Health & Safety in Correctional Facilities 2023 Legislative Report



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This report can be provided in another format upon request.

2021 LEGISLATIVE REPORT ON HEALTH AND SAFETY IN CORRECTIONAL FACILITIES

I. <u>Background</u>

Minnesota Department of Corrections (DOC) runs 11 state prison facilities and licenses all other local correctional facilities run by counties and cities in Minnesota pursuant to Minn. Stat. § 241.021.

In June 2021, the Minnesota Legislature created new reporting requirements pertaining to the health and safety of incarcerated individuals in Minnesota (Laws of Minnesota 2021, 1st Spec. Sess. Chapter 11, article 9, section 11). Minn. Stat. § 241.021 was amended June 30, 2021, requiring the Department of Corrections (DOC) to file a written report with the legislature by February 15 of each year, reporting on the health and safety of individuals confined or incarcerated in state correctional facilities and facilities licensed by the commissioner.

This report will also include information on the commissioner's authority and responsibility to inspect and license local correctional facilities and set minimum standards for those facilities with respect to their management, operation, physical condition, and the security, safety, health, treatment, and discipline of persons confined or incarcerated therein.

The new reporting requirements established by Minn. Stat. § 241.021, subd. 1f pertain to either state correctional facilities or facilities licensed by the commissioner of corrections, and in some instances, both. As such, this report is broken into three parts: the first presenting data from state correctional facilities; the second presenting data received from licensed facilities; and the third addressing the status of the implementation of the authority provided to the commissioner of corrections by the legislature in Minn. Stat. § 241.021, subd. 1-1i.

II. Minnesota State Correctional Facilities

a. In custody deaths

Below is information regarding the number of confined or incarcerated persons who died while committed to the custody of a Minnesota Correctional Facility (MCF), regardless of whether the death occurred at the facility or after removal from the facility for medical care stemming from an incident or need for medical care at the correctional facility, including aggregated demographic information, between January 1 and December 31, 2023.

Table 1. In custody deaths - MCF

Facility	deaths	suicides ¹	Death demographic info (gender,
racinty	ueutiis	suicides	race and age group) ²
Faribault	1	0	Male, American Indian or Alaskan Native, 46-55
Lino Lakes	2	0	Male, American Indian or Alaskan Native, 36-45 (1), Male, White, 56-65 (1)
Moose Lake	0	0	
Oak Park Heights	6	0	Male, White, 46-55 (2), Male, White, 56-65 (1), Male, White, 66+ (3)
Red Wing	0	0	
Rush City	1	0	Male, White, 36-45
St. Cloud	1	1	Male, White, 66+
Shakopee	1	0	Female, White, 56-65
Stillwater	3	0	Male, Black, 26-35 (1), Male, Black, 51-65 (1), Male, American Indian or Alaskan Native, 46-55 (1)
Togo	0	0	
Willow River	0	0	
Total	15	1	

b. Policy, practice, or training changes resulting from death reviews

Below is information regarding the aggregated results of the death reviews by state correctional facilities as required by Minn. Stat. § 241.021 subdivision 8, including any implemented policy changes from January 1 through December 31, 2023. Minnesota DOC Policy 500.200, "Health Services Death Review" describes the process DOC follows when a

¹ This column captures how many of the total deaths were by suicide.

² Age groups for reporting purposes: under 18; 18-35, 36-55, 51-65, 65+.

death occurs of an incarcerated individual. All reviews and any changes to policy, practice, or training as a result of those reviews are reported below.

Note: Death Reviews are not conducted on cases where the individual escaped from custody and was on fugitive status at the time of death, on Conditional Medical Release, or community supervision.

