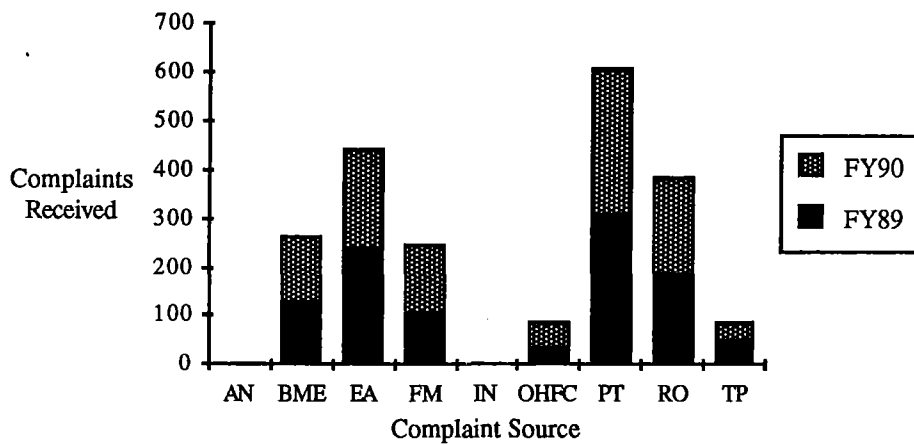
Summary of complaints and communications by specific source:

<u>Source</u>	<u>FY89</u>	<u>FY90</u>	<u>Total</u>
Anonymous (AN)	2	0	2
Board of Medical Examiners (BME)	126	139	275
Enforcement Agency (EA)	240	203	443
Family Member of Patient (FM)	104	144	248
Insurance Company (IN)	1	2	3
Office of Health Facility Complaints (OHFC)	33	54	87
Patient (PT)	308	301	609
Reporting Obligation (Licensed Health Professional) (RO)	183	201	384
Third Party (Except Licensed Health Professional) (TP)	47	42	89
Total	1,044	1,086	2,130

Complaint Types, FY89 & FY90



Complaint Sources, FY89 & FY90



Summary of responses and dispositions for each specific category (Give number in each specific category.):

	<u>FY 89</u>	<u>FY 90</u>	<u>Total</u>
Dismissed as non-jurisdictional	80	51	131
Dismissed but jurisdictional	586	421	1,007
In process	69	366	435
Referred to AGO	309	248	557
(Disciplinary action taken*	81	76	157)
(Referred to other agencies*	22	59	81)
Total	1,044	1,086	2,130

*Secondary dispositions, not included in total

Clause q: The following numbered cases are summaries of individual complaints that were pending on the biennial report for July 1, 1986 through June 30, 1988 involving allegations of sexual contact between a physician and a patient. These include the following in their respective numbered sections:

- 1.A listing of the allegations.
- 2.Findings of investigation or a statement that the investigation is "in progress."
- 3.A listing of the steps the Board has taken in acting on the case.
- 4.Statement of disposition.
- 5.Rationale for disposition.

Cases #1-#4

- 1.Allegation that physician engaged in sexual misconduct by inappropriate touching and comments to a patient. Another patient alleges physician coerced her into having sexual intercourse. Sexual misconduct allegation is combined with report restricting physician's medical staff privileges because of poor medical practices and PADS report indicating higher than anticipated prescribing of controlled substances.
- 2.Investigation revealed credible complainants and recommended disciplinary conference with the physician.
- 3.Discipline Committee review, AGO investigation, re-review by Discipline Committee, full Discipline Committee conference appearance.
- 4.Stipulation and Order requiring permanent surrender of physician's Minnesota license and prohibiting physician from reapplying for licensure in Minnesota or any other state in which physician is licensed. If physician seeks license renewal in any state, Board may reopen file. Reference to sexual misconduct is deleted.
- 5.In the interest of bringing the matter to a settlement and avoiding a contested case proceeding, the Discipline Committee agreed to drop reference to sexual misconduct in the statement of the facts but to require permanent surrender of Minnesota license.

