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<https://mn.gov/doc/>

AT A GLANCE

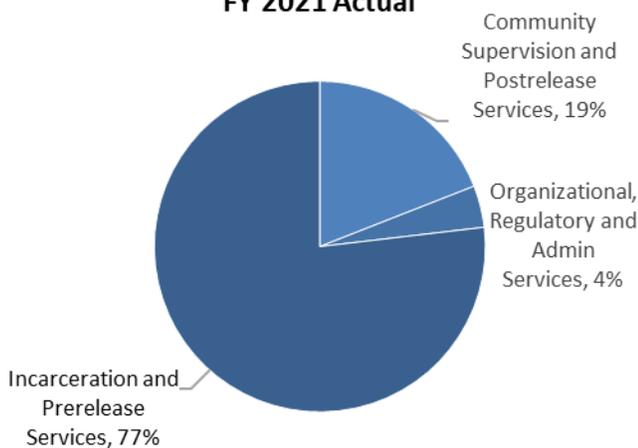
- More than 4,100 employees
- 10 prisons statewide
- Approximately 8,000 incarcerated adults and juveniles in state correctional facilities
- Approximately 95,000 persons under community supervision in Minnesota including more than 19,000 supervised by the DOC and approximately 76,000 supervised by community partners
- 76% of people released from prison do not return for a new conviction
- 95% of incarcerated persons will return to their communities upon release from prison

PURPOSE

The mission of Minnesota Department of Corrections (DOC) is to transform lives for a safer Minnesota. By successfully addressing the needs of the people served, the DOC provides the opportunity for redemption, and productive community reintegration. It is the goal of these services to advance public safety. To achieve the agency’s mission, strategies are prioritized to hold people accountable for the offenses they commit while providing them with the tools to become successful and contributing members of their communities. The DOC is committed to achieving justice through promotion of racial equity, reducing and repairing the harmful impacts of crime, and building community connectedness. The DOC serves adults and youth in the state’s correctional facilities or in the community through supervision. No matter the custody status, transformative opportunities and the path to community reintegration are prioritized by the DOC starting the first day a person begins their sentence and throughout successful completion of their sentence. As the third largest state agency, the DOC accomplishes its mission through the committed efforts of more than 4,100 employees.

BUDGET

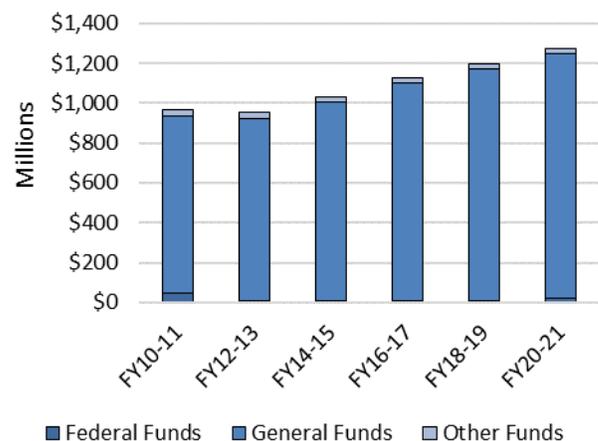
**Spending by Program
FY 2021 Actual**



Approximately 55% of spending in Community Supervision and Postrelease Services is grant/subsidy pass-through funds to county corrections agencies to provide supervision services in the community.

Source: Budget Planning & Analysis System (BPAS)

Historical Spending



Federal funds for FY10-11 include \$38 million of one-time stabilization funds legislatively appropriated to supplant the general fund budget.

Source: Consolidated Fund Statement

More than 96% of the DOC's total biennial budget comes from the general fund. Special revenue and miscellaneous agency funds represent nearly 3% of the budget and federal funds represent less than 1%.

STRATEGIES

Strategies to Achieve Mission

The DOC works to support and achieve established priorities and goals as it executes its mission of transforming lives for a safer Minnesota. The work of transforming lives is all about building connections, helping people become good neighbors when they return to their communities, and making Minnesota a great place for families and children.

The DOC implements several specific strategies including:

- Increasing the use of evidence-based practices in the rehabilitation of those who are in the department's care, including programming, treatment, education, and processes surrounding incarceration.
- Increasing the number of people released from prison who, within 30 days, obtain meaningful employment, enroll in educational programming, or actively engage in community-based treatment by 90 percent.
- Reducing the number of technical readmissions to prison by 70 percent overall, including for American Indians and Minnesotans of color, through effective use of community supervision and support services.
- Increasing the number of people in prison enrolled in full-time classroom college and enrolling people in new pathways from DOC technical training to Minnesota State sponsored associate and undergraduate degrees.
- Transforming data collection and reporting process into a strategic and performance-based model, resulting in dynamic, real-time dashboards (internal and public facing) displaying accurate and predictive key performance indicators.
- Using the Department of Administration's Equity Select program.
- Training staff and encouraging the use of Targeted Group, Economically Disadvantaged, and Veteran Owned (TG/ED/VO) vendors whenever possible.

The DOC is focused on two priorities to achieve the mission of transforming lives: (1) Improving internal organizational culture and (2) Providing consistent delivery of exceptional public services. Several strategies support these priorities.

Improved internal organizational culture:

- Aligning the organization's structure to reflect and support the mission.
- Identifying leaders within the organization and providing support needed to help them become invested in this mission and lead the organization into the future.
- Protecting staff, those who are incarcerated, and clients under supervision from harm and injury.
- Increasing retention rates of employees by improving the onboarding process, developing supervisors' capacity for encouraging professional development, and increasing pride in working for the DOC.
- Hiring people to fill critical vacancies especially among corrections officers.
- Advancing equity and inclusion across the agency by retaining at least 75 percent of newly hired employees for at least two years and achieve this retention rate for American Indians, people of color, people who have a disability, and Veterans.
- Transforming the culture of local workplaces into creating more successful, positive, and healthier experiences for others by practicing servant leadership, using performance management, and engaging employees in decision-making.

- Empowering leaders, engaging front line staff, and fostering collaboration.

