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Minnesota Board of Dentistry Biennial Report

REPORT PERIOD

JULY 1, 1987-JUNE 30, 1988

BIENNIAL REPORT OF EXAMINING AND LICENSING BOARDS

(M.S. 1987 Supplement, Section 214.07)

BOARD: Minnesota Board of Dentistry

LOCATION: 2700 University Avenue West, Suite 109
St. Paul, Minnesota 55114

**STATUTORY
AUTHORITY:** M.S. 150A, 1988

REPORT PERIOD: July 1, 1986 To June 30, 1988

SUBMITTED BY: Douglas R. Sell, Executive Director
October 1, 1988

Copies of this report shall be delivered to: (A) the Legislature in accordance with Section 3.195 (1 copy to the Secretary of the Senate, 1 copy to the Chief Clerk of the House of Representatives and 10 copies to the Legislative Reference Library); and (B) the Governor. Each health-related board shall also deliver a copy of their report to the Board of Health.

MINNESOTA BOARD OF DENTISTRY

Biennial Report
(M.S. 1986 - Section 214.07)

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MINNESOTA BOARD OF DENTISTRY

Clause a: GENERAL STATEMENT OF BOARD ACTIVITIES

(This description should cover both FY 87 and FY 88 and include any changes (additions/deletions) in activities between those years.)

The purpose of the Board of Dentistry is to ensure the citizens of Minnesota of professional competency by licensing and registering dentists, dental hygienists and registered dental assistants whose fitness to practice has been tested and whose training and other qualifications meet the standards established by the Board. In addition, the Board receives, investigates and resolves consumer grievances.

During the two-year report period, the Board initially licensed 266 dentists and 254 dental hygienists; initially registered 783 assistants and 80 professional corporations; reinstated 41 licenses and 51 registrations, issued 19,595 annual registration certificates; acted on 227 consumer complaints; participated in 36 regional and national board examinations for dentists and hygienists; participated in 4 accreditation visits at dental, dental hygiene and dental assisting schools; and reviewed approximately 900 continuing education programs.

A data base management system has been implemented to provide for efficient recordkeeping, processing of annual registrations and management of other office data storage, retrieval and reporting requirements. Complaint status reporting has been incorporated whereby license records are linked to the disciplinary system and reports are generated upon command.

The Board has proposed rules concerning conscious sedation, CPR, sanitary/safety conditions in the office, reinforcement of disciplinary measures and delegation of duties to auxiliary personnel. Formal hearing and rule adoption are scheduled for Spring of 1989.

MINNESOTA BOARD OF DENTISTRY

Clause b: TOTAL NUMBER OF MEETINGS HELD

(Approximate number of hours devoted by Board members to meetings and on other Board activities. This includes both public and non-public meetings.)

Number of Meetings FY 87 16 FY 88 19 TOTAL 35

NAME	MEETING TYPE	HOURS			OTHER ACTIVITIES TYPE	HOURS		
		FY87	FY88	TOTAL		FY87	FY88	TOTAL
Dorvinen, Harry R. DDS	Brd Mtg	40	46	86	Other	74	48	122
	Exec Mtg	43	40	83				
	Exams	101	129	230				
	Complaint	12		12				
Hill, Arnold J. DDS	Brd Mtg		15	15	Other		11	11
	Exec Mtg		5	5				
	Exams		16	16				
	Complaint							
Hoover, Robert R. DDS	Brd Mtg	35	39	74	Other	139	118	257
	Exec Mtg	26	27	53				
	Exams	129	238	367				
	Complaint	35	68	103				
Irgens, Lawrence DDS	Brd Mtg	19	48	67	Other	49	65	114
	Exec Mtg	27	52	79				
	Exams	60	138	198				
	Complaint		8	8				
McCarter, Thomas J. DDS	Brd Mtg	33	38	71	Other	127	153	280
	Exec Mtg	56	26	82				
	Exams	110	66	176				
	Complaint	171	68	239				
Snowden, Vivian Joy DDS	Brd Mtg				Other	29		29
	Exec Mtg							
	Exams	32		32				
	Complaint	45		45				
Wright, Boyd A. DDS	Brd Mtg	36	19	55	Other	134	52	186
	Exec Mtg	42	35	77				
	Exams	116	6	122				
	Complaint	108	153	261				
Young, Lynda J. DH	Brd Mtg	34	49	83	Other	102	41	143
	Exec Mtg	38	43	81				
	Exams	108	45	153				
	Complaint	46	38	84				
Lapham, Kathleen A. RDA	Brd Mtg	37	45	82	Other	203	131	334
	Exec Mtg	32	40	72				
	Exams							
	Complaint	37	22	59				

MINNESOTA BOARD OF DENTISTRY

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MINNESOTA BOARD OF DENTISTRY

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(Approximate number of hours devoted by Board members to meetings and on other Board activities. This includes both public and non-public meetings.)

