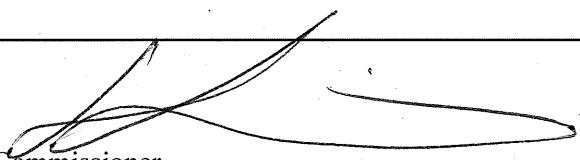



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Widseth Smith Nolting		<b>CFMS Contract Number:</b> B12959
<b>Project Name:</b> Drilling Investigation	<b>Mn/DOT Contract No.:</b> 92519	<b>Project Duration (Dates):</b> April 4, 2008 to October 18, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this contract was to obtain information about the locations of known and potential contaminated properties and to drill and sample suspect properties to identify the presence and magnitude of contaminated soil and groundwater. The presence of contaminated properties is a concern in the development of highway projects because of potential liabilities associated with ownership of such properties, potential cleanup costs, and safety concerns associated with construction personnel encountering unsuspected wastes or contaminated soil or groundwater.		
<b>Billable Hours (if applicable):</b> 746.75	<b>Total Amount Spent on Contract:</b> \$81,481.37	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> Drilling Investigations require knowledge and experience in dealing properly with contaminated soil and groundwater that is encountered while completing an investigation of a potentially contaminated property. There are state employees in Mn/DOT and the MPCA that have the knowledge to do this work, but they do not have substantial experience, and are not available to complete these projects. It would not be cost effective to the state to hire and train staff to complete this specialized work.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 92519

Type of Work Drilling Investigation

District/Office D8

Work Type Code 5.41 - E1

SP Number 3408-15

TH Number 23

Location Paynesville

Contractor Widseth Smith Nolting

Subcontractor TestAmerica Analytical Testing Corporation

Subcontractor \_\_\_\_\_

Contract Period: April 4, 2008 ; October 18, 2008 ; January 31, 2009

Work Start Date

Work Completion Date

Expiration Date

Total Contract Cost: \$81,481.37 = Orig Cost: \$81,481.37 + Amended Cost: \$0

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 0

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 31 (Maximum points 36)

Project Manager:

Karlene French 12/5/08  
Karlene French Date

Contract Administrator:

Arthur Duren 12/8/08  
Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680



**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

Contractor went above and beyond the call of duty to obtain rights of entry from private parties on Mn/DOT's behalf.

Contractor report was excellent—well-written, well-organized, thorough, good graphics—and submitted in a timely manner.

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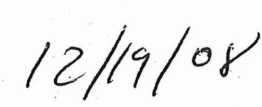
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## Report on Professional/Technical Contracts Over \$50,000

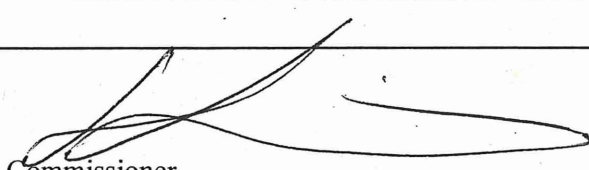
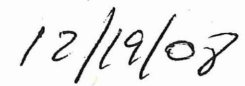
Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Hydro-Klean, Inc.		<b>CFMS Contract Number:</b> B13071
<b>Project Name:</b> Video Inspection and Cleaning	<b>Mn/DOT Contract No.:</b> 91915	<b>Project Duration (Dates):</b> March 27, 2008 to August 31, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The purpose of this contract was to provide storm sewer video inspection and cleaning services on T.H. 61 from T.H. 5 to T.H. 36 in Ramsey County.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$74,722.00	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

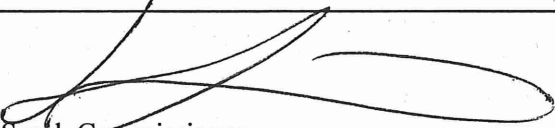

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Widseth Smith Nolting		<b>CFMS Contract Number:</b> B12959
<b>Project Name:</b> Drilling Investigation	<b>Mn/DOT Contract No.:</b> 92519	<b>Project Duration (Dates):</b> April 4, 2008 to October 18, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this contract was to obtain information about the locations of known and potential contaminated properties and to drill and sample suspect properties to identify the presence and magnitude of contaminated soil and groundwater. The presence of contaminated properties is a concern in the development of highway projects because of potential liabilities associated with ownership of such properties, potential cleanup costs, and safety concerns associated with construction personnel encountering unsuspected wastes or contaminated soil or groundwater.		
<b>Billable Hours (if applicable):</b> 746.75	<b>Total Amount Spent on Contract:</b> \$81,481.37	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> Drilling Investigations require knowledge and experience in dealing properly with contaminated soil and groundwater that is encountered while completing an investigation of a potentially contaminated property. There are state employees in Mn/DOT and the MPCA that have the knowledge to do this work, but they do not have substantial experience, and are not available to complete these projects. It would not be cost effective to the state to hire and train staff to complete this specialized work.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



## Report on Professional/Technical Contracts Over \$50,000

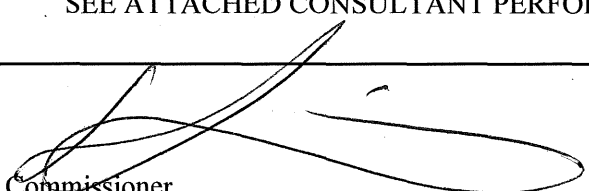
Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Retrofit Recycling, Inc.		<b>CFMS Contract Number:</b> B15162
<b>Project Name:</b> Vista Farms	<b>Mn/DOT Contract No.:</b> 92857	<b>Project Duration (Dates):</b> 5/27/08-12/5/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> To meet MPCA/OSHA requirements/regulations and minimize Mn/DOT liability for the removal of regulated materials/waste prior to building demolition. Mn/DOT personnel do not have the expertise and/or certifications required for this type of work. Mn/DOT needed to demolish buildings for road construction.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 91,605.54	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Hydro-Klean, Inc.		<b>CFMS Contract Number:</b> B13071
<b>Project Name:</b> Video Inspection and Cleaning	<b>Mn/DOT Contract No.:</b> 91915	<b>Project Duration (Dates):</b> March 27, 2008 to August 31, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The purpose of this contract was to provide storm sewer video inspection and cleaning services on T.H. 61 from T.H. 5 to T.H. 36 in Ramsey County.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$74,722.00	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		<b>Date</b> 12/19/08

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Storm Sewer

Contract No. 91915 Type of Work Video Insp. & Clean  
 District/Office Metro Work Type Code 13.1-13.3 SV  
 SP Number \_\_\_\_\_ TH Number 61 Location TH36 to TH5  
 Contractor Hydro Klean Inc.  
 Subcontractor \_\_\_\_\_  
 Subcontractor \_\_\_\_\_  
 Contract Period: 3/27/08 ; 8/31/08 ; 8/31/08  
                             Work Start Date              Work Completion Date      Expiration Date  
 Total Contract Cost: \$ 74,722 = Orig Cost: \$ 74,722 + Amended Cost: \$ \_\_\_\_\_  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only      Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		✓		
2. Work Performance		✓		
3. Conformance with Mn/DOT Standards/Requirements		✓		
4. Deliverables Complete and on time			✓	
5. Project related cooperation	✓			
6. QA/QC plan conformance	✓			
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 28 (Maximum points 36)

Project Manager:

LEE DALEIDEN 10-30-08  
Name Date

Contract Administrator:

Maureen Daye 12/4/08  
Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

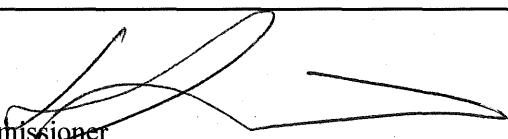
- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

Some pipe video & HydInfra upload  
difficulties. Product quality good. Cleaning  
of storm sewer - good.

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Visu-Sewer Clean and Seal, Inc.		<b>CFMS Contract Number:</b> B14178
<b>Project Name:</b> Video Inspection and Cleaning	<b>Mn/DOT Contract No.:</b> 91914	<b>Project Duration (Dates):</b> April 22, 2008 to August 31, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The purpose of this contract was to provide storm sewer video inspection and cleaning services on T.H. I-35E from Pennsylvania Avenue to Little Canada Road in Ramsey County.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$82,721.72	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		<b>Date</b> 12/19/08

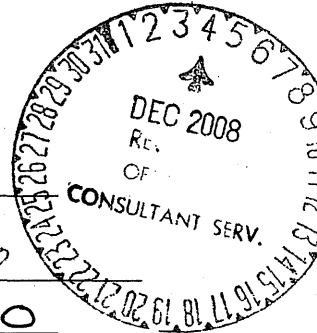
cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File



# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 91914 Type of Work STORM SEWER T.V. & CLEAN  
 District/Office \_\_\_\_\_ Work Type Code 13.1-13.3 SV  
 SP Number \_\_\_\_\_ TH Number 3SE Location LITTLE CANADA RD TO PENNSYLVANIA AVE  
 Contractor Visu-SEWER  
 Subcontractor SEH  
 Subcontractor \_\_\_\_\_  
 Contract Period: 4/22/08 ; 8/31/08 ; 8/31/08  
 Work Start Date Work Completion Date Expiration Date  
 Total Contract Cost: \$ 82,721.72 = Orig Cost: \$ 82,721.72 + Amended Cost: \$ 0  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	✓			
2. Work Performance	✓			
3. Conformance with Mn/DOT Standards/Requirements		✓		
4. Deliverables Complete and on time		✓		
5. Project related cooperation		✓		
6. QA/QC plan conformance	✓			
7. Contract administration cooperation		✓		
8. Invoices and progress reports		✓		
9. Cost estimation/budget management		✓		

Contractor's rating for this Contract:

Total Points: 30 (Maximum points 36)

Project Manager:

LEE DALE IDEN 11/14/08  
 Name Date

Contract Administrator:

Mark Day 12/4/08  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

Good videos { EDITED } map Pages. Cleaning conducted;  
good identification process. Some delay in  
getting information into Hydinfra data base - minor.  
Invoices were a little sloppy.

## Report on Professional/Technical Contracts Over \$50,000

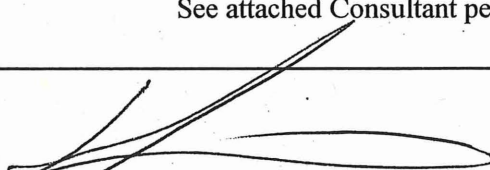
Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> URS Corporation		<b>CFMS Contract Number:</b> B07670
<b>Project Name:</b> Drilling Investigation and Response Action Plan	<b>Mn/DOT Contract No.:</b> 91814	<b>Project Duration (Dates):</b> 10/22/07 – 10/24/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The purpose of this contract was to obtain information about the locations of known and potentially contaminated properties and to drill and sample suspect properties to identify the presence and magnitude of contaminated soil and groundwater. The presence of contaminated properties is a concern in the development of highway projects because of potential liabilities associated with ownership of such properties, potential cleanup costs, and safety concerns associated with construction personnel encountering unsuspected wastes or contaminated soil or groundwater.  Drilling Investigations require knowledge and experience in dealing properly with contaminated soil and groundwater that is encountered while completing an investigation of a potentially contaminated property. There are state employees in Mn/DOT and the MPCA that have the knowledge to do this work, but they do not have substantial experience, and are not available to complete these projects. It would not be cost effective to the state to hire and train staff to complete this specialized work.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$94,463.73 .	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.



<b>Agency:</b> Department of Transportation		
<b>Contractor Name:</b> CH2M Hill		<b>CFMS Contract Number:</b> A 92165
<b>Project Name:</b> I35W/Cliff Road Concept Interchange	<b>Mn/DOT Contract No.:</b> 89116	<b>Project Duration (Dates):</b> August 6, 2006 – October 24, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this project was for CH2M Hill, with assistance from its subcontractor, to develop concept designs and to refine what will be the selected preferred interchange alternative, prepare more detailed traffic forecasts for the area, conduct operations analyses, prepare an implementation plan and preserve the necessary right of way to accommodate the future construction of a new Cliff Road interchange.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 148,566.16	<b>Source of Funding:</b> State funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  See attached Consultant performance evaluation		
 Thomas R. Sorel, Commissioner		Date 12/18/08

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File



## Report on Professional/Technical Contracts Over \$50,000

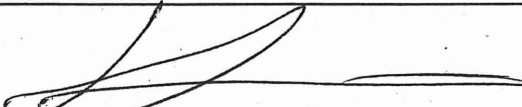

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<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Visu-Sewer Clean and Seal, Inc.		<b>CFMS Contract Number:</b> B14178
<b>Project Name:</b> Video Inspection and Cleaning	<b>Mn/DOT Contract No.:</b> 91914	<b>Project Duration (Dates):</b> April 22, 2008 to August 31, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The purpose of this contract was to provide storm sewer video inspection and cleaning services on T.H. I-35E from Pennsylvania Avenue to Little Canada Road in Ramsey County.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$82,721.72	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

# Report on Professional/Technical Contracts Over \$50,000

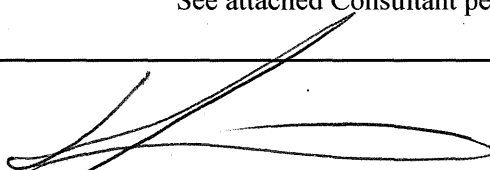
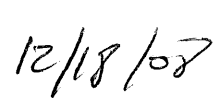
Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> John Oehlke, dba Sunsource Architects		<b>CFMS Contract Number:</b> B13885
<b>Project Name:</b> HQ/Saint Cloud: Frost Remediation-2006, located in Saint Cloud, Minnesota	<b>Mn/DOT Contract No.:</b> 92580	<b>Project Duration (Dates):</b> April 15, 2008 – November 6, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The exterior wall of Mn/DOT's Saint Cloud Headquarters was constructed using un-insulated pre-cast concrete panels, steel stud framing, fiberglass batt insulation, poly vapor barrier and gypsum board. When the temperature is below zero, frost forms on the inside face of the pre-cast panels. When the temperature rises, the frost melts and water comes through the head of the window.  In the Spring of 2007, Mn/DOT hired a construction contractor to remove the gypsum board and batt insulation and apply closed cell foam insulation to the inside face of the pre-cast panels on the Northwest part of the second floor. This solution helped but did not completely solve the problem because the entire inside surface of the pre-cast panels was not covered with the closed cell foam insulation. The intent of this Contract was to remove all existing interior finishes and building materials to the greatest extent possible to allow for the installation of spray foam insulation on the inside face of the pre-cast concrete panels.  Mn/DOT provided Contractor with digital and hard copies of the existing building construction documents for use in preparing Construction Documents for the removal and reinstallation of the gypsum board and batt insulation from the exterior walls on the first floor, second floor and penthouse on the office portion of the building and the re-insulation of these walls. The construction contractor sprayed the interior surface of the pre-cast panels with closed cell foam insulation and then reinstalled the gypsum board and applied new paint. Contractor provided Mn/DOT with new design drawings.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$51,116.75	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> Department of Transportation		
<b>Contractor Name:</b> CH2M Hill		<b>CFMS Contract Number:</b> A 92165
<b>Project Name:</b> I35W/Cliff Road Concept Interchange	<b>Mn/DOT Contract No.:</b> 89116	<b>Project Duration (Dates):</b> August 6, 2006 – October 24, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this project was for CH2M Hill, with assistance from its subcontractor, to develop concept designs and to refine what will be the selected preferred interchange alternative, prepare more detailed traffic forecasts for the area, conduct operations analyses, prepare an implementation plan and preserve the necessary right of way to accommodate the future construction of a new Cliff Road interchange.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 148,566.16	<b>Source of Funding:</b> State funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  See attached Consultant performance evaluation		
 Thomas K. Sorel, Commissioner		 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File



## Consultant Performance Evaluation

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 89116 Type of Work Planning  
 District/Office Metro Work Type Code PD  
 SP Number 1981-86 TH Number 35W Location At the Cliff Road Interchange  
 Contractor CH2MHILL  
 Subcontractor \_\_\_\_\_  
 Subcontractor \_\_\_\_\_  
 Contract Period: August 4, 2006 ; October 24, 2008 ; October 24, 2008  
                             Work Start Date                      Work Completion Date                      Expiration Date  
 Total Contract Cost: \$ 148,566.16 = Orig Cost: \$ 148,566.16 + Amended Cost: \$ 0  
 Amended for: ☐ Overrun    ☐ Additional Work    ☒ Time Only    Number of Amendments 2



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time		X		
5. Project related cooperation		X		
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 27 (Maximum points 36)

Project Manager:

Jennifer Red 12/1/08  
 Name Date

Contract Administrator:



Julie Fierck 12/2/08  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> URS Corporation		<b>CFMS Contract Number:</b> B07670
<b>Project Name:</b> Drilling Investigation and Response Action Plan	<b>Mn/DOT Contract No.:</b> 91814	<b>Project Duration (Dates):</b> 10/22/07 – 10/24/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The purpose of this contract was to obtain information about the locations of known and potentially contaminated properties and to drill and sample suspect properties to identify the presence and magnitude of contaminated soil and groundwater. The presence of contaminated properties is a concern in the development of highway projects because of potential liabilities associated with ownership of such properties, potential cleanup costs, and safety concerns associated with construction personnel encountering unsuspected wastes or contaminated soil or groundwater.  Drilling Investigations require knowledge and experience in dealing properly with contaminated soil and groundwater that is encountered while completing an investigation of a potentially contaminated property. There are state employees in Mn/DOT and the MPCA that have the knowledge to do this work, but they do not have substantial experience, and are not available to complete these projects. It would not be cost effective to the state to hire and train staff to complete this specialized work.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$94,463.73	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 91814

Type of Work Drilling Investigation and Response Action Plan

District/Office Metro

Work Type Code 5.41-~~E~~1

SP Number 2782-281

TH Number 62

Location Crosstown

Contractor URS Corporation

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: October 22, 2007 ; October 24, 2008 ; January 31, 2009  
                                 Work Start Date                      Work Completion Date    Expiration Date

Total Contract Cost: \$94,463.73 = Orig Cost: \$94,463.73 + Amended Cost: \$0

Amended for: ~ Overrun    ~ Additional Work    ~ Time Only    Number of Amendments 0

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 29 (Maximum points 36)

Project Manager:

Karlene French      12/3/08  
 Karlene French                      Date

Contract Administrator:

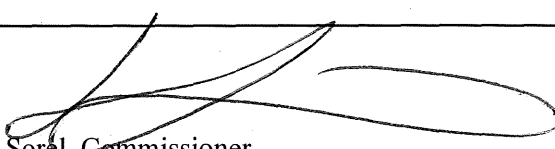
Ashley Duren      12/8/08  
 Name                      Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 1/26/2007)

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Retrofit Recycling, Inc.		<b>CFMS Contract Number:</b> B15162
<b>Project Name:</b> Vista Farms	<b>Mn/DOT Contract No.:</b> 92857	<b>Project Duration (Dates):</b> 5/27/08-12/5/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> To meet MPCA/OSHA requirements/regulations and minimize Mn/DOT liability for the removal of regulated materials/waste prior to building demolition. Mn/DOT personnel do not have the expertise and/or certifications required for this type of work. Mn/DOT needed to demolish buildings for road construction.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 91,605.54	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 12/18/08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680

(CSS Reviewed 3/14/2008)

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 92857 Type of Work Regulated Waste Removal

District/Office Dist 7 Work Type Code AB

SP Number SP 8103-49 TH Number 14 Location: Waseca

Contractor Retrofit Recycling, Inc.

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: 5/27/08 ; 12/5/08 ; 1/31/09

Work Start Date    Work Completion Date    Expiration Date

Total Contract Cost: \$ 91,605.54 = Orig Cost: \$ \_\_\_\_\_ + Amended Cost: \$ \_\_\_\_\_

Amended for: ~ Overrun    ~ Additional Work    ~ Time Only    Number of Amendments \_\_\_\_\_

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements				X
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance				X
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 25 (Maximum points 36)

Project Manager:

[Signature] 12-8-08  
Name Date

Contract Administrator:

[Signature] 12/11/08  
Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

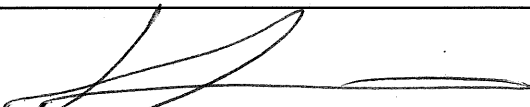
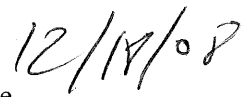
**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:** This is a hard job to rate, the largest part of this job, removing the pig manure, neither Retrofit or I have any prior experience. That being said, we had no idea of the layers of sludge and what was needed to remove some of the material. This was especially true with the non-pump able sludge on the bottom hardened sludge. Retrofit did a good job staying on top of it and meeting Mn/DOT time lines removing the material. Retrofit also had to deal with a other waste taken to the pit mostly demolition debris that was place in the pit during the removals. Retofit did talk to district personnel and took measures to remove the sludge and other waste added to the pit that added significant cost to the project, but not conveyed this information to me until after the fact.

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> John Oehlke, dba Sunsource Architects		<b>CFMS Contract Number:</b> B13885
<b>Project Name:</b> HQ/Saint Cloud: Frost Remediation-2006, located in Saint Cloud, Minnesota	<b>Mn/DOT Contract No.:</b> 92580	<b>Project Duration (Dates):</b> April 15, 2008 – November 6, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The exterior wall of Mn/DOT's Saint Cloud Headquarters was constructed using un-insulated pre-cast concrete panels, steel stud framing, fiberglass batt insulation, poly vapor barrier and gypsum board. When the temperature is below zero, frost forms on the inside face of the pre-cast panels. When the temperature rises, the frost melts and water comes through the head of the window.  In the Spring of 2007, Mn/DOT hired a construction contractor to remove the gypsum board and batt insulation and apply closed cell foam insulation to the inside face of the pre-cast panels on the Northwest part of the second floor. This solution helped but did not completely solve the problem because the entire inside surface of the pre-cast panels was not covered with the closed cell foam insulation. The intent of this Contract was to remove all existing interior finishes and building materials to the greatest extent possible to allow for the installation of spray foam insulation on the inside face of the pre-cast concrete panels.  Mn/DOT provided Contractor with digital and hard copies of the existing building construction documents for use in preparing Construction Documents for the removal and reinstallation of the gypsum board and batt insulation from the exterior walls on the first floor, second floor and penthouse on the office portion of the building and the re-insulation of these walls. The construction contractor sprayed the interior surface of the pre-cast panels with closed cell foam insulation and then reinstalled the gypsum board and applied new paint. Contractor provided Mn/DOT with new design drawings.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$51,116.75	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File



# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No. 92580 Type of work ARCHITECTURAL

District/Office FACILITIES-HR Work Type Code AC

S.P. TB5565B T.H. \_\_\_\_\_ Location ST CLOUD

Contractor SUNSOURCE ARCHITECTS

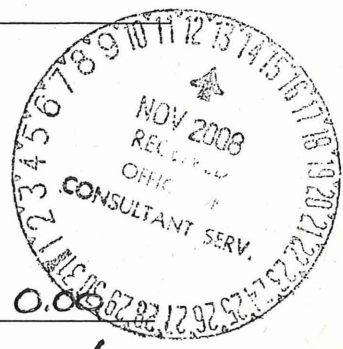
Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: APRIL 15, 2008 ; NOV 6, 2008 ; JAN 31, 2009  
Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$ 52,000 = Orig Cost: \$ 52,000 + Amended Cost: \$ 0.00

Amended cost for: ~ Overrun ~ Additional Work Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time	4			
5. Project related cooperation	4			
6. QA/QC plan conformance	4			
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

Contractor's rating for this contract:

Total Points 33  
 (Maximum points 36)

Project Manager:  
  
 (RONALD LAGERQUIST)  
 Print Name  
 11.21.2008


Contract Administrator:  
  
 Ashley Dura 11/17/08  
 Print Name

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

# Report on Professional/Technical Contracts Over \$50,000

Minnesota Statutes Section 16C.08, subdivision 4 (c), requires the head of an agency submit a one-page report to the commissioner of Administration upon completion of a professional/technical services contract over \$50,000.00.

Instructions: Submit this form to Materials Management Division, 112 Administration Building, St. Paul, MN 55155, within 30 days of contract completion.

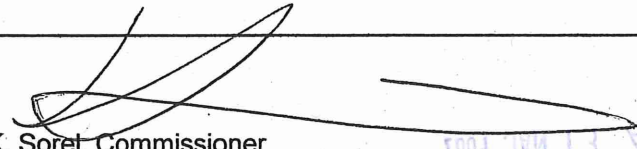
Agency: Public Safety/Office of Traffic Safety (OTS)		
Contractor Name: MnSCU		CFMS Contract Number: B09637
Project Name (if applicable) Motorcycle Rider Training	Project Number (if applicable):	Project Duration (Dates): 1/2/08 – 11/28/08
<p>Summarize the purpose of the contract, including why it was necessary to enter into a contract:</p> <p>The purpose was to conduct classroom and on-cycle motorcycle rider training courses for novice and experienced motorcycle riders and also to provide a classroom course for novice moped riders. Public Safety does not have the resources and infrastructure to hire the 180 Motorcycle Safety Foundation certified RiderCoaches necessary to conduct the training program or the infrastructure for registering and providing course facilities for 8,763 students.</p>		
Billable Hours (if applicable):	Total Contract Amount: \$374,970.00	Source of Funding: State dedicated motorcycle safety fund
<p>Explain why this amount was a cost effective way for the agency to provide its services or products better or more efficiently:</p> <p>MnSCU has the necessary infrastructure for hiring instructors, registering students, and providing course facilities.</p>		
<p>If this was a single source contract, explain why the agency determined there was only a single source for the services:</p>		
<p>Evaluate the performance of the work including an appraisal of the contractor=s timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:</p> <p>Our primary goal is to train the novice or inexperienced motorcyclist. Operating our training program through MnSCU enabled us to meet the demand for rider training which increased fourteen percent this year. This was MnSCU's sixth year of operating this program, and the quality of their work remains high. The cost of this program for the Department of Public Safety continues to be a good value, and the overall performance was more than satisfactory.</p>		
Agency Head Signature: 	Title: Director of Traffic Safety	Date: 12/15/08



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

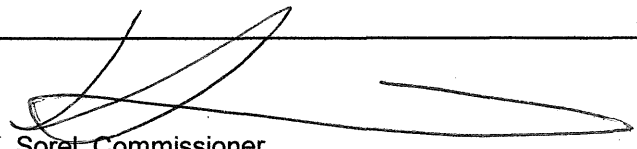
Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A85032</b>
Project Name (if applicable): <b>CANADA THISTLE SEED MOVEMENT</b>	Mn/DOT Agreement No.: <b>81655, WO 172</b>	Project Duration (Dates): <b>MARCH 1, 2006 – SEPTEMBER 30, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>TO IMPROVE UNDERSTANDING OF THE ROLE SEED MOVEMENT PLAYS IN THE SPREAD AND PERPETUATION OF CANADA THISTLE INFESTATIONS. OUR HYPOTHESIS IS THAT CURRENT PERCEPTIONS OVER EMPHASIZE THE IMPORTANCE OF WIND BLOWN SEED DISPERSAL TO THE SPREAD AND PERSISTENCE OF CANADA THISTLE. THE KNOWLEDGE GAINED FROM THIS RESEARCH WILL BE USED TO IMPROVE BEST MANAGEMENT PRACTICE RECOMMENDATIONS FOR CANADA THISTLE CONTROL.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$88,000.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>12/8/08</b>

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A85032</b>
Project Name (if applicable): <b>CANADA THISTLE SEED MOVEMENT</b>	Mn/DOT Agreement No.: <b>81655, WO 172</b>	Project Duration (Dates): <b>MARCH 1, 2006 – SEPTEMBER 30, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>TO IMPROVE UNDERSTANDING OF THE ROLE SEED MOVEMENT PLAYS IN THE SPREAD AND PERPETUATION OF CANADA THISTLE INFESTATIONS. OUR HYPOTHESIS IS THAT CURRENT PERCEPTIONS OVER EMPHASIZE THE IMPORTANCE OF WIND BLOWN SEED DISPERSAL TO THE SPREAD AND PERSISTENCE OF CANADA THISTLE. THE KNOWLEDGE GAINED FROM THIS RESEARCH WILL BE USED TO IMPROVE BEST MANAGEMENT PRACTICE RECOMMENDATIONS FOR CANADA THISTLE CONTROL.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$88,000.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>12/8/08</b>

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 81655, WO 172

**Type of work** Research

**District/Office** Policy Analysis, Research and Innovation

**Work Type Code** RE

**S.P.** 88016 00810

**T.H.** NA

**Location** Statewide

**Contractor** University of Minnesota

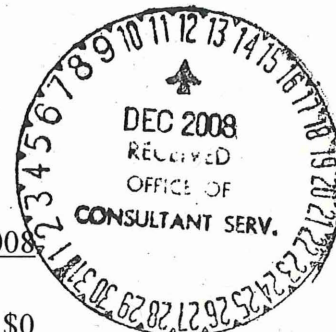
**Subcontractor** \_\_\_\_\_

**Subcontractor** \_\_\_\_\_

**Contract Period:** March 1, 2006 ; September 30, 2008; September 30, 2008  
Work Start Date Work Completion Date Expiration Date

**Total Contract Cost:** \$88,000.00 **Orig Cost:** \$88,000.00 **Amended Cost:** \$0

**Amended cost for:** ☐ **Overrun** ☐ **Additional Work** **Number of Amendments** 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

**Contractor's rating for this contract:**

**Total Points** 36  
(Maximum points 36)

**Project Manager:**

Vina Markedon

**Contract Administrator:**

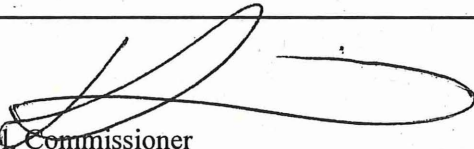

[Signature]

Ashley Dyer  
12/16/08



## Report on Professional/Technical Contracts Over \$50,000

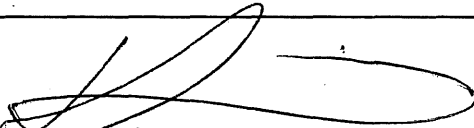
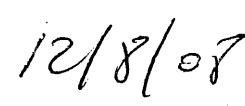
Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Bolton & Menk Inc.		<b>CFMS Contract Number:</b> B19899
<b>Project Name:</b> HARN Observations	<b>Mn/DOT Contract No.:</b> 93326	<b>Project Duration (Dates):</b> 9/22/2008-7/31/2009
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  HARN observation in Pine and Carleton counties.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$98,000.00	<b>Source of Funding:</b> Land Management
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## Report on Professional/Technical Contracts Over \$50,000

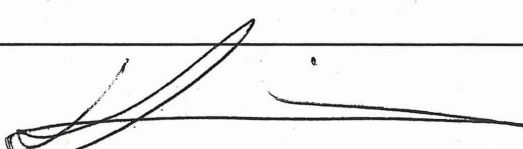
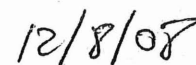
Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Bolton & Menk Inc.		<b>CFMS Contract Number:</b> B19899
<b>Project Name:</b> HARN Observations	<b>Mn/DOT Contract No.:</b> 93326	<b>Project Duration (Dates):</b> 9/22/2008-7/31/2009
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  HARN observation in Pine and Carleton counties.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$98,000.00	<b>Source of Funding:</b> Land Management
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# Report on Professional/Technical Contracts Over \$50,000

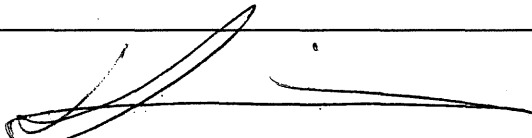
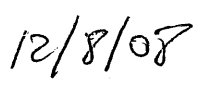
Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> DIERINGER RESEARCH GROUP, INC		<b>CFMS Contract Number:</b>
<b>Project Name:</b> Mileage Based User Fee Public Perception Phase II	<b>Mn/DOT Contract No.:</b> 92934	<b>Project Duration (Dates):</b> 7/16 – 10/31 , 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  MNDOT was awarded funding from FHWA to determine attitudes of public towards this (MBUF) finding alternative. In order to conduct this second phase of the 3 phase project. We had to have a professional moderator with a a full crew of trained recruiting interviews, in order to conduct 10 focus groups around the state.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 64,875.00	<b>Source of Funding:</b> FHWA
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## Report on Professional/Technical Contracts Over \$50,000

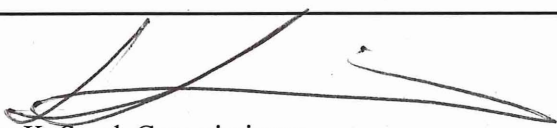
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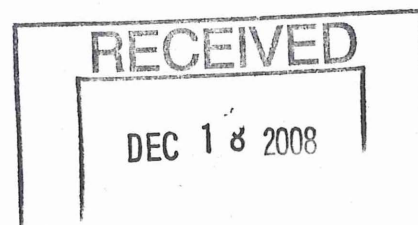
<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> DIERINGER RESEARCH GROUP, INC		<b>CFMS Contract Number:</b>
<b>Project Name:</b> Mileage Based User Fee Public Perception Phase II	<b>Mn/DOT Contract No.:</b> 92934	<b>Project Duration (Dates):</b> 7/16 – 10/31 , 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  MNDOT was awarded funding from FHWA to determine attitudes of public towards this (MBUF) finding alternative. In order to conduct this second phase of the 3 phase project. We had to have a professional moderator with a a full crew of trained recruiting interviews, in order to conduct 10 focus groups around the state.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 64,875.00	<b>Source of Funding:</b> FHWA
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## Report on Professional/Technical Contracts Over \$50,000

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<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Coleman Engineering Company		<b>CFMS Contract Number:</b> B19902
<b>Project Name:</b> HARN Observations	<b>Mn/DOT Contract No.:</b> 93325	<b>Project Duration (Dates):</b> 10/6/2008-7/31/2009
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  HARN observation in southeastern part of Duluth district.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$98,000.00	<b>Source of Funding:</b> Land Management
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 12/8/08



cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 93325

Type of Work HARN Observations

District/Office Duluth

Work Type Code SU

SP Number NA TH Number NA

Location Southeastern part of Duluth district

Contractor Coleman Engineering Company.

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: 10/6/2008 ; 11/18/2008 ; 7/31/2009  
Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$98,000.00 = Orig Cost: \$98,000.00 + Amended Cost: \$ \_\_\_\_\_

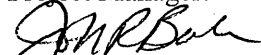
Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments \_\_\_\_\_

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

Contractor's rating for this Contract:

Total Points: 36 (Maximum points 36)

Project Manager:



Name

12/4/2008

Date

Contract Administrator:



Name

12/4/08

Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

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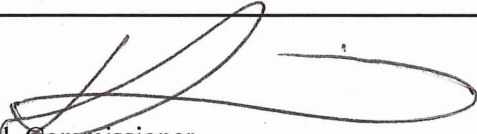

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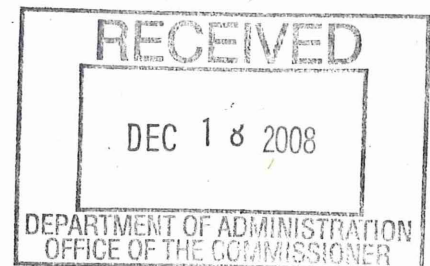
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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Bolton & Menk Inc.		<b>CFMS Contract Number:</b> B19899
<b>Project Name:</b> HARN Observations	<b>Mn/DOT Contract No.:</b> 93326	<b>Project Duration (Dates):</b> 9/22/2008-7/31/2009
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  HARN observation in Pine and Carleton counties.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$98,000.00	<b>Source of Funding:</b> Land Management
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date



5008 DEC 18 6 5: #J

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 93326

Type of Work HARN Observations

District/Office Duluth

Work Type Code SU

SP Number NA TH Number NA

Location Pine and Carlton counties

Contractor Bolton & Menk Inc.

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: 9/22/2008 ; 11/16/2008 ; 7/31/2009  
                             Work Start Date                      Work Completion Date      Expiration Date

Total Contract Cost: \$98,000.00 = Orig Cost: \$98,000.00 + Amended Cost: \$ \_\_\_\_\_

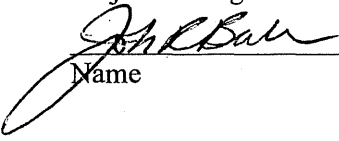
Amended for: ~ Overrun    ~ Additional Work    ~ Time Only    Number of Amendments \_\_\_\_\_

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			


Contractor's rating for this Contract:

Total Points: 36 (Maximum points 36)

Project Manager:

 12/4/2008  
 Name                                      Date

Contract Administrator:

 12/4/08  
 Name                                      Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 1/26/2007)

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

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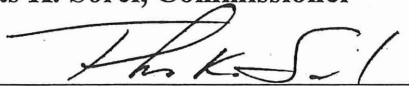
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# Report on Professional/Technical Contracts Over \$50,000

Minnesota Statutes Section 16C.08, subdivision 4 (c), requires the head of an agency submit a one-page report to the commissioner of Administration upon completion of a professional/technical services contract over \$50,000.00.

Instructions: Submit this form to Consultant Services, Mail Stop 680, with the final invoice.