Table 2. Reviews and related policy, practice, or training changes – MCF

Facility	Reviews	description of change
Faribault	1	N/A
Lino Lakes	2	N/A
Moose Lake	0	N/A
Oak Park Heights	6	N/A
Rush City	0	N/A
Red Wing	0	N/A
St. Cloud	1	N/A
Shakopee	1	Each individual RN with encounters in record will receive coaching/counseling on assessment, education, and documentation practices. Nursing process audit will be completed with regard to use of EHR body system flow sheets as it appears they were not utilized when documenting patients' chief complaint. Nurse Educator will provide Body System assessment and documentation training across the system. MN DOC Policy 500.045 Health Record Documentation will be assigned across the

		system for review/acknowledgement.
Stillwater	3	N/A
Togo	0	N/A
Willow River	0	N/A
Total	14	N/A

c. Number of reportable uses of force and whether those uses of force were determined to be justified by the facility

Below is information regarding the number of uses of force by facility staff on persons confined or incarcerated in the correctional facility, including but not limited to whether those uses of force were determined to be justified by the facility from January 1 through December 31, 2023. The commissioner of corrections consulted with the Minnesota Sheriffs' Association and a representative from the Minnesota Association of Community Corrections Act Counties who is responsible for the operations of an adult correctional facility to develop criteria for reporting and define reportable uses of force.

Reportable uses of force are defined as: Any application of physical, manual, or mechanical intervention, techniques or tactics, chemical agents, or weapons to a confined or incarcerated person resulting in substantial bodily harm as defined by Minn. Stat. § 609.02 as:

"bodily injury which involves a temporary but substantial disfigurement, or which causes a temporary but substantial loss or impairment of the function of any bodily member or organ, or which causes a fracture of any bodily member."

Table 3. Reportable uses of force - MCF

Facility	Reportable Uses of Force	Determined to be justified?
Faribault	0	N/A
Lino Lakes	0	N/A
Moose Lake	0	N/A
Oak Park Heights	0	N/A

Rush City	0	N/A
Red Wing	0	N/A
St. Cloud	0	N/A
Shakopee	0	N/A
Stillwater	0	N/A
Togo	0	N/A
Willow River	0	N/A
Total	0	N/A

d. Number of suicide attempts, people transported to a medical facility, and people placed in segregation

Below is information on the number of suicide attempts, number of people transported to a medical facility, and number of people placed in segregation from January 1 through December 31, 2023.

Table 4. Suicide attempts, medical facility transports, and people placed in segregation - MCF

Suicide attempts	13
Faribault	2
Lino Lakes	1
Moose Lake	0
Oak Park Heights	2
Rush City	1
Red Wing	0
St. Cloud	1
Shakopee	4
	<u> </u>

Stillwater	10
Togo	0
Willow River	0
Medical transports	6802
Faribault	1461
Lino Lakes	794
Moose Lake	851
Oak Park Heights	849
Rush City	742
Red Wing	16
St. Cloud	351
Shakopee	572
Stillwater	1053
Togo	34
Willow River	78
Other	1
Restrictive housing ^{3 4}	4,899
Faribault	795
	1

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³ The statute is silent on what constitutes segregation. DOC submits a restrictive housing report pursuant to Minn. Stat. § 243.521 on January 15 of each year, reporting administrative and disciplinary segregation. A "restrictive housing admission" is an entry into a segregation-unit living assignment during the fiscal year, either as a movement from a non-segregation living assignment, or as the initial living assignment upon new commitment or release violator admission. In the restrictive housing report, an individual may have more than one such admission during the fiscal year. The same methodology is used in both reports, but with different reporting parameters.

⁴ This table does not include MCF-Red Wing, MCF-Togo, or MCF-Willow River. MCF-Red Wing (adults), MCF-Togo, and MCF-Willow River do not have restrictive housing units, so those residents are transferred to other facilities as needed. MCF-Red Wing juvenile data is not captured in this report, as it relates to different statutory and rule-based obligations and a fundamental difference in approach to working with juveniles.

Lino Lakes	483
Moose Lake	586
Oak Park Heights	170
Rush City	573
St. Cloud	1084
Shakopee	306
Stillwater	902

e. Number of people committed to the commissioner of corrections' custody housed in licensed facilities

Below is information regarding the number of persons committed to the commissioner of corrections' custody that the commissioner is housing in facilities licensed under Minn. Stat. § 241.021, subdivision 1, including but not limited to: (i) aggregated demographic data of those individuals; (ii) length of time spent housed in a licensed correctional facility; and (iii) any contracts the Department of Corrections has with correctional facilities to provide housing, from January 1 through December 31, 2023.