Number of Meetings FY 87 16 FY 88 19 TOTAL 35

NAME	MEETING TYPE	HOURS			OTHER ACTIVITIES TYPE	HOURS		
		FY87	FY88	TOTAL		FY87	FY88	TOTAL
Harrington, Cecilia M	Brd Mtg	36	8	44	Other	72	102	174
	Exec Mtg	44	31	75				
	Exams	56	24	80				
	Complaint	40		40				
Kail Bonnie	Brd Mtg	12	35	47	Other	12	140	152
	Exec Mtg	15	47	62				
	EXams							
	Complaint	41	14	55				
Ploof, Mary Jane	Brd Mtg	22		22	Other	82		82
	Exec Mtg	18		18				
	Exams	10		10				
	Complaint	306		306				
Sandholm, Hollace	Brd Mtg		20	20	Other		33	33
	Exec Mtg		22	22				
	Exams							
	Complaint		18	18				

MINNESOTA BOARD OF DENTISTRY

Clause c: THE RECEIPT AND DISBURSEMENT OF BOARD FUNDS

	<u>FY 87</u>	<u>FY 88</u>	<u>FY 87 & 88</u>
Total State Appropriations	256,000	325,000	581,000
Total Non-Dedicated Fee Receipts	342,000	425,000	767,000
Total Disbursements	343,000	418,000	761,000

Minnesota Statutes, Chapter 214, require the Board to adjust fees such that the total fees collected will as closely as possible equal anticipated expenditures during each year of the biennium. This represents a change in that the Board was previously permitted the biennium to ensure total fees collected met the statutory requirement.

The other significant change is the reduction of direct appropriation for attorney general costs and indirect costs. However, the Board is expected to generate sufficient fee income to provide for these costs.

MINNESOTA BOARD OF DENTISTRY

Clause d: LIST OF BOARD MEMBERS WHO SERVED DURING FY 87 AND FY 88.

For Easy Reference Please Give:

- (A) Number of Board member required by statute: Nine
 (B) The statutory length of term: Four years

NAME & ADDRESS	OCCUPATION	GIVE BEGIN AND END DATE OF APPOINTMENT AND EACH RE-APPOINTMENT
Harry R. Dorvinen Hermantown, MN	Dentist	July 1, 1983 - January 5, 1987 January 5, 1987 - January 1, 1991
Sr. Cecilia Mary Harrington-St. Paul	College Faculty Assistant	June 2, 1980 - January 3, 1984 January 2, 1984 - January 4, 1988
Robert R. Hoover Golden Valley, MN	Dentist	May 22, 1981 - January 7, 1985 January 7, 1985 - January 2, 1989
Kathleen Lapham Minnetonka, MN	Registered Dental Assistant Educator	March 1, 1982 - January 6, 1985 January 6, 1987 - January 1, 1990
Thomas J. McCarter North Oaks, MN	Dentist	March 1 1982 - January 6, 1986 January 6, 1986 - January 8, 1990
Mary Jane Ploof Chaska	Special Educa- tion Teacher	May 18, 1979 - July 1, 1983 July 1, 1983 - January 5, 1987
Vivian Joy Snowden Bloominton, MN	Dentist	July 1, 1983 - January 5, 1987
Boyd A. Wright Edina, MN	Dentist	July 1, 1984 - January 4, 1988
Lynda J. Young Minneapolis, MN	Dental Hygienist	January 21, 1985 - January 2, 1989
Bonnie Kail St. Paul, MN	Homemaker	January 5, 1987 - January 1, 1991
Lawrence Irgens Alexandria, MN	Dentist	January 5, 1987 - January 1, 1991
Arnold J. Hill Rochester, MN	Dentist	January 4, 1988 - January 1, 1992
Hollace Sandholm Minnetonka, MN	Retired High School Educator	January 4, 1988 - January 1, 1992

MINNESOTA BOARD OF DENTISTRY

Clause e: LIST BOARD EMPLOYEES WHO WERE EMPLOYED DURING
FY 87 AND/OR FY 88

NAME	JOB CLASSIFICATION/TITLE & CLASS	CODE	STATUS		DATES OF SERVICE
			FT	PT	
Patricia Bradford	Clerk Typist 3/Clerk Typist	001929		X	3/16/77 Current
Yvonne Columbus	Clerk Typist 3/Clerk Typist	001929	X		10/27/80 Current
Arlayne Nelson	Executive I/Administrative Assistant	000292	X		11/14/77 Current
Karen Ramsey	Office Services Supervisor	002118	X		6/27/84 Current
Douglas Sell	Executive Director	08163	X		6/14/86 Current

MINNESOTA BOARD OF DENTISTRY

Clause f: BRIEF SUMMARY OF BOARD RULES PROPOSED OR ADOPTED DURING THIS REPORTING PERIOD, FY 87 AND FY 88. GIVE APPROPRIATE CITATIONS TO THE STATE REGISTER AND PUBLISHED RULES FOR THOSE ADOPTED.

The Board has worked on rules changes covering a number of issues. These issues are identified below with background information and general concept for the rule.

General Anesthesia, Conscious Sedation, and Nitrous Oxide Analgesia for Dentists.

Background - In 1984, the Minnesota Dental Practice Act was amended to allow for rules which would establish standards for administration of general anesthesia and conscious sedation by dentists. The dental profession and the public have been concerned about the safety of general anesthesia in the dental office. The techniques are complex, the "state of the art" is changing, and the potential misuse of drugs represent a significant threat to public safety. Although there have not been any severe problems in Minnesota during the last 20 years, the Board of Dentistry believes it should address this issue.

General Concept - Three different levels of education are being considered for administration of general anesthesia, conscious sedation, and nitrous oxide analgesia. The level of education needed for administration of general anesthesia would be a minimum of one-year, full-time residency in anesthesia, or its equivalent. The level of education required for conscious sedation would be 2-3 months or its equivalent. The level of education required for nitrous oxide analgesia would be a minimum of 16 hours. Dentists would be expected to voluntarily complete the appropriate training if they use the modality in their practice. The Board would not specifically license or certify dentists in these procedures but would enforce disciplinary measures as appropriate.

