



# **MINNESOTA BOARD OF MEDICAL PRACTICE**

## **BIENNIAL REPORT**

**JULY 1, 1998 TO JUNE 30, 2000**

**BIENNIAL REPORT**

**MINNESOTA BOARD OF MEDICAL PRACTICE  
2829 UNIVERSITY AVENUE SE, SUITE 400  
MINNEAPOLIS, MINNESOTA 55414-3246**

**STATUTORY AUTHORITY: M.S. 146, 147, 148, 319A**

**REPORT PERIOD: JULY 1, 1998 TO JUNE 30, 2000**

**SUBMITTED BY: ROBERT A. LEACH, EXECUTIVE DIRECTOR**

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**Minnesota Board of Medical Practice  
Biennial Report  
July 1, 1998 to June 30, 2000**

**I. General Information**

**A. Board Mission and Major Functions**

**BMP Mission**

The Board's mission is to protect the public by extending the privilege to practice to only qualified applicants, and investigating complaints relating to the competency or behavior of individual licensees or registrants.

The Board of Medical Practice is made up of 11 physicians and 5 public members, all of whom are appointed by the governor. Approximately 15,000 physicians are licensed by the Board of Medical Practice and the Board also regulates acupuncturists, athletic trainers, physical therapists, physician assistants, respiratory care practitioners, traditional midwives, and professional firms.

Currently, graduates from US medical schools must complete a one year residency program and pass the national standardized examination to be licensed in Minnesota. Foreign graduates must pass their examinations and complete two years of residency in the United States or Canada. The Board also considers other information provided by the applicant and may conduct interviews before a license is granted.

**BMP functions**

**Setting and administering educational and examination standards for initial and continuing licensure or registration for each health profession regulated by the Board**

- ◆ Setting licensure requirements through the legislative process.
- ◆ Selecting the licensing examination to assure an adequate candidate knowledge base.
- ◆ Reviewing individual applicant/licensee documentation to determine eligibility for initial and continuing licensure.
- ◆ Constantly reviewing statutes as well as working with professional organizations to assure current, up-to-date-laws, keeping pace with new or continuously changing profession.
- ◆ Working with Advisory Councils to set standards for initial and continuing licensure for each health profession regulated.
- ◆ Ensuring the initial and continuing licensure activities comply with relevant federal laws (e.g. Americans with Disabilities).

**Pursuing educational or disciplinary action with licensees as deemed necessary based upon results of investigations conducted in response to complaints/reports**

- ◆ Providing applicants and licensees education to improve practice and assure compliance with the statutes.
- ◆ Conduct audits of continuing education to assure continuing competency as well as compliance with the law.

- ◆ Working with Advisory Councils to direct and review investigations and provide advice in resolving issues and enforcing the statutes.

**Providing information and education about licensure requirements and procedures and standards of practice to the public, the health care community, and other interested clientele**

- ◆ Providing information to the health care community and other interested clientele concerning licensure requirements as well as information on licensees.
- ◆ Providing information about licensure requirements to prospective applicants for licensure.
- ◆ Providing information to licensees to assure compliance with the law through newsletter, websites, and meetings.
- ◆ Providing information to licensees, health care community, the general public, and other interested clientele regarding licensure laws as well as related laws.
- ◆ Working with the Advisory Councils to disseminate information to licensees, general public, health care facilities and other interested clientele.

**Responding to inquiries, complaints and reports from the public and other health care regulators regarding licensure and conduct of applicants, permit holders, licensees and unlicensed practitioners**

- ◆ Accepting complaints and reports from the public, health care providers, and regulators.
- ◆ Deciding whether a complaint or inquiry is jurisdictional and if so whether and what type of action to pursue to resolve the matter.
- ◆ Referring inquirers and complaints to other investigative, regulatory or assisting agencies.
- ◆ Responding to complainants and agency reports by informing the complainants/agencies of action taken to resolve their complaints while observing provisions of the data practices act regarding the legal status of data obtained during the course of an investigation and disciplinary proceeding.