Table 5. People housed in licensed facilities⁵ - MCF

i abie bi i copie noasea in necisea jacincies		
Total	0	
Gender		
Male	0	
Female	0	
Non-binary	0	
Age		
14-17	0	
18-25	0	
26-35	0	
36-45	0	
46-55	0	

⁵ The DOC contracts with six facilities – Nobles, Olmsted, Pine, Polk (Tri-County), Washington, and Wright Counties – to house individuals committed to the custody of the commissioner of corrections. Additionally, some non-contract facilities will occasionally house individuals without a contract if the incarcerated person has significant historical ties to the particular county.

56-65	0
66+	0
Race	
White	0
Black	0
American Indian/Alaska Nat.	0
Asian or Pacific Islander	0
Other	0
Length of Time HOF	
1-30 days	0
31-90 days	0
91-180 days	0
181-365 days	0
1+ year	0
·	

f. On-duty staff misconduct complaints, including investigation results

Below is summary data from state correctional facilities regarding complaints involving alleged on-duty staff misconduct, including but not limited to the: (i) total number of misconduct complaints and investigations; (ii) total number of complaints by each category of misconduct, as defined by the commissioner of corrections; (iii) number of allegations dismissed as unfounded; (iv) number of allegations dismissed on grounds that the allegation was unsubstantiated; and (v) number of allegations substantiated, any resulting disciplinary action, and the nature of the discipline from January 1 through December 31, 2023.

Table 6. On-duty staff misconduct complaints - MCF

rubie o. On-duty stajj misconduct complaints - wich		
Total	407	
Misconduct category		
Appearance/dress/hygiene	1	
Code of conduct	75	
Contraband	2	
Discrimination/harassment	17	
Hours of work/pay	196	
Licensure/certification	1	
Medical errors	1	
Misc. policy violations	4	
Reporting/records	0	
Safety	1	

Security	105
Unsatisfactory work perf.	4
Dismissed as unfounded	7
Dismissed as unsubstantiated	10
Substantiated	
Total	318
Resulted in discipline	215
Nature of Discipline	
Oral reprimand	95
Written reprimand	49
Suspension	77
Demotion	1

III. <u>Correctional Facilities Licensed by the Commissioner of Corrections</u>

a. In custody deaths

Below is information regarding the number of confined or incarcerated persons who died while committed to the custody of a licensed facility, regardless of whether the death occurred at the facility or after removal from the facility for medical care stemming from an incident or need for medical care at the correctional facility, including aggregated demographic information and the correctional facilities' most recent inspection reports and any corrective orders or conditional licenses issued, between January 1 and December 31, 2023.

Table 7. In custody deaths – licensed facilities

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Variable	deaths	suicides ⁶	Death demographic info (gender, race and age group) ⁷	Facility most recent inspection report ⁸
Anoka	3	0	Male, American Indian or	11/08/2022
County Jail			Alaskan Native, 18-25 (1),	Appendix A
			Male, Hispanic, 18-25 (1),	
			Male, White, 36-45 (1)	
Benton County	1	1	Male, White, 36-45	05/17/2022
Jail				Appendix B
Carver	1	0	Male, White, 36-45	01/19/2023

⁶ This column captures how many of the total deaths were by suicide.

⁷ Age groups for reporting purposes: under 18; 18-35, 36-55, 51-65, 65+.

⁸ These inspection reports are included in Appendix A-M.