Infection Control

Background - With growing public concern over AIDS, hepatitis B, and other infectious agents, there is a need to assure the public of adequate barrier techniques used in the dental office. Although there are existing rules concerning sterilization and safety in the dental office, the Board believes more specificity in rule would increase the protection of the public.

General Concept - The additional rules would call for dental offices to have a functioning steam sterilizer, vapor sterilizer or dry heat sterilizer. New rules would designate which instruments would need to be exposed to the sterilizing environment. Additionally, the rules would require the use of gloves, masks, and protective eyewear while treating all patients.

MINNESOTA BOARD OF DENTISTRY

Clause f: BRIEF SUMMARY OF BOARD RULES PROPOSED OR ADOPTED DURING THIS REPORTING PERIOD, FY 87 AND FY 88. GIVE APPROPRIATE CITATIONS TO THE STATE REGISTER AND PUBLISHED RULES FOR THOSE ADOPTED.

CPR Requirement

Background - Most accredited Schools of Dentistry require their students to be CPR certified in preparation for patient treatment. It is in the public interest for all health professionals to be current in CPR. Preliminary testimony has favored CPR as a requirement for licensure and relicensure of dentists, dental hygienists, and registered dental assistants.

General Concept - The Board would require a CPR certificate for initial licensure of dentists and dental hygienists and for initial registration of dental assistants. Licensees and registrants would have to be current in CPR each year for relicensure and reregistration.

Dental Hygienists Expanded Functions - Local Anesthesia

Background - Fifteen states currently permit dental hygienists to administer local anesthesia. In several of these states, the procedure has been safely performed by dental hygienists for 10 years with patient acceptance. The Minnesota Board of Dentistry considered delegating local anesthesia to dental hygienist 8 years ago and 3 years ago. Today the general practitioner is able to treat patients with early to moderate periodontal disease in his/her office. Dental hygienists under existing rules, nonsurgically root plane teeth under the supervision of the dentist. The addition of local anesthesia as an expanded function would allow the dental hygienist to more comfortably and thoroughly root plane the teeth, and therefore more adequately treat the periodontal condition. Currently, when a patient needs anesthesia, the dentist must interrupt his/her patient treatment in order to provide anesthesia for another patient. As the population ages and as more patients with early to moderate periodontal disease are treated in general and periodontal practices, the interruptions to the dentist and his/her patient become more counter productive.

General Concept - The dental hygienist, with the dentist present in the office, would be authorized to administer local anesthesia after completing a course which is certified by the Board of Dentistry. The course would be a minimum of 80 hours of didactic information and would also include a significant number of patient requirements. It is important to note that local anesthesia as an expanded function would be optional to the dental hygienist and to the dentist. Dental hygienists could elect to take additional education. It is not expected that local anesthesia would be a part of every dental hygiene program. Dentists could elect to utilize dental hygienists with the additional training as it best fit their particular practice needs.

MINNESOTA BOARD OF DENTISTRY

Clause f: BRIEF SUMMARY OF BOARD RULES PROPOSED OR ADOPTED DURING THIS REPORTING PERIOD, FY 87 AND FY 88. GIVE APPROPRIATE CITATIONS TO THE STATE REGISTER AND PUBLISHED RULES FOR THOSE ADOPTED.

Dental Hygienists Expanded Functions - (Curettage)

Background - Soft tissue curettage as a separate expanded function has become obsolete. Research shows that scaling and root planing remove the causative agents of periodontal disease and that soft tissue curettage is a secondary outcome of root planing and periodontal scaling. Additionally, insurance companies no longer reimburse for this procedure.

General Concept - delete curettage as an expanded function for dental hygienists.

Registered Dental Assistants - Induction of Nitrous Oxide Analgesia

Background - Nitrous oxide analgesia is a commonly used modality for anxiety reduction in dental practice today. Given the current fail-safe equipment, the supervision of the dentist, and sufficient education preparation, the registered dental assistant could safely and competently administer nitrous oxide analgesia in the dental environment.

General Concept - The educational preparation needed would be determined by the Board of Dentistry. It would be optional for dental assistants to seek advanced training in nitrous oxide administration after completing requirements for registration as an assistant. Administration of nitrous oxide analgesia would be under direct supervision of the dentist when performed by a registered dental assistant, and under indirect supervision when performed by a dental hygienist. Fail-safe equipment would be required in all offices.

Registered Dental Assistants - Expanded Functions

Background - New materials and procedures are being used in treatment since many of the rules were established.

General Concept - The proposed new expanded functions for registered dental assistants are: 1) impressions for working models in orthodontic treatment; 2) etching enamel prior to bonding or orthodontic brackets; 3) matrix band placement and wedging prior to insertion of restorations; 4) preliminary adaptation of temporary crowns; 5) etching of enamel prior to application of pit and fissure sealants. A dentist would be required to see the patient at each appointment. It is important to note that these expanded duties are optional for the registered dental assistant and dentist. The registered dental assistant may elect to complete additional education. The dentist may elect to utilize the registered dental assistant's advanced training as it best fits his/her practice needs.

MINNESOTA BOARD OF DENTISTRY

Clause f: BRIEF SUMMARY OF BOARD RULES PROPOSED OR ADOPTED DURING THIS REPORTING PERIOD, FY 87 AND FY 88. GIVE APPROPRIATE CITATIONS TO THE STATE REGISTER AND PUBLISHED RULES FOR THOSE ADOPTED.