**Pursuing educational or disciplinary action with licensees as deemed necessary based upon results of investigations conducted in response to complaints/reports**

- ◆ Setting standards of conduct and a basis for disciplinary action through the rules process.
- ◆ Seeking information directly from the licensee and securing investigative and fact finding information from other agencies in response to complaints or inquiries.
- ◆ Holding conferences with licensees to identify their role and responsibility in a matter under investigation.
- ◆ Providing applicants and licensees education to improve practice and prevent recurrence of problems.
- ◆ Obtaining disciplinary action through either voluntary agreement or through a due process, contested case hearing and potential court action.

## **B. Major activities during the biennium**

### Physician License Renewal Fee Increase

In December 1998, the Minnesota Board of Medical Practice gave notice of its plan to increase the Physician License Renewal fee. The Minnesota Department of Finance, in April 1999, approved the Board's request to conduct rulemaking to increase the fee. In May 1999, the official notice of rulemaking to increase the fee from \$168 to \$192 was made and there was a 30 day comment period for affected parties to contact the Board. There were 76 requests for a hearing on the proposed fee rule.

In July and September 1999, the Board held educational forums about the proposed fee increase and requested those persons asking for a hearing to withdraw. Only 10 people withdrew their request. In November 1999, the Board gave notice of a hearing to be held on the proposed fee rule. The hearing was held on January 22, 2000. There were two weeks for the Board and interested persons to provide information to the Administrative Law Judge and offer rebuttal. On March 8, 2000, Judge Allan Klein, issued a legal report supporting the Board's action to increase the fee. The fee rule was officially approved by the Board on May 13, 2000. After review and approval by the Governor, the fee rule was published in the State Register and took effect on June 12, 2000. The increase was put into effect with the July 2000, license renewals.

### Obsolete Rules

In December 1998, the Board gave notice of its plan to repeal obsolete rules. The rules involved license application requirement that were superseded by statute (Minnesota Rules 5600.0800, 5600.0900, and parts of 5600.0600 and 5600.0700) and rule involving osteopaths regulated by the Minnesota Board of Osteopathy which no longer had any physician eligible to use it (Minnesota Rule 5600.1000). In May 1999, the Board gave official notice of its intent to repeal the rules, which was followed by a 30 day comment period. No comments or requests for a hearing were received. In October 1999, the Office of Administrative Hearings completed its legal review and approved the rules. The rule was published in the State Register and became effective on November 22, 1999.

### Fetal Alcohol Rule follow-up

In November 1998, the Board had completed the rulemaking necessary to have the Fetal Alcohol Education rule take effect. The rule required the Board to provide educational materials to physicians requesting a copy of the materials. A mailing with a request form was sent to all currently licensed physicians in July/August 1999. Because of problems with the mailing, the deadline date for responding was extended to December 1999.

A task force was set up to compile the educational packet. The task force was comprised of physicians and nurses along with Department of Health and Medical and Nursing Board representatives. After dealing with a number of changes in Health Department staff, the educational packet is expected to be completed by the end of August 2000. The packet will contain a publication from the National Institute on Alcohol Abuse and Alcoholism, a video produced by the Minnesota Department of Health on screening of pregnant women for alcohol/drug abuse and making appropriate referrals for assistance, and a resource section specifically designed for practitioners in Minnesota to get information or make referrals. There are about 500 physicians who have requested the packet. New licensees, until July 2002, will be given the option to request the packet.

## Department of Finance Internal Audit

In 1999, the Board was audited by the Minnesota Department of Finance's Internal Control Unit. Auditors studied the Board's accounting, procurement, and payroll systems and assessed the reliability of the resulting financial information. In addition, the auditors reviewed the Board's internal control framework.

The accounting records assessed included:

1. Cash receipts/accounts receivable.
2. Bidding/procurement.
3. Contracts.
4. Accounts payable/receiving.
5. Fixed assets.
6. Human resources.
7. Payroll.
8. Business expense reimbursements.
9. Budgeting/transfers/negative cash balances.
10. Security administration.
11. Other.

The Internal Control Unit issued its final report in March 2000. The only problems noted by the report were technical in nature and were limited to two areas of Board operations:

### Cash Receipts/Accounts Receivable

The auditors recommended that the Board develop procedures to ensure that back-up personnel are available to ensure that all deposits exceeding \$250.00 are made within 24 hours of receipt in compliance with Minnesota Statute §16A.275.

The auditors also recommended that the Board submit quarterly reports of outstanding debts (unpaid civil penalties from disciplined licensees and registrants, NSF check returns, etc.) to the Department of Finance.