County Jail				Appendix C
Crow Wing	1	0	Female, American Indian	05/18/2022
County Jail			or Alaskan Native, 46-55	Appendix D
Hennepin County	4	0	Male, Asian or Pacific	01/24/2023
Adult Detention			Islander, 36-45 (1),	Appendix E
Center			Male, Black, 36-45 (1),	
			Male, White, 18-25 (1),	
			Male, White, 36-45 (1)	
Lac qui Parle	1	1	Male, White, 56-65	05/24/2023
County Jail				Appendix F
Morrison County	1	1	Male, White, 26-35	11/09/2022
Jail				Appendix G
Olmsted County	2	0	Male, Black, 56-65 (1),	05/27/2022
Jail			Male, White, 56-65 (1)	Appendix H
Otter Tail County	1	1	Male, White, 46-55	12/08/2022
Jail				Appendix I
Ramsey County	1	0	Male, White, 36-45	09/27/2023
Adult Detention				Appendix J
Center				
St. Louis County	2	1	Male, American Indian or	04/05/2023
Jail			Alaskan Native, 56-65 (1),	Appendix K
			Male, White, 26-35 (1)	
Washington	1	1	Female, White, 18-25	04/12/2023
County Jail				Appendix L
Wright County	1	0	Male, American Indian or	09/21/2022
Jail			Alaskan Native, 26-35	Appendix M
TOTAL	20	6		

b. Policy, practice, or training changes resulting from death reviews

Below is information regarding the aggregated results of the death reviews by licensed facilities as required by Minn. Stat. § 241.021 subdivision 8, including any implemented policy changes from January 1 through December 31, 2023.

Table 8. Policy, practice, or training changes – reported changes from licensed facilities

Facility	Reviews	description of change
Anoka County Jail	2 completed, 1 in progress	N/A
Benton County Jail	1 in progress	N/A

Carver	1	N/A
County Jail		
Crow Wing County Jail	1	N/A
Hennepin County Adult	3 completed, 1 in	Changes to
Detention Center	progress	withdrawal
		protocols
Lac qui Parle County Jail	1	Additional
		training on
		suicide
		awareness and
		training on
		cutdown tool
Morrison County Jail	1	N/A
Olmsted County Jail	2	N/A
Otter Tail County Jail	1	Additional
		training on
		suicide
		awareness
Ramsey County Adult	1	Changes to body
Detention Center		search procedures,
		additional training
		provided,
		additional medical
		equipment and
		training
St. Louis County Jail	2	Recommended
•		that the
		withdrawal
		screening process
		have new/added
		screening
		questions.
		Recommended
		that the facility
		provide
		additional
		training to staff
		on signs and
		symptoms of
		overdoses.

Washington County Jail Wright County Jail	1 in progress 1 in progress	N/A N/A
Total	8	N/A

c. Number of reportable uses of force and whether those uses of force were determined to be justified by the facility

Below is information regarding the number of uses of force by facility staff on persons confined or incarcerated in the correctional facility, including but not limited to whether those uses of force were determined to be justified by the facility from January 1 through December 31, 2023. The commissioner of corrections consulted with the Minnesota Sheriffs' Association and a representative from the Minnesota Association of Community Corrections Act Counties who is responsible for the operations of an adult correctional facility to develop criteria for reporting and define reportable uses of force.

Reportable uses of force are defined as: Any application of physical, manual, or mechanical intervention, techniques or tactics, chemical agents, or weapons to a confined or incarcerated person resulting in substantial bodily harm as defined by Minn. Stat. § 609.02 as:

"bodily injury which involves a temporary but substantial disfigurement, or which causes a temporary but substantial loss or impairment of the function of any bodily member or organ, or which causes a fracture of any bodily member."

Table 9. Reportable uses of force – licensed facilities

Facility	Reportable Uses of Force	Determined to be justified?
Benton County Jail	1	Yes
Carver County Jail	1	Yes
Clearwater County Jail	1	Yes
Hennepin County ACF - North	1	Yes
Houston County Jail	1	Yes
McLeod County Jail	2	Yes
Northwest Regional Corrections	1	Yes
Center		
Roseau County Jail	2	Yes
St. Louis County Jail	1	Yes
Wabasha County Jail	1	Yes

d. Number of suicide attempts, number of people transported to a medical facility, and number of people placed in segregation

Below is information on the number of suicide attempts, number of people transported to a medical facility, and number of people placed in segregation from January 1 through December 31, 2023.

