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https://mn.gov/boards/medical-practice/

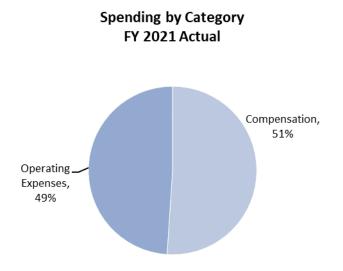
### **AT A GLANCE**

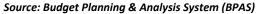
- 16-member Board comprised of 11 physicians and 5 public members appointed by the Governor
- 23 full-time equivalent staff
- 36,862 active credentials
- 3,426 new credentials issued
- 916 new complaints received
- 773 complaints resolved
- 81 disciplinary and corrective actions against credentialed professionals
- 164 credentialed professionals monitored under disciplinary or corrective actions

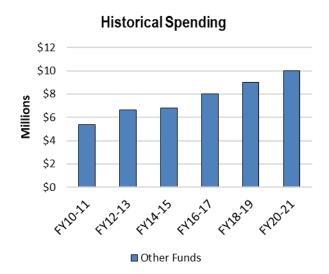
#### **PURPOSE**

The Board of Medical Practice (Board), established on July 1, 1887, is mandated by M.S. 214 & M.S. 147.01 to protect the public from the improper and unlawful practice of medicine. The Board carries out its mission by granting qualified applicants the privilege to practice in Minnesota and by investigating complaints relating to the competency or behavior of credentialed individuals.

#### **BUDGET**







Source: Consolidated Fund Statement

The Board is funded by licensure fees and receives no general fund dollars. Minnesota Statutes section 214.06, subdivision 1(a) compels the Board to collect fees in the amount sufficient to cover direct and indirect expenditures. Funds are deposited as non-dedicated revenue into the state government special revenue fund. From this fund, the Board receives a direct appropriation to pay for agency expenses such as salaries, rent, costs associated with disciplinary/contested cases, and operating expenditures. It also pays statewide indirect costs through an open appropriation.

In addition to Board operations, licensure fees fund activities that support multiple boards and/or other agencies. Some of these are: the Administrative Services Unit (inter-board), Health Professionals Services Program (inter-

board), Prescription Monitoring Program (Pharmacy Board), Office of the Attorney General for legal services, Criminal Background Check Program (inter-board), and the Voluntary Healthcare Provider Program (inter-board).

#### **STRATEGIES**

- The Board regulates professional practice and enforces applicable laws and rules by issuing credentials, monitoring continuing professional education requirements, engaging in quality review, and investigating complaints.
- The Board ensures minimum standards of care through education and corrective or disciplinary actions against impaired or incompetent practitioners.
- The Board provides information and education about licensing and registration requirements, as well as
  professional profile and enforcement actions to the public, the professionals, and other interested
  audiences.
- The Board provides administrative management of the Health Professionals Services Program, ensures that the program is operating in accordance with its statutory authority, sets the budget for the program, enters into contracts on behalf of the program, and provides guidance on general operations of the program.

#### **RESULTS**

Type of Measure	Name of Measure	Previous	Current	Dates
Quantity	Active credentials New credentials issued	34,976 3,382	36,862 3,426	FY20 & FY21
Quantity	Complaints received	857	916	FY21 & FY22
Quality	Complaints resolved Number of complaints resolved (<180 days)	862 209	773 256	FY21 & FY22
Results	Disciplinary actions Corrective actions	67 4	73 8	FY21 & FY22