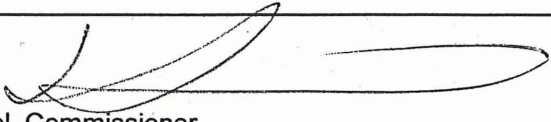
Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>Martinez Corporation.</b>		CFMS Contract Number: <b>B-19057</b>
Project Name (if applicable): <b>Photogrammetric Mapping.</b>	Mn/DOT Contract No.: <b>93272</b>	Project Duration (Dates): <b>8/25/08 to 12/2/08</b>
<p>Summarize the purpose of the contract, including why it was necessary to enter into a contract:</p> <p><b>The Purpose of this contract: The Consultant was contracted to provide Photogrammetric Mapping for portion of TH 5 (Waconia to TH 5 in Chanhassen), Metro-West District area. Consultant will, complete Aerial Triangulations (AT), compile Planimetric Features (Plan), create a Digital Terrain Model (DTM) and produce an Digital Ortho (Ortho-Photo), for this project.</b></p> <p><b>"Advances a transportation purpose", we have employees for this purpose but they are working at capacity. In house we run two shifts for Photogrammetric Mapping, but equipment and personnel constraints, requires us to contract out to Consultants.</b></p>		
Billable Hours (if applicable): <b>No.</b>	Total Contract Amount: <b>\$82,250.00</b>	Source of Funding: <b>Land Management, Consultant.</b>
<p>Explain why this amount was a cost effective way for the agency to provide its services or products better or more efficiently:</p> <p><b>Performing this work ourselves would be for more expense. Capital costs for necessary additional equipment and ongoing costs for personnel for this type of work are prohibitive for the State.</b></p>		
<p>If this was a single source contract, explain why the agency determined there was only a single source for the services:</p> <p><b>No.</b></p>		
<p>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:</p> <p><b>Please see the attached evaluation form.</b></p>		
Thomas K. Sorel, Commissioner 		Date: <b>12/5/08</b>

cc: **P.Stembler, 112 Admin**  
J. Brunner, MS 680  
File

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

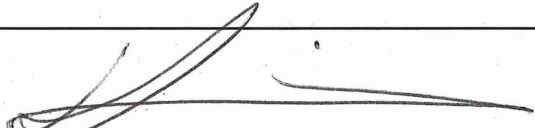

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

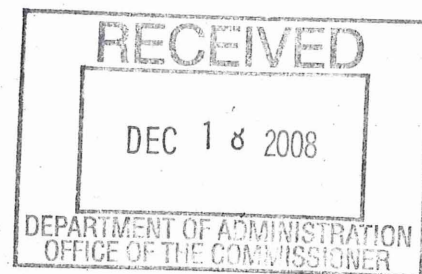
Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>B08083</b>
Project Name (if applicable): <b>CY07 OPERATIONAL RESEARCH PROGRAM FOR LOCAL TRANSPORTATION GROUPS OPERA</b>	Mn/DOT Agreement No.: <b>89261 WO 82</b>	Project Duration (Dates): <b>10/29/2007 - 9/30/2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>The objectives of this research include the promotion of innovations in operations and maintenance by stimulating and conducting research; the creation of an environment for intelligent improvements to maintenance operations with a safer, easier and more efficient environment for the worker; ability to provide the motoring public with a safer, user friendly, efficient and environmentally sound transportation network; and the facilitation of disseminating the operations technology through the RIC, Circuit Training and Assistance Program (CTAP), the publication of accurate reports and participatino in the Spring and Fall Maintenance Expo and Minnesota LTAP.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$70,000.00</b>	Source of Funding: <b>LOCAL ROAD RESEARCH BOARD</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>11/18/08</b>

cc: **Paul Stembler, Dept. of Administration**  
**Jeff Brunner, Consultant Services Section**  
**File**

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> DIERINGER RESEARCH GROUP, INC		<b>CFMS Contract Number:</b>
<b>Project Name:</b> Mileage Based User Fee Public Perception Phase II	<b>Mn/DOT Contract No.:</b> 92934	<b>Project Duration (Dates):</b> 7/16 – 10/31, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  MNDOT was awarded funding from FHWA to determine attitudes of public towards this (MBUF) finding alternative. In order to conduct this second phase of the 3 phase project. We had to have a professional moderator with a a full crew of trained recruiting interviews, in order to conduct 10 focus groups around the state.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 64,875.00	<b>Source of Funding:</b> FHWA
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date



cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



# CONSULTANT PERFORMANCE EVALUATION

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Contract No. 92934 Type of Work MARKET RESEARCH  
 District/Office C.O. Work Type Code 0253 MR  
 SP Number NA TH Number NA Location C.O.  
 Contractor Deinger Research Group, Inc.  
 Subcontractor none  
 Subcontractor "  
 Contract Period: 7/16/08 ; 10/31/08 ; 10/31/08  
 Work Start Date Work Completion Date Expiration Date  
 Total Contract Cost: \$ 64,875<sup>00</sup> = Orig Cost: \$ 64,875<sup>00</sup> + Amended Cost: \$ 0  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 0

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time		3		
5. Project related cooperation	4			
6. QA/QC plan conformance	NA			
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

Contractor's rating for this Contract:

Total Points: 28 (Maximum points 32)

Project Manager:

McMahon 12/1/08  
 Name Date

Contract Administrator:

Helena Magnus 12/3/08  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680



**Definitions:****Above Average:**

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- Contractor performs beyond expectations.
- Deliverables exceed standards.
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**Below Average:**

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- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

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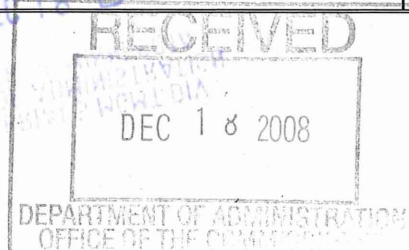
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Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>Martinez Corporation.</b>		CFMS Contract Number: <b>B-19057</b>
Project Name (if applicable): <b>Photogrammetric Mapping.</b>	Mn/DOT Contract No.: <b>93272</b>	Project Duration (Dates): <b>8/25/08 to 12/2/08</b>
<p>Summarize the purpose of the contract, including why it was necessary to enter into a contract:</p> <p><b>The Purpose of this contract: The Consultant was contracted to provide Photogrammetric Mapping for portion of TH 5 (Waconia to TH 5 in Chanhassen), Metro-West District area. Consultant will, complete Aerial Triangulations (AT), compile Planimetric Features (Plan), create a Digital Terrain Model (DTM) and produce an Digital Ortho (Ortho-Photo), for this project.</b></p> <p><b>"Advances a transportation purpose", we have employees for this purpose but they are working at capacity. In house we run two shifts for Photogrammetric Mapping, but equipment and personnel constraints, requires us to contract out to Consultants.</b></p>		
Billable Hours (if applicable): <b>No.</b>	Total Contract Amount: <b>\$82,250.00</b>	Source of Funding: <b>Land Management, Consultant.</b>
<p>Explain why this amount was a cost effective way for the agency to provide its services or products better or more efficiently:</p> <p><b>Performing this work ourselves would be for more expense. Capital costs for necessary additional equipment and ongoing costs for personnel for this type of work are prohibitive for the State.</b></p>		
<p>If this was a single source contract, explain why the agency determined there was only a single source for the services:</p> <p><b>No.</b></p>		
<p>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:</p> <p><b>Please see the attached evaluation form.</b></p>		
Thomas K. Sorel, Commissioner 		Date: <b>12/5/08</b>

cc: **P. Stembler, 112 Admin**  
**J. Brunner, MS 680**  
File



# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 93272 Type of Work Photogrammetry Mapping  
 District/Office MetroWest Work Type Code 10.1  
 SP Number 1002 TH Number 5 Location (Waconia to TH41 in Chanhassen)  
 Contractor Martinez Corp.  
 Subcontractor —  
 Subcontractor —

Contract Period: 8-25-08 ; Dec 2, 08 ; June 30, 09  
 Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$ 82,250 = Orig Cost: \$ 82,250 + Amended Cost: \$ 0

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments —

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	✓			
2. Work Performance	✓			
3. Conformance with Mn/DOT Standards/Requirements	✓			
4. Deliverables Complete and on time	✓			
5. Project related cooperation	✓			
6. QA/QC plan conformance		✓		
7. Contract administration cooperation	✓			
8. Invoices and progress reports		✓		
9. Cost estimation/budget management		✓		

Contractor's rating for this Contract:

Total Points: 33 (Maximum points 36)

Project Manager:

Adam Smith 12/3/08  
 Name Date

Adam Smith

Contract Administrator:

Mehrtash Mehran 12-3-08  
 Name Date

MEHRTASH MEHRAN

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>University of Minnesota</b>		CFMS Contract Number: <b>A93065</b>
Project Name (if applicable): <b>8805(113): The Effectiveness &amp; Safety of Traffic and Non-Traffic Related Messages Presented on Changeable Messages Signs.</b>	Mn/DOT Agreement No.: <b>89261 WO 27</b>	Project Duration (Dates): <b>9/25/2006 - 12/31/2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  Conduct study addressing the following: <ul style="list-style-type: none"><li>• Driver behavior and attitudes in response to CMSs with unambiguous messages (including both Amber Alerts and time-specific, traffic-related messages)</li><li>• Driver attitudes in response to travel time information will be assessed with survey questions.</li><li>• To assess driving simulator validity, speed data obtained with the driving simulator used in the first CMS project (completed in June 2003) will be compared with real world speed data (collected by the Regional Traffic Management Center).</li><li>• By testing unambiguous CMSs with the proposed study will lead to a more substantial knowledge base regarding driver response to CMSs. This in turn will likely yield valuable safety benefits.</li><li>• A real world process analysis will result in the development of a CMS operational strategy for incident management in terms of message content, duration of message, and message location.</li></ul>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$88,000.00</b>	Source of Funding: <b>Trunk Highway</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  N/A		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
For Thomas K. Sorel / Commissioner of Transportation		Date <b>12-4-08</b>

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>University of Minnesota</b>		CFMS Contract Number: <b>A93065</b>
Project Name (if applicable): <b>8805(113): The Effectiveness &amp; Safety of Traffic and Non-Traffic Related Messages Presented on Changeable Messages Signs.</b>	Mn/DOT Agreement No.: <b>89261 WO 27</b>	Project Duration (Dates): <b>9/25/2006 - 12/31/2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  Conduct study addressing the following: <ul style="list-style-type: none"><li>• Driver behavior and attitudes in response to CMSs with unambiguous messages (including both Amber Alerts and time-specific, traffic-related messages)</li><li>• Driver attitudes in response to travel time information will be assessed with survey questions.</li><li>• To assess driving simulator validity, speed data obtained with the driving simulator used in the first CMS project (completed in June 2003) will be compared with real world speed data (collected by the Regional Traffic Management Center).</li><li>• By testing unambiguous CMSs with the proposed study will lead to a more substantial knowledge base regarding driver response to CMSs. This in turn will likely yield valuable safety benefits.</li><li>• A real world process analysis will result in the development of a CMS operational strategy for incident management in terms of message content, duration of message, and message location.</li></ul>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$88,000.00</b>	Source of Funding: <b>Trunk Highway</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  N/A		
<div data-bbox="1058 1226 1492 1499" data-label="Image"></div>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
For Thomas K. Sorel / Commissioner of Transportation		Date <b>12-4-08</b>

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 89261 WO 27

**Type of work** Research

**District/Office:** Policy Analysis, Research & Innovation **Work Type Code** RE

**S.P.** 88016 00786 **T.H.** \_\_\_\_\_ **Federal Project #:** 8805(113) **Location** Statewide

**Contractor:** University of Minnesota

**Subcontractor** \_\_\_\_\_

**Contract Period:** 9/25/2006 ; 10/13/2008 ; 12/31/2008  
**Work Start Date** **Work Completion Date** **Expiration Date**

**Total Contract Cost:** \$88,000.00 **Original Cost:** \$88,000.00 **Amended Cost:** \$0.00

**Amended cost for:** ☐ Overrun ☐ Additional Work **Number of Amendments** 1

(6- Month additional time needed to complete final report)



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

**Contractor's rating for this contract:**

**Total Points** 32  
 (Maximum points 36)

**Project Manager:**

**Contract Administrator:**

Todd Kramasz 11/18/08  
 Signature Date

[Signature] 12/3/08  
 Signature Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

Project was delayed due to delays encountered during data collection phase. Better time estimates at the beginning of the project are still needed.

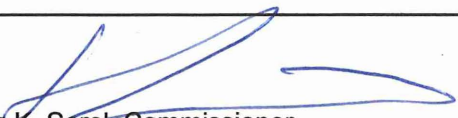
Overall PI performed just fine and a good result was achieved.



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A57158</b>
Project Name (if applicable): <b>TOWARD A MULTI-STATE CONSENSUS ON RURAL INTERSECTION DECISION SUPPORT</b>	Mn/DOT Agreement No.: <b>81655, WO 106</b>	Project Duration (Dates): <b>JANUARY 2, 2004 – DECEMBER 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>MULTI-STATE POOLED FUND STUDY TO GAIN A NATIONAL BASIS FOR DEPLOYMENT OF ITS INTERSECTION DECISION SUPPORT (IDS) PROJECT. PLAN HAS 3 FACETS: 1) A REVIEW OF STATE INTERSECTION CRASHES FOR EACH PARTICIPATING STATE, 2) PARTICIPATION IN THE PROCESS TO DESIGN AND REFINE CANDIDATE INTERSECTION DRIVER/INFRASTRUCTURE INTERFACES, AND 3) INSTRUMENTATION OF CANDIDATE INTERSECTIONS TO ACQUIRE DATA REGARDING THE BEHAVIOR OF DRIVERS AT RURAL INTERSECTIONS OVER A WIDE GEOGRAPHICAL BASE.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$467,351.10</b>	Source of Funding: <b>POOLED FUND</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
For Thomas K. Sorrel, Commissioner 		Date <b>12/2/08</b>

cc: ~~Paul Stember~~, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

JC

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 81655, WO 106 **Type of work** Research  
**District/Office** Policy Analysis, Research and Innovation **Work Type Code** \_\_\_\_\_  
**S.P.** NA **T.H.** NA **Location** Statewide

**Contractor** University of Minnesota

**Subcontractor** \_\_\_\_\_

**Subcontractor** \_\_\_\_\_

**Contract Period:** January 2, 2004 ; October 31, 2008 ; December 31, 2008  
Work Start Date Work Completion Date Expiration Date

**Total Contract Cost:** \$498,500.00 **Orig Cost:** \$430,502.00 **Amended Cost:** \$67,998.00

**Amended cost for:** ☐ **Overrun** ☐ **Additional Work** **Number of Amendments** 3

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time	4			
5. Project related cooperation	4			
6. QA/QC plan conformance	4			
7. Contract administration cooperation	4			
8. Invoices and progress reports	4			
9. Cost estimation/budget management	4			

**Contractor's rating for this contract:**

**Total Points** 36  
 (Maximum points 36)

**Project Manager:**

**Contract Administrator:**

Ginny Crowson

Debra Fick

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

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## Research Contractor Performance Evaluation

1. If this is a Mn/DOT funded project: Do the research results help you meet the Minnesota Statewide Transportation Plan 2003-2023 Policies and Performance Measurement Plan that was originally identified when the project was funded, which was \_\_\_\_\_?  
X Yes \_ No  
If No, Why?  
If Yes, How?
2. Do you recommend implementation of the research results?  
X Yes \_ No If No, Why not?
3. Are there measurable impacts (monetary & non-monetary) that were a result of this research? X Yes \_ No If Yes, what were they? A better understanding of crash causes and locations of highest crash rates was achieved.  
(Examples: cost savings, reduction in materials, time savings, safety, etc.)
4. Rate the quality of technical work of the Principal Investigator.  
\_1 \_2 \_3 \_4 \_5 \_6 \_7 \_8 \_9 X10  
Poor Great
5. Was the information from the research available in time to be useful?  
X Yes \_ No Explain:
6. On a scale of 0-10, indicate your overall satisfaction with this project.  
\_0, Not at all satisfied  
\_2, Not satisfied, but some useful results obtained  
\_4, Somewhat satisfied, but not what I hoped  
\_6, Satisfied, non-useful results  
\_8, Satisfied, useful results  
X 10, It was great!
7. Did you receive benefits that correspond to the project cost?  
X Yes \_ No Why or why not? The knowledge gained through this research was shared with eight other states and the pooling of funds for the research resulted in a significant cost savings among the states.
8. If given a choice, would you recommend hiring this person/firm again?  
X Yes \_ No Why or why not?

Additional Comments:

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EXHIBIT D  
INVOICE FORM

A92038 FY08 - \$31,148.90

Final  
Pay

Toward a Multi-State Consensus on Rural Intersection Decision Support

INVOICE NO. 2010016121

FINAL

Mn/DOT Contract No. 81655 W.O.# 106 AM#3

Billing Quarter: 07/01/2008 - 12/31/2008

Expiration Date: 12/31/2008

Invoice Date: 11/10/2008

	Total Contract Amount	Total Billing to Date	Amount Previously Billed	Billed This Invoice
Quarters 1-5 Payment Amount	\$165,577.70	\$165,577.70	\$165,577.70	\$0.00
Quarter 6 Payment Amount	\$33,115.54	\$33,115.54	\$33,115.54	\$0.00
Quarter 7 Payment Amount	\$42,829.54	\$42,829.54	\$42,829.54	\$0.00
Quarter 8 Payment Amount	\$42,829.54	\$42,829.54	\$42,829.54	\$0.00
Quarter 9 Payment Amount	\$42,829.54	\$42,829.54	\$42,829.54	\$0.00
Quarter 10 Payment Amount	\$15,574.36	\$15,574.36	\$15,574.36	\$0.00
Quarter 11 Payment Amount	\$15,574.36	\$15,574.36	\$15,574.36	\$0.00
Quarter 12 Payment Amount	\$15,574.36	\$15,574.36	\$15,574.36	\$0.00
Quarter 13 Payment Amount	\$15,574.36	\$15,574.36	\$15,574.36	\$0.00
Quarter 14 Payment Amount	\$15,574.36	\$15,574.36	\$15,574.36	\$0.00
Quarter 15 Payment Amount	\$15,574.36	\$15,574.36	\$15,574.36	\$0.00
Quarter 16 Payment Amount	\$15,574.36	\$15,574.36	\$15,574.36	\$0.00
Quarter 17 Payment Amount	\$15,574.36	\$15,574.36	\$15,574.36	\$0.00
Quarter 18 Payment Amount	\$15,574.36	\$15,574.36	\$15,574.36	\$0.00
Quarter 19 Payment Amount	\$15,574.36	\$15,574.36	\$0.00	\$15,574.36
Quarter 20 Payment Amount	\$15,574.54	\$15,574.54	\$0.00	\$15,574.54
Net Earnings Totals:	\$498,500.00	\$498,500.00	\$467,351.10	\$31,148.90
Total Amount Due This Invoice:			\$31,148.90	

## Box For Research Services Section Use Only

## Payment Breakdown

Activity Code	Line	Fiscal Year	Amount
9025	01	2008	\$ 31,148.90
			\$
			\$

I certify that the above statement is correct and payment has not been received.

Signature:

Print Name:

Title:

Billing Address:

Telephone:

Kerry Marsolek

Kerry Marsolek

Fiscal Officer

REGENTS OF THE UNIVERSITY OF MINNESOTA  
NW 5957  
PO Box 1450  
Minneapolis, MN 55485-5957

612/624-8053

## Box For Consultant Services Section Use Only

## Payment Information

Mn/DOT's Research Services Approval:

Signature:

Date:

Mn/DOT's Authorized Representative Approval:

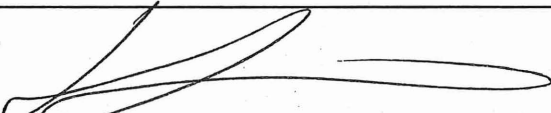
Signature:

Date:



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Twin Ports Testing, Inc.		<b>CFMS Contract Number:</b> A85744
<b>Project Name:</b> S.P. 7702-42 (TH 10)	<b>Mn/DOT Contract No.:</b> 88902	<b>Project Duration (Dates):</b> 02/03/06 To 04/30/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this agreement was to provide Environmental Assessment and Asbestos Abatement Oversight Services on S.P. 7702-42 (TH 10), as directed by the State Project Manager. It was necessary to enter into this agreement because the department did not have the necessary expertise on staff to do the contracted work.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$ 91,853.99	<b>Source of Funding:</b> Consultant Services Budget Allotment for District 3
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner <i>for</i>		<b>Date</b> 12/2/08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

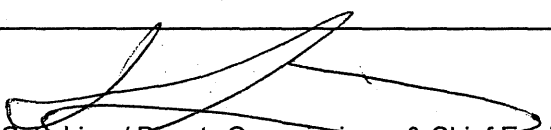
3008 DEC -2 10:23

STATE OF MINNESOTA  
DEPT OF TRANSPORTATION  
MAIL STOP 680

## Report on Professional/Technical Contracts Over \$50,000

**Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).**

**Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.**

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>Professional Engineering Services, Ltd.</b>		CFMS Contract Number: <b>B00796</b>
Project Name (if applicable): MPR-6(011): Intelligent Compaction Implementation. Training and Field Support	Mn/DOT Agreement No.: <b>91074</b>	Project Duration (Dates): 5/2/07-7/31/08
Summarize the purpose of the contract, including why it was necessary to enter into a contract:		
<p>Intelligent compaction has been specified as the required method for subgrade and/or base compaction on four State construction projects to be completed this season. Although two projects were completed using intelligent compaction last year, this is a relatively new method of specifying compaction values. To prepare the construction teams responsible for building and accepting materials on these projects, State's Office of Materials determined that a training course and instructional materials should be developed and provided to the construction team members. To aid in the use of intelligent compaction in the construction of new roadways.</p>		
Billable Hours (if applicable):	Total Amount Spent on Contract: 99,980.95	Source of Funding: <b>State Planning &amp; Research Program (SPR)</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:		
<p> </p>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:		
SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Khani Sahebjam / Deputy Commissioner & Chief Engineer		11/26/08 Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

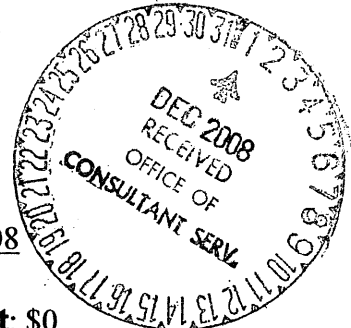
Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A78454</b>
Project Name (if applicable): <b>MONITORING AND ANALYSIS OF A FULL DEPTH PRECAST CONCRETE BRIDGE DECK SYSTEM</b>	Mn/DOT Agreement No.: <b>81655, WO 171</b>	Project Duration (Dates): <b>AUGUST 3, 2005 – SEPTEMBER 30, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>TO MONITOR AND EVALUATE THE BEHAVIOR OF A PRECAST CONCRETE BRIDGE DEVELOPED FOR RAPID REPLACEMENT/CONSTRUCTION. DATA COLLECTED WILL BE EVALUATED FOR PERFORMANCE OF THE BRIDGE AND ITS COMPONENTS, USING INSTRUMENTATION THAT WAS INSTALLED AS PART OF THE CONSTRUCTION PROJECT. PORTIONS OF SIMILAR DECK COMPONENTS CAST AT THE TIME OF THE BRIDGE CONSTRUCTION WILL BE MONITORED AND TESTED WITHIN THE LABORATORY ENVIRONMENT. THIS WILL PROVIDE A BETTER UNDERSTANDING OF THE PERFORMANCE OF THE SYSTEM IN ORDER TO DEVELOP BETTER DESIGN GUIDELINES AND STANDARD DETAILS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$190,477.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
Thomas K. Sorel, Commissioner		Date <b>11/26/08</b>

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 81655, WO 171      **Type of work** Research  
**District/Office** Policy Analysis, Research and Innovation      **Work Type Code** RE  
**S.P.** 88016 00773      **T.H.** NA      **Location** Statewide  
**Contractor** University of Minnesota  
**Subcontractor** \_\_\_\_\_  
**Subcontractor** \_\_\_\_\_



**Contract Period:** August 3, 2005 ; September 30, 2008; September 30, 2008  
                                     Work Start Date      Work Completion Date      Expiration Date

**Total Contract Cost:** \$190,477.00      **Orig Cost:** \$190,477.00      **Amended Cost:** \$0

**Amended cost for:**    ☐ **Overrun**      ☐ **Additional Work**      **Number of Amendments** 0

<b>Item Rating</b> 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	<b>Rating</b>			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time			X	
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management			X	

**Contractor's rating for this contract:**

**Total Points** 26  
 (Maximum points 36)

**Project Manager:**

Hunt Molina

**Contract Administrator:**

Alon [Signature]

Anthony Duen  
12/2/08

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

From 7/31/08

**From:** Keith Molnau  
**To:** Alan Rindels; Cathy French; don Loew; Erik Wolhowe; Joe Barbeau; Linda Preisen; Paul Rowekamp  
**Date:** 7/31/2008 9:38:25 AM  
**Subject:** Re: technical comments and organization for the Mn/DOT PCSSS report

Hi Cathy and all,

My review comments are attached and are very minor. This is really some outstanding work that has been done on this project. Congratulations with the completion of this. I do not think an additional meeting will be necessary to discuss these comments, as they are pretty minor. In addition to these comments, I will return my paper copy to Alan Rindel (as he has requested) and he can forward on as needed. There may be a couple typos I noted in the paper copy that are not included below.

Thanks for all the hard work and outstanding achievement with this project!

Keith



## Research Contractor Performance Evaluation

1. If this is a Mn/DOT funded project: Do the research results help you meet the Minnesota Statewide Transportation Plan 2003-2023 Policies and Performance Measurement Plan that was originally identified when the project was funded, which was 8.0?

☒ Yes ☐ No

If No, Why?

If Yes, How?

2. Do you recommend implementation of the research results?

☒ Yes ☐ No

If No, Why not?

3. Are there measurable impacts (monetary & non-monetary) that were a result of this research? ☒ Yes ☐ No If Yes, what were they?

(Examples: cost savings, reduction in materials, time savings, safety, etc.)

*re-assurance of appropriate design procedures*

4. Rate the quality of technical work of the Principal Investigator.

1 2 3 4 5 6 7 8 9 10

Poor

Great

5. Was the information from the research available in time to be useful?

☐ Yes ☒ No Explain:

*Thermal analysis data collected was somewhat a surprise to all, but not yet incorporated into design recommendations*

6. On a scale of 0-10, indicate your overall satisfaction with this project.

☐ 0, Not at all satisfied

☐ 2, Not satisfied, but some useful results obtained

☐ 4, Somewhat satisfied, but not what I hoped

☐ 6, Satisfied, non-useful results

☒ 8, Satisfied, useful results

☐ 10, It was great!

7. Did you receive benefits that correspond to the project cost?

☒ Yes ☐ No Why or why not?

8. If given a choice, would you recommend hiring this person/firm again?

☒ Yes ☐ No Why or why not?

### Additional Comments:

*We have made progress with this research since we have verified continuous behavior of bridge in field and development of restraint moments in lab beams. Data collected is useful for future designs, but will still need to evaluate if it is necessary to include thermal gradient in designs.*


5000 7000 10000 15000 20000 25000 30000 35000 40000 45000 50000 55000 60000 65000 70000 75000 80000 85000 90000 95000 100000

100000 150000 200000 250000 300000 350000 400000 450000 500000 550000 600000 650000 700000 750000 800000 850000 900000 950000 1000000

## Report on Professional/Technical Contracts Over \$50,000

**Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).**

**Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.**

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>Professional Engineering Services, Ltd.</b>		CFMS Contract Number: <b>B00796</b>
Project Name (if applicable): MPR-6(011): Intelligent Compaction Implementation. Training and Field Support	Mn/DOT Agreement No.: <b>91074</b>	Project Duration (Dates): 5/2/07-7/31/08
Summarize the purpose of the contract, including why it was necessary to enter into a contract:		
<p>Intelligent compaction has been specified as the required method for subgrade and/or base compaction on four State construction projects to be completed this season. Although two projects were completed using intelligent compaction last year, this is a relatively new method of specifying compaction values. To prepare the construction teams responsible for building and accepting materials on these projects, State's Office of Materials determined that a training course and instructional materials should be developed and provided to the construction team members. To aid in the use of intelligent compaction in the construction of new roadways.</p>		
Billable Hours (if applicable):	Total Amount Spent on Contract: 99,980.95	Source of Funding: <b>State Planning &amp; Research Program (SPR)</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:		
<p>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:</p> <p style="text-align: center;">SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</p>		
 Khani Sahebjam/ Deputy Commissioner & Chief Engineer		11/26/08 Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

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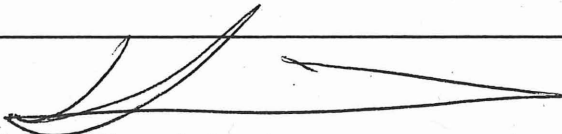
Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680



# Report on Professional/Technical Contracts Over \$50,000

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Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A78454</b>
Project Name (if applicable): <b>MONITORING AND ANALYSIS OF A FULL DEPTH PRECAST CONCRETE BRIDGE DECK SYSTEM</b>	Mn/DOT Agreement No.: <b>81655, WO 171</b>	Project Duration (Dates): <b>AUGUST 3, 2005 – SEPTEMBER 30, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>TO MONITOR AND EVALUATE THE BEHAVIOR OF A PRECAST CONCRETE BRIDGE DEVELOPED FOR RAPID REPLACEMENT/CONSTRUCTION. DATA COLLECTED WILL BE EVALUATED FOR PERFORMANCE OF THE BRIDGE AND ITS COMPONENTS, USING INSTRUMENTATION THAT WAS INSTALLED AS PART OF THE CONSTRUCTION PROJECT. PORTIONS OF SIMILAR DECK COMPONENTS CAST AT THE TIME OF THE BRIDGE CONSTRUCTION WILL BE MONITORED AND TESTED WITHIN THE LABORATORY ENVIRONMENT. THIS WILL PROVIDE A BETTER UNDERSTANDING OF THE PERFORMANCE OF THE SYSTEM IN ORDER TO DEVELOP BETTER DESIGN GUIDELINES AND STANDARD DETAILS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$190,477.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>11/26/08</b>

cc: **Paul Stembler, Dept. of Administration**  
**Jeff Brunner, Consultant Services Section**  
**File**

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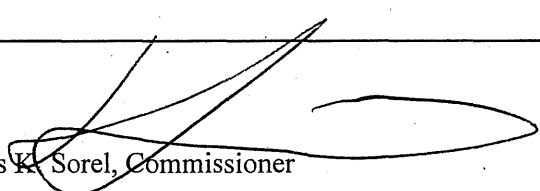
<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Bonestroo, Inc.		<b>CFMS Contract Number:</b> B11510
<b>Project Name:</b> Metal Matic Parking Lot	<b>Mn/DOT Contract No.:</b> 92153	<b>Project Duration (Dates):</b> February 5, 2008 to October 10, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The Metal Matic Company lost its parking facility when the I-35W bridge over the Mississippi River collapsed. Mn/DOT compensated Metal Matic with a new parking lot on a nearby property. That property was occupied by a building and parking lot. Mn/DOT razed the building, backfilled the basement and compacted the soils.  The purpose of this consultant contract was to provide a topographic survey of the site, provide preliminary and final design services for the new parking lot, and assist in obtaining permits necessary to construct the parking lot.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$99,984.08	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 11/25/08

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File



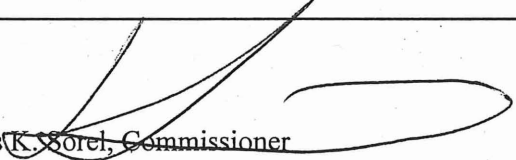

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<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> LHB, Inc.		<b>CFMS Contract Number:</b> B11830
<b>Project Name:</b> Gusset Plate Connection System Rating & Load Posting Analyses of TH Truss Bridges	<b>Mn/DOT Contract No.:</b> 92402	<b>Project Duration (Dates):</b> February 8, 2008 – July 31, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The contract was for the performance of the gusset plate connection system rating analysis and load posting analysis on the selected state Trunk Highway bridges. The final products include the new ratings, recommendations for posting at or below the legal load when required, and additional detailed structure analysis.		
<b>Billable Hours (if applicable):</b> NA	<b>Total Amount Spent on Contract:</b> \$ 66,500.00	<b>Source of Funding:</b> Consultant Services Budget: Bridge Office Allocation
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>          		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 11/25/08

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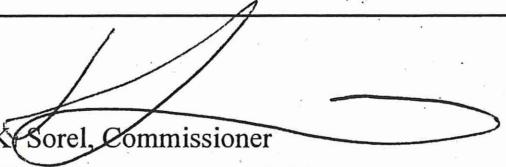
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<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> WSB and Associates, Inc.		<b>CFMS Contract Number:</b> B10034
<b>Project Name:</b> Rating Truss Bridges	<b>Mn/DOT Contract No.:</b> 92092	<b>Project Duration (Dates):</b> December 17, 2007 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The Contract was for bridge ratings of three selected statewide Trunk Highway truss bridges of various types. All the bridges are to be modeled in a mutually agreed computer program like Staad Beva Software or Virtis, the State's current Bridge Rating Software, or any other approved software.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$79,868.18	<b>Source of Funding:</b> Consultant Services Budget: Bridge Office Allocation
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

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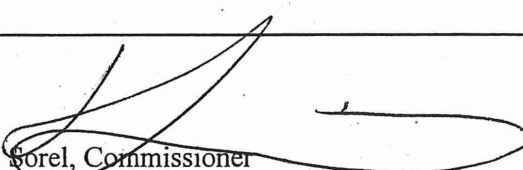
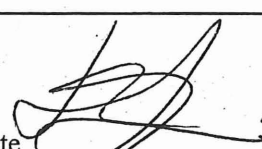
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<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> SEH Inc.		<b>CFMS Contract Number:</b> B02958
<b>Project Name:</b> Litchfield Area Transportation Study	<b>Mn/DOT Contract No.:</b> 90904	<b>Project Duration (Dates):</b> July 2, 2007 - December 1, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The State and its partners, City of Litchfield and Meeker County, needed a comprehensive transportation study to determine the future transportation needs of the area in and around the city of Litchfield Minnesota. The study was needed to properly plan for future transportation projects by the State, City and County. The results of the study will also be used by the State's partners in regard to City and County plans for future land use and development. Use of a consultant contractor to conduct this study was necessary due to the limited availability of staff with the special skills needed to conduct the study. Due to the staff limitations, the State was not able to commit sufficient resources to conduct the study, and the State's partners did not have the expertise needed to conduct the study.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$100,000.00 (State funds only) \$125,000.00 (State and partners total)	<b>Source of Funding:</b> Mn/DOT District 8 Consultant Budget
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 11/25/08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
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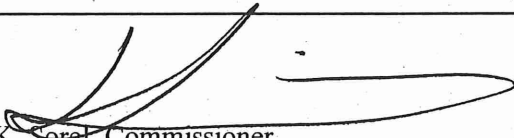
<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> HNTB Corporation		<b>CFMS Contract Number:</b> B12049
<b>Project Name:</b> Truss and gusset plate connection system rating analysis of Bridge No. 4654	<b>Mn/DOT Contract No.:</b> 92401	<b>Project Duration (Dates):</b> February 20, 2008 to September 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The contract was for conducting a truss rating analysis and gusset plate connection system rating analysis of Bridge No. 4654, Stillwater Lift Bridge. The truss rating analysis also included the investigation on the secondary force effects of the truss members with impact damage.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$69,430.70	<b>Source of Funding:</b> Consultant Services Budget: Bridge Office Allocation
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 11/25/08 Date

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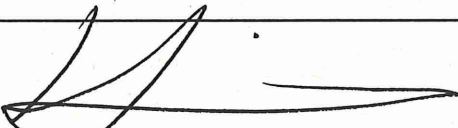
Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> Department of Transportation		
<b>Contractor Name:</b> Park Nicollet Health Services		<b>CFMS Contract Number:</b> A94744
<b>Project Name:</b> Physical Demands Analysis/ Pre-Employment Physicals	<b>Mn/DOT Contract No.:</b> 90201	<b>Project Duration (Dates):</b> 10/10/06 – 07/31/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  Provide pre-employment physicals as necessary to determine whether are applicants meet qualifications of positions. Perform certain on-going health screenings and exams as necessary once an employee is on the job. Create accurate and updated assessments of the physical demands and characteristics of certain Mn/DOT classifications. Physical assessments are out of date and do not include those classifications/jobs experiencing the most work related injuries.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$56,079.19	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  The Pre-employment Physical Examinations and Assessments have been identified as a candidate for enterprise contract under the Drive to Excellence. It is anticipated that an enterprise procurement will be initiated this winter, and a contract in place by FY '08. Park Nicollet is currently under contract to Mn/DOT to perform these services, however that contract will soon reach its 5-year limit. We would like to establish this new contract as a short-term "bridge" until the enterprise-wide contract is in place. It would save Mn/DOT money not having to go through the full RFP process to have another contract set up for 6 to 9 months.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 11/21/08

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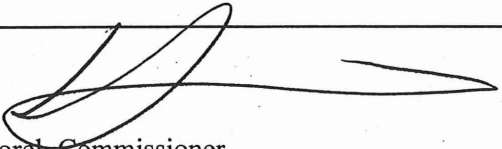
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<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Groundwater & Environmental Services, Inc.		<b>CFMS Contract Number:</b> A98911
<b>Project Name:</b> Construction Monitoring	<b>Mn/DOT Contract No.:</b> 90694	<b>Project Duration (Dates):</b> 3-9-07 to 1-30-09
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this contract was to provide assistance to Mn/DOT in properly monitoring the excavation, handling, treatment and disposal of contaminated soil, groundwater, and regulated waste materials encountered during the construction project. It is most cost effective to hire an outside Contractor for this type of work which does not occur frequently enough on highway projects to have a trained and equipped team within Mn/DOT.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$53,740.56	<b>Source of Funding:</b> D-4 Consultant Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 for Thomas K. Sorel, Commissioner		<b>Date</b> 11/21/08

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<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> LHB, Inc.		<b>CFMS Contract Number:</b> B10279
<b>Project Name:</b> Rating Truss Bridges	<b>Mn/DOT Contract No.:</b> 92054	<b>Project Duration (Dates):</b> December 17, 2007 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The Contract was for rating and load posting analysis of three selected statewide Trunk Highway truss bridges of various types. Services included new rating analyses, recommendations for posting at or below the legal load when required, additional detailed structure analysis spreadsheets, and a QA review of the ratings. A Contractor was used because MnDOT's Bridge Rating Unit is currently overburdened and is not able to perform the required ratings in-house.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$81,392.07	<b>Source of Funding:</b> Consultant Services Budget: Bridge Office Allocation
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>     		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 11/21/08

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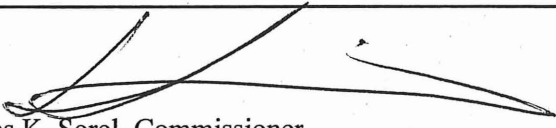
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Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A89461</b>
Project Name (if applicable): <b>2006 OPERATIONAL RESEARCH PROGRAM FOR LOCAL TRANSPORTATION GROUPS OPERA</b>	Mn/DOT Agreement No.: <b>81655 WO 221</b>	Project Duration (Dates): <b>6/7/2006 - 7/31/2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>The purpose of this project will be used to promote innovations in operations and maintenance by stimulating and conducting research to create an environment for intelligent improvements to maintenance operations with a safer, easier and more efficient environment for the worker; to provide the motoring public with a safer, user friendly efficient and environmentally sound transportation network; and to facilitate the dissemination of operations technology through the Research Implementation Committee (RIC), Circuit Training and Assistance Program (CTAP), the publication of accurate reports and participation in the Spring and Fall Maintenance Expo and Minnesota Local Technical Assistance Program (TLAP).</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$70,000.00</b>	Source of Funding: <b>LOCAL ROAD RESEARCH BOARD</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
Thomas K. Sorel, Commissioner		Date <b>11/18/08</b>

cc: **Paul Stembler, Dept. of Administration**  
Jeff Brunner, Consultant Services Section  
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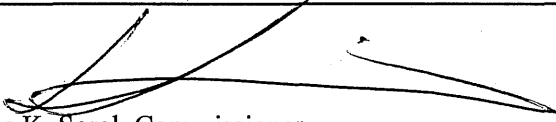
<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Coleman Engineering Company		<b>CFMS Contract Number:</b> B19902
<b>Project Name:</b> HARN Observations	<b>Mn/DOT Contract No.:</b> 93325	<b>Project Duration (Dates):</b> 10/6/2008-7/31/2009
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  HARN observation in southeastern part of Duluth district.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$98,000.00	<b>Source of Funding:</b> Land Management
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 12/8/08

Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Coleman Engineering Company		<b>CFMS Contract Number:</b> B19902
<b>Project Name:</b> HARN Observations	<b>Mn/DOT Contract No.:</b> 93325	<b>Project Duration (Dates):</b> 10/6/2008-7/31/2009
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  HARN observation in southeastern part of Duluth district.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$98,000.00	<b>Source of Funding:</b> Land Management
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		<b>Date</b> 12/8/08

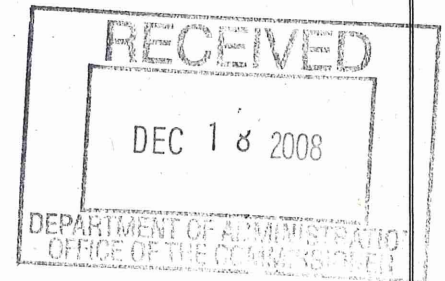
cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A89461</b>
Project Name (if applicable): <b>2006 OPERATIONAL RESEARCH PROGRAM FOR LOCAL TRANSPORTATION GROUPS OPERA</b>	Mn/DOT Agreement No.: <b>81655 WO 221</b>	Project Duration (Dates): <b>6/7/2006 - 7/31/2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>The purpose of this project will be used to promote innovations in operations and maintenance by stimulating and conducting research to create an environment for intelligent improvements to maintenance operations with a safer, easier and more efficient environment for the worker; to provide the motoring public with a safer, user friendly efficient and environmentally sound transportation network; and to facilitate the dissemination of operations technology through the Research Implementation Committee (RIC), Circuit Training and Assistance Program (CTAP), the publication of accurate reports and participation in the Spring and Fall Maintenance Expo and Minnesota Local Technical Assistance Program (TLAP).</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$70,000.00</b>	Source of Funding: <b>LOCAL ROAD RESEARCH BOARD</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
Thomas K. Sorel, Commissioner 		Date <b>11/18/08</b>



cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 81655, WO 221

**Type of work** Research

**District/Office** Policy Analysis, Research & Innovation

**Work Type Code** RE

**S.P.** NA

**T.H.** NA

**Location** Statewide

**Contractor** University of Minnesota

**Subcontractor**

**Subcontractor**

**Contract Period:** 6/7/2006

7/31/2008

7/31/2008

Work Start Date

Work Completion Date

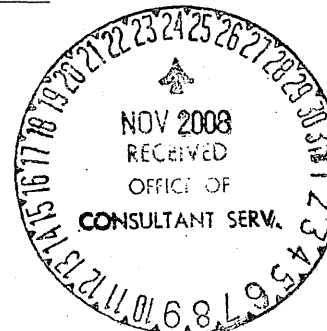
Expiration Date

**Total Contract Cost:** \$70,000.00 = **Orig Cost:** \$70,000.00 + **Amended Cost:** \$0

**Amended cost for:** ☐ Overrun

☐ Additional Work

**Number of Amendments** 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation	X			
8. Invoices and progress reports		X		
9. Cost estimation/budget management	X			

**Contractor's rating for this contract:**

**Total Points** 30  
(Maximum points 36)

**Project Manager:**

**Contract Administrator:**

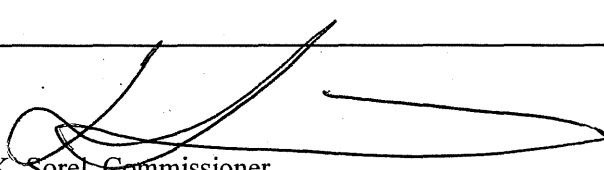
Julie Skallman  
(as per 11/18 email)

Chris Mae

Anthony Davis  
12/2/08

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

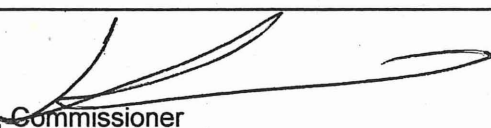
<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Short Elliott Hendrickson, Inc.		<b>CFMS Contract Number:</b> A69311
<b>Project Name:</b>	<b>Mn/DOT Contract No.:</b> 86349	<b>Project Duration (Dates):</b> 10/8/04 – 9/30/06
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  This contract was to provide CORSIM (Corridor Simulation) traffic modeling and analysis for the Trunk Highway 100 corridor from Excelsior Boulevard to I-394. A combination of seven build and no-build alternatives will be modeled. This contract was necessary to provide information needed for future design of Trunk Highway 100 from Excelsior Boulevard to I-394. In-house staff with the necessary expertise were unavailable to provide this specialized one-time service.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$276,017.94	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 11/17/08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A64263</b>
Project Name (if applicable): <b>IMPROVED METHODOLOGIES FOR THE INOCULATION OF PRAIRIE LEGUMES IN ROADSIDE/REVEGETATION SETTINGS</b>	Mn/DOT Agreement No.: <b>81655, WO 127</b>	Project Duration (Dates): <b>AUGUST 1, 2004 – DECEMBER 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>THE EVALUATION OF THREE DIFFERENT METHODS OF INOCULATION AIMED AT INCREASING RHIZOBIAL NUMBERS SUPPLIED FOR PRAIRIE LEGUMES AND IN MONITORING LEGUME ESTABLISHMENT AND FUNCTION AFTER INOCULATION. OUR GOAL WILL BE TO IDENTIFY METHODS OF INOCULANT AND RHIZOBIAL STRAINS THAT ALLOW FULL PRAIRIE ESTABLISHMENT AND FUNCTION.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$103,375.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
Thomas K. Sorel, Commissioner 		Date <b>11/12/08</b>

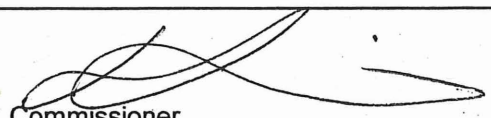
cc: **Paul Stembler, Dept. of Administration**  
Jeff Brunner, Consultant Services Section  
File



