



# **LABOR AGREEMENT**

**between the**

**STATE OF MINNESOTA**

**and the**

**STATE RESIDENTIAL SCHOOLS  
EDUCATION ASSOCIATION**

**Dates: July 1, 2001 to June 30, 2003**

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## **ARTICLE 1 - PREAMBLE**

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This Agreement is made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, 2001, by and between the State Residential Schools Education Association, hereinafter referred to as the ASSOCIATION, and the State of Minnesota, hereinafter referred to as the EMPLOYER.

## **ARTICLE 2 - ASSOCIATION RECOGNITION**

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**Section 1. Description of the Bargaining Unit.** The Employer recognizes the Association as exclusive representative for all employees in the classifications included in the Professional State Residential Instructional Unit No. 15 by the Legislative Commission on Employee Relations or by Bureau determination who are public employees within the meaning of Minn. Stat. 179A.03, Subd. 14, including the Arts Education Teacher classification, excluding supervisory employees, confidential employees, and other employees excluded by the Public Employment Labor Relations Act, Minn. Stat. 179A.01, et.seq. (Employees working fourteen (14) hours/week or less or sixty-seven (67) days/calendar year or less are excluded.)

The Employer will not meet and negotiate or meet and confer with any organization other than the Association as long as the Association is the duly authorized exclusive bargaining representative of Unit No. 15, Professional State Residential Instructional Unit.

## **ARTICLE 3 - ASSOCIATION RIGHTS**

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**Section 1. New Employee Orientation.** The Appointing Authority shall provide an orientation for each new employee within fifteen (15) working days of hire. Such orientation shall be during the work day and cover institution policies and procedures.

**Section 2. Communications.** The Employer and/or the Appointing Authority or designees agrees to supply the Association (or a particular Local Association as appropriate) a copy of any written communication which generally affect the terms and conditions of employment for this bargaining unit. The Association shall designate its addresses for this purpose.

**Section 3. Use of Facilities.** The Association shall be the only labor organization representing employees in the bargaining unit to have the right to use available institution facilities and/or equipment. The use of such equipment and/or facilities shall be at reasonable times outside the normal workday and when such facilities and/or equipment is not otherwise in use. Any use of facilities and/or equipment must have prior approval from the Appointing Authority or his/her designee. Such approval will not be unreasonably denied. The Association agrees to reimburse the Appointing Authority for reasonable expenses the Appointing Authority may incur in this regard.

**Section 4. Bulletin Boards.** The Association shall have the exclusive right to post notices of activities and matters of Association concern on teacher bulletin boards which shall be located in the teachers' lounge or other suitable area. At least one (1) bulletin board shall be provided in each facility. The Association may use the facilities' internal distribution service and employee mailboxes for communication to employees.

**Section 5. Association Business.** With advance notice, approval shall be given to authorized representatives of the Association to transact official Association business on institution premises at reasonable times, provided that this shall not unduly interfere with nor interrupt the operations of the institution.

**Section 6. Negotiations of Successor Agreements.** The Association may select up to four (4) employee representatives, one each from the Perpich Center for Arts Education, Corrections, Minnesota State Academy for the Blind and Minnesota State Academy for the Deaf, and Human Services, when possible, who shall be released from duty without loss of pay for such time as is necessary during regular working hours to participate in negotiation sessions with the Employer in the negotiation of a successor contract. The Association may select a reasonable number of employees who shall be released without pay to assist in negotiating a successor contract.

## **ARTICLE 4 - DUES DEDUCTIONS**

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**Section 1. Deductions.** The Employer agrees to facilitate through the Department of Finance the pro rata deduction of the annual Association membership dues as established by the Association and certified to the Employer. An equal portion of total annual dues shall be deducted from each paycheck, commencing with the first paycheck following certification.

**Section 2. Dues Deduction Authorization.** Dues shall be deducted for any individual employee who has authorized such deductions.

**Section 3. Dues Remittance.** The Employer shall remit to Education Minnesota the aggregate deductions of all employees together with an alphabetical list of the employees for whom deductions have been made and a statement itemizing the amount of remittance within fifteen (15) days following the end of each payroll period.

**Section 4. Indemnity.** The Association agrees to indemnify and hold the Employer harmless against any and all claims, suits, orders, or judgments brought or issued against the Employer, including individual Appointing Authorities, or the Department of Finance as a result of any action taken or not taken in accordance with the provisions of this Article, including fair share deductions and remittances.

**Section 5. Employee Lists.** The Appointing Authority shall advise the designated Association representative on the form provided in Appendix I of the names, employee identification numbers, classification, and work locations of all employees added to the bargaining unit and the names of employees removed from the bargaining unit whenever such personnel transactions occur. The Association shall file the name of the designated representative for this purpose with the local personnel office.

## **ARTICLE 5 - NON-DISCRIMINATION**

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**Section 1. Employer Responsibility.** The Employer accepts its responsibility to ensure equal opportunity in all aspects of employment for all qualified persons regardless of race, creed, religion, color, national origin, age, disability, status with regard to public assistance, sex, marital status, political affiliation, sexual orientation or any other class or group distinction, as set forth by state and federal anti-discrimination laws.

**Section 2. Association Responsibility.** The Association accepts its responsibility as exclusive bargaining representative and agrees to represent all employees in the bargaining unit without discrimination as to race, creed, religion, color, national origin, age, disability, status with regard to public assistance, sex, marital status, political affiliation, sexual orientation or any other class or group distinction, as set forth by federal and state anti-discrimination laws.

**Section 3. Jurisdiction.** Jurisdiction for the enforcement of anti-discrimination laws referred to in Section 1 and 2 hereof, is vested solely in various state and federal agencies and the courts. Discrimination complaints regarding the application of the terms of this agreement shall be subject to the Affirmative Action complaint procedure adopted by the employee's Appointing Authority.

**Section 4. Association Membership.** The Employer will not interfere with the rights of employees to become or not become members of the Association and there shall be no discrimination and interference, restraint, or coercion by the Employer or any Employer representative or by the Association or any of its officials against any employee because of Association membership or non-membership, or because of any employee activity in an official capacity on behalf of the Association which is in accordance with the provisions of this Agreement.

**Section 5. Sexual Harassment.** It is agreed by the Employer and the Association that all employees have a right to a workplace free of verbal and/or physical sexual harassment.

Sexual harassment shall be processed pursuant to the Appointing Authority's affirmative action complaint procedure and Federal and State laws.

The provisions of this Section are not subject to the provisions of Article 16, Grievance Procedure, of this Agreement. Unresolved complaints, if pursued, must be filed with the Minnesota Department of Human Rights within the time frame specified by law.

**Section 6. Reasonable Accommodation.** The parties recognize their mutual obligation to provide reasonable accommodation to those employees qualified under the Americans With Disabilities Act.

If an Appointing Authority determines that a waiver or modification of any provision of the collective bargaining agreement is necessary in order to effect a reasonable accommodation, it shall first contact the local Association to request a contract waiver. Such request for waiver shall include: the article(s) of the collective bargaining agreement the Appointing Authority requests to waive or modify, the specific manner in which the collective bargaining agreement would be waived or modified, and the nature of the employee's restrictions which necessitate accommodation, subject to each parties' obligation for confidentiality.

The Association retains the right to grieve any waiver of any provision of the collective bargaining agreement that is made without mutual agreement of the parties.

## **ARTICLE 6 - ACADEMIC FREEDOM**

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Employees shall have the freedom within their teaching area to report the truth as they see it in the classroom and to report the truth as they see it in reports of research activities, as long as it is related to the teaching area and is appropriate for the intended audience. There shall be no unreasonable restraints which would impair employees' abilities to present and publish their subject matter in this context, where such publication is done on a not-for-profit basis.



## **ARTICLE 7 - MEET AND CONFER**

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**Section 1. Local.** The Local Association may establish a committee of up to two (2) representatives to meet and confer with the institution Chief Executive Officer or his/her designee(s) at least four (4) times per year for the purpose of discussing policies and matters, other than terms and conditions of employment, of mutual concern or interest. However, in institutions or facilities with more than fifteen (15) employees, the Local Association may have up to four (4) representatives on the committee. Meetings shall be held during normal working hours. The number of Employer representatives shall not exceed the maximum number of Association representatives.

**Section 2. State.** At the request of either party, the Association and the affected Agency Head, or their designee(s) collectively or individually shall meet to discuss issues of mutual concern or interest. Each Agency Head shall notify the Association of his/her designee at the beginning of each fiscal year. The Association committee may not exceed four (4) representatives. Each party may submit items to be included on the agenda, which the Employer shall distribute to the affected parties at least one week prior to the meeting.

**Section 3. Labor-Management Committees.** The Association and the Employer recognize the importance of cooperation among everyone employed at a facility in maintaining an effective program, and encourage facilities to establish Labor-Management Committees consisting of Employer representatives, employees chosen by the Association, and interested members of other bargaining units. The purpose of the committees shall be to share ideas and concerns as to the operation of the facility within the confines of contract language and available resources.

**Section 4. Attendance.** Meetings shall be held during normal working hours. Employees shall neither lose pay nor accrue additional pay for attending such meetings, however, employees shall not be eligible for expense reimbursement.

**Section 5. Record of Meeting.** The Association will take responsibility for the minutes of the meet and confer session. The Appointing Authority shall provide the necessary assistance to formulate, type, and distribute minutes of the discussions to the appropriate parties. The Appointing Authority shall review the minutes for corrections before distribution.

## **ARTICLE 8 - HOURS OF WORK**

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**Section 1. Work Day.** Because of the nature of the duties performed by teachers, it is impracticable to apply provisions which prescribe normal work day hours. However, it is expected that the normal work day shall consist of eight (8) or ten (10) consecutive hours of work, excluding a duty free lunch period of no less than thirty (30) minutes. The maximum assignment of pupil contact for any teacher shall be six (6) hours in an eight (8) hour day, or seven and one half (7 1/2) hours in a ten (10) hour day. The remainder of the work day shall be spent in performance of assigned responsibilities, including but not limited to, such activities as conferences, class preparations, and curriculum development. Each teacher shall be provided daily with a minimum of an uninterrupted sixty (60) minute preparation period, except that an emergency, as determined by the Appointing Authority, within an institution may temporarily necessitate other assignments. Part-time employees shall receive a pro-rated preparation period based on ten (10) minutes for each class period taught, with a minimum of twenty (20) minutes per day.

**Section 2. Work Week.** Because of the nature of the duties performed by teachers, it is impracticable to apply provisions which prescribe normal work week hours. However, it is expected that the normal work week shall consist of either four (4) or five (5) consecutive normal work days, Monday through Friday. The Appointing Authority shall consult with Association representatives and affected employees prior to establishing Tuesday through Saturday work schedules. Upon mutual agreement between the Association and the Appointing Authority, schedules may be established to include Sunday. Employees shall be scheduled for a minimum of two (2) consecutive days off between established work weeks.

**Section 3. Work Schedule and Calendar.** The Appointing Authority shall post a written work schedule reflecting the normal hours of work and days of work in each institution after consultation with Association representatives and consideration of their desires. Proposed changes in the established schedule of work hours or days shall first be discussed with Association representatives. Any changes in work schedules or calendar shall be preceded by a fourteen (14) calendar day written notice to the affected employees. In emergency situations, as determined by the Appointing Authority, the Appointing Authority shall comply with the provisions of this Section insofar as reasonably possible.

Upon mutual agreement between the Association and the Appointing Authority, the provisions of this Section may be waived to accommodate the placement of employees returning to work from a workers' compensation disability leave.

**Section 4. Balancing.** It is recognized that teachers are responsible for managing and accounting for their own hours of work and that they may work hours in excess of the normal work day and/or payroll period. In these instances and with supervisory approval, teachers may balance hours of work in subsequent work days and/or payroll periods, provided such time management system does not result in overtime payment or guarantee hour-for-hour time off for extra hours worked. Please refer to the Glossary for examples of situations appropriate for balancing.

**Section 5. Payment of Overtime.** Teachers who are assigned to a special project that is in addition to their normal duties or workloads and upon having received advanced written approval shall be compensated at the rate of straight time for the hours worked on the special project. Please refer to the Glossary for examples of situations appropriate for the payment of overtime.

Overtime may be paid in cash or compensatory time, provided the Appointing Authority has established a compensatory bank for the payment of overtime. The rules governing the use and liquidation of such bank, if established, shall be developed by the Appointing Authority. The Appointing Authority may establish the maximum amount of hours that may be in the compensatory bank at any given time provided that the amount is not less than forty (40) hours. The employee shall be allowed to choose cash or compensatory time each payroll period. Should an employee fail to indicate cash or compensatory time, the decision shall be at the option of the Appointing Authority. Accrued compensatory time need not be used in the payroll period during which it is earned.

**Section 6. Planning Days.** Upon mutual agreement of the Appointing Authority and the teacher, each teacher shall be provided with not less than three (3) planning days during each fiscal year.

## **ARTICLE 9 - TEACHER ASSIGNMENTS**

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**Section 1. Academic Licensure.** No new academic teacher shall be hired by the Appointing Authority for a regular teaching assignment who does not have a Bachelor's degree from a college or university that would make him/her eligible to receive a teaching license from the State Department of Children, Families and Learning.

**Section 2. Vocational Licensure.** No new vocational teacher shall be hired by the Appointing Authority for a regular vocational teaching assignment who is not eligible to receive a vocational license or provisional license from the State of Minnesota.

## **ARTICLE 10 - PROFESSIONAL DEVELOPMENT**

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**Section 1. Philosophy.** The professional development process is the primary vehicle through which educational changes are implemented. Organizational growth and individual growth are its two major components, both of which must be pursued aggressively and simultaneously. Each member of the educational community has a responsibility for individual and organizational growth, as does the organization. It is the responsibility of each member, the responsibility of the organization and the interaction between the two that is the focus of the Appointing Authority's professional development process.

**Section 2. Required In-Service Education.** It is recognized that in-service education may be necessary to meet the ever-changing goals and clientele of the institutions. Consequently, employees who may be required by the Appointing Authority to participate in in-service programs and who are released from their work assignments to attend special training courses shall lose no basic straight-time pay for such normal work hours and shall be allowed compensatory time-off for such time accrued beyond the eight (8) hour day spent in actual participation in such programs. Actual participation includes reasonable and necessary travel time, if any, between the location of the employee's work assignment and the location of the in-service program. Expenses incurred by the employee shall be reimbursed in accordance with Article 24, Expense Allowance.

**Section 3. Employee Initiated Training.** At the discretion of the Appointing Authority, each employee may be allowed up to one-hundred (100) hours each fiscal year without loss of pay for such activities as, but not limited to, workshops, professional conferences, college courses, in-service programs and visitations, all of which are related to the employee's current or projected responsibilities and are pre-approved. Application for such release time shall be made at least thirty (30) calendar days in advance and the Appointing Authority shall respond in writing to the application within ten (10) days of its receipt; however, these timelines may be waived by mutual agreement between the teacher and the Appointing Authority. The denial of the application by the Appointing Authority may be appealed by the teacher to a committee comprised of management and teacher representatives. The final decision by such committee shall be advisory only.

Expense reimbursement shall be at the discretion of the Appointing Authority, in accordance with Article 24, Expense Allowance.

**Section 4. Teachers' Convention Leave.** Upon request, an employee shall be granted at least two (2) days of paid leave to attend an annual teachers' convention.

**Section 5. Awards for Excellence in Education.** Refer to Article 23 and Appendix J.

## **ARTICLE 11 - PERFORMANCE REVIEW**

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**Section 1. Purpose.** The primary objectives of the Performance Review shall be assessment and improvement of job performance.

**Section 2. Evaluator.** Employees shall be evaluated by the Appointing Authority or designee, so long as such designee is not a member of the bargaining unit; however, if a member of the bargaining unit is working out-of-class in a position that is not assigned to the bargaining unit, the member may be the designee. An evaluator must be knowledgeable, qualified and appropriate.

**Section 3. Format.**

- A. The Performance Review shall be based on the employee's Position Description. This however, does not preclude the Employer from conducting other types of job-related evaluations. Additional comments discussed at the Performance Review meeting, if negative, shall be reduced to writing and attached to the Performance Review.
- B. The Performance Review shall be signed by the evaluator and the employee. The employee's signature indicates that the employee has seen and received a copy of the report and does not indicate acceptance or rejection of the report.
- C. The Performance Review shall be placed into the Employee's Personnel File. The employee may attach a written response to the performance review.
- D. Any performance review that identifies areas of weakness or deficiency must be accompanied by specific suggestions and strategies for improvement.

**ARTICLE 12 - PROBATIONARY PERIOD**

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**Section 1. Duration.** The length of the probationary period shall be ten (10) months of continuous observed service in the bargaining unit in a particular facility. Up to six (6) months service in temporary, provisional, emergency or unclassified appointments shall count towards completion of the probationary period provided that there is no interruption of more than ten (10) days between such prior service and the probationary appointment, and provided further, that the prior service is with the same facility. The ten (10) months service requirement shall be broken by resignation, termination, or retirement. Additionally, leaves of absence without pay in excess of ten (10) work days and suspensions shall not be credited toward completion of the probationary period.

The Appointing Authority and the Association may mutually agree to extend the probationary period up to the maximum amount of time allowed by M.S. 43A.16 (2 years).

**Section 2. Permanent Status.** Upon written notice submitted by the Appointing Authority to the Commissioner of Employee Relations that the probationary employee has satisfactorily completed the probationary period, or if the Appointing Authority fails to take action, either to grant permanent status or to terminate a probationary employee, the employee will be given permanent status.

**Section 3. Grievance Procedure.** Probationary employees may be terminated during the probationary period at the discretion of the Appointing Authority. Such termination shall not be construed as a discharge pursuant to Article 15.

Accordingly, probationary employees who are terminated shall not have access to the arbitration step of the Grievance Procedure set forth in Article 16.

## **ARTICLE 13 - VACANCIES**

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**Section 1. Posting.** Announcements of vacant or new positions which the Appointing Authority determines to fill shall be posted on each Local Association bulletin board for ten (10) days. The announcement shall indicate the date posted and a deadline date for receipt of written applications. Such announcement shall not be removed by the Appointing Authority until the deadline date.

**Section 2. Application.** An employee may apply for a posted vacancy by submitting a written application to the appropriate Appointing Authority.

### **Section 3. Filling Positions.**

- A. After satisfying provisions of Article 14, Section 5, Recall, the Appointing Authority shall give consideration to all timely applications for voluntary transfer before permanently filling any new or vacant positions.

Each Appointing Authority which determines to fill a teacher vacancy shall mail a copy of its vacancy to the SRSEA Field Representative and to the local Association Representative. Electronic mail is acceptable. For purposes of this section, the Association shall provide each appropriate Appointing Authority with a list of the locations where vacancy notices will be mailed. The Association may update the list as necessary.

Any interested current teacher of another Appointing Authority or department who applies in a timely fashion shall be given consideration.

- B. The Appointing Authority shall have the right to fill vacant or new positions on a temporary basis pending completion of the application process.
- C. When the Appointing Authority determines to fill a part-time position, any current part-time employee in that seniority unit who is determined to be qualified by the Appointing Authority, shall, at his/her request, be granted an interview. At its sole designation, the Appointing Authority may grant the additional hours to the part-time employee so long as the employee's total hours do not exceed a full-time position.
- D. **Contracting of Services.** Minnesota Statutes Sections 16C.08, 43A.047, and 179A.23 contain provisions regarding contracting for services. These statutes are not grievable or arbitrable under this Agreement.

**Section 4. Transfer.** Employees shall not be involuntarily transferred from one facility to another.

**Section 5. Reassignment.** Notwithstanding the above, the Appointing Authority reserves the right to assign and reassign employees to positions within the same facility and bargaining unit, provided however that the Appointing Authority may not assign an employee to a vacancy for which a Seniority Unit layoff list exists containing the name(s) of an employee(s) who is properly licensed or qualified where no licensure exists and has greater seniority than the employee to be reassigned. Whenever possible, the Appointing Authority will give reasonable notice prior to the reassignment.

