



State of Minnesota

Minnesota Department of Veterans Affairs (MDVA)

Affirmative Action Plan for July 1, 2022 – June 30, 2024

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To request an alternative format of this document, please contact MDVA Office for Diversity, Equity & Inclusion at 612-548-5961 or diversity.mdva@state.mn.us.

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Statement of Commitment

Minnesota Administrative Rules, part 3905.0400, subpart 1, item C

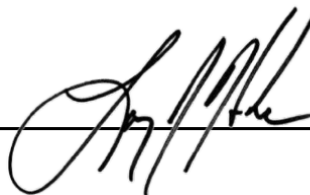
This statement reaffirms MDVA (thereafter “the agency”) is committed to Minnesota’s statewide affirmative action efforts and providing equal employment opportunity to all employees and applicants in accordance with equal opportunity and affirmative action laws.

I affirm my personal and official support of these policies which provide that:

- No individual shall be discriminated against in the terms and conditions of employment, personnel practices, or access to and participation in programs, services, and activities, or subject to harassment, on the basis of race, sex (including pregnancy), color, creed, religion, age, national origin, sexual orientation, gender expression, gender identity, disability, marital status, familial status, status with regard to public assistance, or membership or activity in a local human rights commission.
- The prohibition of discrimination on the basis of sex precludes sexual harassment, gender-based harassment, and harassment based on pregnancy.
- This agency is committed to the implementation of the affirmative action policies, programs, and procedures included in this plan to ensure that employment practices are free from discrimination. Employment practices include, but are not limited to the following: hiring, promotion, demotion, transfer, recruitment or recruitment advertising, layoff, disciplinary action, termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship. We will provide reasonable accommodation to employees and applicants with disabilities.
- This agency will continue to actively promote a program of affirmative action, wherever females, racial/ethnic minorities, and individuals with disabilities are underrepresented in the workforce, and work to retain all qualified, talented employees, including protected group employees.
- This agency will evaluate its efforts, including those of its directors, managers, and supervisors, in promoting equal opportunity and achieving affirmative action objectives contained herein. In addition, this agency will expect all employees to perform their job duties in a manner that promotes equal opportunity for all.

It is the agency’s policy to provide an employment environment free of any form of discriminatory harassment as prohibited by federal, state, and local human rights laws. I strongly encourage suggestions as to how we may improve. We strive to provide equal employment opportunities and the best possible service to all Minnesotans.

Commissioner or Agency Head: _____



Date Signed: 1/31/2023

Executive Summary

Minnesota Administrative Rules, part 3905.0400, subpart 1, item A

This Affirmative Action Plan meets the requirements as set forth in statute, in Administrative Rule, and by Minnesota Management and Budget (MMB). The Plan outlines:

- Affirmative action goals
- Timetables
- Reasonable and assertive hiring and retention methods for achieving these goals

This Affirmative Action review revealed underutilization of the following protected group(s) in the following job categories: Females (Professionals and Paraprofessionals) and Racial/Ethnic Minorities (Skilled Craft and Service Maintenance).

Table 1 Workforce Underutilization Analysis of Protected Groups

(x indicates the job categories and protected groups that have underutilization.)

| Job Categories | Females | Racial/Ethnic Minorities | Individuals with Disabilities |
|----------------------------|---------|--------------------------|-------------------------------|
| Officials & Administrators | - | - | - |
| Professionals | x | - | - |
| Technicians | - | - | - |
| Paraprofessionals | x | - | - |
| Office/Clericals | - | - | - |
| Skilled Craft | - | x | - |
| Service Maintenance | - | x | - |

Once complete, information about how to obtain or access a copy of this Plan is provided to every employee of the agency. Our intention is to make every employee aware of MDVA’s commitments to affirmative action and equal employment opportunity. The completed Plan is also posted on the agency’s website and maintained in the Office of Diversity, Equity & Inclusion.

Affirmative Action Officer or Designee: Jean Kim Maierhofer Date Signed: 1/31/2023

Human Resources Director or Designee: Valerie Klitzke Date Signed: 1/31/2023

Commissioner or Agency Head: [Signature] Date Signed: 1/31/2023

Organizational Profile

The MDVA is a cabinet level state agency dedicated to serving Veterans and their families by assisting them in securing state and federal benefits, and by providing programs and services related to higher education, benefits, claims, outreach, Veterans preference and burial. MDVA operates three Veteran cemeteries located throughout the state which have a strong emphasis on remembering and recognizing Veteran service. Through operating five Veterans Homes throughout the state, MDVA provides a continuum of long-term care for its residents, with a strong emphasis on remembering and recognizing the service and sacrifices of all Veterans. MDVA assists Minnesota's 304,000 Veterans and their dependents to obtain earned benefits and services.

Individuals Responsible for Directing/Implementing the Affirmative Action Plan

Minnesota Administrative Rules, part 3905.0400, subpart 1, item B

A. Commissioner

Responsibilities

The Commissioner is responsible for establishing an Affirmative Action Plan, including goals, timetables, and compliance with all federal and state laws and regulations. Quarterly, the Commissioner reports the agency's progress in meeting its affirmative action goals and objectives to the Commissioner of MMB. The Commissioner, through the Commissioner of MMB, will report annually to the Governor and the Legislature the agency's progress in meeting its affirmative action goals and objectives.

Duties

The duties of the Commissioner include, but are not limited to:

- Appoint the Affirmative Action Officer or designee and include accountability for the administration of the agency's Affirmative Action Plan in his or her position description.
- Take action, if needed, on complaints of discrimination and discriminatory harassment.
- Issue a statement affirming the department's commitment to affirmative action and equal employment opportunity and ensure the statement is shared with all employees.
- Make decisions and changes in policies, procedures or physical accommodations as needed to implement effective affirmative action in the agency.
- Actively promote equal employment opportunity and incorporate diversity and inclusion principles in annual business plans, strategic plans, and the agency's mission.
- Notify all contractors and sub-contractors with the department of their affirmative action responsibilities.
- Enforce equal employment opportunity in affirmative and non-affirmative hiring decisions reviewed in the hiring process.
- Require that all agency directors, managers, and supervisors include responsibility statements to support affirmative action, equal opportunity, diversity, and/or cultural responsiveness in their position descriptions and annual objectives.
- Comply with state-wide and agency anti-discrimination and anti-harassment policies.

Accountability

The Commissioner is accountable directly to the Governor and indirectly to the Commissioner of MMB for affirmative action matters.

Name of individual(s) responsible

Name: Larry Herke

Email: larry.herke@state.mn.us

Title: Commissioner

Phone: 651-757-1555

B. Affirmative Action Officer

Responsibilities

The Affirmative Action Officer is directly responsible for developing, coordinating, implementing, and monitoring the agency's Affirmative Action Plan.

Duties

The duties of the Affirmative Action Officer include, but are not limited to:

- Develop and administer the agency's Affirmative Action Plan.
- Develop and set agency-wide affirmative action hiring goals.
- Monitor agency compliance and fulfill all affirmative action reporting requirements.
- Disseminate the affirmative action policy to employees in the agency.
- Inform the Commissioner of progress on affirmative action and equal opportunity goals and report potential concerns.
- Act as the affirmative action liaison between the agency, MMB, and the Governor's Office.
- Determine the need for affirmative action training within the agency. Develop training goals and content with internal and external resources.
- Review and recommend changes in policies, procedures, programs, and physical accommodations to implement affirmative action and equal opportunity.
- Develop innovative programs to attract and retain individuals from protected groups in the agency.
- Support and recruit racial/ethnic minorities, individuals with disabilities, and females for employment, promotion, and training opportunities.
- Manage the agency's pre-hire review process.
- Review requests for non-affirmative hires in the Monitoring the Hiring process and refer unresolved issues to the Commissioner for final decision.
- Ensure supervisors and managers are making good faith efforts to recruit and retain qualified candidates and employees from protected groups.
- Oversee the administration of the Americans with Disabilities Act Title I and Title II.

- Maintain records of requests for reasonable accommodations.
- Oversee the administration of the Agency Diversity Recruitment program.
- Comply with state-wide and agency anti-discrimination and anti-harassment policies.

Accountability

The Affirmative Action Officer is accountable to the Senior Administrative Officer (who is accountable to the Commissioner) for program impacts and for ongoing program activities and direction. The Affirmative Action Officer oversees the administration of ADA Title II, manages diversity and inclusion initiatives, and other equal opportunity related matters. In addition, the AAO ensures that aggregate data and trends of complaints of illegal discrimination in hiring are provided and shared with the Human Resources Director on a quarterly basis.

Name of individual(s) responsible

Name: Jean Kim Maierhofer

Email: jean.maierhofer@state.mn.us

Title: Director of Diversity, Equity and Inclusion

Phone: 612-548-5961

C. Human Resources Director or Designee(s)

Responsibilities

The Human Resource (HR) Office is responsible for ensuring equitable and uniform administration of all personnel policies.

The HR Director is responsible, in conjunction with the agency ADA Coordinator, for ensuring timely responses to all Americans with Disabilities Act (ADA) requests for reasonable accommodations to remove barriers to equal employment opportunity with the agency. The HR Director is responsible for assisting managers and supervisors in human resources management activities.

Staff within HR, who work on affirmative action and diversity issues, are accountable to the HR Director or Designee.

Duties

The duties of HR Director include, but are not limited to:

- Maintain effective working relationships with the agency Affirmative Action Officer and designees.

- Provide leadership to HR staff and others to ensure personnel decision-making processes adhere to equal opportunity and affirmative action principles.
- Provide guidance in the development and use of selection criteria to ensure they are objective, uniform, and job related.
- Assist in recruitment and retention of protected groups and notify managers and supervisors of existing disparities.
- Ensure an Affirmative Action Pre-hire Review process is implemented and followed by hiring managers and supervisors in collaboration with the Affirmative Action Officer.
- Initiate and report on progress made with program objectives contained in the Affirmative Action Plan.
- Ensure that the reasonable accommodation process is implemented and followed for all employees and applicants in need of a reasonable accommodation.
- Assist supervisors, managers, and the Affirmative Action Officer in the recruitment of protected group members through career and job fairs and other efforts, as well as in selection and retention of protected group members.
- Assist supervisors, managers, the Affirmative Action Officer, and HR staff in the creation of supported worker positions. These positions help reduce agency costs by diverting supportive employment duties from higher skilled workers to supported worker positions. This can improve employee morale and retention of individuals with disabilities in integrated employment.
- Request assistance from MMB to support diversity recruitment efforts, as well as the retention of protected group members in hard-to-fill or executive level positions.
- Include responsibility statements for affirmative action/equal employment opportunity in position descriptions and annual performance objectives.
- Comply with state-wide and agency anti-discrimination and anti-harassment policies.

Accountability

HR staff are accountable to the HR Director or Designee.

The MDVA Human Resources Director or Designee is directly accountable to the Senior Administrative Officer (who is accountable to the Commissioner).

Name of individual(s) responsible

Name: Valerie Klitzke

Email: valerie.klitzke@state.mn.us

Title: Human Resources Director

Phone: 612-704-3210

D. Americans with Disabilities Act Title I Coordinator

Responsibilities

The Americans with Disabilities Act (ADA) Title I Coordinator is responsible for ensuring the agency's compliance with the ADA Title I – Employment, in accordance with the ADA - as amended, and the Minnesota Human Rights Act.

Duties

The duties of the ADA Title I Coordinator include, but are not limited to:

- Provide guidance, coordination, and direction to agency management on the ADA. The agency develops and implements policies, procedures, and practices to ensure agency employment practices and programs are accessible and nondiscriminatory.
- Provide training, technical guidance, and consultation to agency management and staff on compliance and best practices for hiring and retaining individuals with disabilities, as well as the provision of reasonable accommodations to employees and job applicants.
- Track and facilitate requests for reasonable accommodations for job applicants and employees, as well as members of the public accessing agency services and report reasonable accommodations annually to MMB.
- Research case law rules and regulation and update Human Resources (HR) Directors on evolving ADA issues. Meet bi-annually with ADA Coordinators and provide updates on ADA.
- Ensure compliance with ADA reporting according to state and federal requirements.
- Assist the Affirmative Action Officer in designing and delivering specific ADA training for targeted groups.
- Submit reasonable accommodation reimbursement under the guidelines of the state-wide accommodation fund.
- Receive requests for ADA accommodations and work with appropriate supervisors, unions, etc. to approve or deny the request, or provide alternative accommodations.
- Provide reasonable accommodations to qualified individuals (as defined by ADA) with known physical or mental disabilities, to enable them to compete in the selection process, perform essential functions of the job, and/or enjoy equal benefits and privileges. The ADA Coordinator in consultation with the employee, human resources representative and supervisor, and other individuals involved must:
 - Discuss the purpose and essential functions of the job and complete a step-by-step job analysis;
 - Determine the precise job-related limitations;
 - Identify potential accommodations and assess the effectiveness each would have in allowing the employee to perform essential functions of the job; and

- After discussion and review, select and implement the accommodations that are appropriate for both the employee and the employer using the Reasonable Accommodation Agreement.
- Comply with state-wide and agency anti-discrimination and anti-harassment policies.

Accountability

The ADA Title I Coordinator is accountable to Senior Administrative Officer (who is accountable to the Commissioner).

Name of individual(s) responsible

Name: Jean Kim Maierhofer

Email: jean.maierhofer@state.mn.us

Title: Director of Diversity, Equity and Inclusion

Phone: 612-548-5961

E. Americans with Disabilities Act Title II Coordinator

Responsibilities

The Americans with Disabilities Act (ADA) Title II Coordinator is responsible ensuring the agency’s compliance with the ADA Title II – Public Services, in accordance with the ADA as amended, and the Minnesota Human Rights Act.

Duties

The duties of the ADA Title II Coordinator include, but are not limited to:

- Provide guidance, coordination, and direction to agency management on the ADA. The agency develops and implements policies, procedures, and practices to ensure agency employment practices and programs are accessible and nondiscriminatory.
- Provide training, technical guidance, and consultation to the agency’s management and staff on compliance and best practices with regards and obligations to members of the public with disabilities, as well as the provision of reasonable modifications for visitors.
- Track and facilitate requests for reasonable modifications for members of the public accessing agency services. Report reasonable modifications annually to MMB.
- Research case law rules and regulation and update Executive team on evolving ADA issues. Meet bi-annually with state ADA Coordinators and learn updates and share practices on ADA.
- Ensure compliance with ADA reporting according to state and federal requirements.
- Assist the Affirmative Action Officer in designing and delivering training for Agency employees assisting ADA modifications for the public.

- Provide reasonable modifications to members of the public (as defined by ADA) with known physical or mental disabilities to ensure equal access and privileges to programming and services. The ADA Title II Coordinator will consult with the member of the public in need of a modification and:
 - Discuss the purpose and essential functions of the reasonable modification.
 - Identify the potential modifications and assess the effectiveness each request.
 - After discussion and review, select and implement the modifications that are appropriate for both the member of the public and the agency.
 - Document this review and reported in the State ADA Annual Report.
- Comply with state-wide and agency anti-discrimination and anti-harassment policies.

Accountability

The ADA Title II Coordinator is accountable to the Senior Administrative Officer (who is accountable to the Commissioner).

Name of individual(s) responsible

Name: Jean Kim Maierhofer

Email: jean.maierhofer@state.mn.us

Title: Director of Diversity, Equity and Inclusion

Phone: 612-548-5961

F. Diversity Recruitment Coordinator

Responsibilities

The Diversity Recruitment Coordinator is responsible for the creation and coordination of the Diversity Recruitment Plan outlined in this document.

Duties

The duties of the Diversity Recruitment Coordinator include, but are not limited to:

- Identify high-need recruitment job areas within the agency.
- Communicate the strategic recruitment plan to Human Resources (HR), the executive team, management, and staff.
- Assist the Affirmative Action Officer in conducting periodic audits of recruitment activity to measure the effectiveness of efforts and activities toward attaining strategic diversity goals and objectives.
- Maintain relationships with agency executive teams, HR, and management to make decisions about the diversity recruitment needs of the department.

- Maintain relationships with community stakeholders, colleges and universities, and workforce centers to continue effective diversity recruitment strategies.
- Maintain active participation in the state-wide recruiters' group.
- Comply with state-wide and agency anti-discrimination and anti-harassment policies.

Accountability

The Diversity Recruitment Coordinator is accountable to the Senior Administrative Officer (who is accountable to the Commissioner).

Name of individual(s) responsible

Name: Kimberly Johnson

Email: kimberly.johnson@state.mn.us

Title: Diversity, Equity and Inclusion Coordinator

Phone: 612-283-5863

G. Senior Managers and Facility Executive Team Leaders

Responsibilities

Agency senior managers and executive team leaders are responsible for implementing all aspects of the agency Affirmative Action Plan and the agency's commitment to affirmative action and equal opportunity.

Duties

The duties of senior managers and executive team leaders include, but are not limited to:

- Identify problem areas and eliminate barriers that prevent equal employment opportunity within the agency.
- Communicate the equal opportunity employment policy and the Affirmative Action Plan to all employees.
- Assist the Affirmative Action Officer in periodic audits of hiring and promotion patterns to remove obstacles to attaining affirmative action goals and objectives.
- Hold regular discussions with supervisors and employees to ensure the agency's equal employment opportunity policies are being followed.
- Inform and evaluate managers and supervisors on their equal employment opportunity efforts and results, in addition to other job performance criteria.
- Comply with statewide and agency anti-discrimination and anti-harassment policies.

Accountability

Senior managers and executive team leaders are accountable directly to the Senior Administrative Officer, the Deputy Commissioner of Healthcare or the Deputy Commissioner of Program and Services, who are all accountable to the Commissioner.

H. All Employees

Responsibilities

All employees are responsible for conducting themselves in accordance with the State of Minnesota's policy of equal employment opportunity. This includes refraining from any actions that would subject any employee to negative treatment on the basis of race, creed, color, sex (including pregnancy), national origin, age, marital status, familial status, disability, sexual orientation, gender expression, gender identity, reliance on public assistance, membership or activity in a local human rights commission, religion, political opinions, or affiliations. Employees who believe they have been subjected to such discrimination or harassment are encouraged to use the agency's complaint procedure.

Duties

The duties of all employees include, but are not limited to:

- Exhibit an attitude of respect, courtesy, and cooperation toward colleagues and the public.
- Refrain from any actions that would adversely affect a colleague on the basis of their race, creed, color, sex (including pregnancy), national origin, age, marital status, familial status, disability, sexual orientation, gender expression, gender identity, reliance on public assistance, membership or activity in a local human rights commission, religion, political opinions, or affiliations.
- Comply with state-wide and agency anti-discrimination and anti-harassment policies.

Accountability

Employees are accountable to their designated supervisor and indirectly to the agency's Commissioner. All employees are responsible for conducting themselves in accordance with the Affirmative Action Plan.

Communication of the Affirmative Action Plan

Minnesota Administrative Rules, part 3905.0400, subpart 1, item D and Minnesota Administrative Rules, part 3905.0400, subpart 1, item E

The following information describes the methods that the agency takes to communicate the Affirmative Action Plan to employees and the general public:

Internal Methods of Communication

- **Internal memorandum.** Agency leadership or the Affirmative Action Officer will send an internal memo to agency employees each year. This message identifies the location of the Affirmative Action Plan and the employee's responsibility to read and understand it. It also indicates the employee's responsibility to support and implement equal opportunity and affirmative action.
- **Intranet.** The agency's Affirmative Action Plan is available to all employees on the agency's internal website at [MDVA SharePoint \(https://mn365.sharepoint.com/teams/mdva/Pages/Affirmative%20Action.aspx\)](https://mn365.sharepoint.com/teams/mdva/Pages/Affirmative%20Action.aspx) and in print to anyone who requests it. As requested, the agency will make the plan available in alternative formats.
- **Printed copy.** A physical copy of the Agency's Affirmative Action Plan is available to employees at the following address:

Attn: Office of Diversity, Equity and Inclusion
20 W. 12th Street St. Paul, MN 55155
- **Signage.** Nondiscrimination and equal opportunity statements and posters are prominently displayed in areas frequently used by employees.

External Methods of Communication

- **Public website.** The agency's Affirmative Action Plan is available on the agency's public website at [MDVA External Website \(https://mn.gov/mdva/\)](https://mn.gov/mdva/). Printed copies are available to anyone who requests it. As requested, the agency will make the plan available in alternative formats.
- **Equal opportunity employer language.** The agency's website homepage, letterhead, publications, and all job postings include the statement "MDVA is an equal opportunity employer." The agency will also ensure a representative ratio of diversity is on all marketing materials.
- **Signage.** Nondiscrimination and equal opportunity statements and posters are prominently displayed in common public areas. Examples of posters displayed include: Equal Employment Opportunity is the law, Employee Rights under the Fair Labor Standards Act, and the Americans with Disabilities Act Notice to the Public.
- A physical copy of the Agency's Affirmative Action Plan is available to contractors, vendors, and members of the public at the following address:

Attn: Office of Diversity, Equity and Inclusion
20 W. 12th Street St. Paul, MN 55155

Job Category Analysis

Minnesota Administrative Rules 3905.0600 Subp 3.A and Minnesota Administrative Rules 3905.0600 Subp 3.B

The agency conducted a Job Category Analysis to determine the percent of protected group employees in each job category. The job category analysis lists job class titles in each Equal Employment Opportunity (EEO) job category at the agency. A job classification is a group of one or more positions with similar duties and responsibilities. These classifications help clarify positions within the class so the same schedules of pay can be applied with equity to all positions in the class that fall under the same, or substantially the same, employment conditions.

Determining Availability

MS 43A.19(b), MS 43A.19(c), Minnesota Administrative Rules 3905.0600 Subp 1, Minnesota Administrative Rules 3905.0600 Subp 2, Minnesota Administrative Rules 3905.0600 Subp 3C, and Minnesota Administrative Rules 3905.0600 Subp 3D

The agency used the United States Census Bureau's EEO Tabulation 2014-2018 American Community Survey (ACS) statistical data for external availability, which is the most current statistical information available at the time of developing this Affirmative Action Plan. The feeder job statistics of employees are used for internal availability (refer to Appendix D. Feeder Jobs for details).

These external and internal factors are weighted according to the agency's past hiring patterns and/or future recruitment focus to obtain the final availability (Refer to Appendix E. Determining Availability for details).