Minnesota Statutes chapters 147 (<a href="https://www.revisor.mn.gov/statutes/?id=147">https://www.revisor.mn.gov/statutes/?id=147</a>), 147A – F (<a href="https://www.revisor.mn.gov/statutes/cite/1476">https://www.revisor.mn.gov/statutes/cite/1476</a>, <a href="https://www.revisor.mn.gov/statutes/cite/1476">https://www.revisor.mn.gov/statutes/cite/1476</a>, <a href="https://www.revisor.mn.gov/statutes/cite/1476">https://www.revisor.mn.gov/statutes/cite/1476</a>, <a href="https://www.revisor.mn.gov/statutes/cite/1487">https://www.revisor.mn.gov/statutes/cite/1476</a>, <a href="https://www.revisor.mn.gov/statutes/cite/1487">https://www.revisor.mn.gov/statutes/cite/1476</a>) and 148.7801 — 148.7815 (<a href="https://www.revisor.mn.gov/statutes/cite/1487">https://www.revisor.mn.gov/statutes/cite/1476</a>) provide the Board of Medical Practice with legal authority to regulate medical practice and allied health professions for the purpose of public protection.

Minnesota Statutes chapter 214.32, Subd. 1(a) and (b) (<a href="https://www.revisor.mn.gov/statutes/cite/214.32">https://www.revisor.mn.gov/statutes/cite/214.32</a>) provides the Board of Medical Practice with the designated legal authority to provide administrative management of the Health Professionals Services Program for the purpose of public protection. The Health Professionals Services Program is legally authorized under Minnesota Statutes chapter 214.31 (<a href="https://www.revisor.mn.gov/statutes/cite/214.31">https://www.revisor.mn.gov/statutes/cite/214.31</a>)

# **Agency Expenditure Overview**

	Actual	Actual	Actual	Estimate	Forecast Base	
	FY20	FY21	FY22	FY23	FY24	FY25
Expenditures by Fund						
1201 - Health Related Boards	4,464	5,197	5,550	6,230	5,868	5,868
2000 - Restrict Misc Special Revenue	68	79	100	97	68	68
Total	4,532	5,276	5,650	6,327	5,936	5,936
Biennial Change				2,168		(105
Biennial % Change				22		(1
Expenditures by Program						
Board of Medical Practice	4,532	5,276	5,650	6,327	5,936	5,936
Total	4,532	5,276	5,650	6,327	5,936	5,936
Expenditures by Category						
Compensation	2,586	2,693	2,760	2,955	2,935	3,018
Operating Expenses	1,938	2,583	2,868	3,363	2,992	2,909
Other Financial Transaction	8	1	22	9	9	g
Total	4,532	5,276	5,650	6,327	5,936	5,936
Full-Time Equivalents	29.09	29.79	30.50	31.00	30.00	30.00

Biennial % Change in Expenditures

# **Agency Financing by Fund**

(Dollars in Thousands)

	Actual	Actual	Actual	Estimate	Forecast I	Base
	FY20	FY21	FY22	FY23	FY24	FY25
1201 - Health Related Boards						
Balance Forward In		1,804		362		
Direct Appropriation	6,030	5,996	5,912	5,868	5,868	5,86
Transfers In	23					
Transfers Out		593				
Cancellations	15	2,009				
Balance Forward Out	1,573		362			
Expenditures	4,464	5,197	5,550	6,230	5,868	5,86
Biennial Change in Expenditures				2,118		(44
Biennial % Change in Expenditures				22		(0
Full-Time Equivalents	29.09	29.79	30.50	31.00	30.00	30.00
2000 - Restrict Misc Special Revenue						
Balance Forward In	18	33	39	29		
Receipts	82	85	90	68	68	68
Balance Forward Out	33	39	29			
Expenditures	68	79	100	97	68	68
Biennial Change in Expenditures				50		(61

(31)

34

# **Agency Change Summary**

	FY23	FY24	FY25	Biennium 2024-25
Direct				
Fund: 1201 - Health Related Boards				
FY2023 Appropriations	5,868	5,868	5,868	11,736
Forecast Base	5,868	5,868	5,868	11,736
Dedicated				
Fund: 2000 - Restrict Misc Special Revenue				
Planned Spending	97	68	68	136
Forecast Base	97	68	68	136
Revenue Change Summary				
Dedicated				
Fund: 2000 - Restrict Misc Special Revenue				
Forecast Revenues	68	68	68	136
Non-Dedicated				
Fund: 1201 - Health Related Boards				
Forecast Revenues	7,679	8,016	8,372	16,388