Prior to reassigning an employee, the Appointing Authority shall seek qualified volunteers. In instances where the Appointing Authority has determined that more than one (1) volunteer qualifies for the reassignment, the most senior qualified volunteer shall be reassigned. If the Appointing Authority determines that there are no qualified volunteers, the Appointing Authority shall reassign the least senior qualified employee.



**Section 6. Waiver.** Upon mutual agreement between the Association and the Appointing Authority, the provisions of this Article may be waived to accommodate the placement of employees returning to work from a workers' compensation disability leave.

## **ARTICLE 14 - LAYOFF**

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### **Section 1. Seniority.**

- A. Seniority shall be defined as continuous service in classified positions within the bargaining unit and in the facility, except that an employee who transfers to another facility due to the elimination of a position shall retain his/her seniority. Continuous service begins on the date an employee begins to serve a probationary period or begins with the last date of appointment with permanent status, to a position in the bargaining unit and in a particular facility. Continuous service shall be interrupted by resignation, dismissal, termination during the probationary period, and retirement. An employee who serves an emergency appointment and receives a probationary appointment to that position shall have seniority credited to the beginning of the emergency appointment provided there was no break in service between the appointments. Continuous service shall include that service on provisional, temporary or unclassified appointments.
- B. Seniority shall apply to all subject areas in which the employee is licensed as required by the State of Minnesota, and to all subject areas for which the employee is qualified where no specific licensure exists. Criteria for qualification in areas where no specific licensure exists shall be determined by the Appointing Authority following a state meet and confer meeting, and shall not be subject to the grievance procedure. A copy of the established criteria shall be given to the Association. The same date for seniority shall apply to all areas in which the employee is or becomes licensed, or qualified where no specific licensure exists.
- C. Employees working less than full-time shall accrue seniority on a pro rata basis. This shall be determined by dividing the number of working hours employed by the total number of hours in a school year, and shall be retroactive to the last date of appointment as a member in the bargaining unit in the facility. Working hours shall include hours actually worked, excluding overtime. Working hours shall include paid holidays. Employees on vacation, sick or other leaves of absence shall continue to accrue seniority at the same rate that existed upon commencement of that leave.

For the purposes of computing seniority for less than full-time employees at the Minnesota Security Hospital, working hours shall be determined by adding the number of hours worked for the State of Minnesota to the number of hours worked under other qualified programs administered by or funded by agencies other than the Appointing Authority, provided that these hours are worked at the employee's usual work station. Other qualified programs shall include, but not be limited to: Title I, Title III, DRS Education Services, DHS Educational Consultant Services and School District homebound programs.

### **Section 2. Seniority List.**

- A. By October 1 of each year, an employee may submit to the Appointing Authority evidence of any licensure(s) obtained by that employee.

- B. Within sixty (60) days from the date of execution of this Agreement, the Appointing Authority shall post on the employee bulletin board(s) at each facility, and furnish a copy to the Association, a seniority list for each subject or area of assignment for that facility. The employees' names shall appear in inverse order of seniority under each subject area for which he/she is assigned or licensed or qualified where there is no licensure. Each seniority list shall include the criteria for seniority in that subject area, and a list of all areas of licensure held by each employee on the list. Each list shall also indicate whether or not the employee meets the criteria for seniority and is currently assigned to teach in the subject area. An employee assigned to a subject who does not meet the criteria for seniority shall appear on the list following the names of all qualified employees assigned to that subject area. The seniority list shall be completed in a format as found in Appendix O. The roster shall be updated and reposted no later than October 1 of the ensuing year, with a copy to the Local Association.
- C. An employee disagreeing with his/her placement on the seniority list shall have thirty (30) calendar days from the posting date to supply evidence in support of a seniority change. If there is not satisfactory resolution within twenty (20) calendar days of the requested seniority change, an employee may seek resolution through the grievance procedure. The Appointing Authority shall within thirty (30) calendar days of the resolution post any revisions to the seniority list which resulted from a resolution of a dispute over placement on the list.

### **Section 3. Layoff.**

- A. For a full-time, permanent employee, a layoff occurs when his/her hours of work are reduced for a period longer than ten (10) consecutive working days. In addition any reduction in hours of a part-time, permanent employee, except for intermittents, which would place the employee outside the bargaining unit shall constitute a layoff.
- B. If the Appointing Authority determines that a layoff is to occur, the Appointing Authority will make every effort to execute the layoff date in a timely manner that would allow the employee to seek employment in another educational system.
- C. The Appointing Authority shall provide the Association with an opportunity to meet and confer prior to issuing notices of layoff.
- D. In the event of layoff, the Appointing Authority at the facility shall determine the subject area(s) and employment condition(s) (e.g., full-time unlimited, part-time unlimited, intermittent, seasonal full-time, seasonal part-time) in which reduction is to be made.
- E. The employee with the least seniority in and assigned to the affected subject matter area, facility and employment condition shall be given notice of layoff. An employee about to be laid off may bump another, less senior employee in the same facility as follows:
1. If the employee has seniority in other subject area(s), he/she may bump (displace) the least senior employee with the same employment condition who is assigned to a subject area in which the bumping employee has seniority.
  2. If the employee has seniority in the same or other subject area(s), he/she may bump (displace) the least senior employee with a different employment condition who is assigned to a subject area in which the bumping employee has seniority.
- F. A more senior employee may be laid off out of seniority order upon their request and with the approval of the Appointing Authority.

- G. The Appointing Authority shall notify the employee and the officially designated Association representative at least thirty (30) working days, whenever practicable, but at least twenty-one (21) days prior to the effective date of the layoff.
- H. At the discretion of the Appointing Authority, an employee under notice of permanent layoff may be granted transition leave under Article 17, Section 4K.
- I. If two employees have the same amount of seniority, the tie shall be broken in order of the following criteria:
  - 1. Total number of years of teaching experience with the State of Minnesota.
  - 2. Total number of years of formal teaching experience as documented by the employee's retirement plan credit.
  - 3. Highest advanced education related degree.
  - 4. Number of college credits earned beyond the highest degree. Such credits must meet the requirements contained in Article 23, Section 6, subd. A1 of this agreement.
  - 5. Number of areas of licensure on current teaching license.
  - 6. Total number of years of state seniority.
  - 7. Lowest teaching license file folder number.
- J. An employee who has been permanently laid off may be entitled to insurance under Article 21, Section 3C4 and/or severance pay under Article 26.

#### **Section 4. Layoff List.**

- A. **Seniority Unit Layoff List.** The name(s) of employee(s) who have been laid off shall be placed on a Seniority Unit Layoff List for the seniority unit, geographic location, and employment condition from which the employee was laid off. Employees may also indicate in writing, on a document provided by the Appointing Authority, other geographic locations for which they are available. The employee's name shall remain on the layoff list for a minimum of one (1) year, or for a period equal to the employee's length of service as an employee in that Seniority Unit, up to a maximum of four (4) years.
- B. **Bargaining Unit Layoff List.** The name(s) of employee(s) on layoff shall also be placed on a Bargaining Unit Layoff List for the bargaining unit, geographic location and employment condition from which they were laid off. Names shall be retained on the Bargaining Unit Layoff List for a minimum of one (1) year, or for a period of time equal to the employee's length of service as an employee in that Seniority Unit from which the employee was laid off up to a maximum of four (4) years.

When an employee's name is placed on the Bargaining Unit Layoff List, the employee shall indicate in writing the geographic location(s) for which he/she would accept recall. The employee may change his/her availability by notifying the Department of Employee Relations in writing.

- C. **Copies to Association.** Upon request to the Department of Employee Relations a copy of these layoff lists shall be furnished to the Association and/or Local Association.

## **Section 5. Recall.**

- A. If a Seniority Unit has a vacancy and there are employees on the Seniority Unit Layoff List for that Seniority Unit, the employee on that list with the most seniority who is licensed, or qualified in areas where no licensure exists, in the subject matter(s) in which the vacancy occurred shall be recalled.
- B. If no employee on the Seniority Unit Layoff List set forth in Section 5A is licensed, or qualified in areas where no licensure exists, in the subject matter(s) in which the vacancy occurred, the employee with the most seniority on the Bargaining Unit Layoff List who is licensed, or qualified in areas where no licensure exists, in the subject matter(s) in which the vacancy occurred shall be recalled.
- C. No appointment of a new employee shall be made while there is available on layoff an employee who is properly licensed, or qualified in areas where no licensure exists, to fill such a vacancy.
- D. A recalled employee may be required to serve a new probationary period if he/she was on layoff from one Seniority Unit and is recalled by another.
- E. Upon recall, accrual rates applied to salary and fringe benefits shall be the same as existed at the time of the layoff. When an employee is recalled to the Seniority Unit where the employee held previous seniority, the employee's previous seniority shall not be broken by the layoff.
- F. An employee shall be notified of recall by personal notice or certified mail (return receipt required) sent to the employee's last known address at least fifteen (15) calendar days prior to the reporting date. The employee shall notify the Appointing Authority by certified mail (return receipt required) within five (5) calendar days of receipt of notification of intent to return to work and shall report for work on the reporting date unless other arrangements are made. It shall be the employee's responsibility to keep the Appointing Authority informed of his/her current address.

## **Section 6. Removal from Layoff List.**

- A. If an employee is recalled, the employee's name shall be removed from both layoff lists. In the event that an employee is recalled to a Seniority Unit other than the one from which he/she was laid off, and the employee does not successfully complete the probationary period, such employee's name shall be restored to the original Seniority Unit Layoff List for the remainder of the time period originally provided in Section 4A.
- B. When an employee's name is placed on the Bargaining Unit Layoff List, the employee shall indicate the locations at which he/she would accept recall. The employee shall not be recalled to any locations other than those so indicated. Failure to accept employment at the indicated locations will result in removal from both layoff lists.
- C. An employee shall be removed from both layoff lists upon the employee's resignation, retirement or termination from State service.
- D. It shall be the employee's responsibility to keep the Department of Employee Relations informed of his/her current address, any changes in licensure, and any changes in acceptable geographic locations and employment conditions.

**Section 7. Unclassified Employees.** The provisions of this Article do not apply to unclassified employees.

**Section 8. Labor Management Cooperation.** When an Appointing Authority initiates a planning process or management study which is anticipated to result in layoff, the Appointing Authority will meet and confer with the Association during the decision planning phase and again during the implementation planning phase. The Appointing Authority and the Association shall enter into negotiations regarding a Memorandum of Understanding upon the request of either party to modify this Agreement regarding the implementation plans which shall include, but are not limited to, the following:

1. length of the layoff notice;
2. job and retraining opportunities;
3. alternative placement methods;
4. early retirement options pursuant to M.S. 43A.24, subd. 2(i);
5. other methods of mitigating layoffs or their effect on employees.

## **ARTICLE 15 - DISCIPLINE**

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**Section 1. Purpose.** Disciplinary action shall be imposed on employees only for just cause.

**Section 2. Disciplinary Action.**

- A. Discipline shall include only the following, but not necessarily in this order:
1. Oral reprimand, (not arbitrable) or
  2. Written reprimand, or
  3. Suspension, or
  4. Discharge.
- B. **Association Representation.** The Appointing Authority shall not meet with an employee for the purpose of questioning the employee during an investigation that may lead to discipline without first offering the employee an opportunity for Association representation. Any employee waiving the right to such representation must do so in writing prior to the questioning. A copy of such waiver shall be furnished to the local Association president or designated representative upon request. The employee shall be advised of the nature of the allegation(s) prior to questioning.
- C. **Reprimand.** If the Appointing Authority has reason to reprimand an employee, it shall be done in such a manner that will not embarrass the employee before other employees, students, or the public.
- D. **Identifying Reprimands.** Oral reprimands shall be clearly identified as such at the time disciplinary action is administered.
- E. **Notification.** A copy of a written reprimand shall be given to the employee prior to having such reprimand placed in the personnel file. When either a suspension or a discharge is intended, the Appointing Authority shall, before or at the time the action is taken, notify the employee in writing of the specific reason(s) for such action. The Appointing Authority may discipline an employee before such notification is given if the employee's continuation in the worksite would impede the ensuing investigation or otherwise threaten the health or safety of the employee or others. The Appointing Authority shall provide the employee with such notification within one work day thereafter, exclusive of Saturdays, Sundays and holidays.



**Section 3. Investigative Meetings.** In order that no unwarranted disciplinary action will be taken against an employee, the Appointing Authority will make a full and comprehensive investigation of any alleged violation of the Agreement, rules and regulations, laws or other restrictive edicts affecting an employee(s) that could be sufficient cause for disciplinary action. Upon request of an employee who is the subject of an investigation, the Appointing Authority shall inform the employee whether the status of the investigation is active or is no longer active.

**Section 4. Investigatory Leave.** The Appointing Authority/designee may place an employee who is the subject of a disciplinary investigation on an investigatory leave with pay, provided a reasonable basis exists to warrant such leave.

**Section 5. Procedure for Discharge of Permanent Employees.** The Appointing Authority shall not discharge a permanent, classified employee without just cause. If the Appointing Authority believes there is just cause for discharge, the employee and the Association shall be notified in writing that the employee is to be discharged and shall be furnished with the reason(s) therefore, and the effective date of the discharge. The employee shall be given an opportunity to hear an explanation of the evidence, if requested, against him/her, to present his/her side of the story and is entitled to Association representation at such meeting, upon request. The right to such meeting shall expire at the end of the next scheduled work day of the employee after the notice of discharge is delivered to the employee, unless the employee and the Appointing Authority agree otherwise. The discharge shall not become effective during the period when the meeting may occur. The employee shall remain in pay status during the time between the notice of discharge and the expiration of the meeting. If the employee was not in pay status at the time of the notice of discharge, the Appointing Authority shall pay the employee for the time between the notice of discharge and the expiration of the meeting.

The Association, with the consent of the affected employee, shall have the right to take up the discharge at the second (2nd) step of the grievance procedure and the matter shall be handled in accordance with this procedure if requested by the Association. An employee found to be unjustly discharged shall be reinstated in accordance with the conditions agreed to between the parties or the decision of the Arbitrator.

**Section 6. Personnel File.** An employee's personnel file shall contain only materials that are related to his/her employment.

Initial minor infractions, irregularities, or deficiencies shall first be privately brought to the attention of the employee and, if corrected, shall not be entered into the employee's personnel file.

An oral reprimand shall not become a part of an employee's personnel file. Investigations which do not result in disciplinary actions shall not be entered into an employee's personnel file.

Each employee shall be promptly furnished with a copy of all evaluative and disciplinary entries into the permanent personnel file. An employee shall have the right to place in his/her personnel file, a written response which shall be attached to the relevant document. Such responses must be submitted in a timely fashion.

Upon the written request of an employee, a written reprimand shall be removed after two (2) years and a written record of a suspension of ten (10) or fewer days after four (4) years from the employee's permanent personnel file provided that no further disciplinary action has been taken.

Materials placed in the employee's personnel file, upon the employee's request and by a showing of the employee that such material is incomplete, inaccurate, or false, are to be immediately expunged from the file.

The contents of an employee's permanent personnel file shall be disclosed to the employee upon request and to the employee's Association representative upon the written request of the employee. Upon written request, copies of such materials shall be provided at the expense of the employee or Association.

Only the permanent personnel file may be used as evidence in any disciplinary action or hearing. This does not limit, restrict or prohibit the Appointing Authority from submitting supportive documentation or testimony, either oral or written, in any disciplinary hearing, nor does it so limit the Association.

## **ARTICLE 16 - GRIEVANCE PROCEDURE**

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### **Section 1. Definitions.**

Grievance. "Grievance" means a dispute or disagreement as to the interpretation or application of any term or terms of this Agreement.

Days. "Days" means working days. Working days means Monday - Friday throughout the entire calendar year. This definition applies to teachers working both academic and non-academic calendars.

Service. "Service" means personal service or by U.S. mail.

Reduced to Writing. "Reduced to Writing" means a concise statement outlining the nature of the grievance, the provision(s) of the Agreement in dispute, and the relief requested.

Answer. "Answer" means a concise response outlining the Appointing Authority's position on the grievance.

### **Section 2. Grievance Steps.**

**Step 1. Informal.** Whenever any employee, group of employees, or the Association, has a grievance, the grievant(s) and/or the Association representative shall meet on an informal basis with the immediate supervisor or designee in an attempt to resolve the grievance within twenty (20) days after the grievance occurred or twenty (20) days after the grievant(s), through the use of reasonable diligence, should have had knowledge of the occurrence that gave rise to the grievance.

**Step 2. Appointing Authority.** If the grievance is not resolved within ten (10) days of the meeting at the informal step, the grievance may be reduced to writing by the employee and/or Association within ten (10) days of the immediate supervisor's or designee's response and served upon the Chief Executive Officer, or designee, of the facility. Within ten (10) days of receipt of the written grievance, the Chief Executive Officer or designee shall arrange a meeting with the grievant(s) and/or Association representative and endeavor to mutually resolve the grievance. Within ten (10) days of the meeting of the parties, the Chief Executive Officer or designee shall serve a written answer to the grievance upon the grievant(s) and Association.

**Step 3. Agency Head.** If the grievance remains unresolved, the Association, within ten (10) days after the response of the Chief Executive Officer, or designee, may appeal the grievance to the Agency Head of the affected Department, or designee. Within ten (10) days of receipt of the written grievance, the Agency Head, or designee, shall arrange a meeting with the grievant(s) and/or the Association representative and endeavor to mutually resolve the grievance. Within ten (10) days of the meeting of the parties, the Agency Head, or designee, shall respond to the grievance in writing. If the Appointing Authority and the Agency Head are the same, this step shall be eliminated and the Association shall immediately proceed to Step 4 of the Grievance Procedure.

**Step 4. Mediation.** If the grievance remains unresolved within ten (10) days after receiving the response at the last step, either party may request, in writing, mediation. The written request shall be directed to the State and Local Association representative (in case of a request by the Appointing Authority) or to the Chief Executive Officer (in case of a request by the Association). Within ten (10) days of the request, the party receiving the request shall respond in writing.

**Step 5. Arbitration.** If the grievance remains unresolved after mediation or if the parties do not agree to mediate the grievance, the Association shall have ten (10) days after the date of mediation or the denial of mediation at Step 4 to appeal the grievance in writing to arbitration, by serving written notice upon the Deputy Commissioner of the Department of Employee Relations (State Labor Negotiator), or designee. The parties shall endeavor to select a mutually acceptable arbitrator to hear and decide the grievance. If the parties are unable to agree on an arbitrator, either party may request from the Director of the Bureau of Mediation Services, State of Minnesota, a list of at least five (5) potential arbitrators. The parties shall alternately strike names from the list of arbitrators until one (1) name remains. The remaining arbitrator shall hear and decide the grievance. If the parties are unable to agree on who shall strike the first name, the question shall be decided by a flip of a coin.

**Section 3. Fees and Expenses.** Each party shall be responsible for equally compensating the arbitrator for his/her fee and necessary expenses. If either party desires a verbatim record of the proceedings, it may cause such a record to be made, provided it pays for the record, and the other party may then obtain a copy at the cost prescribed by the transcriber or his/her service agreement, whichever is less.

**Section 4. Arbitrator's Authority.** The Arbitrator shall have no right to amend, modify, nullify, ignore, add to, or subtract from the provisions of this Agreement. He/she shall consider and decide only the specific issue or issues submitted to him/her. The arbitrator's decision shall be binding on all parties to the dispute unless the decision is contrary to, inconsistent with, or modifying or varying in any way the application of laws, rules, or regulations having the force and effect of law. The decision shall be based solely upon the arbitrator's interpretation and application of the expressed terms of this Agreement and to the facts of the grievance presented. The decision shall be issued to the parties by the arbitrator, and a copy shall be filed with the Bureau of Mediation Services, State of Minnesota. The arbitrator shall submit his/her decision in writing within thirty (30) calendar days following the close of the hearing or the submission of briefs by the parties, whichever is later, unless the parties agree to an extension.