### Human Resources

The auditors recommended that staff position descriptions be updated every three years.

The auditors also recommended that supervisory personnel document annual performance evaluations as required by the Department of Administration and bargaining unit agreements.

Steps were taken to ensure Board compliance with the auditor recommendations.

## **C. Emerging issues regarding regulation of the Board of Medical Practice**

### New Information Technology and Electronic Government Services

The Board has recently initiated a project to upgrade and expand its information technology system. When completed, it will provide both the public and the professionals regulated by the Board with greater access to electronic government services such as physician profiling, and on-line license renewal.

### Physician Profiling Taskforce

In May 2000, the Board voted to establish a taskforce of experts to make recommendations to the Board on physician profiling issues.

## II. Board Members, Staff, and Budget

### A. Board composition

#### LIST OF BOARD MEMBERS WHO SERVED DURING FISCAL YEARS 1999 AND 2000

NUMBER OF BOARD MEMBERS REQUIRED BY STATUTE: 16  
LENGTH OF TERM: 4 YEARS

Name & Address	Occupation	Term(s)
Steven Altchuler, M.D., Ph.D. Minnesota Board of Medical Practice 2829 University Ave., SE, #400 Minneapolis, MN 55414	Medical Doctor	1/00 - 1/01
Adrienne Breiner Minnesota Board of Medical Practice 2829 University Ave., SE, #400 Minneapolis, MN 55414	Retired Teacher	5/91 - 1/95 1/95 - 1/99
Hilda Bettermann 8435 Sara Road NE Brandon, MN 56315	Educator	6/98 - 1/02
Gail Bender, M.D. 6400 Excelsior Blvd., W-106 St. Louis Park, MN 55426	Medical Doctor	1/00 - 1/04
Doris Brooker, M.D. 10164 Trotters Path Eden Prairie, MN 55347	Medical Doctor	9/90 - 1/94 1/94 - 1/98 1/00 - 1/01
Robert Ferderer 1586 Blackhawk Hills Road Eagan, MN 55122	Retired Businessman	6-00 - 1/02
James Gavisser, M.D. 2545 Chicago Ave., S., #214 Minneapolis, MN 55404	Medical Doctor	3/96 - 1/00
Rebecca Hafner, M.D. St. John's College Health Center PO Box 7122 Collegeville, MN 56321	Medical Doctor	1/98 - 1/02
David Herman, M.D. Mayo Clinic 200 1 <sup>st</sup> Street SW Rochester, MN 55902	Medical Doctor	1/97 - 7/99
Gerald Kaplan, MA, LP 2712 Fremont Avenue South Minneapolis, MN 55408-1198	Psychologist	3/00 - 1/04
Barbara LeTourneau, M.D. 1305 Pinehurst Avenue St. Paul, MN 55116	Medical Doctor	5/96 - 1/00 1/00 - 1/04
Janet Lindquist, M.D. 2229 3 <sup>rd</sup> Avenue East Hibbing, MN 55746	Medical Doctor	2/93 - 1/97 1/97 - 1/01
Mary Mika 7786 Pioneer Creek Road Maple Plain, MN 55359	Businesswoman	2/92 - 1/96 1/96 - 1/00
C. Randall Nelms, Jr., M.D. 393 N. Dunlap, #600 St. Paul, MN 55104	Medical Doctor	3/97 - 7/99

Name & Address	Occupation	Term(s)
Gloria Perez-Jordan The Jeremiah Program 1510 Laurel Avenue Minneapolis, MN 55403	Businesswoman	9/90 - 1/94 6/00 - 1/04
Kris Sanda 11730 Hastings Street NE Blain, MN 55449-7912	Businesswoman	7/99 - 1/03
Burton Schwartz, M.D. 405 Piper Bldg., 800 E. 28 <sup>th</sup> St. Minneapolis, MN 55407	Medical Doctor	2/98 - 1/02
Peter Smyth, M.D. Glencoe Medical Clinic 525 18 <sup>th</sup> Street East Glencoe, MN 55336	Medical Doctor	5/95 - 1/99 1/99 - 1/03
Scott Tongen, M.D. 8611 West Point Douglas Road Cottage Grove, MN 55016	Medical Doctor	5/96 - 1/00 1/00 - 1/04
Elliott Troup, M.D. Roseville Eye Clinic, P.A. 1330 West County Road B Roseville, MN 55113	Medical Doctor	6/94 - 1/97 1/97 - 1/01
Joseph Willett, D.O. Affiliated Community Medical Centers 300 South Bruce Street Marshall, MN 56258	Doctor of Osteopathy	7/96 - 1/99 1/99 - 1/03