Table 10. Suicide attempts, medical facility transports, and people placed in segregation – licensed facilities

Suicide attempts	94
Aitkin	0
Anoka	2
Anoka Workhouse	0
Becker	0
Beltrami	2
Benton	1
Blue Earth	8
Brown	1
Carlton	1
Carver	0
Cass	0
Chippewa	0
Chisago	3
Clay	1
Clearwater	1
Cook	1
Cottonwood	4
Crow Wing	1
Dakota	4
Douglas	0
Faribault	1
Fillmore	0
Freeborn	0
Goodhue	0
Hennepin Men	1
Hennepin North	0
Hennepin ADC	9
Houston	0
Hubbard	0
Isanti	1

Itasca	0
Jackson	0
Kanabec	0
Kandiyohi	0
Kittson	1
Koochiching	1
Lac Qui Parle	0
Lake	0
Lake of the Woods	0
Le Sueur	0
Lincoln	0
Lyon	0
Marshall	0
Martin	0
McLeod	1
Meeker	0
Mille Lacs	2
Morrison	1
Mower	0
Murray	0
Nicollet	1
Nobles	0
Norman	0
Northeast Regional	0
Northwest Regional	1
Olmsted	1
Otter Tail	6
Pennington	2
Pine	1
Pipestone	1
Ramsey ACF	2
Ramsey ADC	3
Redwood	2
Renville	0
Rice	0
Roseau	2
Scott	3
Sherburne	2
Sibley	0
St. Louis	3
St. Louis - Hibbing	0
St. Louis - Virginia	0

Stearns	5	
Steele	0	
Swift	0	
Todd	0	
Traverse	0	
Wabasha	1	
Wadena	0	
Waseca	4	
Washington	0	
Watonwan	1	
Wilkin	1	
Winona	1	
Wright	2	
Yellow Medicine	1	
Medical transports	2386	
Aitkin	49	
Anoka	230	
Anoka Workhouse	27	
Becker	15	
Beltrami	71	
Benton	30	
Blue Earth	65	
Brown	14	
Carlton	27	
Carver	24	
Cass	4	
Chippewa	1	
Chisago	12	
Clay	42	
Clearwater	39	
Cook	1	
Cottonwood	18	
Crow Wing	127	
Dakota	121	
Douglas	1	
Faribault	4	
Fillmore	0	
Freeborn	6	
Goodhue	4	
Hennepin Men	29	
Hennepin North	8	
пеннерин могин		

Hennepin ADC	198
Houston	18
Hubbard	10
Isanti	1
Itasca	21
Jackson	9
Kanabec	14
Kandiyohi	33
Kittson	1
Koochiching	5
	1
Lac Qui Parle	1
Lake of the Woods	0
Lake of the Woods	17
Le Sueur	
Lincoln	0
Lyon	2
Marshall	0
Martin	11
McLeod	25
Meeker	11
Mille Lacs	7
Morrison	37
Mower	19
Murray	0
Nicollet	4
Nobles	29
Norman	0
Northeast Regional	10
Northwest Regional	27
Olmsted	76
Otter Tail	41
Pennington	2
Pine	6
Pipestone	3
Ramsey ACF	43
Ramsey ADC	246
Redwood	21
Renville	4
Rice	6
Roseau	3
Scott	65
Sherburne	90

Cirl	2
Sibley	2
St. Louis	72
St. Louis - Hibbing	17
St. Louis - Virginia	16
Stearns	33
Steele	0
Swift	1
Todd	2
Traverse	8
Wabasha	5
Wadena	0
Waseca	7
Washington	63
Watonwan	6
Wilkin	1
Winona	1
Wright	63
Yellow Medicine	3
Restrictive housing ⁹	5783
Aitkin	20
Anoka	
AHONA	197
Anoka Workhouse	197 0
Anoka Workhouse	0
Anoka Workhouse Becker	0
Anoka Workhouse Becker Beltrami	0 0 31
Anoka Workhouse Becker Beltrami Benton	0 0 31 19
Anoka Workhouse Becker Beltrami Benton Blue Earth	0 0 31 19 50
Anoka Workhouse Becker Beltrami Benton Blue Earth Brown Carlton	0 0 31 19 50 36 10
Anoka Workhouse Becker Beltrami Benton Blue Earth Brown Carlton Carver	0 0 31 19 50 36
Anoka Workhouse Becker Beltrami Benton Blue Earth Brown Carlton Carver Cass	0 0 31 19 50 36 10
Anoka Workhouse Becker Beltrami Benton Blue Earth Brown Carlton Carver Cass Chippewa	0 0 31 19 50 36 10 39 0
Anoka Workhouse Becker Beltrami Benton Blue Earth Brown Carlton Carver Cass	0 0 31 19 50 36 10 39