**Section 5. Processing of Grievances.** Processing of all grievances shall be during the normal work day whenever possible, and the grievant shall not lose wages due to his/her necessary participation. For purposes of this paragraph, employees entitled to wages during their necessary participation in a grievance proceeding are the grievant and another employee if selected by the grievant, however, paid time to process first and second step grievances shall not include travel time.

**Section 6. Time Limits.** The parties, by mutual written agreement, may waive any step and extend any time limit in this Grievance Procedure. However, failure by the Association or the employee to adhere to the time limits specified herein will result in a forfeit of the grievance. If the Employer does not answer a grievance or an appeal thereof within the specified time limit, the Association may elect to treat the grievance as denied at that step and appeal the grievance to the next step.

Grievances involving employees in more than one facility may, at the option of the Association, be reduced to writing by the Association and submitted to the Employer at Step 2.

**Section 7. Identifying Designees.** The parties will supply the names of designees of each facility for each step of the grievance procedure. No member of the bargaining unit shall be an Employer designee for any step of the grievance procedure.

**Section 8. Veterans' Preference.** If an employee/former employee pursues an appeal procedure under M.S. 197.46 (or other applicable Veterans' Preference law), the employee/former employee shall be precluded from making an appeal under the grievance procedure.

## **ARTICLE 17 - LEAVES OF ABSENCE**

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**Section 1. Application for Leave.** All requests for a leave of absence shall be submitted in writing by the employee to the employee's immediate supervisor. All requests for leave shall be submitted as soon as the need for such leave is known. The request shall state the reason for and the anticipated duration of the leave of absence.

**Section 2. Authorization for Leave.** Prompt authorization for or denial of a leave of absence shall be furnished to the employee in writing by the supervisor.

**Section 3. Statutory Leaves.** Leaves provided by Minnesota Statutes are contained in Appendix N.

### **Section 4. Leaves of Absence With Pay.**

- A. **Military Leave.** In accordance with M.S. 192.26, up to fifteen (15) working days leave per calendar year shall be granted to members of the National Guard or military or naval reserves of the United States or of the State of Minnesota and who are ordered or authorized by the appropriate authorities to engage in training or active service.
- B. **Court Appearance Leave.** Leave shall be granted for appearances before a court, legislative committee, or other judicial or quasi-judicial body as a witness in an action involving the Federal Government, the State of Minnesota, or a political subdivision thereof, in response to a subpoena or other direction of proper authority when such leave request is for job-related purposes. Leave shall also be granted for attendance in court in connection with an employee's official duty, which shall include any necessary travel time. However, an employee shall not be granted leave with pay for an employee or Association initiated lawsuit against the State.
- C. **Jury Duty Leave.** Leave shall be granted for service upon a jury. Compensation shall be at the employee's regular base rate of pay. Employees whose scheduled shift is other than a day shift shall be reassigned to a day shift during the period of service upon a jury. "Service upon a jury" includes times when the employee is impaneled for actual service or is required by the court to be present for potential service. During any other time, the employee shall report to work.

- D. **Voting Time Leave.** Any employee who is eligible to vote in any statewide general election or at any election to fill a vacancy in the office of a representative in Congress, may absent himself/herself from work for the purpose of voting during the forenoon of such election day provided the employee has made prior arrangements for such absence with his/her immediate supervisor.

Upon request of the employee made no later than seventy two (72) hours preceding a federal election or statewide general election, and with the approval of the supervisor, the employee may be permitted to absent himself/herself for the purpose of voting at times other than the forenoon.

- E. **Sabbatical Leave.** The purpose of sabbatical leaves is to give employees the opportunity to secure additional education, training, or experience which will make them better prepared for carrying out their teaching assignments within the bargaining unit. Such leaves may be granted if the following criteria are met:

1. The employee has served on a full-time basis for six (6) or more consecutive academic years.
2. The employee has submitted a plan for the sabbatical leave which is designed to serve the purpose described above.
3. The Appointing Authority has determined that funds are available for this purpose and that staffing needs of the facility/institution can be met.
4. The number of sabbaticals approved for an institution does not exceed five percent (5%) of the number of full-time equivalent employee positions allocated to the institution for that year, or one (1), whichever is greater.

Applications for sabbaticals shall be submitted at least ninety (90) days prior to the commencement of the planned sabbatical, and notice of approval or rejection shall be given to the employee within thirty (30) days of the leave request.

If the number of applications in a given institution exceeds five percent (5%) of the number of full-time employee positions allocated to the institution for that year, approval will be granted to those who have the greatest number of consecutive years of full-time service without having been granted a sabbatical leave. Applicants who meet all criteria except those exceeding the five percent (5%) limit, shall be placed at the head of the list, for the next year or for full-time vacancies created by cancellation, in order of descending number of years without having been granted a sabbatical leave.

Sabbatical leave shall be for a minimum of one quarter, one semester, or one summer session, but may not exceed the equivalent of one calendar year.

Employees on sabbatical leave shall receive one-half of their salary. Employees on sabbatical leave may accept scholarships, fellowships, grants or other employment which serves the purpose of the sabbatical leave. However, earnings from such employment plus the sabbatical leave payment which are in excess of the employee's salary schedule income shall be reimbursed to the Appointing Authority.

Time spent on sabbatical leave shall be treated as continuous state service. The employee shall be entitled to the insurance coverage provided by the Employer during his/her sabbatical leave. Sick leave and vacation leave shall be accrued on a pro rata basis.



- F. **Bereavement Leave.** The use of a reasonable period of sick leave shall be granted in the event of death of the spouse or same sex domestic partner, parents, grandparents, guardian, children, grandchildren, brothers, sisters, wards of the employee or of the spouse or same sex domestic partner.
- G. **Natural Disaster or Catastrophe Leave.** In the event of a local disaster, leave shall be granted to bonafide members of emergency operations organizations, such as Red Cross Disaster Teams, Volunteer Fire Departments, Police Reserves, and Civil Defense agencies during the critical phases of the disaster. However, an Appointing Authority may deny such leave if an emergency situation exists at the facility and the employee's services are needed. Additionally, it is agreed that the Employer is not liable for workers' compensation claims arising out of the activities of employees using leave under this provision. Employees shall receive their regular rate of pay but shall remit to their Appointing Authority fees/amounts received for services rendered for any particular emergency call out.
- H. **Teachers' Convention Leave.** NOTE: Reference to this leave has been moved to Article 10.
- I. **Blood Donation Leave.** Leave shall be granted to employees to donate blood at any Appointing Authority locally endorsed program.
- J. **Personal Leave.** During the first six (6) months of employment with the State of Minnesota, a teacher shall have sixteen (16) hours of personal leave. The use of such leave must have prior approval of the Appointing Authority before it can be used.
- K. **Transition Leave.** At the Appointing Authority's discretion, an employee under notice of permanent layoff may continue in payroll status for up to eighty (80) hours of paid leave, ending at the date of layoff.
- L. **Investigatory Leave.** See Article 15, Section 4.
- M. **Emergency Leave.** The Commissioner of Employee Relations, after consultation with the Commissioner of Public Safety, may excuse State employees from duty with full pay in the event of a natural or man made emergency, if continued operation would involve a threat to the health or safety of individuals. Absence with pay shall not exceed sixteen (16) working hours at any one time unless the Commissioner of Employee Relations authorizes a longer duration.

#### **Section 5. Leaves of Absence Without Pay.**

- A. **Military Leave.** In accordance with M.S. 192.261 and federal law, leave shall be granted to an employee who voluntarily or involuntarily enters into active military service, active duty for training, initial active duty for training, inactive duty for training, or full-time National Guard duty in the armed forces of the United States for the period of military service, not to exceed five (5) years plus such additional time as the employee may be required to serve pursuant to law. Leave time for service in the military shall be considered as paid leave for purposes of vacation leave and sick leave accrual.

At an employee's request, an employee on unpaid military leave shall be allowed to supplement such leave with vacation leave in accordance with law. Any vacation leave used must have been accumulated prior to the start of the military leave.

- B. **Unclassified Service Leave.** Leave may be granted to any employee to accept a position in the unclassified service of the State of Minnesota.

C. **Medical Leave.**

1. **Employee Requested.** Leave of absence for the duration of the disability for a period up to six (6) consecutive months shall be granted to any permanent employee who, as a result of an extended illness or injury including pregnancy or childbirth related disabilities, has exhausted his/her accumulation of sick leave. Upon the request of such employees, such leave may be extended up to a total maximum of one (1) year. In all cases a physician's statement indicating diagnosis, prognosis and estimated length of disability is required before such leave is granted. An employee returning from employee-requested medical leave shall be reinstated to his/her original job or to a position of like status, pay and seniority.
2. **Appointing Authority Initiated.**
  - a. If the Appointing Authority has reasonable cause to believe that a permanent employee is unfit or unable to perform the duties of his/her position as a result of disability, injury or illness, after consultation with the Association, the employee may be placed on a leave of absence for a period not to exceed six (6) months in duration.
  - b. Such leave may not be initiated unless the Appointing Authority has offered the employee the opportunity to participate in the Employee Assistance Program or another rehabilitation program and only after an evaluation by a private medical practitioner.
  - c. The selection of a private medical practitioner shall be mutually agreed upon between the Appointing Authority and the Association. If the parties are unable to mutually agree, the Appointing Authority shall be free to send the employee to a private medical practitioner of the Appointing Authority's choosing. This evaluation shall be at no cost to the employee.
  - d. An employee on this leave may use his/her accumulated sick or vacation leave and may continue participation in the group insurance program in accordance with the terms in Article 21.
  - e. The Appointing Authority agrees that it will limit documentation related to the evaluation in an employee's personnel file to the practitioner's medical conclusion as to whether that employee is fit for duty. The Appointing Authority agrees to maintain the information noted above in strict confidentiality unless it becomes the subject of a grievance.
  - f. In the event of a grievance arising from an Appointing Authority's determination of an employee's fitness to perform his/her job, where a medical examination has been conducted, the Association agrees that the employee shall waive patient/doctor confidentiality to allow access to his/her relevant medical records by the Appointing Authority or the grievance shall be deemed waived.
  - g. Employees returning from an Appointing Authority Initiated leave shall be reinstated to their original job or to a position of comparable duties, if qualified, within the same Appointing Authority. Employees granted such leave shall not be permitted to bump an existing employee. If an employee has been on this leave for six (6) months, at the request of the employee or the Association, the Appointing Authority shall reorient the employee to his/her job.

All employees returning from medical leaves shall accrue sick and vacation leave at the same rate and with the same accredited length of service that existed at the time of their leave and shall receive all fringe benefits in accordance with the terms of the current Agreement between the Employer and the Association. A returning employee shall retain his/her original anniversary date and shall be reinstated in the insurance program in accordance with the terms of the master insurance contract.

- D. **Parenting Leave.** Requests for parenting leaves of absence shall be submitted not later than the end of the sixth month of pregnancy of the employee or spouse and shall be accompanied by a physician's statement indicating the estimated date of delivery of the child. Parenting leave shall be granted to all employees who request it. Parenting leave shall not be considered the same as medical leave, and it shall continue up to six (6) consecutive months, and shall be reduced by any paid or unpaid leave of absence or by any summer break in service. Parenting leaves may be extended up to a total maximum of one (1) year by mutual consent between the employee and the Appointing Authority.

Employees returning from parenting leave shall be reinstated to their original job or to a position of like status, pay and leave at the same rate and with the same accredited length of service that existed at the time of their leave and shall receive all fringe benefits in accordance with the terms of the current Agreement between the Employer and the Association. A returning employee shall retain his/her original anniversary date and shall be reinstated in the insurance program in accordance with the terms of the master insurance contract.

- E. **Adoption Leave.** Requests for adoption leaves of absence shall be submitted six (6) weeks in advance, if possible, but in no event less than three (3) days prior to such leave and shall be granted to all employees who request same. The leave shall commence on the date requested by the employee and shall continue up to six (6) weeks provided, however, that adoption leave may be extended up to a total maximum of one (1) year by mutual consent between the employee and the Appointing Authority. Employees returning from an adoption leave shall be reinstated to their original job or to a position of like status, pay, and seniority. Returning employees shall accrue sick and vacation leave at the same rate and with the same accredited length of service that existed at the time of their leave and shall receive all fringe benefits in accordance with the terms of the current Agreement between the Employer and the Association. A returning employee shall retain his/her original anniversary date and shall be reinstated in the insurance program in accordance with the terms of the master insurance contract.

- F. **Association Leave.** Upon the written request of the Association, leave shall be granted to employees who are officially appointed full time representatives of the Association. The Appointing Authority may request the Association to confirm the employee's continuation on Association Leave.

- G. **Professional Development Leave.** In recognition of the philosophy of professional development as described in Article 10, Section 1, upon request, leave may be granted to any employee for the purpose of professional development. Such requests shall not be unreasonably denied.

- H. **Long Term Personal Leave.** Upon request, leave may be granted for a specific period of time, up to two (2) years in duration, to any employee, for the purpose of travel or personal reasons and shall not be unreasonably denied. No such leave shall be granted for the purpose of securing other employment, except as provided in this Article.

- I. **Extended Leave.** At the discretion of the Appointing Authority, an extended leave of absence of at least two (2) but no more than five (5) years may be granted to an employee with at least ten (10) years of State service in the bargaining unit since his/her last date of appointment. Such leave may be extended to no more than five (5) years with agreement of the Appointing Authority.

At the discretion of the Appointing Authority, an employee may return to employment before the expiration date of an extended leave provided that the employee notifies the Appointing Authority, in writing, of such intent six (6) months prior to the date of return or before the first of February for the next academic year.

At least sixty (60) days prior to the expected end of an extended leave, the Appointing Authority shall send a reminder to the employee requesting confirmation that the employee plans to return from leave as scheduled. If the employee does not provide such confirmation at least thirty (30) days prior to his/her expected return date, s/he may be considered to have resigned at the completion of the leave.

An employee may be granted only one leave pursuant to this section in his/her career with the State of Minnesota.

The time spent by an employee on an extended leave pursuant to this section shall not be included in step progression upon return from the leave. Any credits earned by an employee on an extended leave pursuant to this section shall not be applied toward the employee's lane placement for a length of time equal to the length of the leave.

For insurance purposes, an employee on an extended leave pursuant to this section, may continue participation in the group insurance plan found in Article 21, provided that the employee bears the full premium costs during the leave.

**Section 6. Cancellation of Leaves of Absence.** All discretionary leaves of absence designated in Section 5 shall be subject to the condition that the Appointing Authority may cancel the leave at any time and shall give written notice to the employee and the Association, specifying a reasonable date of termination of the leave.

**Section 7. Reinstatement from Leave of Absence.** An employee granted a leave of absence shall be returned to employment at the expiration of the leave unless the position occupied prior to such leave has been abolished and no person of less seniority is employed at the facility in the same classification at the date of expiration of the leave.

Subject to paragraph 1 above, with the exception of an extended leave, an employee may return to employment at any time prior to the expiration of the leave with the agreement of the Appointing Authority.

Subject to paragraph 1 above, the return of an employee to employment prior to the expiration date of an extended leave shall be governed by the conditions set forth in Section 5I of this Article.

The name of an employee who is laid off prior to expiration of a leave of absence because of abolition of the position as provided above shall be placed on the appropriate layoff list.

## **ARTICLE 18 - VACATION LEAVE**

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**Section 1. Allowances.** Employees on an unlimited appointment, except intermittents working less than sixty-seven (67) working days in any twelve (12) month period, shall accrue vacation pay according to the following rates:

### **Length of Service**

### **Rate Per Full Payroll Period**

0 through 5 years	4 working hours
After 5 through 8 years	5 working hours
After 8 through 12 years	7 working hours
After 12 through 18 years	7 1/2 working hours
After 18 through 25 years	8 working hours
After 25 through 30 years	8 1/2 working hours
After 30 years	9 working hours

For purposes of determining changes in an employee's accrual rate, Length of Service shall not include periods of suspension, or unpaid non-medical leaves of absence, that are more than one (1) full payroll period in duration. However, accrual rates shall not be adjusted for employees on military leave. Additionally, the Length of Service requirement shall only include an employee's service in a vacation eligible status. This method shall not be used to change any Length of Service determined prior to the effective date of this Agreement.

Length of service may also include time spent in other formal teaching positions as stated below:

An employee who is appointed to or occupies a position covered by this agreement within three (3) academic years of separation from formal teaching positions may, at the discretion of the Appointing Authority, transfer length of service credit from those positions for purposes of vacation accrual. Any increase in the vacation accrual rate which is the result of the Appointing Authority decision, shall commence the pay period after the date of the Appointing Authority decision. Determinations made under this provision are not arbitrable under this agreement.

An employee who is reinstated or reappointed to state service within one (1) year of the date of resignation or retirement shall accrue vacation leave at the same rate with the same credit for length of service that existed at the time of such separation.

In the case of employees who work a scheduled academic year that is less than a full calendar year, such academic year shall be considered a calendar year for the purpose of this Article.

Changes in accrual rates shall be made effective at the beginning of the next payroll period following completion of the specified Length of Service.

An employee shall not utilize vacation during his/her first six (6) months of continuous service. Upon completion of six (6) months continuous service, the employee shall then accrue his/her vacation beginning from his/her date of hire. However, an employee who is reinstated or reappointed to a position may use vacation in the first six (6) months of the appointment provided the employee completed six (6) months of continuous service in a vacation-eligible position, with the State of Minnesota, prior to the reinstatement or reappointment.

Employees being paid for less than a full eighty (80) hour pay period shall have their vacation accruals pro-rated as specified in Appendix A.

An employee receiving sick leave or vacation leave, or Workers' Compensation supplemented by either sick leave or vacation leave, shall accrue vacation leave pursuant to this section.

Employees may accumulate unused vacation leave to any amount, provided that once during each fiscal year each employee's accumulation must be reduced to a maximum of two hundred sixty (260) hours.

Employees on a military leave under Article 17 shall earn and accrue vacation leave as though actually employed, without regard to the maximum accumulation set forth above. Vacation earned in excess of two hundred sixty (260) hours shall be taken within two (2) years of the date the employee returns from military leave.

**Section 2. Vacation Period.** Reasonable effort shall be made by the Appointing Authority to schedule employee vacations at a time agreeable to the employee insofar as adequate scheduling of the unit permits. Whenever possible, vacation requests shall be submitted to the employee's supervisor at least four (4) weeks in advance. The supervisor shall respond to the employee in writing to all written requests within one (1) week.

If it becomes necessary to limit the number of employees off on vacation at the same time, the vacation schedule shall be established on the basis of seniority within subject matter specialty in the event of any conflict over vacation periods. Employees who fail to request their vacation leave at least four (4) weeks in advance shall not be granted their vacation by seniority consideration over less senior employees who had submitted their requests prior to the four (4) week deadline. Any employee who has not been offered reasonable opportunity, or who has not been permitted to reduce his/her vacation accumulation and who is about to lose vacation because he/she has or will reach the maximum accumulation of vacation leave, shall be entitled to take sufficient vacation to prevent such loss upon two (2) weeks advance notice to his/her supervisor. Nothing in this Section shall be construed to preclude employees from requesting and being granted vacation periods of one (1) day or less.