## B. Employees

<u>NAME</u>	<u>CURRENT JOB CLASSIFICATION/TITLE</u>	<u>DATES OF SERVICE</u>
Therese Anderson	Office & Administrative Specialist Intermediate Licensure Specialist	10-25-76 – present
Richard Auld	Assistant Executive Director	11-25-85 – 6-16-92 8-24-94 – 1-21-98 1-1-99 – present
Nancy Bigelow	Office & Administrative Specialist Complaint Review Unit Assistant	3-15-99 – present
Jennifer Cheney	Office & Administrative Specialist Registration Assistant	11-8-99 - present
Barbara Dressel	Office & Administrative Specialist Receptionist	10-20-76 – present
Mary Erickson	Investigator Senior Medical Regulations Analyst Senior	4-24-91 – present
Patricia Hayes	Office & Administrative Specialist Principal Licensure Specialist	2-27-89 – present
Jeanne Hoffman	Management Analyst Supervisor 3 Licensure Supervisor	4-1-87 – present
Lois Kauppila	Office Services Supervisor 2 Office Manager	11-25-85 – present
Cheryl Kohanek	Office & Administrative Specialist Principal Executive Assistant	12-10-97 – present
Brad Lagoon	Office & Administrative Specialist Complaint Review Unit Assistant	11-2-94 – present
Robert Leach	Executive Director	1-20-88 – present
Mary Leinberger	Investigation Supervisor Complaint Review Unit Supervisor	2-17-88 – present
Denise Lorsung	Office & Administrative Specialist Intermediate Licensure Specialist	4-15-87 – present
Paul Luecke	Office & Administrative Specialist Intermediate Registration Specialist	4-3-96 – present
William Marczewski	Investigator Medical Regulations Analyst	2-3-88 – present
Ruth Martinez	Investigator Senior Medical Regulations Analyst Senior	1-20-88 – 7-7-93 6-1-94 – present
Debra Milla	Accounting Officer	12-4-91 – present
Erika Oberfrank	Office & Administrative Specialist Intermediate Complaint Review Unit Assistant	1-13-93 – 10-15-97 4-15-98 – present

<u>NAME</u>	<u>CURRENT JOB CLASSIFICATION/TITLE</u>	<u>DATES OF SERVICE</u>
Helen Patrikus	Investigator Medical Regulations Analyst	10-23-91 – present
Stephanie Preble	Investigator Medical Regulations Analyst	10-9-91 – present
Cassy Ringwald	Information Technology Specialist 1	10-12-98 – present
Kevin Slator	Investigator Medical Regulations Analyst	1-4-99 – present
Eva Thompson	Information Technology Specialist 2	4-8-92 – present
Debra Haugen	Office & Administrative Specialist Complaint Review Unit Assistant	8-3-98 – 1-6-99
Jill Hayes	Office Specialist – Temporary	5-21-96 – 8-30-96 12-23-96 – 1-13-97 6-2-97 – 8-26-97 12-22-97 – 1-13-98 5-27-98 – 9-1-98 12-23-98 – 1-5-99 5-25-99 – 8-2-99
Sarah Milbrandt	Investigator Medical Regulations Analyst	1-6-97 – 9-6-98
Vicky Paulson	Office & Administrative Specialist Principal Executive Assistant	5-2-84 – 6-26-99
Nathan Schmidt	Information Technology Specialist 1	8-1-94 – 9-14-98
Eileen Troseth	Medical Regulations Analyst Temporary	9-22-98 – 12-25-98

**C. Receipts and disbursements and major fees assessed by the Board**

Item	FY 1999	FY 2000
Receipts	\$3,709,620	\$3,558,319
Disbursements	\$3,394,021	\$2,924,027