Educational requirements after Two Failures of Examinations.

Background - The Minnesota Dental Practice Act gives the Board of Dentistry the authority to require more education when a candidate fails an examination twice.

General Concept - The Board would require additional education for a candidate who failed an examination or any part of an examination twice before the candidate would be permitted to take the examination again. The additional education would include a needs assessment and customized educational session for the candidate at the University of Minnesota or another accredited school of dentistry.

Transfer of Dental Records

Background - The Board of Dentistry receives numerous complaints concerning transfer of records. Frequently, the complaints describe resistance to the patient's request for transfer of records to another dentist.

General Concept - The proposed rule change would classify the refusal to transfer patient records as conduct unbecoming a professional. This change would allow for better enforcement of existing state law.

MINNESOTA BOARD OF DENTISTRY

Clause g: LIST THE NUMBER OF PERSONS HAVING EACH TYPE OF LICENSE AND REGISTRATION ISSUED BY THE BOARD AS OF JUNE 30, 1988.

<u>TYPE OF LICENSE/REGISTRATION</u>	<u>TOTAL NUMBER IN EFFECT</u>
Dentists	3,906
Dental Hygienists	2,622
Registered Dental Assistants	3,616
Professional Corporations	<u>713</u>
TOTAL	10,857

MINNESOTA BOARD OF DENTISTRY

Clause h: ADMINISTRATION OF EXAMINATIONS BY BOARD

The Board of Dentistry accepts the results of examinations offered by the following organizations:

I. Joint Commission on National Board Examination

- A. Dentists and Dental Hygienists must successfully complete these examinations to become eligible for licensure in Minnesota. These examinations are offered twice annually at three sites in Minnesota. These are written examinations.

II. Central Regional Dental Testing Services (CRDTS)

- A. Dentists and Dental Hygienists must successfully complete these examinations to become eligible for licensure in Minnesota. These examinations are offered 16 times at nine test locations within the ten state region. These examinations are offered twice annually in Minnesota. These are written and practical examinations.

III. EVALCOR

- A. Dental Assistants must successfully complete the Minnesota Registration Examination to become eligible for registration in Minnesota. This examination is offered 20 times annually at 13 locations throughout the state. This is a written examination.

- IV. In addition to the above noted examinations, the Board is required to administer an examination covering the Dental Practices Act and Board Rules. This examination is offered any business day at the Board offices and in conjunction with other examinations as noted above. This is a written examination.

MINNESOTA BOARD OF DENTISTRY

Clauses i, j, k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) NOT licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: Dentist

AGE GROUP	FY 87			FY 88			FY 87 & FY 86											
	EXAMINED LIC/REGIS			EXAMINED LIC/REGIS			EXAMINED LIC/REGIS			EXAMINED LIC/REGIS								
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T			
Under 18																		
18-25	7	1	8	7	1	8	2	3	5	2	3	5	9	4	13	9	4	13
26-34	64	20	84	64	20	84	53	19	72	53	19	72	117	39	156	117	39	156
35-59	7	1	8	7	1	8	4	0	4	4	0	4	11	1	12	11	1	12
60-65	2	0	2	2	0	2	0	0	0	0	0	0	2	0	2	2	0	2
66 & Over																		
TOTAL	80	22	102	80	22	102	59	22	81	59	22	81	139	44	183	139	44	183

MINNESOTA BOARD OF DENTISTRY

Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/
REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) License/Registered or (3) Not licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: Dentist

AGE GROUP	FY 87			FY 88			FY 87 & FY 88											
	EXAMINED			LIC/REGIS			EXAMINED			LIC/REGIS			EXAMINED			LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
26-24	28	4	32	28	4	32	37	6	43	37	6	43	65	10	75	65	10	75
35-59	3	0	3	3	0	3	3	2	5	3	2	5	6	2	8	6	2	8
Total	31	4	35	31	4	35	40	8	48	40	8	48	71	12	83	71	12	83

Please list the total number of non-residents by state.

State

State	FY 87			FY 88			FY 87 & FY 88											
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T			
HI	1	0	1	1	0	1	0	0	0	0	0	0	1	0	1	1	0	1
CA	4	0	4	4	0	4	3	0	3	3	0	3	7	0	7	7	0	7
CO	2	0	2	2	0	2	4	0	4	4	0	4	6	0	6	6	0	6
NY	2	0	2	2	0	2	0	0	0	0	0	0	2	0	2	2	0	2
MD	1	0	1	1	0	1	2	0	2	2	0	2	3	0	3	3	0	3
IL	1	0	1	1	0	1	1	0	1	1	0	1	2	0	2	2	0	2
IA	6	1	7	6	1	7	4	3	7	4	3	7	10	4	14	10	4	14
KS	0	0	0	0	0	0	2	0	2	2	0	2	2	0	2	2	0	2
FL	0	0	0	0	0	0	1	0	1	1	0	1	1	0	1	1	0	1
NC	0	0	0	0	0	0	1	0	1	1	0	1	1	0	1	1	0	1
NE	0	0	0	0	0	0	1	0	1	1	0	1	1	0	1	1	0	1
MT	1	0	1	1	0	1	1	0	1	1	0	1	2	0	2	2	0	2
MO	0	0	0	0	0	0	3	0	3	3	0	3	3	0	3	3	0	3
NJ	0	0	0	0	0	0	1	0	1	1	0	1	1	0	1	1	0	1
ND	4	1	5	4	1	5	2	0	2	2	0	2	6	1	7	6	1	7
SD	2	0	2	2	0	2	1	0	1	1	0	1	3	0	3	3	0	3
TX	1	0	1	1	0	1	0	0	0	0	0	0	1	0	1	1	0	1
VA	1	1	2	1	1	2	1	0	1	1	0	1	2	1	3	2	1	3
WA	1	0	1	1	0	1	2	0	2	2	0	2	3	0	3	3	0	3
WI	4	0	4	4	0	4	10	5	15	10	5	15	14	5	19	14	5	19
GERM'Y	0	1	1	0	1	1	0	0	0	0	0	0	0	1	1	0	1	1