Case #5

- 1.Allegation that physician engaged in sexual misconduct with patients by inappropriate sexual touching and requests for sexual favors. Sexual misconduct allegation combined with allegations of unprofessional conduct, inability to practice medicine with reasonable skill and safety to patients by reason of alcohol abuse, and failure to cooperate with the Board's investigation.
- 2.Investigation supported allegation, and physician denied allegation.
- 3.Discipline Committee review, AGO investigation, full Discipline Committee discussion, Discipline Committee appearance.
- 4.Stipulation and Order that physician resign Minnesota license and not reapply for Minnesota license. If physician seeks Minnesota relicensure, Board may reopen investigation.

5.The Board has probable cause to proceed, but the complaints, all of which are contested, may be unresolvable due to the passage of time. Respondent denies all complaints, no longer resides in Minnesota, and represents that he has retired from practice in Minnesota.

Case #6

- 1.Allegation that physician engaged in sexual conduct with a patient which is sexual, including intercourse.
- 2.Investigation substantiated the allegation.
- 3.Discipline Committee review, AGO investigation, Discipline Committee conference, interim stipulation, and required psychiatric evaluations by a consulting psychiatrist and a psychologist.
- 4.Stipulation and Order restricting physician's license: physician restricted from any sexual conduct with a patient, required to undergo psychotherapy and boundary training, required to meet quarterly with a Board member, and required to pay a civil penalty of \$2,400.
- 5.Allegation substantiated. Physician admits sexual relationship with complainant.

Case #7

- 1.Allegation that physician had been dating a patient and was sexually active with this patient.
- 2.Investigation revealed that physician admits to dating the patient. Sexual allegation substantiated. Psychiatric evaluation concluded no evidence of psychiatric disorder.
- 3.Discipline Committee review, AGO investigation, Discipline Committee conference, psychiatric evaluation, second Discipline Committee conference.
- 4.Stipulation and Order reprimanding physician, requiring physician to pay Board costs (\$2,369.02), and requiring notification to Board and completion of boundary issues course if physician returns from retirement to active practice.
- 5.Allegation substantiated.

Case #8

- 1.Allegation that physician was sexually inappropriate with a patient by hugging and making inappropriate comments.
- 2.Investigation revealed a dispute of facts between the patient and the physician.
- 3.Discipline Committee review, initial and follow-up AGO investigations, full Discipline committee discussion, full Discipline Committee conference, required boundaries discussion meeting, second full Discipline Committee discussion, and second Discipline Committee appearance.
- 4.Dismissal.
- 5.Allegations were not substantiated.

Cases #9-#12

- 1.Allegation that physician engaged in sexual misconduct with six patients by inappropriate touching and being excessively rough during pelvic examinations.
- 2.Investigation revealed six credible witnesses and a physician who denies the allegation.
- 3.Discipline Committee review, AGO investigation, Discipline Committee conference.
- 4.Stipulation and Order which prohibits sexual conduct with a patient, prohibits pelvic exams unless attended by a female nurse, requires reports from supervising physicians, requires follow-up interviews with physician's women patients, requires physician to meet quarterly with a Board member, requires physician to pay a civil penalty of \$3,000, and requires the physician to complete a sexual attitude assessment seminar and a pelvic exam seminar.
- 5.Six credible and unrelated witnesses describe essentially similar but separate occasions of sexual misconduct by the physician.

Cases #13-#19

- 1.Allegation that physician engaged in sexual misconduct by making sexual advances toward a patient through inappropriate touching. Two other patients allege physician failed to have a female

attendant present, as required by physician's Order. Several other complaints of a variety of allegations are outstanding.

2. Investigation results are indeterminate.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #20

1. Allegation that physician sexually assaulted a patient while the patient was under hypnosis.
2. Investigation revealed a patient who claimed the case was one of mistaken identity and that the incident had not occurred. The patient wished not to meet in person with the investigator.
3. Discipline Committee review, AGO investigation.
4. Dismissal.
5. Patient recanted initial allegation and wished not to meet in person with the investigator.