Utilization/Comparing Employees to Availability, Goal Establishment, and Timetables

Minnesota Administrative Rules 3905.0400 Subp 1 Item G, Minnesota Administrative Rules 3905.0600 Subp 3, Minnesota Administrative Rules 3905.0600 Subp 4, and Minnesota Administrative Rules 3905.0600 Subp 5.

Utilization is an analysis of affirmative action and equal opportunity employment data used to assess the available workforce for a given state.

Underutilization Analysis worksheets are attached in the appendices. Numbers less than 10 are indicated with "<10" in accordance with Minnesota Management and Budget's guidance on data privacy.

Through the utilization and availability analysis, the agency has determined which job categories are underutilized for females, racial/ethnic minorities, and individuals with disabilities in the agency and has set hiring goals for the next two years. Hiring goals are objective and used for making good faith efforts for all aspects of the Affirmative Action Plan. Effective hiring goals are strategic, actionable, and measurable efforts the agency is committed to pursuing and implementing in 2022-2024.

The goals are not quotas, nor do they require protected group status-based hiring preferences. They are aspirational goals so that the agency makes good faith efforts to remove barriers to equal employment opportunity.

The agency used the whole person rule to establish a hiring goal. This means when the actual representation percentage of females, racial/ethnic minorities, or individuals with disabilities is less than reasonably would be expected given the workforce participation in the labor market area/reasonable recruitment area and that difference is at least one whole person (more than 1), then a goal is established for that job category.

When a hiring goal for a job category is established, a percentage goal equals to the final availability percentage is calculated for females, racial/ethnic minorities, and individuals with disabilities in that job category.

In Appendix F. the Utilization Goals indicates if a job category by protected group is underutilized. Area(s) in the agency's workforce that require further monitoring appear in the "Establish Goals?" column as:

- "Yes": there is underutilization.
- "Monitor": the agency needs to monitor the job it may be underutilized where employee movement occurs.

In **Table 2. Hiring Goals by Job Category and Protected Group**, if a protected group in a job category shows "Monitor," the agency will proactively make good faith efforts to recruit external qualified protected groups. The agency will also train and retain employees in the job category to help prevent underutilization due to an employee move or attrition.

Refer to Appendix F. Utilization-Goals for details for underutilization and hiring goals.

Table 2. Hiring Goals by Job Category and Protected Group is a summary of hiring goals by job category and protected group. The actions the agency will take to address these hiring goals will be described in Corrective Actions and Action-Oriented Programs section.

Table 2. Hiring Goals by Job Category and Protected Group

| Job Categories | Females Establish Goals? | Females If Yes, Goals for FY 2022-2024 | Racial/ Ethnic Minorities Establish Goals? | Racial/ Ethnic Minorities If Yes, Goals for FY 2022-2024 | Individuals with Disabilities Establish Goals? | Individuals with Disabilities If Yes, Goals for FY 2022-2024 |
|--------------------------|--------------------------|--|--|--|--|--|
| Officials/Administrators | Monitor | - | Monitor | - | - | - |
| Professionals | Yes | 72.59% | - | - | - | - |
| Technicians | Monitor | - | - | - | - | - |
| Paraprofessionals | Yes | 79.45% | - | - | - | - |
| Office/Clerical | - | - | Monitor | - | - | - |
| Skilled Craft | Monitor | - | Yes | 9.81% | Monitor | - |
| Service Maintenance | - | - | Yes | 25.85% | - | - |

Identification of Areas for Further Monitoring

Minnesota Administrative Rules 3905.0400 Subp. 1 Item H, Minnesota Administrative Rules 3905.0600 Subp 6, and MS 43A.19 Subd. 1(a)(3) for separations

Monitoring personnel activities can serve as a means of measuring the agency’s progress toward achieving the established goals in the absence of discrimination and effectiveness of the agency’s good faith efforts.

Progress Reports

The progress report examines hiring goals established in the prior Affirmative Action Plan. As a part of the agency’s monitoring practices, the agency evaluated if it met the hiring goal(s) established in the prior Affirmative Action Plan (refer to [Appendix A. Progress Report](#)).

Appendix A. Progress Report includes only job categories that have hiring goal(s) established in the prior Affirmative Action Plan and it evaluates if the agency attained the hiring goal(s).

Where the indication of the “Goal Met?” column is:

- “Yes”: the agency met the goal established in the prior Affirmative Action Plan.
- “No”: the agency did not attain the goal established in the prior Affirmative Action Plan.
- “No Hire/Prom”: there were no opportunities in the prior Affirmative Action Plan period.

Females

MDVA met its hiring goals for females in the Paraprofessionals job category. MDVA had underutilization in its prior Affirmative Action Plan (73.78%) and achieved its goal (81.86%). Even though MDVA improved, MDVA continues to have underutilization for Paraprofessionals. Contributing factors include the high percentage of voluntary separations (resignations and retirements) for Paraprofessionals, which has the 3rd highest percentage of voluntary separations out of MDVA’s seven job categories. MDVA has an opportunity to improve in its hiring goals for females in the Paraprofessionals job category with three new Veterans Homes opening by summer/fall 2023. The Veterans Homes will employ a high number of Human Services Technicians which is the largest job position in the predominantly female (75.96%) Paraprofessionals job category.

Racial/Ethnic Minorities

MDVA did not improve its hiring goals for racial/ethnic minorities in the Skilled Craft and Service Maintenance job categories. MDVA had underutilizations in its prior Affirmative Action Plan for Skilled Craft (**.**%) and Service Maintenance (17.96%) and did not achieve its goal for Skilled Craft (**.**%) or Service Maintenance (17.30%). MDVA continues to have underutilization for both Skilled Craft and Service Maintenance. Contributing factors include the low number of voluntary separations for Skilled Craft and moderate percentage of voluntary separations for Service Maintenance, which has the 5th highest percentage of voluntary separations out of MDVA’s seven job categories. MDVA has an opportunity to improve in its hiring goals for racial/ethnic minorities in Skilled Craft and Service Maintenance with three new Veterans Homes and one new Veterans Cemetery opening by summer 2023. The Veterans Homes will employ a high number of General Maintenance Workers and Food Service Workers, which are the largest job positions in the Service Maintenance job category which has about 25% (24.29%) racial/ethnic minorities. The Veterans Cemetery will also add positions in building/grounds and general repair workers in the Service Maintenance job category.

Individuals with Disabilities

MDVA met its hiring goal for individuals with disabilities for the Paraprofessionals job category, but did not improve its hiring goal for Service Maintenance. MDVA had underutilization for Paraprofessionals in its prior Affirmative Action Plan (5.29%) and achieved its goal (12.24%). MDVA had underutilization in its prior Affirmative Action Plan for Service Maintenance (10.18%) and did not achieve its goal for Service Maintenance (13.15%). Even though MDVA did not improve for Service Maintenance, MDVA has no underutilizations for either Paraprofessionals or Service Maintenance. Contributing factors include the very low to moderate number of voluntary separations for Paraprofessionals and Service Maintenance. MDVA has an opportunity to continue to improve in its hiring goals for individuals with disabilities in Paraprofessionals and Service Maintenance with three new Veterans Homes and one

new Veterans Cemetery opening by summer 2023. The Veterans Homes will employ a high number of Human Service Technicians, General Maintenance Workers, and Food Service Workers, which are the largest job positions in the Paraprofessionals and Service Maintenance job categories which has about 20% (18.63%) individuals with disabilities. The Veterans Cemetery will also add positions in building/grounds and general repair workers in the Service Maintenance job category.

Separations

[Appendix B. Separation Analysis](#) shows the results by separation type and the protected group during the prior Affirmative Action Plan period to evaluate and identify potential action area(s) for retention strategies for the 2022-2024 plan year.

The separation percentages were derived within the separation type by protected group to identify impact on protected group members. There are two examinations in this worksheet:

1. The total percentage indicates the percentage by separation type. For example, there were 1,000 separations in total. Of those separations, 150 employees separated due to dismissal or non-certification. The dismissal or non-certification percentage is 15.00% (150 divided by 1,000).
2. The “percentage type¹” indicates percentage by protected group type within a separation type. For example, there were 150 separations by dismissal or non-certification in total. Of those separations, 80 were female employees. The female dismissal or non-certification separation is 53.33% (80 divided by 150). Therefore, the “percentage type¹” analyzes the percent of protected group compromised in each separation type.
3. The “percentage type²” indicates percentages by separation type within the protected group. For example, there were 500 female separations in total. Of those separations, 80 female employees separated due to the dismissal or non-certification reason. The female dismissal or non-certification separation is 16.00% (80 divided by 500). Therefore, the “percentage type²” analyzes the percent of separation type within the protected group.

Corrective Actions, Action-Oriented Programs, and Timetable

Minnesota Administrative Rules 3905.0400 Subp 1 Item H.

The agency’s Affirmative Action Plan is designed to implement the provisions of this Affirmative Action Plan and meet requirements found in [Minnesota Statutes, section 43A.191 Subdivision 2](#). These Action-Oriented Programs are carried out throughout this Affirmative Action Plan period.

Corrective Actions

This section identifies ways the agency will eliminate barriers, provide corrective actions, and make good faith efforts toward the affirmative action goals for underutilized protected groups (broken down by specific job categories).

The agency developed the below action-oriented programs specific to the job category/protected group(s) identified in the [“Identification of Areas for Further Monitoring”](#) section supported by the [“Utilization/Availability Analysis, Establishment of Statement of Goals, and Timetable”](#) and [“Personnel Activities”](#) sections.

Table 3. Areas of Further Monitoring and Corrective Actions

| Areas for Further Monitoring | Corrective Actions Specific to the Further Monitoring Areas Identified |
|--|---|
| <p>Officials/Administrators</p> <ul style="list-style-type: none"> • Females and Racial/Ethnic Minorities need monitoring even though there is no underutilization because underutilization may occur by some employee movement. • Data shows minimal voluntary separation by females (<10) and racial/ethnic minorities (0%). | <ul style="list-style-type: none"> • By June 30, 2023, promote Employee Resource Groups (ERGs) for Black, Indigenous, and people of color (BIPOC) employees. ERGs are employee led, self-directed voluntary groups that provide space for inclusion of ideas and solutions, opportunities for networking, mentoring, and career development. • By June 30, 2024, promote additional ERGs for Women, Individuals with Disabilities, LGBTQIA+, and other ERGs based on interest. Provide support to ERGs and assess needs for sustainability at the end of fiscal years 2023 and 2024. • By June 30, 2023, engage with community organizations that serve BIPOC communities and women to cultivate meaningful relationships for access to employment. Use surveys to evaluate the growth of these partnerships and access opportunities at the end of fiscal years 2023 and 2024. • March, June, September, December 2023 to March, June 2024, agency leadership will review and assess employee demographic data as part of Strategic Plan Goal #4, Metric #7 to increase self-reported diversity, and Goal #4, Metric #8 to increase diverse employees at all levels of the organization. |

| Areas for Further Monitoring | Corrective Actions Specific to the Further Monitoring Areas Identified |
|---|--|
| <p>Professionals</p> <ul style="list-style-type: none"> • Females are underutilized. • Data shows females represent the majority of voluntary separations for resignation (75.90%) and retirement (82.35%). • Registered Nurse (RN), RN Senior, and RN Supervisor are the largest feeders for this job category. The three new Veterans Homes opening in mid-2023 provide opportunities for the agency to increase their employee diversity by approximately 500 new hires. | <ul style="list-style-type: none"> • By June 30, 2023, promote Employee Resource Groups (ERGs) for Women in Healthcare: Nursing. Assess needs for sustainability at end of fiscal years 2023 and 2024. • By June 30, 2023, partner with colleges/universities with RN programs to cultivate meaningful relationships for access to employment. Use surveys to evaluate the growth of these partnerships and access opportunities at the end of fiscal years 2023 and 2024. • March, June, September, December 2023 to March, June 2024, agency leadership will review and assess employee demographic data as part of Strategic Plan Goal #4, Metric #7 to increase self-reported diversity, and Goal #4, Metric #8 to increase diverse employees at all levels of the organization. |
| <p>Technicians</p> <ul style="list-style-type: none"> • Monitor females because underutilization may occur by some employee movement. • Data shows females represent the majority of voluntary separations for resignation (88.89%). • Licensed Practical Nurse (LPN) is the largest feeder for this job category. The three new Veterans Homes provide opportunities to increase employee diversity. | <ul style="list-style-type: none"> • By June 30, 2023, promote Employee Resource Groups (ERGs) for Women in Healthcare: Nursing. Assess needs for sustainability at end of fiscal years 2023 and 2024. • By June 30, 2023, partner with colleges/universities with LPN programs to cultivate meaningful relationships for access to employment. Use surveys to evaluate the growth of these partnerships and access opportunities at the end of fiscal years 2023 and 2024. • March, June, September, December 2023 to March, June 2024, agency leadership will review and assess employee demographic data as part of Strategic Plan Goal #4, Metric #7 to increase self-reported diversity, and Goal #4, Metric #8 to increase diverse employees at all levels of the organization. |

| Areas for Further Monitoring | Corrective Actions Specific to the Further Monitoring Areas Identified |
|--|--|
| <p>Paraprofessionals</p> <ul style="list-style-type: none"> • Females are underutilized. • Data shows females represent the majority of voluntary separations for resignation (80.00%) and retirement (59.09%), and for involuntary separations for dismissal/non-certification (88.89%). • Health Services Technician (HST) is the largest feeder for this job category. The three new Veterans Homes provide opportunities to increase employee diversity. | <ul style="list-style-type: none"> • By June 30, 2023, promote Employee Resource Groups (ERGs) for Women in Healthcare. Assess needs for sustainability at end of fiscal years 2023 and 2024. • By June 30, 2023, partner with colleges/universities with healthcare programs to cultivate meaningful relationships for access to employment. Use surveys to evaluate the growth of these partnerships and access opportunities at the end of fiscal years 2023 and 2024. • March, June, September, December 2023 to March, June 2024, agency leadership will review and assess employee demographic data as part of Strategic Plan Goal #4, Metric #7 to increase self-reported diversity, and Goal #4, Metric #8 to increase diverse employees at all levels of the organization. |
| <p>Office/Clerical</p> <ul style="list-style-type: none"> • Monitor Racial/Ethnic Minorities because underutilization may occur by some employee movement. • Data shows protected class groups represent minimal voluntary separations (<10 and 0%) for resignation. • The three new Veterans Homes provide opportunities to increase employee diversity. | <ul style="list-style-type: none"> • By June 30, 2023, promote Employee Resource Groups (ERGs) for BIPOC employees. Assess needs for sustainability at end of fiscal years 2023 and 2024. • By June 30, 2023, engage with organizations that serve BIPOC communities to cultivate meaningful relationships for access to employment. Use surveys to evaluate the growth of these partnerships and access opportunities at the end of fiscal years 2023 and 2024. • March, June, September, December 2023 to March, June 2024, agency leadership will review and assess employee demographic data as part of Strategic Plan Goal #4, Metric #7 to increase self-reported diversity, and Goal #4, Metric #8 to increase diverse employees at all levels of the organization. |

| Areas for Further Monitoring | Corrective Actions Specific to the Further Monitoring Areas Identified |
|---|--|
| <p>Skilled Craft</p> <ul style="list-style-type: none"> • Racial/Ethnic Minorities are underutilized. • Monitor Females and Individuals with Disabilities because underutilization may occur by some employee movement. • Low number of diverse qualified applicants resulted in low rate of diverse hires. • Data shows protected class groups represent minimal voluntary separations (<10 and 0%) for resignation. • The three new Veterans Homes provide opportunities to increase employee diversity. | <ul style="list-style-type: none"> • By June 30, 2023, promote Employee Resource Groups (ERGs) for BIPOC employees. Assess needs for sustainability at end of fiscal years 2023 and 2024. • By June 30, 2024, promote additional ERGs for Women, Individuals with Disabilities, LGBTQIA+, and other ERGs based on interest. Assess needs for sustainability at end of fiscal years 2023 and 2024. • By June 30, 2023, engage with community organizations that serve BIPOC communities, women, and individuals with disabilities, and partner with colleges/universities with skilled craft programs to cultivate meaningful relationships for access to employment. Use surveys to evaluate the growth of these partnerships and access opportunities at the end of fiscal years 2023 and 2024. • March, June, September, December 2023 to March, June 2024, agency leadership will review and assess employee demographic data as part of Strategic Plan Goal #4, Metric #7 to increase self-reported diversity, and Goal #4, Metric #8 to increase diverse employees at all levels of the organization. |
| <p>Service Maintenance</p> <ul style="list-style-type: none"> • Racial/Ethnic Minorities are underutilized. • Data shows females represent the majority of voluntary separations for resignation (69.01%). • Food Service Worker (FSW) and General Maintenance Worker (GMW) are the largest feeders for this job category. The three new Veterans Homes provide opportunities to increase employee diversity. | <ul style="list-style-type: none"> • By June 30, 2023, promote Employee Resource Groups (ERGs) for BIPOC employees. Assess needs for sustainability at end of fiscal years 2023 and 2024. • By June 30, 2023, engage with organizations that serve BIPOC communities, and partner with colleges/universities with service maintenance programs to establish relationships to cultivate meaningful relationships for access to employment. Use surveys to evaluate the growth of these partnerships and access opportunities at the end of fiscal years 2023 and 2024. • March, June, September, December 2023 to March, June 2024, agency leadership will review and assess employee demographic data as part of Strategic Plan Goal #4, Metric #7 to increase self-reported diversity, and Goal #4, Metric #8 to increase diverse employees at all levels of the organization. |

Action-Oriented Programs

This section provides an overview of the agency's general efforts and actions to ensure equal employment opportunity. Agencies have reviewed barriers to hiring during the previous plan period and identified recruitment strategies, processes, and training to address underutilization for this plan year.

Barriers

The agency has constraints to address underutilization and areas for monitoring identified in the previous section.

- Impact of Covid 19 global pandemic has caused worker fatigue and stressors on the work environment particularly in healthcare where many of our job vacancies stem from. This has impacted both recruitment and retention efforts.
- "The Great Resignation" is impacting the high volume of vacancies to fill which was already exacerbated with the expansion of the agency from roughly 1,300 employees to 1,800 employees with the completion of three new Veterans Homes and one new Veterans Cemetery by summer 2023.
- Limited capacity of our Diversity, Equity and Inclusion office due to employee transitions. This has limited our ability to cultivate meaningful relationships within communities, both formally and informally, to improve access to employment.
- Unwillingness of employees to self-identify for all demographics. This affects the representation of employees in protected class groups.

Recruitment and Processes

The agency takes the following actions to improve recruitment and increase the number of qualified females, racial/ethnic minorities, and individuals with disabilities in the applicant pool:

- The agency will continue to place advertisements of job opportunities through [the State of MN Career site](https://mn.gov/mmb/careers/search-for-jobs/) (<https://mn.gov/mmb/careers/search-for-jobs/>).
- Continue to consider female, racial/ethnic minorities, and individuals with disability applicants for all positions for which they qualify.
- Continue to partner with Certified Nursing Assistant, Licensed Practical Nurses and Registered Nurses programs through technical colleges and other private organizations to recruit females, racial/ethnic minorities, and individuals with disabilities.
- Partner internally with key stakeholders to develop strategies surrounding recruitment of diverse staff (example MDVA Recruitment and Retention Workgroup in the Healthcare Division).
- HR and Communications meet on a bi-weekly basis to develop strategies and establish priorities for recruitment initiatives.
- Continue to use the EEO tag line on all job postings and advertisements.

- Continue to publish recruitment materials and media depicting individuals representing protected groups.
- Review/evaluate job postings to eliminate non-inclusive language.
- Participate in the following job fairs, in-person and virtual, to recruit females, racial/ethnic minorities, and individuals with disabilities:
 - Anoka-Ramsey Community College Healthcare Career Fair
 - Bemidji State University & Northwest Technical College Job & Internship Fair
 - Disability: IN Minnesota's INclusive Career Fair
 - Explore State Government Careers with CareerForce
 - International Institute of Minnesota
 - Iron Range Job Fair
 - Minneapolis College School of Trade Technologies Virtual Showcase
 - Minnesota State Fair
 - Minnesota Veterans Home On-Site Career Fairs
 - myCNAjobs.com Virtual Hiring Events
 - People of Color Career Fair
 - Veterans Career Fair hosted by Minnesota Department of Employment and Economic Development (DEED) and the Minnesota Department of Veterans Affairs (MDVA)
 - West Otter Tail County Fair in Fergus Falls
 - Workforce Center Job Fairs in Anoka, Chaska, Cottage Grove, and Willmar
- Utilize the following websites:
 - [Career Builder Recruitment \(www.careerbuilder.com\)](http://www.careerbuilder.com)
 - [Care Providers \(www.careproviders.org\)](http://www.careproviders.org)
 - [College Central Network \(www.collegecentral.com\)](http://www.collegecentral.com)
 - [Connect 700 \(mn.gov/mmb/careers/diverse-workforce/people-with-disabilities/connect700\)](http://mn.gov/mmb/careers/diverse-workforce/people-with-disabilities/connect700)
 - [Facebook \(https://www.facebook.com/MinnesotaDepartmentOfVeteransAffairs/\)](https://www.facebook.com/MinnesotaDepartmentOfVeteransAffairs/)
 - [Handshake \(https://joinhandshake.com/\)](https://joinhandshake.com/)
 - [Hastings Family Services \(https://hastingsfamilyservice.org/\)](https://hastingsfamilyservice.org/)
 - [Indeed \(www.indeed.com\)](http://www.indeed.com)
 - [Leading Age \(www.leadingage.org/\)](http://www.leadingage.org/)
 - [LinkedIn \(https://www.linkedin.com/company/minnesota-department-of-veterans-affairs/\)](https://www.linkedin.com/company/minnesota-department-of-veterans-affairs/)

- [McKnights Long-Term Care \(https://www.mcknights.com/\)](https://www.mcknights.com/)
- [MDVA Career Website \(mn.gov/mdva/about/work-for-mdva\)](https://mn.gov/mdva/about/work-for-mdva)
- [MDVA Friday Digest \(mn.gov/mdva/news/newsletter\)](https://mn.gov/mdva/news/newsletter)
- [MDVA Twitter \(twitter.com/mnveteran\)](https://twitter.com/mnveteran)
- [MMB Executive Email Blasts \(mailto:ExecRecruit.mmb@state.mn.us\)](mailto:ExecRecruit.mmb@state.mn.us)
- [Minnesota Works.net \(https://www.minnesotaworks.net/\)](https://www.minnesotaworks.net/)
- [Rasmussen College \(www.rasmussen.edu\)](https://www.rasmussen.edu)
- [Star of the North Fellowship Program \(mn.gov/mmb/careers/search-for-jobs/fellowship/\)](https://mn.gov/mmb/careers/search-for-jobs/fellowship/)
- [State of Minnesota \(mn.gov/mmb/careers\)](https://mn.gov/mmb/careers)
- [Urban Scholars Program \(https://www.minneapolismn.gov/government/programs-initiatives/urban-scholars/\)](https://www.minneapolismn.gov/government/programs-initiatives/urban-scholars/)
- [Vocational Rehabilitation Services DEED \(mn.gov/deed/job-seekers/disabilities\)](https://mn.gov/deed/job-seekers/disabilities)
- [Workforce Diversity Community Email List \(https://mn.gov/mmb/employee-relations/equal-opportunity/resources/\)](https://mn.gov/mmb/employee-relations/equal-opportunity/resources/)

Persons Responsible:

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Retention

The agency strives to take the following actions to improve retention of females, racial/ethnic minorities, and individuals with disabilities:

- Encourage all new hires to receive applicable trainings for their career development.
- Ensure an inclusive work environment and equal opportunities for all employees.