**Section 3. Vacation Usage.**

- A. In the case of employees who work a scheduled academic year that is less than a full calendar year, vacation accruals shall be used by the employee during official school breaks. With the approval of the Appointing Authority, vacation days may be scheduled for the aforementioned employees during the normal academic year where teacher/pupil contact time is required. Unused vacation accrual shall normally be paid in cash at the end of the academic school year unless a carry-over is mutually agreed to by the Appointing Authority and the employee.
- B. If an employee becomes ill or disabled while on vacation leave, such leave shall be changed to sick leave effective the date of the illness or disability, upon notice to the employee's Appointing Authority and provided the vacation leave is applicable to scheduled hours of work.
- C. Except for employees who separate from State service prior to the completion of six (6) months of continuous service, an employee who is separated from State service by layoff, resignation, death, or otherwise, shall be paid for the number of hours of unused vacation leave accumulated to the employee's credit up to a maximum of two hundred sixty (260) hours.
- D. An employee who is transferred or accepts employment under the jurisdiction of a new Appointing Authority, or in the unclassified service of the state, or an unclassified employee who transfers to the classified service, without interruption of service to the state shall be permitted to transfer accumulated unused vacation leave.

## ARTICLE 19 - SICK LEAVE

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**Section 1. Sick Leave Accrual.** Employees on an unlimited basis, except intermittents working less than sixty-seven (67) working days in any 12 month period, shall accrue sick leave at the rate of four (4) hours per pay period of continuous employment beginning with their date of hire.

Employees being paid for less than a full eighty (80) hour pay period shall have sick leave accruals pro-rated as specified in Appendix B.

Employees on a military leave under Article 17 shall earn and accrue sick leave as though actually employed, pursuant to M.S. 196.26.

**Section 2. Reinstatement of Sick Leave.** An eligible employee who is reinstated or reappointed to State service within four (4) years of the date of resignation in good standing, or retirement shall have his/her accumulated but unused sick leave balance restored and posted to his/her credit in the records of the Appointing Authority.

An employee who received severance pay prior to January 7, 1998, but returns to State service on or after January 7, 1998, and within four (4) years of the date of resignation in good standing or retirement, shall have his/her sick leave balance restored at sixty percent (60%) of the employee's first nine-hundred (900) hours of accumulated but unused sick leave, plus one hundred and fifty percent (150%) of the employee's accumulated but unused sick leave in excess of nine-hundred (900) hours.

An employee who receives severance pay on or after January 7, 1998, and returns to State service within four (4) years of the date of resignation in good standing or retirement, shall have his/her sick leave balance restored at sixty percent (60%) of the employee's first nine-hundred (900) hours of accumulated but unused sick leave, plus eighty-seven and one-half percent (87½%) of the employee's accumulated but unused sick leave in excess of nine-hundred (900) hours.

Upon request, employees of the Legislative Branch who transfer or who are appointed to the Executive Branch within four (4) years of the date of resignation in good standing or retirement shall have accumulated unused sick leave posted to the employee's credit.

**Section 3. Sick Leave Use.** Any employee, except intermittents, shall be granted sick leave with pay, not to exceed the employee's current sick leave accumulation, for absences necessitated by the following reasons:

**A. Employee.**

1. illness or medical disabilities including the period of time that a doctor certifies a female employee is unable to work because of pregnancy;
2. medical or dental care;
3. exposure to a contagious disease so that his/her attendance on duty may endanger the health of other employees or the public.

**B. Others.** Sick leave granted under paragraphs 1-3 below shall be for such reasonable periods as the employee's presence may be necessary.

1. illness of a spouse or same sex domestic partner, wards, parent or spouse's or same sex domestic partner's parent living in the household of the employee;
2. illness of a minor child whether or not the child lives in the household of the employee;
3. illness of a spouse or same sex domestic partner, wards, parent or spouse's or same sex domestic partner's parent not living in the same household, up to a cumulative maximum of five (5) working days per fiscal year.

- C. **Birth or Adoption.** Up to five (5) days of sick leave shall be granted for birth or adoption of a child. In the case of adoption, and at the sole discretion of the Appointing Authority, additional sick leave may be granted to acquire the child, but in no case may the total leave granted exceed seven (7) days.

**Section 4. Sick Leave Request.** Whenever possible, employees must submit their request for sick leave to their immediate supervisor in advance of the absence. When an employee cannot obtain advance approval of his/her absence, it shall be his/her responsibility to notify his/her supervisor by telephone or other means as soon as possible, after his/her normal reporting time. Supervisors shall be required to answer all requests for sick leave promptly.

Employees using leave under this Section shall furnish a statement from a medical practitioner upon the request of the Appointing Authority for absences in excess of three (3) work days, or when the Appointing Authority has a reasonable basis to believe that an employee has abused or is abusing sick leave.

The Appointing Authority may also request a statement from a medical practitioner if the Appointing Authority has reason to believe the employee is not physically fit to return to work or has been exposed to a contagious disease which endangers the health of other employees, clients or the public.

## **ARTICLE 20 - HOLIDAYS**

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**Section 1. Eligibility.** Employees on an unlimited appointment shall receive the following paid holidays. To be eligible, an employee must be in payroll status on the normal workday immediately preceding and the normal workday immediately following the holiday(s). However, unlimited intermittent employees must work the normal workday immediately before and immediately after the holiday. Employees who normally work less than full-time shall have their holiday pay prorated in accord with the schedule set forth in Appendix B1.

**Section 2. Designated Holidays.** Employees shall observe the actual holiday if it falls on a normally scheduled work day or on the scheduled work day closest to the actual holiday if it does not fall on a normally scheduled work day. The Appointing Authority may, with the agreement of the Local Association, designate substitute days for the observance of the asterisked (\*) holidays.

New Year's Day  
\*President's Birthday  
\*Memorial Day  
Independence Day  
\*Labor Day  
\*Veteran's Day  
Thanksgiving Day  
\*Day After Thanksgiving  
Christmas Day  
One Floating Holiday  
\*Martin Luther King's Birthday

Employees shall receive one (1) floating holiday each fiscal year of this Agreement. The employee must request the floating holiday at least fourteen (14) calendar days in advance. The Appointing Authority may limit the number of employees that may be absent on any given day subject to the operational needs of the Appointing Authority. The Appointing Authority shall make a reasonable effort to approve the requested holiday. Floating holidays may not be accumulated or paid off.



**Section 3. Religious Holidays.** Any employee who observes a religious holiday on a day which does not fall on a Sunday or a legal holiday shall be entitled to such time off. Time to observe religious holidays shall be taken without pay except where the employee has sufficient accumulated vacation leave or, by mutual consent, is able to make the time up. Employees shall notify the Appointing Authority at least five (5) working days prior to the leave.

## **ARTICLE 21 - INSURANCE**

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**Section 1. State Employee Group Insurance Program.** During the life of this Agreement, the Employer agrees to offer a Group Insurance Program that includes health, dental, life, and disability coverages equivalent to existing coverages, subject to the provisions of this Article.

All insurance eligible employees will be provided with a Summary Plan Description (SPD) called "Your Employee Benefits". Such SPD shall be provided no less than biennially and prior to the beginning of the insurance year. New insurance eligible employees shall receive a SPD within thirty (30) days of their date of eligibility.

**Section 2. Eligibility for Group Participation.** This section describes eligibility to participate in the Group Insurance Program.

- A. **Employees - Basic Eligibility.** Employees may participate in the Group Insurance Program if they are scheduled to work at least 1044 hours in any twelve consecutive months, except for: (1) emergency, or temporary, or intermittent employees; (2) student workers hired after July 1, 1979; and (3) interns.

An employee who is employed on the basis of an academic year and whose employment contemplates absences from the State payroll during the summer months and during break periods occurring at Christmas, New Year's and Easter, shall continue to be eligible for benefits provided he/she appears on the regular payroll for at least one working day for the payroll period immediately preceding such break periods.

- B. **Employees - Special Eligibility.** The following employees are also eligible to participate in the Group Insurance Program:

1. **DNR Employees.** An employee of the Department of Natural Resources may meet the basic eligibility requirement for participation in the Group Insurance Program based on a combination of seasonal and temporary project employment. Eligibility commences after completion of three (3) years of continuous service in which the basic eligibility requirements are met; continues until the employee completes a year in which the basic eligibility requirements are not met; and commences again after the employee meets or is anticipated to meet the basic eligibility requirements in one (1) year.
2. **Seasonal Employees, Pre-7/1/77.** A seasonal employee who was receiving an Employer Contribution prior to July 1, 1977, may continue to participate in the Group Insurance Program, provided he/she remains employed on the same basis as he/she was prior to July 1, 1977.
3. **Part-time and Seasonal Employees, Pre-4/1/67.** A part-time or seasonal employee in the classified service who was receiving an Employer Contribution for health coverage and basic life coverage prior to April 1, 1967, may continue to participate in the Group Insurance Program.

4. **Employees with a Work-related Injury/Disability.** An employee who was off the State payroll due to a work-related injury or a work-related disability may continue to participate in the Group Insurance Program as long as such an employee receives workers' compensation payments or while the workers' compensation claim is pending.
5. **Totally Disabled Employees.** Consistent with M.S. 62A.148, certain totally disabled employees may continue to participate in the Group Insurance Program.
6. **Retired Employees.** An employee who retires from State service, is not eligible for regular (non-disability) Medicare coverage, has five (5) or more years of allowable pension service, and is entitled at the time of retirement to immediately receive an annuity under a State retirement program, may continue to participate in the health and dental coverages offered through the Group Insurance Program.

Consistent with M.S. 43A.27, Subdivision 3, a retired employee of the State who receives an annuity under a State retirement program may continue to participate in the health and dental coverages offered through the Group Insurance Program. Retiree coverage must be coordinated with Medicare.

C. **Dependents.** Eligible dependents for the purposes of this Article are as follows:

1. **Spouse or Same Sex Domestic Partner.** The spouse or same sex domestic partner of an eligible employee (if not legally separated). For the purposes of health insurance coverage, if that spouse or same sex domestic partner works full-time for an organization employing more than one hundred (100) people and elects to receive either credits or cash (1) in place of health insurance or health coverage or (2) in addition to a health plan with a seven hundred and fifty dollar (\$750) or greater deductible through his/her employing organization, he/she is not eligible to be a covered dependent for the purposes of this Article. If both spouses or same sex domestic partners work for the State or another organization participating in the State's Group Insurance Program, neither spouse or same sex domestic partner may be covered as a dependent by the other, unless one spouse or same sex domestic partner is not eligible for a full Employer Contribution as defined in Section 3A. Same sex domestic partnership is defined in Appendix T.
2. **Children and Grandchildren.** An eligible employee's unmarried dependent children and unmarried dependent grandchildren: (1) through age eighteen (18); or (2) through age twenty-four (24) if the child or grandchild is a full-time student at an accredited educational institution; or (3) a handicapped child or grandchild, regardless of age or marital status who is incapable of self-sustaining employment by reason of mental retardation, mental illness or physical disability and is chiefly dependent on the employee for support. The handicapped dependent shall be eligible to continue coverage as long as s/he continues to be handicapped and dependent, unless coverage terminates under the contract. Children or grandchildren who become handicapped after they are no longer eligible dependents under (1) and (2) above may not be considered eligible dependents unless they are continuing coverage as a dependent through the employee's prior Employer.

"Dependent Child" includes an employee's: (1) biological child, (2) child legally adopted by or placed for adoption with the employee, (3) foster child, and (4) step-child. To be considered a dependent child, a foster child must be dependent on the employee for his/her principal support and maintenance and be placed by the court in the custody of the employee. To be considered a dependent child, a step child must maintain residence with the employee and be dependent upon the employee for his/her principal support and maintenance.

"Dependent Grandchild" includes an employee's: (1) grandchild placed in the legal custody of the employee, (2) grandchild legally adopted by the employee or placed for adoption with the employee, or (3) grandchild who is the dependent child of the employee's unmarried dependent child. Under (1) and (3) above, the grandchild must be dependent upon the employee for principal support and maintenance and live with the employee.

If both spouses or same sex domestic partners work for the State or another organization participating in the State's Group Insurance Program, either spouse or same sex domestic partner, but not both, may cover their eligible dependent children or grandchildren. This restriction also applies to two divorced, legally separated, or unmarried employees who share legal responsibility for their eligible dependent children or grandchildren.

D. **Continuation Coverage.** Consistent with state and federal laws, certain employees, former employees, dependents, and former dependents may continue group health, dental, and/or life coverage at their own expense for a fixed length of time. As of the date of this Agreement, state and federal laws allow certain group coverages to be continued if they would otherwise terminate due to:

- a. termination of employment (except for gross misconduct);
- b. layoff;
- c. reduction of hours to an ineligible status;
- d. dependent child becoming ineligible due to change in age, student status, marital status, or financial support (in the case of a foster child or stepchild);
- e. death of employee;
- f. divorce, legal separation or termination of same sex domestic partnership; or
- g. a covered employee's entitlement to or enrollment in Medicare.

**Section 3. Eligibility for Employer Contribution.** This section describes eligibility for an Employer Contribution toward the cost of coverage.

A. **Full Employer Contribution - Basic Eligibility.** The following employees covered by this Agreement receive the full Employer Contribution:

1. Employees who are scheduled to work at least forty (40) hours weekly for a period of nine (9) months or more in any twelve (12) consecutive months.
2. Employees who are scheduled to work at least sixty (60) hours per pay period for twelve (12) consecutive months, but excluding part-time or seasonal employees serving on less than a seventy-five (75) percent basis.
3. Employees of the Perpich Center for Arts Education, the Minnesota State Academy for the Deaf and the Minnesota State Academy for the Blind who work at least three-quarter (3/4) time for the nine month academic year.

B. **Partial Employer Contribution - Basic Eligibility.** The partial Employer Contribution for health and dental coverages is fifty percent (50%) of the full Employer Contribution.

1. **Part-time Employees.** Effective July 13, 1994, employees who hold part-time, unlimited appointments and who work at least fifty percent (50%) of the time but less than seventy-five percent (75%) of the time are eligible for partial Employer Contribution.
2. **Part-time Employees - Academic Year.** Effective July 13, 1994, employees who hold part-time unlimited appointments during an academic year schedule and who work at least fifty percent (50%) of the time but less than seventy-five percent (75%) of the time are eligible for the Employer Contribution.

C. **Participation Eligibility - No Employer Contribution.** Part-time employees who work less than fifty percent (50%) in an academic or calendar year schedule and were participating in the plan at their own expense on June 30, 1999, are allowed to continue participating in the plan. All other part-time employees working less than fifty percent (50%) in an academic or calendar year schedule are not eligible for participation in the plan.

D. **Special Eligibility.** The following employees also receive an Employer Contribution:

1. **DNR Employees.** An employee of the Department of Natural Resources may meet the basic requirements for a full or partial Employer Contribution based on a combination of seasonal and temporary project employment, as described in Section 2B1.
2. **Seasonal Employees, Pre-7/1/77.** A seasonal employee who was receiving an Employer Contribution prior to July 1, 1977 remains eligible for that contribution, provided he/she remains employed on the same basis as he/she was prior to July 1, 1977.
3. **Part-time and Seasonal Employees, Pre-4/1/67.** A part-time or seasonal employee in the classified service who was receiving an Employer Contribution for health coverage and basic life coverage prior to April 1, 1967, remains eligible for that contribution. This exception does not affect eligibility for an Employer Contribution for dental coverage.
4. **Employees on Layoff.** A classified employee who receives an Employer Contribution, who has three (3) or more years of continuous service, and who has been laid off, remains eligible for an Employer Contribution and all other benefits provided under this Article for an extended benefit eligibility period of six (6) months from the date of layoff. Rehire to a non-certified or temporary position will not extend this period.
5. **Work-related Injury/Disability.** An employee who receives an Employer Contribution and who is off the State payroll due to a work-related injury or a work-related disability remains eligible for an Employer Contribution as long as such an employee receives workers' compensation payments. If such employee ceases to receive workers' compensation payments for the injury or disability and is granted a medical leave under Article 10, he/she shall be eligible for an Employer contribution during that leave.
6. **Corrections Early Retirement Incentive.** Effective March 1, 2002, please refer to Article 22.
  - a. Any employee who attains the age of fifty-five (55) after the effective date and before the expiration date of the contract and who is covered by the Correctional Early Retirement Plan may opt during the pay period in which his/her fifty-fifth (55th) birthday occurs to take advantage of the early retirement incentive.

Employees exercising this option must be eligible for insurance coverage under the provisions of this Article and shall be provided with health and dental insurance which the employee was entitled to at the time of retirement, subject to any changes in coverage in accordance with this or any subsequent Agreement. Employees eligible to receive an Employer Contribution for medical and dental coverage immediately prior to taking advantage of the early retirement incentive shall continue to receive an Employer Contribution as set forth below for themselves and their enrolled dependents until the employee attains the age of sixty-five (65). An employee who retires with no Employer Contribution for dependent coverage or who terminates dependent coverage following retirement, shall not subsequently be eligible for a contribution for dependent coverage. Receipt of early retirement insurance benefits is contingent-upon completion of all the required forms and continued payment of the required premium.

- b. Any employee who attains the age of fifty (50) after the effective date and before the expiration date of the contract and who is covered by the Correctional Pre Fifty-five (55) Early Retirement Plan may opt during any pay period between that in which his/her fiftieth (50th) birthday occurs through the pay period in which his/her fifty-fifth (55th) birthday occurs, to take advantage of the pre fifty-five (55) early retirement incentive.

Employees exercising this option must be eligible for insurance coverage under the provisions of this Article and shall be provided with health and dental insurance which the employee was entitled to at the time of retirement, subject to any changes in coverage in accordance with this or any subsequent Agreement. Employees eligible to receive an Employer Contribution for medical and dental coverage immediately prior to taking advantage of the early retirement incentive shall continue to receive an Employer Contribution as set forth below for themselves and their enrolled dependents until the employee attains the age of sixty-five (65). An employee who retires with no Employer Contribution for dependent coverage or who terminates dependent coverage following retirement, shall not subsequently be eligible for a contribution for dependent coverage. Receipt of pre fifty-five (55) early retirement insurance benefits is contingent upon completion of all the required forms and continued payment of the required premium.

Notwithstanding any changes in coverage in accordance with this or any subsequent Agreement, the Employer Contribution shall be equal to one hundred twenty (120) times the amount of the monthly Employer Contribution applicable to that employee at the time of his/her retirement, divided by the number of months until the employee attains the age of sixty-five (65).

#### **E. Maintaining Eligibility for Employer Contribution.**

1. **General.** An employee who receives a full or partial Employer Contribution maintains that eligibility as long as the employee meets the Employer Contribution eligibility requirements, and appears on a State payroll for at least one (1) full working day during each payroll period. This requirement does not apply to employees who receive an Employer Contribution while on layoff as described in Section 3D4, or while eligible for workers' compensation payments as described in Section 3D5.
2. **Unpaid Leave of Absence.** If an employee is on an unpaid leave of absence, then vacation leave, compensatory time, or sick leave cannot be used for the purpose of maintaining eligibility for an Employer Contribution by keeping the employee on a State payroll for one (1) working day per pay period.
3. **School Year Employment.** If an employee is employed on the basis of a school year and such employment contemplates absences from the State payroll during the summer months or vacation periods scheduled by the Appointing Authority which occur during the regular school year, the employee shall nonetheless remain eligible for an Employer Contribution, provided that the employee appears on the regular payroll for at least one (1) working day in the payroll period immediately preceding such absences.
4. An employee who is on an approved FMLA leave or on a Voluntary Reduction in Hours as provided elsewhere in this Agreement maintains eligibility for an Employer Contribution.

**Section 4. Amount of Employer Contribution.** For employees eligible for an Employer Contribution as described in Section 3, the amount of the Employer Contribution will be determined as follows beginning on January 2, 2002. The Employer Contribution amounts and rules in effect on June 30, 2001 will continue through January 1, 2002.