# Report on Professional/Technical Contracts Over \$50,000

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Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A90916</b>
Project Name (if applicable): <b>ACCESS TO DESTINATIONS: HOW CLOSE IS CLOSE ENOUGH? ESTIMATE ACCURATE DISTANCE DECAY FUNCTIONS FOR DIFFERENT PURPOSED &amp; MULTIPLE MODELS</b>	Mn/DOT Agreement No.: <b>89261, WO 32</b>	Project Duration (Dates): <b>JULY 31, 2006 – MAY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>EXISTING URBAN AND SUBURBAN DEVELOPMENT PATTERNS AND THE SUBSEQUENT AUTOMOBILE DEPENDENCE ARE LEADING TO INCREASED TRAFFIC CONGESTION AND AIR POLLUTION. IN RESPONSE TO THE GROWING ILLS CAUSED BY URBAN SPRAWL, THERE HAS BEEN AN INCREASED INTEREST IN CREATING MORE "LIVABLE" COMMUNITIES IN WHICH DESTINATIONS ARE BROUGHT CLOSER TO ONES HOME OR WORKPLACE (THAT IS, MEETING TRAVEL NEEDS THROUGH LAND USE PLANNING). WHILE SEVERAL REPORTS SUGGEST BEST PRACTICES FOR INTEGRATED LAND USE-PLANNING, LITTLE RESEARCH HAS FOCUSED ON EXAMINING DETAILED RELATIONSHIPS BETWEEN ACTUAL TRAVEL BEHAVIOR AND MEAN DISTANCE TO VARIOUS SERVICES. A PRIMARY OUTCOME OF THIS RESEARCH IS TO EXAMINE DIFFERENT TYPES OF DESTINATIONS AND ACCURATELY AND ROBUSTLY ESTIMATE DISTANCE DECAY MODELS FOR INDIVIDUAL (AS OPPOSED TO MASS) TRAVEL MODES AND COMMENT ON THE APPLICABILITY OF THE MODEL FOR: (A) DIFFERENT TYPES OF TRAVEL, AND (B) DIFFERENT SETTINGS IN MINNESOTA. NOVEL APPROACHES FOR CALCULATING IMPEDANCE FUNCTIONS FOR PEDESTRIAN TRAVEL WERE DEVELOPED.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$55,508.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
Thomas K. Sorel, Commissioner 		Date <b>7/12/08</b>

cc: **Paul Stembler, Dept. of Administration**  
**Jeff Brunner, Consultant Services Section**  
**File**

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>NORTH DAKOTA STATE UNIVERSITY</b>		CFMS Contract Number: <b>A91907</b>
Project Name (if applicable): <b>FEASIBILITY OF STATEWIDE TRAVEL DEMAND MODEL</b>	Mn/DOT Agreement No.: <b>89426</b>	Project Duration (Dates): <b>8/8/2006 - 9/30/2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract: <b>Mn/DOT recognizes the importance having a statewide travel demand model. The motivation for having a statewide travel demand model stems from the need to ensure consistency in modeling assumptions and methods, connect model outputs from rapidly growing and converging MPOs, forecast rural and intercity travel, integrate statewide systems planning, evaluate various transportation policies, assess the impact of various transportation improvements, and study the impact of commodity movements both within on through the state. There are many challenges in developing a statewide model. Statewide travel characteristics and issues are different than those in the metropolitan areas. Many of the statewide travel demand model development efforts have been heavily dependent on the methods of urban travel forecasting. The designs of statewide freight models have tended to rely on the philosophies and methods of passenger models. Little is known about how to integrate a statewide travel demand model with the various models of the MPO's and counties. There is a potential to have better representation of traffic dynamics, better recognition of multiday trips or other unique aspects of long distance travel, better spatial precision in networks, data and parameters, and better use of existing data sources through development of a statewide travel demand model, if done appropriately. The study will assess the feasibility by looking into all the data, modeling, and resource challenges involved in development of statewide travel demand model for Minnesota, which is calibrated, validated, coordinated, integrated, flexible, and responsive.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$67,687.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services: <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
Thomas K. Sorel, Commissioner		Date <b>11/12/08</b>

cc: Paul Stembler, Dept. of Administration

Jeff Brunner, Consultant Services Section

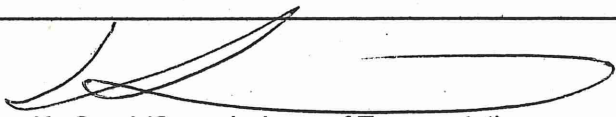
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# Report on Professional/Technical Contracts Over \$50,000

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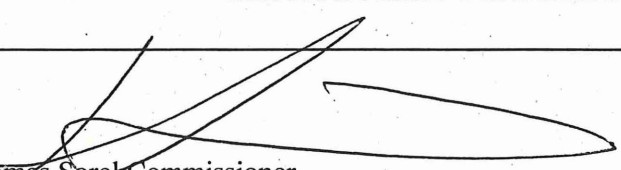
Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>Mankato State University</b>		CFMS Contract Number: <b>A79200</b>
Project Name (if applicable): <b>Wildlife Value of Reed Canary Grass Infested Wetlands in MN.</b>	Mn/DOT Agreement No.: <b>88502</b>	Project Duration (Dates): <b>8/15/2005- 01/31/2009</b>
<p>Summarize the purpose of the contract, including why it was necessary to enter into a contract: Several specific benefits are expected from this research. Reed canary grass is invading many of the wet meadows</p> <p>Several specific benefits are expected from this research. Reed canary grass is invading many of the wet meadows and wetlands of Minnesota, especially in areas that have been disturbed. In addition, reed canary grass can be managed, but management is very costly. Although reed canary grass is decreasing the plant resources of the wet meadows/wetlands in the state of Minnesota, little has been done to control the species and little is known about the effects on wildlife. If reed canary grass is harming the wildlife resources of the state of Minnesota, control efforts may be warranted. This study determines the value of reed canary grass as wildlife habitat</p>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$ 99,132.00</b>	Source of Funding: <b>Trunk Highway</b>
<p>If this was a single source contract, explain why the agency determined there was only a single source for the services:</p> <p><b>N/A</b></p>		
<p>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:</p> <p><b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b></p>		
 Thomas K. Sorel /Commissioner of Transportation		<b>11/9/07</b> Date

cc: **Paul Stembler, Dept. of Administration**  
Jeff Brunner, Consultant Services Section  
File

## Report on Professional/Technical Contracts Over \$50,000

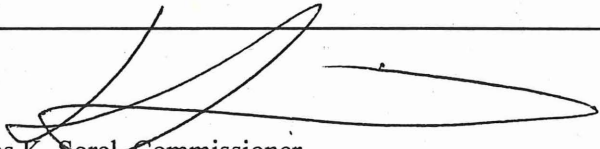
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<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Foth Infrastructure		<b>CFMS Contract Number:</b> B10586
<b>Project Name:</b> Drilling Investigation	<b>Mn/DOT Contract No.:</b> 92150	<b>Project Duration (Dates):</b> January 7, 2008 to July 31, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this contract was to obtain information about the locations of known and potential contaminated properties and to drill and sample suspect properties to identify the presence and magnitude of contaminated soil and groundwater. The presence of contaminated properties is a concern in the development of highway projects because of potential liabilities associated with ownership of such properties, potential cleanup costs, and safety concerns associated with construction personnel encountering unsuspected wastes or contaminated soil or groundwater. Drilling Investigations require knowledge and experience in dealing properly with contaminated soil and groundwater that is encountered while completing an investigation of a potentially contaminated property. There are state employees in Mn/DOT and the MPCA that have the knowledge to do this work, but they do not have substantial experience, and are not available to complete these projects. It would not be cost effective to the state to hire and train staff to complete this specialized work.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$57,607.39	<b>Source of Funding:</b> Trunk Highway & Federal Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>     		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas Sorel Commissioner		Date 11/7/08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## Report on Professional/Technical Contracts Over \$50,000

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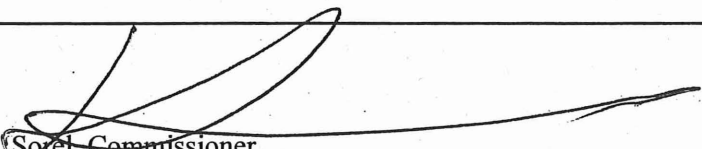
<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Bloom Companies, LLC		<b>CFMS Contract Number:</b> B11834
<b>Project Name:</b> Gusset plate connection system rating of Bridges	<b>Mn/DOT Contract No.:</b> 92398	<b>Project Duration (Dates):</b> February 8, 2008 to September 13, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The Contract was for rating and load posting analysis of two selected statewide Trunk Highway truss bridges of various types. Services included new rating analyses, recommendations for posting at or below the legal load when required, additional detailed structure analysis spreadsheets, and a QA review of the ratings. A Contractor was used because MnDOT's Bridge Rating Unit is currently overburdened and is not able to perform the required ratings in-house.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$65,190.02	<b>Source of Funding:</b> Consultant Services Budget: Bridge Office Allocation
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 11/7/08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



## Report on Professional/Technical Contracts Over \$50,000

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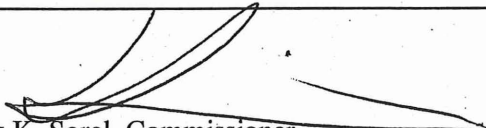
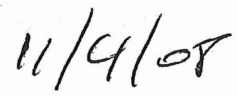
<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Short Elliott and Hendrickson		<b>CFMS Contract Number:</b> B04723
<b>Project Name:</b> TH 610 Traffic Operational Analysis	<b>Mn/DOT Contract No.:</b> 90778	<b>Project Duration (Dates):</b> August, 2007-September 2, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The purpose of this contract was to provide a traffic operational analysis for layout alternatives along TH 610 from Lawndale Lane to Fernbrook Lane and I- 94 from County Road 30 to Brockton Lane in Maple Grove, Minnesota. This analysis provided a Travel Demand Forecast Report, Synchro and CORSIM Modeling Report.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$183,230.16	<b>Source of Funding:</b> Metro
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		<b>Date</b> 11/4/08

cc: Department of Administration

Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

## Report on Professional/Technical Contracts Over \$50,000

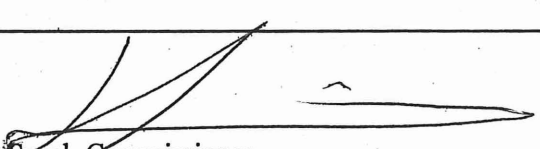
Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Tetra Tech, Inc.		<b>CFMS Contract Number:</b> A98492
<b>Project Name:</b> Contaminated Soil Corrective Action	<b>Mn/DOT Contract No.:</b> 90619	<b>Project Duration (Dates):</b> 4-20-07 to 7-31-08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of the Contract was to provide assistance to State in properly disposing of contaminated soil stockpiled by others on property that was acquired for TH 55 right of way and conveyed to Canadian Pacific Railway.  Note: this was a four party contract between Mn/DOT, Cetom Associates, the Canadian Pacific Railway, and the Contractor. Mn/DOT paid 1/3 of the total contract cost.		
<b>Billable Hours (if applicable):</b> 143.5	<b>Total Amount Spent on Contract:</b> \$64,416.00	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Short, Elliot, Hendrickson, Inc.		<b>CFMS Contract Number:</b> A86747
<b>Project Name:</b> Miller Trunk Hwy. Plan	<b>Mn/DOT Contract No.:</b> 89217	<b>Project Duration (Dates):</b> 3/7/06 – 7/24/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> To provide public involvement, layout updates and traffic modeling to support commercial development changes in the corridor.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$ 99,619.00	<b>Source of Funding:</b> State
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION.		
 Thomas K. Sorel, Commissioner		<b>Date</b> 11/4/08

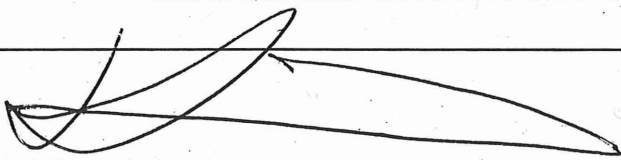
cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

(CSS Reviewed 4/28/2008)



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

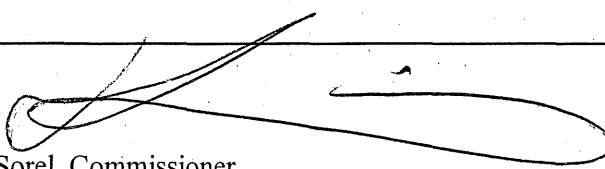
<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> URS Corporation		<b>CFMS Contract Number:</b> A89991
<b>Project Name:</b> Construction Excavation Monitoring	<b>Mn/DOT Contract No.:</b> 89730	<b>Project Duration (Dates):</b> June 27, 2006 to August 1, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> A mission of this agency is to build highways. During highway construction, contaminated soil and groundwater which must be properly treated/disposed can be encountered. The purpose of this contract is to provide assistance to Mn/DOT in properly monitoring and documenting the excavation, handling, treatment and disposal of contaminated soil encountered during the construction project. This work requires knowledge and experience in dealing properly with contaminated soil and groundwater that is encountered during construction. This work requires the use of specialized field equipment that the state does not own so it would have to be purchased or leased. This work requires specialized OSHA safety training. It is not cost-effective for Mn/DOT to train and equip a workforce to do this very highly specialized type of work.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$99,264.14	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas Sorel Commissioner		<b>Date</b> 11-9-08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> URS Corporation		<b>CFMS Contract Number:</b> A77195
<b>Project Name:</b> District 2, 3A, 4 and 8 Transportation Operations and Communications Center (TOCC) Advanced Transportation Management Systems	<b>Mn/DOT Contract No.:</b> 87537	<b>Project Duration (Dates):</b> June 29, 2005 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this Contract was to design and deploy Intelligent Transportation System (ITS) components in Mn/DOT Districts 2, 3A, 4 and 8. The deployed components include permanent and portable dynamic message signs, portable speed detection and advisory systems, and emergency vehicle pre-emption systems. The deliverables included preliminary and detailed design, facilitation of hardware procurement, oversight of the installation, acceptance testing, and training.  The Contract was necessary in that Mn/DOT staff lacked sufficient expertise and time to successfully complete all aspects of the project. The project required a multi-disciplinary approach, combining aspects of project management, system design, and deployment oversight. Due to the complexity and time commitment necessary to complete this project, Mn/DOT was unable to commit the staff the project required.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$2,590,000.00	<b>Source of Funding:</b> Federal and State Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Tom Sorel, Commissioner		Date 10/31/08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No.: **87537**

Type of work: **District 2, 3A, 4 and 8  
Transportation Operations and Communications  
Center (TOCC) Advanced Transportation  
Management Systems**  
Work Type Code: **ITS**

District/Office: **OTSO**

S.P.: **8816-563** T.H.: **N/A**

Location \_\_\_\_\_

Contractor: **URS Corporation**

Subcontractor: **Alliant Engineering, Inc., TranSmart, Inc., SRF Consulting Group, Inc.**

Contract Period: **June 29, 2005**  
Work Start Date

**June 2008**  
Work Completion Date

**June 30, 2008**  
Expiration Date

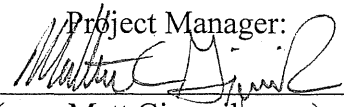
Total Contract Cost: **\$2,590,000.00** = Orig Cost: **\$2,385,000.00** + Amended Cost: **\$205,000.00**

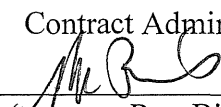
Amended cost for: **Additional Scope & Hardware Acquisition** Number of Amendments: **4**

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		3		
2. Work Performance		3		
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time			2	
5. Project related cooperation	4			
6. QA/QC plan conformance		3		
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

Contractor's rating for this contract:

Total Points 28  
(Maximum points 36)

Project Manager:  
  
( Matt Gjersvik )  
Print Name

Contract Administrator:  
  
( Ron Bisek )  
Print Name

Date:  
October 29, 2008

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**


- OBVIOUS PROBLEMS W/ D3A COMMUNICATIONS CAUSING  
SIGNIFICANT COST/ SCHEDULE OVERRUNS

- AVAILABILITY OF CONTRACTOR AND GENERAL COOPERATION  
WERE EXCELLENT

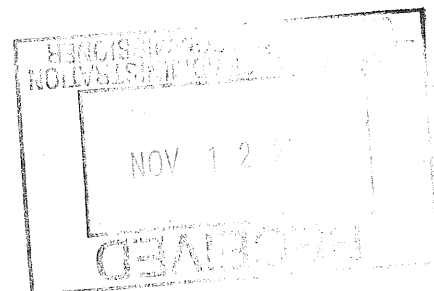
- CONTRACTING TEAM WORKED PRETTY WELL TOGETHER  
DEVELOPING SOLUTIONS TO NUMEROUS PROBLEMS ON THIS  
PROJECT

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> URS Corporation		<b>CFMS Contract Number:</b> A77195
<b>Project Name:</b> District 2, 3A, 4 and 8 Transportation Operations and Communications Center (TOCC) Advanced Transportation Management Systems	<b>Mn/DOT Contract No.:</b> 87537	<b>Project Duration (Dates):</b> June 29, 2005 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this Contract was to design and deploy Intelligent Transportation System (ITS) components in Mn/DOT Districts 2, 3A, 4 and 8. The deployed components include permanent and portable dynamic message signs, portable speed detection and advisory systems, and emergency vehicle pre-emption systems. The deliverables included preliminary and detailed design, facilitation of hardware procurement, oversight of the installation, acceptance testing, and training.  The Contract was necessary in that Mn/DOT staff lacked sufficient expertise and time to successfully complete all aspects of the project. The project required a multi-disciplinary approach, combining aspects of project management, system design, and deployment oversight. Due to the complexity and time commitment necessary to complete this project, Mn/DOT was unable to commit the staff the project required.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$2,590,000.00	<b>Source of Funding:</b> Federal and State Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Tom Sorel, Commissioner		Date 10/31/08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File





# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No.: 87537

Type of work: District 2, 3A, 4 and 8  
Transportation Operations and Communications  
Center (TOCC) Advanced Transportation  
Management Systems  
Work Type Code: ITS

District/Office: OTSO

S.P.: 8816-563 T.H.: N/A

Location \_\_\_\_\_

Contractor: URS Corporation

Subcontractor: Alliant Engineering, Inc., TranSmart, Inc., SRF Consulting Group, Inc.

Contract Period: June 29, 2005  
Work Start Date

June 2008  
Work Completion Date

June 30, 2008  
Expiration Date

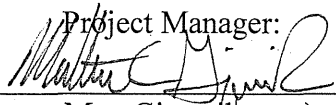
Total Contract Cost: \$2,590,000.00 = Orig Cost: \$2,385,000.00 + Amended Cost: \$205,000.00

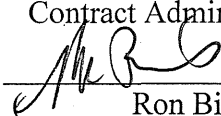
Amended cost for: Additional Scope & Hardware Acquisition Number of Amendments: 4

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		3		
2. Work Performance		3		
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time			2	
5. Project related cooperation	4			
6. QA/QC plan conformance		3		
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

Contractor's rating for this contract:

Total Points 28  
(Maximum points 36)

Project Manager:  
  
( Matt Gjersvik )  
Print Name

Contract Administrator:  
  
( Ron Bisek )  
Print Name

Date:  
October 29, 2008

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

- OBVIOUS PROBLEMS W/ D3A COMMUNICATIONS CAUSING  
SIGNIFICANT COST/ SCHEDULE OVERRUNS

- AVAILABILITY OF CONTRACTOR AND GENERAL COOPERATION  
WERE EXCELLENT

- CONTRACTING TEAM WORKED PRETTY WELL TOGETHER  
DEVELOPING SOLUTIONS TO NUMEROUS PROBLEMS ON THIS  
PROJECT

## Kelly Heffron

---

Date sent: Fri, 31 Oct 2008 10:55:43 -0500 (CDT)  
To: Steve.Gustafson@state.mn.us,  
From: Steve.Gustafson@state.mn.us  
Subject: Vendor Evaluation Form

Web site information request on 10/31/2008.

---

project: CART/OAPRT/UMART Technical Support and Maintenance

id\_part1: T79

id\_part2: 1512

cfms: A67220

vendor: Archwing Innovations

agency: TRANSPORTATION DEPT

evaluator: Linda Waltenberg

eval\_date: 10/31/2008

purpose: Provide maintenance and technical support for 3 Java applications (CART/OAPRT/UMAI

accomplished: Yes

contract\_date: 06/30/2006

amended\_date: 06/30/2008

actual\_date: 06/30/2008

contract\_cost: \$40,000

amended\_cost: \$112,000

actual\_cost: \$85,400

cost\_effective: The 3 Java applications were written by ArchWing using their proprietary framework

amended: Yes

amended\_e: The tasks involved are the same as in the original contract. These include technical :

terminated: No

engage: Yes

engage\_e: The work performed by the vendor met or exceeded our expectations.

---

## Kelly Heffron

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Date sent: Thu, 30 Oct 2008 07:49:15 -0500 (CDT)  
To: Steve.Gustafson@state.mn.us,  
From: Steve.Gustafson@state.mn.us  
Subject: Vendor Evaluation Form

Web site information request on 10/30/2008.

---

project: Aircraft Registration Enhancements 2006

id\_part1: T79

id\_part2: 1822

cfms: A93217

vendor: Confluence International, Inc.

agency: TRANSPORTATION DEPT

evaluator: Brad Swanson

eval\_date: 10/30/2008

email\_list: brad.swanson@dot.state.mn.us

purpose: The Aircraft Registration system was required by Mn/DOT to be changed from a Weblogi

accomplished: Yes

contract\_date: 3/31/2007

amended\_date: 7/31/2007

actual\_date: 7/31/2007

contract\_cost: 39,951.00

amended\_cost: 49,887.00

actual\_cost: 49,887.00

cost\_effective: The application change was mandated because of software support and licensing i

amended: Yes

amended\_e: Contractor encountered unanticipated additional tasks involving Mn/DOT's own archit

terminated: No

engage: Yes

engage\_e: Confluence International delivered what was promised.

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## Kelly Heffron

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Date sent: **Fri, 24 Oct 2008 14:39:57 -0500 (CDT)**  
To: **Steve.Gustafson@state.mn.us,**  
From: **Steve.Gustafson@state.mn.us**  
Subject: **Vendor Evaluation Form**

Web site information request on 10/24/2008.

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project: RouteBuilder Data Processing

id\_part1: T79

id\_part2: 2050

cfms: B06145

vendor: Bentley Systems

agency: TRANSPORTATION DEPT

evaluator: Shelly Meyer

eval\_date: 10/24/2008

email\_list: [Melissa.McGinnis@dot.state.mn.us](mailto:Melissa.McGinnis@dot.state.mn.us), [shelly.meyer@dot.state.mn.us](mailto:shelly.meyer@dot.state.mn.us)

purpose: The purpose of this contract was to provide computer services to modify the routing data

accomplished: Yes

contract\_date: 03/01/2008

actual\_date: 04/26/2008

contract\_cost: 56103.88

actual\_cost: 39343.76

cost\_effective: Bentley delivered this project under budget, but completed the work beyond the cor

amended: No

terminated: No

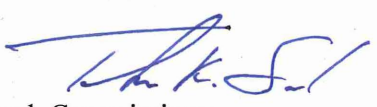
engage: Yes

engage\_e: Bentley delivered a quality final product and worked closely with the Permits section to

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## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail top 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> University of Minnesota		<b>CFMS Contract Number:</b> A91911
<b>Project Name:</b> Identifying Physical Opportunities and Barriers for Transit in the I-394 MnPASS Corridor	<b>Mn/DOT Contract No.:</b> 89261W26	<b>Project Duration (Dates):</b> August 7, 2006 to June 15, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this Contract was to explore opportunities to make the I-394 MnPASS corridor more transit friendly by increasing the access to transit stops and improve transit stop design in the corridor. Included in this project were consideration of transit friendly development, bicycle and pedestrian access, and park and ride lot design elements that enhance the transit experience.  This Contract has produced a detailed report with design alternatives for land use adjacent to park and ride lots and access to transit in the I-394 MnPASS corridor.  This Contract was necessary in that no Minnesota state employee possessed the specific expertise in transit, land use, and urban form required to do the technical and design work identified in this project.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$150,000.00	<b>Source of Funding:</b> Federal and State Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>          		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Tom Sorel, Commissioner		<b>Date</b> 6/23/08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No.: <sup>89261</sup>~~81635~~W26

Type of work: **Identifying Physical Opportunities and Barriers for Transit in the I-394 MNPASS Corridor**

District/Office: **OTSO**

Work Type Code: **ITS**

S.P.: **8816-783** T.H.: **I-394**

Location OTSO in CO

Contractor: **University of Minnesota**

Subcontractor: **None**

Contract Period: **August 7, 2006**  
Work Start Date

**June 2008**  
Work Completion Date

**June 15, 2008**  
Expiration Date

Total Contract Cost: **\$150,000.00** = Orig Cost: **\$150,000.00** + Amended Cost: **\$0.00**

Amended cost for: **N/A**

Number of Amendments: **2**

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	✓	✓		
2. Work Performance	✓			
3. Conformance with Mn/DOT Standards/Requirements	✓			
4. Deliverables Complete and on time	✓			
5. Project related cooperation	✓			
6. QA/QC plan conformance	✓			
7. Contract administration cooperation		✓		
8. Invoices and progress reports		✓		
9. Cost estimation/budget management	✓			

Contractor's rating for this contract:

Total Points 34  
(Maximum points 36)

Project Manager:  
Ken Buckeye  
( Ken Buckeye )  
Print Name

Contract Administrator:  
Ron Bisek  
( Ron Bisek )  
Print Name

Date:  
9/30/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

*Thinks the CC has done  
outstanding work on this project.*

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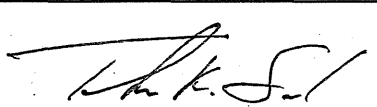
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## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> University of Minnesota		<b>CFMS Contract Number:</b> A91911
<b>Project Name:</b> Identifying Physical Opportunities and Barriers for Transit in the I-394 MnPASS Corridor	<b>Mn/DOT Contract No.:</b> 89261W26	<b>Project Duration (Dates):</b> August 7, 2006 to June 15, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this Contract was to explore opportunities to make the I-394 MnPASS corridor more transit friendly by increasing the access to transit stops and improve transit stop design in the corridor. Included in this project were consideration of transit friendly development, bicycle and pedestrian access, and park and ride lot design elements that enhance the transit experience.  This Contract has produced a detailed report with design alternatives for land use adjacent to park and ride lots and access to transit in the I-394 MnPASS corridor.  This Contract was necessary in that no Minnesota state employee possessed the specific expertise in transit, land use, and urban form required to do the technical and design work identified in this project.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$150,000.00	<b>Source of Funding:</b> Federal and State Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>          		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Tom Sorel, Commissioner		10/23/08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No.: <sup>89261</sup>~~81655~~W26

Type of work: **Identifying Physical Opportunities and Barriers for Transit in the I-394 MNPASS Corridor**

District/Office: **OTSO**

Work Type Code: **ITS**

S.P.: **8816-783** T.H.: **I-394**

Location OLM in CO

Contractor: **University of Minnesota**

Subcontractor: **None**

Contract Period: **August 7, 2006**  
Work Start Date

**June 2008**  
Work Completion Date

**June 15, 2008**  
Expiration Date

Total Contract Cost: **\$150,000.00** = Orig Cost: **\$150,000.00** + Amended Cost: **\$0.00**

Amended cost for: **N/A**

Number of Amendments: **2**

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	✓	✓		
2. Work Performance	✓			
3. Conformance with Mn/DOT Standards/Requirements	✓			
4. Deliverables Complete and on time	✓			
5. Project related cooperation	✓			
6. QA/QC plan conformance	✓			
7. Contract administration cooperation		✓		
8. Invoices and progress reports		✓		
9. Cost estimation/budget management	✓			

Contractor's rating for this contract:

Total Points 34  
(Maximum points 36)

Project Manager:  
Ken Buckeye  
( Ken Buckeye )  
Print Name

Contract Administrator:  
Ron Bisek  
( Ron Bisek )  
Print Name

Date:  
9/30/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
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**Below Average:**

- Contractor minimally or does not meet contract terms.
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**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

*Thinks the CC has done  
outstanding work on this project.*

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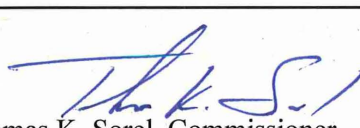
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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Mead and Hunt		<b>CFMS Contract Number:</b> B04494
<b>Project Name:</b> Historic Bridge Context, 1956-1970	<b>Mn/DOT Contract No.:</b> 91561	<b>Project Duration (Dates):</b> August 2007 – June 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> Mn/DOT required a historic context and preliminary National Register evaluation criteria for bridge built after 1955. Previous bridge studies only examined eligibility of bridge built before 1956, and as late 1950s bridges are now becoming eligible for consideration of listing on the National Register, detailed criteria was needed in order for the Cultural Resources Unit to make eligibility determinations. A contract was required since no state personnel meeting the Secretary of the Interior's Standards for a Professional Historian was available to perform the work.		
<b>Billable Hours (if applicable):</b> 1,217	<b>Total Amount Spent on Contract:</b> \$99,954.70	<b>Source of Funding:</b> Environmental Services Consultant Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>          		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>   SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 10/22/08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 91561

Type of Work architectural history

District/Office Environmental Services

Work Type Code CR

SP Number N/A TH Number N/A Location statewide

Contractor Mead and Hunt

Subcontractor HNTB Corporation

Subcontractor \_\_\_\_\_

Contract Period: August 8, 2007 ; June 30, 2008

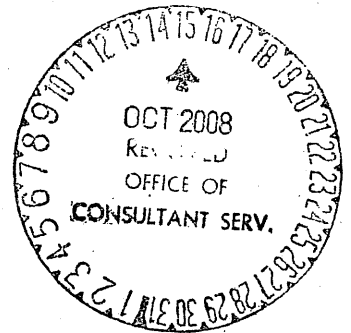
Work Start Date

Work Completion Date

Expiration Date

Total Contract Cost: \$99,954.70 = Orig Cost: \$99,954.70 + Amended Cost: \$0.00

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management			X	

Contractor's rating for this Contract:

Total Points: 31 (Maximum points 36)

Project Manager:

Kristen M. Zschomler

Name

Date

Contract Administrator:

Ashley Duren

Name

Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

Contractor exceeded the Total Contract  
Amount without informing / discussing  
it with the Authorized Representative.

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## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> <u>Short Elliot Hendrickson, Inc.</u>		<b>CFMS Contract Number:</b> A61265
<b>Project Name:</b> I-694 Environmental Assessment	<b>Mn/DOT Contract No.:</b> 84070	<b>Project Duration (Dates):</b> May 10, 2004- August 1, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of the contract was to provide an Environmental Assessment (EA) and Traffic Modeling of the proposed construction on I-694 from I-35W to Rice St. in the cities of Arden Hills, Little Canada, New Brighton, Shoreview and Vadnais Heights. This contract was necessary as, at the time of inception of the project, MnDOT staff was unable to provide adequate staff to perform the required work.		
<b>Billable Hours (if applicable):</b> 8982.1	<b>Total Amount Spent on Contract:</b> \$831,787.03	<b>Source of Funding:</b> <u>Trunk Highway</u>
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>   		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		<u>10/22/08</u> Date

85:11 A - 11:58

STATE OF MINNESOTA  
DEPT. OF TRANSPORTATION  
CONSULTANT SERVICES

cc: Department of Administration

(CSS Reviewed 4/28/2008)

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 84070 Type of Work Environmental Assessment

District/Office: Metro Work Type Code PD

SP Number 6285-123 TH Number I-694 Location \_\_\_\_\_

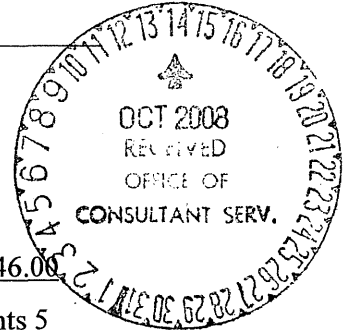
Contractor SEH Inc.

Subcontractor David Braslu

Contract Period: May 10, 2004 ; August 1, 2008; August 1, 2008  
 Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$831,787.03 = Orig Cost: \$577,741.31 + Amended Cost: \$254,046.00

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 5



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 33 (Maximum points 36)

Project Manager:

Mark Lindberg 10-13-08  
 Date

Contract Administrator:

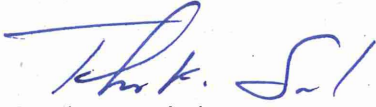
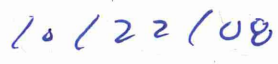
Jennifer Faricy 10-13-08  
 Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Retrofit Recycling, Inc.		<b>CFMS Contract Number:</b>
<b>Project Name:</b> I35W Bridge Replace, Regulated Waste Removal – Three Commercial Bldgs	<b>Mn/DOT Contract No.:</b> 91692	<b>Project Duration (Dates):</b> 8/15/07 – 9/19-08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> To meet MPCA/ and OSHA requirements/regulations and minimize Mn/DOT liability for the removal of regulated materials prior to building demolition. Mn/DOT personnel do not have the expertise and/or certifications required for this type of work. Mn/DOT needed to demolish buildings for road construction.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 197,396.32	<b>Source of Funding:</b>
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

(CSS Reviewed 3/14/2008)

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 91692

Type of Work Regulated Waste Removal

District/Office Metro

Work Type Code AB

SP Number 2783-120 TH Number 35

Location 35W Mpls

Contractor Retrofit Recycling, Inc.

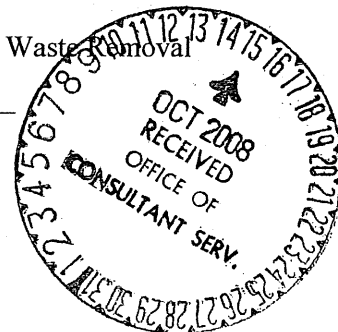
Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: 8/15/07 ; 9/19/08 ; 1/31/09  
                             Work Start Date              Work Completion Date      Expiration Date

Total Contract Cost: \$ 248,228.00 = Orig Cost: \$ 102,050.00 + Amended Cost: \$ 146,178.00

Amended for: ~ Overrun ~ Additional Work ~ Time Only      Number of Amendments 2



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	x			
2. Work Performance	x			
3. Conformance with Mn/DOT Standards/Requirements	x			
4. Deliverables Complete and on time	x			
5. Project related cooperation	x			
6. QA/QC plan conformance		x		
7. Contract administration cooperation		x		
8. Invoices and progress reports		x		
9. Cost estimation/budget management		x		

Contractor's rating for this Contract:

Total Points: 32 (Maximum points 36)

Project Manager:

[Signature]  
Name

10-15-08  
Date

Contract Administrator:

[Signature]  
Name

10/16/08  
Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

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**Comments:** Great job Retrofit, you went above and beyond on this project. You were out on a moments notice and did a great job!

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 91692 Type of Work Regulated Waste Removal  
 District/Office Metro Work Type Code AB  
 SP Number 2783-120 TH Number 35 Location 35W Mpls  
 Contractor Retrofit Recycling, Inc.  
 Subcontractor \_\_\_\_\_  
 Subcontractor \_\_\_\_\_  
 Contract Period: 8/15/07 ; 9/19/08 ; 1/31/09  
                     Work Start Date              Work Completion Date      Expiration Date  
 Total Contract Cost: \$ 248,228.00 = Orig Cost: \$ 102,050.00 + Amended Cost: \$ 146,178.00  
 Amended for: ~ Overrun ~ (Additional Work) ~ Time Only      Number of Amendments 2

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		x		
2. Work Performance		x		
3. Conformance with Mn/DOT Standards/Requirements		x		
4. Deliverables Complete and on time		x		
5. Project related cooperation		x		
6. QA/QC plan conformance		x		
7. Contract administration cooperation	N/A			
8. Invoices and progress reports				
9. Cost estimation/budget management				

Contractor's rating for this Contract:

Total Points: 18 (Maximum points 24)

Project Manager:

Keri Aufdecamp 10/15/08  
 Name                                      Date


Contract Administrator:

X  
 Name                                      Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> South Central Technical College		<b>CFMS Contract Number:</b> B05282
<b>Project Name:</b> Jumpstart To Go Training Program	<b>Mn/DOT Contract No.:</b> 91152	<b>Project Duration (Dates):</b> 9/6/07 – 7/30/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this Interagency Contract was for the College to provide a business development training program for Disadvantaged Business Enterprises (DBEs) so that they can work more efficiently with Mn/DOT and be more successful in all aspects of their business which will benefit the State as Mn/DOT is required to utilize DBEs on many projects. The Contract provided DBE classes on business development as well as individual consultations with DBEs.		
<b>Billable Hours (if applicable):</b> NA	<b>Total Amount Spent on Contract:</b> \$90,080.80	<b>Source of Funding:</b> Federal
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> NA		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 10/22/08 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File



## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 91152 Type of Work Training

District/Office CR&A Work Type Code TR

SP Number \_\_\_\_\_ TH Number \_\_\_\_\_ Location \_\_\_\_\_

Contractor South Central Technical College

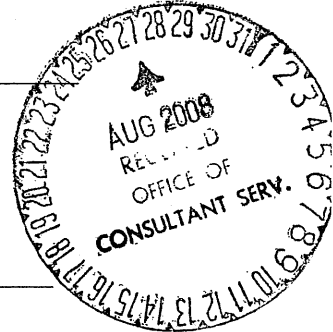
Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: 9-6-07 ; 07-30-08 ; 07-30-08  
                             Work Start Date      Work Completion Date      Expiration Date

Total Contract Cost: \$ \_\_\_\_\_ = Orig Cost: \$ \_\_\_\_\_ + Amended Cost: \$ \_\_\_\_\_

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments \_\_\_\_\_



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 33 (Maximum points 36)

Project Manager:

Orlanda Klinkhammer 08-27-08  
 Name Date

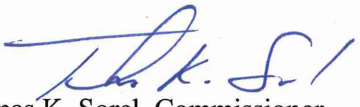
Contract Administrator:

Brad Hammon  
 Name Date  
9/10/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Benchmark QA		<b>CFMS Contract Number:</b> B09687
<b>Project Name:</b>	<b>Mn/DOT Contract No.:</b> 91851	<b>Project Duration (Dates):</b> November 29, 2007 – August 29, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  To provide assistance with testing and analysis for the Integrated Desktop Software Testing Service in the Office of Information and Technology Services. This service supports desktop software users and Information Technology (IT) staff by providing a consistent approach to testing changes to desktop software before new software is installed on user desktops. The testing discovers and addresses software integration/functionality issues that can cause user disruptions and divert limited IT support staff from critical support needs. MnDOT does not currently have staff with these highly specialized skills.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$79,800	<b>Source of Funding:</b> Office of Information and Technology Services
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> NA		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 10/22/08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 91851

Type of Work Computer Services

District/Office: Office of Information and Technology Services Work Type Code CP

SP Number \_\_\_\_\_ TH Number \_\_\_\_\_ Location \_\_\_\_\_

Contractor: Benchmark QA

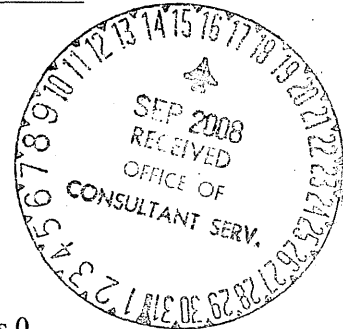
Subcontractor NA

Subcontractor NA

Contract Period: November 29, 2007; August 29, 2008; August 29, 2008  
Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$79,800 Orig Cost: \$79,800 + Amended Cost: \$ NA

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time	4			
5. Project related cooperation	4			
6. QA/QC plan conformance	4			
7. Contract administration cooperation	4			
8. Invoices and progress reports	4			
9. Cost estimation/budget management	4			

Contractor's rating for this Contract:

Total Points: 36 (Maximum points 36)

Project Manager:

Yarah K. Hensold  
Name Date 9/16/08

Contract Administrator:



William Wiggins 9/17/08  
Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 1/26/2007)

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

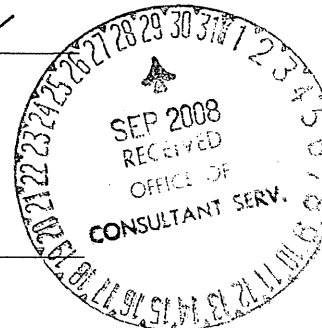
<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b>		<b>CFMS Contract Number:</b> B14887
<b>Project Name:</b> Northern Minnesota Foundation Borings	<b>Mn/DOT Contract No.:</b> 92804	<b>Project Duration (Dates):</b> May 15 <sup>th</sup> , 2008 - July 31 <sup>st</sup> , 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The State was in need of a contractor to perform subsurface investigation work for several projects in northern Minnesota involving the replacement of two bridges and investigation of one slope failure. This work involved taking foundation borings, installing instrumentation and producing final boring logs. These final boring logs will be used to prepare a foundation recommendation report for each bridge.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$60,256.49	<b>Source of Funding:</b> Office of Materials Consultant Budget
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 92804 Type of Work Soil Boring  
 District/Office Materials Work Type Code GT  
 SP Number 3902-21 TH Number 11 Location Northern MN  
 Contractor STS Consultants  
 Subcontractor \_\_\_\_\_  
 Subcontractor \_\_\_\_\_  
 Contract Period: 5-15-08 ; 7-31-08 ; 12-31-08  
 Work Start Date Work Completion Date Expiration Date  
 Total Contract Cost: \$ 60,256.49 = Orig Cost: \$ 62,025.66 + Amended Cost: \$ \_\_\_\_\_  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 28 (Maximum points 36)

Project Manager:

Rich Lamb  
 Name Date  
Rich Lamb 9-29-08

Contract Administrator:


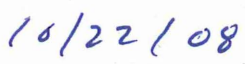
Rich Lamb  
 Name Date  
Rich Lamb 9-29-08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> URS Corporation		<b>CFMS Contract Number:</b> A59966
<b>Project Name:</b> Grand Rapids Intersection Operations Study	<b>Mn/DOT Contract No.:</b> 85837	<b>Project Duration (Dates):</b> 3/31/04 to 3/31/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  To complete the preliminary design for S.P. 3115-51 on TH 169 in Grand Rapids, including completion of the Project Memorandum and final geometric layout.  MnDOT District 1 did not have the resources available to complete this work in-house.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$191,342.37	<b>Source of Funding:</b> State
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>          		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 85837 Type of Work Preliminary Design

District/Office One Work Type Code PD

SP Number 3115-51 TH Number 169 Location Grand Rapids

Contractor URS Corporation

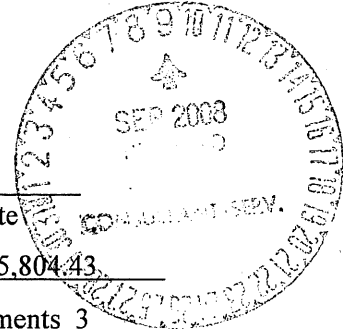
Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: 3/31/04 ; 3/31/08 ; 3/31/08  
                                 Work Start Date                  Work Completion Date                  Expiration Date

Total Contract Cost: \$ 191,342.37 = Orig Cost: \$ 165,537.94 + Amended Cost: \$ 25,804.43

Amended for: ☐ Overrun ☐ Additional Work ☐ Time Only Number of Amendments 3



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		3		
2. Work Performance		3		
3. Conformance with Mn/DOT Standards/Requirements		3		
4. Deliverables Complete and on time		3		
5. Project related cooperation	4			
6. QA/QC plan conformance		3		
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

Contractor's rating for this Contract:

Total Points: 28 (Maximum points 36)

Project Manager:

Name

Date

Contract Administrator:

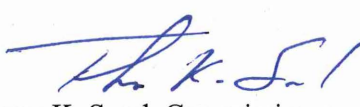
Name

Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> City of Minneapolis		<b>CFMS Contract Number:</b> B15316
<b>Project Name:</b> 35W Feasibility Study to determine Access to 35W from 3 <sup>rd</sup> and 4 <sup>th</sup>	<b>Mn/DOT Contract No.:</b> 92361	<b>Project Duration (Dates):</b> June 6, 2008-June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The State, City and Hennepin County had a joint interest in studying a proposed northbound ramp to 35W at 3 <sup>rd</sup> and 4 <sup>th</sup> Street to understand the benefits and challenges of the transportation system. The City of Minneapolis was the lead agency in managing a contract for the feasibility study for a new northbound ramp to I- 35W at 3 <sup>rd</sup> and 4 <sup>th</sup> Street in The City of Minneapolis, Hennepin County. The State has an interest in this study by reason of the freeways and other state trunk highways that are part of the City's transportation system. Data collected under this feasibility study will be used for future State planning. Because of this, the State agreed to participate and provide financial assistance to the City for the study. Hennepin County also participated in the study through a separate contract with the City.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$63,585.00	<b>Source of Funding:</b> Legislative Incentive Fund 270, Org 6679 Appr 083
If this was a single source Contract, explain why the agency determined there was only a single source for the services: The City was the lead agency on this project. The City managed the project contributed financially to the project as well as managed a separate contract with Hennepin County. Because of the City's ownership stake and familiarity with the area and its needs, The City of Minneapolis was the logical choice to manage the project.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		10/22/08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No: 92361

Type of Work: Feasibility Study-JPA

District/Office: Metro

Work Type Code TS

SP Number: N/A TH Number I-35W

Location: 3<sup>rd</sup> & 4<sup>th</sup> in Mpls, Hennepin County

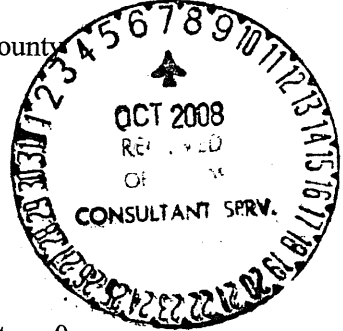
Contractor: City of Minneapolis

Subcontractor: Short Elliott and Hendrickson

Contract Period: June 30, 2008 ;  
Work Start Date

June 30, 2008 ;  
Work Completion Date

June 30, 2008  
Expiration Date



Total Contract Cost: \$ 63,585.00 = Orig Cost: \$ 63,585.00 + Amended Cost: \$ N/A

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 0

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 31 (Maximum points 36)

Project Manager:

Tom O'Keefe 10-3-08  
Tom O'Keefe Date

Contract Administrator:

Jennifer Faricy 10-3-08  
Jennifer Faricy Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.