- Establish Employee Resource Groups (ERGs) for Black, Indigenous, and people of color (BIPOC) employees, females, and individuals with disabilities to provide space for inclusion of ideas and solutions, opportunities for networking, mentoring, and career development.
- Develop and communicate employee’s development opportunities and potential career path opportunities.
- Broadly announce all agency promotion and transfer opportunities.
- Utilize alternative dispute resolution to resolve employee conflicts, encourage effective communications, and help reestablish positive working relationships.
- The Director of DEI, Human Resources, and supervisors/schedulers collaborate on methods to increase the availability of religious accommodations to better meet the religious needs of the agency’s diverse workforce.
- Promote cultural understanding through guest speakers, ethnic observance presentations, community event notifications and other activities featuring women, minorities, and persons with disabilities.
- Celebrate monthly diversity observance events by partnering with communications department and key leaders to promote an inclusive environment for staff.
- The Director of DEI collaborates with MDVA’s Agency Engagement Inclusion Champions and others to analyze the annual employee satisfaction survey and to develop initiatives to improve employee satisfaction and workforce retention.
- These initiatives may include improved employee communications, wellness programs, employee professional development opportunities, multiculturalism, and employee recognition strategies.
- The Director of DEI works with HR staff to analyze the overlap between ADA reasonable accommodations and employee leave from work under the Family Medical Leave Act (FMLA) to evaluate if improved coordination might enable employees to be more productive and reduce the needs for employees to use FMLA.

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Training

The agency strives to take the following training and development actions to improve retention of females, racial/ethnic minorities, and individuals with disabilities:

- Provide resources and/or implicit bias training to supervisors/hiring managers with an emphasis on the hiring process and performance reviews.
- Provide quality new employee on-boarding orientations to include an overview on how to self-identify.
- Provide core training series for managers and supervisors that provides foundational knowledge of agency policies, practices, and leadership competencies needed for effective leadership and management.
- Promote and maintain a respectful and inclusive workplace environment by providing affirmative action, equal employment opportunity, diversity, and MMB Code of Ethical Conduct training to employees.
- Increase training opportunities for managers and supervisors on how to manage and resolve employee conflicts before they escalate to a higher level and promote the use of the Employee Assistance Program (EAP) as another tool to address workplace disputes.
- When applicable implement cross-learning programs to develop employee's skill and competencies.
- Provide a cohort-based intercultural competence learning series for employees to grow and develop how they currently engage cultural differences to how they can more effectively engage diversity.
- Provide facilitated listening sessions to bring employees together to discuss their sense of belonging at the agency to better understand how employees are feeling, developing, and responding to work situations in an ever-changing environment.
- Provide LinkedIn Learning online video courses taught by industry experts in software, creative and business skills as applicable to employee needs.
- Provide training and awareness on the Agency SharePoint site and announce training opportunities to all employees on Diversity, Equity and Inclusion SharePoint site and other agency-wide communication tools, including email.

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Methods of Auditing, Evaluating, and Reporting Program Success

Minnesota Administrative Rules 3905.0400, subpart 1, item I

Pre-Employment Review Procedure/Monitoring the Hiring Process

The MDVA will evaluate its position descriptions, job postings, and selection process to determine if its requirements unnecessarily screen out a disproportionate number of women, minorities, or people with disabilities. Directors, managers, and supervisors will closely work with Human Resources and the Diversity, Equity and Inclusion staff when assistance is needed to review qualifications of applicants, recruiting, interviewing and selection procedures to ensure objective criteria are established throughout the hiring process.

The Agency will evaluate its selection process to ensure that minimum qualifications accurately reflect experience and training required at hire. The Agency will use the Monitoring the Hiring Process (MHP) form for every hire to track the number of females, racial/ethnic minorities, and individuals with disabilities in each stage of the selection process. Directors, managers, and supervisors will work closely with Human Resources and the Affirmative Action Officer (AAO) in reviewing the requirements for the position, posting the position, interviewing and selection to ensure that equal opportunity and affirmative action are carried out. Directors, managers, and supervisors must document their hiring decisions and equal opportunity professionals will review for bias.

An Agency that does not meet its hiring goals for competitive appointments, and noncompetitive appointments under MS 43A.08, subd. 1(9), (11) and (16), and 43A.15, subd. 3, 10, 12, and 13, must justify its non-affirmative action hires. The Agency will report the number of affirmative and non-affirmative hires to MMB on a quarterly basis.

When candidates are invited to participate in the selection process, employees scheduling the selection process will describe the process to the candidate (e.g., interview process, testing process). All candidates are provided information regarding the procedure to request reasonable accommodations, if necessary, to allow candidates with disabilities equal opportunity to participate in the selection process.

All employees involved in the selection process are trained and accountable for the Agency commitment to equal opportunity and the affirmative action program and its implementation.

To accomplish this MDVA will follow the established process:

1. When a vacancy exists, the hiring manager will work with Human Resources to ensure the essential functions described in the position description are clearly stated and to determine job related minimum and preferred qualifications. The hiring manager, Human Resources staff and the agency AAO may collaborate with supervisors to ensure the selection does not unnecessarily screen out a disproportionate number of women, minorities, or persons with disabilities.
2. If a vacancy is to be announced externally and an underutilization exists, Human Resources will expand recruitment strategies to create a more diversified applicant pool. All recruiting efforts will be listed by the Human Resources staffing representative on the MHP form. Human Resources staff

will determine whether the applicant pool contains qualified protected group applicants. If the applicant pool lacks applicants from an underutilized group, Human Resources or the AAO may suggest additional advertising be done to expand the pool before progressing with an offer to any applicant.

3. Human Resources staff will refer candidates who meet the minimum qualifications as defined in the vacancy announcement to the hiring manager. If an affirmative hiring goal exists for a given position, the Human Resources staff member will let the hiring supervisor know which candidates met the minimal qualifications to be considered when selecting applicants to interview.

4. If the number of candidates referred by Human Resources to the hiring manager is large and needs to be narrowed to a more reasonable number of candidates to be selected for interview, the hiring manager will determine which preferred qualifications from the position posting they will use and evenly apply the same criteria across all applicants. When candidates are offered an interview, the employee scheduling the interviews will describe the interview format to the candidates and provide an invitation to request a reasonable accommodation. For example, the employee scheduling an interview may inform the candidates if skills testing will be conducted or what technology may be used during the interview process. This allows for persons with disabilities to determine if they may need a reasonable accommodation in advance of the interview. If reasonable accommodations to the hiring process are needed, the hiring supervisor will consult with the ADA Coordinator.

5. For vacancies in EEO Categories where a hiring goal exists, MHP forms must be submitted by Human Resources staff to the diversity.mdva@state.mn.us mailbox for review and signature by the MDVA AAO.

6. For positions where a hiring goal exists, a signed MHP form must be received back from the MDVA AAO prior to an offer being extended to any candidate.

7. In those cases where the candidate considered for hire is a non-affirmative hire, and if a hiring goal exists for that position, the hiring supervisor will also complete the Pre-hire Review Justification Form. Attachments to the pre-hire form includes resumes for the desired candidate and the highest scoring affirmative candidate, interview questions/answers for both candidates, any applicable reference checks and the job posting. All applicants must adhere to the Respectful Workplace policy; lack of adherence to this policy will be reflected on the candidate assessment. This form is reviewed and approved by a manager in the chain of command and submitted to the Office for Diversity, Equity & Inclusion along with the MHP form. Approval by the agency AAO must be obtained before a verbal offer may be extended. If the pre-hire request is approved, the Director for Diversity, Equity & Inclusion will forward their written approval to the hiring manager and the Human Resources staffing representative to continue the process. If the request is not approved, the Director for Diversity, Equity & Inclusion will contact the hiring supervisor to achieve resolution.

8. If a hiring supervisor does not provide sufficient justification on the Pre-hire Justification form, the supervisor will meet with the MDVA AAO to discuss the proposed hire and determine if additional information needs to be submitted or if the affirmative candidate needs to be hired. If the supervisor is not able to provide sufficient information and/or the MDVA AAO determines the

affirmative candidate should be hired, the MDVA AAO may consult with the Commissioner and provide final direction to the supervisor.

9. The agency will report the number of affirmative and non-affirmative hires to MMB on a quarterly basis.

10. All personnel involved in the selection process will be trained and accountable for the agency's commitment to equal opportunity and the affirmative action program and its implementation.

Pre-Review Procedure for Layoff Decisions

The AAO, in conjunction with the human resources office, is responsible for reviewing all pending layoffs to determine their effect on the agency's affirmative action goals and timetables.

If it is determined that there is a disparate impact on protected groups, the agency will document the reasons why the layoff is occurring, such as positions targeted for layoff, applicable personnel policies or collective bargaining agreement provisions, or other relevant reasons. The agency will determine if other alternatives are available to minimize the disparate impact on protected groups.

Other Methods of Program Evaluation

The Director of Diversity, Equity and Inclusion submits the following compliance reports to MMB as part of the efforts to evaluate the Affirmative Action Plan:

- Quarterly Monitoring the Hiring Progress Reports
- Biannual Affirmative Action Plan
- Annual Americans with Disabilities Act Report
- Annual Internal Complaint Report
- Disposition of Internal Complaint (submitted to MMB within 30 days of final disposition)

The Director of Diversity, Equity and Inclusion also evaluates the Affirmative Action Plan in the following ways:

- Monthly monitors progress toward stated goals by job category (completes reports for the MDVA Commissioner)
- Continuously analyzes employment activity (hires, promotions, and terminations) by job category to determine if there is disparate impact
- Continuously reviews the accessibility of online systems and websites, and ensures that reasonable accommodations can be easily requested
- Quarterly reports to Agency leadership on progress toward Agency goals surrounding increasing diversity at all levels of the organization
- Quarterly reports to Agency leadership on progress toward Agency goals surrounding self-identification of protected class statuses

- Quarterly reports to Agency leadership (in partnership with human resources) on progress toward Agency retention goals specifically focusing on trends of individuals in protected class statuses

Policies, Procedures, and Notice

A. Statewide Harassment and Discrimination Prohibited Policy, HR/LR Policy # 1436 (issued 6/12/2019)

OVERVIEW

Objective

To create a work environment free from harassment and discrimination based on protected class.

Policy Statement

Any form of harassment or discrimination based on protected class is strictly prohibited. Individuals who believe they have been subject to harassment/discrimination based on protected class or retaliation as described in this policy, are encouraged to file a report with an appropriate authority, as set forth in Section II of this policy.

Any form of retaliation directed against an individual who opposes or reports protected class harassment/discrimination, or who participates in any investigation concerning protected class harassment/discrimination, is strictly prohibited and will not be tolerated.

Violations of this policy by State employees will be subject to discipline, up to and including discharge. Violations of this policy by third parties will be subject to appropriate action.

Sexual harassment is specifically addressed by HR/LR Policy #1329 Sexual Harassment Prohibited.

Scope

This policy applies to all employees of, and third parties who have business interactions with, executive branch agencies and the classified employees in the Office of the Legislative Auditor, Minnesota State Retirement System, Public Employee Retirement Association, and Teachers' Retirement Association.

Definitions and Terms

Complainant: An individual who reports protected class harassment, discrimination, or retaliation.

Third party: Individuals who are not State employees, but who have business interactions with State employees, including, but not limited to:

- Applicants for State employment
- Vendors
- Contractors
- Volunteers
- Customers
- Business partners
- Unpaid interns

- Other individuals with whom State employees interact in the course of employees' work for the State, such as advocates, lobbyists, and representatives of individuals or entities with business with any branch of Minnesota state government

Protected class harassment or harassment based on protected class: Unwelcome conduct or communication that is based on actual or perceived membership in a protected class, including stereotypes of protected classes, that has a negative effect or is likely to have a negative effect on the complainant and/or on the workplace or public service environment.

Protected class: Protected classes under this policy are as follows:

- Race
- Color
- Creed
- Religion
- National origin
- Sex* (includes pregnancy and pregnancy-related conditions)
- Marital status
- Familial status
- Receipt of public assistance
- Membership or activity in a local human rights commission
- Disability
- Age
- Sexual orientation
- Gender identity
- Gender expression
- For employees, genetic information

*See HR/LR Policy #1329 Sexual Harassment Prohibited for specific information on harassment based on unwelcome conduct or communication of a sexual nature.

Age: The prohibition against harassment and discrimination based on age prohibits such conduct based on a person's age if the person is over the age of 18.

Marital status: Whether a person is single, married, remarried, divorced, separated, or a surviving spouse, and includes protection against harassment and discrimination on the basis of the identity, situation, actions, or beliefs of a spouse or former spouse.

Familial status: The condition of one or more minors living with their parent(s) or legal guardian, or the designee of the parent(s) or guardian with the written permission of the parent(s) or guardian. This also protects those who are pregnant or those who are in the process of securing legal custody of a minor from being harassed or discriminated against on that basis.

Disability: A physical, sensory, or mental impairment which materially limits one or more major life activities; a record of such an impairment; or being regarded as having such an impairment.

Genetic information: Includes information about an individual's or their family members' genetic tests, family medical history, an individual's request for, or receipt of, genetic services, or the participation in

clinical research that includes genetic services by the individual or their family member, and the genetic information of a fetus carried by an individual or a pregnant family member, and the genetic information of any embryo legally held by the individual or their family member using an assisted reproductive technology.

Public service environment: A location where public service is being provided.

Membership or activity in a local human rights commission: Participation in an agency of a city, county, or group of counties that has the purpose of dealing with discrimination on the basis of race, color, creed, religion, national origin, sex, age, disability, marital status, status with regard to public assistance, sexual orientation, or familial status, as defined by Minn. Stat. § 363A.03, subd. 23.

Exclusions

N/A

Statutory References

M.S. Ch. 43A

M.S. Ch. 363A

GENERAL STANDARDS AND EXPECTATIONS

Prohibition of Protected Class Harassment and Discrimination

Harassment of or discrimination against any employee or third party based on protected class in the workplace or public service environment, or which affects the workplace or public service environment, is strictly prohibited. Harassment of or discrimination against an individual because of their relationship or association with members of a protected class is also strictly prohibited. Protected class harassment and discrimination may take different forms including verbal, nonverbal, or physical conduct or communication. Conduct based on protected class may violate this policy even if it is not intended to be harassing. Protected class harassment and discrimination under this policy includes, but is not limited to, the following behavior when it is based on actual or perceived membership in a protected class, including stereotypes of protected classes:

- Offensive jokes, slurs, derogatory remarks, epithets, name-calling, ridicule or mockery, insults or put-downs
- Display or use of offensive objects, drawings, pictures, or gestures
- Physical assaults or threats
- Inappropriate touching of body, clothing, or personal property
- Following, stalking, intimidation
- Malicious interference with work performance
- Implicit or explicit preferential treatment or promises of preferential treatment for submitting to the conduct or communication
- Implicit or explicit negative treatment or threats of negative treatment for refusing to submit to the conduct or communication
- Discriminatory conduct based on an individual's actual or perceived protected class that segregates, separates, limits or restricts the individual from employment opportunities,

including, but not limited to, hiring, promotion, compensation, disciplinary action, assignment of job duties, benefits or privileges of employment

I. Employee and Third-Party Responsibilities and Complaint Procedure

Harassment or discrimination based on protected class will not be tolerated. All employees and third parties are expected to comply with this policy.

Employees and third parties are strongly encouraged to report all incidents of protected class harassment or discrimination, whether the individual is the recipient of the behavior, an observer, or is otherwise aware of the behavior. Individuals are encouraged to report incidents as soon as possible after the incident occurs. Individuals may report to any of the following:

1. Any of the agency's managers or supervisors
2. The agency's affirmative action officer
3. The agency's human resources office
4. Agency management, up to and including the agency head

If the report concerns an agency head, the complainant may contact Minnesota Management and Budget, Enterprise Human Resources, Office of Equal Opportunity, Diversity, and Inclusion.

To ensure the prompt and thorough investigation of a report, the complainant may be asked to provide information in writing, which may include, but is not limited to:

1. The name, department, and position of the person(s) allegedly causing the harassment/discrimination
2. A description of the incident(s), including the date(s), location(s), and the identity of any witnesses
3. The name(s) of other individuals who may have been subject to similar harassment/discrimination
4. What, if any, steps have been taken to stop the harassment/discrimination
5. Any other information the complainant believes to be relevant

Individuals are encouraged to use the agency's internal complaint procedure, but may also choose to file a complaint externally with the Equal Employment Opportunity Commission (EEOC), the Minnesota Department of Human Rights (MDHR), or other legal channels.

II. Manager/Supervisory Responsibility

Managers and supervisors must:

1. Model appropriate behavior
2. Treat all reports of protected class harassment/discrimination seriously
3. Appropriately respond to a report or problem when they receive a report of protected class harassment/discrimination, or when they are otherwise aware a problem exists
4. Immediately report all allegations or incidents of protected class harassment/discrimination to human resources or the agency Affirmative Action Officer

5. Comply with their agency's complaint and investigation procedures and/or the agency's Affirmative Action Plan

Managers and supervisors who knowingly participate in, allow, or tolerate harassment, discrimination, or retaliation are in violation of this policy and are subject to discipline, up to and including discharge.

III. Human Resources Responsibilities

Agency human resources must:

1. Model appropriate behavior
2. Distribute the Harassment and Discrimination Prohibited Policy to all employees, through a method whereby receipt can be verified
3. Treat all reports of protected class harassment/discrimination seriously
4. Comply with the agency's complaint and investigation procedures and/or the agency's Affirmative Action Plan

IV. Affirmative Action Officer or Designees Responsibilities

Agency Affirmative Action Officer/designee must:

1. Model appropriate behavior
2. Treat all reports of protected class harassment/discrimination seriously
3. Comply with the agency's complaint and investigation procedures and/or the agency's Affirmative Action Plan
4. Keep the agency apprised of changes and developments in the law and policy

Investigation and Discipline

State agencies will take seriously all reports of protected class harassment, discrimination and retaliation, and will take prompt and appropriate action. When conducting an investigation, managers and supervisors, human resources, and Affirmative Action Officers must follow their agency's investigation procedures.

State agencies will take prompt and appropriate corrective action when there is a violation of this policy.

Employees who are found to have engaged in conduct in violation of this policy will be subject to disciplinary action, up to and including discharge.

Third parties who are found to have engaged in conduct in violation of this policy will be subject to appropriate action. Appropriate action for policy violations by third parties will depend on the facts and circumstances, including the relationship between the third party and the agency. Agencies may contact MMB's Office of Equal Opportunity, Diversity, and Inclusion for assistance in determining appropriate action for third parties. MMB may refer agencies to the appropriate resources, which may include, for example, the Department of Administration with respect to policy violations by vendors or contractors.

Employees who knowingly file a false report of protected class harassment/discrimination or retaliation will be subject to disciplinary action, up to and including discharge.

Non-Retaliation

Retaliation against any person who opposes protected class harassment or discrimination, who reports protected class harassment or discrimination, or who participates in an investigation of such reports, is strictly prohibited. Retaliation also includes conduct or communication designed to prevent a person from opposing or reporting protected class harassment or discrimination or participating in an investigation. Retaliation will not be tolerated. Any employee who is found to have engaged in retaliation in violation of this policy will be subject to discipline, up to and including discharge. Third parties who are found to have engaged in retaliation in violation of this policy will be subject to appropriate action.

RESPONSIBILITIES

Agency Responsibility

Agencies are responsible for the following:

1. Adopting this policy as the agency HR policy.
2. Disseminating this policy to agency employees through a method whereby receipt can be verified.
3. Posting this policy in a manner that can be accessed by all employees and third parties.
4. Including this policy in their Affirmative Action Plan.
5. Implementing this policy, which includes:
 - a. Implementing an educational program
 - b. Developing and implementing a procedure for reporting complaints
 - c. Communicating the complaint procedure to employees
 - d. Developing and implementing a procedure under which reports will be addressed promptly.
6. Enforcing this policy.
7. Reporting annually dispositions of reports of protected class harassment or discrimination using the Affirmative Action Report.

MMB Responsibility

Ensuring that state agencies carry out their responsibilities under this policy, developing training, and updating this policy as necessary.

FORMS AND SUPPLEMENTS

Documents are available on [the MMB Equal Opportunity, Diversity, and Inclusion website](#), including [Affirmative Action resources](#) for state agencies.

[Harassment Complaint Form](#)

Acknowledgement – The below form may be used to verify receipt by agency employees

I acknowledge that I have received and read the policy, HR/LR Policy #1436, Harassment and Discrimination Prohibited, including the policy's complaint procedure.

I understand that harassment and discrimination based on protected class, and retaliation, are strictly prohibited. I understand that if I engage in conduct in violation of the policy toward any State employee, or any “third party” as defined by the policy, I will be subject to disciplinary action, up to and including discharge.

I understand that if I believe that I have been subjected to harassing, discriminatory or retaliatory conduct as defined by the policy by any State employee, or by any “third party” as defined by the policy, I am encouraged to report that behavior. I understand that I can make a report to any of my agency’s managers or supervisors, the agency’s affirmative action officer, the agency’s human resources office, or agency management, up to and including the agency head. I understand that if my report concerns an agency head, I may contact Minnesota Management and Budget.