**A. Contribution Formula - Health Coverage.**

1. **Employee Coverage.** For employee health coverage, the Employer contributes an amount equal to one hundred (100) percent of the employee-only premium of the Minnesota Advantage Health Plan (Advantage).
2. **Dependent Coverage.** For dependent health coverage, the Employer contributes an amount equal to the lesser of one hundred (100) percent of the dependent premium of Advantage.

**B. Contribution Formula - Dental Coverage.**

1. **Employee Coverage.** For employee dental coverage, the Employer contributes an amount equal to the lesser of one hundred (100) percent of the employee premium of the State Dental Plan, or the actual employee premium of the dental plan chosen by the employee.
2. **Dependent Coverage.** For dependent dental coverage, the Employer contributes an amount equal to the lesser of fifty (50) percent of the dependent premium of the State Dental Plan, or the actual dependent premium of the dental plan chosen by the employee.

- C. Contribution Formula - Basic Life Coverage.** For employee basic life coverage and accidental death and dismemberment coverage, the Employer contributes one-hundred (100) percent of the cost.

**Section 5. Coverage Changes and Effective Dates.**

- A. When Coverage May Be Chosen.** All employees must make their choice of employee health and dental plans and choice of dependent coverage (if applicable) within sixty (60) calendar days of the date of initial appointment to an insurance eligible position. When health and dental coverage are elected, the employee will automatically be enrolled in basic life coverage. Employees eligible for a partial employer contribution may elect health and dental coverage within sixty (60) calendar days of initial employment or during an open enrollment period. Employees who become eligible for a full employer contribution must make their choice of employee health and dental plans and dependent coverage within sixty (60) calendar days of becoming eligible. If employees do not choose a health plan administrator and a primary care clinic within this sixty (60) day timeframe, they will be enrolled in a Benefit Level One clinic that meets established access standards in the health plan with the largest number of Benefit Level One clinics in the county of the employee's residence at the beginning of the insurance year. If employees do not choose a dental plan within this sixty (60) day timeframe, they will be enrolled in the State Dental Plan.

An employee may change his/her health or dental plan if the employee changes to a new permanent work or residence location, and the employee's current plan is no longer available. If the employee has family coverage and if the new residence location is outside the current plan's service area, the employee shall be permitted to switch to a new plan administrator and new Benefit Level within thirty (30) days of the residence location change. The election change must be due to and correspond with the change in status. An employee who receives notification of a work location change between the end of an open enrollment period and the beginning of the next insurance year, may change his/her health or dental plan within thirty (30) days of the date of the relocation under the same provisions accorded during the last open enrollment period. An employee or retiree may also change health or dental plans in any other situation in which the Employer is required by the applicable federal or state law to allow a plan change.

**B. When Coverage May be Changed or Cancelled.**

1. **Changes Due to a Life Event.** After the initial enrollment period and outside of any open enrollment period, an employee may elect to change health or dental coverage (including adding or canceling coverage) and any applicable employee contributions in the following situations (as long as allowed under the applicable provisions, regulations, and rules of the federal and state law in effect at the beginning of the plan year).

The request to change coverage must be consistent with a change in status that qualifies as a life event, and does not include changing health or dental plans, which may only be done under the terms of Section 5A above. Any election to add coverage must be made within thirty (30) days following the event, and any election to cancel coverage must be made within sixty (60) days following the event. (An employee and a retired employee may add dependent health or dental coverage following the birth of a child or dependent grandchild, or following the adoption of a child, without regard to the thirty (30) day limit.) These life events (for both employees and retirees) are:

- a. A change in legal marital status, including marriage, death of a spouse, divorce, legal separation and annulment; a change in same sex domestic partnership status as defined in Appendix T, including beginning or terminating a same sex domestic partnership, or the death of a same sex domestic partner.
  - b. A change in number of dependents, including birth, death, adoption, and placement for adoption.
  - c. A change in employment status of the employee, or the employee's or retiree's spouse, same sex domestic partner or dependent, including termination or commencement of employment, a strike or lockout, a commencement of or return from an unpaid leave of absence, a change in worksite, and a change in working conditions of the employee, the employee's or retiree's spouse or same sex domestic partner or dependent which results in a change in the benefits they receive under a cafeteria plan or a health or dental plan.
  - d. A dependent ceasing to satisfy eligibility requirements for coverage due to attainment of age, student status, or other similar circumstances.
  - e. A change in the place of residence of the employee, retiree or their spouse, same sex domestic partner, or dependent.
  - f. Significant cost or coverage changes (including coverage curtailment and the addition of a benefit package).
  - g. A change in coverage of a spouse, same sex domestic partner, or dependent under another Employer's plan.
  - h. Entitlement to Medicare or Medicaid.
  - i. Any other situations in which the group health or dental plan is required by the applicable federal or state law to allow a change in coverage.
2. **Cancelling Dependent Coverage During Open Enrollment.** In addition to the above situations, dependent health or dependent dental coverage may also be cancelled for any reason during the open enrollment period that applies to each type of plan (as long as allowed under the applicable provisions, regulations and rules of the federal and state law in effect at the beginning of the plan year).

3. **C canceling Employee Coverage.** A part-time employee may also cancel employee coverage within sixty (60) days of when one of the life events set forth above occurs.
4. **Effective Date of Benefit Termination.** Medical coverage termination will take effect on the first of the month following the end of the pay period coinciding with or next following the date of the application to cancel coverage, or the loss of eligible employee or dependent status. All other benefit coverage terminations will take effect on the first day of the pay period coinciding with or next following the date of the application to cancel coverage, or the loss of eligible employee or dependent status.

C. **Effective Date of Coverage.**

1. **Initial Effective Date.** The initial effective date of coverage under the Group Insurance Program is the first day of the first payroll period beginning on or after the 28th calendar day following the employee's first day of employment, re-employment, re-hire, or reinstatement with the State. An employee must be actively at work on the initial effective date of coverage, except that an employee who is on paid leave on the date State-paid life insurance benefits increase is also entitled to the increased life insurance coverage. In no event shall an employee's dependent's coverage become effective before the employee's coverage.

If an employee is not actively at work due to employee or dependent health status or medical disability, medical and dental coverage will still take effect. (Life and disability coverage will be delayed until the employee returns to work.)

2. **Delay in Coverage Effective Date.**

- a. **Basic Life.** If an employee is not actively at work on the initial effective date of coverage, coverage will be delayed until the first day of the pay period coinciding with or next following the employee's return to work. The effective date of a change in coverage is not delayed in the event that, on the date the coverage change would be effective, an employee is on an unpaid leave of absence or layoff.
- b. **Medical and Dental.** If an employee is not actively at work on the initial effective date of coverage due to a reason other than hospitalization or medical disability of the employee or dependent, medical and dental coverage will be delayed until the first day of the pay period coinciding with or next following the employee's return to work.

The effective date of a change in coverage is not delayed in the event that, on the date the coverage change would be effective, an employee is on an unpaid leave of absence or layoff.

- c. **Optional Life and Disability Coverages.** In order for coverage to become effective, the employee must be in active payroll status and not using sick leave on the first day of the pay period coinciding with or next following approval by the insurance company. If it is an open enrollment period, coverage may be applied for but will not become effective until the first day of the pay period coinciding with or next following the employee's return to work.



#### **D. Open Enrollment.**

1. **Frequency and Duration.** There shall be an open enrollment period for health coverage in each year of this Agreement, and for dental coverage in the first year of this Agreement. Open enrollment periods shall last a minimum of fourteen (14) calendar days in the first year of this Agreement and thirty (30) calendar days in the second year of this Agreement. Open enrollment changes become effective on January 2, 2002 in the first year of this Agreement, and on January 1, 2003 in the second year of this Agreement.
  2. **Eligibility to Participate.** An employee eligible to participate in the State Employee Group Insurance Program, as described in Sections 2A and 2B, may participate in open enrollment. In addition, a person in the following categories may, as allowed in section 5D1 above, make certain changes: (1) a former employee or dependent on continuation coverage, as described in Section 2D, may change plans or add coverage for health and/or dental plans on the same basis as active employees; and (2) an early retiree, prior to becoming eligible for Medicare, may change health and/or dental plans as agreed to for active employees, but may not add dependent coverage.
  3. **Materials for Employee Choice.** Each year prior to open enrollment, the Appointing Authority will give eligible employees the information necessary to make open enrollment selections. Employees will be provided a statement of their current coverage each year of the contract.
- E. **Coverage Selection Prior to Retirement.** An employee who retires and is eligible to continue insurance coverage as a retiree may change his/her health or dental plan during the sixty (60) calendar day period immediately preceding the date of retirement. The employee may not add dependent coverage during this period. The change takes effect on the first day of the first pay period beginning after the date of retirement.

#### **Section 6. Basic Coverages.**

##### **A. Employee and Family Health Coverage.**

1. **Minnesota Advantage Health Plan (Advantage).** The health coverage portion of the State Employee Group Insurance Program is provided through the Minnesota Advantage Health Plan (Advantage), a self-insured health plan offering three (3) Benefit Level options. Provider networks and claim administration are provided by multiple plan administrators. Coverage offered through Advantage is determined by Section 6A2.
2. **Coverage Under the Minnesota Advantage Health Plan.** From July 1, 2001 through January 1, 2002, health coverage under the SEGIP will continue at the level in effect on June 30, 2001. Effective January 2, 2002, Advantage will cover eligible services subject to the copayments, deductibles and coinsurance coverage limits stated. Services provided through Advantage are subject to the managed care procedures and principles, including standards of medical necessity and appropriate practice, of the plan administrators. Coverage details are provided in the Advantage Summary of Benefits.
  - a. **Benefit Options.** Employees must elect a plan administrator and primary care clinic. Those elections will determine the Benefit Level through Advantage. Enrolled dependents must elect a primary care clinic that is available through the plan administrator chosen by the employee.

- 1) **Plan Administrator.** Employees must elect a plan administrator during their initial enrollment in Advantage and may change their plan administrator election only during the annual open enrollment and when permitted under Section 5. Dependents must be enrolled through the same plan administrator as the employee.
- 2) **Benefit Level.** The primary care clinics available through each plan administrator are assigned a Benefit Level. The Benefit Levels are outlined in the benefit chart below. Primary care clinics may be in different Benefit Levels for different plan administrators. Family members may be enrolled in clinics that are in different Benefits Levels. Employees and their dependents may change to clinics in different Benefit Levels during the annual open enrollment. Employees and their dependents may also elect to move to a clinic in a different Benefit Level within the same plan administrator up to two (2) additional times during the plan year. Unless the individual has a referral from his/her primary care clinic, there are no benefits for services received from providers in Benefit Levels that are different from that of the primary care clinic in which the individual has enrolled.
- 3) **Primary Care Clinic.** Employees and each of their covered dependents must individually elect a primary care clinic within the network of providers offered by the plan administrator chosen by the employee. Employees and their dependents may elect to change clinics within their clinic's Benefit Level as often as the plan administrator permits and as outlined above.
- 4) **Advantage Benefit Chart for Services Incurred During Plan Year 2002.**

<u>2002 Benefit Provision</u>	<u>Benefit Level 1</u>	<u>Benefit Level 2</u>	<u>Benefit Level 3</u>
Office visit copay (copay waived for preventive services)	\$5	\$10	\$20
Emergency room copay	\$50	\$50	\$50
Facility copays			
• Per inpatient admission	\$0	\$200	\$400
• Per outpatient surgery	\$0	\$75	\$150
Deductible for services <u>NOT</u> subject to copays (S/F)	\$100 single \$200 family	\$150 single \$300 family	\$300 single \$600 family
Coinsurance for services <u>NOT</u> subject to copays	0% (100% coverage after payment of deductible)	5% (95% coverage after payment of deductible)	10% (90% coverage after payment of deductible)
Coinsurance for durable medical equipment	80%	80%	80%
Copay for Formulary/non-formulary prescription drug plan	\$12 formulary \$25 non-formulary	\$12 formulary \$25 non-formulary	\$12 formulary \$25 non-formulary
Maximum drug out-of-pocket limit (S/F)	\$300 single \$600 family	\$300 single \$600 family	\$300 single \$600 family
Maximum non-drug out-of-pocket limit (S/F)	\$500 single \$1000 family	\$500 single \$1000 family	\$500 single \$1000 family

5) **Advantage Benefit Chart for Services Incurred During Plan Year 2003.**

<u>2003 Benefit Provision</u>	<u>Benefit Level 1</u>	<u>Benefit Level 2</u>	<u>Benefit Level 3</u>
Office visit copay (copay waived for preventive services)	\$5	\$10	\$20
Emergency room copay	\$50	\$50	\$50
Facility copays			
• Per inpatient admission	\$0	\$200	\$400
• Per outpatient surgery	\$0	\$75	\$150
Deductible for services <u>NOT</u> subject to copays (S/F)	\$100 single \$200 family	\$150 single \$300 family	\$300 single \$600 family
Coinsurance for services <u>NOT</u> subject to copays	0% (100% coverage after payment of deductible)	5% (95% coverage after payment of deductible)	10% (90% coverage after payment of deductible)
Coinsurance for durable medical equipment	80%	80%	80%
Copay for Formulary/non-formulary prescription drug plan	\$12 formulary \$25 non-formulary	\$12 formulary \$25 non-formulary	\$12 formulary \$25 non-formulary
Maximum drug out-of-pocket limit (S/F)	\$300 single \$600 family	\$300 single \$600 family	\$300 single \$600 family
Maximum non-drug out-of-pocket limit (S/F)	\$800 single \$1600 family	\$800 single \$1600 family	\$800 single \$1600 family

- b. **Services received from, or authorized by, a primary care physician within the primary care clinic.** Under Advantage, the health care services outlined in the benefits charts above shall be received from, or authorized by a primary care physician within the primary care clinic. Preventive care, as outlined in the Summary of Benefits, is covered at one hundred (100) percent for services received from or authorized by the primary care clinic. The primary care clinic shall be selected from approved clinics in accordance with the Advantage administrative procedures. Unless otherwise specified in 6A2, services not received from, or authorized by, a primary care physician within the primary care clinic may not be covered. Unless the individual has a referral from his/her primary care clinic, there are no benefits for services received from providers in Benefit Levels that are different from that of the primary care clinic in which the individual has enrolled.
- c. **Services not requiring authorization by a primary care physician within the primary care clinic.**
- 1) **Eye Exams.** Limited to one (1) routine examination per year for which no copay applies.
  - 2) **Outpatient emergency and urgicenter services within the service area.** The emergency room copay applies to all outpatient emergency visits that do not result in hospital admission within twenty-four (24) hours. The urgicenter copay is the same as the primary care clinic office visit copay.

- 3) **Emergency and urgently needed care outside the service area.** Professional services of a physician, emergency room treatment, and inpatient hospital services are covered at eighty percent (80%) of the first two thousand dollars (\$2,000) of the charges incurred per insurance year, and one-hundred percent (100%) thereafter. The maximum eligible out-of-pocket expense per individual per year for this benefit is four hundred dollars (\$400). This benefit is not available when the member's condition permits him or her to receive care within the network of the plan in which the individual is enrolled.

- 4) **Ambulance.** The deductible and coinsurance for services not subject to copays applies.

d. **Prescription drugs.**

1) **Copayments and annual out-of-pocket maximums.**

For each year of the contract:

**Formulary copayment:** Twelve dollar (\$12) copayment per prescription or refill for a formulary drug dispensed in a thirty-four (34) day supply.

**Non-formulary copayment:** Twenty-five dollar (\$25) copayment per prescription or refill for a non-formulary drug dispensed in a thirty-four (34) day supply.

**Out-of-pocket maximum:** There is an annual maximum eligible out-of-pocket expense limit for prescription drugs of three hundred dollar (\$300) per person or six hundred (\$600) per family.

- 2) **Insulin.** Insulin will be treated as a prescription drug subject to a separate copay for each type prescribed.

- 3) **Brand Name Drugs.** If the subscriber chooses a brand name drug when a bioequivalent generic drug is available, the subscriber is required to pay the standard copayment plus the difference between the cost of the brand name drug and the generic. Amounts above the copay that an individual elects to pay for a brand name instead of a generic drug will not be credited toward the out-of-pocket maximum.

- 4) **Special Coverage for "Grandfathered Diabetic Group".** For insulin dependent diabetics who have been continuously enrolled for health coverage insured or administered by Blue Cross Blue Shield through the SEGIP since January 1, 1991 and who were identified as having used these supplies during the period January 1, 1991 through September 30, 1991 (herein the "Grandfathered Diabetic Group"), diabetic supplies are covered as follows:

- Test tapes and syringes are covered at one hundred (100) percent for the greater of a thirty four (34) day supply or one hundred (100) units when purchased with insulin.

- e. **Special Service networks.** The following services must be received from special service network providers in order to be covered. All terms and conditions outlined in the Summary of Benefits apply.

- 1) Mental health services – inpatient or outpatient.
  - 2) Chemical dependency services – inpatient and outpatient.
  - 3) Chiropractic services.
  - 4) Transplant coverage.
  - 5) Cardiac services.
  - 6) Home infusion therapy.
  - 7) Hospice.
- f. **Out-of-area (and State) services provided by the plan administrator's national preferred provider organization.** Except as outlined in paragraph "g" below, coverage under this paragraph is only available to individuals whose permanent residence is outside the State of Minnesota and outside of the service areas of the health plans participating in Advantage. Eligible individuals who use the plan administrator's national preferred provider organization will be covered at Benefit Level One benefits. All terms and conditions outlined in the Summary of Benefits apply.
- g. **Covered children living with former spouses out of area.** Covered children living with former spouses outside the service area of the employee's plan administrator will be covered at Benefit Level One benefits. If available, services must be provided by providers in the plan administrator's national preferred provider organization.
- h. **Out-of-area (and State) services through out-of-network providers.** Coverage under this paragraph is available only to individuals whose permanent residence is outside the State of Minnesota and outside of the service areas of the health plans participating in Advantage. All terms and conditions outlined in the Summary of Benefits apply.
- 1) **Deductible.** There is a three hundred fifty dollar (\$350) annual deductible per person, with a maximum deductible per family per year of seven hundred dollars (\$700).
  - 2) **Coinsurance.** After the deductible is satisfied, seventy percent (70%) coverage up to the plan out-of-pocket maximum designated below.
- i. **Lifetime maximums and non-prescription out-of-pocket maximums.** Coverage under Advantage is not subject to a per person lifetime maximum.
- 1) **Plan Year 2002.** Coverage under Advantage is subject to a plan year, non-prescription drug, out-of-pocket maximum of five hundred dollars (\$500) per person, or one thousand dollars (\$1000) per family.
  - 2) **Plan Year 2003.** Coverage under Advantage is subject to a plan year, non-prescription drug, out-of-pocket maximum of eight hundred dollars (\$800) per person, or one thousand six hundred dollars (\$1,600) per family.
3. **Benefit Level One Health Care Network Determination.** Issues regarding the health care networks for the 2003 insurance year shall be negotiated in accordance with the following procedures:

- a. At least twelve (12) weeks prior to the open enrollment period for the 2003 insurance year the Employer shall meet and confer with the Joint Labor/Management Committee on Health Plans in an attempt to reach agreement on the Benefit Level One health care networks.
- b. If no agreement is reached within five (5) working days, the Employer and the Joint Labor/Management Committee on behalf of all of the exclusive representatives shall submit a list of providers/provider groups in dispute to a mutually agreed upon neutral expert in health care delivery systems for final and binding resolution. The only providers/provider groups that may be submitted for resolution by this process are those for which, since the list for the 2002 insurance year was established, Benefit Level One access has changed, or those that are intended to address specific problems caused by a reduction in Benefit Level One access.

Absent agreement on a neutral expert, the parties shall select an arbitrator from a list of five (5) arbitrators supplied by the Bureau of Mediation Services. The parties shall flip a coin to determine who strikes first. One-half (1/2) of the fees and expenses of the neutral shall be paid by the Employer and one-half (1/2) by the Exclusive Representatives. The parties shall select a neutral within five (5) working days after no agreement is reached, and a hearing shall be held within fourteen (14) working days of the selection of the neutral.