**Comments:**

This was a good bit of work, accomplished in a short period of time. Much of the work here can be a model as Mn/DOT Metro proceeds to look at Congestion Management projects and at rescoping major projects.



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Hydro-Klean, Inc.		<b>CFMS Contract Number:</b> B14588
<b>Project Name:</b> Video Inspection and Cleaning – I-694	<b>Mn/DOT Contract No.:</b> 91916	<b>Project Duration (Dates):</b> May 13, 2008 - August 31, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The purpose of this Contract was to provide storm sewer video inspection and cleaning services on I-694 from T.H. 100 to I-35W in Hennepin, Anoka and Ramsey Counties.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$98,308	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		10/22/08 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

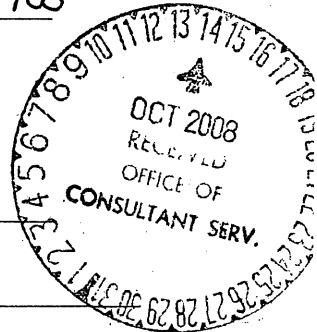
Contract No. 91916 Type of Work Video Inspection  
 District/Office Metro Work Type Code 13.1 to 13.3 SV  
 SP Number - TH Number 694 Location I-35W to TH 100  
 Contractor Hydro-Klean Inc.

Subcontractor -  
 Subcontractor -

Contract Period: 5-13-08 ; 8-31-08 ; 8-31-08  
 Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$ 98,255 = Orig Cost: \$ \_\_\_\_\_ + Amended Cost: \$ \_\_\_\_\_

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments \_\_\_\_\_



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality			✓	
2. Work Performance		✓		
3. Conformance with Mn/DOT Standards/Requirements		✓		
4. Deliverables Complete and on time	✓			
5. Project related cooperation	✓			
6. QA/QC plan conformance		✓		
7. Contract administration cooperation		✓		
8. Invoices and progress reports		✓		
9. Cost estimation/budget management		✓		

Contractor's rating for this Contract:

Total Points: 28 (Maximum points 36)

Project Manager:

LEE DALEIDEN 10-9-08  
 Name Date

Contract Administrator:

Maell Hayes 10/13/08  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

Edit Map pages have numbering errors and inconsistencies compared with the structure and video files.

Televising of the structures from the bottom of the structure was lacking in quality not completely satisfying section 2.4.6 Map pages have good flow networks. Project coordination good. Project deliverable sent on time.

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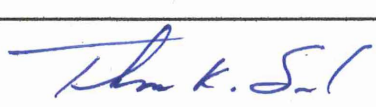
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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A98156</b>
Project Name (if applicable): <b>RESEARCH MANAGEMENT SYSTEM PHASE I - DEVELOP CONCEPTUAL DESIGN</b>	Mn/DOT Agreement No.: <b>89261 WO 45</b>	Project Duration (Dates): <b>FEBRUARY 13, 2007 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>THE GOAL OF THIS RESEARCH WAS TO DEVELOP A COMPREHENSIVE SYSTEM THAT CAN BE USED FOR EFFECTIVELY MANAGING THE ENTIRE RESEARCH PROCESS, SO THAT IT CAN PRODUCE RESEARCH RESULTS WITH HIGH POSSIBILITY OF IMPLEMENTATION WITHIN BUDGET/TIME LIMITATION, AND REDUCE THE TIME LAG BETWEEN THE COMPLETION OF A RESEARCH AND THE IMPLEMENTATION OF ITS RESULTS. IN THE PROPOSED STUDY, PHASE 1, A CONCEPTUAL DESIGN OF SUCH A SYSTEM WILL BE DEVELOPED. THE SPECIFIC OBJECTIVES OF THE PROPOSED STUDY, PHASE 1, INCLUDE:</b>  " <b>ASSESSMENT OF THE EXISTING RESEARCH MANAGEMENT PROCESSES AT THE SELECTED STATE DOT'S AND THEIR MANAGEMENT TOOLS,</b> " <b>IDENTIFICATION OF THE KEY FACTORS AND PROCESS FOR AN EFFECTIVE MANAGEMENT OF A TRANSPORTATION RESEARCH PROGRAM AT MN/DOT,</b> " <b>DEVELOPMENT OF A CONCEPTUAL DESIGN OF THE NEW RESEARCH MANAGEMENT SYSTEM.</b>  <b>THE RESULTS FROM THE PHASE 1 WILL BE USED TO DEVELOP A PROTOTYPE SYSTEM WHICH WILL BE IMPLEMENTED AND TESTED IN PHASE 2.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$58,500.00</b>	Source of Funding: <b>Trunk Highway</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>10/22/08</b> Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 89261 WO 45 **Type of work** Research

**District/Office** Investment Management **Work Type Code** RE

**S.P.** 88016 00957 **T.H.** NA **Location** Statewide

**Contractor** University of Minnesota

**Subcontractor** \_\_\_\_\_

**Subcontractor** \_\_\_\_\_

**Contract Period:** February 13, 2007; July 31, 2008; July 31, 2008

Work Start Date      Work Completion Date      Expiration Date

**Total Contract Cost:** \$58,500.00 **Orig Cost:** \$58,500.00 **Amended Cost:** \$0

**Amended cost for:** ☐ **Overrun** ☐ **Additional Work** **Number of Amendments** 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		3		
2. Work Performance		3		
3. Conformance with Mn/DOT Standards/Requirements		3		
4. Deliverables Complete and on time		3		
5. Project related cooperation	4			
6. QA/QC plan conformance		3		
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management	4			

**Contractor's rating for this contract:**

**Total Points** 29  
(Maximum points 36)

**Project Manager:**

**Contract Administrator:**

Cory Johnson

Clark A Moe 10/10/2008

*Ashley Duro*  
*10/28/08*



**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

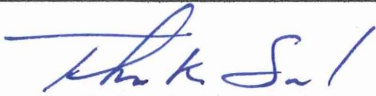
Eil performed well on this contract. He listened to our concerns and was very willing to meet our needs. His work is published and I hope many other DOT's will use these results as we have.

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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>B01997</b>
Project Name (if applicable): <b>INTELLIGENT COMPACTION IMPLEMENTATION: RESEARCH ASSESSMENT</b>	Mn/DOT Agreement No.: <b>89261, WO 74</b>	Project Duration (Dates): <b>JUNE 28, 2007 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>TO PROVIDE A QUALITATIVE ASSESSMENT OF MN/DOT'S IC SPECIFICATIONS, INCLUDING RECOMMENDATIONS TO IMPROVE THE SPECIFICATION AND PROCEDURES. THIS WILL BE ACCOMPLISHED 1) DEVELOPING A STRATEGY TO INTERVIEW FIELD PERSONNEL, 2) VISITING THE FOUR CONSTRUCTION SITES AND DOCUMENTING THE ACTIVITY, 3) INTERVIEWING THE FIELD PERSONNEL AND REVIEWING SELECTED FIELD RECORDS AT THE FOUR SITES, AND 4) PROVIDING RECOMMENDED SPECIFICATION AND PROCEDURAL CHANGES FROM THE FEEDBACK OBTAINED.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$60,000.00</b>	Source of Funding: <b>FEDERAL FUNDS</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>10/22/08</b> Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 89261 WO 74

**Type of work** Research

**District/Office** Investment Management

**Work Type Code** RE

**S.P.** NA

**T.H.** NA

**Location** Statewide

**Contractor** University of Minnesota

**Subcontractor** \_\_\_\_\_

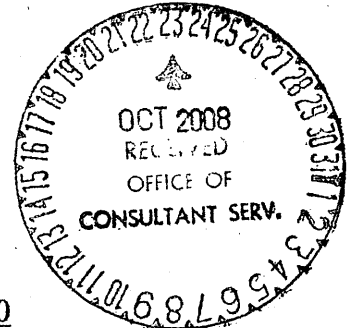
**Subcontractor** \_\_\_\_\_

**Contract Period:** June 28, 2007 ; July 31, 2008 ; July 31, 2008

Work Start Date Work Completion Date Expiration Date

**Total Contract Cost:** \$60,000.00 **Orig Cost:** \$60,000.00 **Amended Cost:** \$0

**Amended cost for:** ☐ **Overrun** ☐ **Additional Work** **Number of Amendments** 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		3		
2. Work Performance		3		
3. Conformance with Mn/DOT Standards/Requirements		3		
4. Deliverables Complete and on time		3		
5. Project related cooperation		3		
6. QA/QC plan conformance		3		
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

**Contractor's rating for this contract:**

**Total Points** 27  
(Maximum points 36)

**Project Manager:**

**Contract Administrator:**

Rebecca Embacher (10/14/08)

Clark Moe (10/14/2008)

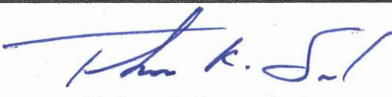
*Ashley Dwyer*  
*10/28/08*



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A91157</b>
Project Name (if applicable): <b>A REPORT &amp; ANALYSIS OF EFFECTS OF SEASONAL &amp; CLIMATIC CHANGES ON RIDE QUALITY OBSERVED IN MNROAD LOW VOLUME ROADS</b>	Mn/DOT Agreement No.: <b>89261 WO 21</b>	Project Duration (Dates): <b>JULY 14, 2006 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>RIDE QUALITY IS ONE OF THE MOST IMPORTANT INDICATORS OF THE PAVEMENT CONDITION. POOR RIDE QUALITY CAUSES COMPLAINTS FROM THE TRAVELING PUBLIC AND OFTEN TRIGGER PAVEMENT REHABILITATION. TRADITIONALLY, CHANGE IN THE RIDE QUALITY WAS ATTRIBUTED MOSTLY TO THE INCREASE IN AMOUNT OF PAVEMENT SURFACE DISTRESSES DUE TO REPEATED HEAVY AXLE LOADING AND MATERIAL SURFACE DETERIORATION DUE TO WEATHER EXPOSURE. THE EFFECT OF OTHER ENVIRONMENTAL FACTORS, LIKE FROST HEAVE, HAS NOT BEEN SUFFICIENTLY STUDIED. THE OBJECTIVE OF THIS STUDY IS TO EVALUATE CONTRIBUTION OF FROST HEAVE ON SEASONAL AND LONG TERM CHANGES IN RIDE QUALITY OF LOW AND HIGH VOLUME ROADS IN MINNESOTA USING PIN ELEVATION MEASUREMENTS AND PAVEMENT PERFORMANCE DATA FROM THE MNROAD TEST. BOTH ASPHALT AND CONCRETE PAVEMENTS WILL BE CONSIDERED. THE EFFECT OF VARIOUS DESIGN FEATURES ON MITIGATION OF THE CLIMATIC EFFECTS WILL BE ALSO EVALUATED. THIS WILL PERMIT DEVELOPMENT OF GUIDELINES FOR BETTER TIMING OF RIDE QUALITY MEASUREMENTS, GUIDELINES FOR SELECTION OF THE PAVEMENT DESIGN FEATURES MITIGATING THE EFFECT OF FROST HEAVE ON RIDE QUALITY DETERIORATION, AND IMPROVEMENT OF THE 2002 DESIGN GUIDE SITE FACTOR FOR THE MINNESOTA CONDITIONS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$79,493.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>10/22/09</b> Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File





## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

See Page 3 for my comments

Lev submitted an amendment for this project late and incorrectly which added even more delay.

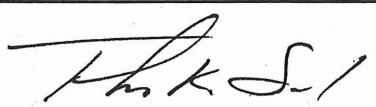
Lev committed to a revised schedule for his interim deliverables but never delivered on that schedule.

Lev's final report draft was extremely late and did not allow for the publishing contractor to perform their required tasks on time.

Lev seemed to lack time, commitment and attention to schedule, budget and basic project mgmt communications. His quarterly reports were seldom up to date and needed constant corrections on my part.

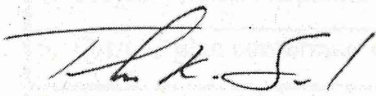
## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> <u>Short Elliot Hendrickson, Inc.</u>		<b>CFMS Contract Number:</b> A61265
<b>Project Name:</b> I-694 Environmental Assessment	<b>Mn/DOT Contract No.:</b> 84070	<b>Project Duration (Dates):</b> May 10, 2004- August 1, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of the contract was to provide an Environmental Assessment (EA) and Traffic Modeling of the proposed construction on I-694 from I-35W to Rice St. in the cities of Arden Hills, Little Canada, New Brighton, Shoreview and Vadnais Heights. This contract was necessary as, at the time of inception of the project, MnDOT staff was unable to provide adequate staff to perform the required work.		
<b>Billable Hours (if applicable):</b> 8982.1	<b>Total Amount Spent on Contract:</b> \$831,787.03	<b>Source of Funding:</b> <u>Trunk Highway</u>
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>     		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		<u>10/22/08</u> Date

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> URS Corporation		<b>CFMS Contract Number:</b> A59966
<b>Project Name:</b> Grand Rapids Intersection Operations Study	<b>Mn/DOT Contract No.:</b> 85837	<b>Project Duration (Dates):</b> 3/31/04 to 3/31/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  To complete the preliminary design for S.P. 3115-51 on TH 169 in Grand Rapids, including completion of the Project Memorandum and final geometric layout.  MnDOT District 1 did not have the resources available to complete this work in-house.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$191,342.37	<b>Source of Funding:</b> State
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>          		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		10/22/08 Date

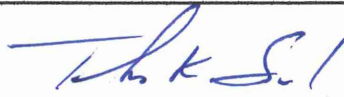
cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>MINNESOTA STATE UNIVERSITY MANKATO</b>		CFMS Contract Number: <b>A92938</b>
Project Name (if applicable): <b>BEST PRACTICES: MANAGING AND ENHANCING ROADSIDE VEGETATION FOR DESIRABLE WILDLIFE</b>	Mn/DOT Agreement No.: <b>90053</b>	Project Duration (Dates): <b>AUGUST 25, 2006 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>THIS PROJECT SYNTHESIZED INFORMATION FROM A COMPREHENSIVE REVIEW OF THE LITERATURE, PUBLISHED AND NON-PUBLISHED, RELEVANT TO ROADSIDE MANAGEMENT IN MIDWESTERN STATES TO PRODUCE A BEST PRACTICES MANUAL SUITABLE FOR THE TRAINING AND EDUCATION OF HIGHWAY MANAGERS EMPLOYED AT VARIOUS LEVELS OF GOVERNMENT THROUGHOUT MINNESOTA. THIS PROJECT ALSO UPDATES THE CURRENT LOCAL ROAD RESEARCH BOARD (LRRB) MANUAL ON ROADSIDE VEGETATION MANAGEMENT.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$52,500.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>6/22/08</b> Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 90053 **Type of work** Research

**District/Office** Investment Management **Work Type Code** RE

**S.P.** 88016 00906 **T.H.** NA **Location** Statewide

**Contractor** Minnesota State University Mankato

**Subcontractor** \_\_\_\_\_

**Subcontractor** \_\_\_\_\_

**Contract Period:** August 25, 2006 ; July 31, 2008 ; July 31, 2008  
                                     Work Start Date    Work Completion Date    Expiration Date

**Total Contract Cost:** \$52,500.00 **Orig Cost:** \$52,500.00 **Amended Cost:** \$0

**Amended cost for:** ☐ **Overrun**    ☐ **Additional Work**    **Number of Amendments** 2-time



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

**Contractor's rating for this contract:**

**Total Points** 30  
(Maximum points 36)

**Project Manager:**

**Contract Administrator:**

Paul Walvatne & John McDonald

*[Signature]*


*Ashley Dura*  
*10/28/08*



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>DEPARTMENT OF TRANSPORTATION</b>		CFMS Contract Number:
Project Name (if applicable): <b>CORROSION PROTECTION PERFORMANCE OF EPOXY - COATED REINFORCING BARS</b>	Mn/DOT Agreement No.: <b>89264, WO 1</b>	Project Duration (Dates): <b>SEPTEMBER 13, 2006 – SEPTEMBER 30, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>IN 1996, THE MN/DOT CONDUCTED A STUDY TO DETERMINE THE CORROSION PROTECTION OF EPOXY-COATED REINFORCING BARS IN FOUR BRIDGES BUILT BETWEEN 1973 AND 1978. A FOLLOW UP INVESTIGATION IS NEEDED TO DETERMINE THE LONG TERM PERFORMANCE OF EPOXY-COATED BARS TO ALLOW THE MN/DOT TO ESTIMATE THE LIFE OF BRIDGE DECKS REINFORCED WITH EPOXY-COATED BARS, AND TO DEVELOP APPROPRIATE BRIDGE DECK PROTECTION AND REHABILITATION MEASURES. THE PROPOSED INVESTIGATION WILL INCLUDE FIELD MEASUREMENTS OF BAR CORROSION ACTIVITY AND DELAMINATION AND LABORATORY TESTS TO DETERMINE CARBONATION DEPTH, CHLORIDE CONTENT LEVELS, AND OTHER DATA THAT CAN AFFECT THE LEVEL OF CORROSION ACTIVITY INDUCED IN THE BARS. FIELD AND LABORATORY DATA OBTAINED IN THIS STUDY ARE BEING COMBINED WITH THOSE OBTAINED IN THE 1996 STUDY TO ASSESS AND DEVELOP CORROSION TRENDS FOR THE EPOXY-COATED BARS IN THESE DECKS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$59,288.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>10/22/08</b> Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No. 89264 WO 1

Type of work Research

District/Office Investment Management

Work Type Code RE

S.P. 88016 00847 T.H. NA

Location Statewide

Contractor University of Wisconsin - Madison

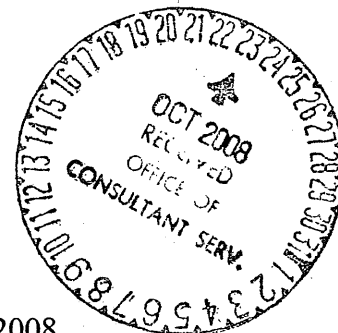
Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: September 13, 2006; September 30, 2008 ; September 30, 2008  
Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$59,288.00 Orig Cost: \$59,288.00 Amended Cost: \$0

Amended cost for: ☐ Overrun ☐ Additional Work Number of Amendments 1-time



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time		X		
5. Project related cooperation		X		
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this contract:

Total Points 28  
(Maximum points 36)

*Ashley Duce*  
*10/28/08*

Project Manager:

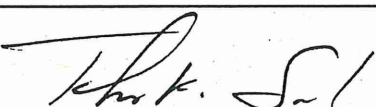
Contract Administrator:

Paul Fowekamp

Ashley Duce

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Retrofit Recycling, Inc.		<b>CFMS Contract Number:</b>
<b>Project Name:</b> I35W Bridge Replace, Regulated Waste Removal – Three Commercial Bldgs	<b>Mn/DOT Contract No.:</b> 91692	<b>Project Duration (Dates):</b> 8/15/07 – 9/19-08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> To meet MPCA/ and OSHA requirements/regulations and minimize Mn/DOT liability for the removal of regulated materials prior to building demolition. Mn/DOT personnel do not have the expertise and/or certifications required for this type of work. Mn/DOT needed to demolish buildings for road construction.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 197,396.32	<b>Source of Funding:</b>
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		10/22/08 Date

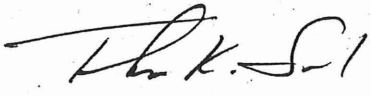
cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

(CSS Reviewed 3/14/2008)



## Report on Professional/Technical Contracts Over \$50,000


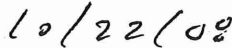
Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Hydro-Klean, Inc.		<b>CFMS Contract Number:</b> B14588
<b>Project Name:</b> Video Inspection and Cleaning – I-694	<b>Mn/DOT Contract No.:</b> 91916	<b>Project Duration (Dates):</b> May 13, 2008 - August 31, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The purpose of this Contract was to provide storm sewer video inspection and cleaning services on I-694 from T.H. 100 to I-35W in Hennepin, Anoka and Ramsey Counties.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$98,308	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		12/22/08 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> City of Minneapolis		<b>CFMS Contract Number:</b> B15316
<b>Project Name:</b> 35W Feasibility Study to determine Access to 35W from 3 <sup>rd</sup> and 4 <sup>th</sup>	<b>Mn/DOT Contract No.:</b> 92361	<b>Project Duration (Dates):</b> June 6, 2008-June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The State, City and Hennepin County had a joint interest in studying a proposed northbound ramp to 35W at 3 <sup>rd</sup> and 4 <sup>th</sup> Street to understand the benefits and challenges of the transportation system. The City of Minneapolis was the lead agency in managing a contract for the feasibility study for a new northbound ramp to I- 35W at 3 <sup>rd</sup> and 4 <sup>th</sup> Street in The City of Minneapolis, Hennepin County. The State has an interest in this study by reason of the freeways and other state trunk highways that are part of the City's transportation system. Data collected under this feasibility study will be used for future State planning. Because of this, the State agreed to participate and provide financial assistance to the City for the study. Hennepin County also participated in the study through a separate contract with the City.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$63,585.00	<b>Source of Funding:</b> Legislative Incentive Fund 270, Org 6679 Appr 083
If this was a single source Contract, explain why the agency determined there was only a single source for the services: The City was the lead agency on this project. The City managed the project contributed financially to the project as well as managed a separate contract with Hennepin County. Because of the City's ownership stake and familiarity with the area and its needs, The City of Minneapolis was the logical choice to manage the project.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

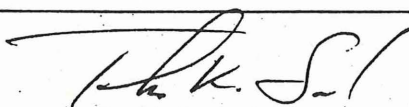
cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

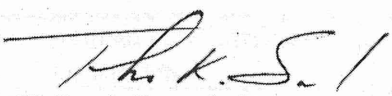
Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>NORTH DAKOTA STATE UNIVERSITY</b>		CFMS Contract Number: <b>A77273</b>
Project Name (if applicable): <b>VOLUME WARRANTS FOR RIGHT TURN LANES</b>	Mn/DOT Agreement No.: <b>88174</b>	Project Duration (Dates): <b>JUNE 27, 2005 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>ANALYZE GEOMETRIC, SPEED, VOLUME AND CRASH DATA FOR A BROAD RANGE OF CONDITIONS RELATED TO RIGHT TURN LANES IN MINNESOTA. DEVELOP PROCEDURES FOR ESTABLISHING AND APPLYING VOLUME WARRANTS FOR RIGHT TURNS BY MN/DOT ON HIGHWAYS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$71,000.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date: <b>10/2/08</b>

cc: **Paul Stembler, Dept. of Administration**  
**Jeff Brunner, Consultant Services Section**  
**File**

## Report on Professional/Technical Contracts Over \$50,000


Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b>		<b>CFMS Contract Number:</b> B14887
<b>Project Name:</b> Northern Minnesota Foundation Borings	<b>Mn/DOT Contract No.:</b> 92804	<b>Project Duration (Dates):</b> May 15 <sup>th</sup> , 2008 - July 31 <sup>st</sup> , 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The State was in need of a contractor to perform subsurface investigation work for several projects in northern Minnesota involving the replacement of two bridges and investigation of one slope failure. This work involved taking foundation borings, installing instrumentation and producing final boring logs. These final boring logs will be used to prepare a foundation recommendation report for each bridge.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$60,256.49	<b>Source of Funding:</b> Office of Materials Consultant Budget
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		<b>Date:</b> 1.12.22/08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.


<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Benchmark QA		<b>CFMS Contract Number:</b> B09687
<b>Project Name:</b>	<b>Mn/DOT Contract No.:</b> 91851	<b>Project Duration (Dates):</b> November 29, 2007 – August 29, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  To provide assistance with testing and analysis for the Integrated Desktop Software Testing Service in the Office of Information and Technology Services. This service supports desktop software users and Information Technology (IT) staff by providing a consistent approach to testing changes to desktop software before new software is installed on user desktops. The testing discovers and addresses software integration/functionality issues that can cause user disruptions and divert limited IT support staff from critical support needs. MnDOT does not currently have staff with these highly specialized skills.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$79,800	<b>Source of Funding:</b> Office of Information and Technology Services
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> NA		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 10/22/08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



## Report on Professional/Technical Contracts Over \$50,000

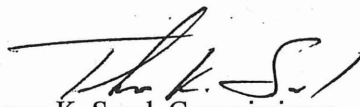
Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> South Central Technical College		<b>CFMS Contract Number:</b> B05282
<b>Project Name:</b> Jumpstart To Go Training Program	<b>Mn/DOT Contract No.:</b> 91152	<b>Project Duration (Dates):</b> 9/6/07 – 7/30/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this Interagency Contract was for the College to provide a business development training program for Disadvantaged Business Enterprises (DBEs) so that they can work more efficiently with Mn/DOT and be more successful in all aspects of their business which will benefit the State as Mn/DOT is required to utilize DBEs on many projects. The Contract provided DBE classes on business development as well as individual consultations with DBEs.		
<b>Billable Hours (if applicable):</b> NA	<b>Total Amount Spent on Contract:</b> \$90,080.80	<b>Source of Funding:</b> Federal
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> NA		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		<b>Date</b> 11/22/08

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

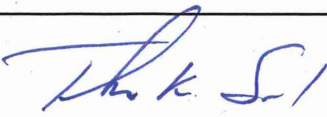
<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Mead and Hunt		<b>CFMS Contract Number:</b> B04494
<b>Project Name:</b> Historic Bridge Context, 1956-1970	<b>Mn/DOT Contract No.:</b> 91561	<b>Project Duration (Dates):</b> August 2007 – June 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> Mn/DOT required a historic context and preliminary National Register evaluation criteria for bridge built after 1955. Previous bridge studies only examined eligibility of bridge built before 1956, and as late 1950s bridges are now becoming eligible for consideration of listing on the National Register, detailed criteria was needed in order for the Cultural Resources Unit to make eligibility determinations. A contract was required since no state personnel meeting the Secretary of the Interior's Standards for a Professional Historian was available to perform the work.		
<b>Billable Hours (if applicable):</b> 1,217	<b>Total Amount Spent on Contract:</b> \$99,954.70	<b>Source of Funding:</b> Environmental Services Consultant Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>          		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>   SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 10/22/08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Athey Creek Consultants, LLC		<b>CFMS Contract Number:</b> A97075
<b>Project Name:</b> Integrated Corridor Management (ICM) Stage 1	<b>Mn/DOT Contract No.:</b> 89858	<b>Project Duration (Dates):</b> December 26, 2006 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> Mn/DOT was selected by the US DOT to participate as a Stage One Pioneer Site for the Integrated Corridor Management initiative. The goal of this initiative is to develop and provide the organizational guidance, operational capabilities and ITS technical methods needed for effective integrated corridor management. As a selected site, Mn/DOT, along with its partner agencies, the City of Minneapolis, Hennepin County and Metro Transit, have developed a site specific concept of operations and system requirements for the I-394 Corridor. The Contractor has provided project management support services for the project and delivered a Final Concept of Operations Document and Detailed System Requirements Document. The Contractor also assisted in sample data collection required by the US DOT.  This contract was necessary as the federal award stipulated that the services for this effort be contracted with private partners.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$272,188.53	<b>Source of Funding:</b> Federal and State Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>          		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Tom Sorel, Commissioner Department of Transportation		10/22/08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No.: **89858**

Type of work: **Integrated Corridor Management  
Stage 1**

District/Office: **OTSO**

Work Type Code: **ITS**

S.P.: **N/A** T.H.: **N/A**

Location \_\_\_\_\_

Contractor: **Athey Creek Consultants, LLC**

Subcontractor: **Alliant Engineering, Inc.**

**Wilbur Smith Associates, Inc.**

Contract Period: **December 26, 2006**

**June 2008**

**June 30, 2008**

Work Start Date

Work Completion Date

Expiration Date

Total Contract Cost: **\$272,188.53** = Orig Cost: **\$272,188.53** + Amended Cost: **\$0.00**

Amended cost for: **N/A**

Number of Amendments: **2**

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time	4			
5. Project related cooperation	4			
6. QA/QC plan conformance	4			
7. Contract administration cooperation	4			
8. Invoices and progress reports	4			
9. Cost estimation/budget management	4			


Contractor's rating for this contract:

Total Points 36  
(Maximum points 36)

Project Manager:

  
( Brian Kary )  
Print Name

Contract Administrator:

  
( Ron Bisek )  
Print Name

Date:


7/23/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

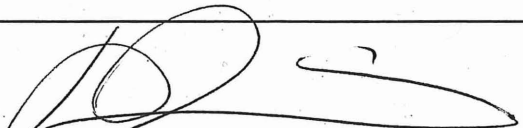
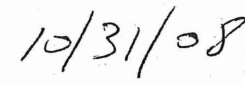
Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>DAVID JOHNSON</b>		CFMS Contract Number: <b>A97789</b>
Project Name (if applicable): <b>STRATEGIC PROGRAM DEVELOPMENT</b>	Mn/DOT Agreement No.: <b>90486</b>	Project Duration (Dates): <b>FEBRUARY 1, 2007- FEBRUARY 28, 2009</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>TO PROVIDE EXPERTISE AND RESOURCES IN ASSISTING MN/DOT'S RESEARCH SERVICES SECTION (RSS) IN THE REVIEW OF CURRENT PROCEDURES FOR DEVELOPING THE MN/DOT TRANSPORTATION RESEARCH PROGRAM, IN THE EXPLORATION AND EVALUATION OF ALTERNATIVE PROCEDURES, AND IN THE CREATION OF RECOMMENDATIONS FOR NEW RESEARCH PROGRAM DEVELOPMENT PROCEDURES. IMPROVED DEVELOPMENT PROCEDURES SHOULD SIGNIFICANTLY IMPROVE THE CAPABILITIES OF THE MN/DOT TRANSPORTATION RESEARCH PROGRAM IN IMPLEMENTING RESEARCH RESULTS AND IN EVALUATING PROGRAM IMPACTS. FURTHERMORE, NEW PROGRAM DEVELOPMENT PROCEDURES ARE EXPECTED TO BE EFFECTIVE IN ADDRESSING PRIORITY DEPARTMENT GOALS AND ISSUES AND WILL LEAD TO THE TRANSFER OF TECHNOLOGY AND KNOWLEDGE TO MN/DOT PRACTITIONERS AND LEADERS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$80,000.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
Thomas K. Sorel, Commissioner 		<b>10/22/08</b> Date

cc: **Paul Stembler, Dept. of Administration**  
Jeff Brunner, Consultant Services Section  
File



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Groundwater & Environmental Services, Inc.		<b>CFMS Contract Number:</b> B00662
<b>Project Name:</b> Statewide Contaminated Materials Emergency Assistance for Construction	<b>Mn/DOT Contract No.:</b> 91057	<b>Project Duration (Dates):</b> 5-3-07 to 7-31-08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of the contract is to provide statewide assistance to State in properly dealing with problems associated with contaminated soil, contaminated groundwater, petroleum storage tanks or solid or hazardous waste materials unexpectedly encountered at State's construction projects. In these situations, rapid response in dealing with the contamination problem is necessary to avoid construction delays, and to avoid potential negative impacts to construction workers, the public or the environment.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$99,940.77	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

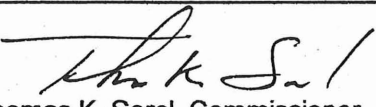
cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



# Report on Professional/Technical Contracts Over \$50,000

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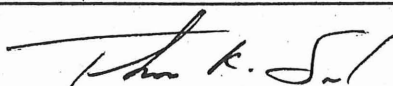
Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>B01997</b>
Project Name (if applicable): <b>INTELLIGENT COMPACTION IMPLEMENTATION: RESEARCH ASSESSMENT</b>	Mn/DOT Agreement No.: <b>89261, WO 74</b>	Project Duration (Dates): <b>JUNE 28, 2007 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>TO PROVIDE A QUALITATIVE ASSESSMENT OF MN/DOT'S IC SPECIFICATIONS, INCLUDING RECOMMENDATIONS TO IMPROVE THE SPECIFICATION AND PROCEDURES. THIS WILL BE ACCOMPLISHED 1) DEVELOPING A STRATEGY TO INTERVIEW FIELD PERSONNEL, 2) VISITING THE FOUR CONSTRUCTION SITES AND DOCUMENTING THE ACTIVITY, 3) INTERVIEWING THE FIELD PERSONNEL AND REVIEWING SELECTED FIELD RECORDS AT THE FOUR SITES, AND 4) PROVIDING RECOMMENDED SPECIFICATION AND PROCEDURAL CHANGES FROM THE FEEDBACK OBTAINED.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$60,000.00</b>	Source of Funding: <b>FEDERAL FUNDS</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>10/22/08</b> Date

cc: **Paul Stembler, Dept. of Administration**  
Jeff Brunner, Consultant Services Section  
File

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A91157</b>
Project Name (if applicable): <b>A REPORT &amp; ANALYSIS OF EFFECTS OF SEASONAL &amp; CLIMATIC CHANGES ON RIDE QUALITY OBSERVED IN MNROAD LOW VOLUME ROADS</b>	Mn/DOT Agreement No.: <b>89261 WO 21</b>	Project Duration (Dates): <b>JULY 14, 2006 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>RIDE QUALITY IS ONE OF THE MOST IMPORTANT INDICATORS OF THE PAVEMENT CONDITION. POOR RIDE QUALITY CAUSES COMPLAINTS FROM THE TRAVELING PUBLIC AND OFTEN TRIGGER PAVEMENT REHABILITATION. TRADITIONALLY, CHANGE IN THE RIDE QUALITY WAS ATTRIBUTED MOSTLY TO THE INCREASE IN AMOUNT OF PAVEMENT SURFACE DISTRESSES DUE TO REPEATED HEAVY AXLE LOADING AND MATERIAL SURFACE DETERIORATION DUE TO WEATHER EXPOSURE. THE EFFECT OF OTHER ENVIRONMENTAL FACTORS, LIKE FROST HEAVE, HAS NOT BEEN SUFFICIENTLY STUDIED. THE OBJECTIVE OF THIS STUDY IS TO EVALUATE CONTRIBUTION OF FROST HEAVE ON SEASONAL AND LONG TERM CHANGES IN RIDE QUALITY OF LOW AND HIGH VOLUME ROADS IN MINNESOTA USING PIN ELEVATION MEASUREMENTS AND PAVEMENT PERFORMANCE DATA FROM THE MNROAD TEST. BOTH ASPHALT AND CONCRETE PAVEMENTS WILL BE CONSIDERED. THE EFFECT OF VARIOUS DESIGN FEATURES ON MITIGATION OF THE CLIMATIC EFFECTS WILL BE ALSO EVALUATED. THIS WILL PERMIT DEVELOPMENT OF GUIDELINES FOR BETTER TIMING OF RIDE QUALITY MEASUREMENTS, GUIDELINES FOR SELECTION OF THE PAVEMENT DESIGN FEATURES MITIGATING THE EFFECT OF FROST HEAVE ON RIDE QUALITY DETERIORATION, AND IMPROVEMENT OF THE 2002 DESIGN GUIDE SITE FACTOR FOR THE MINNESOTA CONDITIONS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$79,493.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>10/22/09</b> Date

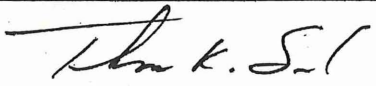
cc: **Paul Stembler, Dept. of Administration**  
Jeff Brunner, Consultant Services Section  
File



# Report on Professional/Technical Contracts Over \$50,000

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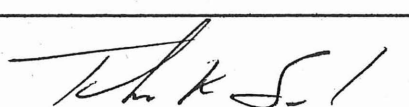
Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A98156</b>
Project Name (if applicable): <b>RESEARCH MANAGEMENT SYSTEM PHASE I - DEVELOP CONCEPTUAL DESIGN</b>	Mn/DOT Agreement No.: <b>89261 WO 45</b>	Project Duration (Dates): <b>FEBRUARY 13, 2007 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>THE GOAL OF THIS RESEARCH WAS TO DEVELOP A COMPREHENSIVE SYSTEM THAT CAN BE USED FOR EFFECTIVELY MANAGING THE ENTIRE RESEARCH PROCESS, SO THAT IT CAN PRODUCE RESEARCH RESULTS WITH HIGH POSSIBILITY OF IMPLEMENTATION WITHIN BUDGET/TIME LIMITATION, AND REDUCE THE TIME LAG BETWEEN THE COMPLETION OF A RESEARCH AND THE IMPLEMENTATION OF ITS RESULTS. IN THE PROPOSED STUDY, PHASE 1, A CONCEPTUAL DESIGN OF SUCH A SYSTEM WILL BE DEVELOPED. THE SPECIFIC OBJECTIVES OF THE PROPOSED STUDY, PHASE 1, INCLUDE:</b>  " <b>ASSESSMENT OF THE EXISTING RESEARCH MANAGEMENT PROCESSES AT THE SELECTED STATE DOT'S AND THEIR MANAGEMENT TOOLS,</b> " <b>IDENTIFICATION OF THE KEY FACTORS AND PROCESS FOR AN EFFECTIVE MANAGEMENT OF A TRANSPORTATION RESEARCH PROGRAM AT MN/DOT,</b> " <b>DEVELOPMENT OF A CONCEPTUAL DESIGN OF THE NEW RESEARCH MANAGEMENT SYSTEM.</b>  <b>THE RESULTS FROM THE PHASE 1 WILL BE USED TO DEVELOP A PROTOTYPE SYSTEM WHICH WILL BE IMPLEMENTED AND TESTED IN PHASE 2.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$58,500.00</b>	Source of Funding: <b>Trunk Highway</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>10/22/08</b> Date

cc: **Paul Stembler, Dept. of Administration**  
**Jeff Brunner, Consultant Services Section**  
**File**

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>DEPARTMENT OF TRANSPORTATION</b>		CFMS Contract Number:
Project Name (if applicable): <b>CORROSION PROTECTION PERFORMANCE OF EPOXY - COATED REINFORCING BARS</b>	Mn/DOT Agreement No.: <b>89264, WO 1</b>	Project Duration (Dates): <b>SEPTEMBER 13, 2006 – SEPTEMBER 30, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>IN 1996, THE MN/DOT CONDUCTED A STUDY TO DETERMINE THE CORROSION PROTECTION OF EPOXY-COATED REINFORCING BARS IN FOUR BRIDGES BUILT BETWEEN 1973 AND 1978. A FOLLOW UP INVESTIGATION IS NEEDED TO DETERMINE THE LONG TERM PERFORMANCE OF EPOXY-COATED BARS TO ALLOW THE MN/DOT TO ESTIMATE THE LIFE OF BRIDGE DECKS REINFORCED WITH EPOXY-COATED BARS, AND TO DEVELOP APPROPRIATE BRIDGE DECK PROTECTION AND REHABILITATION MEASURES. THE PROPOSED INVESTIGATION WILL INCLUDE FIELD MEASUREMENTS OF BAR CORROSION ACTIVITY AND DELAMINATION AND LABORATORY TESTS TO DETERMINE CARBONATION DEPTH, CHLORIDE CONTENT LEVELS, AND OTHER DATA THAT CAN AFFECT THE LEVEL OF CORROSION ACTIVITY INDUCED IN THE BARS. FIELD AND LABORATORY DATA OBTAINED IN THIS STUDY ARE BEING COMBINED WITH THOSE OBTAINED IN THE 1996 STUDY TO ASSESS AND DEVELOP CORROSION TRENDS FOR THE EPOXY-COATED BARS IN THESE DECKS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$59,288.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>10/22/08</b> Date