Consistent delivery of exceptional public services:

- Increasing engagement of the public, particularly those impacted by our programs, in creation and revision of our programs, policies, and decisions.
 - Significantly increasing the use of evidence-based practices in the rehabilitation of those who are incarcerated, on community supervision or probation; including programming, treatment, education, and processes surrounding incarceration.
 - Increasing the number of people released from prison who, within 30 days, obtain meaningful employment, enroll in educational programming, or actively engage in community-based treatment by 90 percent.
 - Reducing the number of technical readmissions to prison by 70 percent overall, including for American Indians and Minnesotans of color, through effective use of community supervision and support services.
 - Increasing the number of people in prison enrolled in full-time classroom college by 400 percent and enrolling people in new pathways from DOC technical training to Minnesota State sponsored associate and undergraduate degrees.
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M.S. 241.01 (<https://www.revisor.mn.gov/statutes/cite/241>) provides the legal authority for the DOC.

Corrections

Agency Expenditure Overview

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
<u>Expenditures by Fund</u>						
1000 - General	595,373	628,748	621,268	646,652	637,435	637,883
1251 - COVID-19 Minnesota	2,235					
2000 - Restrict Misc Special Revenue	6,625	3,909	6,179	7,537	8,058	8,182
2001 - Other Misc Special Revenue	8,723	6,164	11,327	15,928	15,133	13,290
2403 - Gift	9	4	7	19	11	11
3000 - Federal	2,469	1,645	12,077	9,417	5,405	3,718
3010 - Coronavirus Relief	4,783	12,042				
3015 - ARP-State Fiscal Recovery			1,099	4,901		
4400 - Correctional Industries	47,222	42,892	47,441	45,045	45,224	47,524
6000 - Miscellaneous Agency	3,068	3,317	3,231			
6001 - Social Welfare Agency	30,194	34,766	36,749	33,075	33,175	33,175
Total	700,700	733,486	739,379	762,574	744,441	743,783
Biennial Change				67,768		(13,729)
Biennial % Change				5		(1)

Expenditures by Program

Incarceration and Prerelease Services	530,579	563,722	563,491	575,547	563,853	565,030
Community Supervision and Postrelease Services	138,058	139,804	140,899	147,468	143,491	143,576
Organizational, Regulatory and Admin Services	32,063	29,959	34,990	39,559	37,097	35,177
Total	700,700	733,486	739,379	762,574	744,441	743,783

Expenditures by Category

Compensation	424,469	432,492	438,600	462,928	453,841	455,488
Operating Expenses	157,871	174,266	174,438	177,042	169,341	166,986
Grants, Aids and Subsidies	115,870	119,675	122,872	120,029	118,863	118,913
Capital Outlay-Real Property	1,007	2,055	1,182	810	763	763
Other Financial Transaction	1,483	4,998	2,287	1,765	1,633	1,633
Total	700,700	733,486	739,379	762,574	744,441	743,783

Total Agency Expenditures	700,700	733,486	739,379	762,574	744,441	743,783
Internal Billing Expenditures	1,653	1,799	4,463	2,815	2,641	2,479

Corrections

Agency Expenditure Overview

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
Expenditures Less Internal Billing	699,047	731,686	734,916	759,759	741,800	741,304
<u>Full-Time Equivalents</u>	4,371.50	4,249.83	4,142.14	4,510.07	4,182.94	4,085.94

Corrections

Agency Financing by Fund

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
1000 - General						
Balance Forward In		18,438	183	8,403		
Direct Appropriation	611,127	632,287	631,153	639,521	638,707	639,155
Transfers In	15,673	63,285	31,958	9,371	4,395	4,395
Transfers Out	16,621	78,113	33,212	10,643	5,667	5,667
Cancellations	28	6,966	409			
Balance Forward Out	14,777	183	8,405			
Expenditures	595,373	628,748	621,268	646,652	637,435	637,883
Biennial Change in Expenditures				43,800		7,398
Biennial % Change in Expenditures				4		1
Full-Time Equivalents	4,064.69	4,004.70	3,930.66	4,284.31	3,960.95	3,863.95

1251 - COVID-19 Minnesota

Direct Appropriation	2,255					
Cancellations	20					
Expenditures	2,235					
Biennial Change in Expenditures				(2,235)		0
Biennial % Change in Expenditures						
Full-Time Equivalents	0.66	1.46				

2000 - Restrict Misc Special Revenue

Balance Forward In	5,564	6,129	7,678	7,596	7,624	7,005
Receipts	7,317	5,982	6,460	7,759	7,769	7,769
Transfers In			81			
Transfers Out	369	360	441	330	330	330
Net Loan Activity	242	(306)	0	136		
Balance Forward Out	6,129	7,535	7,598	7,624	7,005	6,262
Expenditures	6,625	3,909	6,179	7,537	8,058	8,182
Biennial Change in Expenditures				3,183		2,524
Biennial % Change in Expenditures				30		18
Full-Time Equivalents	55.96	49.78	39.39	45.49	45.49	45.49

2001 - Other Misc Special Revenue

Balance Forward In	10,937	9,323	10,458	9,636	11,486	9,387
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Corrections

Agency Financing by Fund

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
Receipts	7,109	7,291	10,506	13,049	13,034	13,034
Internal Billing Receipts	1,651	1,807	4,457	4,127	4,127	4,127
Transfers In				4,729		
Balance Forward Out	9,322	10,451	9,637	11,486	9,387	9,131
Expenditures	8,723	6,164	11,327	15,928	15,133	13,290
Biennial Change in Expenditures				12,369		1,168
Biennial % Change in Expenditures				83		4
Full-Time Equivalents	62.10	48.94	46.12	46.12	45.12	45.12

2403 - Gift

Balance Forward In	8	7	11	8		
Receipts	8	7	5	11	11	11
Balance Forward Out	7	10	8			
Expenditures	9	4	7	19	11	11
Biennial Change in Expenditures				13		(4)
Biennial % Change in Expenditures				103		(16)