Case #21

1. Allegation that physician is sexually involved with one of his patients.
2. Investigation supported the allegation.
3. Discipline Committee review, AGO investigation, full Discipline Committee conference.
4. Pending.
5. N/A.

Case #22

1. Allegation that physician was having a sexual relationship with a patient.
2. Investigation revealed a dispute of facts between the patient and physician but confirmed numerous sexual encounters.
3. Discipline Committee review, AGO investigation, mental and physical examination, and Complaint Review Committee conference appearance.
4. Stipulation and Order prohibiting physician from engaging in sexual contact with a patient; restricting practice to a group practice approved by the Board; requiring a female nurse or assistant to be present at all times when physician examines female patients; requiring counseling with a Board-certified psychiatrist or psychologist; requiring boundary issues training; requiring a meeting with the Board, six months after the date of the Stipulation and Order, to review patient care; requiring physician to pay a civil penalty of \$9,000; and requiring physician to notify Board if physician returns to Minnesota to practice medicine.
5. Allegation was substantiated.

Case #23

1. Allegation that physician was sexually inappropriate with male patients by looking at them in a seductive way and rubbing himself in the groin area in their presence.
2. Investigation revealed a dispute of facts between the physician and the patient.
3. Discipline Committee review, AGO investigation, full Discipline Committee discussion, and Discipline Committee appearance.
4. Dismissal.
5. Allegation not substantiated.

Cases #24-#25

1. Allegation that physician made inappropriate sexually connoted remarks to a patient.
2. Investigation revealed a complainant who is reluctant to press the investigation and a physician who denies the allegation.
3. Discipline Committee review, AGO investigation, full Discipline Committee discussion, Discipline Committee member one-on-one discussion with physician regarding boundary issues.
4. Dismissal.
5. Allegation was not substantiated, and complainant was reluctant to press investigation.

44

Case #26

1. Allegation that physician engaged in sexual misconduct by performing an unnecessary breast exam.
2. Investigation revealed a physician who denies the allegation and denies performing a complete breast exam on the complainant.
3. Discipline Committee review, AGO investigation, full Discipline Committee discussion, additional AGO investigation.
4. Dismissal.
5. Insufficient evidence to support allegation.

Cases #27–#28

1. Allegation that physician engaged in sexual misconduct while examining a patient.
2. Investigation revealed a physician who denied the allegation but who appeared, at best, to be gruff and disrespectful in his exam of the complainant.
3. Discipline Committee review and AGO investigation.
4. Dismissal.
5. Allegation was not substantiated, and a precedent involving allegations from three women of sexual abuse by another physician was ruled in an appeals court in favor of the physician.

Case #29

1. Allegation that physician was involved in a sexual relationship with a patient.
2. Investigation revealed a dispute of facts between the patient and the physician.
3. Discipline Committee review, AGO investigation, full Discipline Committee discussion, second full Discipline Committee discussion.
4. Pending.
5. N/A.

Cases #30–#32

1. Allegation that physician engaged in sexual misconduct by inappropriately touching a patient.
2. Investigation substantiated the allegation.
3. Discipline Committee review, AGO investigation, physician is scheduled for a discipline conference.
4. Pending.
5. N/A.

Case #33

1. Allegation that physician sexually harassed and assaulted a patient who was also a former employee.
2. Investigation revealed a physician who denies making sexual advances toward this patient but admits there are times when he hugs and kisses his patients (specifically, at the time of childbirth).
3. Discipline Committee review, AGO investigation, Complaint Review Committee conference.
4. Pending completion of boundaries course.
5. N/A.

Case #34

1. Allegation that physician made inappropriate sexual remarks and advances to a patient, while in the office as well as during unannounced visits, during a period of approximately 1960–65.
2. Investigation revealed a credible complainant and a physician who denies the allegation and who has been retired since 1987.
3. Discipline Committee review and AGO investigation.
4. Dismissal.

5. Alleged misconduct occurred about 25 years previously, potential witnesses are no longer available, no other complaints against this physician have been reported or alleged, and physician has retired from practice due to physical disability and doesn't plan to return to active practice.