### **Board of Medical Practice**

**Budget Activity Narrative** 

Program: Board of Medical Practice
Activity: Medical Practice Operations

https://mn.gov/boards/medical-practice/

#### AT A GLANCE

#### BOARD OF MEDICAL PRACTICE

- Issue new credentials
- Renew credentials
- Take action against regulated professionals

#### **PURPOSE AND CONTEXT**

The Board of Medical Practice (Board) established on July 1, 1887, is mandated by M.S. 214 & M.S. 147.01 to protect the public from the improper and unlawful practice of medicine. Laws and regulations provide authority to grant and govern the subsequent use of credentials to practice medicine and seven allied health professions: Physicians, Acupuncturists, Athletic Trainers, Genetic Counselors, Naturopathic Doctors, Physician Assistants, Respiratory Therapists, and Traditional Midwives.

The Board also serves as the designated administering board for the Health Professionals Services Program (HPSP), a confidential monitoring program that serves the health-related licensing boards pursuant to M.S. 214.31.

The Board carries out its mission of public protection by granting qualified applicants the privilege to practice in Minnesota and by investigating complaints relating to the competency or behavior of credentialed individuals.

#### **SERVICES PROVIDED**

The 16-member Board is supported by a full-time staff of 23. The Board relies the licensure and registration fees of the professions it regulates to fund the operations and services provided by the Board. The Board also manages HPSP's funds for the operations and services provided under the program's authority. Primary services for the Board include:

- 1. Licensure and registration: The Board ensures that applicants have met minimum licensure and registration standards established by the Board. These standards include registering enrollees in accredited training programs; conducting primary source verification that an applicant has successfully completed accredited education and training and has successfully passed a qualifying national examination. The Board also establishes that an applicant is competent to practice in the credentialed profession by reviewing the applicant's background and fitness to practice. As of January 1, 2018, all applicants for initial licensure and licensees applying for participation in an interstate licensure compact are required to complete a fingerprint based criminal background check, providing additional information for the Board to consider when making licensure decisions.
- 2. **Continued Competence:** The Board requires continuing education for all credentialed professionals under its regulatory authority. The Board conducts continuing education audits to ensure compliance with educational requirements.
- 3. **Complaint Investigation and Resolution:** The Board investigates and resolves all jurisdictional complaints against health care professionals under its regulatory authority and against individuals engaged in unlicensed practice. Deviations from minimum practice standards are identified and conduct that places patients at risk is addressed through appropriate disciplinary, corrective and educational remedies.

### **RESULTS**

Type of Measure	Name of Measure	Previous	Current	Dates
Quantity	Active credentials  New credentials	34,976 3,382	36,862 3,426	FY20 & FY22
Quantity	Complaints received	857	916	FY21 & FY22
Quality	Complaints resolved Complaints resolved within 180 days Percent of online renewals	862 209 99.01	773 256 99.01	FY21 & FY22
Results	Disciplinary actions Corrective actions	67 4	73 8	FY21 & FY22

Minnesota Statutes chapters 147 (https://www.revisor.mn.gov/statutes/?id=147), 147A - F (https://www.revisor.mn.gov/statutes/cite/147a, https://www.revisor.mn.gov/statutes/cite/147b, https://www.revisor.mn.gov/statutes/cite/147D, https://www.revisor.mn.gov/statutes/cite/147D, https://www.revisor.mn.gov/statutes/cite/147e, https://www.revisor.mn.gov/statutes/cite/147f) and 148.7801 -148.7815 (https://www.revisor.mn.gov/statutes/cite/148.7801) provide the Board of Medical Practice with legal authority to regulate medical practice and allied health professions for the purpose of public protection.