3000 - Federal

Balance Forward In	6,256	6,537	6,526	4,881	1,655	60
Receipts	2,749	1,635	10,432	6,191	3,810	3,712
Balance Forward Out	6,536	6,526	4,880	1,655	60	54
Expenditures	2,469	1,645	12,077	9,417	5,405	3,718
Biennial Change in Expenditures				17,380		(12,371)
Biennial % Change in Expenditures				422		(58)
Full-Time Equivalents	21.57	14.72	15.92	15.42	12.65	12.65

3010 - Coronavirus Relief

Balance Forward In		4,323				
Direct Appropriation	9,090	7,908				
Cancellations		189				
Balance Forward Out	4,307					
Expenditures	4,783	12,042				
Biennial Change in Expenditures				(16,825)		0

Corrections

Agency Financing by Fund

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
Biennial % Change in Expenditures				(100)		
Full-Time Equivalents		13.61				

3015 - ARP-State Fiscal Recovery

Balance Forward In				1		
Direct Appropriation			1,100	4,900	0	0
Balance Forward Out			1			
Expenditures			1,099	4,901		
Biennial Change in Expenditures				6,000		(6,000)
Biennial % Change in Expenditures						(100)
Full-Time Equivalents			0.01			

4400 - Correctional Industries

Balance Forward In	13,145	10,632	12,414	12,245	13,125	14,451
Receipts	44,634	44,668	47,273	45,925	46,550	48,550
Transfers In		2,000	1,500			
Transfers Out		2,000	1,500			
Balance Forward Out	10,557	12,408	12,246	13,125	14,451	15,477
Expenditures	47,222	42,892	47,441	45,045	45,224	47,524
Biennial Change in Expenditures				2,372		262
Biennial % Change in Expenditures				3		0
Full-Time Equivalents	166.52	116.62	110.04	118.73	118.73	118.73

6000 - Miscellaneous Agency

Balance Forward In	4,318	4,665	4,772	4,729		
Receipts	3,415	3,411	3,189			
Transfers Out				4,729		
Balance Forward Out	4,665	4,759	4,729			
Expenditures	3,068	3,317	3,231			
Biennial Change in Expenditures				(3,154)		(3,231)
Biennial % Change in Expenditures				(49)		

6001 - Social Welfare Agency

Corrections

Agency Financing by Fund

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
Balance Forward In	5,443	6,535	9,617	7,967	8,317	8,567
Receipts	31,285	37,846	35,098	33,425	33,425	33,425
Transfers In			51			
Transfers Out			51			
Balance Forward Out	6,535	9,615	7,966	8,317	8,567	8,817
Expenditures	30,194	34,766	36,749	33,075	33,175	33,175
Biennial Change in Expenditures				4,865		(3,474)
Biennial % Change in Expenditures				7		(5)

Corrections

Agency Change Summary

(Dollars in Thousands)

	FY23	FY24	FY25	Biennium 2024-25
Direct				
Fund: 1000 - General				
FY2023 Appropriations	639,521	639,521	639,521	1,279,042
Base Adjustments				
All Other One-Time Appropriations		(1,321)	(1,321)	(2,642)
Current Law Base Change		507	955	1,462
Forecast Base	639,521	638,707	639,155	1,277,862
Fund: 3015 - ARP-State Fiscal Recovery				
FY2023 Appropriations	4,900	4,900	4,900	9,800
Base Adjustments				
All Other One-Time Appropriations		(4,900)	(4,900)	(9,800)
Forecast Base	4,900	0	0	0
Dedicated				
Fund: 2000 - Restrict Misc Special Revenue				
Planned Spending	7,537	8,058	8,182	16,240
Forecast Base	7,537	8,058	8,182	16,240
Fund: 2001 - Other Misc Special Revenue				
Planned Spending	15,928	15,133	13,290	28,423
Forecast Base	15,928	15,133	13,290	28,423
Fund: 2403 - Gift				
Planned Spending	19	11	11	22
Forecast Base	19	11	11	22
Fund: 3000 - Federal				
Planned Spending	9,417	5,405	3,718	9,123
Forecast Base	9,417	5,405	3,718	9,123
Fund: 4400 - Correctional Industries				
Planned Spending	45,045	45,224	47,524	92,748
Forecast Base	45,045	45,224	47,524	92,748
Fund: 6001 - Social Welfare Agency				
Planned Spending	33,075	33,175	33,175	66,350
Forecast Base	33,075	33,175	33,175	66,350

(Dollars in Thousands)

	FY23	FY24	FY25	Biennium 2024-25
Revenue Change Summary				
Dedicated				
Fund: 2000 - Restrict Misc Special Revenue				
Forecast Revenues	7,759	7,769	7,769	15,538
Fund: 2001 - Other Misc Special Revenue				
Forecast Revenues	13,049	13,034	13,034	26,068
Fund: 2403 - Gift				
Forecast Revenues	11	11	11	22
Fund: 3000 - Federal				
Forecast Revenues	6,191	3,810	3,712	7,522
Fund: 4400 - Correctional Industries				
Forecast Revenues	45,925	46,550	48,550	95,100
Fund: 6001 - Social Welfare Agency				
Forecast Revenues	33,425	33,425	33,425	66,850
Non-Dedicated				
Fund: 1000 - General				
Forecast Revenues	9,752	9,752	9,752	19,504

Program: Incarceration and Prerelease Services

<https://mn.gov/doc/>

AT A GLANCE

- 10 correctional facilities statewide
- More than 3,500 employees
- Approximately 8,000 incarcerated adults and juveniles in state correctional facilities
- 76% of released offenders do not return to prison because of a new conviction

PURPOSE AND CONTEXT

The Department of Corrections (DOC) provides transformative opportunities to advance community safety by promoting community reintegration upon release, including educational, treatment and work programming. Individual transformative strategies are prioritized and evaluated by the DOC starting on the first day a person begins their sentence. DOC's incarceration and pre-release services program plays a critical role in providing a safe and secure environment for the provision of transformational services to the men, women and youth committed to the custody and care of the commissioner of corrections. Approximately 15,000 individuals are served through the cycle of intake and release each year. The program is funded primarily by the general fund. Federal funds used to support educational activities represent approximately 1.5 percent of the program's total operating budget.