Case #35

1. Allegation that physician made inappropriate sexual advances toward a vulnerable adult.
2. Allegation was not substantiated.
3. Discipline Committee review, AGO investigation.
4. Dismissal.
5. Allegation was not substantiated.

Case #36

1. Allegation that physician engaged in sexual misconduct by inappropriately touching a patient.
2. Allegation was not substantiated.
3. Discipline Committee review, AGO investigation.
4. Dismissal.
5. Allegation was not substantiated.

Cases #37–#39

1. Allegation that physician engaged in sexual misconduct by making sexual remarks to his patients in the office as well as during unannounced visits to the patients' homes. Some additional allegations are pending.
2. Investigation supported allegations, and physician is unwilling to cooperate with the investigation.
3. Discipline Committee review, AGO investigation, Notice and Order for Hearing before Administrative Law Judge.
4. Pending.
5. N/A.

Case #40

1. Allegation that physician engaged in sexual misconduct with one of his patients by examining her breasts and giving her a pelvic examination when she was there to be examined for unrelated reasons.
2. Investigation revealed a physician who denies the allegation as well as a complainant who recanted most of her complaint.
3. Discipline Committee review, AGO investigation, coordination of investigation of same complaint by St. Paul Police Department.
4. Dismissal.
5. Allegation was not substantiated and was mostly recanted by complainant.

Cases #41–#42

1. Allegation that physician made inappropriate sexual advances toward one of his patients.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation in progress.
4. Pending.
5. N/A.

Case #43

1. Allegation that physician engaged in sexual misconduct while giving patient a pap smear
2. Investigation revealed a physician who denies the allegation
3. Discipline Committee review, AGO investigation, and Discipline Committee appearance.
4. Dismissal.
5. Allegation was not substantiated.

Clause q: The following numbered cases are summaries of individual complaints filed with the Board or acted upon by the Board between July 1, 1988 and June 30, 1990 involving allegations of sexual contact between a physician and a patient. These include the following in their respective numbered sections.

- 1.A listing of the allegations.
- 2.Findings of investigation or a statement that the investigation is "in progress."
- 3.A listing of the steps the Board has taken in acting on the case.
- 4.Statement of disposition.
- 5.Rationale for disposition.

Case #1

- 1.Allegation that physician conducted a breast exam on a patient without advance notice. The patient was there to have her high blood pressure checked.
- 2.Complainant's address and phone number were not found in the phone book. Complaint form was not received.
- 3.Discipline Committee review, AGO investigation
- 4.Case closed unless a complaint form is received.
- 5.No verified record of complaint submitted.

Case #2

- 1.Allegation that physician engaged in sexual contact with a patient.
- 2.Investigation findings substantiate the allegation.
- 3.Discipline Committee review, AGO investigation, disciplinary conference with the physician.
- 4.License restricted.
- 5.Investigation substantiated allegation.

Case #3

- 1.Allegation that physician engaged in sexual contact with a patient.
- 2.Investigation findings substantiated allegation.
- 3.Discipline Committee review, AGO investigation, disciplinary conference with the physician.
- 4.License restricted.
- 5.Investigation substantiated allegation.

Case #4

- 1.Allegation that physician engaged in sexual contact with a patient.
- 2.Investigation findings support the allegation.
- 3.Discipline Committee review, AGO investigation, disciplinary conference with the physician.
- 4.License restricted.
- 5.Investigation substantiates the allegations.

Case #5

- 1.Allegation that physician made sexual advances on a patient.
- 2.Investigation results are indeterminate.
- 3.Discipline Committee review, AGO investigation.
- 4.No basis for action without patient's statement or cooperation.
- 5.The original complaint was from an anonymous complainant. Without information and cooperation from the complainant, it is difficult to corroborate the evidence.

Case #6

- 1.Allegation that physician inappropriately touched a female patient during an examination.
- 2.Investigation is in progress.
- 3.Discipline Committee review, AGO investigation, in the process of being scheduled for a disciplinary discussion item.