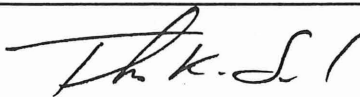
cc: **Paul Stembler, Dept. of Administration**  
Jeff Brunner, Consultant Services Section  
File



# Report on Professional/Technical Contracts Over \$50,000

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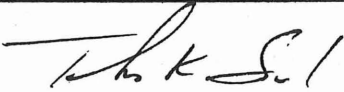
Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>IOWA STATE UNIVERSITY</b>		CFMS Contract Number: <b>B00838</b>
Project Name (if applicable): <b>DEVELOPING AND IMPLEMENTING ENHANCED PAVEMENT MARKING MANAGEMENT TOOLS FOR MN/DOT</b>	Mn/DOT Agreement No.: <b>89256 WO 3</b>	Project Duration (Dates): <b>MAY 15, 2007 – JANUARY 31, 2009</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>GIVEN TEST SENSITIVITY AND SPECIFICITY DATA, AS WELL AS TESTING PROTOCOLS USED IN PRACTICE, THIS PROJECT FIRST EXAMINED WHETHER THE CURRENT TESTING PROTOCOLS LEAD TO A HIGH QUALITY PRODUCT. WE THEN EVALUATED WHETHER DYNAMIC TESTING POTOCOLS CAN BE USED TO BOTH IMPROVE QUALITY AND REDUCE THE NUMBER OF TEST PERFORMED. THUS, THE SPECIFIC AIM OF THIS PROJECT IS TO USE STATISTICAL METHODS TO DEVELOP SEQUENTIAL TESTING PROTOCOLS THAT MINIMIZE EITHER THE NUMBER OF TEST REQUIRED FOR A GIVEN ACCEPTABLE LEVEL OF INFERENCE ERRORS, OR THE ROCK OF INFERENCE ERRORS FOR A GIVEN NUMBER OF TESTS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$56,802.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>9/24/08</b> Date

cc: **Paul Stembler, Dept. of Administration**  
**Jeff Brunner, Consultant Services Section**  
**File**

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

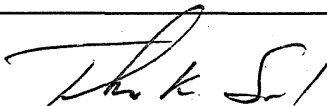
Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>MINNESOTA STATE UNIVERSITY MANKATO</b>		CFMS Contract Number: <b>A92938</b>
Project Name (if applicable): <b>BEST PRACTICES: MANAGING AND ENHANCING ROADSIDE VEGETATION FOR DESIRABLE WILDLIFE</b>	Mn/DOT Agreement No.: <b>90053</b>	Project Duration (Dates): <b>AUGUST 25, 2006 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>THIS PROJECT SYNTHESIZED INFORMATION FROM A COMPREHENSIVE REVIEW OF THE LITERATURE, PUBLISHED AND NON-PUBLISHED, RELEVANT TO ROADSIDE MANAGEMENT IN MIDWESTERN STATES TO PRODUCE A BEST PRACTICES MANUAL SUITABLE FOR THE TRAINING AND EDUCATION OF HIGHWAY MANAGERS EMPLOYED AT VARIOUS LEVELS OF GOVERNMENT THROUGHOUT MINNESOTA. THIS PROJECT ALSO UPDATES THE CURRENT LOCAL ROAD RESEARCH BOARD (LRRB) MANUAL ON ROADSIDE VEGETATION MANAGEMENT.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$52,500.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>6/22/08</b> Date

cc: **Paul Stembler, Dept. of Administration**  
**Jeff Brunner, Consultant Services Section**  
**File**

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Athey Creek Consultants, LLC		<b>CFMS Contract Number:</b> A97075
<b>Project Name:</b> Integrated Corridor Management (ICM) Stage 1	<b>Mn/DOT Contract No.:</b> 89858	<b>Project Duration (Dates):</b> December 26, 2006 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> Mn/DOT was selected by the US DOT to participate as a Stage One Pioneer Site for the Integrated Corridor Management initiative. The goal of this initiative is to develop and provide the organizational guidance, operational capabilities and ITS technical methods needed for effective integrated corridor management. As a selected site, Mn/DOT, along with its partner agencies, the City of Minneapolis, Hennepin County and Metro Transit, have developed a site specific concept of operations and system requirements for the I-394 Corridor. The Contractor has provided project management support services for the project and delivered a Final Concept of Operations Document and Detailed System Requirements Document. The Contractor also assisted in sample data collection required by the US DOT.  This contract was necessary as the federal award stipulated that the services for this effort be contracted with private partners.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$272,188.53	<b>Source of Funding:</b> Federal and State Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>          		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Tom Sorel, Commissioner Department of Transportation		60/22/08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No.: **89858**

Type of work: **Integrated Corridor Management  
Stage 1**

District/Office: **OTSO**

Work Type Code: **ITS**

S.P.: **N/A** T.H.: **N/A**

Location \_\_\_\_\_

Contractor: **Athey Creek Consultants, LLC**

Subcontractor: **Alliant Engineering, Inc.**

**Wilbur Smith Associates, Inc.**

Contract Period: **December 26, 2006**

**June 2008**

**June 30, 2008**

Work Start Date

Work Completion Date

Expiration Date

Total Contract Cost: **\$272,188.53** = Orig Cost: **\$272,188.53** + Amended Cost: **\$0.00**

Amended cost for: **N/A**

Number of Amendments: **2**

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time	4			
5. Project related cooperation	4			
6. QA/QC plan conformance	4			
7. Contract administration cooperation	4			
8. Invoices and progress reports	4			
9. Cost estimation/budget management	4			

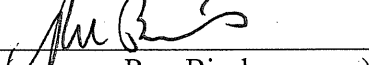
Contractor's rating for this contract:

Total Points 36  
(Maximum points 36)

Project Manager:

  
( Brian Kary )  
Print Name

Contract Administrator:

  
( Ron Bisek )  
Print Name

Date:

9/23/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680



## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:


- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

Delivered products in a timely manner and efficiently,  
Continued to meet changing demands of US DOT  
Contractor required little to no supervision  
Produced documents beyond expectations and  
suggest improvements not only used by  
Mn/DOT but the US DOT team

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

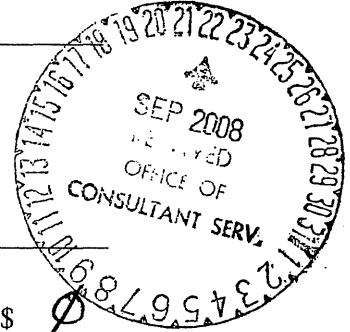
<b>Agency:</b> Department of Transportation		
<b>Contractor Name:</b> Stantec Consulting, Inc.		<b>CFMS Contract Number:</b> B00052
<b>Project Name:</b> Pavement Management Software Updates for 2007	<b>Mn/DOT Contract No.:</b> 90551	<b>Project Duration (Dates):</b> 04/12/07 - 12/31/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> This contract was for modifications to Mn/DOT's pavement management software. This software is used to report annual pavement conditions and predict future funding needs, which are part of the target formula for distributing funds to the Mn/DOT districts. Changes to the software needed to be made so that Mn/DOT can better model pavement deterioration rates and calculate historical and future remaining service life (RSL), which is an official department measure.		
<b>Product or Result of the Contract:</b> The finished product is a modified version of our pavement management software, which will have additional functionality as described above.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$56,896.62	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> The software is a proprietary product written /developed by Stantec Consulting, Inc. Mn/DOT needs to make some modifications to increase its functionality. Mn/DOT has been using this software for over 20 years and is very satisfied with its features and flexibility.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 10/9/08 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 90551 Type of Work Computer Services  
 District/Office MT Work Type Code CP  
 SP Number \_\_\_\_\_ TH Number \_\_\_\_\_ Location \_\_\_\_\_  
 Contractor STANTEC CONSULTANTS, LTD  
 Subcontractor \_\_\_\_\_  
 Subcontractor \_\_\_\_\_  
 Contract Period: 4/12/07 ; 6/27/08 ; 12/31/08  
                             Work Start Date                      Work Completion Date                      Expiration Date  
 Total Contract Cost: \$ 56,896.62 = Orig Cost: \$ 56,896.62 + Amended Cost: \$ 0  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		3		
2. Work Performance		3		
3. Conformance with Mn/DOT Standards/Requirements		3		
4. Deliverables Complete and on time	4			
5. Project related cooperation	4			
6. QA/QC plan conformance		3		
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

Contractor's rating for this Contract:

Total Points: 29 (Maximum points 36)

Project Manager:

Dan W. Jamisch 9/22/08  
 Name Date

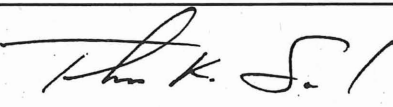
Contract Administrator:

Uelma McGinnis 9/25/08  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.


<b>Agency:</b> Department of Transportation		
<b>Contractor Name:</b> Stantec Consulting, Inc.		<b>CFMS Contract Number:</b> B00052
<b>Project Name:</b> Pavement Management Software Updates for 2007	<b>Mn/DOT Contract No.:</b> 90551	<b>Project Duration (Dates):</b> 04/12/07 - 12/31/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> This contract was for modifications to Mn/DOT's pavement management software. This software is used to report annual pavement conditions and predict future funding needs, which are part of the target formula for distributing funds to the Mn/DOT districts. Changes to the software needed to be made so that Mn/DOT can better model pavement deterioration rates and calculate historical and future remaining service life (RSL), which is an official department measure.		
<b>Product or Result of the Contract:</b> The finished product is a modified version of our pavement management software, which will have additional functionality as described above.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$56,896.62	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> The software is a proprietary product written /developed by Stantec Consulting, Inc. Mn/DOT needs to make some modifications to increase its functionality. Mn/DOT has been using this software for over 20 years and is very satisfied with its features and flexibility.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 10/9/08 Date

cc: Department of Administration P. S. Stember  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Visu-Sewer Inc.		<b>CFMS Contract Number:</b> B11280
<b>Project Name:</b> Storm Drain Inspection and Cleaning- Mendota Bridge to T.H. 52	<b>Mn/DOT Contract No.:</b> 91912	<b>Project Duration (Dates):</b> January 24, 2008- August 15, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> State was in need of storm sewer video inspection and cleaning services on T.H. 55 from the Mendota Bridge to T.H. 52. Storm water system features included all storm drainage pipes, culverts, manholes, catch basins, drop inlets, aprons, etc. used to convey storm water within the project limits. This contract was necessary to complete in order to comply with State MS4 Requirements.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$74,504.76	<b>Source of Funding:</b> Metro
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 10/8/08 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. # 91912 Type of Work Storm Sewer Video Insp & Clean  
 District/Office METRO Work Type Code 13.1-13.3 SV  
 SP Number \_\_\_\_\_ TH Number 55 Location Minnesota Bldg. to Jct. US 52  
 Contractor Visu - Sewer Inc.  
 Subcontractor SEH  
 Subcontractor \_\_\_\_\_  
 Contract Period: 4/1/2008 ; 6/30/2008 ; 8/15/2008  
 Work Start Date Work Completion Date Expiration Date  
 Total Contract Cost: \$ 86,745.04<sup>76</sup> Orig Cost: \$ \_\_\_\_\_ + Amended Cost: \$ \_\_\_\_\_  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments \_\_\_\_\_

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	✓			
2. Work Performance		✓		
3. Conformance with Mn/DOT Standards/Requirements			✓	
4. Deliverables Complete and on time		✓		
5. Project related cooperation		✓		
6. QA/QC plan conformance		✓		
7. Contract administration cooperation			✓	
8. Invoices and progress reports		<del>✗</del>	✓	
9. Cost estimation/budget management		✓		

Contractor's rating for this Contract:

Total Points: 25 (Maximum points 36)

Project Manager: LEE DALEIDEN 8/12/08  
 Name Date

Contract Administrator: Jennifer Spring 8/18/08  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

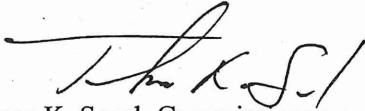
- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:** The final deliverables have been deemed good quality and were on time. Contractor, Visu Sewer Inc. hired a non-approved sub-contractor to clean a pipe that needed televising/ inspecting. Visu Sewer Inc., did not make the Project Manager aware of this until Project Manager discovered the work being performed in the field. The non-approved sub-contractor conducted the cleaning activity according to Mn/DOT Standards. Project Manager was generally surprised by the workmanship of the sub-contractor (Infra Tech, Inc.) Contractor was given only two points for Contract Administration because of their failure to notify that they were using Subcontractors without authorization from the Authorized Representative, and stating they never knew they had to have approval for Subcontractors. I stated it is part of the contract language. However, the total cost of the contract including the subcontractor Infra Tech, Inc. was for less then the original contract amount.

Contractor was also marked down for invoicing, due to the fact that they did not separate cleaning cost from direct labor etc...

## Report on Professional/Technical Contracts Over \$50,000

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<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Visu-Sewer Inc.		<b>CFMS Contract Number:</b> B11280
<b>Project Name:</b> Storm Drain Inspection and Cleaning- Mendota Bridge to T.H. 52	<b>Mn/DOT Contract No.:</b> 91912	<b>Project Duration (Dates):</b> January 24, 2008- August 15, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> State was in need of storm sewer video inspection and cleaning services on T.H. 55 from the Mendota Bridge to T.H. 52. Storm water system features included all storm drainage pipes, culverts, manholes, catch basins, drop inlets, aprons, etc. used to convey storm water within the project limits. This contract was necessary to complete in order to comply with State MS4 Requirements.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$74,504.76	<b>Source of Funding:</b> Metro
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 10 / 8 / 08 Date

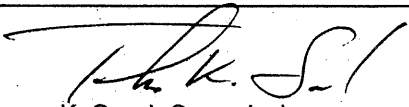
cc: Department of Administration *P. Stember*  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>NORTH DAKOTA STATE UNIVERSITY</b>		CFMS Contract Number: <b>A77273</b>
Project Name (if applicable): <b>VOLUME WARRANTS FOR RIGHT TURN LANES</b>	Mn/DOT Agreement No.: <b>88174</b>	Project Duration (Dates): <b>JUNE 27, 2005 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>ANALYZE GEOMETRIC, SPEED, VOLUME AND CRASH DATA FOR A BROAD RANGE OF CONDITIONS RELATED TO RIGHT TURN LANES IN MINNESOTA. DEVELOP PROCEDURES FOR ESTABLISHING AND APPLYING VOLUME WARRANTS FOR RIGHT TURNS BY MN/DOT ON HIGHWAYS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$71,000.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>10/2/08</b>

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No. 88174

Type of work Research

District/Office Investment Management

Work Type Code 2E

S.P. 88016 00757 T.H. NA

Location Statewide

Contractor North Dakota State University

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: June 27, 2005 ; July 31, 2008 ; July 31, 2008  
                                     Work Start Date      Work Completion Date      Expiration Date

Total Contract Cost: \$71,000.00 Orig Cost: \$55,000.00 Amended Cost: \$16,000.00

Amended cost for: ☐ Overrun ☐ Additional Work      Number of Amendments 3 - **time + work**



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time				X
5. Project related cooperation		X		
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports				X
9. Cost estimation/budget management		X		

Contractor's rating for this contract:

Total Points 24  
(Maximum points 36)

*Arthur J. [Signature]*  
10/18/08

Project Manager:

Contract Administrator:

Brian Gage/cw

W. [Signature]

## **Kelly Heffron**

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Date sent: **Thu, 2 Oct 2008 11:12:44 -0500 (CDT)**  
To: **Steve.Gustafson@state.mn.us,**  
From: **Steve.Gustafson@state.mn.us**  
Subject: **Vendor Evaluation Form**

Web site information request on 10/02/2008.

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project: Support for Desktop Software Testing

id\_part1: T79

id\_part2: 2111

cfms: B09687

vendor: Benchmark QA

agency: TRANSPORTATION DEPT

evaluator: Sarah Kline-Stensvold

eval\_date: 10/02/2008

purpose: This project is to provide assistance in supporting the Desktop Software Testing Service

accomplished: Yes

contract\_date: 11/29/2007

actual\_date: 11/29/2007

contract\_cost: \$79,800

amended\_cost: 0

actual\_cost: \$79,800

cost\_effective: Testing prior to deployment discovers and resolves problems before they impact m:

amended: No

terminated: No

engage: Yes

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## Kelly Heffron

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Date sent: **Wed, 24 Sep 2008 09:25:29 -0500 (CDT)**  
To: **Steve.Gustafson@state.mn.us,**  
From: **Steve.Gustafson@state.mn.us**  
Subject: **Vendor Evaluation Form**

Web site information request on 09/24/2008.

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project: ESRI Version 9.2 Migration

id\_part1: T79

id\_part2: 1990

cfms: B04986

vendor: Environment Systems Research Institute, Inc.

agency: TRANSPORTATION DEPT

evaluator: Susan Bousquet

eval\_date: 09/24/2008

email\_list: [Melissa.McGinnis@dot.state.mn.us](mailto:Melissa.McGinnis@dot.state.mn.us), [Susan.Bousquet@dot.state.mn.us](mailto:Susan.Bousquet@dot.state.mn.us)

purpose: To obtain assistance in rapidly setting up and configuring a new GIS system using current  
accomplished: Yes

contract\_date: 12/30/2007

actual\_date: 01/15/2008

contract\_cost: 24,942.00

actual\_cost: 24,940.29

cost\_effective: In-house staff could have been trained to complete the work. However, none were  
amended: No

terminated: No

engage: Yes

engage\_e: However, the vendor did not complete the software installation and configuration during


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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.



Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>University of Minnesota</b>		CFMS Contract Number: <b>A81060</b>
Project Name (if applicable): <b>INV 817-1: Determination of Optimum Time for Application of Surface Treatments to Asphalt Concrete Products-co w/LAB</b>	Mn/DOT Agreement No.: <b>81655 WO 137</b>	Project Duration (Dates): <b>5/1/05-07/31/08</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract: <b>The objective of this work is to provide a better understanding of the mechanism by which surface treatments protect the existing pavement from further aging and deterioration due to traffic and environmental loadings and to reasonably predict the optimum time for the application of these treatments. This requires a reasonable understanding of the progression of the complex aging mechanism in asphalt materials as well as the effect of aging on their fracture resistance. It is expected that this research will provide preliminary guidelines in terms of type of treatment and timing of the application. Continuous monitoring of field performance for longer periods of time combined with additional laboratory investigations and data analysis will be required to improve the prediction of the optimum times for the application of surface treatments.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>206,000.00</b>	Source of Funding: <b>Trunk Highway</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services: <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract: <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel /Commissioner of Transportation		Date <b>9/19/08</b>

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> University of Minnesota		<b>CFMS Contract Number:</b> A85646
<b>Project Name:</b> Cross Median Crashes: Identification and Counter Measures	<b>Mn/DOT Contract No.:</b> 81655W189	<b>Project Duration (Dates):</b> February 1, 2006 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this Contract was to analyze cross median crashes so that appropriate steps could be taken to enact proper safety strategies in Minnesota as part to the Toward Zero Deaths (TZD) initiative. The Contractor first conducted an extensive literature review on the state of the art in median crossing crash protection and provided a report to Mn/DOT based on this review. This was followed by statistical modeling of the frequency of median crashes in Minnesota, identifying those locations where countermeasure installation was most likely to pay off. The Contractor also developed methods for predicting the crash reduction benefits of median barrier treatments on particular highway sections. A detailed final report was provided to Mn/DOT at the completion of the Contract.  This contract was necessary in that sufficient staff and expertise was not available within the Department to complete this critical safety initiative.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$55,000.00	<b>Source of Funding:</b> Federal and State Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Tom Sorel, Commissioner Department of Transportation		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No.: **81655W189**

Type of work: **Cross Median Crashes:**

District/Office: **OTSO**

**Identification and Countermeasures**

Work Type Code: **ITS**

S.P.: **8816-814**

T.H. **N/A**

Location \_\_\_\_\_

Contractor: **University of Minnesota**

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: **February 1, 2006**

**June 2008**

**June 30, 2008**

Work Start Date

Work Completion Date

Expiration Date

Total Contract Cost: **\$55,000.00** = Orig Cost: **\$55,000.00** + Amended Cost: **\$0.00**

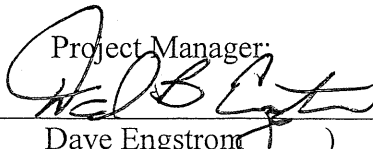
Amended cost for: **N/A**

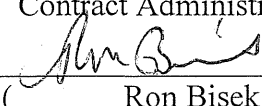
Number of Amendments: **2**

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time		3		
5. Project related cooperation		3		
6. QA/QC plan conformance		3		
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

Contractor's rating for this contract:

Total Points 30  
(Maximum points 36)

Project Manager:  
  
( Dave Engstrom )  
Print Name

Contract Administrator:  
  
( Ron Bisek )  
Print Name

Date:  
4/8/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680



## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> University of Minnesota		<b>CFMS Contract Number:</b> A85646
<b>Project Name:</b> Cross Median Crashes: Identification and Counter Measures	<b>Mn/DOT Contract No.:</b> 81655W189	<b>Project Duration (Dates):</b> February 1, 2006 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this Contract was to analyze cross median crashes so that appropriate steps could be taken to enact proper safety strategies in Minnesota as part to the Toward Zero Deaths (TZD) initiative. The Contractor first conducted an extensive literature review on the state of the art in median crossing crash protection and provided a report to Mn/DOT based on this review. This was followed by statistical modeling of the frequency of median crashes in Minnesota, identifying those locations where countermeasure installation was most likely to pay off. The Contractor also developed methods for predicting the crash reduction benefits of median barrier treatments on particular highway sections. A detailed final report was provided to Mn/DOT at the completion of the Contract.  This contract was necessary in that sufficient staff and expertise was not available within the Department to complete this critical safety initiative.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$55,000.00	<b>Source of Funding:</b> Federal and State Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Tom Sorel, Commissioner Department of Transportation		 10/02/08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No.: 81655W189

Type of work: **Cross Median Crashes:  
Identification and Countermeasures**

District/Office: OTSO

Work Type Code: ITS

S.P.: 8816-814 T.H. N/A

Location \_\_\_\_\_

Contractor: **University of Minnesota**

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: **February 1, 2006**  
Work Start Date

**June 2008**

Work Completion Date

**June 30, 2008**

Expiration Date

Total Contract Cost: **\$55,000.00** = Orig Cost: **\$55,000.00** + Amended Cost: **\$0.00**

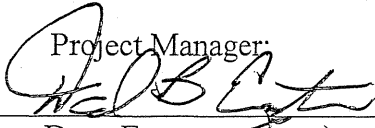
Amended cost for: N/A

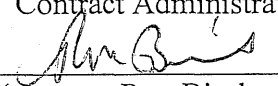
Number of Amendments: 2

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time		3		
5. Project related cooperation		3		
6. QA/QC plan conformance		3		
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

Contractor's rating for this contract:

Total Points 30  
(Maximum points 36)

Project Manager:  
  
( Dave Engstrom )  
Print Name

Contract Administrator:  
  
( Ron Bisek )  
Print Name

Date:  
9/8/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

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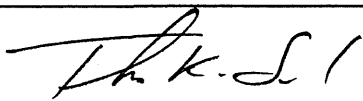
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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>IOWA STATE UNIVERSITY</b>		CFMS Contract Number: <b>B00838</b>
Project Name (if applicable): <b>DEVELOPING AND IMPLEMENTING ENHANCED PAVEMENT MARKING MANAGEMENT TOOLS FOR MN/DOT</b>	Mn/DOT Agreement No.: <b>89256 WO 3</b>	Project Duration (Dates): <b>MAY 15, 2007 – JANUARY 31, 2009</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>GIVEN TEST SENSITIVITY AND SPECIFICITY DATA, AS WELL AS TESTING PROTOCOLS USED IN PRACTICE, THIS PROJECT FIRST EXAMINED WHETHER THE CURRENT TESTING PROTOCOLS LEAD TO A HIGH QUALITY PRODUCT. WE THEN EVALUATED WHETHER DYNAMIC TESTING POTOCOLS CAN BE USED TO BOTH IMPROVE QUALITY AND REDUCE THE NUMBER OF TEST PERFORMED. THUS, THE SPECIFIC AIM OF THIS PROJECT IS TO USE STATISTICAL METHODS TO DEVELOP SEQUENTIAL TESTING PROTOCOLS THAT MINIMIZE EITHER THE NUMBER OF TEST REQUIRED FOR A GIVEN ACCEPTABLE LEVEL OF INFERENCE ERRORS, OR THE ROCK OF INFERENCE ERRORS FOR A GIVEN NUMBER OF TESTS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$56,802.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>9/24/08</b> Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No. 89256 WO 3

Type of work Research

District/Office Investment Management

Work Type Code RE

S.P. 88016 00979 T.H. NA

Location Statewide

Contractor Iowa State University

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: May 15, 2007; August 29, 2008; January 31, 2009  
Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$56,802.00 Orig Cost: \$56,802.00 Amended Cost: \$0

Amended cost for: ☐ Overrun ☐ Additional Work Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

Contractor's rating for this contract:

Total Points 36  
(Maximum points 36)



Project Manager:

Contract Administrator:

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> SRF Consulting Group, Inc.		<b>CFMS Contract Number:</b> B10870
<b>Project Name:</b> I-35W Urban Partnership Agreement Project Traffic Forecasting	<b>Mn/DOT Contract No.:</b> 91815	<b>Project Duration (Dates):</b> January 10, 2008 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this consultant contract was to provide traffic forecasting and benefit-cost analysis for three Urban Partnership Agreement (UPA) projects on I-35W. The three projects were: <ul style="list-style-type: none"><li>• “Northbound I-35W Priced Dynamic Shoulder Lanes” – This project involves converting the northbound left shoulder between 46th Street and T.H. 65 into a “dynamic shoulder”. This dynamic shoulder will be used as a high-occupancy toll lane during peak periods.</li><li>• “Northbound I-35W Roadway Infrastructure Improvements at I-494” – This project will add an additional lane on northbound I-35W between the entrance ramp at 90th Street and the entrance ramp from westbound I-494. An auxiliary lane will be added between the 82nd Street entrance ramp and the I-494 eastbound exit ramp, and a collector-distributor lane will be added through the I-494 ramp-loop weave area.</li><li>• “Southbound I-35W Additional Lane” – The shoulder will be replaced and widened to add an additional lane on southbound I-35W from 106th Street to T.H. 13.</li></ul> <p>UPA projects must be constructed by September 2009. In order to meet this schedule, the Environmental Assessment Worksheets (EAW) needed to be completed quickly. The traffic forecasting data and benefit-cost analyses produced from this Contract were needed for the EAWs. Current staffing was not available to complete this work based on the aggressive schedule being proposed.</p>		
<b>Billable Hours (if applicable):</b> 787.8	<b>Total Amount Spent on Contract:</b> \$89,945.14	<b>Source of Funding:</b> Urban Partnership
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor’s timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No.: 91815

Type of Work: Traffic Forecasting

District/Office: Metro

Work Type Code TS

S.P. Number: 8816-1076

T.H. Number: I-35W

Location: I-35W in Hennepin and Dakota Counties

Contractor: SRF Consulting Group, Inc.

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: January 10, 2008;

June 30, 2008;

June 30, 2008

Work Start Date

Work Completion Date

Expiration Date

Total Contract Cost: \$89,945.14 = Orig Cost: \$89,945.14 + Amended Cost: \$0.00

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments: \_\_\_\_\_



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation	X			
8. Invoices and progress reports		X		
9. Cost estimation/budget management	X			

Contractor's rating for this Contract:

Total Points: 34 (Maximum points \_\_\_\_\_)

Project Manager:

Contract Administrator:

Brian Isaacson  
Name Date

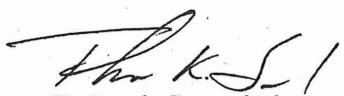

Mark Hagen  
Name Date 9/11/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.


<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> SRF Consulting Group, Inc.		<b>CFMS Contract Number:</b> B10870
<b>Project Name:</b> I-35W Urban Partnership Agreement Project Traffic Forecasting	<b>Mn/DOT Contract No.:</b> 91815	<b>Project Duration (Dates):</b> January 10, 2008 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this consultant contract was to provide traffic forecasting and benefit-cost analysis for three Urban Partnership Agreement (UPA) projects on I-35W. The three projects were: <ul style="list-style-type: none"><li>• "Northbound I-35W Priced Dynamic Shoulder Lanes" – This project involves converting the northbound left shoulder between 46th Street and T.H. 65 into a "dynamic shoulder". This dynamic shoulder will be used as a high-occupancy toll lane during peak periods.</li><li>• "Northbound I-35W Roadway Infrastructure Improvements at I-494" – This project will add an additional lane on northbound I-35W between the entrance ramp at 90th Street and the entrance ramp from westbound I-494. An auxiliary lane will be added between the 82nd Street entrance ramp and the I-494 eastbound exit ramp, and a collector-distributor lane will be added through the I-494 ramp-loop weave area.</li><li>• "Southbound I-35W Additional Lane" – The shoulder will be replaced and widened to add an additional lane on southbound I-35W from 106th Street to T.H. 13.</li></ul> <p>UPA projects must be constructed by September 2009. In order to meet this schedule, the Environmental Assessment Worksheets (EAW) needed to be completed quickly. The traffic forecasting data and benefit-cost analyses produced from this Contract were needed for the EAWs. Current staffing was not available to complete this work based on the aggressive schedule being proposed.</p>		
<b>Billable Hours (if applicable):</b> 787.8	<b>Total Amount Spent on Contract:</b> \$89,945.14	<b>Source of Funding:</b> Urban Partnership
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

(CSS Reviewed 4/28/2008)

## Report on Professional/Technical Contracts Over \$50,000


Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Alliant Engineering, Inc.		<b>CFMS Contract Number:</b> B08679
<b>Project Name:</b> T.H. 7 Signal Optimization	<b>Mn/DOT Contract No.:</b> 91822	<b>Project Duration (Dates):</b> November 7, 2007 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this consultant contract was to provide signal optimization of 30 intersections along Trunk Highway (T.H.) 7 in Shorewood, Excelsior, Minnetonka, Hopkins and St. Louis Park  It was necessary to enter into a contract because qualified Mn/DOT staff were working on timing plans for other corridors.		
<b>Billable Hours (if applicable):</b> 1484	<b>Total Amount Spent on Contract:</b> \$94,967.14	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 7/19/08 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Hydro-Klean, Inc.		<b>CFMS Contract Number:</b> A99135
<b>Project Name:</b> Duluth Area Sewer Video	<b>Mn/DOT Contract No.:</b> 90206	<b>Project Duration (Dates):</b> 3/9/07 to 4/30/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  To clean and video inspect the storm sewer systems on State Trunk Highways in Duluth and Two Harbors.  It is a requirement of NPDES stormwater permits.  Mn/DOT District 1 does not own the equipment to perform this work.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$484,030.32	<b>Source of Funding:</b> State
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 9/19/08 Date


cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.


Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>University of Minnesota</b>		CFMS Contract Number: <b>A81060</b>
Project Name (if applicable): <b>INV 817-1: Determination of Optimum Time for Application of Surface Treatments to Asphalt Concrete Products-co w/LAB</b>	Mn/DOT Agreement No.: <b>81655 WO 137</b>	Project Duration (Dates): <b>5/1/05-07/31/08</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract: <b>The objective of this work is to provide a better understanding of the mechanism by which surface treatments protect the existing pavement from further aging and deterioration due to traffic and environmental loadings and to reasonably predict the optimum time for the application of these treatments. This requires a reasonable understanding of the progression of the complex aging mechanism in asphalt materials as well as the effect of aging on their fracture resistance. It is expected that this research will provide preliminary guidelines in terms of type of treatment and timing of the application. Continuous monitoring of field performance for longer periods of time combined with additional laboratory investigations and data analysis will be required to improve the prediction of the optimum times for the application of surface treatments.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>206,000.00</b>	Source of Funding: <b>Trunk Highway</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services: <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract: <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel /Commissioner of Transportation		Date <b>9/19/08</b>

cc: **Paul Stembler, Dept. of Administration**  
Jeff Brunner, Consultant Services Section  
File



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Hydro-Klean, Inc.		<b>CFMS Contract Number:</b> A99135
<b>Project Name:</b> Duluth Area Sewer Video	<b>Mn/DOT Contract No.:</b> 90206	<b>Project Duration (Dates):</b> 3/9/07 to 4/30/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  To clean and video inspect the storm sewer systems on State Trunk Highways in Duluth and Two Harbors.  It is a requirement of NPDES stormwater permits.  Mn/DOT District 1 does not own the equipment to perform this work.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$484,030.32	<b>Source of Funding:</b> State
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>          		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		9/19/08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 90206 Type of Work Inspection

District/Office One Work Type Code SV

SP Number 8821-158 TH Number 999 Location Duluth Area Sewer Video

Contractor Hydro-Klean, Inc.

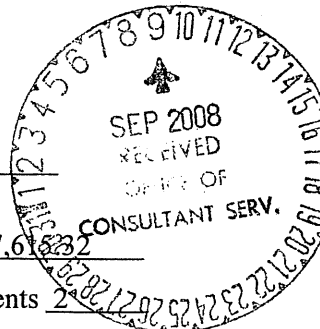
Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: 3/9/07 ; 11/30/07 ; 4/30/08  
                                     Work Start Date              Work Completion Date              Expiration Date

Total Contract Cost: \$ 484,030.32 = Orig Cost: \$ 256,415.00 + Amended Cost: \$ 227,615.32

Amended for: ☐ Overrun ☐ Additional Work ☐ Time Only Number of Amendments 2



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		3		
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time		3		
5. Project related cooperation	4			
6. QA/QC plan conformance	4			
7. Contract administration cooperation	4			
8. Invoices and progress reports	4			
9. Cost estimation/budget management		3		

Contractor's rating for this Contract:

Total Points: 33 (Maximum points 36)

Project Manager:

Name

*[Signature]*

Date

9/5/08

Contract Administrator:

Name

*[Signature]*

Date

9/5/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**


- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

Last invoice was final.

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.



<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Tinjum Appraisal Co.		<b>CFMS Contract Number:</b> A73207
<b>Project Name:</b> Appraisal Services	<b>Mn/DOT Contract No.:</b> 87751	<b>Project Duration (Dates):</b> 3-18-05 5 2-8-08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract</b>  Appraisal Services for 8 parcels in Detroit Lakes.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$26,000.00	<b>Source of Funding:</b> Land Management
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		9/17/08 Date

cc: Department of Administration *Pete*  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Tinjum Appraisal Co.		<b>CFMS Contract Number:</b> A73207
<b>Project Name:</b> Appraisal Services	<b>Mn/DOT Contract No.:</b> 87751	<b>Project Duration (Dates):</b> 3-18-05 5 2-8-08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract</b>  Appraisal Services for 8 parcels in Detroit Lakes.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$26,000.00	<b>Source of Funding:</b> Land Management
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 87751

Type of Work: Appraisal Services

District: Detroit Lakes

Work Type Code AP

SP Number 0301-47 TH Number 10 Location

Contractor: Tinjum Appraisal Co.

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: 3-18-05; 5-08-06; 2-08-08

Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$68,000.00 = Orig Cost: \$26,000.00 + Amended Cost: \$ \_\_\_\_\_

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments \_\_\_\_\_



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

Contractor's rating for this Contract:

Total Points: 36 (Maximum points 36)

Project Manager:

Contract Administrator:

Name: Skip Pitzen

Date

Name

Cathie Ashlin

Date


Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 1/26/2007)

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>MINNESOTA STATE UNIVERSITY MANKATO</b>		CFMS Contract Number: <b>A76278</b>
Project Name (if applicable): <b>DESIGN PROCEDURES FOR BITUMINOUS STABILIZED ROAD SURFACES FOR LOW VOLUME ROADS</b>	Mn/DOT Agreement No.: <b>88193</b>	Project Duration (Dates): <b>JUNE 17, 2005 – SEPTEMBER 30, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>MANY ROADWAYS IN THE CITY AND COUNTY ROAD SYSTEMS IN MINNESOTA CONSIST OF UNPAVED AGGREGATE SURFACES. IN ORDER TO UPGRADE THESE ROADS TO IMPROVE SAFETY, REDUCE MAINTENANCE COST AND IMPROVE THE SURFACE OF THE ROADWAY IS TO CREATE A BITUMINOUS STABILIZED LAYER OF AGGREGATE IN THE TOP SEVERAL INCHES OF AN AGGREGATE SURFACED ROADWAY USING MIX-IN-PLACE METHODS. THE COUNTY ENGINEERS RESPONSIBLE FOR THE PAVEMENT DESIGNS, HOWEVER, NEED A DESIGN METHOD TO PROVIDE COUNTY ENGINEERS AND THEIR STAFF WITH THE TECHNICAL BACKING NEEDED FOR THE DESIGNS SELECTED. MN/DOT HAD DEVELOPED SEVERAL VERSIONS OF A LOW-VOLUME ROAD DESIGN GUIDE. THIS GUIDE DOES NOT ADDRESS THE CONSTRUCTION METHODS USED TO UPGRADE THESE AGGREGATE SURFACED ROADS IN THE WAY THESE COUNTIES WOULD LIKE TO USE THEM. OTHER AGENCIES HAVE CONDUCTED SIMILAR STUDIES AND THESE ARE OUTLINED IN THE LITERATURE REVIEW PORTION OF THIS WORK PLAN.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$60,080.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>9/15/08</b> Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No:** 88193

**Type of work** Research

**District/Office** Investment Management

**Work Type Code** RE

**S.P.** NA

**T.H.** NA

**Location** Statewide

**Contractor** Minnesota State University Mankato

**Subcontractor** \_\_\_\_\_

**Subcontractor** \_\_\_\_\_

**Contract Period:** June 17, 2005 ; August 26, 2008 ; September 30, 2008  
                                     Work Start Date                      Work Completion Date                      Expiration Date

**Total Contract Cost:** \$60,080.00      **Orig Cost:** \$60,080.00      **Amended Cost:** \$0

**Amended cost for:**   ☐ **Overrun**      ☐ **Additional Work**      **Number of Amendments** 2 -time



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

**Contractor's rating for this contract:**

**Total Points** 33  
(Maximum points 36)

**Project Manager:**

**Contract Administrator:**

Roger Olson

Deb Jick

*Ashley Duran*  
9/25/08



## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

Dr Wilde did a good job on this project

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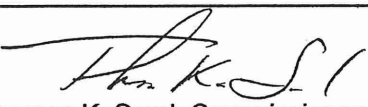
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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

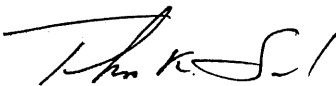
Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>MINNESOTA STATE UNIVERSITY MANKATO</b>		CFMS Contract Number: <b>A76278</b>
Project Name (if applicable): <b>DESIGN PROCEDURES FOR BITUMINOUS STABILIZED ROAD SURFACES FOR LOW VOLUME ROADS</b>	Mn/DOT Agreement No.: <b>88193</b>	Project Duration (Dates): <b>JUNE 17, 2005 – SEPTEMBER 30, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>MANY ROADWAYS IN THE CITY AND COUNTY ROAD SYSTEMS IN MINNESOTA CONSIST OF UNPAVED AGGREGATE SURFACES. IN ORDER TO UPGRADE THESE ROADS TO IMPROVE SAFETY, REDUCE MAINTENANCE COST AND IMPROVE THE SURFACE OF THE ROADWAY IS TO CREATE A BITUMINOUS STABILIZED LAYER OF AGGREGATE IN THE TOP SEVERAL INCHES OF AN AGGREGATE SURFACED ROADWAY USING MIX-IN-PLACE METHODS. THE COUNTY ENGINEERS RESPONSIBLE FOR THE PAVEMENT DESIGNS, HOWEVER, NEED A DESIGN METHOD TO PROVIDE COUNTY ENGINEERS AND THEIR STAFF WITH THE TECHNICAL BACKING NEEDED FOR THE DESIGNS SELECTED. MN/DOT HAD DEVELOPED SEVERAL VERSIONS OF A LOW-VOLUME ROAD DESIGN GUIDE. THIS GUIDE DOES NOT ADDRESS THE CONSTRUCTION METHODS USED TO UPGRADE THESE AGGREGATE SURFACED ROADS IN THE WAY THESE COUNTIES WOULD LIKE TO USE THEM. OTHER AGENCIES HAVE CONDUCTED SIMILAR STUDIES AND THESE ARE OUTLINED IN THE LITERATURE REVIEW PORTION OF THIS WORK PLAN.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$60,080.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>9/15/08</b>

cc: **Paul Stembler, Dept. of Administration**  
**Jeff Brunner, Consultant Services Section**  
**File**

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A96818</b>
Project Name (if applicable): <b>ITS Institute Mn/DOT Match</b>	Mn/DOT Agreement No.: <b>89261, WO 42</b>	Project Duration (Dates): <b>DECEMBER 22, 2006 – AUGUST 22, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>Contract for RSPA (US DOT) fund transfer - SAFETEA-LU Match</b>  <div style="text-align: right;"><b>\$800,000.00</b></div>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$800,000.00</b>	Source of Funding: <b>TRUNK HIGHWAY/ITS</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>9/12/08</b>

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No. 89261, WO 42

Type of work Research

District/Office Investment Management

Work Type Code 2E

S.P. NA T.H. NA

Location Statewide

Contractor University of Minnesota

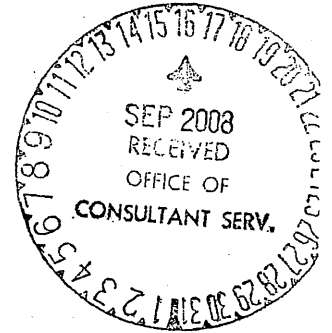
Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: December 22, 2006; August 22, 2008 ; September 30, 2008  
Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$800,000.00 Orig Cost: \$800,000.00 Amended Cost: \$0

Amended cost for: ☐ Overrun ☐ Additional Work Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	x			
2. Work Performance	x			
3. Conformance with Mn/DOT Standards/Requirements		x		
4. Deliverables Complete and on time		x		
5. Project related cooperation		x		
6. QA/QC plan conformance		x		
7. Contract administration cooperation	x			
8. Invoices and progress reports		x		
9. Cost estimation/budget management	x			

Contractor's rating for this contract:

Total Points 31  
(Maximum points 36)

Project Manager:

Contract Administrator:

Ray Starr

Ann McLellan

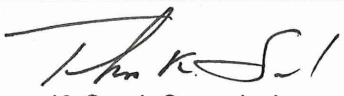
*Ann McLellan*  
9/19/08



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).



Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A96818</b>
Project Name (if applicable): <b>ITS Institute Mn/DOT Match</b>	Mn/DOT Agreement No.: <b>89261, WO 42</b>	Project Duration (Dates): <b>DECEMBER 22, 2006 – AUGUST 22, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>Contract for RSPA (US DOT) fund transfer - SAFETEA-LU Match</b>  <div style="text-align: right;"><b>\$800,000.00</b></div>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$800,000.00</b>	Source of Funding: <b>TRUNK HIGHWAY/ITS</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>9/12/08</b>

cc: **Paul Stembler, Dept. of Administration**  
**Jeff Brunner, Consultant Services Section**  
**File**

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

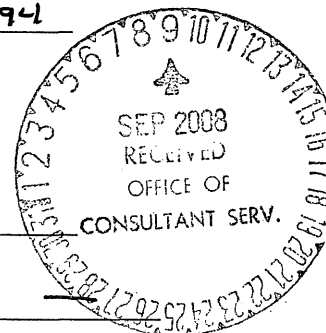
<b>Agency:</b> Department of Transportation		
<b>Contractor Name:</b> Hydro-Klean, Incorporated		<b>CFMS Contract Number:</b> B 13779
<b>Project Name:</b> Video Inspection, scoping, and cleaning	<b>Mn/DOT Contract No.:</b> 91918	<b>Project Duration (Dates):</b> April 8, 2008 through June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The purpose of this Contract was for Contractor to provide video inspection, location, digital video and cleaning of storm water features to facilitate scoping for new project needs on Trunk Highway (TH) 494 from TH 94 to TH 394. Storm water system features include all storm drainage pipes, culvert, manholes, catch basins, drop inlets, aprons, etc. used to convey storm water within the project limits.  This work could not be done in house because personnel with the necessary expertise and specialized equipment were not available to provide this one time service when needed.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 65,281.25	<b>Source of Funding:</b> State funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  See attached Consultant performance evaluation		
 Thomas K. Sorel, Commissioner		 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 91918 Type of Work Storm Sewer T.V & Inspect  
 District/Office METRO Work Type Code 13.1-13.3 SV  
 SP Number 2785-366 TH Number I494 Location I-394 to I-94  
 Contractor Hydro-Klean Inc.  
 Subcontractor —  
 Subcontractor —  
 Contract Period: AFTER EXCAVATION; 6/30/08 ; 7/31/08  
 Work Start Date Work Completion Date Expiration Date  
 Total Contract Cost: \$ 65,281.25 = Orig Cost: \$ — + Amended Cost: \$ —  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments —



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		✓		
2. Work Performance	✓			
3. Conformance with Mn/DOT Standards/Requirements		✓		
4. Deliverables Complete and on time		✓		
5. Project related cooperation	✓			
6. QA/QC plan conformance		✓		
7. Contract administration cooperation		✓		
8. Invoices and progress reports		✓		
9. Cost estimation/budget management		✓		

Contractor's rating for this Contract:

Total Points: 29 (Maximum points —)

Project Manager:

LEE DALEIDEN 8/29/08  
 Name Date

Contract Administrator:

Julie Fierock 9/8/08  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

HydInfra upload - good Map Edits getting  
better - Video quality, Name Sequence needs  
some work. Overall -- good job!

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## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Gemini Research		<b>CFMS Contract Number:</b> B06892
<b>Project Name:</b> TH 212 Cologne to Young America and Market Avenue Interchange	<b>Mn/DOT Contract No.:</b> 91669	<b>Project Duration (Dates):</b> 10/02/07- 7/31/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  This federally funded project must be reviewed for impacts to significant historic properties under Section 106 of the National Historic Preservation Act of 1966 as amended. This contract was necessary to identify historic properties listed, or eligible for listing, in the National Register of Historic Places in the project's area of potential effect and to assess the project's effects to them prior to federal funding. The MnDOT Cultural Resource Unit, on behalf of FHWA, maintains a list of firms who meet the Secretary of Interior's Professional Standards to do this type of work. Since the CRU has a small staff who manages contracts, reviews products, consults with the public and coordinates findings with the Minnesota State Historic Preservation Office and FHWA, our time is used to complete those tasks.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 94,242.00	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 91669 Type of Work architectural history survey and eval

District/Office Metro

Work Type Code 12.4 *CR*

SP Number 1013-77,78,&79 TH Number 212 Location Cologne to Young America

Contractor Gemini Research.

Subcontractor

Subcontractor

Contract Period: 10-01-2007; 7-18-2008; 7-31-2008

Work Start Date

Work Completion Date

Expiration Date

Total Contract Cost: \$94,242.00 = Orig Cost: \$ 94,242.00 + Amended Cost: \$ 0

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 32 (Maximum points 36)

Project Manager:

Jackie Sluss 8-15-2008  
Name Date

*Jackie Sluss*

Contract Administrator:

Ashley Swann 9/10/08  
Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

Gemini is highly experienced and needs little or no guidance in completing a project.

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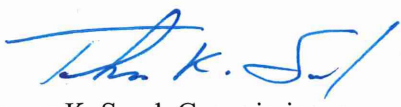

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## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Archwing Innovations, Inc		<b>CFMS Contract Number:</b> A67220
<b>Project Name:</b> CART/OAPRT/UMART Technical and Maintenance Support	<b>Mn/DOT Contract No.:</b> 86848	<b>Project Duration (Dates):</b> 6/30/2006 – 6/30/2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> To continue uninterrupted maintenance and technical support for 3 Java applications		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$85,4000	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  Archwing Innovations developed all three applications. It would take an unreasonable amount of time and effort for another contractor to learn the code and framework used to develop these applications and put the department at risk if the applications should fail. In addition, because these applications were written with a proprietary framework, there were no in-house staff with the skills necessary to maintain the applications.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



## CONSULTANT PERFORMANCE EVALUATION

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Contract No. 86848

Type of Work CP-Computer Services

District/Office Decision Support

Work Type Code CP

SP Number \_\_\_\_\_ TH Number \_\_\_\_\_

Location Central Office

Contractor Archwing Innovations

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: June 30, 2006 ; June 30, 2008 ; June 30, 2008  
                                 Work Start Date                      Work Completion Date      Expiration Date

Total Contract Cost: \$ 112,000 = Orig Cost: \$ 40,000 + Amended Cost: \$ 72,000

Amended for: ~ Overrun    X Additional Work    ~ Time Only    Number of Amendments 3

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	x			
2. Work Performance	x			
3. Conformance with Mn/DOT Standards/Requirements	x			
4. Deliverables Complete and on time	x			
5. Project related cooperation	x			
6. QA/QC plan conformance	x			
7. Contract administration cooperation	x			
8. Invoices and progress reports	x			
9. Cost estimation/budget management	x			

Contractor's rating for this Contract:

Total Points: 36 (Maximum points 36)

Project Manager:

Kathy Hofstedt 8/22/2008  
 Name                                      Date

Contract Administrator:

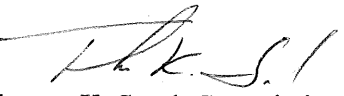
Ullena McGinnis  
 Name                                      Date

8/26/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Readex Research, Inc.		<b>CFMS Contract Number:</b> B13450
<b>Project Name:</b> TH36 Post-Closure Community Construction Evaluation	<b>Mn/DOT Contract No.:</b> 92525	<b>Project Duration (Dates):</b> 3/28-7/31, 2008
<p><b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> Mn/DOT needed to assess public reaction to the full closure of a commuter-heavy Metro highway (TH36) that occurred in 2007. This purpose was 3-fold:</p> <ul style="list-style-type: none"> <li>to obtain follow-up perceptions about the closure from affected commuters, residents and businesses after re-opening the highway since it was a sensitive project and perceived as controversial;</li> <li>to adhere to a Best Practice of evaluating public input post-construction whenever funding allows, and</li> <li>to fulfill a commitment to the Highways for Life program. This last was a pre-requisite to receiving additional monies for the construction project.</li> </ul> <p>Mn/DOT does not have enough trained market research interviewers to conduct any quantitative study in-house. Nor does it have enough managerial market research staff for the project design, questionnaire refinement, analysis, reporting and presentation of more than one or two projects a year. Thus, it was necessary to outsource this project fully. In-house market research director managed the consultant and project by providing oversight and insight, monitoring quality control and supporting consultant by filling 'knowledge gap' on the TH 36 particulars.</p>		
<b>Billable Hours (if applicable):</b> Lump Sum Payment	<b>Total Amount Spent on Contract:</b> \$ 73,530.00	<b>Source of Funding:</b> OCIC
<p><b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> In-house Mkt. Res. staff (Lori Laflin) at time of Pre-Closure evaluation recommended that a tracking study (the Community Construction Evaluation project) be used for the follow-up survey re: Hwy 36. This was agreed upon by Director of Mkt. Res. (Chris McMahon) because it allowed us to compare the TH36 findings to 5 other projects evaluated in 2005.</p> <p>Since Readex Research did the 2005 "CCE" study, the most efficiency and accuracy in analysis was obtained by using them for this post-closure evaluation of TH 36, using the tracking mechanism and its data which is stored in-house at their company.</p>		
<p><b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b></p> <p>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</p>		
 Thomas K. Sorel, Commissioner		9/4/08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 92525 Type of Work Market Research  
 District/Office CO Work Type Code 0253 MR  
 SP Number \_\_\_\_\_ TH Number 36 Location \_\_\_\_\_  
 Contractor Reader Research, Inc  
 Subcontractor ISG  
 Subcontractor \_\_\_\_\_  
 Contract Period: 3/28/08 ; 6/30/08 ; 7/31/08  
                     Work Start Date              Work Completion Date      Expiration Date  
 Total Contract Cost: \$ 73,530<sup>00</sup> = Orig Cost: \$ 73,530<sup>00</sup> + Amended Cost: \$ 0  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only    Number of Amendments \_\_\_\_\_

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time		3		
5. Project related cooperation	4			
6. QA/QC plan conformance	NA			
7. Contract administration cooperation	4			
8. Invoices and progress reports	4			
9. Cost estimation/budget management	4			

Contractor's rating for this Contract:                      Total Points: 31 (Maximum points 32)

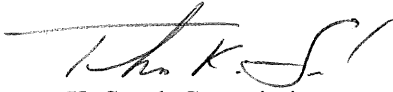
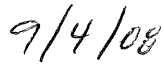
Project Manager: [Signature] 8/14/08  
 Name                      Date

Contract Administrator: [Signature]  
 Name                      Date  
8/26/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Mississippi Valley Archaeology Center		<b>CFMS Contract Number:</b> A92068
<b>Project Name:</b> Woodland Tradition Multiple Properties Documentation Form	<b>Mn/DOT Contract No.:</b> 89964	<b>Project Duration (Dates):</b> 8/14/2006 – 7/31/2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> This study will provide a basis for evaluating Woodland tradition archaeological sites for eligibility to the National Register of Historic Places, a step in Section 106 of the National Historic Preservation Act which requires federal agencies to account for their activities on historic properties.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 95,000	<b>Source of Funding:</b> Office of Environmental Services
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> The consultant was the only one available with the depth of experience in the Woodland tradition.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

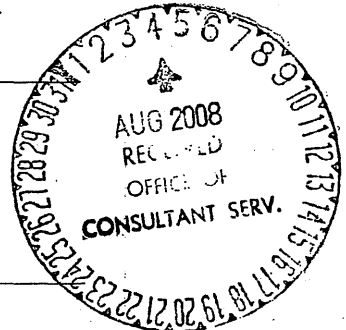
cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 89964 Type of Work PRE-CONTACT ARCHAEOLOGY  
 District/Office C.O. Work Type Code 12.1-CR  
 SP Number — TH Number — Location —  
 Contractor MISSISSIPPI VALLEY ARCHAEOLOGY CENTER  
 Subcontractor —  
 Subcontractor —  
 Contract Period: 8/14/2006 ; 7/25/2008 ; 9/30/2008  
                     Work Start Date                      Work Completion Date                      Expiration Date  
 Total Contract Cost: \$ 95,000 = Orig Cost: \$ — + Amended Cost: \$ —  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only    Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	✓			
2. Work Performance		✓		
3. Conformance with Mn/DOT Standards/Requirements			✓	
4. Deliverables Complete and on time		✓		
5. Project related cooperation		✓		
6. QA/QC plan conformance		✓		
7. Contract administration cooperation		✓		
8. Invoices and progress reports		✓		
9. Cost estimation/budget management		✓		

Contractor's rating for this Contract:

Total Points: 27 (Maximum points 30)

Project Manager:

Name

Date

Contract Administrator:

Name

Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.


**Comments:**

THE CONSULTANT PRODUCED AN EXCELLENT REPORT.

THE ONLY PROBLEM ENCOUNTERED WAS IN THE TIMELY  
SUBMITTAL OF MONTHLY PROGRESS REPORTS AND THE LONG  
DELAY OF INVOICING WHICH RESULTED IN A NUMBER OF  
INVOICES SPACED CLOSELY NEAR THE END OF THE PROJECT.

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> YAGGY COLBY & ASSOCIATES		<b>CFMS Contract Number:</b> A46794
<b>Project Name:</b> LAND ACQUISITION FOR RADIO TOWERS	<b>Mn/DOT Contract No.:</b> 84292	<b>Project Duration (Dates):</b> Start 4/3/03 – 4/30/06 Extended to end 6/30/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> Search for land to purchase for the purpose of constructing radio communications towers. In addition to the searching the project involved: appraisals, survey work, environmental review, soil boring, title work, abstracts, purchase offers.  Due to limited staffing in Land Management at CO and the Districts, the “Land for Towers” project was low priority to road and bridge projects. The delays in land acquisition was jeopardizing the implementation of the statewide radio project referred to as the Allied Radio Matrix for Emergency Response (ARMER).		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 1,506,912.95	<b>Source of Funding:</b> Consultant Services & ARMER Revenue Bonds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> The project was competitively bid and subsequently awarded to the lowest most qualified vendor. Because of the statewide nature of the project, and limited resources of the Office of Electronic Communications, contract management was a primary concern. Therefore, a single vendor was selected.		
<b>Evaluate the performance of the work including an appraisal of the contractor’s timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 9/4/08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 84292 Type of Work Land Acquisition  
 District/Office Central Office Work Type Code RW  
 SP Number N/A TH Number N/A Location Statewide  
 Contractor Yaggy Colby Associates  
 Subcontractor American Testing Engineering  
 Subcontractor \_\_\_\_\_  
 Contract Period: 4/3/2003 ; 6/30/2008 ; 6/30/2008  
                             Work Start Date                      Work Completion Date      Expiration Date  
 Total Contract Cost: \$ 1,506,912.95 = Orig Cost: \$560,598.78 + Amended Cost: \$1,589,707.58  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only    Number of Amendments 3

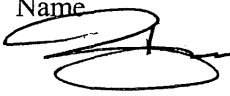
Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		3		
2. Work Performance		3		
3. Conformance with Mn/DOT Standards/Requirements		3		
4. Deliverables Complete and on time		3		
5. Project related cooperation	4			
6. QA/QC plan conformance	4			
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

Contractor's rating for this Contract:                      Total Points: 29 (Maximum points 36)

Project Manager:

Michael Hogan                      8-8-08  
 Name                                      Date

Contract Administrator:

Michael Hogan                      8-8-08  
 Name                                      Date  
 8/21/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

There were initial start-up issues with Yaggy Colby. However, once Yaggy identified the problems they resolved most issues and the project proceeded as anticipated.

Yaggy actually completed more projects/tasks than anticipated during the contract period. Even with the additional projects, Yaggy was able to complete the required tasks \$83,000 below the estimated cost.

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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> SRF Consulting Group		<b>CFMS Contract Number:</b> A88645
<b>Project Name:</b> Phase I Rest Area Condition Rating (non-building)	<b>Mn/DOT Contract No.:</b> 89614	<b>Project Duration (Dates):</b> 5/10/06 to July 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> <ol style="list-style-type: none"><li>1) establish baseline condition ratings and motorist service data (i.e., rest-area capacity and usage/demand) <u>for non-building components</u> of the rest area system;</li><li>2) develop performance standards for the rest area system (to reflect the commitments stated in the 2004 Report to the Legislature);</li><li>3) investigate and develop life-cycle reinvestment recommendations <u>for each facility</u>; Includes a schedule/formula for capital reinvestment (STIP) recommendation and support information. (Information from phase II work completed under a separate contract with Parsons was referenced in the final report.)</li></ol>		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 79,760.31	<b>Source of Funding:</b> State – OTS consultant funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>     		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		9/4/08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 89614 Type of Work Planning  
 District/Office OTS Work Type Code PS  
 SP Number NA TH Number NA Location NA  
 Contractor SRF Consulting Group  
 Subcontractor \_\_\_\_\_  
 Subcontractor \_\_\_\_\_

Contract Period: 5/10/06; July 30, 2008; July 30, 2008  
 Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$ 99,975.17 = Orig Cost: \$ 99,975.17 + Amended Cost: \$ 0.00

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 1

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time	4			
5. Project related cooperation	4			
6. QA/QC plan conformance	4			
7. Contract administration cooperation	<u>3</u> <u>BN</u>	X		
8. Invoices and progress reports	<u>3</u> <u>BN</u>	X		
9. Cost estimation/budget management	<u>3</u> <u>BN</u>	X		

Contractor's rating for this Contract:

Total Points: 33 (Maximum points 36)

Project Manager:

Carol J. Reamer 8/4/08  
 Name Date

Contract Administrator:


[Signature]  
 Name Date  
Beto Hamilton

8/20/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail top 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> WSB and Associates, Inc.		<b>CFMS Contract Number:</b> B11815
<b>Project Name:</b> Gusset plate connection system rating of Bridges 5895, 9040 and 5900	<b>Mn/DOT Contract No.:</b> 92403	<b>Project Duration (Dates):</b> February 8, 2008 to May 31, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> Perform structural rating, gusset plate connection system rating analysis and load posting analysis of State Trunk Highway truss Bridges 5895, 9040 and 5900 (main and approach spans).  Per a recent directive by the Governor of the State of Minnesota who was responding to a suggestion by the National Transportation Safety Board (NTSB), Mn/DOT has been instructed to proceed with an analysis of every truss type bridge on the Trunk Highway system to verify the design and load rating capacity. In light of recent events, the timing of this project is critical and the rapid completion of the project is essential. Since there are 25 such bridges to be analyzed and because the computations necessary are quite lengthy, it seems prudent to involve a number of Contractors on the project so that the work can be completed in a timely manner. Mn/DOT's Bridge Office rating staff will share in the workload by analyzing some of the trusses, but the balance of the structures will need to be done by Contractors.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$84,961.31	<b>Source of Funding:</b>
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 9/4/08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

(CSS Reviewed 3/14/2008)

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 92403

Type of Work Bridge Design

District/Office: Bridge Office

Work Type Code BD

SP Number: Statewide TH Number: Statewide

Location: Statewide

Contractor: WSB and Associates, Inc.

Subcontractor: N/A

Subcontractor: N/A

Contract Period: February 8, 2008;

May 31, 2008;

October 1, 2008

Work Start Date

Work Completion Date

Expiration Date

Total Contract Cost: \$84,961.31 = Orig Cost: \$60,000.00 + Amended Cost: \$24,961.31

Amended for: ☒ Overrun ☐ Additional Work ~ Time Only Number of Amendments: 1



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		✓		
2. Work Performance	✓			
3. Conformance with Mn/DOT Standards/Requirements		✓		
4. Deliverables Complete and on time	✓			
5. Project related cooperation	✓			
6. QA/QC plan conformance		✓		
7. Contract administration cooperation		✓		
8. Invoices and progress reports		✓		
9. Cost estimation/budget management		✓		

Contractor's rating for this Contract:

Total Points: 30 (Maximum points 36)

Project Manager:

Yinong Gao  
Yinong Gao

8/20/08  
Date

Contract Administrator:


Victor E. Crabbe  
Victor E. Crabbe

8/21/08  
Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Alliant Engineering, Inc.		<b>CFMS Contract Number:</b> B03929
<b>Project Name:</b> TH 197 Access Management Assessment	<b>Mn/DOT Contract No.:</b> 90903	<b>Project Duration (Dates):</b> 07/20/2007-06/30/2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  This contract was necessary to determine safe and efficient access management along the TH 197 corridor through the City of Bemidji. Skilled District 2 staff are involved in delivering other projects, thus, were not available to perform the services called for by the contract.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$147,924.10	<b>Source of Funding:</b> D2 Consultant
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		Date 9/4/08

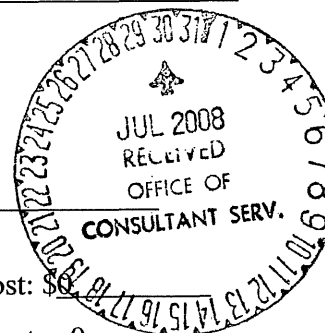
cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File



## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 90903 Type of Work Transportation Planning – Class II  
 District/Office D2 Work Type Code 7.12 PS  
 SP Number 0416-44 TH Number 197 Location RP 0.0 to RP 6.138  
 Contractor Alliant Engineering, Inc.  
 Subcontractor N/A  
 Subcontractor N/A  
 Contract Period: 07/20/2007 ; 06/30/2008 ; 06/30/2008  
                     Work Start Date              Work Completion Date      Expiration Date  
 Total Contract Cost: \$147,924.10 = Orig Cost: \$147,924.10 + Amended Cost: \$0  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		3		
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time	4			
5. Project related cooperation	4			
6. QA/QC plan conformance		3		
7. Contract administration cooperation	4			
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

Contractor's rating for this Contract:

Total Points: 32 (Maximum points 36)

Project Manager:

MBuller 07/22/08  
Name Date

Contract Administrator:

[Signature] 7/22/08  
Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.


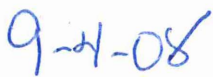
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**Comments:**

Alliant was proactive in suggesting improvements to the content and the process of the study, including making an additional trip to Bemidji to make a presentation to the city council and adding a draft ordinance for the city to adopt as part of the final report. They responded to the requests and concerns of Mn/DOT, the City of Bemidji, and the public in a timely and proficient manner.

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> SRF Consulting Group, Inc.		<b>CFMS Contract Number:</b> B01625
<b>Project Name:</b> Forecasting and Concept Development for I-494 and T.H. 77	<b>Mn/DOT Contract No.:</b> 89672	<b>Project Duration (Dates):</b> May 21, 2007 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this consultant contract was to develop or update the Travel Demand Forecasts prepared for the I-494 Corridor from Penn Avenue to the Minnesota River, review the proposed geometrics for the I-494 Corridor and develop concepts to address the issues identified by the forecasted traffic volumes. The update included the proposed expansion of the Mall of America, planned (re)development of the area south of I-494 between T.H. 77 and Post Road ("Airport South") and the planned change in use of the Metropolitan Airports Commission Humphrey Terminal.  The City of Bloomington and the Metropolitan Airports Commission participated in the cost of this contract. Mn/DOT was the lead agency.		
<b>Billable Hours (if applicable):</b> 2790	<b>Total Amount Spent on Contract:</b> \$222,092.54	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 89672 Type of Work Traffic Forecasting & Concept Develop  
 District/Office Metro District Work Type Code PD  
 SP Number 2785-349 TH Number 494 Location Bloomington & Hennepin County  
 Contractor SRF Consulting Group Inc.  
 Subcontractor \_\_\_\_\_  
 Subcontractor \_\_\_\_\_

Contract Period: May 21, 2007 ; June 30, 2008 , June 30, 2008  
 Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$222,092.54 = Orig Cost: \$222,092.54 + Amended Cost: \$0.00

Amended for: Time Only Number of Amendments 1



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation	X			
8. Invoices and progress reports	X	<del>X</del>		
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 33 (Maximum points 36)

Project Manager:

SCOTT PEDERSEN  
 Name Date

Contract Administrator:

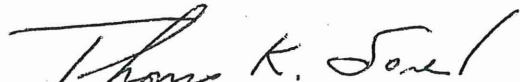
Mark Page 8/19/08  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Report on Professional/Technical Contracts Over \$50,000

**Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).**

**Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.**

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>ST CLOUD STATE UNIVERSITY</b>		CFMS Contract Number: <b>A93916</b>
Project Name (if applicable): <b>LED Lighting for Snow Plows and Related Maintenance and Construction Vehicles</b>	Mn/DOT Agreement No.: <b>89422</b>	Project Duration (Dates): <b>SEPTEMBER 19, 2006 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:		
Strobe or rotating beacon type emergency lighting is a requirement on all MN/DOT maintenance and construction vehicles. There is an effort to replace the standard incandescent based emergency lighting with light-emitting diode (LED) based lights in order to reduce cost of replacement and maintenance. LEDs are well known for their long life and low current requirements resulting in their use in a variety of applications including emergency vehicle lighting. However, concerns of LED based systems include limited angularity, visibility under certain environmental conditions such as bright sunlight, and dimming capabilities during low light conditions. Because of the unique features of LED lighting, they have not been able to meet requirements for emergency lighting on maintenance and construction vehicles. The scope of this project is to review the strobe and rotating beacon type emergency lighting currently used on MN/DOT snow plows and the LED replacements for that lighting.		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$25,502.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:		
<b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>9/3/08</b>


cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A92743</b>
Project Name (if applicable): <b>METHODOLOGY TO INCORPORATE HISTORIC / PREHISTORIC SURFACE HYDROLOGY LAYER IN MN/MODEL USING EXISTING GIS DATA</b>	Mn/DOT Agreement No.: <b>89261 WO 16</b>	Project Duration (Dates): <b>AUGUST 22, 2006 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>THIS RESEARCH DEVELOPED A METHODOLOGY TO IDENTIFY INDICATORS OF PAST SURFACE HYDROLOGIC FEATURES IN ORDER TO UPDATE MN/MODEL, A GIS PREDICTIVE MODEL OF ARCHAEOLOGICAL SITE POTENTIAL, WITH A LAYER REPRESENTING HISTORIC AND MODERN HYDROLOGY. CURRENTLY, THE SURFACE HYDROLOGY LAYER IS BASED ON NATIONAL WETLANDS INVENTORY AND HYDROLOGIC FEATURES DIGITIZED FROM USGS TOPOGRAPHIC MAPS. NOT ALL HISTORIC AND PREHISTORIC HYDROLOGIC FEATURES DURING THE LAST 12,000 YEARS ARE REPRESENTED IN THOSE DATASETS. DURING THE LAST 150 YEARS, AGRICULTURAL PRACTICES AND OTHER LAND-ALTERING ACTIVITIES HAVE DRAINED SOME FEATURES AND CREATED OTHERS. PREHISTORICALLY (SINCE GLACIAL RETREAT), NATURAL PROCESSES HAVE CAUSED SHIFTS OF LOCATION IN LAKES AND STREAMS. SINCE SEVERAL IMPORTANT VARIABLES ARE DERIVED FROM SURFACE HYDROLOGY IN MN/MODEL, THE ADDITION OF PAST HYDROLOGIC FEATURES WILL GREATLY IMPROVE ITS PREDICTIVE ACCURACY.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$54,046.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>9/3/08</b> Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 89261 WO 16

**Type of work** Research

**District/Office** Investment Management

**Work Type Code** RE

**S.P.** 88016 00849 **T.H.** NA

**Location** Statewide

**Contractor** University of Minnesota

**Subcontractor** \_\_\_\_\_

**Subcontractor** \_\_\_\_\_

**Contract Period:** August 22, 2006 ; July 31, 2008 ; July 31, 2008  
Work Start Date      Work Completion Date      Expiration Date

**Total Contract Cost:** \$54,046.00      **Orig Cost:** \$54,046.00      **Amended Cost:** \$0

**Amended cost for:**   ☐ **Overrun**    ☐ **Additional Work**      **Number of Amendments** 1



<b>Item Rating</b> 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	<b>Rating</b>			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

**Contractor's rating for this contract:**

**Total Points** 35  
 (Maximum points 36)

**Project Manager:**

**Contract Administrator:**

Elizabeth Noto

Nelson Garcia

*Asmy Duro*  
Jan 10/08

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

Dr. Gary Oehlert was a delight to work with. He provided what we asked for and more.

His automation of modeling procedures makes my work much more efficient.

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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A86416</b>
Project Name (if applicable): <b>RSS Research Report Management</b>	Mn/DOT Agreement No.: <b>81655 WO 227</b>	Project Duration (Dates): <b>MARCH 3, 2006 – SEPTEMBER 30, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>To provide assistance to Mn/DOT Research Services Section in the area of publishing research results.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$106,823.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
Thomas K. Sorel, Commissioner		Date <b>9/3/08</b>

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No** 81655, WO 227

**Type of work** Research

**District/Office** Investment Management

**Work Type Code** RE

**S.P.** 88016 00942 **T.H.** NA

**Location** Statewide

**Contractor** University of Minneosta

**Subcontractor** \_\_\_\_\_

**Subcontractor** \_\_\_\_\_

**Contract Period:** March 3, 2006; ; August 13, 2008 September 30, 2008  
Work Start Date Work Completion Date Expiration Date

**Total Contract Cost:** \$106,823.00 **Orig Cost:** \$106,823.00 **Amended Cost:** \$0

**Amended cost for:** ☐ **Overrun** ☐ **Additional Work** **Number of Amendments** 1



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time		X		
5. Project related cooperation		X		
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

**Contractor's rating for this contract:**

**Total Points** 29  
(Maximum points 36)

**Project Manager:**

**Contract Administrator:**

*[Signature]*

*[Signature]*

*Ashley D...  
9/10/08*



# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No** 81655, WO 227

**Type of work** Research

**District/Office** Investment Management

**Work Type Code** RE

**S.P.** 88016 00942 **T.H.** NA

**Location** Statewide

**Contractor** University of Minneosta

**Subcontractor** \_\_\_\_\_

**Subcontractor** \_\_\_\_\_

**Contract Period:** March 3, 2006; ; August 13, 2008 September 30, 2008

Work Start Date    Work Completion Date    Expiration Date

**Total Contract Cost:** \$106,823.00 **Orig Cost:** \$106,823.00 **Amended Cost:** \$0

**Amended cost for:**    ☐ **Overrun**    ☐ **Additional Work**    **Number of Amendments** 1



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time		X		
5. Project related cooperation		X		
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

**Contractor's rating for this contract:**

**Total Points** 29  
(Maximum points 36)

**Project Manager:**

*[Signature]*

**Contract Administrator:**

*[Signature]*

*Ashley D...  
Ja 11/10/08*

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>UNIVERSITY OF MINNESOTA</b>		CFMS Contract Number: <b>A91156</b>
Project Name (if applicable): <b>AN INVENTORY OF THE PUBLIC LAND SURVEY RECORDS FOR MINNESOTA</b>	Mn/DOT Agreement No.: <b>89261 WO 18</b>	Project Duration (Dates): <b>JULY 19, 2006 – JANUARY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>A VARIETY OF PAPER RECORDS, CORRESPONDENCE, PRINTED CIRCULARS, TRANSCRIBED FIELD NOTES, AND HAND DRAFTED MAPS (PLATS), WERE PRODUCED DURING THE FEDERAL GOVERNMENT'S LAND SURVEYING EFFORTS IN MINNESOTA IN THE SECOND HALF OF THE NINETEENTH CENTURY. ALL OF THESE RECORDS HAVE A MODERN PRACTICAL VALUE AS WELL AS A HISTORICAL VALUE. A PORTION OF THESE RECORDS CONTAIN DETAILED INSTRUCTIONS GIVEN THE DEPUTIES WHO ACTUALLY CARRIED OUT THE SURVEYS, WHICH ARE ALSO VALUABLE FOR UNDERSTANDING THE SURVEYING PROCESS AND THE OUTCOME (THE PHYSICAL EVIDENCE ON THE GROUND AND THE PLATS). TOGETHER THESE RECORDS COMPRISED THE FIRST LEGAL DESCRIPTIONS. WITHOUT THESE INSTRUCTIONS AND FIELD NOTES, ON WHICH THE PLATS ARE BASED, THEY MAKE NO SENSE. THE INSTRUCTIONS PROVIDE THE BASIS FOR MODERN LEGAL DESCRIPTIONS, AND SO HAVE IRREPLACEABLE VALUE FOR PRESENT SURVEYORS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$32,283.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>9/3/08</b> Date

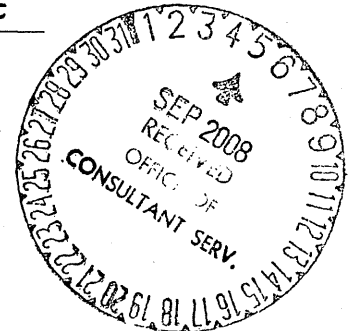
cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 89261 WO 18  
**District/Office** Investment Management  
**S.P.** 88016 00940 **T.H.** NA  
**Contractor** University of Minnesota  
**Subcontractor** John Freemyer  
**Subcontractor** Perry Clark

**Type of work** Research  
**Work Type Code** RE  
**Location** Statewide



**Contract Period:** July 19, 2006; January 31, 2008; January 31, 2008  
 Work Start Date Work Completion Date Expiration Date

**Total Contract Cost:** \$32,283.00 **Orig Cost:** \$32,283.00 **Amended Cost:** \$0

**Amended cost for:** ☐ **Overrun** ☐ **Additional Work** **Number of Amendments** 0

<b>Item Rating</b> 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	<b>Rating</b>			
	<b>Above Average 4 Points</b>	<b>Average 3 Points</b>	<b>Below Average 2 Points</b>	<b>Poor 1 Point</b>
1. Product Quality		X		
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time		X (see comment)		
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

**Contractor's rating for this contract:**

**Total Points** 33  
 (Maximum points 36)

*Ashley Dwyer*  
*9/10/08*

**Project Manager:**

**Contract Administrator:**

Jay Krafthefer

Sandy McCully

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

Although the deliverables for each stage of the project were delivered on or before scheduled dates there was significant delay in the CTS editing process of the final report that went well beyond the expected deadline.

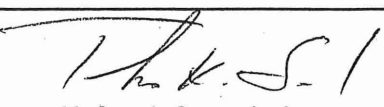
The CTS editing coordinator position had been vacated and there was a part-time fill-in during this deliverable contract. In the middle of the editing process, a new CTS editing coordinator was hired. There was miscommunication during this change-over as the CD that the PI turned in was misplaced, then files were located but were not submitted per our publishing guidelines. There were many files and not in order, rather than one completed Word and/or PDF file submitted.



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

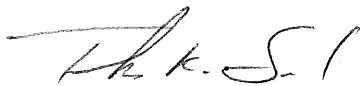
Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>NORTH DAKOTA STATE UNIVERSITY</b>		CFMS Contract Number: <b>A77272</b>
Project Name (if applicable): <b>DEVELOPMENT OF MEASUREMENT SOURCES FOR FREIGHT PERFORMANCE INDICATORS</b>	Mn/DOT Agreement No.: <b>88173</b>	Project Duration (Dates): <b>JUNE 27, 2005 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>FREIGHT IS PLAYING AN INCREASINGLY IMPORTANT ROLE IN TRANSPORTATION PLANNING, SERVICE AND INVESTMENTS AT LOCAL, STATE, REGIONAL, NATIONAL AND INTERNATIONAL LEVELS. MINNESOTA DOT HAS BEEN INVOLVED WITH SEVERAL STUDIES DEALING WITH FREIGHT PERFORMANCE MEASURES, UPPER MIDWEST FREIGHT CORRIDOR, FREIGHT FACILITIES AND FREIGHT FLOWS IN AND OUT OF MINNESOTA AND OTHERS. MINNESOTA HAS DEVELOPED REPORTS ON FREIGHT ANALYSIS FRAMEWORKS. AT A FEDERAL LEVEL FREIGHT CAPACITY AND BOTTLENECKS HAVE STUDIED BY TRB AND FHWA. FREIGHT DATA NEEDS HAVE BEEN IDENTIFIED BY MANY AND THERE ARE NUMEROUS DATA AS WELL AS MODELING CHALLENGES FACING PROFESSIONAL COMMUNITY, AGENCIES, SHIPPERS AND CARRIERS AS WE SEE OUR FREIGHT MOVEMENT GETTING INCREASINGLY INTERDEPENDENT ON VARIOUS INFRASTRUCTURE, OPERATIONAL AND LOGISTICS SYSTEMS. MINNESOTA IS CONCERNED WITH FREIGHT DATA ISSUES AND NEEDS TO DETERMINE WHICH DATA ARE APPROPRIATE TO USE, WHAT NEW DATA TO DEVELOP AND WHAT WILL BE THE COSTS AND BENEFITS OF DEVELOPING AND MAINTAINING A DATA PROGRAM TO ADDRESS THE FREIGHT PERFORMANCE GOALS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$69,000.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>9/3/08</b> Date

c: **Paul Stembler, Dept. of Administration**  
Jeff Brunner, Consultant Services Section  
File



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Bolton & Menk Inc.		<b>CFMS Contract Number:</b> B05765
<b>Project Name:</b> HARN Observation	<b>Mn/DOT Contract No.:</b> 91587	<b>Project Duration (Dates):</b> 08/08/07 – 07/31/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract</b>  HARN observations in the south Willmar District – Phase I		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$98,000.00	<b>Source of Funding:</b> Land Management
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		9/3/08 Date

cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 91587

Type of Work: Updates and Testimony

District: Mankato

Work Type Code SR

SP Number NA TH Number NA

Location Willmar

Contractor: Bolton & Menk Inc.

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: 10-8-07; 6-30-08;

7-31-08  
07-28-08

Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$98,000.00 = Orig Cost: \$98,000.00 + Amended Cost: \$ \_\_\_\_\_

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments \_\_\_\_\_



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

Contractor's rating for this Contract:

Total Points: 36 (Maximum points 36)

Project Manager:

Contract Administrator:

Name: John Barke

Date

Name Cathie Ashlin

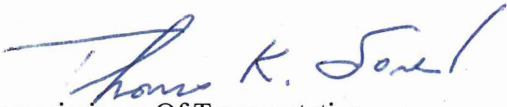
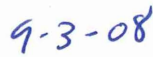
Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 1/26/2007)

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> AirSage, Inc.		<b>CFMS Contract Number:</b> A91309
<b>Project Name:</b> Cell Phone Traffic Data Technology Demonstration	<b>Mn/DOT Contract No.:</b> 89461	<b>Project Duration (Dates):</b> July 14, 2006 – June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this Contract was to provide a technical demonstration of cellular telephone derived traffic data for approximately 100 centerline miles of roadways in Hennepin County. The demonstration provided Mn/DOT, Hennepin County and the City of Minneapolis with continuous travel speeds and trip times which in turn were used to provide traveler information, improve traffic operations, incident response management and improved transportation planning.  This Contract was necessary in that the expertise, equipment and mining rights to a national wireless network were not available within the department or other state agencies.  The result of this Contract was a successful demonstration of wireless technologies which provided valuable information to the three public entities involved and better serve the traveling citizens of Hennepin County as well as provide direction for future applications throughout Minnesota.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$12,938,039.00	<b>Source of Funding:</b> Federal and State Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> The Contractor was the only firm in the United States (US) that possessed a contract that provided mining rights to a wireless carrier's nationwide network data and the right to sell that data in an ongoing business. The Contractor already possessed a US patent covering the concept of using wireless signaling data to detect speed and travel time in a manner acceptable for the protection of privacy and subscriber information. The Contractor also had pending patents covering other aspects necessary to the project as well as a data aggregation framework and value proposition in place to increase the value of the project deliverables.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Tom Sorel, Commissioner Of Transportation		 Date

c: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No.: 89461

Type of work: **Cell Phone Traffic Data  
Technology Demonstration**

District/Office: OTSO

Work Type Code: ITS

S.P.: 8816-893

T.H.: N/A

Location \_\_\_\_\_

Contractor: **AirSage, Inc.**

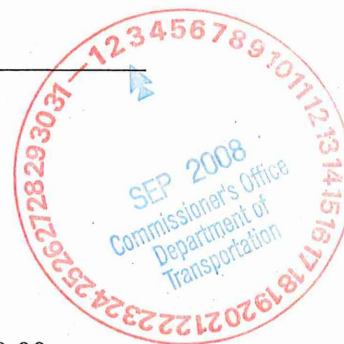
Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: **July 14, 2006**  
Work Start Date

**June 2008**  
Work Completion Date

**June 30, 2008**  
Expiration Date



Total Contract Cost: **\$250,000.00** = Orig Cost: **\$250,000.00** + Amended Cost: **\$0.00**

Amended cost for: N/A

Number of Amendments: 2

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time		X		
5. Project related cooperation		X		
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this contract:

Total Points 27  
(Maximum points 36)

Project Manager:

Rashmi Brewer  
( Rashmi Brewer )  
Print Name

Contract Administrator:

Ron Bisek  
( Ron Bisek )  
Print Name

Date:

8/5/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

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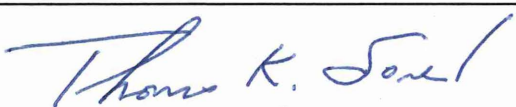
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## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Bonestroo, Inc.		<b>CFMS Contract Number:</b> A99652
<b>Project Name:</b> Safety and Access Improvements of Trunk Highway 61 through Frontenac Station	<b>Mn/DOT Contract No.:</b> 90603	<b>Project Duration (Dates):</b> April 12, 2007 to June 14, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The State contracted with Bonestroo, Inc. to complete all tasks necessary to provide design services for a safety and access improvements project on a segment of Trunk Highway 61 through Frontenac Station in Goodhue County. These improvements include the addition of left turn lanes, right turn lanes, and access consolidation. The total length of this project is approximately 0.8 miles, beginning near the south end of the town at Station 080+00.180, and ending at Station 081+00.013.		
<b>Billable Hours (if applicable):</b> Not Applicable	<b>Total Amount Spent on Contract:</b> \$95,814.67	<b>Source of Funding:</b> District 6 Allocation, State Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> Not Applicable		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		9-4-08 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No.: 90603

Type of Work: Preliminary and Detail Design

District/Office: District 6

Work Type Code: PD & DD

SP Number: 2513-85 TH Number: 61

Location: Frontenac Station, Goodhue County

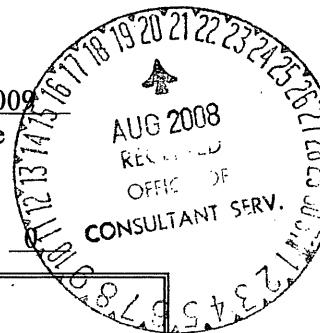
Contractor: Bonestroo, Inc.