SERVICES PROVIDED

The incarceration and pre-release services program accomplishes its purpose through:

- The provision of food, clothing, secure housing and adequate living conditions;
- The provision of medical care and behavioral health services;
- The use of policy management, internal controls, incident command responses, security rounds, preventive maintenance, safety inspections and technology systems to help ensure a safe work/living environment;
- The promotion of racial equity;
- The development and use of comprehensive person-centered assessments to guide program planning and offender placement priorities;
- The provision of evidence-based practices for the rehabilitation of those in DOC custody, including treatment, mental health, medical, education and vocational programming that improves individual success;
- Use of organizational and practitioner level performance-management systems focused on successful outcomes and barriers to success;
- The use of research-based transition services and comprehensive release planning; and
- The appropriate use of disciplinary sanctions and investigations of offender criminal activity.

Specific services provided by this program include health care, treatment, education and work programming, population management, classification, transportation, food services, offender property management, workplace safety, physical plant maintenance, asset preservation, criminal investigation, intelligence gathering and fugitive apprehension. The program also manages MINNCOR as a self-sufficient prison industries operation providing incarcerated persons with needed work experience, learning opportunities and the opportunity to advance.

RESULTS

<i>Type of Measure</i>	<i>Name of Measure</i>	<i>Previous</i>	<i>Current</i>	<i>Dates</i>
Quality	Escapes from secure facilities	0	0	2016-2021
Results	3-year adult recidivism rate: Reconviction with a new felony	36%	38%	2015-2020
Results	3-year adult recidivism rate: Reconviction and reincarceration	25%	24%	2015-2020
Quality	Percentage of fugitive level 3 sex offenders apprehended within 72 hours	93%	93%	2016-2021
Quantity	Number of incarcerated individuals enrolled in educational programming	7,195	5,271	2017-2021

The DOC's most recent performance report is located at this link: [2021 Performance Report \(https://mn.gov/doc/assets/FY21%20Performance%20Report_Final2_tcm1089-520111.pdf\)](https://mn.gov/doc/assets/FY21%20Performance%20Report_Final2_tcm1089-520111.pdf)

Minnesota Statutes 241 to 244 (<https://www.revisor.mn.gov/statutes/part/CORRECTIONS>) provide the legal authority for the DOC.

Incarceration and Prerelease Services

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
<u>Expenditures by Fund</u>						
1000 - General	435,040	464,185	456,824	472,693	468,992	469,440
1251 - COVID-19 Minnesota	1,985					
2000 - Restrict Misc Special Revenue	1,797	1,519	2,208	3,380	3,448	3,512
2001 - Other Misc Special Revenue	5,636	5,573	5,870	9,741	9,646	9,723
2403 - Gift	9	4	7	19	11	11
3000 - Federal	1,953	1,337	10,821	7,447	4,111	2,399
3010 - Coronavirus Relief	4,667	10,989				
3015 - ARP-State Fiscal Recovery			1,099	4,901		
4400 - Correctional Industries	47,222	42,892	47,441	45,045	45,224	47,524
6000 - Miscellaneous Agency	3,068	3,317	3,231			
6001 - Social Welfare Agency	29,203	33,905	35,990	32,321	32,421	32,421
Total	530,579	563,722	563,491	575,547	563,853	565,030
Biennial Change				44,737		(10,155)
Biennial % Change				4		(1)

Expenditures by Activity

Corr Institutn-Special Revenue			36	150	150	150
MCF-St. Cloud	35,776	37,738	35,555	34,602	34,728	34,778
MCF-Stillwater	49,031	52,116	48,013	47,884	47,949	47,949
MCF-Lino Lakes	37,894	39,786	37,198	37,102	37,168	37,168
MCF-Oak Park Heights	26,556	28,470	26,283	27,334	27,341	27,343
MCF-Moose Lake	33,637	36,574	33,627	32,981	32,981	32,985
MCF-Faribault	52,672	56,625	54,923	52,705	52,664	52,664
MCF-Willow River-CIP	5,521	5,877	5,945	5,964	5,963	5,963
MCF-Rush City	32,893	34,834	32,413	31,757	31,768	31,768
MCF - TOGO - CIP MALE	5,198	6,412	5,423	6,055	6,056	6,056
MCF-Shakopee	20,234	21,482	19,161	19,345	19,312	19,322
MCF - SHAKOPEE - CIP	1,263	1,341	1,406	1,382	1,382	1,382
MCF-Red Wing	14,772	15,851	14,863	15,103	15,104	15,106
Education	17,878	16,553	18,185	20,527	20,402	20,279
Health Services-Corrections	93,192	96,556	97,251	117,674	115,795	115,795
Institution Support Services	45,911	59,159	73,873	64,874	54,769	53,626

Incarceration and Prerelease Services

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
Office of Special Investigations	6,675	6,430	6,698	7,479	7,479	7,479
Transportation	2,452	2,663	2,703	2,827	2,827	2,827
Safety	1,286	1,007	1,001	1,009	1,009	1,009
Ombudsperson for Corrections	101	878				
Program Planning			945	3,110	3,069	3,069
Correctional Industries	47,636	43,372	47,989	45,683	45,937	48,312
Total	530,579	563,722	563,491	575,547	563,853	565,030

Expenditures by Category

Compensation	360,759	367,572	372,757	393,957	385,294	386,881
Operating Expenses	127,970	145,530	142,475	137,256	134,240	133,780
Grants, Aids and Subsidies	39,591	43,665	44,935	42,134	42,285	42,335
Capital Outlay-Real Property	1,006	2,055	1,181	799	752	752
Other Financial Transaction	1,253	4,900	2,143	1,401	1,282	1,282
Total	530,579	563,722	563,491	575,547	563,853	565,030