4. Pending.
5. N/A

Case #7

1. Allegation that physician engaged in inappropriate sexual acts with young male patients during examinations.
2. Handwriting of the complaint letter, allegedly written by the physician, did not match his own. It was unlikely that he wrote the letter, so dismissal was recommended.
3. Discipline Committee review, AGO investigation.
4. Dismissal.
5. Allegation was not substantiated.

Case #8

1. Allegation of inappropriate sexual conduct with young males at a youth center, including inappropriate touching and language.
2. The handwriting in the complaint letter, which was allegedly written by the physician, did not match his handwriting. This complaint was virtually identical to another complaint filed about one year earlier.
3. Discipline Committee review, AGO investigation
4. Pending.
5. N/A.

Case #9

1. Allegation that physician was involved in inappropriate sexual conduct with patients.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #10

1. Allegation that physician engaged in inappropriate sexual contact with male adolescent patients.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #11

1. Allegation that physician behaved in a sexually inappropriate manner.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #12

1. Child protection alleged a physician inappropriately touched a 17-year-old girl during a pelvic exam and asked inappropriate questions.
2. Physician's explanation found "to be extremely believable," giving the impression that he had told the truth and the complainant misunderstood his "overly jovial" attempt to set her at ease.
3. Discipline Committee review, AGO investigation.
4. Dismissal.
5. Lack of evidence that physician's conduct was inappropriate.

Case #13

1. Allegation that physician inappropriately touched a female patient during an examination.

2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending
5. N/A

Case #14

1. Allegation that physician behaved in a sexually inappropriate manner during a neurological examination.
2. Findings did not substantiate the allegations.
3. Discipline Committee review, AGO investigation.
4. Dismissal.
5. Allegation not substantiated.

Cases #15–#16

1. Allegation that physician inappropriately touched and engaged in sexual intercourse with an adolescent female in a medical setting.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending
5. N/A.

Case #17

1. Allegation that physician was engaged in a sexual relationship with a patient.
2. Investigation results were indeterminate.
3. Discipline Committee review, AGO investigation, disciplinary conference with the physician.
4. Dismissal.
5. Lack of evidence.

Cases #18–#21

1. Allegation that physician made inappropriate sexual advances toward a patient, including attempted sexual intercourse.
2. Investigation results were indeterminate.
3. Discipline Committee review, AGO investigation, disciplinary conference with the physician.
4. Pending.
5. N/A.

Case #22

1. Allegation that physician required female patients to have sexual intercourse with him in order to receive psychiatric treatment.
2. Investigation revealed a dispute of facts between patient and physician.
3. Discipline Committee review, AGO investigation.
4. Dismissal.
5. Insufficient basis for disciplinary action.

Case #23

1. Allegation that physician sexually harassed an employee and inappropriately touched the same during an examination.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation, Disciplinary Conference with the physician.
4. Pending.
5. N/A.

Case #24

1. Allegations that physician inappropriately touched patients and exposed himself to them.

- 2.The physician denies the allegations. The complainants, through their therapists, corroborate independently events of inappropriate touch and sexual contact.
- 3.Discipline Committee review, AGO investigation, physician is scheduled for a disciplinary conference.
- 4.Pending.
- 5.N/A.

Case #25

- 1.Allegation that physician inappropriately touched and made inappropriate comments to two daughters of the complainant during their sports physicals and that there was no nurse present during these examinations.
- 2.Investigation is in progress.
- 3.Discipline Committee review, AGO investigation.
- 4.Pending.
- 5.N/A.

Case #26

- 1.Allegation that physician improperly touched a patient during an examination. The physician performed a breast exam, touched the patient's legs, and inquired about a pelvic exam even though the patient was present because of back pain from an auto accident.
- 2.Investigation is in progress.
- 3.Discipline Committee review, AGO investigation.
- 4.Pending.
- 5.N/A.