Signed: _____ Date: _____

Employee Name: _____

REFERENCES

- For issues related to sexual harassment, please refer to HR/LR Policy #1329: Sexual Harassment Prohibited. For issues not related to sexual harassment, or harassment or discrimination based on protected class, please see HR/LR Policy #1432 Respectful Workplace.
- MMB Equal Opportunity, Diversity, and Inclusion Office.
- Consult your agency’s Affirmative Action Plan, or in the absence of an Agency Affirmative Action Plan, review [Affirmative Action resources](#) for state agencies.

CONTACTS

MMB Enterprise Employee Relations

[Office of Equal Opportunity, Diversity, and Inclusion](#)

Any appropriate authority, as set forth in Section II of this policy.

B. Statewide Sexual Harassment Prohibited Policy Statewide HR/LR Policy #1329: Sexual Harassment Prohibited (revised 6/12/2019)

OVERVIEW

Objective

To create a work environment free from sexual harassment of any kind.

Policy Statement

Sexual harassment in any form is strictly prohibited. Individuals who believe they have been subject to sexual harassment as described in this policy are encouraged to file a report with an appropriate authority, as set forth in Section II of this policy.

Any form of retaliation directed against an individual who opposes or reports sexual harassment, or who participates in any investigation concerning sexual harassment, is strictly prohibited and will not be tolerated.

Violations of this policy by State employees will be subject to discipline, up to and including discharge. Violations of this policy by third parties will be subject to appropriate action.

Scope

This policy applies to all employees of, and third parties who have business interactions with, executive branch agencies and the classified employees in the Office of the Legislative Auditor, Minnesota State Retirement System, Public Employee Retirement Association, and Teachers' Retirement Association.

Definitions and Key Terms

Complainant

An individual who complains about sexual harassment or retaliation.

Public service environment

A location that is not the workplace where public service is being provided.

Sexual harassment

Unwelcome sexual advances, unwelcome requests for sexual favors, or other unwelcome verbal, written, or physical conduct or communication of a sexual nature.

Third party

Individuals who are not State employees but who have business interactions with State employees, including, but not limited to:

- Applicants for State employment
- Vendors
- Contractors

- Volunteers
- Customers
- Business Partners
- Unpaid Interns
- Other individuals with whom State employees interact in the course of employees' work for the State, such as advocates, lobbyists, and representatives of individuals or entities with business with any branch of Minnesota state government

Exclusions

N/A

Statutory References

42 U.S.C. § 2000e, et al.

M.S. Ch. 363A

M.S. Ch. 43A

General Standards and Expectations

I. Prohibition of Sexual Harassment

Sexual harassment of any employee or third party in the workplace or public service environment, or which affects the workplace or public service environment, is strictly prohibited.

Sexual harassment under this policy is any conduct or communication of a sexual nature which is unwelcome. The victim, as well as the harasser, can be of any gender. The victim does not have to be of the opposite sex as the harasser. Sexual harassment includes, but is not limited to:

1. Unwelcome sexual innuendoes, suggestive comments, jokes of a sexual nature, sexual propositions, degrading sexual remarks, threats;
2. Unwelcome sexually suggestive objects or pictures, graphic commentaries, suggestive or insulting sounds, leering, whistling, obscene gestures;
3. Unwelcome physical contact, such as rape, sexual assault, molestation, or attempts to commit these assaults; unwelcome touching, pinching, or brushing of or by the body;
4. Preferential treatment or promises of preferential treatment for submitting to sexual conduct, including soliciting or attempting to solicit an individual to submit to sexual activity for compensation or reward;
5. Negative treatment or threats of negative treatment for refusing to submit to sexual conduct;
6. Subjecting, or threatening to subject, an individual to unwelcome sexual attention or conduct.

II. Employee and Third-Party Responsibilities and Complaint Procedure

Sexual harassment will not be tolerated. All employees and third parties are expected to comply with this policy.

Employees and third parties are encouraged to report all incidents of sexual harassment. Individuals are encouraged to report incidents of sexual harassment as soon as possible after the incident occurs. Individuals may make a complaint of sexual harassment to any of the following:

1. Any agency's managers or supervisors
2. The agency's affirmative action officer
3. An agency's human resource office
4. Agency management, up to and including the agency head

If the report concerns an agency head, the complainant may contact Minnesota Management and Budget's Office of Equal Opportunity, Diversity, and Inclusion.

To ensure the prompt and thorough investigation of a report of sexual harassment, the complainant may be asked to provide information in writing, which may include, but is not limited to:

1. The name, department, and position of the person(s) allegedly causing the harassment
2. A description of the incident(s), including the date(s), location(s), and identity of any witnesses
3. The name(s) of other individuals who may have been subject to similar harassment
4. What, if any, steps have been taken to stop the harassment
5. Any other information the complainant believes to be relevant

Individuals are encouraged to use the agency's internal complaint procedure but may also choose to file a complaint or charge externally with the Equal Employment Opportunity Commission (EEOC) and/or the Minnesota Department of Human Rights (MDHR), or other legal channels.

III. Manager/Supervisor Responsibility

Managers and Supervisors must:

1. Model appropriate behavior
2. Treat all reports of sexual harassment seriously
3. Appropriately respond to a report or problem when they receive a report of sexual harassment, or when they are otherwise aware a problem exists
4. Immediately report all allegations or incidents of sexual harassment to human resources or the agency Affirmative Action Officer
5. Comply with their agency's complaint and investigation procedures and/or the agency's Affirmative Action Plan

Managers and supervisors who knowingly participate in, allow, or tolerate sexual harassment or retaliation are in violation of this policy and are subject to discipline, up to and including discharge.

IV. Human Resources Responsibilities

Agency human resources must:

1. Model appropriate behavior
2. Distribute the sexual harassment policy to all employees, through a method whereby receipt can be verified
3. Treat all complaints of sexual harassment seriously
4. Comply with the agency's complaint and investigation procedures and/or their Affirmative Action Plan

V. Affirmative Action Officer or Designee Responsibilities

Agency Affirmative Action Officer/designee must:

- Model appropriate behavior
- Treat all complaints of sexual harassment seriously
- Comply with the agency's complaint and investigation procedures
- Keep the agency apprised of changes and developments in the law and policy

VI. Investigation and Discipline

State agencies will take seriously all reports of sexual harassment and retaliation and will take prompt and appropriate action. When investigating, managers and supervisors, human resources, and Affirmative Action Officers must follow their agency's investigation procedures.

State agencies will take prompt and appropriate corrective action when there is a violation of this policy.

Employees who are found to have engaged in conduct in violation of this policy will be subject to disciplinary action, up to and including discharge.

Third parties who are found to have engaged in conduct in violation of this policy will be subject to appropriate action. Appropriate action for policy violations by third parties will depend on the facts and circumstances, including the relationship between the third party and the agency. Agencies may contact MMB's Office of Equal Opportunity, Diversity, and Inclusion for assistance in determining appropriate action for third parties. MMB may refer agencies to the appropriate resources, which may include, for example, the Department of Administration with respect to policy violations by vendors or contractors.

Employees who knowingly file a false report of sexual harassment or retaliation will be subject to disciplinary action, up to and including discharge.

VII. Non-Retaliation

Retaliation against any person who opposes sexual harassment, who reports sexual harassment, or who participates in an investigation of such reports, is strictly prohibited. Retaliation also includes conduct or communication designed to prevent a person from opposing or reporting sexual harassment or participating in an investigation. Retaliation will not be tolerated. Any employee who is found to have engaged in retaliation in violation of this policy will be subject to discipline, up to and

including discharge. Third parties who are found to have engaged in retaliation in violation of this policy will be subject to appropriate action.

RESPONSIBILITIES

Agencies are responsible for:

- Adopting this policy.
- Disseminating this policy to agency employees through a method whereby receipt can be verified.
- Posting this policy in a manner that can be accessed by all employees and third parties.
- Including this policy in their Affirmative Action Plan.
- Implementing this policy, which includes:
 - Implementing an educational program
 - Developing and implementing a procedure for reporting complaints
 - Communicating the complaint procedure to employees
 - Developing and implementing a procedure under which reports will be addressed promptly
- Enforcing this policy.
- Reporting annually dispositions of reports of sexual harassment using the Affirmative Action Report.

MMB is responsible for:

- Ensuring that state agencies carry out their responsibilities under this policy, developing training, and updating this policy as necessary.

FORMS AND SUPPLEMENTS

For a sample investigation procedure, please review the documents available on the [MMB Equal Opportunity, Diversity, and Inclusion website](#), including:

- Agency AAP Planning Guide
- For agencies with more than 25 employees
- For agencies with 25 or fewer employees

[Harassment Complaint Form](#)

Acknowledgment Form (below) – This form may be used to verify receipt by agency employees.

Acknowledgement

I acknowledge that I have received and read the policy, HR/LR Policy #1329, Sexual Harassment Prohibited, including the policy's complaint procedure.

understand that sexual harassment and retaliation are strictly prohibited. I understand that if I engage in conduct in violation of the policy toward any State employee, or any “third party” as defined by the policy, I will be subject to disciplinary action, up to and including discharge.

I understand that if I believe that I have been subjected to sexually harassing or retaliatory conduct as defined by the policy by any State employee, or by any “third party” as defined by the policy, I am encouraged to report that behavior. I understand that I can make a report to any of my agency’s managers or supervisors, the agency’s affirmative action officer, the agency’s human resources office, or agency management, up to and including the agency head. I understand that if my report concerns an agency head, I may contact Minnesota Management and Budget.

Signed: _____ Date: _____

Employee Name: _____

C. Complaint Procedure for Processing Complaints Under the Harassment and Discrimination Prohibited Policy or the Sexual Harassment Prohibited Policy:

The agency has established the following complaint procedure to be used by all individuals alleging harassment, discrimination, or retaliation in violation of the Harassment and Discrimination Prohibited Policy or the Sexual Harassment Prohibited Policy. Coercion, retaliation, or intimidation against anyone filing a complaint or serving as a witness under this procedure is prohibited.

Who May File:

Any individual who believes that they have been subject to harassment, discrimination, or retaliation in violation of the Harassment and Discrimination Prohibited Policy or the Sexual Harassment Prohibited Policy is encouraged to use this internal complaint procedure.

If the individual chooses, a complaint can be filed externally with the Minnesota Department of Human Rights (MDHR), the U.S. Equal Employment Opportunity Commission (EEOC), or through other legal channels. The MDHR, EEOC, and other legal channels have time limits for filing complaints; individuals may contact the MDHR, EEOC, or a private attorney for more information.

Retaliation against any person who has filed a complaint either internally through this complaint procedure or through an outside enforcement agency or other legal channels is prohibited.

Individuals who knowingly file a false complaint will be subject to disciplinary or corrective action.

The following are the procedures for filing a complaint:

1. The individual may, but is not required to, complete the “Harassment and Discrimination Prohibited/Sexual Harassment Prohibited Policies Complaint Form” provided by the Affirmative Action Officer or designee. Individuals are encouraged to file a complaint within a reasonable

period of time after the individual becomes aware that a situation may involve conduct in violation of the Harassment and Discrimination Prohibited Policy or the Sexual Harassment Prohibited Policy. The Affirmative Action Officer or designee will, if requested, provide assistance in filling out the form.

2. The Affirmative Action Officer or designee determines if the complainant is alleging conduct in violation of the Harassment and Discrimination Prohibited Policy or the Sexual Harassment Prohibited Policy; or if the complaint instead is of a general personnel concern or a general concern of respect in the workplace.
 - If it is determined that the complaint is not related to conduct that would violate the Harassment and Discrimination Prohibited Policy or the Sexual Harassment Prohibited Policy, but rather involves general personnel concerns or general concerns of respect in the workplace, the Affirmative Action Officer or designee will inform the complainant, in writing, within ten (10) business days.
 - If it is determined that the complaint is related to conduct that would violate the Harassment and Discrimination Prohibited Policy or the Sexual Harassment Prohibited Policy, the Affirmative Action Officer or designee will determine whether corrective action may be taken without an investigation. If it is determined that an investigation is necessary, the Affirmative Action Officer or designee shall investigate the complaint.
3. The Affirmative Action Officer or designee shall create a written investigation report of every investigation conducted. If the investigation shows sufficient evidence to substantiate the complaint, appropriate corrective action will be taken.
4. Within (60) days after the complaint is filed, the Affirmative Action Officer or designee shall provide a written answer to the complainant, unless reasonable cause for delay exists. The complainant will be notified if the written answer is not expected to be issued within the sixty (60) day period. The written answer to the complainant must comply with the data privacy restrictions of the Minnesota Government Data Practices Act.
5. Disposition of the complaint will be filed with the Commissioner of Minnesota Management and Budget within thirty (30) days after the final determination.
6. The status of the complaint may be shared with the complainant(s) and respondent(s). All data related to the complaint are subject to the provisions of the Minnesota Government Data Practices Act.
7. The Affirmative Action Officer or designee shall maintain records of all complaints, investigation reports, and any other data or information the Affirmative Action Officer or designee deems pertinent for seven years after the complaint is closed.

8. In extenuating circumstances, the employee or applicant may contact the State Affirmative Action Officer in the Office of Equal Opportunity at Minnesota Management and Budget for information regarding the filing of a complaint (for example, if the complaint is against the agency head or the agency Affirmative Action Officer).

D. MDVA Harassment and Discrimination Prohibited/Sexual Harassment Prohibited Policies Complaint Form Template

Sample form pictured below. Sample form pictured below can be found at [MDVA Complaint Form \(https://mn365.sharepoint.com/teams/MDVA/Affirmative%20Action/MDVA%20Complaint%20Form.pdf\)](https://mn365.sharepoint.com/teams/MDVA/Affirmative%20Action/MDVA%20Complaint%20Form.pdf).

Minnesota Statute 363A.03 Definitions [<https://www.revisor.mn.gov/statutes/cite/363A.03>].

Minnesota Department of Veterans Affairs

Please submit this completed form to the MDVA Office for Diversity, Inclusion and Equity
 By mail or in person: MDVA Office for Diversity, Inclusion and Equity, 20 West 10th St.,
 St. Paul, MN 55105
 By email: diversity@mn.gov
 By confidential Fax: (651) 767-1771

Harassment and Discrimination Prohibited/Sexual Harassment Prohibited Policies Complaint Form

MDVA Location _____
 Street Address _____
 City, State, Zip Code _____
 Telephone Number _____

Complainant (You)

Complainant's Name _____ Job Title _____
 Agency _____ Telephone _____
 Work Address _____ Division _____
 City, State, Zip Code _____ Manager _____

Respondent (Person Against whom you are filing the complaint)

Respondent's Name _____ Job Title _____
 Agency _____ Telephone _____
 Work Address _____ Division _____
 City, State, Zip Code _____ Manager _____

The Complaint

Check all that apply:

| | | |
|--|---|--|
| <input type="checkbox"/> Race | <input type="checkbox"/> Disability | <input type="checkbox"/> Gender Expression |
| <input type="checkbox"/> Sex/Pregnancy/Child Birth | <input type="checkbox"/> Marital Status | <input type="checkbox"/> Religion |
| <input type="checkbox"/> Familial Status | <input type="checkbox"/> Gender Identity | <input type="checkbox"/> Genetic Information |
| <input type="checkbox"/> Age | <input type="checkbox"/> National Origin | <input type="checkbox"/> Ancestry |
| <input type="checkbox"/> Color | <input type="checkbox"/> Creed | <input type="checkbox"/> Membership or Affinity in Local Human Rights Commission |
| <input type="checkbox"/> Sexual Harassment | <input type="checkbox"/> Sexual Orientation | <input type="checkbox"/> Retaliation on Public Assistance |

Describe, in as much detail as possible, the conduct that you believe violates the Harassment and Discrimination Prohibited Policy or the Sexual Harassment Prohibited Policy. List date, location, names and titles of people involved. Explain why you believe the conduct was based on the item(s) checked in the "Basis of Complaint" section above. Use additional paper if needed and attach to this form. Attach any documents you believe may be relevant.

File must report act of discrimination/harassment in violation of policy book plate

If you filed this complaint with another agency, give the name of that agency.

Information on Witnesses Who You Believe Can Support Your Complaint

| Witness Name | Witness Work Address | Witness Work Telephone |
|--------------|----------------------|------------------------|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Additional witnesses may be listed in "Additional Information" or on a separate sheet attached to this form.

This complaint is being filed based on my honest belief that I have been subjected to conduct in violation of the Harassment and Discrimination Prohibited Policy or the Sexual Harassment Prohibited Policy. I hereby verify that the information I have provided in this complaint is true, correct, and complete to the best of my knowledge.

Complainant Signature _____ Date Signed _____
 (Print Name, Address, Office) Signature _____ Date Signed _____

NOTICE: RETALIATION: Retaliation against any person who reports conduct under the Harassment and Discrimination Prohibited Policy or the Sexual Harassment Prohibited Policy is strictly prohibited and will not be tolerated. If you believe that you have been subjected to retaliation, you are encouraged to report such behavior.

** This document will be made available upon request in an alternative format by contacting (612) 465-5561 or diversity@mn.gov.

E. Statewide ADA Reasonable Accommodation Policy Statewide HR/LR Policy #1433: ADA Reasonable Accommodation Policy (revised 7/27/2017)

OVERVIEW

Objective

The goals of this policy are:

- To ensure compliance with all applicable state and federal laws;
- To establish a written and readily accessible procedure regarding reasonable accommodation, including providing notice of this policy on all job announcements;
- To provide guidance and resources about reasonable accommodations;
- To provide a respectful interactive process to explore reasonable accommodations; and
- To provide a timely and thorough review process for requests for reasonable accommodation.

Policy Statement

State agencies must comply with all state and federal laws that prohibit discrimination against qualified individuals with disabilities in all employment practices. All state agencies must provide reasonable accommodations to qualified applicants and employees with disabilities unless to do so would cause an undue hardship or pose a direct threat. Agencies must provide reasonable accommodation when:

- A qualified applicant with a disability needs an accommodation to have an equal opportunity to compete for a job;
- A qualified employee with a disability needs an accommodation to perform the essential functions of the employee's job; and
- A qualified employee with a disability needs an accommodation to enjoy equal access to benefits and privileges of employment (e.g., trainings, office sponsored events).

Scope

This policy applies to all employees of the Executive Branch and classified employees in the Office of Legislative Auditor, Minnesota State Retirement System, Public Employee Retirement System, and Teachers' Retirement System.

Definitions

Applicant - A person who expresses interest in employment and satisfies the minimum requirements for application established by the job posting and job description.

Americans with Disabilities Act (ADA) Coordinator - Each agency is required to appoint an ADA coordinator or designee, depending on agency size, to direct and coordinate agency compliance with Title I of the ADA.

Direct Threat - A significant risk of substantial harm to the health or safety of the individual or others that cannot be eliminated or reduced by reasonable accommodation.

The determination that an individual poses a direct threat shall be based on an individualized assessment of the individual's present ability to safely perform the essential functions of the job.

Essential Functions - Duties so fundamental that the individual cannot do the job without being able to perform them. A function can be essential if:

- The job exists specifically to perform the function(s); or
- There are a limited number of other employees who could perform the function(s); or
- The function(s) is/are specialized and the individual is hired based on the employee's expertise.

Interactive Process - A discussion between the employer and the individual with a disability to determine an effective reasonable accommodation for the individual with a disability. To be interactive, both sides must communicate and exchange information.

Individual with a Disability - An individual who:

- Has a physical, sensory, or mental impairment that substantially limits one or more major life activities; or
- Has a record or history of such impairment; or
- Is regarded as having such impairment.

Qualified Individual with a Disability - An individual who:

- Satisfies the requisite skill, experience, education, and other job-related requirements of the job that the individual holds or desires; and
- Can perform the essential functions of the position with or without reasonable accommodation.

Major Life Activities - May include, but are not limited to, caring for oneself, performing manual tasks, seeing, hearing, eating, sleeping, walking, standing, lifting, bending, speaking, breathing, learning, reading, concentrating, thinking, communicating, and working.

Major life activities also include the operation of a major bodily function, including but not limited to, functions of the immune system, normal cell growth, digestive, bowel, bladder, neurological, brain, respiratory, circulatory, endocrine, and reproductive functions.

Medical Documentation - Information from the requestor's treating provider which is sufficient to enable the employer to determine whether an individual has a disability and whether and what type of reasonable accommodation is needed when the disability or the need for accommodation is not obvious. Medical documentation can be requested using the standardized [Letter Requesting Documentation for Determining ADA Eligibility from a Medical Provider](#).

Reasonable Accommodation - An adjustment or alteration that enables a qualified individual with a disability to apply for a job, perform job duties, or enjoy the benefits and privileges of employment. Reasonable accommodations may include:

- Modifications or adjustments to a job application process to permit a qualified individual with a disability to be considered for a job; or
- Modifications or adjustments to enable a qualified individual with a disability to perform the essential functions of the job; or
- Modifications or adjustments that enable qualified employees with disabilities to enjoy equal benefits and privileges of employment.

Modifications or adjustments may include, but are not limited to:

- Providing materials in alternative formats like large print or Braille;
- Providing assistive technology, including information technology and communications equipment, or specially designed furniture;
- Modifying work schedules or supervisory methods;
- Granting breaks or providing leave;
- Altering how or when job duties are performed;
- Removing and/or substituting a marginal function;
- Moving to a different office space;
- Providing telework;
- Making changes in workplace policies;
- Providing a reader or other staff assistant to enable employees to perform their job functions, where a reasonable accommodation cannot be provided by current staff;
- Removing an architectural barrier, including reconfiguring workspaces;
- Providing accessible parking;
- Providing a sign language interpreter; or
- Providing a reassignment to a vacant position.

Reassignment - Reassignment to a vacant position for which an employee is qualified is a “last resort” form of a reasonable accommodation. This type of accommodation must be provided to an employee, who, because of a disability, can no longer perform the essential functions of the position, with or without reasonable accommodation, unless the employer can show that it will be an undue hardship.

Support Person - Any person an individual with a disability identifies to help during the reasonable accommodation process in terms of filling out paperwork, attending meetings during the interactive process to take notes or ask clarifying questions, or to provide emotional support.

Undue Hardship - A specific reasonable accommodation would require significant difficulty or expense. Undue hardship is always determined on a case-by-case basis considering factors that include the nature and cost of the accommodation requested and the impact of the accommodation on the operations of the agency. A state agency is not required to provide accommodations that would impose an undue hardship on the operation of the agency.