SOURCE CODES	DESCRIPTION	ACTUAL RECEIPTS FY1999	ACTUAL RECEIPTS FY2000
5194	MIDWIFERY CERTIFICATION		0.00
5195	MIDWIFERY LATE FEE		0.00
5196	MIDWIFERY TEMP PERMIT		0.00
5197	MIDWIFERY ANNUAL LICENSE		700.00
5198	MIDWIFERY INACTIVE STATUS		0.00
5199	MIDWIFERY APPLICATION		700.00
5200	MD ANNUAL REGISTRATION	2,619,634	2,772,268
5201	MD APPLICATION FEE	227,500	218,600
5202	EXAM ADMINISTRATIVE FEE	0	0
5203	MD TEMPORARY LICENSE	41,680	40,200
5204	MD ENDORSEMENT FEE	1,655	1,175
5205	MD CERTIFICATION	65,850	57,475
5206	MD VERIFICATION	0	0
5207	MD LATE FEES	67,620	57,384
5208	MD RESIDENCY PERMIT	13,980	15,185
5209	DEFERMENT FEE	0	0
5210	EMERITUS REGISTRATION	1,150	1,050
5211	PA ANNUAL REG w/PRES	65,888	73,425
5212	PA APPLICATION FEE	11,880	11,535
5213	PA SUPERVISING AGREEMENT/NO PRESCRIBING	0	0
5214	PA SURCHARGE/PRESCRIBING	0	0
5215	PA SUPERVISORY AGREEMENT/PRESCRIBING	0	0
5216	PA CERTIFICATION FEE	1,725	2,500
5217	PA VERIFICATION FEE	0	0
5218	PA LATE FEE	1,500	1,600
5219	PT ANNUAL REGISTRATION	183,025	0
5220	PT APPLICATION FEE	20,400	0
5221	PT EXAM FEE	7,225	0
5222	PT TEMPORARY PERMIT	3,650	0
5223	PT CERTIFICATION FEE	5,250	0
5224	PT VERIFICATION FEE	0	0
5225	PT LATE FEES	3,760	0
5226	RCP ANNUAL REG	122,232	126,175
5227	RCP APPLICATION FEE	7,298	11,300
5228	RCP SURCHARGE	0	0
5229	RCP CERTIFICATION FEE	800	1,150
5230	RCP TEMPORARY PERMIT	2,320	2,220
5231	RCP LATE FEE	1,965	3,950
5232	AT ANNUAL REGISTRATION	36,650	43,824
5233	AT APPLICATION FEE	5,050	3,550
5234	AT TEMPORARY PERMIT	1,500	1,300
5235	AT CERTIFICATION FEE	75	225
5236	AT LATE FEE	615	695
5237	CIVIL PENALTIES	88,352	16,150

SOURCE CODES	DESCRIPTION	ACTUAL	ACTUAL
		RECEIPTS FY1999	RECEIPTS FY2000
5238	MISCELLANEOUS	20,158	27,799
5239	DUPLICATES	3,600	2,782
5240	EDUCATION APPROVAL	19,820	100
5241	COMPETIVE ATHL EVENT	0	0
5242	MEDICAL CORP ANNUAL	12,925	12,975
5243	CORP APPLICATION	1,900	1,900
5245	ACUPUNCTURE ANNUAL REG	19,750	23,000
5246	ACUPUNCTURE APPLICATION	3,750	5,100
5247	ACUPUNCTURE CERTIFICATION	150	225
5248	ACUPUNCTURE LATE FEE	150	150
5249	ACUPUNCTURE TEMPORARY	900	1,320
5250	ACUPUNCTURE INACTIVE STATUS	0	100
5251	PA ANNUAL REG / NO PRES	6,485	7,618
5252	PA TEMPORARY PERMIT	4,680	4,015
5253	PA TEMPORARY REGISTRATION	4,885	4,255
5254	PA LOCUM TENENS PERMIT	0	25
5255	RCP TEMP REGISTRATION	90	2,070
5256	RCP INACTIVE STATUS	150	50
5257	REPORT GENERATION	0	0
5258	AT TEMP REGISTRATION	0	500
<b>REVENUE RECEIPTS TOTAL BY FISCAL YEAR</b>		<b>3,709,621</b>	<b>3,558,319</b>