Minnesota Statutes chapter 214.32, Subd. 1(a) and (b) (https://www.revisor.mn.gov/statutes/cite/214.32) provides the Board of Medical Practice with the designated legal authority to provide administrative management of the Health Professionals Services Program for the purpose of public protection.

# **Medical Practice Operations**

# **Activity Expenditure Overview**

	Actual	Actual Actual	Actual	Estimate	Forecast Base	
	FY20	FY21	FY22	FY23	FY24	FY25
Expenditures by Fund						
1201 - Health Related Boards	3,610	4,259	4,577	5,199	4,866	4,866
2000 - Restrict Misc Special Revenue	68	79	100	97	68	68
Total	3,678	4,338	4,677	5,296	4,934	4,934
Biennial Change				1,956		(105)
Biennial % Change				24		(1)
		·				
Expenditures by Category						
Compensation	1,840	1,903	1,948	2,125	2,150	2,213
Operating Expenses	1,830	2,435	2,707	3,163	2,776	2,713
Other Financial Transaction	8		22	8	8	8
Total	3,678	4,338	4,677	5,296	4,934	4,934
		·				
Full-Time Equivalents	21.53	21.77	22.39	23.00	23.00	23.00

### **Medical Practice Operations**

# **Activity Financing by Fund**

(Dollars in Thousands)

	Actual	Actual	Actual	Estimate	Forecast	Base
	FY20	FY21	FY22	FY23	FY24	FY25
1201 - Health Related Boards						
Balance Forward In		1,606		333		
Direct Appropriation	5,007	4,994	4,910	4,866	4,866	4,866
Transfers Out		493				
Cancellations	15	1,848				
Balance Forward Out	1,382		333			
Expenditures	3,610	4,259	4,577	5,199	4,866	4,866
Biennial Change in Expenditures				1,907		(44)
Biennial % Change in Expenditures				24		(0)
Full-Time Equivalents	21.53	21.77	22.39	23.00	23.00	23.00

2000 - Restrict Misc Special Revenue

Balance Forward In	18	33	39	29		
Receipts	82	85	90	68	68	68
Balance Forward Out	33	39	29			
Expenditures	68	79	100	97	68	68
Biennial Change in Expenditures				50		(61)
Biennial % Change in Expenditures				34		(31)

### **Board of Medical Practice**

**Budget Activity Narrative** 

**Program: Board of Medical Practice** 

Activity: Health Professionals Services Program

https://mn.gov/boards/hpsp/

#### **AT A GLANCE**

- Serves the 16 health regulatory boards, the Emergency Services Regulatory Board, the Department of Health, and the practitioners they regulate
- Over 350 health practitioners referred annually
- Over 350 health practitioners discharged annually
- Over 600 health practitioners are actively enrolled in Health Professionals Services Program (HPSP)

**NOTE:** HPSP is a program providing services on behalf of the State agencies that regulate healthcare professionals. HPSP's structure requires that its budget and oversight be assigned to one of the participating Boards. The Board of Medical Practice is designated as the administering board and fiscal agent for HPSP.

#### **PURPOSE AND CONTEXT**

The Health Professionals Services Program's (HPSP) mission is to protect the public by monitoring regulated health professionals whose illnesses may impair their ability to practice safely. HPSP achieves its mission by promoting early intervention, diagnosis, and treatment as an alternative to board discipline. Early intervention improves the chances for successful treatment before clinical skills are compromised and patients may be harmed.

HPSP provides services to all of the health licensing boards in Minnesota. This enables all boards to access the same service while eliminating the need for duplicative services. It also enables health practitioners, their employers, and treatment providers easy access to program services and expertise.