Exclusions

N/A

Statutory References

- [Rehabilitation Act of 1973, Title 29 USC 701](#)
- [Americans with Disabilities Act \(1990\)](#)
- [29 C.F.R. 1630, Regulations to Implement the Equal Employment Provisions of the Americans with Disabilities Act](#)

GENERAL STANDARDS AND EXPECTATIONS

Individuals who may request a reasonable accommodation include:

- Any qualified applicant with a disability who needs assistance with the job application procedure or the interview or selection process; or
- Any qualified agency employee with a disability who needs a reasonable accommodation to perform the essential functions of the position; or
- A third party, such as a family member, friend, health professional or other representative, on behalf of a qualified applicant or employee with a disability, when the applicant or employee is unable to make the request for reasonable accommodation. When possible, the agency must contact the applicant or employee to confirm that the accommodation is wanted. The applicant or employee has the discretion to accept or reject the proposed accommodation.

The agency must abide by the [Minnesota Government Data Practices Act, Chapter 13](#), in obtaining or sharing information related to accommodation requests.

How to request a reasonable accommodation

An agency applicant or employee may make a reasonable accommodation request to any or all the following:

- Immediate supervisor or manager in the employee's chain of command;
- Agency Affirmative Action Officer/Designee;
- Agency ADA Coordinator;
- Agency Human Resources Office;

- Any agency official with whom the applicant has contact during the application, interview and/or selection process.

Timing of the request

An applicant or employee may request a reasonable accommodation at any time, even if the individual has not previously disclosed the existence of a disability or the need for an accommodation. A request is any communication in which an individual asks or states that he or she needs the agency to provide or change something because of a medical condition.

The reasonable accommodation process begins as soon as possible after the request for accommodation is made.

Form of the request

The applicant or employee is responsible for requesting a reasonable accommodation or providing sufficient notice to the agency that an accommodation is needed.

An initial request for accommodation may be made in any manner (e.g., writing, electronically, in person or orally).

The individual requesting an accommodation does not have to use any special words and does not have to mention the ADA or use the phrase "reasonable accommodation" or "disability."

Oral requests must be documented in writing to ensure efficient processing of requests.

Agency request forms can be found at: "[Employee/Applicant Request for Reasonable Accommodation Form](#)".

When a supervisor or manager observes or receives information indicating that an employee is experiencing difficulty performing the job due to a medical condition or disability, further inquiry may be required. Supervisors or managers should consult with the agency ADA Coordinator for advice on how to proceed.

When an employee needs the same reasonable accommodation on a repeated basis (e.g., the assistance of a sign language interpreter), a written request for accommodation is required the first time only. However, the employee requesting an accommodation must give appropriate advance notice each subsequent time the accommodation is needed. If the accommodation is needed on a regular basis (e.g., a weekly staff meeting), the agency must make appropriate arrangements without requiring a request in advance of each occasion.

The interactive process entails

Communication is a priority and encouraged throughout the entire reasonable accommodation process. The interactive process is a collaborative process between the employee and/or applicant and the agency to explore and identify specific reasonable accommodation(s). (For information on the Interactive Process see the U.S. Department of Labor, Job Accommodation Network at <http://askjan.org/topics/interactive.htm>). This process is required when:

- The need for a reasonable accommodation is not obvious;

- The specific limitation, problem or barrier is unclear;
- An effective reasonable accommodation is not obvious;
- The parties are considering different forms of reasonable accommodation;
- The medical condition changes or fluctuates; or,
- There are questions about the reasonableness of the requested accommodation.

The interactive process should begin as soon as possible after a request for reasonable accommodation is made or the need for accommodation becomes known.

The process should ensure a full exchange of relevant information and communication between the individual and the agency. An individual may request that the agency ADA Coordinator, a union representative, or support person be present.

The agency ADA Coordinator shall be consulted when:

- Issues, conflicts or questions arise in the interactive process; and
- Prior to denying a request for accommodation.

Agency responsibilities for processing the request

As the first step in processing a request for reasonable accommodation, the person who receives the request must promptly forward the request to the appropriate decision maker. At the same time, the recipient will notify the requestor who the decision maker is.

Commissioner

The commissioner of the agency or agency head has the ultimate responsibility to ensure compliance with the ADA and this policy and appoint an ADA Coordinator.

ADA Coordinator

The agency ADA Coordinator is the agency's decision maker for reasonable accommodation requests for all types of requests outside of the supervisors' and managers' authority. The agency ADA Coordinator will work with the supervisor and manager, and where necessary, with agency Human Resources, to implement the approved reasonable accommodation.

Supervisors and Managers

Agencies have the authority to designate the level of management approval needed for reasonable accommodation requests for low-cost purchases. For example:

Requests for standard office equipment that is needed as a reasonable accommodation and adaptive items costing less than \$100. [Agencies can adjust the dollar amount based on their needs]; and

Requests for a change in a condition of employment such as modified duties, or a change in schedule, or the location and size of an employee's workspace. [Agencies can choose to delegate specific requests to supervisors or managers or require these types of requests to work through the agency ADA Coordinator].

Analysis for processing requests

Before approving or denying a request for accommodation, the agency decision maker with assistance from the agency ADA Coordinator will:

1. Determine if the requestor is a qualified individual with a disability;
2. Determine if the accommodation is needed to:
 - Enable a qualified applicant with a disability to be considered for the position the individual desires;
 - Enable a qualified employee with a disability to perform the essential functions of the position; or
 - Enable a qualified employee with a disability to enjoy equal benefits or privileges of employment as similarly situated employees without disabilities;
3. Determine whether the requested accommodation is reasonable;
4. Determine whether there is a reasonable accommodation that will be effective for the requestor and the agency; and
5. Determine whether the reasonable accommodation will impose an undue hardship on the agency's operations.

An employee's accommodation preference is always seriously considered, but the agency is not obligated to provide the requestor's accommodation of choice, so long as it offers an effective accommodation, or determines that accommodation would cause an undue hardship.

Obtaining medical documentation in connection with a request for reasonable accommodation

In some cases, the disability and need for accommodation will be reasonably evident or already known, for example, where an employee is blind. In these cases, the agency will not seek further medical documentation. If a requestor's disability and/or need for reasonable accommodation are not obvious or already known, the agency ADA Coordinator may require medical information showing that the requestor has a covered disability that requires accommodation. The agency ADA Coordinator may request medical information in certain other circumstances. For example when:

- The information submitted by the requestor is insufficient to document the disability or the need for the accommodation;
- A question exists as to whether an individual is able to perform the essential functions of the position, with or without reasonable accommodation; or
- A question exists as to whether the employee will pose a direct threat to himself/herself or others.

Where medical documentation is necessary, the agency ADA Coordinator must make the request and use the [Letter Requesting Documentation for Determining ADA Eligibility from a Medical Provider](#). The agency ADA Coordinator must also obtain the requestor's completed and signed [Authorization for](#)

Release of Medical Information before sending the Letter to, or otherwise communicating with, the medical provider. The employee may choose not to sign the Authorization. However, if the employee chooses not to sign the Authorization, it is the employee's responsibility to ensure that the agency receives the requested medical information.

Only medical documentation specifically related to the employee's request for accommodation and ability to perform the essential functions of the position will be requested. When medical documentation or information is appropriately requested, an employee must provide it in a timely manner, or the agency may deny the reasonable accommodation request. Agencies must not request medical records; medical records are not appropriate documentation and cannot be accepted.

Supervisors and managers *must not* request medical information or documentation from an applicant or employee seeking an accommodation. Such a request will be made by the agency ADA Coordinator, if appropriate.

Confidentiality requirements

Medical Information

Medical information obtained in connection with the reasonable accommodation process must be kept confidential. All medical information obtained in connection with such requests must be collected and maintained on separate forms and in separate physical or electronic files from non-medical personnel files and records. Electronic copies of medical information obtained in connection with the reasonable accommodation process must be stored so that access is limited to only the agency ADA Coordinator. Physical copies of such medical information must be stored in a locked cabinet or office when not in use or unattended. Generally, medical documentation obtained in connection with the reasonable accommodation process should only be reviewed by the agency ADA Coordinator.

The agency ADA Coordinator may disclose medical information obtained in connection with the reasonable accommodation process to the following:

- Supervisors, managers or agency HR staff who have a need to know may be told about the necessary work restrictions and about the accommodations necessary to perform the employee's duties. However, information about the employee's medical condition should only be disclosed if strictly necessary, such as for safety reasons;
- First aid and safety personnel may be informed, when appropriate, if the employee may require emergency treatment or assistance in an emergency evacuation;
- To consult with the State ADA Coordinator or Employment Law Counsel at MMB, or the Attorney General's Office about accommodation requests, denial of accommodation requests or purchasing of specific assistive technology or other resources; or
- Government officials assigned to investigate agency compliance with the ADA.

Whenever medical information is appropriately disclosed as described above, the recipients of the information must comply with all confidentiality requirements.

Accommodation Information

The fact that an individual is receiving an accommodation because of a disability is confidential and may only be shared with those individuals who have a need to know for purposes of implementing the accommodation, such as the requestor's supervisor and the agency ADA Coordinator.

General Information

General summary information regarding an employee's or applicant's status as an individual with a disability may be collected by agency equal opportunity officials to maintain records and evaluate and report on the agency's performance in hiring, retention, and processing reasonable accommodation requests.

Approval of requests for reasonable accommodation

As soon as the decision maker determines that a reasonable accommodation will be provided, the agency ADA Coordinator will process the request and provide the reasonable accommodation in as short of a timeframe as possible. The time necessary to process a request will depend on the nature of the accommodation requested and whether it is necessary to obtain supporting information. If an approved accommodation cannot be provided within a reasonable time, the decision maker will inform the requestor of the status of the request before the end of 30 days. Where feasible, if there is a delay in providing the request, temporary measures will be taken to provide assistance.

Once approved, the reasonable accommodation should be documented for record keeping purposes and the records maintained by the agency ADA Coordinator.

Funding for reasonable accommodations

The agency must specify how the agency will pay for reasonable accommodations.

Procedures for reassignment as a reasonable accommodation

Reassignment to a vacant position is an accommodation that must be considered if there are no effective reasonable accommodations that would enable the employee to perform the essential functions of his/her current job, or if all other reasonable accommodations would impose an undue hardship.

The agency ADA Coordinator will work with agency Human Resources staff and the requestor to identify appropriate vacant positions within the agency for which the employee may be qualified and can perform the essential functions of the vacant position, with or without reasonable accommodation. Vacant positions which are equivalent to the employee's current job in terms of pay, status, and other relevant factors will be considered first. If there are none, the agency will consider vacant lower level positions for which the individual is qualified. The EEOC recommends that the agency consider positions that are currently vacant or will be coming open within at least the next 60 days.

Denial of requests for reasonable accommodation

The agency ADA Coordinator must be contacted for assistance and guidance prior to denying any request for reasonable accommodation. The agency may deny a request for reasonable accommodation where:

- The individual is not a qualified individual with a disability;
- The reasonable accommodation results in undue hardship or the individual poses a direct threat to the individual or others. Undue hardship and direct threat are determined on a case-by-case basis with guidance from the agency ADA Coordinator; or
- Where no reasonable accommodation, including reassignment to a vacant position, will enable the employee to perform all the essential functions of the job.

The explanation for denial must be provided to the requestor in writing. The explanation should be written in plain language and clearly state the specific reasons for denial. Where the decision maker has denied a specific requested accommodation, but has offered a different accommodation in its place, the decision letter should explain both the reasons for denying the accommodation requested and the reasons that the accommodation being offered will be effective.

Consideration of undue hardship

An interactive process must occur prior to the agency making a determination of undue hardship. Determination of undue hardship is made on a case-by-case basis and only after consultation with the agency's ADA Coordinator. In determining whether granting a reasonable accommodation will cause an undue hardship, the agency considers factors such as the nature and cost of the accommodation in relationship to the size and resources of the agency and the impact the accommodation will have on the operations of the agency.

Agencies may deny reasonable accommodations based upon an undue hardship. Prior to denying reasonable accommodation requests due to lack of financial resources, the agency will consult with the State ADA Coordinator at MMB.

Determining direct threat

The determination that an individual poses a "direct threat," (i.e., a significant risk of substantial harm to the health or safety of the individual or others) which cannot be eliminated or reduced by a reasonable accommodation, must be based on an individualized assessment of the individual's present ability to safely perform the essential functions of the job with or without reasonable accommodation. A determination that an individual poses a direct threat cannot be based on fears, misconceptions, or stereotypes about the individual's disability. Instead, the agency must make a reasonable medical judgment, relying on the most current medical knowledge and the best available objective evidence.

In determining whether an individual poses a direct threat, the factors to be considered include:

- Duration of the risk;
- Nature and severity of the potential harm;
- Likelihood that the potential harm will occur; and

- Imminence of the potential harm.

Appeals process in the event of denial

In addition to providing the requestor with the reasons for denial of a request for reasonable accommodation, agencies must designate a process for review when an applicant or employee chooses to appeal the denial of a reasonable accommodation request. This process:

- Must include review by an agency official;
- May include review by the State ADA Coordinator; and/or
- Must inform the requestor of the statutory right to file a charge with the Equal Employment Opportunity Commission or the Minnesota Department of Human Rights.

Information tracking and records retention

Agencies must track reasonable accommodations requested and report once a year by September 1st to MMB the number and types of accommodations requested, approved, denied and other relevant information.

Agencies must retain reasonable accommodation documentation according to the agency's document retention schedule, but in all cases for at least one year from the date the record is made or the personnel action involved is taken, whichever occurs later. 29 C.F.R. § 1602.14.

RESPONSIBILITIES

Agencies are responsible for the request:

- Adoption and implementation of this policy and development of reasonable accommodation procedures consistent with the guidance in this document.

MMB is responsible for:

- Provide advice and assistance to state agencies and maintain this policy.

FORMS AND INSTRUCTIONS

Please review the following forms:

- [Employee/Applicant Request for ADA Reasonable Accommodation](#)
- [Authorization of Release of Medical Information for ADA Reasonable Accommodations](#)
- [Letter Requesting Documentation for Determining ADA Eligibility from a Medical Provider](#)

REFERENCES

- [U.S. Equal Employment Opportunity Commission](#), *Enforcement Guidance*
- Pre-employment Disability-Related Questions and Medical Examinations at 5, 6-8, 20, 21-22, 8 FEP Manual (BNA) 405:7191, 7192-94, 7201 (1995).

- Workers' Compensation and the ADA at 15-20, 8 FEP Manual (BNA) 405:7391, 7398-7401 (1996).
- The Americans with Disabilities Act and Psychiatric Disabilities at 19-28, 8 FEP Manual (BNA) 405:7461, 7470-76 (1997).
- Reasonable Accommodation and Undue Hardship under the Americans with Disabilities Act (October 17, 2002), (clarifies the rights and responsibilities of employers and individuals with disabilities regarding reasonable accommodation and undue hardship).
- Disability-Related Inquiries and Medical Examinations of Employees (explains when it is permissible for employers to make disability-related inquiries or require medical examinations of employees).
- Fact Sheet on the Family and Medical Leave Act, the Americans with Disabilities Act, and Title VII of the Civil Rights Act of 1964 at 6-9, 8 FEP Manual (BNA) 4055:7371.

The [Genetic Information Nondiscrimination Act \(GINA\) of 2008](#) and [M.S. 181.974](#) prohibit employers from using genetic information when making decisions regarding employment.

[Minnesota Human Rights Act \(MHRA\)](#) prohibits employers from treating people differently in employment because of their race, color, creed, religion, national origin, sex, marital status, familial status, disability, public assistance, age, sexual orientation, or local human rights commission activity. The MHRA requires an employer to provide reasonable accommodation to qualified individuals with disabilities who are employees or applicants for employment, except when such accommodation would cause undue hardship or where the individual poses a direct threat to the health or safety of the individual or others. The MHRA prohibits requesting or requiring information about an individual's disability prior to a conditional offer of employment.

The [Family and Medical Leave Act](#) is a federal law requiring covered employers to provide eligible employees twelve weeks of job-protected, unpaid leave for qualified medical and family reasons.

[Executive Order 19-15, Providing for Increased Participation of Individuals with Disabilities in State Employment](#), directs agencies to make efforts to hire more individuals with disabilities and report on progress.


CONTACTS

Equal Opportunity Office at Minnesota Management and Budget

F. MDVA Employee/Applicant Request for Americans with Disabilities Act (“ADA”) Reasonable Accommodation Form

Sample form pictured below. Sample form pictured below and can be found at: [MDVA Employee-Applicant ADA Request for Reasonable Accommodation](https://mn365.sharepoint.com/teams/MDVA/Affirmative%20Action/MDVA%20EE-Apl%20ADA%20Request%20for%20Reasonable%20Accommodation.pdf) (<https://mn365.sharepoint.com/teams/MDVA/Affirmative%20Action/MDVA%20EE-Apl%20ADA%20Request%20for%20Reasonable%20Accommodation.pdf>).

Request for Reasonable Accommodation Form

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|  <p>Employee/Applicant Request for Americans with Disabilities Act (“ADA”) Reasonable Accommodation Form</p> <p>The State of Minnesota and the Minnesota Department of Veterans Affairs (MDVA) are committed to complying with the Americans with Disabilities Act (“ADA”) and the Minnesota Human Rights Act (“MHRA”). To be eligible for an ADA accommodation, you must be (i) qualified to perform the essential functions of the position and (ii) have a disability that substantially or materially limits a major life activity or function. The MDVA ADA Coordinator/Designer will review each request on an individualized case-by-case basis to determine whether or not an accommodation can be made.</p> <p>Please submit this completed form to the MDVA Office for Diversity, Equity and Inclusion:</p> <p>By mail or in person: MDVA Office for Diversity, Equity and Inclusion 30 West 13th Street, St. Paul, MN 55155</p> <p>By email: mdva.ada@mn.gov</p> <p>By confidential fax: (612) 767-1761</p> <p><small>**This information is confidential and will only be shared with appropriate personnel to consider the representation of a reasonable accommodation. Questions? Please call ADA Coordinator, Jean Kim-Moorehead at (612) 768-5262.</small></p> <p>Employee/Applicant Name: _____ Job Title: _____ Work Location: _____ Phone Number: _____</p> <p><small>Data Privacy Statement: This information may be used by the agency human resources representative, ADA Coordinator or designer, or any other individual who is authorized by the agency to receive medical information for purposes of providing reasonable accommodations under the ADA and MHRA. This information is necessary to determine whether you have a disability as defined by the ADA or MHRA, and to determine whether any reasonable accommodation can be made. The provision of this information is strictly voluntary; however, if you refuse to provide it, the agency may not have sufficient information to provide a reasonable accommodation.</small></p> | <p>DO NOT PROVIDE ANY INFORMATION THAT IS NOT RELATED TO YOUR REQUEST FOR REASONABLE ACCOMMODATION. DO NOT PROVIDE COPIES OF MEDICAL RECORDS.</p> <p>A. Questions to clarify accommodation request:</p> <p>1. What specific accommodation are you requesting?</p> <p>_____</p> <p>2. If you are not sure what accommodation is needed, do you have any suggestions about what options we can explore?</p> <p>a. Answer <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>b. If yes, please explain _____</p> <p>B. Questions to document the reason for the accommodation request (please attach additional pages if necessary):</p> <p>1. If you are an employee, what, if any, job function are you having difficulty performing, or if you are an applicant, what portion of the application process are you having difficulty participating in?</p> <p>_____</p> <p style="text-align: center;">Page 2 of 4 Rev. 6/2022</p> |
| <p>2. What, if any, employment benefits are you having difficulty accessing?</p> <p>_____</p> <p>3. What limitations, as a result of your physical or mental impairment, is interfering with your ability to perform the functions of your job, access an employment benefit, or participate in the application process?</p> <p>_____</p> <p>If you are requesting a specific accommodation, how will that accommodation be effective in allowing you to perform the functions of your job, access an employment benefit, or participate in the application process?</p> <p>_____</p> <p style="text-align: center;">Page 3 of 4 Rev. 6/2022</p> | <p><small>Information Pertaining to Medical Documentation in the context of assessing an accommodation request, medical documentation may be needed to determine if the employee/applicant has a disability covered by the ADA and to assist in identifying an effective accommodation. The ADA Coordinator or designer in each agency is tasked with collecting necessary medical documentation. In the event that medical documentation is needed, the employee/applicant will be provided with the appropriate forms to submit to their medical provider. The employee/applicant has the responsibility to ensure that the requested information is returned to the ADA Coordinator or designer in a timely manner.</small></p> <div style="border: 1px solid black; padding: 5px; margin: 10px 0;"> <p><small>This form does not cover, and the information to be disclosed should not contain, genetic information. "Genetic information" includes information about an individual's genetic tests; information about genetic tests of an individual's family members; information about the manifestation of a disease or disorder in an individual's family members (family medical history); an individual's request for, or receipt of, genetic services; or the participation in clinical research that includes genetic services by the individual or a family member of the individual; and genetic information of a fetus carried by an individual or by a pregnant woman who is a family member of the individual and the genetic information of any embryo legally held by the individual or family member using an assisted reproductive technology.</small></p> </div> <p>Employee/Applicant Signature: _____ Date: _____</p> <p><small>This document will be made available upon request in an alternative format by contacting the MDVA Office of Diversity, Equity and Inclusion at 612-548-5962 or mdva.ada@mn.gov</small></p> <p style="text-align: center;">Page 4 of 4 Rev. 6/2022</p> |

G. Notice Under the Americans with Disabilities Act

In accordance with the requirements of Title II of the Americans with Disabilities Act of 1990 (“ADA”), the MDVA will not discriminate against qualified individuals with disabilities on the basis of disability in its services, programs, or activities.

Employment: MDVA does not discriminate on the basis of disability in its hiring or employment practices and complies with all regulations promulgated by the U.S. Equal Employment Opportunity Commission under title I of the ADA.

Effective Communication: MDVA will generally, upon request, provide appropriate aids and services leading to effective communication for qualified persons with disabilities so they can participate equally in MDVA’s programs, services, and activities, including qualified sign language interpreters, documents in Braille, and other ways of making information and communications accessible to people who have speech, hearing, or vision impairments.

Modifications to Policies and Procedures: MDVA will make all reasonable modifications to policies and programs to ensure that people with disabilities have an equal opportunity to enjoy all of its programs, services, and activities. For example, individuals with service animals are welcomed in MDVA offices, even where pets are generally prohibited.