MINNESOTA BOARD OF DENTISTRY

Clauses i, j, k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: Dental Hygiene

AGE GROUP	FY 87			FY 88			FY 87 & FY 88											
	EXAMINED LIC/REGIS			EXAMINED LIC/REGIS			EXAMINED LIC/REGIS			EXAMINED LIC/REGIS								
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T			
18-25	1	78	79	1	78	79	0	68	68	0	68	68	1	146	147	1	146	147
26-34	0	15	15	0	15	15	0	14	14	0	14	14	0	29	29	0	29	29
35-59	0	5	5	0	5	5	0	10	10	0	10	10	0	15	15	0	15	15
TOTAL	1	98	99	1	98	99	0	92	92	0	92	92	1	190	191	1	190	191

MINNESOTA BOARD OF DENTISTRY

Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/
REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) License/Registered or (3) Not Licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: Dental Hygiene

AGE GROUP	FY 87						FY 88						FY 87 & FY 88					
	EXAMINED			LIC/REGIS			EXAMINED			LIC/REGIS			EXAMINED			LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
18-25	0	16	16	0	16	16	0	21	21	0	21	21	0	37	37	0	37	37
26-24	0	10	10	0	10	10	0	12	12	0	12	12	0	22	22	0	22	22
35-59	0	3	3	0	3	3	0	1	1	0	1	1	0	4	4	0	4	4
Total	0	29	29	0	29	29	0	34	34	0	34	34	0	63	63	0	63	63

Please list the total number of non-residents by state.

	FY 87						FY 88						FY 87 & FY 88					
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
NY	0	1	1	0	1	1	0	0	0	0	0	0	0	1	1	0	1	1
IA	0	8	8	0	8	8	0	5	5	0	5	5	0	13	13	0	13	13
IL	0	2	2	0	2	2	0	1	1	0	1	1	0	3	3	0	3	3
MI	0	0	0	0	0	0	0	1	1	0	1	1	0	1	1	0	1	1
CO	0	1	1	0	1	1	0	1	1	0	1	1	0	2	2	0	2	2
ND	0	8	8	0	8	8	0	13	13	0	13	13	0	21	21	0	21	21
LA	0	1	1	0	1	1	0	0	0	0	0	0	0	1	1	0	1	1
SD	0	1	1	0	1	1	0	2	2	0	2	2	0	3	3	0	3	3
WI	0	6	6	0	6	6	0	8	8	0	8	8	0	14	14	0	14	14
CA	0	1	1	0	1	1	0	0	0	0	0	0	0	1	1	0	1	1
FL	0	0	0	0	0	0	0	1	1	0	1	1	0	1	1	0	1	1
WY	0	0	0	0	0	0	0	1	1	0	1	1	0	1	1	0	1	1
ID	0	0	0	0	0	0	0	1	1	0	1	1	0	1	1	0	1	1

MINNESOTA BOARD OF DENTISTRY

Clauses i, j, k: MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Minnesota Residents only who were (1) examined and either (2) Licensed/Registered or (3) Not licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration.

AGE GROUP	TYPE OF LICENSE/REGISTRATION:									Dental Assistant								
	FY 87			FY 88			FY 87 & FY 88											
	EXAMINED	LIC/REGIS		EXAMINED	LIC/REGIS		EXAMINED	LIC/REGIS		EXAMINED	LIC/REGIS		EXAMINED	LIC/REGIS				
M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	
18-25	0	245	245	0	245	245	0	220	220	0	220	220	0	465	465	0	465	465
26-34	1	70	71	1	70	71	0	128	128	0	128	128	1	198	199	1	198	199
35-59	0	35	35	0	35	35	0	49	49	0	49	49	0	84	84	0	84	84
TOTAL	1	350	351	1	350	351	0	397	397	0	397	397	0	748	748	0	748	748

MINNESOTA BOARD OF DENTISTRY

Clauses i, j, k: NON-MINNESOTA RESIDENTS BY TYPE OF LICENSE/REGISTRATION

List the number of Non-Minnesota Residents only who were (1) examined and either (2) License/Registered or (3) Not licensed/registered after being examined for the type of license/registration noted. Use a separate page for each type of license or registration.

TYPE OF LICENSE/REGISTRATION: Dental Assistant

AGE GROUP	FY 87			FY 88			FY 87 & FY 88											
	EXAMINED			LIC/REGIS			EXAMINED			LIC/REGIS			EXAMINED			LIC/REGIS		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
19-25	0	8	8	0	8	8	0	13	13	0	13	13	0	21	21	0	21	21
26-34	0	2	2	0	2	2	0	8	8	0	8	8	0	10	10	0	10	10
35-59	0	1	1	0	1	1	0	3	3	0	3	3	0	4	4	0	4	4
Total	0	11	11	0	11	11	0	24	24	0	24	24	0	35	35	0	35	35

Please list the total number of non-residents by state.