- c. The decision of the neutral shall be issued within two (2) working days after the hearing.
4. **Coordination with Workers' Compensation.** When an employee has incurred an on-the-job injury or an on-the-job disability and has filed a claim for workers' compensation, medical costs connected with the injury or disability shall be paid by the employee's health plan, pursuant to M.S. 176.191, Subdivision 3.
  5. **Health Promotion and Health Education.** Both parties to this Agreement recognize the value and importance of health promotion and health education programs. Such programs can assist employees and their dependents to maintain and enhance their health, and to make appropriate use of the health care system. To work toward these goals:
    - a. **Develop programs.** The Employer will develop and implement health promotion and health education programs, subject to the availability of resources. Each Appointing Authority will develop a health promotion and health education program consistent with the Department of Employee Relations policy. Upon request of any exclusive representative in an agency, the Appointing Authority shall jointly meet and confer with the exclusive representative(s) and may include other interested exclusive representatives. Agenda items shall include but are not limited to smoking cessation, weight loss, stress management, health education/self-care, and education on related benefits provided through the State Health Plan and HMO plans.
    - b. **Health plan specification.** The Employer will require health plans participating in the Group Insurance Program to develop and implement health promotion and health education programs for State employees and their dependents.

- c. **Employee participation.** The Employer will assist employees' participation in health promotion and health education programs. Health promotion and health education programs that have been endorsed by the Employer (Department of Employee Relations) will be considered to be non-assigned job-related training pursuant to Administrative Procedure 21. Approval for this training is at the discretion of the Appointing Authority and is contingent upon meeting staffing needs in the employee's absence and the availability of funds. Employees are eligible for release time, tuition reimbursement, or a pro rata combination of both. Employees may be reimbursed for up to one hundred (100) percent of tuition or registration costs upon successful completion of the program. Employees may be granted release time, including the travel time, in lieu of reimbursement.
- d. **Health Promotion Incentives.** The Joint Labor-Management Committee on Health Plans shall develop a program which provides incentives for employees who participate in a health promotion program. The health promotion program shall emphasize the adoption and maintenance of more healthy lifestyle behaviors and shall encourage wiser usage of the health care system.

**B. Employee and Family Dental Coverage.**

- 1. **Coverage Options.** Eligible employees may select coverage under any one of the dental plans offered by the Employer, including health maintenance organization plans, the State Dental Plan, or other dental plans. Coverage offered through health maintenance organization plans is subject to change during the life of this Agreement upon action of the health maintenance organization and approval of the Employer after consultation with the Joint Labor/Management Committee on Health Plans. However, actuarial reductions in the level of HMO coverages effective during the term of this Agreement, including increases in copayments, require approval of the Joint Labor/Management Committee on Health Plans. Coverage offered through the State Dental Plan is determined by Section 6B2.
- 2. **Coverage Under the State Dental Plan.** The State Dental Plan will provide the following coverage:
  - a. **Copayments.** Effective January 2, 2002, the State Dental Plan will cover allowable charges for the following services subject to the copayments and coverage limits stated. Higher out-of-pocket costs apply to services obtained from dental care providers not in the State Dental Plan network. Services provided through the State Dental Plan are subject to the State Dental Plan's managed care procedures and principles, including standards of dental necessity and appropriate practice. The plan shall cover general cleaning two (2) times per plan year and special cleanings (root or deep cleaning) as prescribed by the dentist.

<u>Service</u>	<u>In-Network</u>	<u>Out-of-Network</u>
Diagnostic/Preventive	100%	50%
Fillings	80%	50%
Endodontics	80%	50%
Periodontics	80%	50%
Oral Surgery	80%	50%
Crowns	80%	50%
Prosthetics	50%	None
Prosthetic Repairs	50%	None
Orthodontics*	80%	50%

\*Please refer to your certificate of coverage for information regarding age limitations for dependent orthodontic care.

- b. **Deductible.** An annual deductible of one hundred twenty-five dollars (\$125) per person applies to State Dental Plan services received from out of network providers. The deductible must be satisfied before coverage begins.
- c. **Annual maximums.** State Dental Plan coverage is subject to a one thousand dollar (\$1,000) annual maximum benefit payable (excluding orthodontia) per person. "Annual" means per insurance year.
- d. **Orthodontia lifetime maximum.** Orthodontia benefits are available to eligible dependent children ages 8 through 18 subject to a two thousand eight hundred dollar (\$2,800) lifetime maximum benefit.

#### C. **Employee Life Coverage.**

- 1. **Basic Life and Accidental Death and Dismemberment Coverage.** The Employer agrees to provide and pay for the following term life coverage and accidental death and dismemberment coverage for all employees eligible for an Employer Contribution, as described in Section 3. Any premium paid by the State in excess of fifty thousand dollars (\$50,000) coverage is subject to a tax liability in accord with Internal Revenue Service regulations. An employee may decline coverage in excess of fifty thousand dollars (\$50,000) by filing a waiver in accord with Department of Finance procedures. The basic life insurance policy will include an accelerated benefits agreement providing for payment of benefits prior to death if the insured has a terminal condition.



<u>Employee's Annual Base Salary</u>	<u>Group Life Insurance Coverage</u>	<u>Accidental Death and Dismemberment Principal Sum</u>
\$10,000 - \$15,000	\$15,000	\$15,000
\$15,001 - \$20,000	\$20,000	\$20,000
\$20,001 - \$25,000	\$25,000	\$25,000
\$25,001 - \$30,000	\$30,000	\$30,000
\$30,001 - \$35,000	\$35,000	\$35,000
\$35,001 - \$40,000	\$40,000	\$40,000
\$40,001 - \$45,000	\$45,000	\$45,000
\$45,001 - \$50,000	\$50,000	\$50,000
\$50,001 - \$55,000	\$55,000	\$55,000
\$55,001 - \$60,000	\$60,000	\$60,000
\$60,001 - \$65,000	\$65,000	\$65,000
\$65,001 - \$70,000	\$70,000	\$70,000
Over \$70,000	\$75,000	\$75,000

2. **Extended Benefits.** An employee who becomes totally disabled before age 70 shall be eligible for the extended benefit provisions of the life insurance policy until age 70. Employees who were disabled prior to July 1, 1983 and who have continuously received benefits shall continue to receive such benefits under the terms of the policy in effect prior to July 1, 1983.

## **Section 7. Optional Coverages.**

### **A. Life Coverage.**

1. **Employee.** An employee may purchase up to five hundred thousand dollars (\$500,000) additional life insurance, in increments established by the Employer, subject to satisfactory evidence of insurability. A new employee may purchase up to two (2) times annual salary or \$200,000, whichever is less, in optional employee life coverage within sixty (60) calendar days of hire without evidence of insurability.
2. **Spouse or Same Sex Domestic Partner.** An employee may purchase up to five hundred thousand dollars (\$500,000) life insurance coverage for his/her spouse or same sex domestic partner in increments established by the Employer, subject to satisfactory evidence of insurability. A new employee may purchase either five thousand dollars (\$5,000) or ten thousand dollars (\$10,000) in optional spouse or same sex domestic partner life coverage within sixty (60) calendar days of hire without evidence of insurability.
3. **Children/Grandchildren.** An employee may purchase life insurance in the amount of ten thousand dollars (\$10,000) as a package for all eligible children/grandchildren (as defined in Section 2C of this Article). Child/grandchild coverage requires evidence of insurability if application is made after the first sixty (60) calendar days of employment. Child/grandchild coverage commences fourteen (14) calendar days after birth.
4. **Accelerated Life.** The additional employee, spouse or same sex domestic partner and child life insurance policies will include an accelerated benefits agreement providing for payment of benefits prior to death if the insured has a terminal condition.
5. **Waiver of Premium.** In the event an employee becomes totally disabled before age seventy (70), there shall be a waiver of premium for all life insurance coverage that the employee had at the time of disability.

6. **Paid Up Life Policy.** At age sixty-five (65) or the date of retirement, an employee who has carried optional employee life insurance for the five (5) consecutive years immediately preceding the date of the employee's retirement or age sixty-five (65), whichever is later, shall receive a post-retirement paid-up life insurance policy in an amount equal to fifteen (15) percent of the smallest amount of optional employee life insurance in force during that five (5) year period. The employee's post-retirement death benefit shall be effective as of the date of the employee's retirement or the employee age sixty-five (65), whichever is later. Employees who retire prior to age sixty-five (65) must be immediately eligible to receive a state retirement annuity and must continue their optional employee life insurance to age sixty-five (65) in order to remain eligible for the employee post-retirement death benefit.

An employee who has carried optional spouse or same sex domestic partner life insurance for the five (5) consecutive years immediately preceding the date of the employee's retirement or spouse or same sex domestic partner age sixty-five (65), whichever is later, shall receive a post-retirement paid-up life insurance policy in an amount equal to fifteen (15) percent of the smallest amount of optional spouse or same sex domestic partner life insurance in force during that five (5) year period. The spouse or same sex domestic partner post-retirement death benefit shall be effective as of the date of the employee's retirement or spouse or same sex domestic partner age sixty-five (65), whichever is later. The employee must continue the full amount of optional spouse or same sex domestic partner life insurance to the date of the employee's retirement or spouse or same sex domestic partner age sixty-five (65), whichever is later, in order to remain eligible for the spouse or same sex domestic partner post-retirement death benefit.

Each policy remains separate and distinct, and amounts may not be combined for the purpose of increasing the amount of a single policy.

#### **B. Disability Coverage.**

1. **Short-term Disability Coverage.** An employee may purchase short-term disability coverage that provides benefits of from three hundred dollars (\$300) to five thousand dollars (\$5,000) per month, up to two-thirds (2/3) of an employee's salary, for up to one hundred eighty (180) days during total disability due to a non-occupational accident or a non-occupational sickness. Benefits are paid from the first day of a disabling injury or from the eighth day of a disabling sickness. Coverage applied for within sixty (60) days of hire or becoming insurance eligible does not require evidence of insurability.
2. **Long-term Disability Coverage.** New employees may enroll in long-term disability insurance within sixty (60) days of employment or insurance eligibility. The terms are the same as for employees who wish to add/increase during the annual open enrollment. During open enrollment only, an employee may purchase long-term disability coverage that provides benefits of from three hundred dollars (\$300) to five thousand dollars (\$5,000) per month, based on the employee's salary, commencing on the 181st calendar day of total disability, and not subject to evidence of insurability but with a limited term pre-existing condition exclusion. Employees should be aware that other wage replacement benefits, as described in the certificate of coverage (i.e., Social Security Disability, Minnesota State Retirement Disability, etc.), may result in a reduction of the monthly benefit levels purchased. In any event, the minimum is the greater of three hundred dollars (\$300) or fifteen (15) percent of the amount purchased. The minimum benefit will not be reduced by any other wage replacement benefit. In the event that the employee becomes totally disabled before age seventy (70), the premiums on this benefit shall be waived.

- C. **Accidental Death and Dismemberment Coverage.** An employee may purchase accidental death and dismemberment coverage that provides principal sum benefits in amounts ranging from five thousand dollars (\$5,000) to one hundred thousand dollars (\$100,000). Payment is made only for accidental bodily injury or death and may vary, depending upon the extent of dismemberment. An employee may also purchase from five thousand dollars (\$5,000) to twenty-five thousand dollars (\$25,000) in coverage for his/her spouse or same sex domestic partner, but not in excess of the amount carried by the employee.
- D. **Continuation of Optional Coverages During Unpaid Leave or Layoff.** An employee who takes an unpaid leave of absence or who is laid off may discontinue premium payments on optional policies during the period of leave or layoff. If the employee returns within one (1) year, the employee shall be permitted to pick up all optionals held prior to the leave or layoff. For purposes of reinstating such optional coverages, the following limitations shall be applicable.

For the first twenty-four (24) months of long-term disability coverage after such a period of leave or layoff during which long-term disability coverage was discontinued, any such disability coverage shall exclude coverage for pre-existing conditions. For disability purposes, a pre-existing condition is defined as any disability which is caused by, or results from, any injury, sickness or pregnancy which occurred, was diagnosed, or for which medical care was received during the period of leave or layoff. In addition, any pre-existing condition limitations that would have been in effect under the policy but for the discontinuance of coverage shall continue to apply as provided in the policy.

The limitations set forth above do not apply to leaves that qualify under the Family Medical Leave Act (FMLA).

## **ARTICLE 22 - CORRECTIONAL EMPLOYEES RETIREMENT PLAN**

**Section 1. Eligibility for Employer Contribution.** Effective March 1, 2002, this Article replaces Article 21, Section 3 (D) (6).

- A. **Correctional Pre-Fifty-Five Early Retirement Incentive.** Any employee who attains the age of fifty (50) after March 1, 2002 and before the expiration date of the contract and who is covered by the Correctional Employees Retirement Plan (M.S. 352.90) may opt to take advantage of the Pre-Fifty-Five Early Retirement Incentive at or after his/her fiftieth (50th) birthday and before his/her fifty-fifth (55th) birthday occurs.

Employees shall be provided with health and dental insurance to which the employee was entitled at the time of retirement, subject to any changes in coverage in accordance with this or any subsequent Agreement. Employees shall continue to receive an Employer Contribution as set forth below until the employee attains the age of sixty-five (65).

Notwithstanding any changes in coverage in accordance with this or any subsequent Agreement, the Employer Contribution shall be equal to one hundred twenty (120) times the amount of the monthly Employer Contribution for health and dental insurance applicable to that employee at the time of his/her retirement, times the percentage calculated as follows:

1. Employees will accrue ten percent (10%) credit for each twelve (12) months the employee is in active payroll status in a position that is covered by the Plan and in which the employee and Employer made the statutorily required contributions to the Plan. If an employee has more than six (6) months of active payroll status during a twelve (12) month period, then the employee shall be credited with the full ten percent (10%) for that calendar year. If the employee has less than six (6) months of active payroll status during a calendar year, then the employee shall receive zero (0) credit for that calendar year.
2. Total credit for all years of service will not exceed one hundred percent (100%).

The result of the above calculation divided by the number of months until the employee reaches age sixty-five (65) is the amount of the monthly Employer Contribution until the employee reaches age sixty-five (65). The eligible employee shall pay the remaining monthly portion.

**B. Correctional Post-Fifty-Five Early Retirement Insurance Benefit.** An employee who is covered by the Correctional Employees Retirement Plan (M.S. 352.90) who retires at or after his/her fifty-fifth (55<sup>th</sup>) birthday and before his/her sixty-fifth (65<sup>th</sup>) birthday shall be entitled to receive the Employer Contribution to insurance in accordance with the following provisions:

1. Employees will accrue ten percent (10%) credit for each twelve (12) months the employee is in active payroll status in a position that is covered by the Correctional Employees Retirement Plan and in which the employee and Employer made the statutorily required contributions to the Correctional Employees Retirement Plan. If an employee has more than six (6) months of active payroll status during a calendar year, then the employee shall be credited with the full ten percent (10%) for that calendar year. If the employee has less than six (6) months of active payroll status during a calendar year, then the employee shall receive zero (0) credit for that calendar year.
2. Total credit for all years of service will not exceed one hundred percent (100%).
3. The monthly Employer Contribution shall be calculated as follows:
  - a. If the employee has received less than one-hundred percent (100%) credit for time spent in active payroll status in a position covered by the Correctional Employees Retirement Plan, the appropriate percentage times the monthly Employer Contribution for health and dental insurance applicable to that employee at the time of his/her retirement is the monthly amount paid by the Employer until the employee reaches age sixty-five (65). The eligible employee shall pay the remaining monthly portion; or
  - b. If the employee has received one-hundred percent (100%) credit for time spent in active payroll status in a position covered by the Correctional Employees Retirement Plan, the Employer shall pay the full Employer Contribution for health and dental insurance, as specified in Article 21 – Insurance, until the employee reaches age sixty-five (65).

If the Office of the Attorney General determines that this Insurance Benefit Plan violates state or federal law, the Employer will meet and negotiate with the Association on necessary modifications.

**Section 2. Conditions for the Pre-Fifty-Five Early Retirement Insurance Incentive and Post-Fifty-Five Early Retirement Insurance Benefit Plan.**

- A. The employee must be in a position covered by the Correctional Employees Retirement Plan at the time of retirement, except as provided in B below.

- B. Employees remain eligible for the Pre-Fifty-Five Early Retirement Insurance Incentive and the Post-Fifty-Five Early Retirement Insurance Benefit Plan if, as the result of a workers' compensation injury, they must move from a position covered by the Correctional Employees Retirement Plan to a state position covered by any other State Retirement Plan. Such employees must retire from state service and are subject to all other requirements and conditions of Section 1 (A) and (B). The agency in which the workers' compensation injury occurred shall be responsible for paying any Employer Contribution under this provision.
- C. Years of credit in Section 1 (A) and (B) shall include only the time during which the employee was employed by the State in a position covered by the Correctional Employees Retirement Plan.
- D. The employee must be receiving an Employer Contribution for health and dental coverage at the time of retirement.
- E. An employee who retires with no Employer Contribution for dependent coverage or who terminates dependent coverage following retirement shall not subsequently be eligible for a contribution for dependent coverage.
- F. Receipt of retirement insurance benefits is dependent on the employee completing all required forms and continuing to pay any required premium.

## **ARTICLE 23 - INJURED ON DUTY**

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**Section 1. Hazardous Occupation Injuries.** The parties recognize that employees working with residents of the State's institutions or facilities face a high potential for injury due to the nature of their employment. Therefore, an employee who in the ordinary course of employment while acting in a reasonable and prudent manner and in compliance with the established rules and procedures of the Appointing Authority, incurs a disabling injury stemming from the aggressive, and/or intentional and overt act or direct consequences of such act of a person in the custodial control of the institution or which is incurred while attempting to apprehend or take into custody such inmate or resident, shall receive compensation in an amount equal to the difference between the employee's regular rate of pay and benefits paid under the workers' compensation, without deduction from the employee's accrued sick leave. Such compensation shall not exceed an amount equal to two-hundred and forty (240) times the employee's regular hourly rate of pay per disabling injury.

**Section 2. Other Job Related Injuries.** An employee may elect to use accumulated vacation or sick leave or both during a period of absence due to compensable illness or injury. Such leave may be used on the following basis.

- A. Transfer of the Workers' Compensation benefits to the State to be credited to the employee's sick leave or vacation accrual in proportion to the amount of compensation received and accept sick leave or vacation time for the compensable sickness or injury; or
- B. Keep the Workers' Compensation benefits and supplement same from accumulated sick leave or vacation leave; in no event may the total rate of compensation exceed the regular compensation of the employee.

**Section 3. Return to Employment.** An employee so absent shall be entitled to immediate return to actual employment upon appropriate release from Workers' Compensation status.

An employee incurring an on-the-job injury shall be paid his/her regular rate of pay for the remainder of the work day. Any necessary deductions from accrued sick leave for employees so injured shall not commence until the first scheduled work day following the injury.

## **ARTICLE 24 - SALARIES**

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**Section 1. Salary Schedules.** The salary schedules are set forth in Appendix C and Appendix D:

Appendix C - Salary Schedule effective 7-1-01

Appendix D - Salary Schedule effective 7-1-02

Employees who have separated from State service between July 1 and the effective date of ratification of this Agreement by the Legislature shall be eligible for retroactive wages upon written request to the Department of Employee Relations submitted no later than thirty (30) days from the date this agreement is ratified by the Legislature. The parties agree that the Employer is under no obligation to contact separated former employees under this provision.

**Section 2. Conversion.** Effective July 1, 2001 and July 1, 2002, all employees shall be assigned to the same relative salary step within the salary range for their respective lane.