⁹ The statute is silent on what constitutes segregation. DOC submits a restrictive housing report pursuant to Minn. Stat. § 243.521 on January 15 of each year, reporting administrative and disciplinary segregation. Licensed facilities have been asked to report the same information for consistency. Licensed correctional facilities operate independently and may utilize numerous forms of segregation based on the vulnerability, behavioral watch, protective custody, suicide watch, medical and mental health needs, disciplinary, safety, security, and administrative needs of the population served. Most facilities classify housing as "segregation" when a person is housed outside of the general population. It should be noted that COVID quarantines required many individuals to be housed separately from the general population.

Clearwater	0
Cook	2
Cottonwood	6
Crow Wing	259
Dakota	385
	0
Douglas Faribault	0
Fillmore	0
Freeborn	25
Goodhue	16
	72
Hennepin Men	4
Hennepin North	337
Hennepin ADC	
Houston	25
Hubbard	
Isanti	13
Itasca	2
Jackson	1
Kanabec	5
Kandiyohi	82
Kittson	0
Koochiching	0
Lac Qui Parle	0
Lake	0
Lake of the Woods	0
Le Sueur	0
Lincoln	0
Lyon	2
Marshall	1
Martin	1
McLeod	0
Meeker	30
Mille Lacs	34
Morrison	64
Mower	14
Murray	0
Nicollet	0
Nobles	58
Norman	0
Northeast Regional	56
Northwest Regional	106
Olmsted	181
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Otter Tail	27
Pennington	25
Pine	33
Pipestone	0
Ramsey ACF	139
Ramsey ADC	458
Redwood	0
Renville	47
Rice	31
Roseau	0
Scott	179
Sherburne	529
Sibley	24
St. Louis	1257
St. Louis - Hibbing	21
St. Louis - Virginia	38
Stearns	144
Steele	19
Swift	0
Todd	5
Traverse	0
Wabasha	31
Wadena	7
Waseca	56
Washington	54
Watonwan	3
Wilkin	2
Winona	0
Wright	190
Yellow Medicine	0

IV. Commissioner's Duties under Minn. Stat. § 241.021, subd. 1 – 1i

Almost 50 years ago, the legislature granted the DOC the authority to establish minimum standards in correctional facilities with respect to management, operation, physical condition, security, safety, health, treatment, and discipline. Two years later, it gave the DOC authority to license correctional facilities in the state, as well as revoke those licenses in the event of noncompliance with minimum standards.

¹⁰ See <u>1976 c 299 s 1</u>.

¹¹ See <u>1978 c 778 s 1</u>.

In light of legislative changes to its licensing authority, DOC has undertaken many efforts to redefine minimum standards for licensed facilities that comport with current best practices, improve the oversight of correctional facilities and ability to act if standards are not met, increase transparency among and between all correctional facilities in Minnesota, and ensure accountability on behalf of the DOC in upholding licensing and enforcement obligations required by law when violations of minimum standards occur.

a. Subd. 1 – Licensed facility oversight and minimum standards

Rulemaking related to minimum standards of conditions of confinement for licensed facilities set forth in Chapter 2911 is underway as mandated by the changes in statute.