Anyone who requires an auxiliary aid or service for effective communication, or a modification of policies or procedures to participate in a program, service, or activity of MDVA, should contact MDVA’s Office of Diversity, Equity and Inclusion at 612-548-5961 or diversity.MDVA@state.mn.us as soon as possible but no later than 48 hours before the scheduled event.

The ADA does not require the MDVA to take any action that would fundamentally alter the nature of its programs or services, or impose an undue financial or administrative burden.

Complaints that a program, service, or activity of MDVA is not accessible to persons with disabilities should be directed to MDVA’s Office of Diversity, Equity and Inclusion at 612-548-5961 or diversity.MDVA@state.mn.us.

MDVA will not place a surcharge on a particular individual with a disability or any group of individuals with disabilities to cover the cost of providing auxiliary aids/services or reasonable modifications of policy, such as retrieving items from locations that are open to the public but are not accessible to persons who use wheelchairs.

H. MDVA Grievance Procedure Under Title II of the Americans with Disabilities Act

This Grievance Procedure is established to meet the requirements of Title II of the Americans with Disabilities Act of 1990 (“ADA”). It may be used by anyone who wishes to file a complaint alleging discrimination on the basis of disability in the provision of services, activities, programs, or benefits by the MDVA. The Statewide ADA Reasonable Accommodation policy governs employment-related complaints of disability discrimination.

The complaint should be in writing and contain information about the alleged discrimination such as name, address, phone number of complainant and location, date, and description of the problem. Alternative means of filing complaints, such as personal interviews or a tape recording of the complaint, will be made available for persons with disabilities upon request.

The complaint should be submitted by the grievant and/or their designee as soon as possible but no later than 60 calendar days after the alleged violation to:

Jean Kim Maierhofer
MDVA ADA Coordinator/Director of Diversity, Equity and Inclusion
20 W. 12th Street St. Paul, MN 55155

Within 15 calendar days after receipt of the complaint, Jean Kim Maierhofer or designee will meet or communicate with the complainant to discuss the complaint and the possible resolutions.

Within 15 calendar days of the meeting or communication, Jean Kim Maierhofer or designee will respond in writing, and where appropriate, in a format accessible to the complainant. The response will explain the position of the MDVA and offer options for substantive resolution of the complaint.

If the response by Jean Kim Maierhofer or designee does not satisfactorily resolve the issue, the complainant and/or their designee may appeal the decision within 15 calendar days after receipt of the response to the MDVA Senior Administrative Officer or designee.

Within 15 calendar days after receipt of the appeal, the MDVA Senior Administrative Officer or designee will meet with the complainant to discuss the complaint and possible resolutions. Within 15 calendar days after the meeting, the MDVA Senior Administrative Officer or designee MDVA Senior Administrative Officer or designee will respond in writing, and, where appropriate, in a format accessible to the complainant, with a final resolution of the complaint.

All written complaints received by Jean Kim Maierhofer or designee, appeals to the MDVA Senior Administrative Officer or designee, and responses from these two offices will be retained by the MDVA for at least three years.

I. Americans with Disabilities Act (“ADA”) Title II (non-employee) Reasonable Accommodation/Modification in Public Services, Programs or Activities Request Form

A fillable form is available at <https://mn.gov/mmb-stat/equal-opportunity/ada/ada-accommodation-request-form-title-ii.pdf>.

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| <div style="display: flex; align-items: center;"> MINNESOTA </div> <p style="text-align: center; margin-top: 10px;"> (Agency) Americans with Disabilities Act (“ADA”) Title II (non-employee) Reasonable Accommodation/Modification in Public Services, Programs or Activities Request Form </p> <p>The (Agency) is committed to complying with the Americans with Disabilities Act (“ADA”) and the Minnesota Human Rights Act (“MHRRA”). The ADA Coordinator/Designee will review each request on an individualized, case-by-case, basis to determine whether an accommodation or modification can be made. Please do NOT send copies of medical records. The Agency is not authorized to have medical records and is not qualified to interpret medical records.</p> <p>General Information Date of Request: </p> <p>Person needing accommodation/modification Name: Address: Email: Phone: </p> <p>Person making request (if different from person needing accommodation/modification) Name: Email: Phone: Relationship to person needing accommodation/modification: </p> <p>Accommodation Information Date accommodation/modification is needed: Address and/or room of accommodation/modification: Type of accommodation/modification requested (please be specific): </p> <p>How would you like to be notified of the status of your request? <input type="radio"/> Phone <input type="radio"/> Email <input type="radio"/> Writing <input type="radio"/> Other (specify): </p> <p>If someone else has completed this form on your behalf and you want that person to be notified of the status of your request, please initial here: </p> <p style="font-size: 8px; margin-top: 10px;">Updated 08/21/2019</p> | <p>All requests for accommodation/modification will be evaluated individually and a response to your request will be provided within one week of receipt.</p> <p><input type="checkbox"/> Check this box to sign this request form electronically: By checking this box, I agree my electronic signature is the legal equivalent of my signature.</p> <p>Signature of Requestor Date </p> <p style="text-align: center; margin-top: 10px;">OFFICE USE ONLY RESPONSE TO REQUEST FOR ACCOMMODATION/MODIFICATION</p> <p>Date request received: </p> <p>The request for accommodation/modification is GRANTED. Below is a description of the accommodation/modification: </p> <p>The request for accommodation/modification is DENIED because:</p> <p><input type="checkbox"/> The requester does not meet the essential eligibility requirements or qualifications for the program, service, or activity, without regard to disability. <input type="checkbox"/> The requested accommodation/modification would impose an undue burden on the agency; and/or <input type="checkbox"/> The requested accommodation/modification would fundamentally alter the nature of the service, program, or activity.</p> <p>Requester notified on: (date) via: </p> <p>Additional notes: </p> <p>ADA Coordinator: Name Signature Date </p> <p style="font-size: 8px; margin-top: 10px;">Updated 08/21/2019</p> |
|---|--|

J. Evacuation Procedure for Individuals with Disabilities or Otherwise in Need of Assistance

Each Veterans Home has a detailed evacuation plan that provides for the safe evacuation of both residents and staff. The plans detail the way all residents and staff will be located and removed from the facility. The plans also address the evacuation of persons with physical, cognitive, visual, and hearing disabilities. The plans meet the standards identified by the American National Safety Institute and the Federal and State regulations for Long-term Healthcare Facilities.

Each Programs and Services building has an evacuation plan that provides for the safe evacuation of both customers and employees. The plans detail the way all customers and employees will be located and removed from the building and address the evacuation of persons with physical, cognitive, visual, and hearing disabilities. Copies of each Veterans Home's evacuation plan and each Programs and Services building's plan are on file both electronically and in hard copy format at their respective locations.

A copy of the Agency weather and emergency evacuation plans can be found at:

MDVA's SharePoint Page [<https://mn365.sharepoint.com/teams/mdva/Pages/Safety.aspx>]

Knowledge and preparation by both individuals needing assistance and those who don't are key to reducing the impact of emergencies. When developing a plan, safety needs should be determined on a case-by-case basis because it varies with each individual and building.

Everyone has a responsibility to develop their own personal emergency evacuation plan, this includes individuals with disabilities or individuals who will need assistance during evacuation. The ADA Coordinator or designee in each agency will work to develop a plan and consult the appropriate building and safety personnel.

Directors, managers, and supervisors should review the emergency evacuation procedures with staff, including informing all staff that if additional assistance may be needed, and individuals with disabilities should contact the agency contact(s) below to request the type of assistance they may need.

Name: Erik Pakieser

Title: Safety Administrator

Email: erik.pakieser@state.mn.us

Evacuation Options:

Individuals with disabilities have four basics, possibly five, evacuation options:

Horizontal evacuation: Using building exits to the outside ground level or going into unaffected wings of multi-building complexes;

- **Stairway evacuation:** Using steps to reach ground level exits from building;
- **Shelter in place:** Unless danger is imminent, remain in a room with an exterior window, a telephone, and a solid or fire-resistant door. If the individual requiring special evacuation assistance remains in place, they should dial 911 immediately and report their location to emergency services, who will in turn relay that information to on-site responders. The shelter in place approach may be more appropriate for sprinkler protected buildings where an area of refuge is not nearby or available. It may be more appropriate for an individual who is alone when the alarm sounds;
- **Area of rescue assistance:** Identified areas that can be used as a means of egress for individuals with disabilities. These areas, located on floors above or below the building's exits, can be used by individuals with disabilities until rescue can be facilitated by emergency responders; and/or
- **For agencies equipped with an evacuation chair:** Evacuation chairs or a light-weight solution to descending stairways can be used and generally require single user operation. If an agency is equipped with an evacuation chair, best practice indicates that all employees are trained and have practiced evacuating using an evacuation chair.

Evacuation Procedures for Individuals with Mobility, Hearing, or Visual Disabilities:

Individuals with disabilities should follow the following procedures:

- **Mobility disabilities (individuals who use wheelchairs or other personal mobility devices (“PMDs”)):** Individuals using wheelchairs should be accompanied to an area of rescue assistance by an employee or shelter in place when the alarm sounds. The safety and security staff will respond to each of the areas of rescue assistance every time a building evacuation is initiated to identify the individuals in these areas and notify to emergency responders how many individuals need assistance to safely evacuate.
- **Mobility disabilities (individuals who do not use wheelchairs):** Individuals with mobility disabilities, who are able to walk independently, may be able to negotiate stairs in an emergency with minor assistance. If danger is imminent, the individual should wait until the heavy traffic has cleared before attempting the stairs. If there is no immediate danger (detectable smoke, fire, or unusual odor), the individual with a disability may choose to wait at the area of rescue assistance until emergency responders arrive to assist them.
- **Hearing disabilities:** The agency's buildings are equipped with fire alarm horns/strobes that sound the alarm and flash strobe lights. The strobe lights are for individuals with who are deaf

and/or hard of hearing. Individuals with hearing disabilities may not notice or hear emergency alarms and will need to be alerted of emergency situations.

- **Visual disabilities:** The agency's buildings are equipped with fire alarm horn/strobes that sound the alarm and flash strobe lights. The horn will alert individuals who are blind or have visual disabilities of the need to evacuate. Most individuals with visual disabilities will be familiar with their immediate surroundings and frequently traveled routes. Since the emergency evacuation route is likely different from the common traveled route, individuals with visual disabilities may need assistance in evacuating. The assistant should offer assistance, and if accepted, guide the individual with a visual disability through the evacuation route.

Severe Weather Evacuation Options:

Individuals in need of assistance during an evacuation have three evacuation options based on their location in their building:

- **Horizontal evacuation:** If located on the ground or basement floor, severe weather shelter areas are located throughout each floor;
- **Elevator evacuation:** If there are no safe areas above the ground floor, the elevator may be used to evacuate to the ground or basement levels; and/or
- **Shelter in Place:** Seeking shelter in a designated severe weather shelter and remaining there until the all clear is used.

Appendices

Appendix A: Progress Report

Females (note: Promo = promotion)

| Job Category | Prior AAP Total Employee # | Prior AAP Total Females # | Prior AAP Total Females % | Prior AAP Availability Female % | Total Hires & Promo # | Total Hired # | Male Hired # | Females Hired # | Un-known Hired # | Females Hired % | Total Promo # | Male Promo # | Females Promo # | Un-known Promo # | Female Promo % | Actual Female Hiring (%) | Female Had Goals in Prior AAP? | Female Goal Met? |
|------------------------------|----------------------------|---------------------------|---------------------------|---------------------------------|-----------------------|---------------|--------------|-----------------|------------------|-----------------|---------------|--------------|-----------------|------------------|----------------|--------------------------|--------------------------------|------------------|
| Officials/ Administrators | 28 | 13 | 46.43% | 43.66% | <10 | <10 | <10 | <10 | <10 | **.**%* | <10 | <10 | <10 | <10 | **.**%* | **.**%* | - | - |
| Professionals | 374 | 267 | 71.39% | 71.18% | 169 | 125 | 32 | 92 | <10 | 73.60% | 44 | 13 | 31 | <10 | 70.45% | 72.78% | - | - |
| Technicians | 143 | 126 | 88.11% | 85.28% | 60 | 60 | <10 | 56 | <10 | 93.33% | <10 | <10 | <10 | <10 | **.**%* | 92.19% | - | - |
| Paraprofessionals | 473 | 349 | 73.78% | 77.82% | 231 | 231 | 32 | 189 | 10 | 81.82% | <10 | <10 | <10 | <10 | **.**%* | 81.86% | Yes | Yes |
| Office Clerical | 72 | 62 | 86.11% | 77.49% | 31 | 31 | <10 | 20 | <10 | 64.52% | <10 | <10 | <10 | <10 | **.**%* | 66.67% | - | - |
| Skilled Craft | 27 | <10 | **.**%* | 6.35% | 18 | 18 | 17 | <10 | <10 | **.**%* | <10 | <10 | <10 | <10 | **.**%* | **.**%* | - | - |
| Service Maintenance | 334 | 204 | 61.08% | 53.08% | 289 | 278 | 108 | 162 | <10 | 58.27% | 11 | <10 | <10 | <10 | **.**%* | 57.09% | - | - |
| Total | 1451 | 1023 | 70.50% | | 832 | 752 | 208 | 523 | 21 | 69.55% | 80 | 29 | 51 | <10 | 63.75% | | | |

Racial/Ethnic Minorities (not: Promo = promotion; Mino = Racial/Ethnic Minorities)

| Job Category | Prior AAP Total Employee # | Prior AAP Total Mino # | Prior AAP Total Mino % | Prior AAP Availability Mino % | Total Hires & Promo # | Total Hired # | Non-Mino Hired # | Mino Hired # | Un-known Hired # | Mino Hired % | Total Promo # | Non-Mino Promo # | Mino Promo # | Un-known Promo # | Mino Promo % | Actual Mino Hiring (%) | Mino Had Goals in Prior AAP? | Mino Goal Met? |
|------------------------------|----------------------------|------------------------|------------------------|-------------------------------|-----------------------|---------------|------------------|--------------|------------------|--------------|---------------|------------------|--------------|------------------|--------------|------------------------|------------------------------|----------------|
| Officials/ Administrators | 28 | <10 | **.**%* | 4.21% | <10 | <10 | <10 | <10 | <10 | **.**%* | <10 | <10 | <10 | <10 | **.**%* | **.**%* | - | - |
| Professionals | 374 | 57 | 15.24% | 14.05% | 169 | 125 | 94 | 23 | <10 | 18.40% | 44 | 25 | 15 | <10 | 34.09% | 22.49% | - | - |
| Technicians | 143 | 48 | 33.57% | 24.76% | 60 | 60 | 32 | 16 | 12 | 26.67% | <10 | <10 | <10 | <10 | **.**%* | 26.56% | - | - |
| Paraprofessionals | 473 | 178 | 37.63% | 35.14% | 231 | 231 | 128 | 62 | 41 | 26.84% | <10 | <10 | <10 | <10 | **.**%* | 27.00% | - | - |
| Office Clerical | 72 | 12 | 16.67% | 16.97% | 31 | 31 | 24 | <10 | <10 | **.**%* | <10 | <10 | <10 | <10 | **.**%* | **.**%* | - | - |
| Skilled Craft | 27 | <10 | **.**%* | 11.75% | 18 | 18 | 15 | <10 | <10 | **.**%* | <10 | <10 | <10 | <10 | **.**%* | **.**%* | Yes | No |
| Service Maintenance | 334 | 60 | 17.96% | 33.14% | 289 | 278 | 185 | 47 | 46 | 16.91% | 11 | <10 | <10 | <10 | **.**%* | 17.30% | Yes | No |
| Total | 1451 | 357 | 24.60% | | 832 | 752 | 486 | 155 | 111 | 20.61% | 80 | 48 | 23 | <10 | 28.75% | | | |

Individuals with Disabilities (not: Promo = promotion; IwD = Individuals with disabilities)

| Job Category | Prior AAP Total Employee # | Prior AAP Total IwD # | Prior AAP Total IwD % | Prior AAP Availability IwD % | Total Hires & Promo # | Total Hired # | IwD Hired # | Non-IwD Hired # | Un-known Hired # | IwD Hired % | Total Promo # | Non-IwD Promo # | IwD Promo # | Un-known Promo # | IwD Promo % | Actual IwD Hiring (%) | IwD Had Goals in Prior AAP? | IwD Goal Met? |
|------------------------------|----------------------------|-----------------------|-----------------------|------------------------------|-----------------------|---------------|-------------|-----------------|------------------|-------------|---------------|-----------------|-------------|------------------|-------------|-----------------------|-----------------------------|---------------|
| Officials/ Administrators | 28 | 13 | 46.43% | 31.89% | <10 | <10 | <10 | <10 | <10 | **.**%* | <10 | <10 | <10 | <10 | **.**%* | **.**%* | - | - |
| Professionals | 374 | 55 | 14.71% | 8.88% | 169 | 125 | 101 | 19 | <10 | 15.20% | 44 | 32 | <10 | <10 | **.**%* | 13.61% | - | - |
| Technicians | 143 | <10 | **.**%* | 3.57% | 60 | 60 | 34 | 10 | 16 | 16.67% | <10 | <10 | <10 | <10 | **.**%* | 15.63% | - | - |
| Paraprofessionals | 473 | 25 | 5.29% | 6.54% | 231 | 231 | 155 | 29 | 47 | 12.55% | <10 | <10 | <10 | <10 | **.**%* | 12.24% | Yes | Yes |
| Office Clerical | 72 | 10 | 13.89% | 6.55% | 31 | 31 | 25 | <10 | <10 | **.**%* | <10 | <10 | <10 | <10 | **.**%* | **.**%* | - | - |
| Skilled Craft | 27 | <10 | **.**%* | 10.60% | 18 | 18 | 14 | <10 | <10 | **.**%* | <10 | <10 | <10 | <10 | **.**%* | **.**%* | - | - |
| Service Maintenance | 334 | 34 | 10.18% | 19.68% | 289 | 278 | 178 | 38 | 62 | 13.67% | 11 | <10 | <10 | <10 | **.**%* | 13.15% | Yes | No |
| Total | 1451 | 146 | 10.06% | | 832 | 752 | 514 | 103 | 135 | 13.70% | 80 | 53 | <10 | 20 | **.**%* | | | |

Appendix B: Separation Analysis

Total Separations (note: Sep = Separation; Minority = Racial/Ethnic Minority; IwD = Individuals with Disabilities)

| Separation Type | Total % by Sep Type | Sep Type ¹ Female % within Sep Type | Sep Type ² Sep Type % within Total Female Sep | Sep Type ¹ Minority % within Sep Type | Sep Type ² Sep Type % within Total Minority Sep | Sep Type ¹ IwD % within Sep Type | Sep Type ² Sep Type % within Total IwD Sep |
|--------------------------------|---------------------|--|--|--|--|---|---|
| Dismissal or Non-Certification | 5.31% | 77.14% | 5.84% | **.**%* | **.**%* | **.**%* | **.**%* |
| Resignation | 70.56% | 74.84% | 75.32% | 21.08% | 77.17% | 9.46% | 58.67% |
| Enhanced Separation | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Retirement | 14.42% | 69.47% | 14.29% | 13.68% | 10.24% | 15.79% | 20.00% |
| Death | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* |
| Lay-off | **.**%* | **.**%* | **.**%* | 0.00% | 0.00% | **.**%* | **.**%* |
| Termination without Rights | 7.89% | 30.77% | 3.46% | **.**%* | **.**%* | **.**%* | **.**%* |
| Total Separations | 100.00% | 70.11% | 100.00% | 19.27% | 100.00% | 11.38% | 100.00% |

Officials/Administrators (note: Sep = Separation; Minority = Racial/Ethnic Minority; IwD = Individuals with Disabilities)

| Separation Type | Total % by Sep Type | Sep Type ¹ Female % within Sep Type | Sep Type ² Sep Type % within Total Female Sep | Sep Type ¹ Minority % within Sep Type | Sep Type ² Sep Type % within Total Minority Sep | Sep Type ¹ IwD % within Sep Type | Sep Type ² Sep Type % within Total IwD Sep |
|--------------------------------|---------------------------|---|--|---|--|--|--|
| Dismissal or Non-Certification | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Resignation | **.**%* | 0.00% | 0.00% | 0.00% | 0.00% | **.**%* | **.**%* |
| Enhanced Separation | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Retirement | **.**%* | **.**%* | **.**%* | 0.00% | 0.00% | **.**%* | **.**%* |
| Death | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Lay-off | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Termination without Rights | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Total Separations | 100.00% | **.**%* | 100.00% | 0.00% | 0.00% | **.**%* | 100.00% |

Professionals (note: Sep = Separation; Minority = Racial/Ethnic Minority; IwD = Individuals with Disabilities)

| Separation Type | Total % by Sep Type | Sep Type ¹ Female % within Sep Type | Sep Type ² Sep Type % within Total Female Sep | Sep Type ¹ Minority % within Sep Type | Sep Type ² Sep Type % within Total Minority Sep | Sep Type ¹ IwD % within Sep Type | Sep Type ² Sep Type % within Total IwD Sep |
|--------------------------------|---------------------|--|--|--|--|---|---|
| Dismissal or Non-Certification | *.***% | **.***% | **.***% | **.***% | **.***% | **.***% | **.***% |
| Resignation | 64.84% | 75.90% | 64.29% | 16.87% | 73.68% | 12.05% | 50.00% |
| Enhanced Separation | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Retirement | 26.56% | 82.35% | 28.57% | **.***% | **.***% | **.***% | **.***% |
| Death | *.***% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Lay-off | *.***% | **.***% | **.***% | 0.00% | 0.00% | **.***% | **.***% |
| Termination without Rights | *.***% | **.***% | **.***% | 0.00% | 0.00% | 0.00% | 0.00% |
| Total Separations | 100.00% | 76.56% | 100.00% | 14.84% | 100.00% | 15.63% | 100.00% |

Technicians (note: Sep = Separation; Minority = Racial/Ethnic Minority; IwD = Individuals with Disabilities)

| Separation Type | Total % by Sep Type | Sep Type ¹ Female % within Sep Type | Sep Type ² Sep Type % within Total Female Sep | Sep Type ¹ Minority % within Sep Type | Sep Type ² Sep Type % within Total Minority Sep | Sep Type ¹ IwD % within Sep Type | Sep Type ² Sep Type % within Total IwD Sep |
|--------------------------------|---------------------|--|--|--|--|---|---|
| Dismissal or Non-Certification | **.**%* | **.**%* | **.**%* | 0.00% | 0.00% | **.**%* | **.**%* |
| Resignation | 77.59% | 88.89% | 78.43% | 33.33% | 83.33% | **.**%* | **.**%* |
| Enhanced Separation | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Retirement | 17.24% | **.**%* | **.**%* | **.**%* | **.**%* | 0.00% | 0.00% |
| Death | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* | 0.00% | 0.00% |
| Lay-off | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Termination without Rights | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Total Separations | 100.00% | 87.93% | 100.00% | 31.03% | 100.00% | **.**%* | 100.00% |