Subcontractor: None

Contract Period: April 12, 2007 ; June 14, 2008 ; February 22, 2009  
                                     Work Start Date                      Work Completion Date                      Expiration Date

Total Contract Cost: \$99,996.41 = Orig Cost: \$99,996.41 + Amended Cost: \$0.00

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments: 0



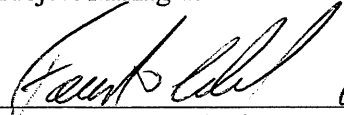
Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

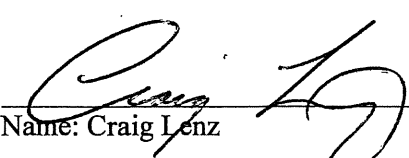
Contractor's rating for this Contract:

Total Points: 32 (Maximum points: 36)

Project Manager:

Contract Administrator:

 07/31/2008  
 Name: Fausto Cabral Date

 8/4/08  
 Name: Craig Lenz Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

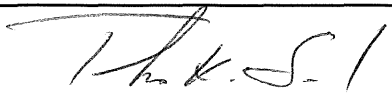
**Comments:**

The State's Project Manager was not satisfied with the final project layout submitted by the Contractor and had his design team finishing the project layout in-house. Regarding invoices, the State's Project Manager disagreed with some payment requests and recommended retention of the funds (see the attached memo for details on the retention).

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>NORTH DAKOTA STATE UNIVERSITY</b>		CFMS Contract Number: <b>A77272</b>
Project Name (if applicable): <b>DEVELOPMENT OF MEASUREMENT SOURCES FOR FREIGHT PERFORMANCE INDICATORS</b>	Mn/DOT Agreement No.: <b>88173</b>	Project Duration (Dates): <b>JUNE 27, 2005 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>FREIGHT IS PLAYING AN INCREASINGLY IMPORTANT ROLE IN TRANSPORTATION PLANNING, SERVICE AND INVESTMENTS AT LOCAL, STATE, REGIONAL, NATIONAL AND INTERNATIONAL LEVELS. MINNESOTA DOT HAS BEEN INVOLVED WITH SEVERAL STUDIES DEALING WITH FREIGHT PERFORMANCE MEASURES, UPPER MIDWEST FREIGHT CORRIDOR, FREIGHT FACILITIES AND FREIGHT FLOWS IN AND OUT OF MINNESOTA AND OTHERS. MINNESOTA HAS DEVELOPED REPORTS ON FREIGHT ANALYSIS FRAMEWORKS. AT A FEDERAL LEVEL FREIGHT CAPACITY AND BOTTLENECKS HAVE STUDIED BY TRB AND FHWA. FREIGHT DATA NEEDS HAVE BEEN IDENTIFIED BY MANY AND THERE ARE NUMEROUS DATA AS WELL AS MODELING CHALLENGES FACING PROFESSIONAL COMMUNITY, AGENCIES, SHIPPERS AND CARRIERS AS WE SEE OUR FREIGHT MOVEMENT GETTING INCREASINGLY INTERDEPENDENT ON VARIOUS INFRASTRUCTURE, OPERATIONAL AND LOGISTICS SYSTEMS. MINNESOTA IS CONCERNED WITH FREIGHT DATA ISSUES AND NEEDS TO DETERMINE WHICH DATA ARE APPROPRIATE TO USE, WHAT NEW DATA TO DEVELOP AND WHAT WILL BE THE COSTS AND BENEFITS OF DEVELOPING AND MAINTAINING A DATA PROGRAM TO ADDRESS THE FREIGHT PERFORMANCE GOALS.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$69,000.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>9/3/08</b> Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No. 88173

Type of work Research

District/Office Investment Management

Work Type Code RE

S.P. 88016 00756 T.H. NA

Location Statewide

Contractor North Dakota State Univeristy

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: June 27, 2005; July 31, 2008; July 31, 2008  
Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$69,000.00 Orig Cost: \$69,000.00 Amended Cost: \$0

Amended cost for: ☐ Overrun ☐ Additional Work Number of Amendments 3-time



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance				X
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time				X
5. Project related cooperation		X		
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports				X
9. Cost estimation/budget management		X		

Contractor's rating for this contract:

Total Points 21  
(Maximum points 36)

Project Manager:

Contract Administrator:

Robert Gale, OFCVO

*Handwritten signatures:*  
Ashley Duff  
Jalil 10/08  
W. M. S. C.



## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.



## Comments:

Item 2. Work Performance - Failed to provide completed tasks in requested format and these had to be considerably reworked before acceptable adding to the time taken to complete the project.

Item 4. Deliverables complete and on time. - Tasks and completed report were delayed over and over again. Researcher repeatedly failed to deliver items as or when promised.

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Alliant Engineering, Inc.		<b>CFMS Contract Number:</b> B08679
<b>Project Name:</b> T.H. 7 Signal Optimization	<b>Mn/DOT Contract No.:</b> 91822	<b>Project Duration (Dates):</b> November 7, 2007 to June 30, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this consultant contract was to provide signal optimization of 30 intersections along Trunk Highway (T.H.) 7 in Shorewood, Excelsior, Minnetonka, Hopkins and St. Louis Park  It was necessary to enter into a contract because qualified Mn/DOT staff were working on timing plans for other corridors.		
<b>Billable Hours (if applicable):</b> 1484	<b>Total Amount Spent on Contract:</b> \$94,967.14	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Thomas K. Sorel, Commissioner		 Date

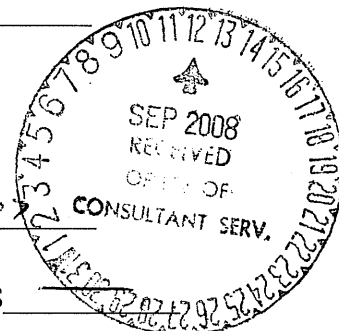
cc: Department of Administration  
Jeff Brunner, Consultant Services Section, Mail Stop 680  
File

(CSS Reviewed 4/28/2008)

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 91822 Type of Work TRAFFIC SIGNAL OPTIMIZATION  
 District/Office METRO Work Type Code SG  
 SP Number 2706-220 TH Number 7 Location \_\_\_\_\_  
 Contractor ALLIANT ENGINEERING, INC.  
 Subcontractor \_\_\_\_\_  
 Subcontractor \_\_\_\_\_  
 Contract Period: NOV 7, 2007 ; ~~JUN 30, 2008~~ ; AUG 31, 2008  
 Work Start Date Work Completion Date Expiration Date  
 Total Contract Cost: \$ 94,967.14 = Orig Cost: \$ 94,967.14 + Amended Cost: \$ \_\_\_\_\_  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

Contractor's rating for this Contract:

Total Points: 36 (Maximum points 36)

Project Manager:

Kevin Schwartz 8-26-08  
 Name Date  
 Kevin Schwartz

Contract Administrator:

Mark Day 9/11/08  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:**

**Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

Excellent work

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## Report on Professional/Technical Contracts Over \$50,000

**Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).**

**Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.**

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>ST CLOUD STATE UNIVERSITY</b>		CFMS Contract Number: <b>A93916</b>
Project Name (if applicable): <b>LED Lighting for Snow Plows and Related Maintenance and Construction Vehicles</b>	Mn/DOT Agreement No.: <b>89422</b>	Project Duration (Dates): <b>SEPTEMBER 19, 2006 – JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:		
Strobe or rotating beacon type emergency lighting is a requirement on all MN/DOT maintenance and construction vehicles. There is an effort to replace the standard incandescent based emergency lighting with light-emitting diode (LED) based lights in order to reduce cost of replacement and maintenance. LEDs are well known for their long life and low current requirements resulting in their use in a variety of applications including emergency vehicle lighting. However, concerns of LED based systems include limited angularity, visibility under certain environmental conditions such as bright sunlight, and dimming capabilities during low light conditions. Because of the unique features of LED lighting, they have not been able to meet requirements for emergency lighting on maintenance and construction vehicles. The scope of this project is to review the strobe and rotating beacon type emergency lighting currently used on MN/DOT snow plows and the LED replacements for that lighting.		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$25,502.00</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:		
<b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>9/3/08</b>

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File



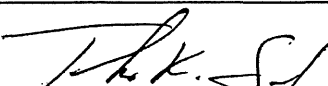
Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>EVS, INC</b>		CFMS Contract Number: <b>B13273</b>
Project Name (if applicable): <b>RESEARCH AND INNOVATION ROADMAP BUILD-OUT</b>	Mn/DOT Agreement No.: <b>92589</b>	Project Duration (Dates): <b>APRIL 1, 2008 – JUNE 30, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  Contractor worked with State to: 1. Develop an Execution Plan; 2. Identify and Gather Data; 3. Meet and Engage with Practitioners and Decision Makers; and 4. Develop Details for the Roadmap Components.  ROADMAP TOPICS AND SUBTOPICS 1. Traffic Safety a. Anti-Icing Operations and Systems  2. Infrastructure Preservation a. Roadsides and Hydraulic Infrastructure b. Maintenance Decision Support Systems (MDSS) c. Maintenance Facilities d. Communications and Technology Transfer (maintenance) e. Maintenance of Lighting, Signals, Signs, Striping and Guardrails  3. Green Roads a. Green Infrastructure (roadsides) b. Green Fleets and Facilities (maintenance and operations issues only) c. Water Management (Blue Infrastructure and Roadsides) d. Noise Pavement Research		
Billable Hours (if applicable):	Total Amount Spent on Contract:	Source of Funding:
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>8/7/08</b> Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 92589

**Type of work** Research

**District/Office** Investment Management

**Work Type Code** RA

**S.P.** 88016 01117 **T.H.** NA

**Location** Statewide

**Contractor** EVS, Inc

**Subcontractor** \_\_\_\_\_

**Subcontractor** \_\_\_\_\_

**Contract Period:** April 1, 2008 ; June 30, 2008 ; June 30, 2008  
Work Start Date Work Completion Date Expiration Date

**Total Contract Cost:** \$99,074.65 **Orig Cost:** \$80,512.72 **Amended Cost:** \$18,561.93

**Amended cost for:** ☐ **Overrun** **X Additional Work** **Number of Amendments** 1

<b>Item Rating</b> 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	<b>Rating</b>			
	<b>Above Average 4 Points</b>	<b>Average 3 Points</b>	<b>Below Average 2 Points</b>	<b>Poor 1 Point</b>
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time	4			
5. Project related cooperation	4			
6. QA/QC plan conformance	4			
7. Contract administration cooperation	4			
8. Invoices and progress reports	4			
9. Cost estimation/budget management	4			

**Contractor's rating for this contract:**

**Total Points** 36  
 (Maximum points 36)

**Project Manager:**

**Contract Administrator:**

Bruce Holdhusen

Bruce Holdhusen

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

Research Services hired EVS, Inc. as one of three consultant companies to complete Innovation Roadmaps in certain assigned topic areas. EVS consistently provided excellent work. EVS was outstanding at listening to MnDOT's needs and modifying their work based on those needs, and their final work product consistently surpassed our expectations.

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>SRF CONSULTING GROUP, INC</b>		CFMS Contract Number: <b>B13145</b>
Project Name (if applicable): <b>RESEARCH AND INNOVATION ROADMAP BUILD-OUT: MULTI-MODAL INFRASTRUCTURE (FREIGHT) CONGESTION MANAGEMENT</b>	Mn/DOT Agreement No.: <b>92568</b>	Project Duration (Dates): <b>MARCH 26, 2008 – JUNE 30, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  Contractor worked with State to: 1. Developed an Execution Plan; 2. Identified and Gathered Data; 3. Met and Engaged with Practitioners and Decision Makers; and 4. Developed Details for the Roadmap Components.  On the following topics: 1. Providing a Multi-Modal Infrastructure a. Freight Infrastructure  2. Congestion Management a. Freeway Management Systems b. Incident Management Program c. <b>Traveler Information Systems</b> d. <b>Optimizing Costs and Shoulder Use</b> e. <b>Implementation of Congestion Management Plan</b> f. <b>Integrated Corridor Management</b> g. <b>Multi-Modal Congestion</b> h. Freight Mobility Initiatives i. Construction Effects (land rental, full closure, other methods) j. <b>Enhancing Transit Advantages</b> k. Demand Management l. <b>Implementation of Guidestar Mobility Initiatives</b> m. <b>ITS Implementation</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$98,769.31</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		<b>8/7/08</b> Date

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File



# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 92568

**Type of work** Research

**District/Office** Investment Management

**Work Type Code** RA

**S.P.** 88016 01115 **T.H.** NA **Location** Statewide

**Contractor** SRF Consulting Group, Inc

**Subcontractor** \_\_\_\_\_

**Subcontractor** \_\_\_\_\_

**Contract Period:** March 26, 2008; June 30, 2008 ; June 30, 2008  
                                     Work Start Date    Work Completion Date    Expiration Date

**Total Contract Cost:** \$98,769.31 **Orig Cost:** \$98,769.31 **Amended Cost:** \$0

**Amended cost for:**   ☐ **Overrun**       ☐ **Additional Work**       **Number of Amendments** 0

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality			2	
2. Work Performance		3		
3. Conformance with Mn/DOT Standards/Requirements			2	
4. Deliverables Complete and on time			2	
5. Project related cooperation			2	
6. QA/QC plan conformance		3		
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		<del>3</del>	2	

**Contractor's rating for this contract:**

**Total Points** ~~25~~ 22  
 (Maximum points 36).

**Project Manager:**

**Contract Administrator:**

Bruce Holdhusen

Bruce Holdhusen

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

SRF was hired by RSS to perform Innovation Roadmap

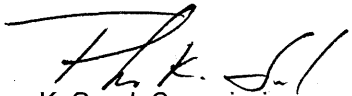
Build-out in certain topic areas. SRF had difficulty adapting to RSS' needs on this project. One of the basic requirements was that only senior consultants were to be used on this project, but SRF relied heavily (approx. 600 hours over 3 month period) on a new hire, junior person, to prepare many work products, and the MnDOT Project manager had to revise virtually all the of the work after the end of the contract. SRF was less productive than expected, and the SRF project manager seemed to spend more effort justifying what SRF had already done than trying to understand what MnDOT needed and adapt their approach to meet those needs.

Pay only up to the contract amount per Project Manager.

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>HNTB CORPORATION</b>		CFMS Contract Number: <b>B13269</b>
Project Name (if applicable): <b>MN/DOT RESEARCH AND INNOVATION ROADMAP BUILD-OUT</b>	Mn/DOT Agreement No.: <b>92569</b>	Project Duration (Dates): <b>APRIL 4, 2008 – JUNE 30, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  Contractor worked with State to: 1. Develop an Execution Plan; 2. Identify and Gather Data; 3. Meet and Engage with Practitioners and Decision Makers; and 4. Develop Details for the Roadmap Components.  ROADMAP TOPICS AND SUBTOPICS 1. Providing a Multi-Modal Infrastructure a. Integration of Local Road System ,Trunk Highway System, Bikeways, Pedestrian Ways, Air, Rail and Waterways b. Transit and Enhancing Transit Advantages 2. Infrastructure Preservation a. Bridges (capture and document current statewide planning and discussions) 3. Green Roads a. Vegetation b. Green Fleets / Green Facilities c. Asset Management Systems for Green Infrastructure		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$96,540.09</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services: <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>8/7/08</b>

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

**Agreement No.** 92569

**Type of work** Research

**District/Office** Investment Management

**Work Type Code** RA

**S.P.** 88016 01114 **T.H.** NA

**Location** Statewide

**Contractor** HNTB Corporation

**Subcontractor** \_\_\_\_\_

**Subcontractor** \_\_\_\_\_

**Contract Period:** April 4, 2008 ; June 30, 2008; June 30, 2008  
Work Start Date    Work Completion Date    Expiration Date

**Total Contract Cost:** \$96,540.09 **Orig Cost:** \$99,881.00 **Amended Cost:** \$0

**Amended cost for:** ☐ **Overrun** ☐ **Additional Work** **Number of Amendments** 0

<b>Item Rating</b> 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	<b>Rating</b>			
	<b>Above Average 4 Points</b>	<b>Average 3 Points</b>	<b>Below Average 2 Points</b>	<b>Poor 1 Point</b>
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time	4			
5. Project related cooperation		3		
6. QA/QC plan conformance		3		
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

**Contractor's rating for this contract:**

**Total Points** 31  
 (Maximum points 36)

**Project Manager:**

**Contract Administrator:**

Bruce Holdhusen

Bruce Holdhusen

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

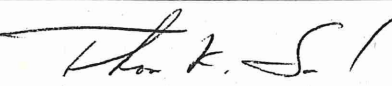
HNTB produced some very good work under this Innovation Roadmap Build-out Contract. There was some inconsistency in the work between the various HNTB personnel assigned to this project: Dick Stehr communicated well with RSS and produced good results; Steve Olson produced excellent results but did not always let us know his progress; Karl Weissenborn produced results that were less consistent and he could do a better job of interacting with the MnDOT project manager with regard to progress and review of draft work items.



# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

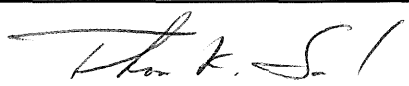
Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>MAXINE RUIZ</b>		CFMS Contract Number: <b>A97791</b>
Project Name (if applicable): <b>CLOSE OUT EVALUATION</b>	Mn/DOT Agreement No.: <b>90487</b>	Project Duration (Dates): <b>JANUARY 31, 2007 - JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>THE GOAL OF THIS PROJECT TO PROVIDE MN/DOT AND THE LRRB WITH IMPLEMENTATION PLANS THAT WILL OUTLINE THE TASKS AND METHODS FOR APPLICATION OF RESEARCH RESULTS TO TRANSPORTATION PROBLEMS AND ISSUES. IN SOME CASES, THE PLAN MAY INVOLVE COMMUNICATION, MARKETING AND TRAINING. IN OTHER CASES, THE PLAN MAY REQUIRE COLLABORATION WITH OTHER AGENCIES, ORGANIZATIONS, PRIVATE INDUSTRY OR INVOLVE PRODUCT DEVELOPMENT. AN ADDITIONAL GOAL OF THIS PROJECT IS TO PROVIDE MN/DOT WITH COMPLETED CLOSEOUT MEMOS THAT PROVIDE INFORMATION DESCRIBING THE RESEARCH EFFORT, RESEARCH RESULTS, IMPLEMENTATION EFFORT AND IMPACTS OF THE IMPLEMENTATION.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$65,905.50</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>9/3/08</b>

cc: **Paul Stembler, Dept. of Administration**  
**Jeff Brunner, Consultant Services Section**  
File

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section 16C.08, subdivision 4 (c).

Instructions: Submit this form to Consultant Services Section, Mail Stop 680 along with the final invoice.

Agency: <b>DEPARTMENT OF TRANSPORTATION</b>		
Contractor Name: <b>MAXINE RUIZ</b>		CFMS Contract Number: <b>A97791</b>
Project Name (if applicable): <b>CLOSE OUT EVALUATION</b>	Mn/DOT Agreement No.: <b>90487</b>	Project Duration (Dates): <b>JANUARY 31, 2007 - JULY 31, 2008</b>
Summarize the purpose of the contract, including why it was necessary to enter into a contract:  <b>THE GOAL OF THIS PROJECT TO PROVIDE MN/DOT AND THE LRRB WITH IMPLEMENTATION PLANS THAT WILL OUTLINE THE TASKS AND METHODS FOR APPLICATION OF RESEARCH RESULTS TO TRANSPORTATION PROBLEMS AND ISSUES. IN SOME CASES, THE PLAN MAY INVOLVE COMMUNICATION, MARKETING AND TRAINING. IN OTHER CASES, THE PLAN MAY REQUIRE COLLABORATION WITH OTHER AGENCIES, ORGANIZATIONS, PRIVATE INDUSTRY OR INVOLVE PRODUCT DEVELOPMENT. AN ADDITIONAL GOAL OF THIS PROJECT IS TO PROVIDE MN/DOT WITH COMPLETED CLOSEOUT MEMOS THAT PROVIDE INFORMATION DESCRIBING THE RESEARCH EFFORT, RESEARCH RESULTS, IMPLEMENTATION EFFORT AND IMPACTS OF THE IMPLEMENTATION.</b>		
Billable Hours (if applicable):	Total Amount Spent on Contract: <b>\$65,905.50</b>	Source of Funding: <b>TRUNK HIGHWAY</b>
If this was a single source contract, explain why the agency determined there was only a single source for the services:  <b>NA</b>		
Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost, and overall performance in meeting the terms and objectives of the contract:  <b>SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION</b>		
 Thomas K. Sorel, Commissioner		Date <b>9/3/08</b>

cc: Paul Stembler, Dept. of Administration  
Jeff Brunner, Consultant Services Section  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No. 90487

Type of work Research

District/Office Investment Management

Work Type Code RA

S.P. 88016 00956 T.H. NA

Location Statewide

Contractor Maxine Ruiz

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: January 31, 2007 ; June 8, 2008 July 31, 2008  
Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$66,750.00 Orig Cost: \$66,750.00 Amended Cost: \$0

Amended cost for: ☐ Overrun ☐ Additional Work Number of Amendments 1-time



Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

Contractor's rating for this contract:

Total Points 34  
(Maximum points 36)

Project Manager:

Contract Administrator:

Clark Moc

Clark Moc

*Anthony D. [Signature]*  
9/16/08

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

Contractor was very flexible with the demands of the Department and adjusted accordingly.

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## Kelly Heffron

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Date sent: **Fri, 2 May 2008 11:07:01 -0500 (CDT)**  
To: **Steve.Gustafson@state.mn.us,**  
From: **Steve.Gustafson@state.mn.us**  
Subject: **Vendor Evaluation Form**

Below is the result of your feedback form. It was submitted by  
([steve.gustafson@state.mn.us](mailto:steve.gustafson@state.mn.us)) on Friday, May 02, 2008 at 11:07:01

-----  
\_config: vendeval  
project: HYDINFRA MS4 Enhancement - ArcGIS Development  
id\_part1: T79  
id\_part2: 1737  
cfms: A87725  
vendor: URS Corporation  
agency: TRANSPORTATION DEPT  
evaluator: Lisa Sayler  
eval\_date: 05/02/2008  
email\_list: [lisa.sayler@dot.state.mn.us](mailto:lisa.sayler@dot.state.mn.us)  
purpose: The purpose of this contract was to develop tools in ArcGIS for the HydInfra (Hydraulic Infrastructure) application. These tools were to provide functionality to query, map, analyze and update data in a map based format. This work was necessary to meet the requirements of the NPDES (National Pollutant Discharge Elimination System) MS4 permit; to streamline data creation and review; and to update the HydInfra application to work with Mn/DOT's current technology. A contract was necessary because of insufficient technical resources to complete this work.  
accomplished: Yes  
contract\_date: 10/31/2006  
amended\_date: 03/31/2008  
actual\_date: 03/31/2008  
contract\_cost: 99005.00  
amended\_cost: 147005.00  
actual\_cost: 144400.62  
cost\_effective: The HydInfra GIS tools will enable users to more efficiently display, analyze, create and modify Hydraulic Infrastructure data and make decisions to manage the infrastructure in a cost effective manner.  
amended: Yes  
amended\_e: Scope of project increased because decision was made to develop GIS component using ArcSDE. This required changing both the cost and the end date.  
terminated: No  
engage: Yes  
-----



## Kelly Heffron

---

Date sent: **Mon, 28 Jan 2008 11:07:32 -0600 (CST)**  
To: **Steve.Gustafson@state.mn.us,**  
**Jake.Carson@state.mn.us,**  
**periodicals@lrl.leg.mn**  
From: **Steve.Gustafson@state.mn.us**  
Subject: **Vendor Evaluation Form**

Below is the result of your feedback form. It was submitted by  
([steve.gustafson@state.mn.us](mailto:steve.gustafson@state.mn.us)) on Monday, January 28, 2008 at 11:07:31

---

\_config: vendeval  
project: LIMS Reporting  
id\_part1: T79  
id\_part2: 1772  
cfms: B01521  
vendor: WoodburnGroup  
agency: TRANSPORTATION DEPT  
evaluator: Jim Close  
eval\_date: 01/28/2008  
purpose: Replaced critical construction reports for the materials lab  
with a new architecture, the old environment is becoming a maintenance  
problem. The lab needed additional resources with the required skills  
to complete the project.  
accomplished: Yes  
contract\_date: 01/15/2008  
amended\_date: 06/30/2008  
actual\_date: 12/31/2008  
contract\_cost: 163,017.83  
amended\_cost: 170,017.83  
actual\_cost: 170,017.83  
cost\_effective: It allowed Mn/DOT staff to continue supporting the lab  
customers while the changes were being made. The consultant was able  
to train Mn/DOT staff to support the new technology.  
amended: Yes  
amended\_e: Need changes were identified that were required to meet  
business requirements.  
terminated: No  
engage: Yes  
engage\_e: They under estimated the scope and skills for the fix bid,  
after the technology assessment.  
comments: The staff was very good to work with.

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## Kelly Heffron

---

Date sent: Fri, 25 Jan 2008 15:01:27 -0600 (CST)  
To: Steve.Gustafson@state.mn.us,  
Jake.Carson@state.mn.us,  
periodicals@lrl.leg.mn  
From: Steve.Gustafson@state.mn.us  
Subject: Vendor Evaluation Form

Below is the result of your feedback form. It was submitted by  
([steve.gustafson@state.mn.us](mailto:steve.gustafson@state.mn.us)) on Friday, January 25, 2008 at 15:01:27

---

\_config: vendeval  
project: BaseMap - TIS Synchronization Tools  
id\_part1: T79  
id\_part2: 1756  
cfms: A91277  
vendor: Environmental Systems Research Institute ('ESRI')  
agency: TRANSPORTATION DEPT  
evaluator: Matthew Koukol  
eval\_date: 01/25/2008  
email\_list: [craig.beske@dot.state.mn.us](mailto:craig.beske@dot.state.mn.us), [Matt.Koukol@dot.state.mn.us](mailto:Matt.Koukol@dot.state.mn.us),  
[Melissa.McGinnis@dot.state.mn.us](mailto:Melissa.McGinnis@dot.state.mn.us)  
purpose: This contract was for Consulting and Technical Services that assisted the Transportation and Data Analysis Office in determining the best way to synchronize the data between 2 distinct systems that are used for storing data related to roads in the State of Minnesota. ESRI was used to take advantage of their expertise in the mapping application, as well as their experience with other users of the same or similar systems.  
accomplished: Yes  
contract\_date: 11/30/2006  
amended\_date: 12/31/2007  
actual\_date: 06/30/2007  
contract\_cost: 101,496.00  
actual\_cost: 91,530.15  
cost\_effective: By contracting with ESRI, we were able to take advantage of their experience with the application software and the knowledge they have picked up by working similar issues in their customer base. We were able to save time by utilizing expertise that was able to bypass problems that we would have encountered if we had developed the talent to do it ourselves.  
amended: Yes  
amended\_e: Two amendments were filed - one to change names of project personnel within Mn/DOT, and one to modify the deliverables and the expiration date. The change in deliverables gave us additional tools at no additional cost.  
terminated: No  
engage: Yes

engage\_e: ESRI was very easy to work with from the business user end.  
They worked with us to enhance and improve the tools that we suggested.  
The tools that they developed more than met our initial expectations.

---

## Kelly Heffron

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Date sent: Wed, 16 Jan 2008 15:57:47 -0600 (CST)  
To: Steve.Gustafson@state.mn.us,  
Jake.Carson@state.mn.us,  
periodicals@lrl.leg.mn  
From: Steve.Gustafson@state.mn.us  
Subject: Vendor Evaluation Form

Below is the result of your feedback form. It was submitted by  
([steve.gustafson@state.mn.us](mailto:steve.gustafson@state.mn.us)) on Wednesday, January 16, 2008 at 15:57:47

-----  
\_config: vendeval  
project: HYDINFRA MS4 Enhancement - Database Enhancement  
id\_part1: T79  
id\_part2: 1636  
cfms: A80507  
vendor: Regional Transportation Systems, Inc.  
agency: TRANSPORTATION DEPT  
evaluator: Lisa Saylor  
eval\_date: 01/16/2008  
email\_list: [lisa.saylor@dot.state.mn.us](mailto:lisa.saylor@dot.state.mn.us)  
purpose: The purpose of this contract was to develop enhancements to the HydInfra (Hydraulic Infrastructure) Application to facilitate data collection, management and reporting of information related to Hydraulic Infrastructure (Storm drains, small culverts, ponds, ditches and stormwater quality structures). This work is necessary to meet the requirements of the NPDES (National Pollutant Discharge Elimination System) MS4 permit; to streamline data collection and loading; and to update the HydInfra application to work with Mn/DOT's current technology. A contract was necessary because Mn/DOT did not have the technical resources available to complete this project at this time.  
accomplished: Yes  
contract\_date: 06/30/2007  
amended\_date: 12/31/2007  
actual\_date: 11/30/2007  
contract\_cost: 129,000  
amended\_cost: 209,200  
actual\_cost: 209,200  
cost\_effective: HydInfra is a database and applications used to manage information about Mn/DOT hydraulic infrastructure (culverts, storm drains, ponds) statewide. Since HydInfra was initially developed, there have been changes in the technology available and changing business needs. Enhancements were necessary to continue to make the data easily available and to meet the functional requirements that changed due to permit requirements. Mn/DOT did not have the staff to make these major enhancements.  
amended: Yes  
amended\_e: The technical requirements were significantly changed during

the project in order to take advantage of enhancements being implemented to applications within the department. The amendment was also used to add additional tasks/functionality to better meet user needs.

terminated: No

engage: Yes

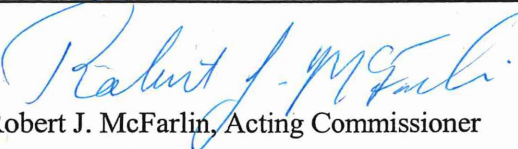

engage\_e: Contractor is highly competent using Oracle. He suggested improvements during the development process and was flexible in meeting the changing requirements of the project due to the technical environment and business needs. The developer is very good to work with, explained the development work underway and provided technology transfer to Mn/DOT personnel

-----



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> URS Corporation		<b>CFMS Contract Number:</b> A87725
<b>Project Name:</b> HydInfra – MS4 Enhancement – ArcGIS Development	<b>Mn/DOT Contract No.:</b> 89057	<b>Project Duration (Dates):</b> April 19, 2006 – March 31, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this contract was to develop tools in ArcGIS for the HydInfra (Hydraulic Infrastructure) application. These tools were to provide functionality to query, map, analyze and update data in a map based format. This work was necessary to meet the requirements of the NPDES (National Pollutant Discharge Elimination System) MS4 permit; to streamline data creation and review, and to update the HydInfra application to work with Mn/DOT's current technology. A contract was necessary because of insufficient technical resources to complete this work.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$144,400.62	<b>Source of Funding:</b> Trunk Highway Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> Not single source		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Robert J. McFarlin, Acting Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 89057

Type of Work Computer Services

District/Office Bridge

Work Type Code CP

SP Number N/A TH Number N/A Location Statewide

Contractor URS Corporation, Inc.

Subcontractor Rowekamp Associates, Inc.

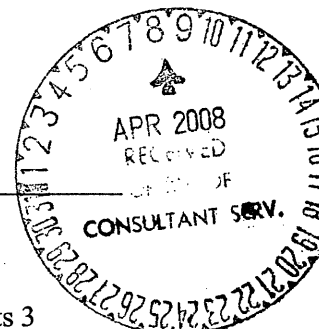
Subcontractor \_\_\_\_\_

Contract Period: April 19, 2006 ; February 1, 2008 ; March 31, 2008

Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$147,005.00 = Orig Cost: \$99,005.00 + Amended Cost: \$48,000.00

Amended for: ~ Overrun **X Additional Work** ~ Time Only Number of Amendments 3



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 31 (Maximum points 36)

Project Manager:

Jim K. Saylor Apr 7, 2008  
Name Date

Contract Administrator:

Wendy Magnus 4/9/08  
Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 1/26/2007)

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

\*Subcontractor did all of the development work and much of the testing and documentation; the prime contractor did the project management and some testing.

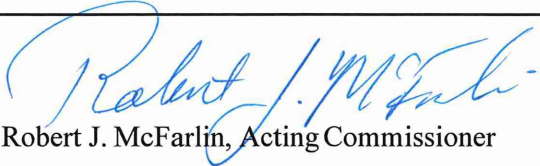

\*Tools that were developed exceeded expectations.

\*Contractor/subcontractor were flexible when user and technical requirements changed midway through the project

\*Subcontractor was excellent in providing technology transfer to Mn/DOT support personnel

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Liesch Associates, Inc.		<b>CFMS Contract Number:</b> A92943
<b>Project Name:</b> S.P. 8602-40 (TH 12)	<b>Mn/DOT Contract No.:</b> 89635	<b>Project Duration (Dates):</b> 08/24/06 to 02/29/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  The purpose of this agreement was to provide Asbestos Assessment and Abatement Oversight Services on S.P. 8602-40 (TH 12), as directed by the State Project Manager. It was necessary to enter into this agreement because the department did not have the necessary expertise on staff to do the contracted work.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$ 93,222.42	<b>Source of Funding:</b> Consultant Services Budget Allotment for District 3
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Robert J. McFarlin, Acting Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 89635

Type of Work: Asbestos Assessment/Oversight

District/Office: 3 – Baxter

Work Type Code: ~~0110~~ AB

SP Number: 8602-40 TH Number: 12

Location: In the City of Delano

Contractor : Liesch Associates, Inc.

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: August 24, 2006; February 29, 2008 ; May 15, 2008  
Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \*\$93,222.42 = Orig Cost: \$93,222.42 + Amended Cost: \$0.00

\*The contract was written for \$100,000.00, but only \$93,222.42 was used. See attached memo.

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments: 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality				1
2. Work Performance			2	
3. Conformance with Mn/DOT Standards/Requirements				1
4. Deliverables Complete and on time				1
5. Project related cooperation		3		
6. QA/QC plan conformance				1
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management			2	

Contractor's rating for this Contract:

Total Points: 17 (Maximum points 36)

Project Manager:

Contract Administrator:

Mark Vogel

Date

Gregory J. Thompson

Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 1/26/2007)



**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

The District's coordinator in the field noted that the contractor was not working in an efficient manner. Also indicated that regulated waste contractor being overseen by Liesch was observed removing non-regulated material and handling as regulated. This should have been noted by Liesch and discontinued.

See attached comments

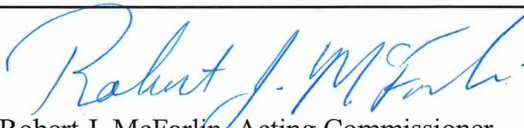

Performance Evaluation  
Contract# 89635

Attached comments:

1. It took too long of a time to receive reports and had to repeatedly ask for the reports.
2. Did not use proper shipping paper and shipping description when removing waste friable asbestos containing material.
3. Reports missing SP#.
4. Reports missing asbestos disposal records.
5. One of the Reports missing asbestos table and asbestos information.
6. Did make corrections but it took a very long time.
7. Did find a mistake that the asbestos abatement contractor made, good job for this.

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> SRF Consulting Group, Inc.		<b>CFMS Contract Number:</b> A84808
<b>Project Name:</b> St. Croix River Crossing Project Management	<b>Mn/DOT Contract No.:</b> 88869	<b>Project Duration (Dates):</b> January 13, 2006 – January 31, 2008
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> For the proposed new St. Croix River Crossing, the Contractor provided project management and design services to revise concept layouts identified during the development of the Visual Quality Manual, and prepared preliminary construction permits for Mn/DOT and WisDOT.  Products or results of the contract included a corrected preferred alternative layout; profile and cross section files; concept layout, profile and critical cross sections for pedestrian overlook; anti-icing building location layouts; draft and final Wisconsin Department of Natural Resources Air Quality Construction Permit; preliminary National Pollutant Discharge Elimination System coordination; draft and final Minnesota Noise Exemption Request; and permit implementation strategy.		
<b>Billable Hours (if applicable):</b> 1041	<b>Total Amount Spent on Contract:</b> \$99,601.50	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Robert J. McFarlin, Acting Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 88869

Type of Work: Project Management & Technical

District/Office: Metro

Support

Work Type Code PD

S.P. Number: 8214-114 T.H. Number: 36

Location: At St. Croix River

Contractor: SRF Consulting Group, Inc.

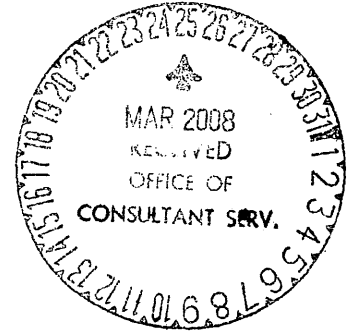
Subcontractor N/A

Subcontractor \_\_\_\_\_

Contract Period: January 13, 2006; January 31, 2008; February 1, 2008  
 Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$99,601.50 = Orig Cost: \$99,601.50 + Amended Cost: \$0.00

Amended for: ~ Overrun ~ Additional Work X Time Only Number of Amendments: 4



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time	4			
5. Project related cooperation	4			
6. QA/QC plan conformance	4			
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 24 (Maximum points 24)  
35 36

Project Manager:

Contract Administrator:

Monty Hammi 3/25/08  
 Name Date

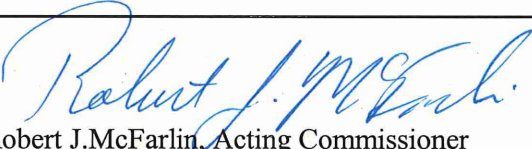

Mark Page 3/25/08  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 1/26/2007)

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> URS Corporation		<b>CFMS Contract Number:</b> A98204
<b>Project Name:</b> Statewide Snow Trap Inventory	<b>Mn/DOT Contract No.:</b> 90083	<b>Project Duration (Dates):</b> 1/31/07 – 8/30/07
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The contract purpose is to help Mn/DOT identify where preventative blowing and drifting snow control measures should be considered for deployment along Mn/DOT maintained highways.		
<b>Billable Hours (if applicable):</b> 1995.40	<b>Total Amount Spent on Contract:</b> \$ 164,502.71	<b>Source of Funding:</b> OES Consultant Services Budget
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Robert J. McFarlin, Acting Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 90083

Type of Work: Traffic Study  
Traffic Engineering Special Studies

District/Office Environmental Services

Work Type Code ~~14.7~~ TS

Contractor: URS Corporation

Subcontractor: None

Subcontractor: None

Contract Period: 1/31/07

3/12/08

8/30/07

Work Start Date

Work Completion Date

Expiration Date

Total Contract Cost: \$158,990.36 = Orig Cost: \$167,153.23 + Amended Cost: \$ \_\_\_\_\_

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments \_\_\_\_\_

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time			X	
5. Project related cooperation		X		
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 27 (Maximum points 36)

Project Manager:

Dan Gullickson 4/2/08  
Name Date

Contract Administrator:

Melissa McGinnis 4/2/08  
Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 1/26/2007)

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

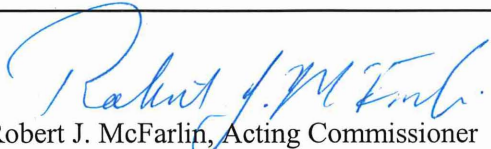
**Comments:**

The Contractor's innovation led to the creation of 300 foot ghost segment methodology to help better discern where the accidents are occurring within a given section of snow trap that the Mn/DOT snow plow operators identified as being problematic for them. Another Contractor innovation is the creation of spreadsheets that identify the location and describe the snow trap severity in a manner that can readily be found in ArcMap or using Mn/DOT's photo log. These innovations described above exceeded Mn/DOT's expectations for the project.

Challenges the Contractor experienced in order to finish the project stem from the correct interpretation between Reference Post and True Mile Marker. This created confusion as to where do accidents really occur in relation to the snow trap that was inventoried in the field with GPS technology. Another challenge uncovered is some of the Mn/DOT plow routes do not follow Mn/DOT District boundaries. This creates errors in discerning which Mn/DOT District the snow trap should be ranked in. Also, the original Mn/DOT snow plow route data used by the Contractor had errors in identifying the proper truck station location. Mn/DOT supplied corrected snow plow route data and provided better interpretation of the accident data location as per Mile Marker the Contractor was able to properly rank the snow trap severity per Mn/DOT District.

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> URS Corporation		<b>CFMS Contract Number:</b> A72172
<b>Project Name:</b> Metro Evacuation Plan	<b>Mn/DOT Contract No.:</b> 86748	<b>Project Duration (Dates):</b> 02/02/2005 – 12/31/2007
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The Contractor developed an "Evacuation Plan" for the Twin Cities Metropolitan Area. The Twin Cities Metropolitan Area consists of all or parts of the nine counties of Hennepin, Dakota, Ramsey, Washington, Scott, Anoka, Wright, Chisago, and Carver. Evacuation or emergency plans have been developed in many local and regional jurisdictions that adequately address evacuating an area in which the extent and severity of the threat or the size/population of the area exceeds the limits of routine evacuation. The State's role is to address the regional transportation implications of events that occur in a localized sector where the transportation impacts extend beyond the evacuation or coordination resources of a single local jurisdiction, or events that require a multi-jurisdictional evacuation and transportation coordination effort of multiple communities in the greater Twin Cities Metropolitan Area.		
<b>Billable Hours (if applicable):</b> 3,418.8	<b>Total Amount Spent on Contract:</b> \$321,444.42	<b>Source of Funding:</b> Consultant Agreements
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Robert J. McFarlin, Acting Commissioner		Date 4-21-08

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Miscellaneous

Contract No. 86748 Type of Work Metro Evacuation Traffic Mgmt Plan  
 District/Office Maintenance – EM Section Work Type Code MC  
 SP Number NA TH Number NA Location \_\_\_\_\_  
 Contractor URS Corporation  
 Subcontractor \_\_\_\_\_  
 Subcontractor \_\_\_\_\_

Contract Period: 02/02/2005; 12/31/2007; 12/31/2007x\_  
 Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$321,444.42 = Orig Cost: \$196,927.53 + Amended Cost: \$125,000.00

Amended for: ~ Overrun Additional Work Time Only Number of Amendments 3

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports			X	
9. Cost estimation/budget management		X		

Contractor's rating for this Contract:

Total Points: 27 (Maximum points 36)

Project Manager:

Cathy M. Clark 03.13.08  
 Name Date

Contract Administrator:

Walter McEnnis  
 Name Date

3/25/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:**

**Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:** per Cathy Clark

Billing invoices were consistently lagging and the state's project manager would have to repeatedly ask about the status of invoices and requested documentation (timesheets due to another similar evacuation planning contract with URS ). A number of staff changes during the project both for the contractor and the state, provided additional challenges to keep project tracking forms current and tasks moving forward following the Creation of the baseline evacuation plan in 2005.