**Section 3. Lump Sum Payments.** Employees who are at the maximum step of their salary range on July 1, 2001 shall receive a lump sum payment in the amount of six hundred dollars (\$600) effective the first full pay period following the date of ratification by the Legislative Coordinating Commission.

Employees at the maximum step of their salary range as of July 1, 2002 shall receive a lump sum payment in the amount of six hundred dollars (\$600) effective pay period beginning July 3, 2002.

**Section 4. Shift Differential.** The shift differential for employees working on assigned shifts which begin before 6:00 a.m. or which end at or after 7:00 p.m. shall be fifty-five cents (\$.55) per hour for such hours worked on that shift. Such shift differential shall be in addition to the employee's regular rate of pay and shall be included in all payroll calculations, but shall not apply during periods of paid leave.

**Section 5. Step Progression.** Annual step increases shall be awarded beginning with the payroll period nearest the employee's anniversary date contingent upon satisfactory service as determined by the Performance Review, Article 11. An employee who serves an emergency appointment and receives a probationary appointment to that position shall have his/her anniversary date credited to the beginning of the emergency appointment provided there was no break in service between the appointments.

**Section 6. Lane Changes.** Lane changes shall be effective the beginning of the first payroll period following the submission of documentary evidence of advanced training by the employee.

When requesting a lane change, the employee agrees to note on the lane change request form the criterion or criteria listed in Section 6, Subdivision A (1) and/or (2) of this Article under which such request is being made. The Appointing Authority agrees to respond in a timely manner to an employee's request for a lane change and, when such request is denied, to inform the employee, on the lane change request form, the reason(s) for such denial. The form for lane change requests is found in Appendix F.

## **Section 7. Lane Placement.**

- Subd. A. **Credits.** "Credits" as they apply to placement on the salary schedule include the following:
- 1) College credits that are recognized by a college or university that lead to a MA or MS degree or Fifth Year Program, satisfy relicensure requirements in areas germane to the institution's educational program, lead to licensure in an area of special education, or are otherwise approved by the Appointing Authority or other Employer designee(s) as being of value to the institution's educational program. Credits are measured as quarter credits. One semester credit is equal to 1.5 quarter credits.
  - 2) "Local Credit" received from courses offered by the institution or workshops in special areas that are approved by the Appointing Authority or other Employer designee(s). No more than one third (1/3) of the credits applied to any lane placement may be "local credits." Twelve (12) clock hours of courses/workshops shall equal one (1) "local credit."
- Subd. B. **Credit Approval.** Credit approval, when required, must be obtained by using the form provided in Appendix G of this Agreement. When requesting credit approval, the employee must note on the above mentioned form the criterion or criteria listed in Section 6, Subdivision A (1) and/or (2) above under which such request is being made. The Appointing Authority agrees to respond in a timely manner to an employee's request for credit approval and, when such request is denied, to inform the employee, on the credit approval form, the reason(s) for such denial.
- Subd. C. **Fifth Year Program.** A Fifth Year Program is construed to mean completion (degree or certificate) of a recognized program from an accredited college or university.
- Subd. D. **Credit Documentation.** Satisfactory completion of a college class must be documented with a college grade report, college transcript, or the form provided in Appendix H of this Agreement.

**Section 8. Placement on Salary Schedule.** The requirements for placement on each salary lane of the salary schedules are as follows:

- A. Lane 1A: Less than a Bachelor's degree, or without a valid Minnesota Teacher's License.
- B. Lane 1V: Without a valid Minnesota Vocational Instructor's License.
- C. Lane 2A: A BA or BS degree and a valid Minnesota Teacher's License.
- D. Lane 2V: A valid Minnesota Vocational Instructor's License.
- E. Lane 3A: A BA or BS and a valid Minnesota Teacher's License plus 15 additional credits.
- F. Lane 3V: A Five Year Vocational Instructor's License.
- G. Lane 4A: A BA or BS degree and a valid Minnesota Teacher's License plus 30 additional credits.
- H. Lane 4V: A Five Year Vocational Instructor's License plus 15 additional credits.
- I. Lane 5A: A BA or BS degree and a valid Minnesota Teacher's License plus 45 additional credits.
- J. Lane 5V: A Five Year Vocational Instructor's License plus 30 additional credits.
- K. Lane 6A: A MA or MS degree or completion of a Fifth Year Program and a valid Minnesota Teacher's License, or a BA or BS degree and a valid Minnesota Teacher's License plus 60 additional credits.

- L. Lane 6V: A Five Year Vocational Instructor's License plus 45 additional credits.
- M. Lane 7: A MA or MS degree and a valid Minnesota Teacher's License plus 15 additional graduate credits.
- N. Lane 8: A MA or MS degree and a valid Minnesota Teacher's License plus 30 additional graduate credits.
- O. Lane 9: A MA or MS degree and a valid Minnesota Teacher's License plus 45 additional graduate credits.

Lane placement on initial hire shall be based on the teaching subject area for which the employee is hired to teach. Advanced degree credits outside the employee's teaching area shall be evaluated on a course by course basis for lane placement. If the teacher is later assigned to teach subject matter where previously held credits were not counted, h/she shall be re-evaluated for lane placement purposes.

**Section 9. Valid License.** Employees are responsible for maintaining a valid Minnesota License on file with the Appointing Authority. If this provision is not met, the employee's salary will be reduced to the comparable step in salary lane 1A or 1V, whichever is applicable, where such salary will be paid until such time as verification of licensure is received.

**Section 10. Step Placement.** An employee shall be granted a step placement credit for each year of prior teaching or related experience up to the sixth step. Additionally, a vocational teacher shall be granted a step placement credit for every two (2) years of related work experience up to the sixth step.

Additional step placement credit may be granted at the discretion of the Appointing Authority.

Notwithstanding the above, the Appointing Authority may initially hire teachers into the classification Arts Education Teacher on any step within any lane in the salary grid. Thereafter, step movement shall be governed by the provisions of Article 23. Initial hiring rates assigned are not arbitrable.

**Section 11. Health and Dental Premium Accounts.** The Employer agrees to provide insurance eligible employees with the option to pay for the employee portion of health and dental premiums on a pre-tax basis as permitted by law or regulation.

**Section 12. Medical/Dental Expense Account.** The Employer agrees to allow insurance eligible employees to participate in a medical and dental expense reimbursement program to cover co-payments, deductibles and other medical and dental expenses or expenses for services not covered by health or dental insurance on a pre-tax basis as permitted by law or regulation, up to a maximum of five thousand dollars (\$5,000) per calendar year.

**Section 13. Dependent Care Expense Account.** The employer agrees to provide insurance eligible employees with the option to participate in a dependent care reimbursement program for work related dependent care expenses on a pre-tax basis as permitted by law or regulation.

**Section 14. Post Retirement Health Care Savings Plan.** During the term of this Agreement, the Employer agrees to provide all employees with a State-paid contribution to the Post Retirement Health Care Savings Plan (PRHCSP) administered by the Minnesota State Retirement System. On January 2, 2002, the Employer shall make a contribution of three hundred dollars (\$300) to the PRHCSP account for each employee, and on July 1, 2002, the Employer shall make a contribution of three hundred dollars (\$300) to the PRHCSP account for each employee.

The Plan allows employees to use money to pay medical expenses and/or health insurance premiums after separation or retirement from State service.



## **Section 15. Awards for Excellence in Education.**

- Subd. A. A teacher who achieves National Teacher Certification or is named the National Education Association Teacher of the Year or the Minnesota Teacher of the Year shall receive a lump sum award of one thousand dollars (\$1,000.00).
- Subd. B. A teacher who is named to the Minnesota Teacher of the Year Honor Roll or is awarded the National Ashland Outstanding Teacher Award shall receive a lump sum award of five hundred dollars (\$500.00).
- Subd. C. Teachers who successfully secure education grants that benefit students at their facility/institution shall be awarded a lump sum of ten percent (10%) of the value of the grant, up to a maximum of five hundred dollars (\$500.00), subject to the following conditions:
- The successful grant must have been written on the teacher's own time, outside their normal job duties; and
  - If more than one teacher is involved in writing the grant, the lump sum award shall be shared equally between the teachers.

## **ARTICLE 25 - EXPENSE ALLOWANCES**

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**Section 1. General.** The Appointing Authority may authorize travel at State expense for the effective conduct of the State's business. Such authorization must be granted prior to the incurrence of the actual expenses. Employees affected under this Article shall be reimbursed for such expenses that had been authorized by the Appointing Authority in accord with the terms of this Article.

**Section 2. Automobile Expense.** When a State-owned vehicle is not available and an employee is required to use his/her personal automobile to conduct authorized State business, the Appointing Authority shall reimburse the employee at the then current Federal IRS mileage reimbursement rate on the most direct route according to Transportation Department records. When a State-owned vehicle is offered and declined by the employee, mileage may be paid at the rate of seven (7) cents less than the current Federal IRS mileage reimbursement rate on the most direct route. However, if a State-owned vehicle is available, the Appointing Authority may require an employee to use the State car to conduct authorized State business. Deviations from the most direct route, such as vicinity driving or departure from the employee's residence, shall be shown separately on the employee's daily expense record and reimbursed under the foregoing rates. Actual payment of toll charges and parking fees shall be reimbursed. An employee shall not be required by the Appointing Authority to carry automobile insurance coverage beyond that required by law.

When an employee is assigned to conduct state business away from their permanent work station, the employee shall be paid full round trip mileage allowance between the remote location and the employee's home, minus the round trip distance between home and permanent work station (their normal commute miles).

Employees accepting mobility assignments, as defined in Administrative Procedure 1.1, are not eligible for mileage reimbursement for the trip between their home and the mobility assignment (see also Article 31).

Employees who use a specially equipped personal van or van-type vehicle on official State business shall be reimbursed for mileage at a rate of forty (40) cents per mile on the most direct route. In order to qualify for this reimbursement rate, the vehicle must be equipped with a ramp, lift, or other level exchanging device designed to provide access for a wheelchair.

Reimbursement for use of a motorcycle on official State business shall be at a rate of thirteen (13) cents per mile on the most direct route.

The Appointing Authority may authorize travel in personal aircraft when it is deemed in the best interest of the State. Mileage reimbursement in such cases shall be at a rate of forty-three (43) cents and shall be based on the shortest route based on direct air mileage between the point of departure and the destination.

**Section 3. Commercial Transportation.** When an employee is required to use commercial transportation (air, taxi, rental car, etc.) in connection with authorized business of an Appointing Authority, the employee shall be reimbursed for the actual expenses of the mode and class of transportation so authorized. Reasonable gratuities may be included in commercial travel costs.

**Section 4. Overnight Travel.** Employees in travel status who incur expenses for lodging shall be allowed actual reasonable costs of lodging, in addition to the actual cost of meals while away from their home station, up to the maximums stated in Section 5 of this Article. Employees in travel status in excess of one (1) week without returning home shall be allowed actual cost not to exceed thirteen dollars (\$13) per week for laundry and dry cleaning for each week after the first week.

**Section 5. Meal Allowances.** Employees assigned to be in travel status between the employee's temporary or permanent work station and a field assignment shall be reimbursed for the actual cost of meals including a reasonable gratuity under the following conditions:

**A. Breakfast.**

Breakfast reimbursements may be claimed only if the employee is on assignment away from his/her home station in a travel status overnight.

**B. Noon Meal.**

Lunch reimbursement may be claimed only if the employee is performing required work more than thirty-five (35) miles from his/her temporary or permanent work station and the work assignment extends over the normal noon meal period.

**C. Dinner.**

Dinner reimbursement may be claimed only if the employee is away from his/her home station in a travel status overnight or is required to remain in a travel status until after 7:00 p.m.

**D. Reimbursement Amount.**

Maximum reimbursement for meals including tax and gratuity, shall be:

Breakfast	\$ 7.00
Lunch	\$ 9.00
Dinner	\$15.00

For the following metropolitan areas the maximum reimbursement shall be:

Breakfast	\$ 8.00
Lunch	\$10.00
Dinner	\$17.00

The metropolitan areas are:

Atlanta	Kansas City, MO
Baltimore	Los Angeles
Boston	Miami
Chicago	New Orleans
Cleveland	New York City
Dallas/Fort Worth	Philadelphia
Denver	Portland
Detroit	San Diego
Hartford	St. Louis
Houston	San Francisco
Kansas City, KS	Seattle
	Washington, D.C.

The metropolitan areas also include any location outside the forty-eight (48) contiguous United States.

- E. Meals shall not be reimbursable if a meal is included in the conference, workshop or function the employee is attending. However, if the employee has special dietary needs that cannot be met by the conference, workshop or function, the employee may submit a reimbursement request up to the amount listed in this section. Airplane meals do not constitute a meal.

**Section 6. Special Expenses.** When prior approval has been granted by an Appointing Authority, special expenses, such as registration or conference fees and banquet tickets, incurred as a result of State business, shall also be reimbursed.

**Section 7. Payment of Expenses.** The Appointing Authority shall advance the estimated cost of travel expenses where the anticipated expenses total at least fifty dollars (\$50), provided the employee makes such a request a reasonable period of time in advance of the travel date. Employees may request a State issued credit card. If the employees receive the card, the Appointing Authority and the employee may mutually agree to use the card in place of the advance. Reimbursements shall be made within two (2) weeks from the time expense reports are submitted to the Appointing Authority.

**Section 8. Membership in Professional Organizations.** In each fiscal year, the Appointing Authority will reimburse each employee in the bargaining unit for membership dues paid to one (1) professional organization related to the employee's job, up to a maximum of fifty dollars (\$50) each fiscal year, provided the Appointing Authority determines that such funds are available. However, the Appointing Authority will not reimburse membership dues to an employee for payment to an organization, one of whose purposes is to negotiate terms and conditions of employment of employees with the Employer.

**Section 9. Employee Initiated Training Reimbursement.** At the discretion of the Appointing Authority, an employee who participates in employee initiated training pursuant to Article 10, Professional Development, Section 2, may be reimbursed for all or a portion of tuition, fees, books, or other required training materials.

## ARTICLE 26 - RELOCATION ALLOWANCES

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**Section 1. Authorization.** When it has been determined by the Appointing Authority that an employee is required to be transferred or reassigned to a different facility, the cost of moving the employee shall be paid by the Appointing Authority.

When an employee must change residence as a condition of employment, the move shall be considered to be at the initiative and in the best interests of the Employer and the Appointing Authority shall approve the reimbursement of relocation expenses in accordance with the provisions of the Article.

Employees who are reassigned or transferred to vacant positions in the bargaining unit due to the abolishment of a position, removal to a new location, or removal to another State agency of all or a major portion of the operations of their Appointing Authority, shall receive relocation expenses in accord with the provisions of this Article.

An employee who is transferred or reassigned at such employee's request when the transfer or reassignment is for the employee's sole benefit shall not be entitled to reimbursement for relocation expenses.

Eligibility for reimbursement of relocation expenses shall be limited to those moves where the new work location is at least thirty-five (35) miles or more from the employee's current work location or changes in residence required by an Appointing Authority as a condition of employment. The provisions of this Article shall not apply to employees who currently commute thirty-five (35) miles or more to their work location unless the employee is transferred or reassigned to a new work location which is thirty-five (35) miles or more from the employee's current work station.

No reimbursement for relocation expense shall be allowed unless the change of residence is completed within six (6) months, or unless other time extension arrangements have been approved by the Appointing Authority.

**Section 2. Covered Expenses.** Employees must have received prior authorization from their Appointing Authority before incurring any expenses authorized by this Article.

- A. **Travel Status.** Employees eligible for relocation expenses pursuant to Section 1 shall be considered to be in travel status up to a maximum of ninety (90) calendar days and shall be allowed standard travel expenses to return to their original work station once a week. Standard travel expenses for the employee's spouse shall be borne by the Appointing Authority for a maximum of two (2) trips not to exceed a total of seven (7) calendar days during the ninety (90) calendar day period.
- B. **Realtor's Fees.** Realtor's fees for the sale of the employee's domicile, not to exceed ten thousand dollars (\$10,000), shall be paid by the Appointing Authority.
- C. **Moving Expenses.** The Appointing Authority shall pay the cost of moving and packing the employee's household goods. The employee shall obtain no less than two (2) bids for packing and/or moving household goods and approval must be obtained from the Appointing Authority prior to any commitment to a mover to either pack or ship the employee's household goods. The Appointing Authority shall pay for the moving of house trailers if the trailer is the employee's domicile, and such reimbursement shall include the cost of transporting support blocks, skirts, and/or other attached fixtures.

- D. **Miscellaneous Expenses.** The employee shall be reimbursed up to a maximum of one thousand dollars (\$1,000) for the necessary miscellaneous expenses directly related to the move. These expenses may include such items as: disconnecting and connecting appliances and/or utilities, the cost of insurance for property damage during the move, the reasonable transportation costs of the employee's family to the new work location at the time the move is made including meals and lodging, or other direct costs associated with rental or purchase of another residence. Expenses for meals and lodging shall be consistent with the provisions of Article 24, Expense Allowances. No reimbursement will be made for the cost of improvements to the new residence or reimbursable deposits required in connection with the purchase or rental of the residence.

Neither the State of Minnesota nor any of its agencies shall be responsible for any loss or damage to any of the employee's household goods or personal effects as a result of such a transfer.

**Section 3. Repayment of Reimbursement.** The Employer may require from the employee a full repayment of any and all relocation expenses paid to the employee if the employee resigns from State service within one (1) year of relocating.

## **ARTICLE 27 - SEVERANCE PAY**

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### **Section 1. Severance Pay.**

- A. **Eligibility.** All employees who have accrued twenty (20) years or more continuous State service shall receive severance pay upon any separation from State service except for discharge for cause based on culpable acts. Employees with less than twenty (20) years continuous State service shall receive severance pay upon mandatory retirement or retirement at or after age sixty-five (65); death; or layoff, except for seasonal layoffs. Employees who retire from State service after ten (10) years of continuous State service and who are immediately entitled, at the time of retirement, to receive an annuity under a State Retirement Program shall, notwithstanding an election to defer payment of the annuity, also receive severance pay.

In the case of employees who work a full scheduled academic year that is less than a full calendar year, such academic year shall be considered a calendar year for the purpose of this Article.

- B. **Severance Pay Amount.** Severance pay shall be based on the employee's regular rate of pay at the time of separation as follows:
1. Forty percent (40%) of the employee's first nine hundred (900) hours of accumulated but unused sick leave.
  2. Twelve and one-half percent (12 1/2%) of the employee's accumulated and unused sick leave in excess of nine hundred (900) hours.

**Section 2. Resignation.** To qualify for severance pay, an employee must submit his/her resignation to the Appointing Authority at least thirty (30) calendar days in advance of the effective date. Effective July 1, 2002, to qualify for severance pay, an employee must submit his/her resignation to the Appointing Authority at least ninety (90) calendar days in advance of the effective date.

**Section 3. Reappointment.** Should any employee who has received severance pay be subsequently reappointed to State service, eligibility for future severance pay shall be computed upon the difference between the amount of accumulated but unused sick leave restored to the employee's credit at the time the employee was reappointed and the amount of accumulated but unused sick leave at the time of the employee's subsequent eligibility for severance pay.

**Section 4. Payment.** For budget reasons, an Appointing Authority may elect to distribute the severance payment over a period of up to two (2) years from the date of separation. If the employee dies before all of the severance pay has been disbursed, the balance due shall be paid to a named beneficiary, if any, or to the employee's estate.

## **ARTICLE 28 - WORK RULES**

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The Appointing Authority agrees to meet and confer with the Association on changes in work rules as far in advance as practicable.