#### **SERVICES PROVIDED**

Health practitioners self-refer or are referred to HPSP for the monitoring of their substance, psychiatric, and/or other medical disorders which may impair their ability to practice safely. HPSP protects the public by immediately intervening with health practitioners who are unsafe to practice, which directly contributes to the statewide outcome that people in Minnesota are safe. Additionally, HPSP's enabling legislation allows some practitioners to report to HPSP without board involvement, allowing them to benefit from HPSP monitoring outside of board disciplinary processes.

To accomplish its mission, HPSP provides the following services to regulated health practitioners in Minnesota:

- Determine whether health practitioners have potentially impairing illnesses that warrant monitoring and implement immediate practice restrictions if appropriate (HPSP interventions start even before monitoring contracts are signed).
- Create and implement monitoring contracts for health practitioners with potentially impairing illnesses.
- Monitor health practitioners' professional practice, continuing care, and compliance with monitoring contracts.
- Report practitioners who are unsafe to practice or who violate the conditions of their monitoring contracts to their regulatory board.
- Provide outreach and education to professional schools, health care employers, treatment programs, and other stakeholders about HPSP services.

### **RESULTS**

HPSP protects the public by implementing monitoring contracts that require accountability and provide structure for practitioners to manage their illnesses, while also monitoring their work performance. HPSP protects the public by identifying and addressing non-compliance with treatment and monitoring or performance issues. This includes reporting or discharging practitioners to their regulatory boards where they may face disciplinary action. The measurements below do not show factors that contribute to practitioner non-compliance with monitoring (i.e., financial resources, insurance, stable support system).

Type of Measure	Name of Measure	Previous	Current	Dates
Quantity	Number of practitioners referred	416	382	FY21 & FY22
Quantity	Number of practitioners discharged	463	404	FY21 & FY22
Quantity	Number of practitioners who successfully completed the terms of monitoring.	178	168	FY21 & FY22
Quantity	Number of practitioners discharged to their board due to non-compliance with monitoring*	68	41	FY21 & FY22
Quality	Percent of practitioners who successfully met the terms of monitoring*	60%	68%	FY21 & FY22
Quality	Percent of practitioners reported to their board for not completing monitoring*	34%	32%	FY21 & FY22
Quality	Percent of monitoring contracts signed within 60 days	85%	80%	FY21 & FY22

<sup>\*</sup> Represents practitioners who engaged in monitoring.

M.S. 214.31 to M.S. 214.37 (<a href="https://www.revisor.mn.gov/statutes/?id=214.31">https://www.revisor.mn.gov/statutes/?id=214.31</a>) provides the legal authority for HPSP.

### **Health Professionals Services Program**

# **Activity Expenditure Overview**

	Actual	Actual Actual	Actual	Estimate	Forecast Base	
	FY20	FY21	FY22	FY23	FY24	FY25
Expenditures by Fund						
1201 - Health Related Boards	854	938	973	1,031	1,002	1,002
Total	854	938	973	1,031	1,002	1,002
Biennial Change				212		0
Biennial % Change				12		(0)
Expenditures by Category  Compensation	746	790	812	830	785	805
•						805
Operating Expenses	108	147	161	200	216	196
Other Financial Transaction		1	0	1	1	1
Total	854	938	973	1,031	1,002	1,002
Full-Time Equivalents	7.56	8.02	8.11	8.00	7.00	7.00

# **Health Professionals Services Program**

# **Activity Financing by Fund**

				1		
	Actual	Actual	Actual	Estimate	Forecast I	Base
	FY20	FY21	FY22	FY23	FY24	FY25
1201 - Health Related Boards						
Balance Forward In		198		29		
Direct Appropriation	1,023	1,002	1,002	1,002	1,002	1,002
Transfers In	23					
Transfers Out		100				
Cancellations		162				
Balance Forward Out	191		29			
Expenditures	854	938	973	1,031	1,002	1,002
Biennial Change in Expenditures				212		0
Biennial % Change in Expenditures				12		(0)
Full-Time Equivalents	7.56	8.02	8.11	8.00	7.00	7.00