URS did provide excellent cooperation through out the contract duration and the project staff members were responsive to Mn/DOT's informational requests.

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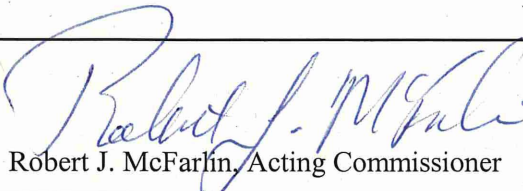
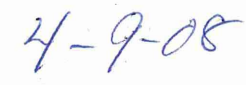
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## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> West Central Initiative		<b>CFMS Contract Number:</b> A77275
<b>Project Name:</b> Transportation Planning	<b>Mn/DOT Contract No.:</b> 87558	<b>Project Duration (Dates):</b> 7/27/05 to 6/30/07
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The State is in need of transportation planning services for the nine-county region in State's District 4 that does not currently have an active Regional Development Commission.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 100,000	<b>Source of Funding:</b> Consultant Services District 4 Allotment
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> District 4 does not have a Regional Development Commission (RDC) for nine of the twelve counties within District 4. West Central Initiative (WCI) has an established transportation planning process and also provides economic development planning services to the region. WCI has established the community involvement and support, professional personnel, including administrative support, and equipment (computers and necessary software) to complete the tasks required by MnDOT District 4. There are no other nonprofit organizations in the region capable of providing the necessary services.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Robert J. McFarlin, Acting Commissioner		 Date

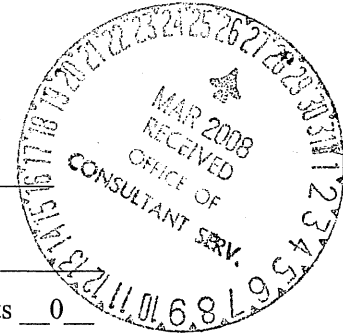
cc: P.Stembler, 112 Admin  
J. Brunner, MS 680  
File

(CSS Reviewed 11/20/06)

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 87558 Type of Work Transportation Planning  
 District/Office 4 Work Type Code PS  
 SP Number N/A TH Number N/A Location \_\_\_\_\_  
 Contractor West Central Initiative  
 Subcontractor \_\_\_\_\_  
 Subcontractor \_\_\_\_\_  
 Contract Period: 7/27/05; 6/30/07; 6/30/07  
                     Work Start Date      Work Completion Date      Expiration Date  
 Total Contract Cost: \$100,000 = Orig Cost: \$100,000 + Amended Cost: \$ 0  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only      Number of Amendments 0



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance	4			
3. Conformance with Mn/DOT Standards/Requirements	4			
4. Deliverables Complete and on time		3		
5. Project related cooperation	4			
6. QA/QC plan conformance		3		
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

Contractor's rating for this contract:      Total Points: 31 (Maximum points 36)

Project Manager:

Gay Martinson 3-19-08  
 Name      Date

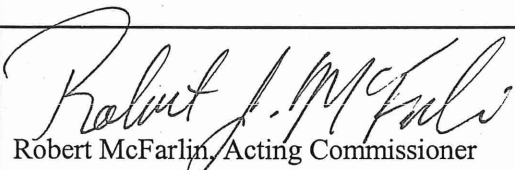

Contract Administrator:

Muh Wun 3-20-08  
 Name      Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Ford Motor Company		<b>CFMS Contract Number:</b> A59396
<b>Project Name:</b> Operational Test of Ford Motor Vehicles as Sensors	<b>Mn/DOT Contract No.:</b> 85692	<b>Project Duration (Dates):</b> March 16, 2004 to October 17, 2007
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> This Contract was necessary so that Mn/DOT could work in conjunction with Ford Motor Company to develop an interface to capture vehicle data, such as speed and traction, which could be used to create travel time estimates and road condition reports in the Condition Acquisition and Reporting System.  The outcome of this Contract was a universal gateway designed and tested to interface with any vehicle type. The final product was a design specification for and evaluation of this universal gateway.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$399,780.97	<b>Source of Funding:</b> State and Federal Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> The final outcome for this Contract was a universal gateway capable of being used on any vehicle. However, the initial development required access to vehicle proprietary information and equipment manufacturer systems such as the vehicle computer and sensor information. Ford Motor Company was the manufacturer of the Minnesota State Patrol vehicles designated for this project at the time of its execution. Therefore, it was necessary to enter into the Contract with them as a Single Source provider.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Robert McFarlin, Acting Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No. **85692**

Type of work: **Operational Test of Ford Motor Vehicles as Sensors**

District/Office: **OTSO**

Work Type Code: **ITS**

S.P. **8816-432**

T.H. **N/A**

Location \_\_\_\_\_

Contractor: **Ford Motor Company**

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: **March 16, 2004;**  
Work Start Date

**October 2007**  
Work Completion Date

**June 30, 2008**  
Expiration Date

Total Contract Cost: **\$500,000.00** = Orig Cost: **\$500,000.00** + Amended Cost: **\$0.00**

Amended cost for: **N/A**

Number of Amendments **3**

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	4			
2. Work Performance		3		
3. Conformance with Mn/DOT Standards/Requirements		3		
4. Deliverables Complete and on time		3		
5. Project related cooperation	4			
6. QA/QC plan conformance		3		
7. Contract administration cooperation		3		
8. Invoices and progress reports		3		
9. Cost estimation/budget management		3		

Contractor's rating for this contract:

Total Points 29  
(Maximum points 36)

Project Manager:

(Jim Kranig)

Contract Administrator:

(Ron Bisek)

Date:

3/31/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

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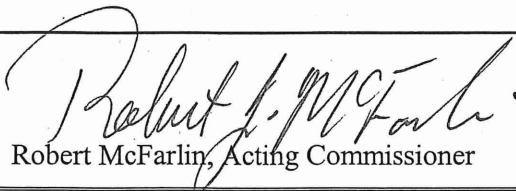
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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> University of Minnesota		<b>CFMS Contract Number:</b> A85650
<b>Project Name:</b> Driver Performance During 511 Information Retrieval	<b>Mn/DOT Contract No.:</b> 81655W204	<b>Project Duration (Dates):</b> February 1, 2006 – December 1, 2007
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> This Contract was necessary to complete an independent, impartial assessment of the effect of cellular phone access to 511 Traveler Information Services on driving performance and driver mental effort. The proliferation of cell phone use in vehicles and increasing prevalence of the national 511 system warranted the need for a study to determine the implications of cell phone use while accessing the 511 system. The information gathered from this study will contribute to policy and design recommendations for 511 services accessed while driving.  The outcomes of this Contract were as follows: <ul style="list-style-type: none"><li>• Documentation of 511 installation</li><li>• Simulation design specification document</li><li>• Formal presentation of the 511 structure and content alternatives</li><li>• Summary report of modifications based on the pilot study</li><li>• IRB approval notice</li><li>• Summary of sample size analysis</li><li>• Final report</li></ul> This Contract supports and advances the Minnesota State strategy to provide effective traveler information services and achieve its zero deaths initiative.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$139,984.00	<b>Source of Funding:</b> State and Federal Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Robert McFarlin, Acting Commissioner		Date <u>4-8-08</u>

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

(CSS Reviewed 1/26/2007)

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Administrator with the final invoice. This rating will be consideration in future consultant selection procedures. Address comments on back, keep comments factual.

Agreement No. **81655 WO 204**

Type of work: **Driver Performance During 511 Information Retrieval**

District/Office: **OTSO**

Work Type Code: **ITS**

S.P. **8816-816**

T.H. **N/A**

Location \_\_\_\_\_

Contractor: **University of Minnesota**

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: **February 1, 2006;**  
Work Start Date

**November 2007**  
Work Completion Date

**December 1, 2007**  
Expiration Date

Total Contract Cost: **\$139,984.00** = Orig Cost: **\$139,984.00** + Amended Cost: **\$0.00**

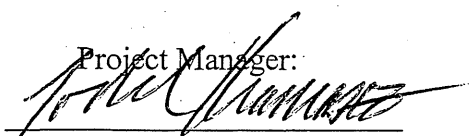
Amended cost for: **N/A**

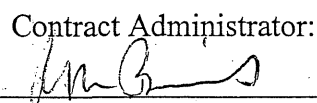
Number of Amendments **1**

Item Rating 1 - 6 by Project Manager 7 - 9 by Agreement Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		✓ 3		
2. Work Performance	✓ 4			
3. Conformance with Mn/DOT Standards/Requirements	✓ 4			
4. Deliverables Complete and on time	✓ 4			
5. Project related cooperation	✓ 4			
6. QA/QC plan conformance	✓ 4			
7. Contract administration cooperation		✓		
8. Invoices and progress reports		✓		
9. Cost estimation/budget management		✓		

Contractor's rating for this contract:

Total Points 32  
(Maximum points 36)

Project Manager:  
  
( Todd Kramasz )

Contract Administrator:  
  
( Ron Bisek )

Date:  
3/31/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Definitions:

### Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

### Average

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

### Below Average:

- Contractor minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

### Poor:

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

## Comments:

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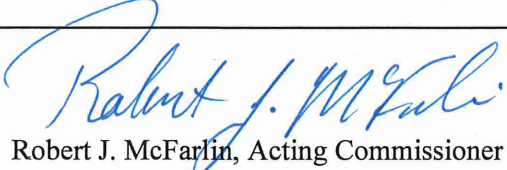

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# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> HDR Construction Control Corporation (CCC)		<b>CFMS Contract Number:</b> A42569
<b>Project Name:</b> Design and Construction oversight of the Trunk Highway 52 Design/Build project in Rochester.	<b>Mn/DOT Contract No.:</b> 83336	<b>Project Duration (Dates):</b> November 11, 2002 to August 4, 2007
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The State contracted with HDR Construction Control Corporation to provide oversight for the design, construction, engineering, inspection and administrative functions of the Trunk Highway 52 Design-Build Project in Rochester. Providing document control of all project files and records during the life of the project was the primary means of delivering this task. A computerized design-build document tracking, schedule management, and invoice system was developed and utilized for the document Control.  The State did not have sufficient staff available with the expertise required to complete this work within the necessary timeline.		
<b>Billable Hours (if applicable):</b> Not Applicable	<b>Total Amount Spent on Contract:</b> \$11,325,492.97	<b>Source of Funding:</b> BAP Funding
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Robert J. McFarlin, Acting Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No.: 83336

Type of Work: Design-Build Oversight

District/Office: District 6

Work Type Code: PD, DD, BD, & CS

SP Number: 5502-85 TH Number: 52

Location: Rochester, MN

Contractor: HDR Construction Control Corporation

Subcontractor: HDR Engineering, Inc., SRF Consulting Group, Inc., Kleinfelder, Inc., Project Information Services, Rani Engineering, Bonestroo Rosene Anderlik & Assoc., Inc. and Victor Elias & Associates, P.A.

Contract Period: November 11, 2002 ; August 4, 2007 ; November 3, 2007  
                                     Work Start Date                      Work Completion Date                      Expiration Date

Total Contract Cost: \$16,710,603.00 = Orig Cost: \$16,700,728.00 + Amended Cost: \$9,875.00

Amended for:    Overrun    ☒ Additional Work    Time Only    Number of Amendments: 1

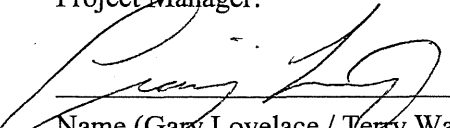
Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time		X		
5. Project related cooperation		X		
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

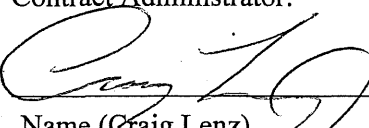
Contractor's rating for this contract:

Total Points: 27 (Maximum points: 36)

Project Manager:

Contract Administrator:

 3/17/08  
 Name (Gary Lovelace / Terry Ward)    Date  
 for

 3/17/08  
 Name (Craig Lenz)    Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680



**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

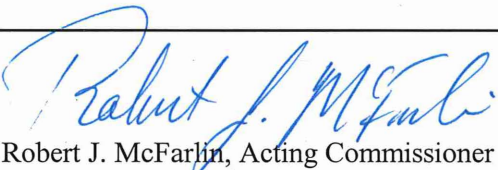

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Short Elliot Hendrickson, Inc.		<b>CFMS Contract Number:</b> A68090
<b>Project Name:</b> Mall Area Reconstruction	<b>Mn/DOT Contract No.:</b> 86188	<b>Project Duration (Dates):</b> 9/7/04 – 12/7/07
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of the contract was to develop a set of detailed design plans for T.H. 53 and adjacent frontage roads. The project coordinated the work of three agencies to resolve traffic congestion issues. State and local agency staff could not produce a project of this magnitude in the time required.		
<b>Billable Hours (if applicable):</b> 5,491.10	<b>Total Amount Spent on Contract:</b> \$ 583,917.39*	<b>Source of Funding:</b> State
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Robert J. McFarlin, Acting Commissioner		 Date

\* State share only. St. Louis County and the City of Duluth paid for portions of the contract.

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 86188 Type of Work Detail Design

District/Office One Work Type Code DD

SP Number 6915-129 TH Number 53 Location Miller Trunk Highway

Contractor Short Elliot Hendrickson

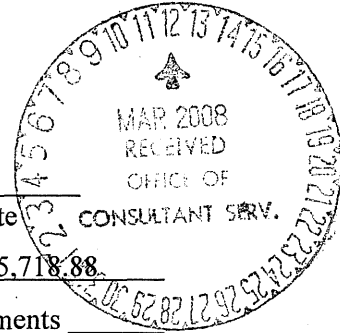
Subcontractor Braun Intertech

Subcontractor \_\_\_\_\_

Contract Period: September 7, 2004 ; December 7, 2007 ; January 31, 2008  
                                     Work Start Date                      Work Completion Date                      Expiration Date

Total Contract Cost: \$ 583,917.39 = Orig Cost: \$ 538,198.51 + Amended Cost: \$ 45,718.88

Amended for: ☐ Overrun ☒ Additional Work ☐ Time Only Number of Amendments \_\_\_\_\_



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

Contractor's rating for this Contract:

Total Points: 35 (Maximum points 36)

Project Manager:

Robert P. Dyer 3/10/08  
 Name Date

Contract Administrator:

Debbie Forchen for Roberta Dwyer  
 Name Date 3/25/08

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**


- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

SEH did an excellent job of coordinating the work of three agencies. They delivered a quality plan despite numerous changes and delays.

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Project Information Services		<b>CFMS Contract Number:</b> A96387
<b>Project Name:</b> Transportation Automated Control System (TRACS)	<b>Mn/DOT Contract No.:</b> 89450W04	<b>Project Duration (Dates):</b> 11/28/06 – 07/30/08
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The Contractor implemented a full TRACS for SP 5508-84 TH 52 project. This included: <ul style="list-style-type: none"><li>• Consult with the State to determine specific project needs.</li><li>• Create a TRACS from any previous version(s) as specified by the State.</li><li>• Load the specific design-build project with the designated modules.</li><li>• Install Initial System on CyberlinkUSA Citrix Server, or other server as designated by the State's Office of Information Technology as agreed by Contractor.</li><li>• Provide sufficient licenses for the duration of the specific project.</li><li>• Provide support for the specific project</li><li>• Provide administration of the project.</li></ul>		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$75,896.50	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> The Master Contract was a single source request. The Contractor was to provide a Contract Administration System for Design-Build and large Design-Bid-Build Projects. The Contractor provides limited licenses allowing Mn/DOT to use its proprietary "TRACS" system on projects designated by an applicable project manager. The Contractor provides set-up, support, maintenance, enhancements and perpetual use. The Contractor provided an option to Mn/DOT to purchase a perpetual source code license to the system once the aggregate value of work orders issued under this Master Contract reaches a negotiated amount. This perpetual license will allow Mn/DOT to use the system on any, and as many, projects as it desires. Mn/DOT has reached this aggregate value and has purchased the perpetual license.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Carol Molnau, Lt. Governor/Commissioner		1-2-08 Date

cc: P.Stembler, 112 Admin  
J. Brunner, MS 680



# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 89450 Work Order 4

Type of Work Computer Services

District/Office Construction

Work Type Code CP

SP Number 5508-84 TH Number 52

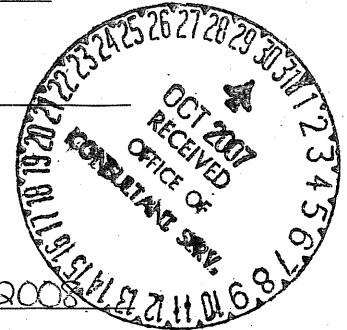
Location \_\_\_\_\_

Contractor Project Information Services

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: Nov. 28, 2006; June 30, 2007 July 30, 2008  
                             Work Start Date                      Work Completion Date                      Expiration Date



Total Contract Cost: \$150,000.00 = Orig Cost: \$150,000.00 + Amended Cost: \$0.00

Amended for: ~ Overrun ~ Additional Work ~ Time Only    Number of Amendments \_\_\_\_\_

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this contract:

Total Points: 31 (Maximum points 36)

Project Manager:

Tom Quinn  
Name

10/30/07  
Date

Contract Administrator:


Wendy McGuire  
Name

10/30/07  
Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

# Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION											
<b>Contractor Name:</b> TKDA & Associates		<b>CFMS Contract Number:</b> A85563									
<b>Project Name:</b> Bridge Rating Services for Selected County and Municipal Bridges.	<b>Mn/DOT Contract No.:</b> 86755	<b>Project Duration (Dates):</b> February 2, 2006 to September 29, 2007									
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> A new Minnesota legislation known as the "Timber Hauler's Bill" now allows vehicle loads up to 98,000 pounds gross weight. The Contract was for the Contractor to inspect and rate 250 selected in-place statewide County and Municipal bridges that were identified by MnDOT's Bridge Office and State Aid for Local Transportation Group. This is to determine if those bridges can support the new timber loads or must be load posted to insure the safety of the traveling public. MnDOT's Bridge Rating Unit is currently understaffed and is not able to perform the required ratings in-house.											
<b>Billable Hours (if applicable):</b> N/A  1523.25	<b>Total Amount Spent on Contract:</b> \$123,360.12	<b>Source of Funding:</b> <b>Managed Funds</b> <table><thead><tr><th>Fund:</th><th>Org:</th><th>App:</th></tr></thead><tbody><tr><td>260</td><td>5000</td><td>222 -- 90%</td></tr><tr><td>250</td><td>5000</td><td>221 -- 10%</td></tr></tbody></table>	Fund:	Org:	App:	260	5000	222 -- 90%	250	5000	221 -- 10%
Fund:	Org:	App:									
260	5000	222 -- 90%									
250	5000	221 -- 10%									
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>     											
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION											
 Carol Molnau, Lt. Governor/Commissioner		1-2-08 Date									

cc: P.Stembler, 112 Admin  
J. Brunner, MS 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 86755

Type of Work Bridge Ratings

District/Office: Bridge Office

Work Type Code BD

SP Number Statewide TH Number Statewide Location Statewide

Contractor TKDA and Associates

Subcontractor NA

Subcontractor NA

Contract Period: February 2, 2006;  
Work Start Date

September 29, 2007;

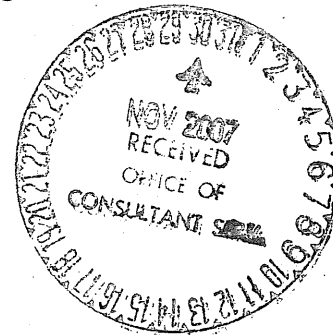
Work Completion Date

November 1, 2007

Expiration Date

Total Contract Cost: \$123,360.12 = Orig Cost: \$123,360.12 + Amended Cost: \$ NA

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments NA



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		X		
2. Work Performance		X		
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance		X		
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this contract:

Total Points: 29 (Maximum points 36)

Project Manager:

Lowell Johnson 2/2/07  
Date

Contract Administrator:


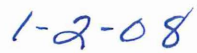
Victor E. Crabbe 11/29/07  
Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 8/16/06)

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

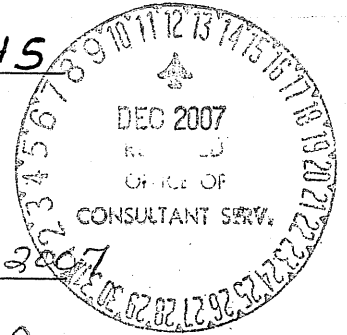
<b>Agency:</b> Department of Transportation		
<b>Contractor Name:</b> Visu-Sewer, Inc.		<b>CFMS Contract Number:</b> A 98813
<b>Project Name:</b> Video inspection TH 51	<b>Mn/DOT Contract No.:</b> 90426	<b>Project Duration (Dates):</b> 2/23/07 – 11/30/07
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> To provide digital video televising and database inventory inspection of storm water infrastructure features for Trunk Highway (TH) 51 from I-94 to TH 5. Perform Global Positioning System (GPS) location of all storm sewer infrastructure features, conduct cleaning as necessary of storm water infrastructure per guidelines established and provide updated map. The work is necessary to comply with Municipal Separate Storm Sewer System (MS4) permit requirements.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 96,419.50	<b>Source of Funding:</b> State funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  See attached Consultant Performance evaluation		
 Carol Molnau, Lt. Governor/Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 90426 Type of Work Video Inspection  
 District/Office METRO Work Type Code SV  
 SP Number 6215-91 TH Number 51 Location I-94 to THS  
 Contractor Visu-SEWER  
 Subcontractor SEH Inc.  
 Subcontractor \_\_\_\_\_



Contract Period: February 23, 2007; November 30, 2007; December 31, 2007  
 Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$ 55,560.95 = Orig Cost: \$ 96,414.50 + Amended Cost: \$ 0

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments 1

Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	✓			
2. Work Performance		✓		
3. Conformance with Mn/DOT Standards/Requirements		✓		
4. Deliverables Complete and on time			✓	
5. Project related cooperation	✓			
6. QA/QC plan conformance		✓		
7. Contract administration cooperation		✓		
8. Invoices and progress reports		✓		
9. Cost estimation/budget management		✓		

Contractor's rating for this Contract:

Total Points: 28 (Maximum points 36)

Project Manager:

[Signature] 12/11/07  
 Name Date

Contract Administrator:

[Signature] 12/11/07  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 1/26/2007)



Above Average:

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

Average

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

Below Average:

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

Poor:



- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

Comments:

Video quality, storm system SHAPE file, & mapping of storm network was completed with high marks. Uploading GPS information into HYDRA database and complete collection of MIT/CB structures ~~caused~~ were project short falls.

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Edwards & Kelcey, Inc.		<b>CFMS Contract Number:</b> A98049
<b>Project Name:</b> I-35W Sign Replacement	<b>Mn/DOT Contract No.:</b> 90421	<b>Project Duration (Dates):</b> 2/1/2007 – 10/29/2007
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this contract was to provide design services for replacement of all signing and delineation more than six years old on I-35W from just south of Hennepin Avenue in Minneapolis to I-694 in Arden Hills and New Brighton.  A contract was necessary because State staff with the necessary expertise were unavailable for this one-time project when needed.		
<b>Billable Hours (if applicable):</b> N/A	<b>Total Amount Spent on Contract:</b> \$98,062.74	<b>Source of Funding:</b> Trunk Highway
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Carol Molnau, Lt. Governor/Commissioner		 Date

cc: P.Stembler, 112 Admin  
J. Brunner, MS 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. **90421**

Type of Work **Traffic control devices – sign replacement**

District/Office **Metro**

Work Type Code **SD**

SP Number **6284-136** TH Number **35W**

Location **Industrial Boulevard in Minneapolis to just north of TH 694 in Arden Hills and New Brighton.**

Contractor **Edwards & Kelcey, Inc.**

Subcontractor \_\_\_\_\_

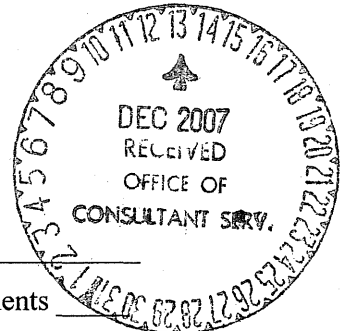
Subcontractor \_\_\_\_\_

Contract Period: **February 1, 2007;**  
Work Start Date

**October 29<sup>th</sup>, 2007;**      **March 31, 2008**  
Work Completion Date      Expiration Date

Total Contract Cost: \$ \_\_\_\_\_ = Orig Cost: **\$98,062.74** + Amended Cost: \$ \_\_\_\_\_

Amended for: ~ Overrun    ~ Additional Work    ~ Time Only    Number of Amendments \_\_\_\_\_



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	■			
2. Work Performance	■			
3. Conformance with Mn/DOT Standards/Requirements	■			
4. Deliverables Complete and on time	■			
5. Project related cooperation	■			
6. QA/QC plan conformance	■			
7. Contract administration cooperation		X		
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this contract:

Total Points: 33 (Maximum points 36)

Project Manager:

*[Signature]*      12/11/07  
Name                                  Date


Contract Administrator:

*[Signature]*      12/12/07  
Name                                  Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Regional Transportation Systems		<b>CFMS Contract Number:</b> A80507
<b>Project Name:</b> HydInfra – MS4 Enhancement – Database Development	<b>Mn/DOT Contract No.:</b> 87838	<b>Project Duration (Dates):</b> Sept. 8, 2005 – Dec. 31, 2007
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> The purpose of this contract was to development enhancements to the HydInfra (Hydraulic Infrastructure) Application to facilitate data collection, management and reporting of information related to Hydraulic Infrastructure (Storm drains, small culverts, ponds, ditches and stormwater quality structures). This work is necessary to meet the requirements of the NPDES (National Pollutant Discharge Elimination System) MS4 permit; to streamline data collection and loading; and to update the HydInfra application to work with Mn/DOT's current technology. A contract was necessary because of insufficient technical resources to complete this work.		
<b>Billable Hours (if applicable):</b> 1952	<b>Total Amount Spent on Contract:</b> \$ 209,200.00	<b>Source of Funding:</b> Trunk Highway Funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> Not single source		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Carol Molnau, Lt. Governor/Commissioner		 1-2-08 Date

cc: P.Stembler, 112 Admin  
J. Brunner, MS 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 87838

Type of Work Computer Services

District/Office Bridge

Work Type Code CP

SP Number N/A TH Number N/A

Location Statewide

Contractor Regional Transportation Systems

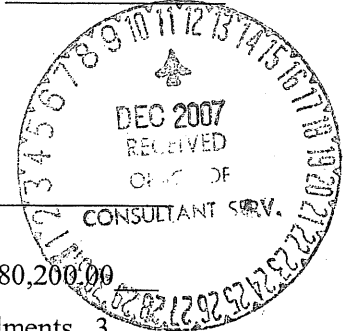
Subcontractor M & M Computer Solutions

Subcontractor \_\_\_\_\_

Contract Period: Sept. 8, 2005 ; Nov. 30, 2007 ; Dec. 31, 2007  
 Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$ 209,200.00 = Orig Cost: \$ 129,000.00 + Amended Cost: \$ 80,200.00

Amended for: ~ Overrun ☒ **Additional Work** ~ Time Only Number of Amendments 3



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

Contractor's rating for this contract:

Total Points: 36 (Maximum points 36)

Project Manager:

Eric Sawyer 12/7/2007  
 Name Date

Contract Administrator:

William McCormick 12/14/07  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 8/16/06)



**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**

\* Suggested improvements to development work.

---

\* Flexible to meeting changing Mn/DOT requirements for technical environment and business needs.

---

\* Highly technical competent with Oracle.

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\* Willing to re-negotiate hourly costs partway through project to meet Mn/DOT budget constraints.



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\* Very good to work with, explained development work and provided technology transfer.

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## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> Short Elliot Hendrickson Inc.		<b>CFMS Contract Number:</b> A69369
<b>Project Name:</b> Highway 212 Environmental Assessment and Pre-Design; west of Hector to the west junction of highway 22	<b>Mn/DOT Contract No.:</b> 86876	<b>Project Duration (Dates):</b> October 13, 2004 to October 31, 2007
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> This contract provided an Environmental Assessment and preliminary design engineering needed for the construction of the State's highway improvement projects; State Projects 4309-31, 4309-32 and 6511-38.  The work performed under this contract could not have been performed by the State's staff in time to meet the initial construction project schedules.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 436,691.00	<b>Source of Funding:</b>
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Carol Molnau, Lt. Governor/Commissioner		 Date

cc: P.Stembler, 112 Admin  
J. Brunner, MS 680  
File

## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 86876

Type of Work: Preliminary design

District/Office: 8 - Willmar

Work Type Code PD

SP Number: 4309-31 TH Number: 212

Location: Highway 212, west of Hector to highway 22

Contractor: Short Elliot Hendrickson Inc.

Subcontractor: N/A

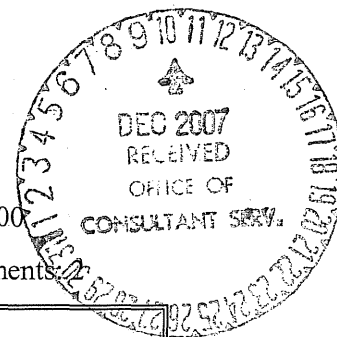
Contract Period: October 13, 2004;  
Work Start Date

October 31, 2007;  
Work Completion Date

October 31, 2007  
Expiration Date

Total Contract Cost: \$436,691.00 = Orig Cost: \$404,415.00 + Amendment Costs: \$32,276.00

Amended for: ~ ~~Overrun~~ ~ Additional Work (Am. 1) ~ Time (Am. 2) Number of Amendments: 2



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements		X		
4. Deliverables Complete and on time		X		
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation	X			
8. Invoices and progress reports		X		
9. Cost estimation/budget management		X		

Contractor's rating for this contract:

Total Points: 32 (Maximum points: 36)

Project Manager: Susann Karnowski

Contract Administrator: Gene East

Name

Date

Name

Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor Minimally or does not meet contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

**Comments:**



**Project Manager:** The work done by the contractor was to prepare an Environmental Assessment and layouts for a segment of TH 212 from CSAH 16 West of Hector to the West Junction of TH 22. The proposed project included a mill and overlay, identification of passing lanes, and re-alignment of the TH 212 & TH 4 intersection in Hector. The Project Manager for the consultant was Ross Harris. Ross did an excellent job of keeping me informed of the status of the project at least weekly (more when needed) and of developments that affected the project. Ross, along with the rest of the consultant's personnel that I worked with, were knowledgeable and experienced in the work that they did. What I especially appreciated with the contractor was their responsiveness. Every time I sent an e-mail or left a voice mail, they were very prompt in responding. If the Project Manager was going to be out of the office for an extended time, they let me know in advance as well.

There were two amendments that were needed for this contract. The first was for time and budget. The scope of the project was changed as the consultant was finishing the draft of the EA, and Mn/DOT directed the consultant to incorporate the project changes into the EA. This led to more work and time needed by the consultant to complete the tasks set forth. The second amendment was a time extension only. This was needed because processing times between various Mn/DOT offices was longer than anticipated. In the end, the contract expired before all tasks were completed, again because processing time from Mn/DOT offices was longer than expected. Instead of extending the contract again, Mn/DOT decided to finish the minor remaining task in-house. The bulk of the work set forth in the original contract was completed by the contractor.

**Contract Administrator:** The Contractor was very cooperative. They were prompt with invoices, and raised budget concerns, and the need for additional time, early enough for proper and timely action.

## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> Department of Transportation		
<b>Contractor Name:</b> Visu-Sewer, Inc.		<b>CFMS Contract Number:</b> A 99580
<b>Project Name:</b> Video inspection & cleaning TH 494	<b>Mn/DOT Contract No.:</b> 90428	<b>Project Duration (Dates):</b> 3/27/07 – 12/4/07
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> To provide digital video televising and database inventory inspection of storm water infrastructure features for Trunk Highway (TH) 494 between the Minnesota River and TH 156. Perform Global Positioning System (GPS) location of all storm sewer infrastructure features, conduct cleaning as necessary of storm water infrastructure per guidelines established and provide updated map. The work is necessary to comply with Municipal Separate Storm Sewer System (MS4) permit requirements.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 87,645.90	<b>Source of Funding:</b> State funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  See attached Consultant Performance evaluation		
 Carol Molnau, Lt. Governor/Commissioner		 Date

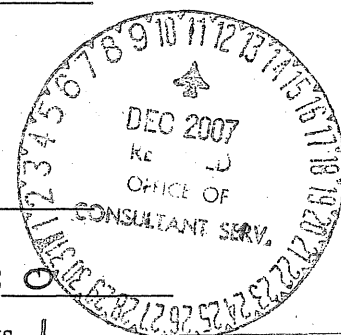
cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File



## CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 90428 Type of Work Video Inspection PIR.  
 District/Office Metro Work Type Code SV  
 SP Number 8825-284 TH Number I-494 Location THS to MP 156  
 Contractor Visu-Sewer Inc.  
 Subcontractor \_\_\_\_\_  
 Subcontractor \_\_\_\_\_  
 Contract Period: 3-27-07 ; 7-09-07 ; 12-21-07  
                             Work Start Date              Work Completion Date      Expiration Date  
 Total Contract Cost: \$ 87,645.90 = Orig Cost: \$ 87,645.90 + Amended Cost: \$ 0  
 Amended for: ~ Overrun ~ Additional Work ~ Time Only ~ Number of Amendments 1



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	✓			
2. Work Performance		✓		
3. Conformance with Mn/DOT Standards/Requirements		✓		
4. Deliverables Complete and on time			✓	
5. Project related cooperation	✓			
6. QA/QC plan conformance		✓		
7. Contract administration cooperation		✓		
8. Invoices and progress reports		✓		
9. Cost estimation/budget management		✓		

Contractor's rating for this Contract:

Total Points: 28 (Maximum points 36)

Project Manager:

LEE DALEIDEN 12-4-07  
 Name Date

Contract Administrator:

Julie Fierock 12/6/07  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:****Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

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**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.

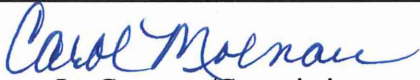
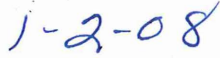
**Comments:**

Video product & map edits high quality. HydINFRA database  
upload process was new and the contractor had  
minor difficulties getting all the information into  
the database. Visu-Sewer Inc. and sub-contractor  
responded promptly to deliverable short fall. Project  
invoices and supplemental information sometimes confusing.

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## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

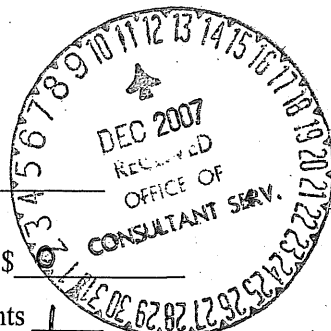
<b>Agency:</b> Department of Transportation		
<b>Contractor Name:</b> Hydro-Klean, Inc.		<b>CFMS Contract Number:</b> A 99839
<b>Project Name:</b> Video inspection & cleaning TH 5	<b>Mn/DOT Contract No.:</b> 90425	<b>Project Duration (Dates):</b> 4/3/07 – 11/27/07
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b> To provide digital video televising and database inventory inspection of storm water infrastructure features for Trunk Highway (TH) 5 from I-494 to TH 61. Perform Global Positioning System (GPS) location of all storm sewer infrastructure features, conduct cleaning as necessary of storm water infrastructure per guidelines established and provide updated map. The work is necessary to comply with Municipal Separate Storm Sewer System (MS4) permit requirements.		
<b>Billable Hours (if applicable):</b>	<b>Total Amount Spent on Contract:</b> \$ 139,401.39	<b>Source of Funding:</b> State funds
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b> N/A		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  See attached Consultant Performance evaluation		
 Carol Molnau, Lt. Governor/Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 90425 Type of Work Storm Water Sys. Inspection  
 District/Office METRO Work Type Code 13.0 SV  
 SP Number 8825-184 TH Number 5 Location THS: I-494 to 71+61  
 Contractor Hydro-Klean Inc.  
 Subcontractor -  
 Subcontractor -  
 Contract Period: 4-3-07 ; 6-22-07 ; 12-21-07  
                             Work Start Date                      Work Completion Date                      Expiration Date  
 Total Contract Cost: \$ 222,830 = Orig Cost: \$ 222,830 + Amended Cost: \$ 0  
 Amended for: ~ Overrun ~ Additional Work Time Only Number of Amendments 1



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality		✓		
2. Work Performance		✓		
3. Conformance with Mn/DOT Standards/Requirements			✓	
4. Deliverables Complete and on time			✓	
5. Project related cooperation	✓			
6. QA/QC plan conformance		✓		
7. Contract administration cooperation		✓		
8. Invoices and progress reports		✓		
9. Cost estimation/budget management		✓		

Contractor's rating for this Contract:

Total Points: 26 (Maximum points 36)

Project Manager:

LEE DALEIDEN 11/27/07  
 Name Date

Contract Administrator:

Julie Fioreck 12/6/07  
 Name Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

**Definitions:**

**Above Average:**

- Products/Service delivered correctly, efficiently, timely and without excessive assistance or direction from Mn/DOT.
- Contractor performs beyond expectations.
- Deliverables exceed standards.
- Project Manager is informed of project status regularly.
- Contractor resolves any problems that occurred.
- Contractor needs little or no direction.
- Contractor responsive to requests.
- Contractor suggests improvements.

**Average**

- Contractor fulfills terms of Contract; no more, no less.
- Deliverables meet standards.
- Project is on time and budget.
- Project Manager is informed of key milestones.

**Below Average:**

- Contractor minimally or does not meet Contract terms.
- Deliverables below standard or needs rework to comply.
- Project is behind schedule or over budget.
- Product/service required direction or assistance by Mn/DOT to produce.

**Poor:**

- Contractor requires excessive guidance or direction.
- Contractor is unresponsive to requests.
- Contractor unable or unwilling to resolve minor setbacks.
- Deliverables do not follow standards or does not meet requirements or expectations.
- Project is not on time or budget through no fault of Mn/DOT.



**Comments:**

Initial deliverable product incomplete & lacking in overall quality.  
Contractor was quick to address the deliverable short fall  
& took the appropriate steps to rectify the deliverable.  
Duplication of HydInfra data also seemed to be a consistent  
problem for the contractor. Conducting this project  
in downtown St. Paul created some difficulties; the  
contractor did a good job working in this environment  
with no complaints from the surrounding community nor  
the city.



## Report on Professional/Technical Contracts Over \$50,000

Required by Minnesota Statutes Section §16C.08, subdivision 4(c). Submit this form to Consultant Services, Mail Stop 680, along with the approved final invoice.

<b>Agency:</b> DEPARTMENT OF TRANSPORTATION		
<b>Contractor Name:</b> The Appraisal Office LLC		<b>CFMS Contract Number:</b> A98303
<b>Project Name:</b> Appraisal Services	<b>Mn/DOT Contract No.:</b> 90214	<b>Project Duration (Dates):</b> 4/18/06 – 06/22/06
<b>Summarize the purpose of the Contract, including why it was necessary to enter into a Contract:</b>  Updated appraisals for eminent domain hearings, requested by the Attorney Generals' Office.		
<b>Billable Hours (if applicable):</b> NA	<b>Total Amount Spent on Contract:</b> \$58, 500.00	<b>Source of Funding:</b> Office of Land Management Budget
<b>If this was a single source Contract, explain why the agency determined there was only a single source for the services:</b>  The Attorney Generals Office makes the choice of consultant.		
<b>Evaluate the performance of the work including an appraisal of the contractor's timeliness, quality, cost and overall performance in meeting the terms and objectives of the Contract:</b>  SEE ATTACHED CONSULTANT PERFORMANCE EVALUATION		
 Carol Molnau, Lt. Governor/Commissioner		 Date

cc: Paul Stembler, 112 Admin  
Jeff Brunner, Mail Stop 680  
File

# CONSULTANT PERFORMANCE EVALUATION

Submit this form to the Contract Administrator with the approved final invoice. This rating may be used in future consultant selection procedures. All completed Performance Evaluations are sent to the Consultant for their information. Address comments on back, keep comments factual.

Contract No. 90214

Type of Work: Testimony & Update

District: Duluth

Work Type Code ~~AP~~ - R/W

SP Number 1601-48 TH Number 61 Location

Contractor: The Appraisal Office LLC

Subcontractor \_\_\_\_\_

Subcontractor \_\_\_\_\_

Contract Period: 10/20/06 ; 11/20/07 ; 10/31/09  
 Work Start Date Work Completion Date Expiration Date

Total Contract Cost: \$58,500.00 = Orig Cost: \$58,500.00 + Amended Cost: \$ \_\_\_\_\_

Amended for: ~ Overrun ~ Additional Work ~ Time Only Number of Amendments \_\_\_\_\_



Item Rating 1 - 6 by Project Manager 7 - 9 by Contract Administrator	Rating			
	Above Average 4 Points	Average 3 Points	Below Average 2 Points	Poor 1 Point
1. Product Quality	X			
2. Work Performance	X			
3. Conformance with Mn/DOT Standards/Requirements	X			
4. Deliverables Complete and on time	X			
5. Project related cooperation	X			
6. QA/QC plan conformance	X			
7. Contract administration cooperation	X			
8. Invoices and progress reports	X			
9. Cost estimation/budget management	X			

Contractor's rating for this Contract:

Total Points: 36 (Maximum points 36)

Project Manager:

Contract Administrator:

Name: Skip Pitzer

Date

Name

Cathie Ashlin

Date

Note: Any rating of below average or poor, copy to Jeff Brunner, Director, Consultant Services Section, MS 680

(CSS Reviewed 1/26/2007)