State	FY 87			FY 88			FY 87 & FY 88											
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T			
ND	0	5	5	0	5	5	0	9	9	0	9	9	0	14	14	0	14	14
SD	0	0	0	0	0	0	0	1	1	0	1	1	0	1	1	0	1	1
WI	0	6	6	0	6	6	0	12	12	0	12	12	0	18	18	0	18	18
NY	0	0	0	0	0	0	0	1	1	0	1	1	0	1	1	0	1	1
AL	0	0	0	0	0	0	0	1	1	0	1	1	0	1	1	0	1	1

MINNESOTA BOARD OF DENTISTRY

Clause 1: THE NUMBER OF PERSONS NOT TAKING EXAMINATIONS WHO WERE LICENSED OR REGISTERED BY THE BOARD OR WHO WERE DENIED LICENSING OR REGISTRATION WITH THE REASONS FOR THE LICENSING OR REGISTRATION OR DENIAL THEREOF.

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATIONS FY 97 - 23; FY 88 - 41; FY 86 & 87 - 64.
TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION FY 87 - 0; FY 88 - 1; FY 87 & FY 88 - 1.

For each person give :

TYPE OF LIC/REG	STATE RES.	AGE GROUP				SEX		METHOD OF LIC.	GRANT DENY	REASON
		18-25	26-34	35-59	60-65	M	F			
Dentist	IL		X			X		Cred.	X	Met req.
Dentist	MN			X		X		Cred.	X	Met req.
Dentist	MN		X			X		Cred.	X	Met req.
Dentist	MI			X		X		Cred.	X	Met req.
Dentist	IL			X		X		Cred.	X	Met req.
Dentist	SD		X			X		Cred.	X	Met req.
Dentist	OH		X			X		Cred.		Met req.
Dentist	CA			X		X		Cred.	X	Met req.
Dentist	IL			X		X		Cred.	X	Met req.
Dentist	NM		X			X		Cred.	X	Met req.
Dentist	CAN		X			X		Cred.	X	Met req.
Dentist	IA			X		X		Cred.	X	Met req.
Dentist	NE			X		X		Cred.	X	Met req.
Hygienist	WI		X				X	Cred.	X	Met req.
Hygienist	WI			X		X		Cred.	X	Met req.
Hygienist	M	X				X		Cred.	X	Met req.
Hygienist	WI		X			X		Cred.	X	Met req.
Hygienist	MN			X		X		Cred.	X	Met req.
Hygienist	MN		X			X		Cred.	X	Met req.
Hygienist	IL	X				X		Cred.	X	Met req.
Hygienist	SD			X		X		Cred.	X	Met req.
Hygienist	MI	X				X		Cred.	X	Met req.
Hygienist	IA		X			X		Cred.	X	Met req.
Dentist	IA				X	X		Cred.	X	Met req.
Dentist	CA			X		X		Cred.	X	Met req.
Dentist	IL			X		X		Cred.	X	Met req.
Dentist	MN		X			X		Cred.	X	Met req.
Dentist	NC			X		X		Cred.	X	Met req.
Dentist	WI		X			X		Cred.	X	Met req.
Dentist	AZ		X			X		Cred.	X	Met req.
Dentist	ARAB			X		X		Cred.	X	Met Req.
Dentist	KY			X		X		Cred.	X	Met Req.
Dentist	MN		X				X	Cred.	X	Met Req.
Dentist	MN		X				X	Cred.	X	Met Req.
Dentist	MN		X			X		Cred.	X	Met Req.

MINNESOTA BOARD OF DENTISTRY

Clause 1: THE NUMBER OF PERSONS NOT TAKING EXAMINATIONS WHO WERE LICENSED OR REGISTERED BY THE BOARD OR WHO WERE DENIED LICENSING OR REGISTRATION WITH THE REASONS FOR THE LICENSING OR REGISTRATION OR DENIAL THEREOF.

TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND GRANTED LICENSES OR REGISTRATIONS FY 87 - 23; FY 88 - 41; FY 86 & 87 - 64.
TOTAL NUMBER OF PERSONS NOT TAKING EXAMS AND DENIED LICENSES OR REGISTRATION FY 87 - 0; FY 88 - 1; FY 87 & FY 88 - 1.

For each person give :