Total Agency Expenditures	530,579	563,722	563,491	575,547	563,853	565,030
Internal Billing Expenditures	1,513	1,497	4,007	2,513	2,354	2,192
Expenditures Less Internal Billing	529,066	562,225	559,484	573,034	561,499	562,838

Full-Time Equivalents

	3,711.94	3,604.93	3,497.68	3,809.33	3,526.57	3,444.34
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Incarceration and Prerelease Services

Program Financing by Fund

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
1000 - General						
Balance Forward In		16,390	183	4,006		
Direct Appropriation	449,098	468,365	461,747	469,787	470,092	470,540
Transfers In	11,522	54,091	24,279	1,994	652	652
Transfers Out	12,393	68,823	25,379	3,094	1,752	1,752
Cancellations		5,655				
Balance Forward Out	13,187	183	4,007			
Expenditures	435,040	464,185	456,824	472,693	468,992	469,440
Biennial Change in Expenditures				30,291		8,915
Biennial % Change in Expenditures				3		1
Full-Time Equivalents	3,462.92	3,411.39	3,333.36	3,636.84	3,355.08	3,272.85

1251 - COVID-19 Minnesota

Direct Appropriation	2,005					
Cancellations	20					
Expenditures	1,985					
Biennial Change in Expenditures				(1,985)		0
Biennial % Change in Expenditures						
Full-Time Equivalents	0.66	1.29				

2000 - Restrict Misc Special Revenue

Balance Forward In	3,130	4,237	4,391	4,572	4,432	4,234
Receipts	3,234	1,935	2,752	3,570	3,580	3,580
Transfers Out	369	360	360	330	330	330
Balance Forward Out	4,198	4,293	4,574	4,432	4,234	3,972
Expenditures	1,797	1,519	2,208	3,380	3,448	3,512
Biennial Change in Expenditures				2,272		1,372
Biennial % Change in Expenditures				69		25
Full-Time Equivalents	6.61	3.75	0.87	0.36	0.36	0.36

2001 - Other Misc Special Revenue

Balance Forward In	1,571	1,825	2,285	3,695	8,632	8,920
Receipts	5,888	6,026	7,280	9,949	9,934	9,934
Internal Billing Receipts	431	542	1,232	1,027	1,027	1,027

Incarceration and Prerelease Services

Program Financing by Fund

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
Transfers In				4,729		
Balance Forward Out	1,824	2,278	3,695	8,632	8,920	9,131
Expenditures	5,636	5,573	5,870	9,741	9,646	9,723
Biennial Change in Expenditures				4,402		3,758
Biennial % Change in Expenditures				39		24
Full-Time Equivalents	58.88	45.00	42.26	42.26	41.26	41.26

2403 - Gift

Balance Forward In	8	7	11	8		
Receipts	8	7	5	11	11	11
Balance Forward Out	7	10	8			
Expenditures	9	4	7	19	11	11
Biennial Change in Expenditures				13		(4)
Biennial % Change in Expenditures				103		(16)

3000 - Federal

Balance Forward In	6,256	6,527	6,515	4,870	1,655	60
Receipts	2,222	1,327	9,175	4,232	2,516	2,393
Balance Forward Out	6,525	6,517	4,869	1,655	60	54
Expenditures	1,953	1,337	10,821	7,447	4,111	2,399
Biennial Change in Expenditures				14,978		(11,758)
Biennial % Change in Expenditures				455		(64)
Full-Time Equivalents	16.35	13.35	11.14	11.14	11.14	11.14

3010 - Coronavirus Relief

Balance Forward In		3,383				
Direct Appropriation	8,034	7,688				
Cancellations		82				
Balance Forward Out	3,367					
Expenditures	4,667	10,989				
Biennial Change in Expenditures				(15,656)		0
Biennial % Change in Expenditures				(100)		
Full-Time Equivalents		13.53				

Incarceration and Prerelease Services

Program Financing by Fund

(Dollars in Thousands)

	Actual	Actual	Actual	Estimate	Forecast Base	
	FY20	FY21	FY22	FY23	FY24	FY25

3015 - ARP-State Fiscal Recovery

Balance Forward In				1		
Direct Appropriation			1,100	4,900	0	0
Balance Forward Out			1			
Expenditures			1,099	4,901		
Biennial Change in Expenditures				6,000		(6,000)
Biennial % Change in Expenditures						(100)
Full-Time Equivalents			0.01			

4400 - Correctional Industries

Balance Forward In	13,145	10,632	12,414	12,245	13,125	14,451
Receipts	44,634	44,668	47,273	45,925	46,550	48,550
Transfers In		2,000	1,500			
Transfers Out		2,000	1,500			
Balance Forward Out	10,557	12,408	12,246	13,125	14,451	15,477
Expenditures	47,222	42,892	47,441	45,045	45,224	47,524
Biennial Change in Expenditures				2,372		262
Biennial % Change in Expenditures				3		0
Full-Time Equivalents	166.52	116.62	110.04	118.73	118.73	118.73

6000 - Miscellaneous Agency

Balance Forward In	4,318	4,665	4,772	4,729		
Receipts	3,415	3,411	3,189			
Transfers Out				4,729		
Balance Forward Out	4,665	4,759	4,729			
Expenditures	3,068	3,317	3,231			
Biennial Change in Expenditures				(3,154)		(3,231)
Biennial % Change in Expenditures				(49)		

6001 - Social Welfare Agency

Balance Forward In	5,291	6,438	9,517	7,877	8,236	8,495
Receipts	30,350	36,983	34,349	32,680	32,680	32,680

Incarceration and Prerelease Services

Program Financing by Fund

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
Balance Forward Out	6,438	9,516	7,876	8,236	8,495	8,754
Expenditures	29,203	33,905	35,990	32,321	32,421	32,421
Biennial Change in Expenditures				5,203		(3,469)
Biennial % Change in Expenditures				8		(5)