Case #27

- 1.Allegation that physician performed an inappropriate breast, pelvic, and rectal exam on an 11-year-old female; that no parental consent for examination was given; and that no nurse was present during the examination.
- 2.Evidence does not provide a substantial basis for concluding the physician engaged in sexual conduct with the patient. However, the report raises numerous questions about his practice of performing breast and pelvic examinations, not providing coverings for female patients, and performing perineal stretching during prenatal visits.
- 3.Discipline Committee review, AGO investigation, physician will be scheduled for a disciplinary conference.
- 4.Pending.
- 5.N/A.

Case #28

- 1.Allegation of inappropriate touching of a patient's breasts during a crisis intervention visit.
- 2.Physician is a resident at Hennepin County Medical Center and was reprimanded internally by department chief. AGO investigation is in progress.
- 3.Discipline Committee review, AGO investigation.
- 4.Pending.
- 5.N/A.

Case #29

- 1.Allegation by the alleged victim's psychotherapist that physician made inappropriate sexual advances by trying to kiss her during an exam.
- 2.The alleged victim did not come forward. Without her identification, the investigation could not proceed.
- 3.Discipline Committee review, AGO investigation.
- 4.Dismissal.
- 5.Lack of evidence because alleged victim did not come forward.

Case #30

1. Allegations of sexual harassment during gynecological examinations of two patients and of a sexual relationship with a hospital nurse.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #31

1. Allegation that physician was engaged in an extramarital sexual affair with the woman who later became his wife.
2. The woman involved is now the physician's wife. She is not available as a witness currently.
3. Discipline Committee review, AGO investigation, physician is scheduled for a disciplinary conference.
4. Pending.
5. N/A.

Case #32

1. Allegation that physician improperly conducted himself during an examination by looking inside the gown of a patient while listening to her heart.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #33

1. Allegation that physician engaged in inappropriate sexual conduct during psychiatric therapy from approximately 1973 to 1981.
2. Investigation revealed a dispute of facts between the physician and patients.
3. Discipline Committee review, AGO investigation, discussed at Discipline Committee meeting.
4. Dismissal.
5. Lack of evidence of sexual misconduct.

Case #34

1. Allegation of inappropriate sexual conduct during an independent medical evaluation.
2. Investigation results are indeterminate.
3. Discipline Committee review, AGO investigation.
4. Dismissal.
5. Lack of evidence.

Case #35

1. Allegation that physician inappropriately touched a female patient during an examination.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #36

1. Allegation that physician was rude and sexually demeaning during a neurological examination. Physician allegedly refused to let the patient put her clothes back on, even though she repeatedly asked to be able to do so.
2. Insufficient evidence of inappropriate touch or sexual misconduct.

3. Discipline Committee review, AGO investigation, review by Complaint Review Committee Chair.
4. Case is jurisdictional, but no basis for action is apparent.
5. Evidence presented by physician indicates no basis for change. The nurse present during the exam did not observe inappropriate conduct and the physician stated in a deposition that he did nothing sexual with the patient.

Case #37

1. Allegation that physician made inappropriate sexual remarks and engaged in inappropriate contact and behavior within a psychiatric ward in 1968.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #38

1. Allegations that physician inappropriately touched a female patient during psychiatric sessions in 1970 and 1971, that he threatened to commit her if she did not have sex with him, and that he traded sexual activity for drugs with several males.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation, Contested Case proceeding has been filed.
4. Pending.
5. N/A.

Cases #39-#40

1. Allegation of a sexual relationship with a patient.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #41

1. Allegation that physician inappropriately touched a patient's breasts during an examination given prior to her donating blood at a plasma center.
2. Insufficient evidence that the exam was inappropriate
3. Discipline Committee review, AGO investigation.
4. Dismissal.
5. No basis for action is apparent.

Cases #42-#43

1. Allegation that physician gave unrequested hugs and kisses on the mouth and made inappropriate sexual comments during a four year psychiatric treatment period.
2. Investigation revealed a dispute of facts between the patient and physician.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #44

1. Allegation that physician performed an inappropriate pelvic examination and that he was unnecessarily rough during the examination.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #45

1. Allegations that physician was unnecessarily rough during a pelvic exam and that he did not afford the patient privacy.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #46

1. Allegation that physician inappropriately touched a female patient during a neurological examination while she was in intensive care.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #47

1. Allegation that physician performed an inappropriate pelvic exam.
2. Physician is not now and has not in the past been licensed in Minnesota. He is licensed in North Dakota, where the alleged action occurred.
3. Discipline Committee review.
4. Dismissal.
5. Non-jurisdictional.