Paraprofessionals (note: Sep = Separation; Minority = Racial/Ethnic Minority; IwD = Individuals with Disabilities)

| Separation Type | Total % by Sep Type | Sep Type ¹ Female % within Sep Type | Sep Type ² Sep Type % within Total Female Sep | Sep Type ¹ Minority % within Sep Type | Sep Type ² Sep Type % within Total Minority Sep | Sep Type ¹ IwD % within Sep Type | Sep Type ² Sep Type % within Total IwD Sep |
|--------------------------------|---------------------------|---|--|---|--|--|--|
| Dismissal or Non-Certification | 8.37% | 88.89% | 9.47% | **.**%* | **.**%* | **.**%* | **.**%* |
| Resignation | 79.07% | 80.00% | 80.47% | 27.65% | 82.46% | 5.88% | 71.43% |
| Enhanced Separation | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Retirement | 10.23% | 59.09% | 7.69% | **.**%* | **.**%* | **.**%* | **.**%* |
| Death | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* | 0.00% | 0.00% |
| Lay-off | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Termination without Rights | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* | 0.00% | 0.00% |
| Total Separations | 100.00% | 78.60% | 100.00% | 26.51% | 100.00% | 6.51% | 100.00% |

Office Clerical (note: Sep = Separation; Minority = Racial/Ethnic Minority; IwD = Individuals with Disabilities)

| Separation Type | Total % by Sep Type | Sep Type ¹ Female % within Sep Type | Sep Type ² Sep Type % within Total Female Sep | Sep Type ¹ Minority % within Sep Type | Sep Type ² Sep Type % within Total Minority Sep | Sep Type ¹ IwD % within Sep Type | Sep Type ² Sep Type % within Total IwD Sep |
|--------------------------------|---------------------|--|--|--|--|---|---|
| Dismissal or Non-Certification | **.**%* | 0.00% | 0.00% | **.**%* | **.**%* | **.**%* | **.**%* |
| Resignation | 55.00% | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* |
| Enhanced Separation | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Retirement | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* |
| Death | **.**%* | **.**%* | **.**%* | 0.00% | 0.00% | **.**%* | **.**%* |
| Lay-off | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Termination without Rights | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Total Separations | 100.00% | 80.00% | 100.00% | **.**%* | 100.00% | **.**%* | 100.00% |

Skilled Craft (note: Sep = Separation; Minority = Racial/Ethnic Minority; IwD = Individuals with Disabilities)

| Separation Type | Total % by Sep Type | Sep Type ¹ Female % within Sep Type | Sep Type ² Sep Type % within Total Female Sep | Sep Type ¹ Minority % within Sep Type | Sep Type ² Sep Type % within Total Minority Sep | Sep Type ¹ IwD % within Sep Type | Sep Type ² Sep Type % within Total IwD Sep |
|--------------------------------|---------------------|--|--|--|--|---|---|
| Dismissal or Non-Certification | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Resignation | 73.33% | **.**%** | **.**%** | **.**%** | **.**%** | **.**%** | **.**%** |
| Enhanced Separation | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Retirement | **.**%** | 0.00% | 0.00% | 0.00% | 0.00% | **.**%** | **.**%** |
| Death | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Lay-off | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Termination without Rights | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Total Separations | 100.00% | **.**%** | 100.00% | **.**%** | 100.00% | **.**%** | 100.00% |

Service Maintenance (note: Sep = Separation; Minority = Racial/Ethnic Minority; IwD = Individuals with Disabilities)

| Separation Type | Total % by Sep Type | Sep Type ¹ Female % within Sep Type | Sep Type ² Sep Type % within Total Female Sep | Sep Type ¹ Minority % within Sep Type | Sep Type ² Sep Type % within Total Minority Sep | Sep Type ¹ IwD % within Sep Type | Sep Type ² Sep Type % within Total IwD Sep |
|--------------------------------|---------------------|--|--|--|--|---|---|
| Dismissal or Non-Certification | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* |
| Resignation | 65.44% | 69.01% | 79.03% | 12.68% | 66.67% | 10.56% | 57.69% |
| Enhanced Separation | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Retirement | 6.91% | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* |
| Death | **.**%* | **.**%* | **.**%* | **.**%* | **.**%* | 0.00% | 0.00% |
| Lay-off | **.**%* | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Termination without Rights | 21.20% | 23.91% | 8.87% | **.**%* | **.**%* | **.**%* | **.**%* |
| Total Separations | 100.00% | 57.14% | 100.00% | 12.44% | 100.00% | 11.98% | 100.00% |

Appendix C: Job Category Analysis

Officials/Administrators (note: Minority = Racial/Ethnic minority; IwD = Individuals with Disabilities)

| Job Code | Job Title |
|----------|--------------------------------|
| 001424 | Human Resources Director 4 |
| 002147 | Human Resources Director 2 |
| 002695 | Agency Chief Financial Officer |
| 003639 | State Prog Admin Manager |
| 003679 | State Prog Admin Manager Sr |
| 003719 | State Prog Admin Manager Prin |
| 008606 | Senior Admin Officer |
| 003666 | Health Services Director |
| 000237 | Dir Nursing |
| 003045 | Human Resources Director 3 |
| 008179 | Veterans Home Admin |
| 008739 | Veterans Home Admin - Mpls |
| 008122 | Commissioner-Veterans Affairs |
| 008724 | Deputy Commr Veterans Aff-VHC |
| 003943 | General Counsel 1 |
| 008222 | Deputy Commr Veterans Aff |
| 003944 | General Counsel 2 |

Professionals (note: Minority = Racial/Ethnic minority; IwD = Individuals with Disabilities)

| Job Code | Job Title |
|-----------------|-------------------------------|
| 000006 | Management Analyst 1 |
| 000140 | Buyer 1 |
| 000510 | Planner Principal State |
| 000577 | Information Officer 3 |
| 000633 | Accounting Officer Senior |
| 000634 | Management Analyst 4 |
| 000652 | Human Resources Consultant 1 |
| 000893 | Management Analyst 3 |
| 001314 | Information Officer 1 |
| 002241 | Grants Specialist Coord |
| 002687 | Safety Administrator |
| 003017 | Human Resources Specialist 3 |
| 003604 | State Prog Admin |
| 003605 | State Prog Admin Intermediate |
| 003606 | State Prog Admin Sr |
| 003607 | State Prog Admin Prin |
| 003608 | State Prog Admin Coordinator |
| 003609 | State Prog Admin Director |
| 003690 | State Prog Admin Supervisor |
| 003712 | State Prog Admin Supv Prin |
| 003533 | Medical Specialist 4 |
| 000500 | Human Resources Director 1 |

| Job Code | Job Title |
|-----------------|--------------------------------|
| 000003 | Accounting Director |
| 002154 | Registered Nurse Supervisor |
| 001880 | Registered Nurse Senior |
| 000776 | Physical Plant Director |
| 002172 | Registered Nurse Admin-Supv |
| 001423 | Human Resources Specialist 2 |
| 002264 | Management Analyst Supv 1 |
| 000004 | Accounting Officer |
| 000996 | Training & Development Spec 3 |
| 000499 | Human Resources Supervisor 4 |
| 002085 | Recreation Therapist Senior |
| 002084 | Recreation Therapist |
| 002138 | Psychological Services Dir |
| 003864 | Chaplain |
| 003610 | Registered Nurse Advanced Prac |
| 001878 | Registered Nurse |
| 000755 | Volunteer Services Coordinator |
| 000234 | Dietitian 1 |
| 002957 | Legal Analyst |
| 002003 | Speech Pathology Clinician |
| 002843 | Skills Development Specialist |
| 000235 | Dietitian 2 |
| 000856 | Rehabilitation Therapist Supv |

| Job Code | Job Title |
|-----------------|------------------------------|
| 002083 | Occup Therapist Senior |
| 001881 | Registered Nurse Principal |
| 000070 | Group Supervisor Asst |
| 000658 | Rehabilitation Couns Sr |
| 001684 | Physical Therapist |
| 003096 | Reimbursement Specialist |
| 001495 | Behavior Analyst 3 |
| 000367 | Pharmacist |
| 000676 | Social Svcs Supervisor |
| 003532 | Medical Specialist 3 |
| 001883 | Pharmacist Senior |
| 002393 | Nurse Specialist |
| 002081 | Music Therapist |
| 001067 | Auditor Principal |
| 002143 | Accounting Supervisor Senior |
| 003835 | Veterans Claims Rep Senior |
| 001084 | Veterans Claims Rep |
| 000747 | Veterans Asst Supervisor |
| 002194 | Recreation Therapist Coord |
| 000259 | Educ Specialist 2 |
| 000603 | Rehabilitation Therapies Dir |
| 000662 | Social Worker Senior |
| 003393 | Quality Services Supv |

| Job Code | Job Title |
|----------|------------------------------|
| 000561 | Psychologist 2 |
| 003905 | Veterans Programs Specialist |
| 002189 | Dietitian 1 Supervisor |
| 002359 | Recreation Therapy Prog Supv |

Technicians (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Job Code | Job Title |
|----------|----------------------------|
| 000774 | Accounting Technician |
| 001659 | Licensed Practical Nurse |
| 000227 | Dental Hygienist |
| 001475 | Medical Records Tech 2 |
| 000875 | Medical Records Tech 1 |
| 002616 | Veterans Home Program Asst |
| 003489 | Dietary Technician |

Paraprofessionals (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Job Code | Job Title |
|----------|------------------------------|
| 001486 | Human Resources Technician 2 |
| 000881 | Human Resources Technician 1 |
| 001564 | Human Svcs Technician |
| 001020 | Pharmacy Technician |
| 002565 | Recreation Program Asst |

| Job Code | Job Title |
|----------|--------------------------------|
| 002560 | Certified Occup Therapy Asst 1 |
| 002563 | Physical Therapy Asst |
| 003614 | Dining Services Supervisor |
| 002562 | Physical Therapy Aide |
| 001027 | Chemical Depend Couns Sr |

Office Clerical (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Job Code | Job Title |
|----------|--------------------------------|
| 000293 | Exec 2 |
| 000632 | Account Clerk Senior |
| 002118 | Office Services Supervisor 2 |
| 003626 | Office Specialist |
| 003627 | Office & Admin Specialist |
| 003628 | Office & Admin Specialist Int |
| 003629 | Office & Admin Specialist Sr |
| 003630 | Office & Admin Specialist Prin |
| 003632 | Central Svcs Admin Spec Inter |
| 003633 | Central Svcs Admin Spec Senior |
| 003635 | Customer Svcs Specialist |
| 003631 | Central Svcs Admin Spec |
| 000001 | Account Clerk |
| 000148 | Cashier |

Skilled Craft (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Job Code | Job Title |
|-----------------|------------------------------|
| 000525 | Plant Mntc Engineer |
| 000700 | Stationary Engineer |
| 002108 | Electrician Lead |
| 000135 | Building Utilities Mechanic |
| 003452 | Electrician Master Record |
| 000490 | Painter |
| 000131 | Building Maintenance Foreman |
| 000266 | Electrician |
| 003453 | Plumber Master In Charge |
| 001075 | Pwr Plt Chief Engineer |

Service Maintenance (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Job Code | Job Title |
|-----------------|-------------------------------|
| 001357 | General Repair Worker |
| 001725 | General Maintenance Wrkr |
| 000415 | Laborer Trades & Equipment |
| 000224 | Delivery Van Driver |
| 000198 | Cook Coordinator |
| 001728 | General Maintenance Wrkr Lead |
| 000329 | Groundskeeper Senior |

| Job Code | Job Title |
|-----------------|--------------------------------|
| 000197 | Cook |
| 000305 | Food Service Worker |
| 000860 | Building Svcs Supervisor |
| 000157 | Chief Cook |
| 003623 | Facility Grds/Transp Svcs Supv |
| 000328 | Groundskeeper |
| 001035 | Grounds & Roads Mntc Supv |
| 003877 | Resident Assistant |
| 000421 | Laundry Worker |
| 002436 | Cook Supervisor |
| 000295 | Exec Housekeeper |
| 008597 | Student Worker Custodial/Maint |
| 000134 | Building Svcs Foreman |
| 001326 | Buildings & Grounds Worker |
| 000103 | Automobile Driver |
| 001599 | Groundskeeper Inter |
| 002963 | Supported Employment Worker |

Appendix D: Feeder Jobs

Officials/Administrators (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted lwd % |
|----------|------------------------------|--------------------------------|-------------------|---------------------|----------------|
| 001424 | Officials and Administrators | Human Resources Director 4 | 2.94% | 0.00% | 2.94% |
| 002147 | Officials and Administrators | Human Resources Director 2 | 8.82% | 0.00% | 2.94% |
| 002695 | Officials and Administrators | Agency Chief Financial Officer | 0.00% | 0.00% | 0.00% |
| 003639 | Officials and Administrators | State Prog Admin Manager | 2.94% | 0.00% | 0.00% |
| 003679 | Officials and Administrators | State Prog Admin Manager Sr | 5.88% | 2.94% | 2.94% |
| 003719 | Officials and Administrators | State Prog Admin Manager Prin | 5.88% | 0.00% | 8.82% |
| 008606 | Officials and Administrators | Senior Admin Officer | 0.00% | 2.94% | 0.00% |
| 003666 | Officials and Administrators | Health Services Director | 2.94% | 0.00% | 0.00% |
| 000237 | Officials and Administrators | Dir Nursing | 2.94% | 0.00% | 0.00% |
| 003045 | Officials and Administrators | Human Resources Director 3 | 2.94% | 0.00% | 0.00% |

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted lwd % |
|----------|------------------------------|-------------------------------|-------------------|---------------------|----------------|
| 008179 | Officials and Administrators | Veterans Home Admin | 5.88% | 0.00% | 2.94% |
| 008739 | Officials and Administrators | Veterans Home Admin - Mpls | 0.00% | 0.00% | 0.00% |
| 008122 | Officials and Administrators | Commissioner-Veterans Affairs | 0.00% | 0.00% | 2.94% |
| 008724 | Officials and Administrators | Deputy Commr Veterans Aff-VHC | 0.00% | 0.00% | 2.94% |
| 003943 | Officials and Administrators | General Counsel 1 | 0.00% | 0.00% | 0.00% |
| 008222 | Officials and Administrators | Deputy Commr Veterans Aff | 0.00% | 2.94% | 2.94% |
| 003944 | Officials and Administrators | General Counsel 2 | 2.94% | 0.00% | 0.00% |
| Total | | | 44.12% | 8.82% | 29.41% |

Professionals (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted lwd % |
|----------|---------------|-------------------------|-------------------|---------------------|----------------|
| 000006 | Professionals | Management Analyst 1 | 0.00% | 0.00% | 0.00% |
| 000140 | Professionals | Buyer 1 | 0.53% | 0.00% | 0.00% |
| 000510 | Professionals | Planner Principal State | 0.26% | 0.00% | 0.26% |

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted lwd % |
|-----------------|---------------------|-------------------------------|--------------------------|----------------------------|-----------------------|
| 000577 | Professionals | Information Officer 3 | 0.00% | 0.00% | 0.26% |
| 000633 | Professionals | Accounting Officer Senior | 0.26% | 0.00% | 0.00% |
| 000634 | Professionals | Management Analyst 4 | 0.00% | 0.00% | 0.00% |
| 000652 | Professionals | Human Resources Consultant 1 | 0.79% | 0.00% | 0.26% |
| 000893 | Professionals | Management Analyst 3 | 0.26% | 0.00% | 0.00% |
| 001314 | Professionals | Information Officer 1 | 0.26% | 0.00% | 0.00% |
| 002241 | Professionals | Grants Specialist Coord | 0.26% | 0.00% | 0.00% |
| 002687 | Professionals | Safety Administrator | 0.00% | 0.00% | 0.00% |
| 003017 | Professionals | Human Resources Specialist 3 | 0.53% | 0.00% | 0.26% |
| 003604 | Professionals | State Prog Admin | 0.26% | 0.00% | 0.00% |
| 003605 | Professionals | State Prog Admin Intermediate | 1.06% | 0.53% | 0.00% |
| 003606 | Professionals | State Prog Admin Sr | 1.59% | 0.53% | 2.38% |
| 003607 | Professionals | State Prog Admin Prin | 2.12% | 0.26% | 1.32% |
| 003608 | Professionals | State Prog Admin Coordinator | 1.06% | 0.00% | 1.06% |
| 003609 | Professionals | State Prog Admin Director | 0.00% | 0.00% | 0.26% |
| 003690 | Professionals | State Prog Admin Supervisor | 0.00% | 0.00% | 0.00% |
| 003712 | Professionals | State Prog Admin Supv Prin | 0.00% | 0.00% | 0.26% |
| 003533 | Professionals | Medical Specialist 4 | 0.00% | 0.00% | 0.00% |
| 000500 | Professionals | Human Resources Director 1 | 0.26% | 0.00% | 0.00% |
| 000003 | Professionals | Accounting Director | 0.00% | 0.00% | 0.00% |
| 002154 | Professionals | Registered Nurse Supervisor | 3.97% | 0.53% | 0.00% |

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted IwD % |
|----------|---------------|--------------------------------|-------------------|---------------------|----------------|
| 001880 | Professionals | Registered Nurse Senior | 7.67% | 3.44% | 0.79% |
| 000776 | Professionals | Physical Plant Director | 0.00% | 0.00% | 0.53% |
| 002172 | Professionals | Registered Nurse Admin-Supv | 1.85% | 0.00% | 0.00% |
| 001423 | Professionals | Human Resources Specialist 2 | 1.85% | 0.26% | 0.53% |
| 002264 | Professionals | Management Analyst Supv 1 | 0.26% | 0.00% | 0.00% |
| 000004 | Professionals | Accounting Officer | 0.79% | 0.26% | 0.00% |
| 000996 | Professionals | Training & Development Spec 3 | 0.26% | 0.00% | 0.00% |
| 000499 | Professionals | Human Resources Supervisor 4 | 0.26% | 0.00% | 0.00% |
| 002085 | Professionals | Recreation Therapist Senior | 0.53% | 0.00% | 0.00% |
| 002084 | Professionals | Recreation Therapist | 3.17% | 0.00% | 0.26% |
| 002138 | Professionals | Psychological Services Dir | 0.26% | 0.00% | 0.00% |
| 003864 | Professionals | Chaplain | 0.26% | 0.00% | 0.00% |
| 003610 | Professionals | Registered Nurse Advanced Prac | 0.79% | 0.26% | 0.00% |
| 001878 | Professionals | Registered Nurse | 20.37% | 5.82% | 1.59% |
| 000755 | Professionals | Volunteer Services Coordinator | 0.53% | 0.00% | 0.00% |
| 000234 | Professionals | Dietitian 1 | 1.06% | 0.00% | 0.00% |
| 002957 | Professionals | Legal Analyst | 0.00% | 0.00% | 0.26% |
| 002003 | Professionals | Speech Pathology Clinician | 0.26% | 0.00% | 0.00% |
| 002843 | Professionals | Skills Development Specialist | 1.32% | 0.26% | 0.26% |
| 000235 | Professionals | Dietitian 2 | 0.26% | 0.00% | 0.26% |
| 000856 | Professionals | Rehabilitation Therapist Supv | 0.00% | 0.00% | 0.00% |

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted IwD % |
|----------|---------------|------------------------------|-------------------|---------------------|----------------|
| 002083 | Professionals | Occup Therapist Senior | 1.06% | 0.26% | 0.26% |
| 001881 | Professionals | Registered Nurse Principal | 0.26% | 0.00% | 0.00% |
| 000070 | Professionals | Group Supervisor Asst | 0.26% | 0.00% | 0.00% |
| 000658 | Professionals | Rehabilitation Couns Sr | 0.26% | 0.00% | 0.00% |
| 001684 | Professionals | Physical Therapist | 1.32% | 0.00% | 0.00% |
| 003096 | Professionals | Reimbursement Specialist | 1.85% | 0.26% | 0.79% |
| 001495 | Professionals | Behavior Analyst 3 | 0.26% | 0.00% | 0.00% |
| 000367 | Professionals | Pharmacist | 0.53% | 0.00% | 0.26% |
| 000676 | Professionals | Social Svcs Supervisor | 0.53% | 0.26% | 0.00% |
| 003532 | Professionals | Medical Specialist 3 | 0.26% | 0.00% | 0.26% |
| 001883 | Professionals | Pharmacist Senior | 0.00% | 0.00% | 0.00% |
| 002393 | Professionals | Nurse Specialist | 0.26% | 0.26% | 0.00% |
| 002081 | Professionals | Music Therapist | 0.26% | 0.00% | 0.00% |
| 001067 | Professionals | Auditor Principal | 0.26% | 0.00% | 0.26% |
| 002143 | Professionals | Accounting Supervisor Senior | 0.53% | 0.00% | 0.26% |
| 003835 | Professionals | Veterans Claims Rep Senior | 0.26% | 0.79% | 1.85% |
| 001084 | Professionals | Veterans Claims Rep | 0.79% | 1.06% | 1.85% |
| 000747 | Professionals | Veterans Asst Supervisor | 0.00% | 0.53% | 0.53% |
| 002194 | Professionals | Recreation Therapist Coord | 0.79% | 0.00% | 0.00% |
| 000259 | Professionals | Educ Specialist 2 | 0.00% | 0.00% | 0.00% |
| 000603 | Professionals | Rehabilitation Therapies Dir | 0.26% | 0.00% | 0.00% |

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted lwd % |
|----------|---------------|------------------------------|-------------------|---------------------|----------------|
| 000662 | Professionals | Social Worker Senior | 3.70% | 0.00% | 0.53% |
| 003393 | Professionals | Quality Services Supv | 0.26% | 0.00% | 0.00% |
| 000561 | Professionals | Psychologist 2 | 0.53% | 0.00% | 0.00% |
| 003905 | Professionals | Veterans Programs Specialist | 0.00% | 0.26% | 0.26% |
| 002189 | Professionals | Dietitian 1 Supervisor | 0.26% | 0.00% | 0.00% |
| 002359 | Professionals | Recreation Therapy Prog Supv | 0.26% | 0.00% | 0.00% |
| Total | | | 70.37% | 15.87% | 17.99% |