FILE: SOURCECODE/RECEIPT HISTORY

### III. Licensing and Registration

#### A. Persons licensed as of June 30, 2000

##### Licenses/Registrations issued by Health Profession

<b>PHYSICIANS</b>	<b>FY 99</b>	<b>FY 00</b>
Federation Licensing Examination	103	108
Licentiate Medical Council Canada	20	25
National Board of medical Examiners	205	230
National Board of Osteopathic Medical Examiners	34	48
State Examination	16	21
Examination Combination	63	46
United States Medical Licensing Examination	540	618
<b>TOTAL LICENSED/REGISTERED</b>	<b>981</b>	<b>1096</b>
 <b>PHYSICIAN RESIDENCY PERMITS</b>	 675	 716
 <b>PHYSICAL THERAPISTS</b>		
Assessment Systems Inc./Professional Examination Service	91	
National Physical Therapist Examination	124	
<b>TOTAL LICENSED/REGISTERED</b>	<b>215</b>	<b>*</b>
 <b>ATHLETIC TRAINERS</b>		
Equivalency	3	4
General Registration	43	52
Reciprocity	9	9
Transitional	0	0
<b>TOTAL LICENSED/REGISTERED</b>	<b>55</b>	<b>65</b>
 <b>PHYSICIAN ASSITANTS</b>		
National Commission on Certifications of Pas	90	94
<b>TOTAL LICENSED/REGISTERED</b>	<b>90</b>	<b>94</b>
 <b>RESPIRATORY CARE PRACTITIONERS</b>		
Equivalency	1	2
General Registration	73	66
Reciprocity	48	45
<b>TOTAL LICENSED/REGISTERED</b>	<b>122</b>	<b>113</b>

## ACUPUNCTURISTS

Equivalency	0	0
General Licensure	19	28
Reciprocity	6	3
TOTAL LICENSED/REGISTERED	25	31

MIDWIVES	0	3
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PROFESSIONAL FIRMS	14	21
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\*The Board of Physical Therapy was established in 1999. The Board of Medical Practice has been acting as its administering Board in fiscal year 2000 and has processed 215 physical therapist licenses on behalf of the Board of Physical Therapy.

## B. NUMBER OF NEWLY REGULATED

Fiscal Year	Physicians & Surgeons	Residency Permits	PT's	PA's	RCP's	AT's	AP's	Traditional Midwives	Total
2000	1,096	716	*	94	113	65	31	3	2,118
1999	981	352	215	90	122	55	25		1,840

## C. NUMBER OF ACTIVELY REGULATED

Fiscal Year	Physicians & Surgeons	PT's	PA's	RCP's	AT's	AP's	Traditional Midwives	Total
2000	15,592	*	545	1,282	348	130	3	17,900
1999	14,998	2,997	468	1,246	320	108		20,137

\*The Board of Physical Therapy was established in 1999. The Board of Medical Practice has been acting as its administering Board in fiscal year 2000 and has processed 3,108 physical therapist renewals on behalf of the Board of Physical Therapy.

## IV. Complaints

### A. Complaints Received

#### NUMBER OF COMPLAINTS RECEIVED EACH YEAR

Fiscal Year	Number of Complaints Received	Percent of Change From Previous Year
2000	786	(5)
1999	825	(9)

### C. Open Complaints

As of June 30 of each year of the previous biennium (i.e., June 30 of the current year and June 30 of last year):

	FY 99	FY 00
Less than 3 months	199	178
3 - 6 months	70	111
6 - 12 months	74	61
12 months +	<u>180</u>	<u>164</u>
Total open complaints:	523	514