DOC is required to promulgate rule on the following topics:

- 1. screening, appraisal, assessment, and treatment for persons confined or incarcerated in correctional facilities with mental illness or substance use disorders;
- 2. a policy on the involuntary administration of medications;
- 3. suicide prevention plans and training;
- 4. verification of medications in a timely manner;
- 5. well-being checks;
- discharge planning, including providing prescribed medications to persons confined or incarcerated in correctional facilities upon release;
- 7. a policy on referrals or transfers to medical or mental health care in a non-correctional institution;
- 8. use of segregation and mental health checks;
- 9. critical incident debriefings;
- 10. clinical management of substance use disorders;
- 11. a policy regarding identification of persons with special needs confined or incarcerated in correctional facilities;
- 12. a policy regarding the use of telehealth;
- 13. self-auditing of compliance with minimum standards;
- 14. information sharing with medical personnel and when medical assessment must be facilitated;
- 15. a code of conduct policy for facility staff and annual training;
- 16. a policy on death review of all circumstances surrounding the death of an individual committed to the custody of the facility; and
- 17. dissemination of a rights statement made available to persons confined or incarcerated in licensed correctional facilities.

DOC has begun the rulemaking revision process, which will include proactive engagement with external and impacted stakeholders to put forth proposed language prior to submitting the rule change for public comment.

DOC has updated its inspection and licensing practices to include:

- Determining compliance with minimum standards established in any related state law (prior to July 1, 2021, DOC only had the authority to inspect and enforce compliance with rule);
- Revised license expiration practices and limited licenses for purposes of facility closures;
- Shortened timeframe for reporting in-custody deaths within 24 hours;
- Reporting obligations for uses of force by correctional facility staff against individuals confined or incarcerated in their facilities; and
- Public posting of facility license status and any related license orders.

b. Subds. 1a - 1e

DOC was provided a new licensing action framework with the amendments the legislature made to Minn. Stat. § 241.021 subds. 1a - 1e. DOC has implemented this framework, now including four codified licensing action options for DOC to take against licensed facilities in the event of certain levels of noncompliance with minimum standards. The four actions include:

- Correction Order issued when a facility does not substantially conform to the
 minimum standards established by the commissioner and is not making satisfactory
 progress toward substantial conformance and the nonconformance does not present an
 imminent risk of life-threatening harm or serious physical injury to the persons confined
 or incarcerated in the facility
- Conditional License Order issued when a facility does not substantially conform to the minimum standards established by the commissioner and is not making satisfactory progress toward substantial conformance and the nonconformance does not present an imminent risk of life-threatening harm or serious physical injury to the persons confined or incarcerated in the facility. The conditional license order may restrict the use of any facility which does not substantially conform to minimum standards, including imposition of conditions limiting operation of the facility or parts of the facility, reducing facility capacity, limiting intake, limiting length of detention for individuals, or imposing detention limitations based on the needs of the individuals being confined or incarcerated therein.
- Revocation Order issued when, after considering the nature, chronicity, or severity of the violation of law or rule and the effect of the violation on the health, safety, or rights of persons confined or incarcerated in the correctional facility, a facility does not conform to minimum standards, or is not making satisfactory progress toward substantial compliance with minimum standards, and the nonconformance does not present an imminent risk of life-threatening harm or serious physical injury to the persons confined or incarcerated in the facility
- **Temporary Immediate Suspension Order** issued when a facility's failure to comply with applicable minimum standards or the conditions in the correctional facility pose an imminent risk of life-threatening harm or serious physical injury to persons confined or

incarcerated in the facility, staff, law enforcement, visitors, or the public; or when a facility has received a notice of intent to issue an order of revocation and has one or more subsequent violations of minimum standards which may adversely affect the health or safety of persons confined or incarcerated in the facility, staff, law enforcement, visitors, or the public

c. Subds. 1g - 1h

DOC has also convened the state prison security audit standards group to define audit standards for state correctional facilities. The state prison security audit standards group requested and received an extension in developing these important standards. The standards were reported to the legislature in July of 2022, DOC has begun using those standards in auditing all 11 of its state prison facilities biennially and will include reporting on that process in its annual performance report to the legislature as required under Minn. Stat. § 241.016, subdivision 1. The annual report contains Security Audit Working Group recommendations and commissioner responses to the biennial audits.