TYPE OF LIC/REG	STATE RES.	AGE GROUP				SEX		METHOD OF LIC.	GRANT	DENY	REASON
		18-25	26-34	35-59	60-65	M	F				
Dentist	MI			X		X		Cred.	X		Met Req.
Dentist	MD			X		X		Cred.	X		Met Req.
Dentist	IA		X			X		Cred.	X		Met Req.
Dentist	IA			X		X		Cred.	X		Met Req.
Dentist	SD			X		X		Cred.		X	Unresolved Disc Action State Res.
Hygienist	VT			X		X		Cred.	X		Met Req.
Hygienist	MN		X			X		Cred.	X		Met Req.
Hygienist	IL	X				X		Cred.	X		Met Req.
Hygienist*	MN			X		X		Cred.	X		Met Req.
Hygienist	IA		X			X		Cred.	X		Met Req.
Hygienist	MN		X			X		Cred.	X		Met Req.
Hygienist	VA		X			X		Cred.	X		Met Req.
Hygienist	MN		X			X		Cred.	X		Met Req.
Hygienist	MN		X			X		Cred.	X		Met Req.
Hygienist	WI	X		X		X		Cred.	X		Met Req.
Hygienist	MN		X			X		Cred.	X		Met Req.
Hygienist	MN	X				X		Cred.	X		Met Req.
Hygienist	KS			X		X		Cred.	X		Met Req.
Hygienist	IL		X			X		Cred.	X		Met Req.
Hygienist	MN		X			X		Cred.	X		Met Req.
Hygienist	IA		X			X		Cred.	X		Met Req.
Hygienist	MN		X			X		Cred.	X		Met Req.
Hygienist	MN			X		X		Cred.	X		Met Req.
Hygienist	ND		X			X		Cred.	X		Met Req.
Hygienist	WI			X		X		Cred.	X		Met Req.
Hygienist	MN		X			X		Cred.	X		Met Req.
Hygienist	ND			X		X		Cred.	X		Met Req.
Hygienist	MN			X		X		Cred.	X		Met Req.
Hygienist	CA		X			X		Cred.	X		Met Req.

MINNESOTA BOARD OF DENTISTRY

Clause M: PERSONS PREVIOUSLY LICENSED OR REGISTERED BY THE BOARD WHOSE LICENSES OR REGISTRATIONS WERE REVOKED, SUSPENDED OR OTHERWISE ALTERED IN STATUS, WITH BRIEF STATEMENTS OF THE REASONS FOR THE REVOCATION, SUSPENSION OR ALTERATION.

	<u>FY 87</u>	<u>FY 88</u>	<u>FY 87 & 88</u>
TOTAL number of revocations	1	0	1
TOTAL number of suspensions	5	3	8
TOTAL other status changes	1	0	1

<u>TYPE OF LICENSE OR REGISTRATION</u>	<u>TYPE OF STATUS CHANGE</u>			<u>REASONS FOR EACH CHANGE IN STATUS FOR EACH CASE</u>
	<u>R</u>	<u>S</u>	<u>O</u>	
Dentist	X			Treatment that repeatedly falls below accepted standards, incompetency and conduct unbecoming a professional.
Dentist		X		Violation of Board Order and practice dentistry while license was previously suspended.
Dentist		X		Unsanitary office conditions and failure to comply with Board order.
Dentist			X	Acceptance Voluntary Termination. Incompetency and conduct unbecoming a professional.
Dentist		X		Practice dentistry without a license.
Dentist		X		Permit unlicensed individual to practice dentistry.
Dentist		X		Fraud and conduct unbecoming a professional.
Dentist		X		Chemical substance abuse.
Dentist		X		Improper prescribing of controlled substance.
Dentist		X		Chemical substance abuse.

MINNESOTA BOARD OF DENTISTRY

Clause n: LIST THE NUMBER OF COMPLAINTS AND OTHER COMMUNICATIONS RECEIVED BY THE EXECUTIVE DIRECTOR, EACH BOARD MEMBER, EMPLOYEE OR OTHER PERSON PERFORMING SERVICES FOR THE BOARD.

IN FY 87	<u>117</u>	Written	THAT ALLEGE OR IMPLY A VIOLATION OF A STATUTE OR RULE WHICH THE BOARD IS EMPOWERED TO ENFORCE. THESE TOTALS INCLUDE CASES REFERRED TO THE ATTORNEY GENERAL'S STAFF WHO ARE ASSIGNED TO ASSIST YOUR BOARD.
	<u>4</u>	Oral	
IN FY 88	<u>102</u>	Written	
	<u>4</u>	Oral	

IN FY 87	_____	Written	WHICH ARE FORWARDED TO OTHER AGENCIES AS REQUIRED BY M.S. 214.10.
	_____	Oral	
IN FY 88	_____	Written	
	_____	Oral	

Please indicate the number of complaints referred to each other governmental agency (Federal, State, and Local) in each fiscal year:

MINNESOTA BOARD OF DENTISTRY

Clause o: SUMMARIZE, BY SPECIFIC CATEGORY, THE SUBSTANCE OF THE COMPLAINTS AND COMMUNICATIONS REFERRED TO IN CLAUSE (N) OF M.S. 214.07 AND FOR EACH SPECIFIC CATEGORY, THE RESPONSES OR DISPOSITIONS THEREOF PURSUANT TO M.S. 214.10 AND 214.11 (INDICATE AUTHORITY/CITATIONS FOR DISPOSITION).

The Board tracks complaints by type and, within type, by disposition. During the period July 1, 1986 through June 30, 1988, the Board received 227 complaints that alleged substandard care, chemical substance abuse, improper prescribing, advertising violations,, etc., and has acted on these complaints as follows:

Incompetency and Substandard Care

The Board received 74 complaints alleging incompetency and/or substandard care. Of these 74 complaints, the Board took action to revoke or suspend in 21 cases; took other disciplinary measures such as a letter of reprimand or letter of warning in one case; determined that 39 cases should be dismissed as no violation was discovered; and 23 cases are pending.

Licensure

The Board received 12 complaints alleging individuals were practicing without a license or were permitting someone to practice without a license. Of these 12 complaints, the Board took action to revoke or suspend in three cases; took other disciplinary measures such as a letter of reprimand or letter of warning in one other case; determined that three cases should be dismissed as no violation was discovered; and five cases are pending.