Technicians (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted lwd % |
|----------|--------------|----------------------------|-------------------|---------------------|----------------|
| 000774 | Technicians | Accounting Technician | 0.00% | 0.00% | 0.00% |
| 001659 | Technicians | Licensed Practical Nurse | 77.32% | 30.93% | 8.25% |
| 000227 | Technicians | Dental Hygienist | 1.03% | 0.00% | 0.00% |
| 001475 | Technicians | Medical Records Tech 2 | 1.03% | 0.00% | 0.00% |
| 000875 | Technicians | Medical Records Tech 1 | 3.09% | 0.00% | 0.00% |
| 002616 | Technicians | Veterans Home Program Asst | 3.09% | 0.00% | 0.00% |
| 003489 | Technicians | Dietary Technician | 1.03% | 0.00% | 0.00% |
| Total | | | 86.60% | 30.93% | 8.25% |

Paraprofessionals (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted lwd % |
|----------|-------------------|--------------------------------|-------------------|---------------------|----------------|
| 001486 | Paraprofessionals | Human Resources Technician 2 | 0.82% | 0.00% | 0.55% |
| 000881 | Paraprofessionals | Human Resources Technician 1 | 1.09% | 0.00% | 0.27% |
| 001564 | Paraprofessionals | Human Svcs Technician | 69.40% | 39.07% | 5.74% |
| 001020 | Paraprofessionals | Pharmacy Technician | 1.64% | 0.82% | 0.82% |
| 002565 | Paraprofessionals | Recreation Program Asst | 1.09% | 0.27% | 0.00% |
| 002560 | Paraprofessionals | Certified Occup Therapy Asst 1 | 0.27% | 0.00% | 0.00% |
| 002563 | Paraprofessionals | Physical Therapy Asst | 0.27% | 0.00% | 0.27% |
| 003614 | Paraprofessionals | Dining Services Supervisor | 0.27% | 0.55% | 0.27% |
| 002562 | Paraprofessionals | Physical Therapy Aide | 1.09% | 0.27% | 0.00% |
| 001027 | Paraprofessionals | Chemical Depend Couns Sr | 0.00% | 0.00% | 0.00% |
| Total | | | 75.96% | 40.98% | 7.92% |

Office Clerical (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted lwd % |
|----------|------------------------|--------------------------------|-------------------|---------------------|----------------|
| 000293 | Administrative Support | Exec 2 | 2.60% | 0.00% | 0.00% |
| 000632 | Administrative Support | Account Clerk Senior | 2.60% | 0.00% | 0.00% |
| 002118 | Administrative Support | Office Services Supervisor 2 | 1.30% | 0.00% | 0.00% |
| 003626 | Administrative Support | Office Specialist | 7.79% | 0.00% | 2.60% |
| 003627 | Administrative Support | Office & Admin Specialist | 1.30% | 0.00% | 0.00% |
| 003628 | Administrative Support | Office & Admin Specialist Int | 42.86% | 10.39% | 6.49% |
| 003629 | Administrative Support | Office & Admin Specialist Sr | 10.39% | 0.00% | 0.00% |
| 003630 | Administrative Support | Office & Admin Specialist Prin | 2.60% | 0.00% | 0.00% |
| 003632 | Administrative Support | Central Svcs Admin Spec Inter | 1.30% | 1.30% | 0.00% |
| 003633 | Administrative Support | Central Svcs Admin Spec Senior | 1.30% | 0.00% | 1.30% |
| 003635 | Administrative Support | Customer Svcs Specialist | 2.60% | 0.00% | 0.00% |
| 003631 | Administrative Support | Central Svcs Admin Spec | 1.30% | 1.30% | 0.00% |
| 000001 | Administrative Support | Account Clerk | 3.90% | 2.60% | 0.00% |
| 000148 | Administrative Support | Cashier | 2.60% | 1.30% | 1.30% |
| Total | | | 84.42% | 16.88% | 11.69% |

Skilled Craft (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted lwd % |
|----------|---------------|---------------------|-------------------|---------------------|----------------|
| 000525 | Skilled Craft | Plant Mntc Engineer | 0.00% | 0.00% | 0.00% |

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted lwd % |
|----------|---------------|------------------------------|-------------------|---------------------|----------------|
| 000700 | Skilled Craft | Stationary Engineer | 0.00% | 0.00% | 0.00% |
| 002108 | Skilled Craft | Electrician Lead | 0.00% | 0.00% | 0.00% |
| 000135 | Skilled Craft | Building Utilities Mechanic | 0.00% | 0.00% | 4.17% |
| 003452 | Skilled Craft | Electrician Master Record | 0.00% | 0.00% | 0.00% |
| 000490 | Skilled Craft | Painter | 0.00% | 0.00% | 4.17% |
| 000131 | Skilled Craft | Building Maintenance Foreman | 4.17% | 0.00% | 0.00% |
| 000266 | Skilled Craft | Electrician | 0.00% | 0.00% | 0.00% |
| 003453 | Skilled Craft | Plumber Master In Charge | 0.00% | 0.00% | 0.00% |
| 001075 | Skilled Craft | Pwr Plt Chief Engineer | 0.00% | 0.00% | 0.00% |
| Total | | | 4.17% | 0.00% | 8.33% |

Service Maintenance (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted lwd % |
|----------|---------------------|----------------------------|-------------------|---------------------|----------------|
| | | | | | |
| 001357 | Service Maintenance | General Repair Worker | 0.00% | 0.36% | 0.36% |
| 001725 | Service Maintenance | General Maintenance Wrkr | 15.36% | 7.86% | 3.57% |
| 000415 | Service Maintenance | Laborer Trades & Equipment | 0.00% | 0.36% | 0.36% |
| 000224 | Service Maintenance | Delivery Van Driver | 0.36% | 0.36% | 0.71% |
| 000198 | Service Maintenance | Cook Coordinator | 0.00% | 0.00% | 0.00% |

| Job Code | EEO Category | Job Title | Weighted Female % | Weighted Minority % | Weighted lwd % |
|----------|---------------------|--------------------------------|-------------------|---------------------|----------------|
| 001728 | Service Maintenance | General Maintenance Wrkr Lead | 0.36% | 1.07% | 0.36% |
| 000329 | Service Maintenance | Groundskeeper Senior | 0.00% | 0.00% | 0.00% |
| 000197 | Service Maintenance | Cook | 5.00% | 1.43% | 1.07% |
| 000305 | Service Maintenance | Food Service Worker | 28.21% | 11.79% | 1.79% |
| 000860 | Service Maintenance | Building Svcs Supervisor | 1.07% | 0.36% | 0.71% |
| 000157 | Service Maintenance | Chief Cook | 0.00% | 0.00% | 0.36% |
| 003623 | Service Maintenance | Facility Grds/Transp Svcs Supv | 0.00% | 0.00% | 0.00% |
| 000328 | Service Maintenance | Groundskeeper | 0.00% | 0.00% | 0.00% |
| 001035 | Service Maintenance | Grounds & Roads Mntc Supv | 0.00% | 0.00% | 0.00% |
| 003877 | Service Maintenance | Resident Assistant | 0.71% | 0.71% | 0.36% |
| 000421 | Service Maintenance | Laundry Worker | 2.14% | 0.00% | 0.00% |
| 002436 | Service Maintenance | Cook Supervisor | 0.36% | 0.00% | 0.00% |
| 000295 | Service Maintenance | Exec Housekeeper | 0.36% | 0.00% | 0.00% |
| 008597 | Service Maintenance | Student Worker Custodial/Maint | 0.71% | 0.00% | 0.00% |
| 000134 | Service Maintenance | Building Svcs Foreman | 0.00% | 0.00% | 0.00% |
| 001326 | Service Maintenance | Buildings & Grounds Worker | 0.00% | 0.00% | 0.00% |
| 000103 | Service Maintenance | Automobile Driver | 0.00% | 0.00% | 0.36% |
| 001599 | Service Maintenance | Groundskeeper Inter | 0.36% | 0.00% | 0.36% |
| 002963 | Service Maintenance | Supported Employment Worker | 0.36% | 0.00% | 0.36% |
| Total | | | 55.36% | 24.29% | 10.71% |

Appendix E: Determining Availability

Officials/Administrators (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Factor | Weight Ratio | Raw Statistics Female | Raw Statistics Minority | Raw Statistics lwd | Weighted Statistics Female | Weighted Statistics Minority | Weighted Statistics lwd | Source of Statistics | Reasons for External and Internal Weight Ratio |
|--|--------------|-----------------------|-------------------------|--------------------|----------------------------|------------------------------|-------------------------|--|---|
| 1: External - Percentage of females, racial/ethnic minorities, or individuals with disabilities with requisite skills in the reasonable recruitment area. | 30.00% | 49.55% | 13.34% | 3.93% | 14.86% | 4.00% | 1.18% | State MN | Based on percentages from data of previous two years hiring/movement/promotion for positions within EEO job category. |
| 2: Internal - Percentage of females, racial/ethnic minorities, or individuals with disabilities among those promotable, transferable, and trainable with your agency. | 70.00% | 44.12% | 8.82% | 29.41% | 30.88% | 6.18% | 20.59% | Employee workforce for the job classifications that constitute feeders to this job category. | |
| The value of weight must equal to 100.00% → | 100.00% | Final Avail % | | | 45.75% | 10.18% | 21.77% | | |

Professionals (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Factor | Weight Ratio | Raw Statistics Female | Raw Statistics Minority | Raw Statistics lwd | Weighted Statistics Female | Weighted Statistics Minority | Weighted Statistics lwd | Source of Statistics | Reasons for External and Internal Weight Ratio |
|--|--------------|-----------------------|-------------------------|--------------------|----------------------------|------------------------------|-------------------------|--|---|
| 1: External - Percentage of females, racial/ethnic minorities, or individuals with disabilities with requisite skills in the reasonable recruitment area. | 50.00% | 74.82% | 14.88% | 3.83% | 37.41% | 7.44% | 1.92% | State MN | Based on percentages from data of previous two years hiring/movement/promotion for positions within EEO job category. |
| 2: Internal - Percentage of females, racial/ethnic minorities, or individuals with disabilities among those promotable, transferable, and trainable with your agency. | 50.00% | 70.37% | 15.87% | 17.99% | 35.19% | 7.94% | 8.99% | Employee workforce for the job classifications that constitute feeders to this job category. | |
| The value of weight must equal to 100.00% → | 100.00% | Final Avail % | | | 72.59% | 15.38% | 10.91% | | |

Technicians (note: Minority = Racial/Ethnic minority; IwD = Individuals with Disabilities)

| Factor | Weight Ratio | Raw Statistics Female | Raw Statistics Minority | Raw Statistics IwD | Weighted Statistics Female | Weighted Statistics Minority | Weighted Statistics IwD | Source of Statistics | Reasons for External and Internal Weight Ratio |
|--|---------------------|------------------------------|--------------------------------|---------------------------|-----------------------------------|-------------------------------------|--------------------------------|--|---|
| 1: External - Percentage of females, racial/ethnic minorities, or individuals with disabilities with requisite skills in the reasonable recruitment area. | 50.00% | 88.02% | 19.47% | 5.05% | 44.01% | 9.73% | 0.00% | State MN | Based on percentages from data of previous two years hiring/movement/promotion for positions within EEO job category. |
| 2: Internal - Percentage of females, racial/ethnic minorities, or individuals with disabilities among those promotable, transferable, and trainable with your agency. | 50.00% | 86.60% | 30.93% | 8.25% | 43.30% | 15.46% | 4.12% | Employee workforce for the job groups that constitute feeders to this job group. | |
| The value of weight must equal to 100.00% → | 100.00% | Final Avail % | | | 87.31% | 25.20% | 4.12% | | |

Paraprofessionals (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Factor | Weight Ratio | Raw Statistics Female | Raw Statistics Minority | Raw Statistics lwd | Weighted Statistics Female | Weighted Statistics Minority | Weighted Statistics lwd | Source of Statistics | Reasons for External and Internal Weight Ratio |
|--|---------------------|------------------------------|--------------------------------|---------------------------|-----------------------------------|-------------------------------------|--------------------------------|--|---|
| 1: External - Percentage of females, racial/ethnic minorities, or individuals with disabilities with requisite skills in the reasonable recruitment area. | 50.00% | 82.94% | 31.17% | 6.22% | 41.47% | 15.58% | 3.11% | State MN | Based on percentages from data of previous two years hiring/movement/promotion for positions within EEO job category. |
| 2: Internal - Percentage of females, racial/ethnic minorities, or individuals with disabilities among those promotable, transferable, and trainable with your agency. | 50.00% | 75.96% | 40.98% | 7.92% | 37.98% | 20.49% | 3.96% | Employee workforce for the job classifications that constitute feeders to this job category. | |
| The value of weight must equal to 100.00% → | 100.00% | Final Avail % | | | 79.45% | 36.08% | 7.07% | | |

Office Clerical (note: Minority = Racial/Ethnic minority; IwD = Individuals with Disabilities)

| Factor | Weight Ratio | Raw Statistics Female | Raw Statistics Minority | Raw Statistics IwD | Weighted Statistics Female | Weighted Statistics Minority | Weighted Statistics IwD | Source of Statistics | Reasons for External and Internal Weight Ratio |
|--|---------------------|------------------------------|--------------------------------|---------------------------|-----------------------------------|-------------------------------------|--------------------------------|--|---|
| 1: External - Percentage of females, racial/ethnic minorities, or individuals with disabilities with requisite skills in the reasonable recruitment area. | 70.00% | 71.56% | 16.92% | 4.40% | 50.09% | 11.84% | 3.08% | State MN | Based on percentages from data of previous two years hiring/movement/promotion for positions within EEO job category. |
| 2: Internal - Percentage of females, racial/ethnic minorities, or individuals with disabilities among those promotable, transferable, and trainable with your agency. | 30.00% | 84.42% | 16.88% | 11.69% | 25.32% | 5.06% | 3.51% | Employee workforce for the job classifications that constitute feeders to this job category. | |
| The value of weight must equal to 100.00% → | 100.00% | Final Avail % | | | 75.42% | 16.91% | 6.58% | | |

Skilled Craft (note: Minority = Racial/Ethnic minority; IwD = Individuals with Disabilities)

| Factor | Weight Ratio | Raw Statistics Female | Raw Statistics Minority | Raw Statistics IwD | Weighted Statistics Female | Weighted Statistics Minority | Weighted Statistics IwD | Source of Statistics | Reasons for External and Internal Weight Ratio |
|--|---------------------|------------------------------|--------------------------------|---------------------------|-----------------------------------|-------------------------------------|--------------------------------|--|---|
| 1: External - Percentage of females, racial/ethnic minorities, or individuals with disabilities with requisite skills in the reasonable recruitment area. | 70.00% | 4.34% | 14.01% | 6.06% | 3.04% | 9.81% | 4.24% | State MN | Based on percentages from data of previous two years hiring/movement/promotion for positions within EEO job category. |
| 2: Internal - Percentage of females, racial/ethnic minorities, or individuals with disabilities among those promotable, transferable, and trainable with your agency. | 30.00% | 4.17% | 0.00% | 8.33% | 1.25% | 0.00% | 2.50% | Employee workforce for the job classifications that constitute feeders to this job category. | |
| The value of weight must equal to 100.00% → | 100.00% | Final Avail % | | | 4.29% | 9.81% | 6.74% | | |

Service Maintenance (note: Minority = Racial/Ethnic minority; lwd = Individuals with Disabilities)

| Factor | Weight Ratio | Raw Statistics Female | Raw Statistics Minority | Raw Statistics lwd | Weighted Statistics Female | Weighted Statistics Minority | Weighted Statistics lwd | Source of Statistics | Reasons for External and Internal Weight Ratio |
|--|---------------------|------------------------------|--------------------------------|---------------------------|-----------------------------------|-------------------------------------|--------------------------------|--|---|
| 1: External - Percentage of females, racial/ethnic minorities, or individuals with disabilities with requisite skills in the reasonable recruitment area. | 50.00% | 37.24% | 27.41% | 8.96% | 18.62% | 13.70% | 4.48% | State MN | Based on percentages from data of previous two years hiring/movement/promotion for positions within EEO job category. |
| 2: Internal - Percentage of females, racial/ethnic minorities, or individuals with disabilities among those promotable, transferable, and trainable with your agency. | 50.00% | 55.36% | 24.29% | 10.71% | 27.68% | 12.14% | 5.36% | Employee workforce for the job classifications that constitute feeders to this job category. | |
| The value of weight must equal to 100.00% → | 100.00% | Final Avail % | | | 46.30% | 25.85% | 9.84% | | |

Appendix F: Utilization-Goal Analysis

Female

| Job Categories | Total Number of Employee in Job Category | Total Number of Female Employee in the Job Category | % of Female Employee in the Job Category | Female Availability % | Female Establish Goals? | If Yes, Goals for FY 2022-2024 |
|--------------------------|--|---|--|-----------------------|-------------------------|--------------------------------|
| Officials/Administrators | 34 | 15 | 44.12% | 45.75% | Monitor | |
| Professionals | 378 | 266 | 70.37% | 72.59% | Yes | 72.59% |
| Technicians | 104 | 90 | 86.54% | 87.31% | Monitor | |
| Paraprofessionals | 366 | 278 | 75.96% | 79.45% | Yes | 79.45% |
| Office Clerical | 77 | 65 | 84.42% | 75.42% | | |
| Skilled Craft | 24 | <10 | **.**%* | 4.29% | Monitor | |
| Service Maintenance | 280 | 155 | 55.36% | 46.30% | | |
| Totals | 1263 | 870 | 68.88% | | | |

Racial/Ethnic Minorities

| Job Categories | Total Number of Employee in Job Category | Total Number of Minority Employee in the Job Category | % of Minority Employee in the Job Category | Minority Availability % | Minority Establish Goals? | If Yes, Goals for FY 2022-2024 |
|--------------------------|--|---|--|-------------------------|---------------------------|--------------------------------|
| Officials/Administrators | 34 | <10 | **.**%* | 10.18% | Monitor | |
| Professionals | 378 | 60 | 15.87% | 15.38% | | |
| Technicians | 104 | 31 | 29.81% | 25.20% | | |
| Paraprofessionals | 366 | 150 | 40.98% | 36.08% | | |
| Office Clerical | 77 | 13 | 16.88% | 16.91% | Monitor | |
| Skilled Craft | 24 | <10 | **.**%* | 9.81% | Yes | 9.81% |
| Service Maintenance | 280 | 68 | 24.29% | 25.85% | Yes | 25.85% |
| Totals | 1263 | 325 | 25.73% | | | |

Individuals with Disabilities (note: IwD = Individuals with disabilities)

| Job Categories | Total Number of Employee in Job Category | Total Number of IwD Employee in the Job Category | % of IwD Employee in the Job Category | IwD Availability % | IwD Establish Goals? | If Yes, Goals for FY 2022-2024 |
|--------------------------|--|--|---------------------------------------|--------------------|----------------------|--------------------------------|
| Officials/Administrators | 34 | 10 | 29.41% | 21.77% | | |
| Professionals | 378 | 68 | 17.99% | 10.91% | | |
| Technicians | 104 | <10 | **.**%* | 4.12% | | |
| Paraprofessionals | 366 | 29 | 7.92% | 7.07% | | |
| Office Clerical | 77 | <10 | **.**%* | 6.58% | | |
| Skilled Craft | 24 | <10 | **.**%* | 6.74% | Monitor | |
| Service Maintenance | 280 | 30 | 10.71% | 9.84% | | |
| Totals | 1263 | 157 | 12.43% | | | |

Definitions of Terms Used in This Affirmative Action Plan

Applicant: "Applicant" means a person who has satisfied the minimum requirements for application established by the commissioner of management and budget ([M.S. 43A.02, subd. 4](#)).

Availability: an estimated percentage of qualified females, racial/minorities, or individuals with disabilities in the relevant labor market who are available for positions in a given job category at a state agency. The final availability is determined by considering two factors: the statistics from the outside labor market and the internal state agency workforce for the Affirmative Action Plan year.

Connect 700 (C700) Program: an alternative, non-competitive selection process for individuals whose disabilities prevent them from demonstrating their skills in a standard competitive selection process. If selected, this program allows eligible individuals to demonstrate their skills in an on-the-job trial work experience of up to 700 hours. See [Minnesota Statutes, section 43A.15, subdivision 14](#).

Feeder job: staffed positions within the agency that can be promoted and/or transferred into other EEO job categories.

Hiring goal: a numerical objective designed to correct an identified deficiency in the utilization of protected group members. For example, the professional job category has identified underutilization and the availability is 30%, the goal (or hiring goal) for females in the job category is for 30% of the new hires/rehires and promotions for that Affirmative Action Plan year would be females. Goals/hiring goals should never be implemented as quotas, nor should they be used as criteria in decision-making regarding qualifications.

Job category: a group of jobs that are linked by a common purpose and skill set (or sometimes certificates/educational degrees) and are grounded on the job categories identified by the U.S. Equal Employment Opportunity Commission (EEOC).

Labor market area/Reasonable recruitment area: a geographic area in which an agency is seeking a worker in a particular goal unit and where there is an available supply of workers employed or seeking jobs in that goal unit.

Promotion: the appointment of an employee to a position in a class assigned to a salary range which is two or more steps higher at the maximum than the employee's current job class or which requires an increase of two or more steps to pay the employee at the minimum of the new range.

Protected groups: females, persons with disabilities, and members of the following minorities: Black, Hispanic, Asian or Pacific Islander, and American Indian or Alaskan Native ([M.S. 43A.02, subd. 33](#)).

Snapshot: one particular point in time. A snapshot of a workforce is taken at one particular point in time as the basis for Affirmative Action Plan analyses because the workforce numbers are always fluctuating.

Supported Work Program: The state legislature established the program in 1987 to expand employment opportunities for people with significant disabilities. but has been expanded to include individuals who experience other significant disabilities, including, but not limited to, head injury, mental illness, and deaf blindness. Under the program, a supported worker must require ongoing support and may share a single position with up to two other supported work employees.

Underutilization: the representation of females , racial/ethnic minorities, or individuals with disabilities in a specific job category is less than reasonably would be expected given from workforce participation in the labor market area.