Case #48

1. Allegation that physician inappropriately touched a female patient during a counseling session.
2. Physician is not now and has not in the past been licensed in Minnesota. He is licensed in North Dakota, where the alleged action occurred.
3. Discipline Committee review.
4. Dismissal.
5. Non-jurisdictional.

Case #49

1. Allegation that physician inappropriately touched a female patient during an examination.
2. There were no other reports against the physician.
3. Discipline Committee review, AGO investigation, disciplinary conference with the physician.
4. Dismissal.
5. No other complaints of this nature. The matter was handled internally by the college at which this incident occurred.

Case #50

1. Allegation of improper conduct and language related to a prenatal exam visit.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Cases #51-#52

1. Allegation of inappropriate touching of a vulnerable adult patient in the course of a physical therapy exam by a registered physical therapist.
2. Criminal investigation was closed due to lack of evidence. Reports from the AGO and Adult Protection and Care Facility are inconsistent with each other because of information reported by the accused and other staff at the facility.

3. Discipline Committee review, AGO investigation, Physical Therapy Council review, Complaint Review Committee Chair review.
4. Pending
5. N/A.

Case #53

1. Allegation that physician engaged in improper behavior during an examination.
2. Investigation revealed a dispute of facts between physician and patient.
3. Discipline Committee review, AGO investigation, Discipline Committee re-review.
4. Dismissal.
5. Allegations were unsubstantiated.

Case #54

1. Allegation that physician was involved in an extramarital affair with a patient.
2. Investigation substantiates the allegation.
3. Discipline Committee review, AGO investigation, physician is in the process of being scheduled for Discipline Committee appearance.
4. Pending.
5. N/A.

Case #55

1. Allegation that physician was involved in an extramarital sexual relationship with a patient.
2. The investigation supports the allegation.
3. Discipline Committee review, AGO investigation, physician is being scheduled for a Discipline Committee appearance.
4. Pending.
5. N/A.

Cases #56–#57

1. Allegation that the physician was involved in an extramarital sexual relationship with a patient.
2. Investigation substantiates the allegation.
3. Discipline Committee review, AGO investigation, physician is scheduled for a disciplinary conference.
4. Pending.
5. N/A.

Case #58

1. Allegation that physician was dating a patient.
2. Woman involved was not a patient of the physician.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #59

1. Allegation of inappropriate sexual behavior toward a female patient.
2. Patient demands to remain anonymous and AGO suggests dismissing the file.
3. Discipline Committee review, AGO investigation.
4. Dismissal.
5. Patient demands to remain anonymous.

Case #60

1. Allegation that physician was disciplined by the Massachusetts Board for sexual misconduct was brought to the Board by the physician in his application for Minnesota licensure.
2. Investigation is in progress.

- 3.Discipline Committee review, AGO investigation.
- 4.Pending.
- 5.N/A.

Case #61

- 1.Allegation that physician was engaged in a sexual relationship with a patient.
- 2.Investigation is in progress.
- 3.Discipline Committee review, AGO investigation.
- 4.Pending.
- 5.N/A.

Cases #62–#64

- 1.Allegations that physician engaged in sexual relations with his son.
- 2.The physician pleaded guilty to criminal sexual misconduct charges.
- 3.Discipline Committee review, AGO investigation.
- 4.Pending.
- 5.N/A.

Cases #65–#70

- 1.Allegation that physician engaged in sexual misconduct with a minor male patient.
- 2.Investigation is in progress.
- 3.Discipline Committee review, AGO investigation.
- 4.Pending.
- 5.N/A.