Drugs

The Board received 50 complaints alleging individuals were improperly prescribing or were chemically dependent. Of these 50 complaints, the Board took action to revoke or suspend in three cases; determined that 18 cases should be dismissed as no violation was discovered; and 29 cases are pending. (There has been a significant increase in this category that is directly related to new cooperations between the Board and other enforcement agencies.)

Immorality

The Board received three complaints alleging individuals behaved in an immoral manner while providing services under a professional/patient relationship. Of these three complaints, the Board took other disciplinary measures such as a letter of reprimand or letter of warning in one case; determined that one case should be dismissed as no violation was discovered; and one case is pending.

Auxiliary Misuse

The Board received 12 complaints that allege improper utilization of dental auxiliaries. Of these 12 complaints, the Board took other disciplinary measures such as a letter of reprimand or letter of warning in one case; determined that seven cases should be dismissed after written assurance that such violations would not reoccur; and four cases are pending.

MINNESOTA BOARD OF DENTISTRY

Clause o: (cont.)

Unsanitary/Unsafe Office Conditions

The Board received seven complaints that allege unsafe or unsanitary office conditions. Of these seven complaints, the Board determined that four cases should be dismissed as no violation was discovered; and three cases are pending.

Advertising

The Board received 16 complaints that allege misleading or false advertising of dental services or credentials. Of these 16 complaints, the Board determined that 12 cases should be dismissed after written assurances that such violation would not reoccur; and four cases are pending.

Unprofessional Conduct

The Board received 53 complaints alleging unprofessional conduct such as fraud, patient abuse, unconscionable fees and performing unnecessary services. Of these 53 complaints, the Board took action to revoke and suspend in four cases, determined that 33 cases should be dismissed as no violation was discovered; and 16 cases are pending.

MINNESOTA BOARD OF DENTISTRY

Clause p: STATE ANY OTHER OBJECTIVE INFORMATION WHICH THE BOARD MEMBERS BELIEVE WILL BE USEFUL IN REVIEWING BOARD ACTIVITIES.

(For Example: In what other states do our licensees hold licenses? Number of Minnesota licenses verified/certified to other states? number of inspections? Comparisons with past Biennial Reports?)

For health-related boards: (except Veterinary Medicine) 1) What progress has the board made so far in establishing procedures to exchange information with other Minnesota state boards, agencies, and departments responsible for licensing health-related occupations, facilities, and programs, and for coordinating investigations involving matters within the jurisdiction of more than one licensing body? and 2) What progress has the board made so far in establishing procedures for exchanging information with other states regarding disciplinary action against licensees? (see M.S. 1985 Supplement, section 214.10, Subd. 8(d)(e)).

During fiscal years 1987 and 1988 the Board participated in:

- 4 - Dental assistant and dental hygiene school accreditation visits.
- 29 - Regional examinations for dentists and dental hygienists.
- 8 - National Board Examinations.

In addition to examination and accreditation visits, the board actively participated with the National organization CLEAR. This organization's charter provides a number of forums for information exchange, formally and informally, among licensing boards. The personal and organizational contacts made as a result of these forums has aided in the licensing and investigative processes.

The Board of Dentistry has, since 1985, reported all disciplinary actions to NDIS (National Disciplinary Information System). This organization was established by CLEAR in 1981 as a national clearinghouse for disciplinary action. The Board receives and reviews monthly reports from NDIS and takes action where necessary. In addition, the Board reports all disciplinary actions to the American Association of Dental Examiners. In turn, the Board receives quarterly reports and takes action where appropriate.

During this two-year period, the Board has established a line of communication with Drug Enforcement Administration, Department of Defense and the Bureau of Criminal Apprehension in an increased effort to curtail the illicit use and distribution of controlled substances.

MINNESOTA BOARD OF DENTISTRY

Clause q: FOR ALL HEALTH RELATED BOARDS EXCEPT THE BOARD OF VETERINARY MEDICINE, PER M.S. 1985 SUPPLEMENT, SECTION 214.10, SUBD. 8 (b): PROVIDE A SUMMARY OF EACH INDIVIDUAL CASE COMPLAINT OR OTHER COMMUNICATION) THAT INVOLVED POSSIBLE SEXUAL CONTACT OF A LICENSEE WITH A PATIENT OR CLIENT.

Each summary must include:

- 1) a description of the alleged misconduct;
- 2) the general results of the investigation;
- 3) the nature of board activities relating to that case;
- 4) the disposition of the case;
- 5) the reasons for board decisions concerning the disposition of the case.

The information disclosed must not include the name of specific identifying information about any person, agency, or organization. Include cases received prior to July 1, 1986, but disposed of in FY 1987 and FY 1988, as well as cases received prior to June 30, 1988, but not yet disposed of.

The Board received three complaints that alleged sexual misconduct. These are reported below:

1. An individual was alleged to have made physical advances towards a patient. The investigation did not support the allegations; however, the licensee was required to appear before a Complaint Committee of the Board to respond to the allegations. Following the conference it was determined that no violation had occurred and the matter was dismissed.

2. An individual was alleged to have made physical sexual contact with a patient while the patient was sedated. Criminal sexual conduct charges were filed and the criminal investigation supported the allegations. The licensee was required to appear before a complaint Committee of the Board to respond to the allegations. Following the conference, an order was issued wherein licensee is permanently barred from practicing dentistry until such time he has demonstrated he is rehabilitated.

3. An individual was alleged to have made physical sexual contact with a patient while the patient was sedated. The matter has been referred for investigation and is currently pending.