Program: Community Supervision and Postrelease Services

<https://mn.gov/doc/>

AT A GLANCE

- The Department of Corrections (DOC) serves more than 19,000 individuals on community supervision, including:
 - Adult felony supervision in 53 counties
 - Adult misdemeanor and juvenile probation in 28 counties
 - Intensive supervised release (ISR) supervision in 75 counties
 - Intensive supervision of Challenge Incarceration Program (CIP) participants in 82 counties
- County correctional agencies supervise approximately 76,000 people
- Risk assessment and community notification of sex offenders
- State and county offender work crews benefit local communities

PURPOSE AND CONTEXT

The community supervision and post-release services program works to transform lives for a safer Minnesota through community case management, problem-solving, and effective supervision of men, women and youth across the state. DOC implements strategies that provide both support and accountability to those subject to supervision. The agency's probation and supervised release agents hold system-involved people accountable for the offenses they committed, while providing them with the tools to become successful members of their communities when their sentences are complete. The community services program serves approximately 95,000 people on community supervision statewide, their victims, the courts, and local law enforcement agencies. The program is funded primarily by the general fund, with approximately 58% of the budget designated as pass-through to help fund county and community-based correctional services.

SERVICES PROVIDED

The community supervision and post-release services program engages in the following activities to accomplish its purpose:

- Provides opportunities for redemption and productive community reintegration;
- Uses evidence-based practices in the rehabilitation of those under community supervision or probation, including employment, treatment and health care directives, housing, pursuit of educational opportunities, and other processes;
- Provides investigative services, reports and recommendations to the MN Judicial Branch and to DOC's Hearings and Release Unit;
- Assesses the risk levels and needs of released individuals to place them on proper supervision caseloads;
- Provides pre-release classes and assists with housing, employment and access to transformative support services;
- Incorporates research-based strategies, including cognitive-based programming and motivational interviewing;
- Collaborates with local agencies and providers to help those placed on community supervision with mental health, domestic violence prevention, chemical dependency treatment, housing and employment needs;
- Collects and distributes address and employment information for predatory offenders requiring community notification and participates in community notification meetings;
- Promotes racial equity;

- Builds community connectedness;
- Refers potential civil commitment cases to county attorneys;
- Uses community-based programs (Sentencing to Service crews, Institution Community Work Crews, Challenge Incarceration Programs and work release programming) to manage lower-risk cases, reduce the need for prison beds, respond to natural disasters and complete community work projects; and
- Provides services that ensure the rights and needs of victims are met.

Other specific services provided by this program include juvenile justice reform, management of supervision contracts, compliance with conditions of release, payment of financial restitution orders and due process hearings. The program manages pass-through and other funds appropriated for subsidies, grants, contracts and reimbursements. Program staff administer interstate compacts that allow for the controlled movement of incarcerated individuals between states and provide victims with notification and other services.

RESULTS

<i>Type of Measure</i>	<i>Name of Measure</i>	<i>Previous</i>	<i>Current</i>	<i>Dates</i>
Results	3-year adult recidivism rate: Reconviction with a new felony	36%	38%	2015-2020
Results	3-year adult recidivism rate: Reconviction and reincarceration	25%	24%	2015-2020
Quantity	Number of incarcerated individuals who completed pre-release planning	4,928	4,629	2016-2021
Quantity	Number of hours worked by Institution/ Community Work Crews (ICWC) ¹	154,797	80,617	2016-2021
Quality	Estimated market value of ICWC hours @ \$11 per hour ¹	\$1,702,767	\$886,787	2016-2021

¹Significant decline in FY2020 and FY2021 occurred as a result of the COVID-19 pandemic.

The DOC's most recent performance report is located at this link: [2021 Performance Report \(https://mn.gov/doc/assets/FY21%20Performance%20Report_Final2_tcm1089-520111.pdf\)](https://mn.gov/doc/assets/FY21%20Performance%20Report_Final2_tcm1089-520111.pdf)

Minnesota Statutes 241 to 244 (<https://www.revisor.mn.gov/statutes/part/CORRECTIONS>) provide the legal authority for the DOC.

Community Supervision and Postrelease Services

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
<u>Expenditures by Fund</u>						
1000 - General	131,419	135,244	134,938	140,637	136,883	136,883
1251 - COVID-19 Minnesota	200					
2000 - Restrict Misc Special Revenue	4,828	2,390	3,946	4,121	4,574	4,634
3000 - Federal	516	308	1,257	1,970	1,294	1,319
3010 - Coronavirus Relief	104	1,003				
6001 - Social Welfare Agency	990	861	759	740	740	740
Total	138,058	139,804	140,899	147,468	143,491	143,576
Biennial Change				10,504		(1,300)
Biennial % Change				4		(0)

Expenditures by Activity

Probation & Supervised Release	29,299	29,933	30,678	30,948	30,948	30,948
Special Supervision	7,947	8,425	8,279	7,791	7,791	7,791
Sentencing to Service	3,733	3,843	3,130	3,321	3,474	3,504
Reentry Services	1,798	2,802	2,886	4,093	3,667	3,667
Work Release	5,858	5,666	5,592	6,241	6,241	6,241
Instn Comm Svcs Work Crews	2,972	2,896	2,850	2,732	3,032	3,062
Risk Assess/Comm Notification	2,131	2,133	2,183	2,591	2,591	2,591
Facilities Planning & Inspection	926	913				
Grants/Subsidy/Offender Suprt	78,189	77,817	79,838	80,627	79,407	79,407
Community Support Services	2,082	2,815	2,652	6,250	3,503	3,528
Hearings and Release	2,160	2,374	2,296	2,406	2,406	2,406
Victim Assist/Restorative Just	963	186	514	468	431	431
Total	138,058	139,804	140,899	147,468	143,491	143,576

Expenditures by Category

Compensation	46,235	48,273	47,629	48,167	48,282	48,342
Operating Expenses	15,349	15,434	15,254	21,072	18,310	18,335
Grants, Aids and Subsidies	76,279	76,010	77,937	77,895	76,578	76,578
Capital Outlay-Real Property	1	0	1	11	11	11
Other Financial Transaction	194	88	78	323	310	310
Total	138,058	139,804	140,899	147,468	143,491	143,576