Case #71

- 1.Self-report in which physician acknowledges but does not corroborate allegations of general misconduct.
- 2.Investigation is in progress.
- 3.Discipline Committee review, AGO investigation.
- 4.Pending.
- 5.N/A.

Case #72

- 1.Allegation of improper sexual contact by physician during an examination.
- 2.Investigation is in progress.
- 3.Discipline Committee review, AGO investigation in progress.
- 4.Pending.
- 5.N/A.

Cases #73–#75

- 1.Allegation that physician had an extramarital sexual relationship with a patient.
- 2.Investigation is in progress.
- 3.Discipline Committee review, AGO investigation.
- 4.Pending.
- 5.N/A.

Case #76

- 1.Allegation that physician engaged in an extramarital sexual relationship with the complainant's wife and that the physician sexually abused the complainant during an exam.
- 2.Investigation is in progress.
- 3.Discipline Committee review, AGO investigation.
- 4.Pending.
- 5.N/A.

Case #77

1. Allegation that physician performed an inappropriate pelvic exam.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #78

1. Allegation that physician made inappropriate sexual comments to a female patient.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #79

1. Allegation of inappropriate sexual relationship during a two to three year period of therapy in the late 1970s.
2. AGO investigation is in progress.
3. Discipline Committee review, AGO investigation in progress.
4. Pending.
5. N/A.

Case #80

1. Allegation that physician coerced patient into a sexual relationship.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #81

1. Allegation that physician engaged in a sexual relationship with a patient during a period of physical therapy.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation, physician will be scheduled for a disciplinary conference when the investigation is completed.
4. Pending.
5. N/A.

Case #82

1. Allegation that physician inappropriately touched a female patient during an examination.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #83

1. Allegation that physician inappropriately touched a female patient and encouraged inappropriate sexual rehabilitative treatment such as having patient watch slides of couples having sex for "desensitization."
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #84

1. Allegation that medical student sexually stimulated a patient during a gynecological exam.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #85

1. Allegation that physician sexually molested a vulnerable adult male patient.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #86

1. Allegation that physician performed inappropriate examinations of female patients. Physician also failed to report that he was on probation by the North Dakota Board.
2. Investigation substantiates the allegation.
3. Discipline Committee review, AGO investigation, scheduled for a disciplinary conference.
4. Pending.
5. N/A.

Case #87

1. Allegations that physician sexually assaulted a female patient and exposed himself during an examination.
2. Evidence substantiates the allegations.
3. Discipline Committee review, AGO investigation, physician is scheduled for a disciplinary conference.
4. Pending.
5. N/A.

Case #88

1. Allegation that physician inappropriately touched a patient and used inappropriate language during a pelvic examination.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #89

1. Allegation that physician sexually harassed a patient during a physical examination.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #90

1. Allegation that psychiatrist engaged in a sexual relationship with a patient during the mid-1970s.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #91

1. Allegation that physician inappropriately touched a patient during four separate physical examinations following a car accident.
2. There is no way to investigate at this time because the complainant chooses to remain anonymous.
3. Discipline Committee review, AGO investigation.
4. Hold file until September 1, 1990. Complainant is expected to call by August following the trial concerning her car accident. At that time, the complainant plans to identify herself.
5. No way to investigate at this time, but will hold until September 1, 1990.

Case #92

1. Allegation that psychiatrist repeatedly touched a patient during a therapy session.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #93

1. Allegation that physician made inappropriate sexual requests and statements.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #94

1. Self report alleging possible inappropriate meeting between a psychiatrist and her patient.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #95

1. Allegation of sexual misconduct during a dermatological examination.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Cases #96-#97

1. Allegation that physician engaged in an extramarital sexual relationship with a patient.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Cases #98-#99

1. Allegation that physician engaged in an extramarital sexual relationship with a patient.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #100

1. Allegation that physician made inappropriate remarks during a psychiatric therapy session with a female patient.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.

Case #101

1. Allegation that physician engaged in a sexual relationship with and harassed a female patient.
2. Investigation is in progress.
3. Discipline Committee review, AGO investigation.
4. Pending.
5. N/A.