

## INVESTMENT BUSINESS RECIPIENT DISCLOSURE

For the Year 1990

The Chief Administrative Officer of a relief association pension plan shall annually list all the recipients of investment business with respect to investments made by the Plan and placed with or by the following:

A. COMMERCIAL BANKS	TYPE OF INVESTMENT	AMOUNT
1. Northwestern State Bank	Savings and CD's.	\$61,024. <sup>26</sup>
2. " of Ulen " " "	Savings	" 457. <sup>56</sup>
3.	Total <sup>1-1-90</sup> <del>61,481</del>	\$61,481. <sup>82</sup>

B. INVESTMENT BANKERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

C. BROKERAGE ORGANIZATIONS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

D. OTHER INVESTMENT MANAGERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

*Lita Shue*
12-31-90  
 Signature Date

*Treasurer*
*Ulen Fire Dept.*  
 Title Relief Association

This disclosure must be prepared within 60 days of the close of the fiscal year of the Plan, by the association president, and must be available for public inspection during regular office hours at the office of the Plan. It must also be filed with the Executive Director of the Legislative Commission on Pensions within 90 days after the close of the fiscal year of the Plan. Minn. Stat. §356A.06, subd. 5.

# INVESTMENT BUSINESS RECIPIENT DISCLOSURE

For the Year 1999

The Chief Administrative Officer of a relief association pension plan shall annually list all the recipients of investment business with respect to investments made by the Plan and placed with or by the following:

A. COMMERCIAL BANKS	TYPE OF INVESTMENT	AMOUNT
1. <u>First State Bank</u>	<u>CD + check acct</u>	<u>20,000</u>
2. <u>First State Bank</u>	<u>CD</u>	<u>20,000</u>
3.		

B. INVESTMENT BANKERS	TYPE OF INVESTMENT	AMOUNT
1. <u>First State Bank</u>	<u>CD</u>	<u>20,000</u>
2.		
3.		

C. BROKERAGE ORGANIZATIONS	TYPE OF INVESTMENT	AMOUNT
1. <u>First State Bank</u>	<u>mutual funds</u>	<u>20,000</u>
2. <u>First State Bank</u>	<u>mutual funds</u>	<u>30,457</u>
3. <u>First State Bank</u>	<u>mutual funds</u>	<u>30,313</u>

D. OTHER INVESTMENT MANAGERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

Nil Gawol \_\_\_\_\_ Date 3-31-2000

President \_\_\_\_\_ Title Underwood  
Relief Association

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# INVESTMENT BUSINESS RECIPIENT DISCLOSURE FORM

Pursuant to Minn. Stat. § 356A.06, subd. 5, the chief administrative officer of a Public Pension Plan (Plan) shall annually list all the recipients of investment business with respect to investments made by the Plan. This disclosure document must be prepared within 60 days of the close of the fiscal year of the Plan, by the association president, and must be made available for public inspection during regular office hours at the office of the Plan. Within 90 days after the close of the fiscal year of the Plan, a copy of this completed form must be filed with the **Executive Director of the Legislative Commission on Pensions & Retirement, 55 State Office Building, St. Paul, MN 55155.**

COMMERCIAL BANKS	TYPE OF INVESTMENT	AMOUNT
1. Upsala Stearns Bank - NA	- <sup>select</sup> Special Fund Acct	\$ 19,212. <u>12</u>
2. Upsala Stearns Bank - NA	General Fund Acct	\$ 35,567. <u>06</u>
3. Upsala Stearns Bank - NA	CD's	\$ 10,599. <u>80</u>

INVESTMENT BANKERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

BROKERAGE ORGANIZATIONS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

OTHER INVESTMENT MANAGERS	TYPE OF INVESTMENT	AMOUNT
1. UBS	Investments	145,704. <u>95</u>
2.		
3.		

Mark Koopman  
Signature of Relief Association President

Upsala Relief Assoc.  
Relief Association Name

May 19 2022  
Date

# INVESTMENT BUSINESS RECIPIENT DISCLOSURE FORM

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COMMERCIAL BANKS	TYPE OF INVESTMENT	AMOUNT
1. <i>Clemons Bank Upsala</i>	<i>Chequing Acct.</i>	<i>\$ 37,043.35</i>
2. <i>Clemons Bank Upsala</i>	<i>General Acct.</i>	<i>\$ 24,088.21</i>
3.		

INVESTMENT BANKERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

BROKERAGE ORGANIZATIONS	TYPE OF INVESTMENT	AMOUNT
1. <i>Eduard &amp; Jones</i>	<i>Mutual Funds</i>	<i>\$ 107,074.24</i>
2.		
3.		