Community Supervision and Postrelease Services

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
Total Agency Expenditures	138,058	139,804	140,899	147,468	143,491	143,576
Internal Billing Expenditures	140	302	454	300	285	285
Expenditures Less Internal Billing	137,918	139,502	140,445	147,168	143,206	143,291

<u>Full-Time Equivalents</u>	484.55	482.15	471.33	492.05	452.76	442.82
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Community Supervision and Postrelease Services

Program Financing by Fund

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
1000 - General						
Balance Forward In		1,689		2,433		
Direct Appropriation	132,965	134,548	137,781	138,204	136,883	136,883
Transfers In	3,401	6,212	4,873	7,198	3,724	3,724
Transfers Out	3,401	6,212	4,873	7,198	3,724	3,724
Cancellations	28	994	409			
Balance Forward Out	1,517		2,435			
Expenditures	131,419	135,244	134,938	140,637	136,883	136,883
Biennial Change in Expenditures				8,912		(1,809)
Biennial % Change in Expenditures				3		(1)
Full-Time Equivalents	429.98	434.54	428.05	442.66	406.14	396.20

1251 - COVID-19 Minnesota

Direct Appropriation	200					
Expenditures	200					
Biennial Change in Expenditures				(200)		0
Biennial % Change in Expenditures						
Full-Time Equivalents		0.17				

2000 - Restrict Misc Special Revenue

Balance Forward In	2,434	1,892	3,287	2,964	3,143	2,733
Receipts	4,083	4,047	3,703	4,164	4,164	4,164
Transfers Out			81			
Net Loan Activity	242	(306)	0	136		
Balance Forward Out	1,931	3,243	2,964	3,143	2,733	2,263
Expenditures	4,828	2,390	3,946	4,121	4,574	4,634
Biennial Change in Expenditures				849		1,141
Biennial % Change in Expenditures				12		14
Full-Time Equivalents	49.35	46.03	38.50	45.11	45.11	45.11

3000 - Federal

Balance Forward In		10	11	11		
Receipts	527	308	1,257	1,959	1,294	1,319
Balance Forward Out	11	10	11			

Community Supervision and Postrelease Services

Program Financing by Fund

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
Expenditures	516	308	1,257	1,970	1,294	1,319
Biennial Change in Expenditures				2,403		(614)
Biennial % Change in Expenditures				292		(19)
Full-Time Equivalents	5.22	1.37	4.78	4.28	1.51	1.51

3010 - Coronavirus Relief

Balance Forward In		940				
Direct Appropriation	1,044	170				
Cancellations		107				
Balance Forward Out	940					
Expenditures	104	1,003				
Biennial Change in Expenditures				(1,107)		0
Biennial % Change in Expenditures				(100)		
Full-Time Equivalents		0.04				

6001 - Social Welfare Agency

Balance Forward In	152	97	99	34	34	34
Receipts	935	863	745	740	740	740
Transfers Out			51			
Balance Forward Out	97	99	34	34	34	34
Expenditures	990	861	759	740	740	740
Biennial Change in Expenditures				(352)		(19)
Biennial % Change in Expenditures				(19)		(1)

Program: Organizational, Regulatory and Admin Services

<https://mn.gov/doc/>

AT A GLANCE

- Provision of services to
 - More than 4,100 employees
 - Approximately 27,000 people in state correctional facilities or on community supervision
- Employees represented by nine bargaining units/plans
- Employees are located at central office and across the state at 10 correctional institutions and 44 field offices
- Centralized/regionalized support services including financial operations, communications, legal services, human resources, inspections and enforcement, peer supports, victim services, sentence and records administration, and the office of professional accountability
- Shared management with direct line supervision provided by centralized managers and indirect supervision provided by facility managers
- Inspect and license 185 Minnesota facilities including county jails, municipals, halfway houses and juvenile facilities

PURPOSE AND CONTEXT

The organizational, regulatory and admin services program formulates organizational mission activities, internal and external accountability, and major policies for the Department of Corrections (DOC). The program provides leadership and support services that assist all programs in achieving the agency's mission to transform lives for a safer Minnesota. Customers include all DOC employees, the incarcerated population, individuals on community supervision, victims, state and local agencies, county sheriffs' departments, the Legislature, community-based organizations and citizens. Additionally, the human resources and financial services units partner with and provide limited services to the Minnesota Sentencing Guidelines Commission and the Bureau of Mediation Services. The program represents less than five percent of the total DOC's operating budget and is funded almost entirely by the general fund.

SERVICES PROVIDED

The organization, regulatory and admin services program employs the following strategies to accomplish its purpose:

- Promote the mission and values of the agency through executive leadership, policy development, strategic planning, implementing evidence-based practices, rulemaking, and internal and external communications.
- Promote racial equity and advance equity and inclusion across the agency.
- Align the organizational structure to reflect and support the agency's mission.
- Provide statutorily required services in the areas of finance, human resources, peer support, employee development, inspection and enforcement of promulgated rules, legal services, victim notification and participation, and records and sentence administration for incarcerated persons.
- Use best and current practices to manage information technology, planning and performance, and compliance functions.
- Use promulgated standards to audit the safety and security of correctional facilities.
- Transform data collection and reporting processes into a strategic and performance-based model that is both dynamic and intuitive.

- Hire and maintain a high-quality and diverse workforce.
- Conduct business activities with integrity and transparency.
- Use established procurement processes that maximize the selection of diverse vendors and contractors.
- Safeguard the state’s assets and comply with federal and state regulations and agency policies through compliance with established procedures and sound internal controls.
- Manage criminal justice data through integrated systems in partnership with the courts, state agencies, and state and local law enforcement agencies.