### D. Closed Complaints That Were Open for More Than 1 Year:

FY 99	FY 00
225	139

### E. Number of Complaints Received Each Year by Occupation:

	AP	AT	PA	PT	PY	RT	Other
FY 00	2	4	10	15	682	9	64
FY 99	2	2	8	27	717	9	61

### F. Number of Complaints Received Per 1,000 Persons of Each Occupation:

	AP	AT	PA	PT	PY	RT
FY 00	15.385	11.5	18.35	4.82	43.74	7.02
FY 99	18.519	6.25	17.09	9.01	47.81	7.22

### G. Number of Open Complaints as of June 30 of Each Year:

FY 99	FY 00
523	514

**G. SUMMARY OF COMPLAINTS BY SOURCE**

<u>COMPLAINT SOURCE</u>	<u>#OF COMPLAINTS</u>		<u>% OF TOTAL</u>	
	<u>FY 99</u>	<u>FY 00</u>	<u>FY 99</u>	<u>FY 00</u>
BMP License Renewal Form	53	55	6.3	6.9
BMP Application Form	2	1	.2	.1
BMP Staff; EX. Anonymous	44	41	5.3	5.1
BMP Non-Compliance with Order	2	1	.2	.1
Family Member	142	134	16.9	16.8
Patient	289	277	34.5	34.8
Third Party	45	23	5.4	2.9
Courts	0	0		0
Professional Liability Settlements	89	105	10.6	13.2
Enforcement Agency	0	1		.1
AGO	1	0	1.1	0
Peer Review Organization	2	1	.2	.1
Pharmacists	1	8	.1	1.0
Federal DHHS	7	2	8.4	0
Medical Examiner/Coroner	1	0	.1	0
Department of Health	2	0	.2	0
HPSP	9	20	1.1	2.5
MN Health Related Boards	3	3	.1	.4
Police/Sheriff Dept.	4	0	.5	0
DHS	12	8	1.4	1.0
Drug Enforcement Agency	0	0		0
OHFC	9	7	1.1	.9
Medical Board Other-Federation-AMA	2	2	.2	.3
Medical Societies	0	0		0
Other Enforcement Agency	4	3	.5	.4
Health Care Institution	23	16	2.7	2.0
Licensed Health Professional	71	79	8.5	9.9
PADS	0	0		0
Self-Report	<u>12</u>	<u>10</u>	1.4	1.3
<b>TOTAL</b>	<b>829</b>	<b>797</b>		



**SUBJECTS OF COMPLAINTS**

	<b><u>FY 99</u></b>	<b><u>FY 00</u></b>
D. Actions by another jurisdiction	20	9
G Incompetency	161	236
K Unprofessional Conduct	533	534
L Illness	76	59
NJ Non-jurisdictional	42	25
O Medical Records	84	67
R Becoming Addicted	7	3
S Prescribing	118	69
T Sexual Misconduct	41	27
Miscellaneous	<u>132</u>	<u>192</u>
<b>TOTAL</b>	1,214	1,221

**CAUSES OF BOARD ORDER**

	<b><u>FY 99</u></b>	<b><u>FY 00</u></b>
Illness	12	15
Chemical dependency	16	13
License disciplined (other state)	3	7
Billing practices	1	0
Unprofessional conduct	18	10
Sexual misconduct with a patient	3	1
Unethical conduct	19	9
Improper management of medical records	6	5
Convicted of felony related to practice of medicine	1	1
Prescribing	3	1
Aiding & abetting unlicensed person to practice medicine/failure to supervise	1	0
Violating a Board rule, Federal law, and/or state law related to the practice of medicine	11	5
Delinquent taxes/student loans	0	3
Reporting obligation/failure to cooperate	5	2
Reinstatement of unconditional	13	19
Medical license failure to quality	0	1
Fee splitting	0	0
Adjudication as MI/CD	0	0
Revealed privileged communication	0	2
False advertising	<u>2</u>	<u>0</u>
<b>TOTAL</b>	114	94

**TYPES OF BOARD ORDERS**

	<u>FY 99</u>	<u>FY 00</u>
Amended order/civil penalty/restriction	6	6
Permanent/voluntary surrender	4	3
Reinstatement of unconditional license	13	19
Reprimand and civil penalty/restricted reprimand w/civil penalty/restricted reprimand/amended	16	20
Revoke license and revocation w/civil penalty	2	1
Stayed suspension/amended stayed	4	1
Suspended license including amended order	7	7
Temporary suspension	<u>0</u>	<u>0</u>
<b>TOTAL</b>	52	57

**COMPLAINT INVENTORY**

Complaints on hand (6/30/00)	514
New complaints received (FY00)	786
Complaints dismissed/closed, including those resulting in Orders or Corrective Actions	744
Complaints on hand (6/30/99)	606

**EDUCATIONAL ACTIVITIES**

	<u>FY 99</u>	<u>FY 00</u>
Corrective actions	8	15
Medical Coordinator Conferences	78	73
Complaint Review Committee Appearances which did not result in a Board Order	24	25