OTHER INVESTMENT MANAGERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

*Mark Koppman*  
 \_\_\_\_\_  
 Signature of Relief Association President

*Upsala Relief Association*  
 \_\_\_\_\_  
 Relief Association Name

*1/31/18*  
 \_\_\_\_\_  
 Date

# INVESTMENT BUSINESS RECIPIENT DISCLOSURE FORM

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COMMERCIAL BANKS	TYPE OF INVESTMENT	AMOUNT
1. Stearns Bank - Upsala.	Checking Acct.	\$52,529.95
2.		
3.		

INVESTMENT BANKERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

BROKERAGE ORGANIZATIONS	TYPE OF INVESTMENT	AMOUNT
1. Edwards Jones	Mutual Funds	\$ 27,735.70
2. Edward Jones.	Duane K Lange Mutual Funds	\$ 4,320.14
3.		

OTHER INVESTMENT MANAGERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

Mark Ferguson  
Signature of Relief Association President

Upsala Relief Association  
Relief Association Name

1/20/16  
Date

From: SCH MAINTENANCE

320 255 5854

02/13/2015 12:48

#170 P.001/001

**INVESTMENT BUSINESS RECIPIENT DISCLOSURE FORM**

Pursuant to Minn. Stat. § 356A.06, subd. 5, the chief administrative officer of a Public Pension Plan (Plan) shall annually list all the recipients of investment business with respect to investments made by the Plan. This disclosure document must be prepared within 60 days of the close of the fiscal year of the Plan, by the association president, and must be made available for public inspection during regular office hours at the office of the Plan. Within 90 days after the close of the fiscal year of the Plan, a copy of this completed form must be filed with the Executive Director of the Legislative Commission on Pensions & Retirement, 55 State Office Building, St. Paul, MN 55155.

COMMERCIAL BANKS	TYPE OF INVESTMENT	AMOUNT
1. Stearns Bank - Upsala	Checking Acct.	44,260.43
2.		
3.		

INVESTMENT BANKERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

BROKERAGE ORGANIZATIONS	TYPE OF INVESTMENT	AMOUNT
1. Edward Jones	Mutual Funds	87,958.36
2. Edward Jones	Daniel Burgnab mutual Funds	9,305.90
3.		

OTHER INVESTMENT MANAGERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

Mark J. Kappre  
Signature of Relief Association President

Upsala Relief Association  
Relief Association Name

2/13/15  
Date

LCPR FEB 24 2015

# INVESTMENT BUSINESS RECIPIENT DISCLOSURE FORM

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COMMERCIAL BANKS	TYPE OF INVESTMENT	AMOUNT
1. <i>Stearns Bank - Upsala</i>	<i>Checking acct</i>	<i>46,758.12</i>
2.		
3.		

INVESTMENT BANKERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

BROKERAGE ORGANIZATIONS	TYPE OF INVESTMENT	AMOUNT
1. <i>Edward Jones</i>	<i>Mutual funds</i>	<i>101,183.35</i>
2.		
3.		

OTHER INVESTMENT MANAGERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

*Mark Koppmeier*  
 \_\_\_\_\_  
 Signature of Relief Association President

LCPR APR 08 2014

*Upsala*  
 \_\_\_\_\_  
 Relief Association Name

*3-25-14*  
 \_\_\_\_\_  
 Date

# INVESTMENT BUSINESS RECIPIENT DISCLOSURE

For the Year 1999

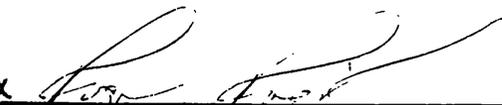
The Chief Administrative Officer of a relief association pension plan shall annually list all the recipients of investment business with respect to investments made by the Plan and placed with or by the following:

A. COMMERCIAL BANKS	TYPE OF INVESTMENT	AMOUNT
1. STEARN'S BANK UPSALA	SAVINGS AND C.D.'S	73,085
2.		
3.		

B. INVESTMENT BANKERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

C. BROKERAGE ORGANIZATIONS	TYPE OF INVESTMENT	AMOUNT
1. PRUDENTIAL	MUTUAL FUND	17,644
2.		
3.		

D. OTHER INVESTMENT MANAGERS	TYPE OF INVESTMENT	AMOUNT
1.		
2.		
3.		

  
 \_\_\_\_\_  
 Signature

9-30-00  
 \_\_\_\_\_  
 Date

PRESIDENT  
 \_\_\_\_\_  
 Title

UPSALA FIRE  
 \_\_\_\_\_  
 Relief Association

This disclosure must be prepared within 60 days of the close of the fiscal year of the Plan, by the association president, and must be available for public inspection during regular office hours at the office of the Plan. It must also be filed with the Executive Director of the Legislative Commission on Pensions within 90 days after the close of the fiscal year of the Plan. Minn. Stat. §356A.06, subd. 5.