Other specific services provided by this program include employee misconduct investigations, including discrimination and sexual harassment investigations, budget management, financial transactions, financial reporting and analysis, procurement and inventory functions, offender banking services, internal audit, asset and property management, recruitment and selection, job classification, salary and benefit administration, labor contract negotiations, pre-service and in-service training, criminal justice records management, legal representation, facilitating and providing expertise on restorative justice principles and practices, providing victim advocacy services and expertise on victimization issues, mail distribution, and responding to public data and media requests. This program partners with MN.IT and other stakeholders to ensure continual operation and/or accessibility to internal and external technology systems and to provide help-desk support. The planning and performance unit conducts research and evaluation projects, prepares legislative reports, coordinates implementation of evidence-based practices, coordinates strategic planning activities and projects prison populations over time. The program is also responsible for business continuity and risk management plans

RESULTS

<i>Type of Measure</i>	<i>Name of Measure</i>	<i>Previous</i>	<i>Current</i>	<i>Dates</i>
Results	3-year adult recidivism rate: Reconviction with a new felony	36%	38%	2015-2020
Results	3-year adult recidivism rate: Reconviction and reincarceration	25%	24%	2015-2020
Results	Workers’ compensation claim incident rate per 100 full-time employees	4.0%	4.1% ¹	2016-2021

¹Excludes COVID-19 claims to allow for comparison to previous fiscal years. The rate including COVID-19 claims was 25.2%.

The DOC’s most recent performance report is located at this link: [2021 Performance Report \(https://mn.gov/doc/assets/FY21%20Performance%20Report_Final2_tcm1089-520111.pdf\)](https://mn.gov/doc/assets/FY21%20Performance%20Report_Final2_tcm1089-520111.pdf)

Minnesota Statutes 241 to 244 (<https://www.revisor.mn.gov/statutes/part/CORRECTIONS>) provide the legal authority for the DOC.

Organizational, Regulatory and Admin Services

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
<u>Expenditures by Fund</u>						
1000 - General	28,913	29,319	29,507	33,322	31,560	31,560
1251 - COVID-19 Minnesota	50					
2000 - Restrict Misc Special Revenue			25	36	36	36
2001 - Other Misc Special Revenue	3,087	591	5,457	6,187	5,487	3,567
3010 - Coronavirus Relief	12	50				
6001 - Social Welfare Agency				14	14	14
Total	32,063	29,959	34,990	39,559	37,097	35,177
Biennial Change				12,527		(2,275)
Biennial % Change				20		(3)

Expenditures by Activity

Employee Development	2,481	1,548	1,296	1,600	1,600	1,600
Financial Services	5,115	5,185	5,231	5,526	4,901	4,901
Human Resources	4,728	4,632	4,540	4,973	4,972	4,972
Information and Technology	9,446	10,576	9,180	9,055	9,055	9,055
Office Services	699	540	482	690	689	689
Operations Support Services	6,625	4,790	9,164	10,662	9,432	7,512
Policy and Legal Services	1,374	1,336	1,509	1,878	1,762	1,762
Planning and Performance	916	601	813	816	816	816
Offender Records	679	751	537	798	798	798
Victim Services			247	377	377	377
Inspection and Enforcement			1,234	2,292	1,803	1,803
Office of Prof Accountability			756	892	892	892
Total	32,063	29,959	34,990	39,559	37,097	35,177

Expenditures by Category

Compensation	17,475	16,647	18,215	20,804	20,265	20,265
Operating Expenses	14,552	13,302	16,709	18,714	16,791	14,871
Other Financial Transaction	36	10	66	41	41	41
Total	32,063	29,959	34,990	39,559	37,097	35,177

Organizational, Regulatory and Admin Services

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
Total Agency Expenditures	32,063	29,959	34,990	39,559	37,097	35,177
Internal Billing Expenditures			2	2	2	2
Expenditures Less Internal Billing	32,063	29,959	34,988	39,557	37,095	35,175
<u>Full-Time Equivalents</u>	175.01	162.75	173.13	208.69	203.61	198.78

Organizational, Regulatory and Admin Services

Program Financing by Fund

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
1000 - General						
Balance Forward In		359		1,964		
Direct Appropriation	29,064	29,374	31,625	31,530	31,732	31,732
Transfers In	750	2,982	2,806	179	19	19
Transfers Out	827	3,078	2,960	351	191	191
Cancellations		318				
Balance Forward Out	74		1,964			
Expenditures	28,913	29,319	29,507	33,322	31,560	31,560
Biennial Change in Expenditures				4,597		291
Biennial % Change in Expenditures				8		0
Full-Time Equivalents	171.79	158.77	169.25	204.81	199.73	194.90
1251 - COVID-19 Minnesota						
Direct Appropriation	50					
Expenditures	50					
Biennial Change in Expenditures				(50)		0
Biennial % Change in Expenditures						
2000 - Restrict Misc Special Revenue						
Balance Forward In				60	49	38
Receipts			5	25	25	25
Transfers In			81			
Balance Forward Out			60	49	38	27
Expenditures			25	36	36	36
Biennial Change in Expenditures				61		11
Biennial % Change in Expenditures						17
Full-Time Equivalents			0.02	0.02	0.02	0.02
2001 - Other Misc Special Revenue						
Balance Forward In	9,365	7,499	8,173	5,941	2,854	467
Receipts	1,220	1,265	3,225	3,100	3,100	3,100
Internal Billing Receipts	1,220	1,265	3,225	3,100	3,100	3,100
Balance Forward Out	7,499	8,173	5,941	2,854	467	
Expenditures	3,087	591	5,457	6,187	5,487	3,567

Organizational, Regulatory and Admin Services

Program Financing by Fund

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base	
					FY24	FY25
Biennial Change in Expenditures				7,966		(2,590)
Biennial % Change in Expenditures				217		(22)
Full-Time Equivalents	3.22	3.94	3.86	3.86	3.86	3.86

3010 - Coronavirus Relief

Direct Appropriation	12	50				
Expenditures	12	50				
Biennial Change in Expenditures				(62)		0
Biennial % Change in Expenditures				(100)		
Full-Time Equivalents		0.04				

6001 - Social Welfare Agency

Balance Forward In				56	47	38
Receipts			5	5	5	5
Transfers In			51			
Balance Forward Out			56	47	38	29
Expenditures				14	14	14
Biennial Change in Expenditures				14		14
Biennial % Change in Expenditures						