## **ARTICLE 29 - MANAGEMENT RIGHTS**

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It is recognized that, except as expressly stated herein, the Employer shall retain whatever rights and authority are necessary for it to operate and direct the affairs of the Employer in all of its various aspects, including but not limited to, the educational policies of the Employer; the right to direct the employees; to plan, direct, and control all the operations and services of the Employer; to determine the methods, means, organization, and number of personnel by which such operations and services are to be conducted; to assign employees; to transfer employees; to schedule working hours; to evaluate employees; to determine whether goods or services should be made or purchased; to hire, promote, demote, suspend, discipline, discharge, or relieve employees due to lack of work or other legitimate reasons; to make and enforce reasonable rules and regulations that are uniformly applied and uniformly enforced; and to change or eliminate existing methods, equipment, or facilities. Any term or condition of employment not specifically established by this Agreement shall remain solely within the discretion of the Employer to modify, establish, or eliminate.

## **ARTICLE 30 - JOB SAFETY**

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**Section 1. General.** It shall be the policy of the Appointing Authority to provide for the safety of its employees by providing safe working conditions, safe work areas and safe work methods. In the application of this policy, the Appointing Authority shall provide the employee with adequate training in necessary safety practices. The Appointing Authority shall also provide and maintain clean, sanitary restrooms and eating facilities. It shall be the responsibility of the employee to use all provided safety equipment and procedures and to cooperate in all safety and accident prevention programs.

**Section 2. Safety Committee.** Each Appointing Authority shall establish at least one safety committee which shall be comprised of at least one Association appointed representative and representatives from other bargaining units. The Appointing Authority may appoint a number of representatives equal to the number of bargaining unit representatives. The Safety Committee shall meet at least semi-annually. Additional meetings may be called by the Safety Officer, Association, or the Appointing Authority. All Safety Committee meetings shall be held during normal working hours without loss of pay to the members.

The function of the Safety Committee shall be to review reports of property damage, personal injury accidents, and alleged hazardous working conditions; provide support for a strong safety program; and review and recommend safety policies to the Appointing Authority. Employees shall bring all unsafe working conditions or equipment to the attention of the immediate supervisor and/or the Safety Committee.

**Section 3. Safety Equipment.** The Appointing Authority agrees to provide and maintain, without cost to the employee, such safety equipment and protective equipment as is required as a condition of employment by the Appointing Authority or OSHA.

**Section 4. Accident Reports.** All employees who are injured or are involved in an accident during the course of their employment shall file an accident report on forms furnished by the Appointing Authority, no matter how slight the incident. A copy of the accident report form shall be furnished to the Safety Committee, with identifying private data deleted. All such injuries shall be reported to the employee's immediate supervisor and any necessary medical attention shall be arranged immediately. The Appointing Authority shall provide assistance to employees in filling out any necessary Workers' Compensation forms, when requested.

## **ARTICLE 31 - STRIKES AND LOCK-OUTS**

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**Section 1. Lock-Outs.** No lock-out of an employee or group of employees shall be engaged in, sanctioned or supported by the Employer or its representatives during the term of this Agreement.

**Section 2. No Strikes.** The Association agrees that it will not promote or support any strike as defined in Minnesota Statutes 179A.01, Subdivision 6, except as provided in Minnesota Statutes 179A.18. Any employee who knowingly violates the provisions of this Section may be discharged or otherwise disciplined.

## **ARTICLE 32 - EMPLOYEE MOBILITY**

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Employees may participate in an Inter-Agency Employee Mobility assignment pursuant to Administrative Procedure 1.1 and may participate in an Interchange of Government Employees pursuant to M.S. 15.51-15.59.

## **ARTICLE 33 - VOLUNTARY REDUCTION IN HOURS**

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**Section 1. Voluntary Reduction in Hours.** The Appointing Authority may allow an employee to take an unpaid leave of absence or reduce their hours if the Appointing Authority determines that the following conditions are met:

1. an existing or projected budget problem exists;
2. granting an unpaid leave of absence would help alleviate the projected budget problem;
3. staffing needs can continue to be met; and
4. other unpaid leaves of absence, other than personal leave, are not applicable to the situation.

If it is necessary to limit the number of employees in a work unit on unpaid leave at the same time, leave shall be granted on the basis of state seniority.

**Section 2. Benefits.** Employees taking leaves of absence under this Article shall continue to accrue vacation and sick leave and be eligible for paid holidays and insurance benefits equivalent to what the employee would earn if he/she had not voluntarily reduced their hours or taken an unpaid leave. If a leave of absence is for one (1) full pay period or longer, any holiday pay shall be included in the first payroll period warrant after return from the leave of absence.

## **ARTICLE 34 - SAVINGS CLAUSE**

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This Agreement is intended to be in conformity with all applicable and valid federal and state laws and rules and regulations thereof. In the event that any provision of this Agreement is found to be inconsistent with existing statutes or rules or regulations promulgated thereunder, the provisions of such statutes or ordinances shall prevail and if any provision herein is found to be invalid or unenforceable by court or other authority having jurisdiction, then such provision shall be inoperative but all other valid provisions shall remain in full force and effect.

## **ARTICLE 35 - COMPLETE AGREEMENT AND WAIVER**

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The parties acknowledge that during the negotiations which resulted in this Agreement, each had the unlimited right and opportunity to make demands and proposals with respect to any subject or matter not removed by law from the area of collective bargaining, and that the understandings and agreements arrived at by the parties after the exercise of that right and opportunity are set forth in this Agreement. Therefore, the Employer and the Association, for the life of this Agreement, each voluntarily and unqualifiedly waives the right, and each agrees that the other shall not be obligated to bargain collectively with respect to any subject or matter not specifically referred to or covered in this Agreement even though such subject or matter may not have been within the knowledge of contemplation of either or both of the parties at the time that they negotiated or signed this Agreement. It is further acknowledged that by mutual agreement of the parties to this Agreement modifications may be made to this Agreement provided such modifications are reduced to writing, signed by the parties to this Agreement, and contain a statement that the changes are mutually agreed to and that the parties intend the modifications to be a part of this Agreement. This clause shall not prevent the Employer or its Appointing Authorities from initiating changes during the life of the contract, nor shall it bar the Association from bargaining over such changes if they constitute mandatory terms and conditions of employment.

## **ARTICLE 36 - DURATION**

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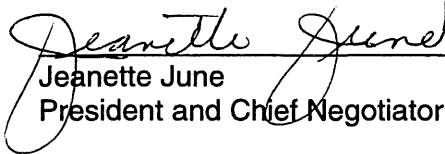
This Agreement shall become effective upon legislative ratification unless otherwise explicitly noted in the Agreement, and shall remain in full force and effect through the thirtieth (30th) day of June, 2003. This Agreement shall be automatically renewed from biennium to biennium thereafter unless either party shall notify the other, in writing, no later than September 15, that it desires to modify this Agreement. Negotiations concerning modifications of this Agreement shall commence after such notice has been given, but not later than November 1.

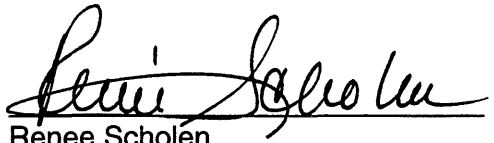


The terms of this Agreement shall continue in effect and shall be enforceable upon both parties during the period after the Agreement expires and prior to the date when the right to strike matures and for such additional time as may be agreed to in writing by the parties.

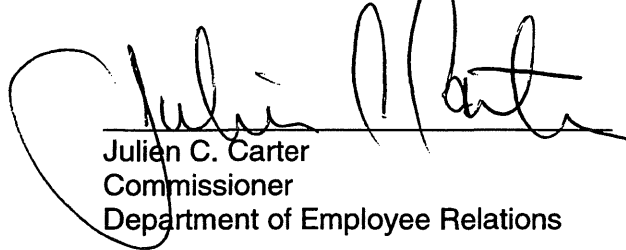
In witness thereof, the parties hereto have caused this Agreement to be signed by their respective representatives this \_\_\_\_ day of \_\_\_\_\_, 2001.

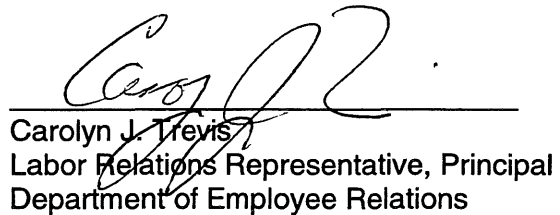
FOR THE ASSOCIATION

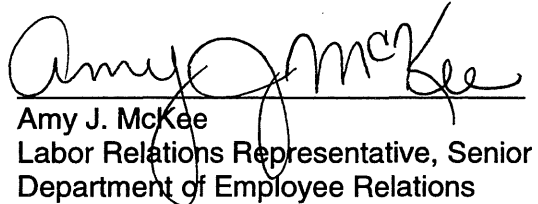
  
Jeanette June  
President and Chief Negotiator, SRSEA

  
Renee Scholen  
Education Minnesota Representative

FOR THE EMPLOYER

  
Julien C. Carter  
Commissioner  
Department of Employee Relations

  
Carolyn J. Trevis  
Labor Relations Representative, Principal  
Department of Employee Relations

  
Amy J. McKee  
Labor Relations Representative, Senior  
Department of Employee Relations

## APPENDIX A - VACATION

Eligible employees being paid for less than a full eighty (80) hour pay period shall have their vacation accruals prorated according to the rate table listed below:

### HOURS OF VACATION ACCRUED DURING EACH PAYROLL PERIOD OF LENGTH OF SERVICE

No. Hours Worked During Pay Period	0 thru 5 years	After 5 thru 8 years	After 8 thru 12 years	After 12 thru 18 years	After 18 thru 25 years	After 25 thru 30 years	After 30 years
Less than 9 1/2	0	0	0	0	0	0	0
At least 9 1/2, but less than 19 1/2	3/4	1	1-1/4	1-1/2	1-1/2	1-3/4	1-3/4
At least 19 1/2, but less than 29 1/2	1	1-1/4	1-3/4	2	2	2-1/4	2-1/4
At least 29 1/2, but less than 39 1/2	1-1/2	2	2-3/4	3	3	3-1/4	3-1/2
At least 39 1/2, but less than 49 1/2	2	2-1/2	3-1/2	3-3/4	4	4-1/4	4-1/2
At least 49 1/2, but less than 59 1/2	2-1/2	3-1/4	4-1/2	4-3/4	5	5-1/2	5-3/4
At least 59 1/2, but less than 69 1/2	3	3-3/4	5-1/4	5-3/4	6	6-1/2	6-3/4
At least 69 1/2, but less than 79 1/2	3-1/2	4-1/2	6-1/4	6-3/4	7	7-1/2	8
At least 79 1/2	4	5	7	7-1/2	8	8-1/2	9

## APPENDIX B - SICK LEAVE

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Eligible employees being paid for less than a full eighty (80) hour pay period shall have sick leave accruals prorated according to the rate schedule indicated below:

### HOURS OF SICK LEAVE ACCRUED DURING EACH PAYROLL PERIOD OF CONTINUOUS SERVICE

Number of Hours Worked During Pay Period	Number of Hours Accrued
Less than 9 1/2	0
At least 9 1/2, but less than 19 1/2	3/4
At least 19 1/2, but less than 29 1/2	1
At least 29 1/2, but less than 39 1/2	1 1/2
At least 39 1/2, but less than 49 1/2	2
At least 49 1/2, but less than 59 1/2	2 1/2
At least 59 1/2, but less than 69 1/2	3
At least 69 1/2, but less than 79 1/2	3 1/2
At least 79 1/2	4

## APPENDIX B-1 - HOLIDAYS

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Eligible employees who normally work less than seventy-two (72) hours per pay period and eligible intermittent employees shall have their holiday pay prorated on the following basis:

Hours that would have been worked during the pay period had there been no holiday.	Holiday hours earned for each holiday in the pay period.
Less than 9½	0
At least 9½, but less than 19½	1
At least 19½, but less than 29½	2
At least 29½, but less than 39½	3
At least 39½, but less than 49½	4
At least 49½, but less than 59½	5
At least 59½, but less than 69½	6
At least 69½, but less than 72	7
At least 72	8

**Effective 07/01/2001 - 06/30/2002**

[illegible]

**APPENDIX C (cont.)**  
**SPECIAL TEACHER SALARY SCHEDULE**  
**Effective 07/01/2001 - 06/30/2002**

Lane	Comp Code		Step	A	B	C	D	E	F	G	H	I	J	K
			A	01	02	03	04	05	06	07	08	09	10	11
6A	6K	MA/MS plus a valid Minnesota Teacher's license, or completion (degree or certificate) of a Fifth Year Program plus a valid Minnesota Teacher's license, or BA/BS and 60 additional credits plus a valid Minnesota Teacher's license.		45,080 3,757 21.59	46,709 3,892 22.37	48,400 4,033 23.18	50,133 4,178 24.01	51,782 4,315 24.80	53,515 4,460 25.63	55,165 4,597 26.42	56,877 4,740 27.24	58,527 4,877 28.03	60,197 5,016 28.83	63,496 5,291 30.41
6V	6K	Five Year Vocational Instructor's license plus 45 additional credits.												
64	7	7K	MA/MS and 15 additional graduate credits plus a valid Minnesota Teacher's license.	46,709 3,892 22.37	48,400 4,033 23.18	50,133 4,178 24.01	51,782 4,315 24.80	53,515 4,460 25.63	55,165 4,597 26.42	56,877 4,740 27.24	58,527 4,877 28.03	60,197 5,016 28.83	61,909 5,159 29.65	64,394 5,366 30.84
	8	8K	MA/MS and 30 additional graduate credits plus a valid Minnesota Teacher's license.	48,400 4,033 23.18	50,133 4,178 24.01	51,782 4,315 24.80	53,515 4,460 25.63	55,165 4,597 26.42	56,877 4,740 27.24	58,527 4,877 28.03	60,197 5,016 28.83	61,909 5,159 29.65	63,600 5,300 30.46	66,023 5,502 31.62
	9	9K	MA/MS and 45 additional graduate credits plus a valid Minnesota Teacher's license.	50,133 4,178 24.01	51,782 4,315 24.80	53,515 4,460 25.63	55,165 4,597 26.42	56,877 4,740 27.24	58,527 4,877 28.03	60,197 5,016 28.83	61,909 5,159 29.65	63,600 5,300 30.46	65,313 5,443 31.28	67,818 5,652 32.48

Note: The yearly salaries listed are based on a 12-month work schedule.

**Effective 07/01/2002 - 06/30/2003**

[illegible]

**APPENDIX D (cont.)  
SPECIAL TEACHER SALARY SCHEDULE  
Effective 07/01/2002 - 06/30/2003**

Lane	Comp Code		Step	B	C	D	E	F	G	H	I	J	K
			A										
			01	02	03	04	05	06	07	08	09	10	11
6A	6K	MA/MS plus a valid Minnesota Teacher's license, or completion (degree or certificate) of a Fifth Year Program plus a valid Minnesota Teacher's license, or BA/BS and 60 additional credits credits plus a valid Minnesota Teacher's license.	46,437	48,108	49,861	51,636	53,328	55,123	56,814	58,589	60,281	61,993	65,396
			3,870	4,009	4,155	4,303	4,444	4,594	4,735	4,882	5,023	5,166	5,450
			22.24	23.04	23.88	24.73	25.54	26.40	27.21	28.06	28.87	29.69	31.32
6V	6K	Five Year Vocational Instructor's license plus 45 additional credits.											
7	7K	MA/MS and 15 additional graduate credits plus a valid Minnesota Teacher's license.	48,108	49,861	51,636	53,328	55,123	56,814	58,589	60,281	61,993	63,768	66,336
			4,009	4,155	4,303	4,444	4,594	4,735	4,882	5,023	5,166	5,314	5,528
			23.04	23.88	24.73	25.54	26.40	27.21	28.06	28.87	29.69	30.54	31.77
8	8K	MA/MS and 30 additional graduate credits plus a valid Minnesota Teacher's license.	49,861	51,636	53,328	55,123	56,814	58,589	60,281	61,993	63,768	65,501	68,006
			4,155	4,303	4,444	4,594	4,735	4,882	5,023	5,166	5,314	5,458	5,667
			23.88	24.73	25.54	26.40	27.21	28.06	28.87	29.69	30.54	31.37	32.57
9	9K	MA/MS and 45 additional graduate credits plus a valid Minnesota Teacher's license.	51,636	53,328	55,123	56,814	58,589	60,281	61,993	63,768	65,501	67,275	69,844
			4,303	4,444	4,594	4,735	4,882	5,023	5,166	5,314	5,458	5,606	5,820
			24.73	25.54	26.40	27.21	28.06	28.87	29.69	30.54	31.37	32.22	33.45

Note: The yearly salaries listed are based on a 12-month work schedule.



**APPENDIX E**  
**UNIT 215 SPECIAL TEACHERS**  
**CLASSES AND SALARIES AS OF JULY 1, 2001**

JOB CODE	JOB TITLE	GRID ID #	BARG UNIT	COMP CODE	MINIMUM HOURLY	MAXIMUM HOURLY	MINIMUM MONTHLY	MAXIMUM MONTHLY	MINIMUM ANNUAL	MAXIMUM ANNUAL
008670	Arts Education Teacher 1	15A	215	01J	12.57	15.93	2,187	2,772	26,246	33,262
008671	Arts Education Teacher 2	15A	215	02J	17.10	24.39	2,975	4,244	35,705	50,926
008675	Arts Education Teacher 3	15A	215	03J	18.35	25.63	3,193	4,460	38,315	53,515
008676	Arts Education Teacher 4	15A	215	04J	19.56	26.82	3,403	4,667	40,841	56,000
008677	Arts Education Teacher 5	15A	215	05J	20.78	28.03	3,616	4,877	43,389	58,527
008678	Arts Education Teacher 6	15A	215	06K	21.59	30.41	3,757	5,291	45,080	63,496
008679	Arts Education Teacher 7	15A	215	07K	22.37	30.84	3,892	5,366	46,709	64,394
008680	Arts Education Teacher 8	15A	215	08K	23.18	31.62	4,033	5,502	48,400	66,023
008796	Arts Education Teacher 9	15A	215	09K	24.01	32.48	4,178	5,652	50,133	67,818
002385	Special Teacher:5yr Voc Lic	15A	215	03J	18.35	25.63	3,193	4,460	38,315	53,515
000690	Special Teacher:5yr Voc Lic+15	15A	215	04J	19.56	26.82	3,403	4,667	40,841	56,000
002386	Special Teacher:5yr Voc Lic+30	15A	215	05J	20.78	28.03	3,616	4,877	43,389	58,527
002708	Special Teacher:5yr Voc Lic+45	15A	215	06K	21.59	30.41	3,757	5,291	45,080	63,496
000683	Special Teacher:Ba/Bs+Lic	15A	215	02J	17.10	24.39	2,975	4,244	35,705	50,926
002382	Special Teacher:Ba/Bs+Lic+15	15A	215	03J	18.35	25.63	3,193	4,460	38,315	53,515
000684	Special Teacher:Ba/Bs+Lic+30	15A	215	04J	19.56	26.82	3,403	4,667	40,841	56,000
002383	Special Teacher:Ba/Bs+Lic+45	15A	215	05J	20.78	28.03	3,616	4,877	43,389	58,527
002707	Special Teacher:Ba/Bs+Lic+60	15A	215	06K	21.59	30.41	3,757	5,291	45,080	63,496
000685	Special Teacher:Ma/Ms/5yr+Lic	15A	215	06K	21.59	30.41	3,757	5,291	45,080	63,496
002384	Special Teacher:Ma/Ms+Lic+15gr	15A	215	07K	22.37	30.84	3,892	5,366	46,709	64,394
000686	Special Teacher:Ma/Ms+Lic+30gr	15A	215	08K	23.18	31.62	4,033	5,502	48,400	66,023
003701	Special Teacher:MA/MS+Lic+45Gr	15A	215	09K	24.01	32.48	4,178	5,652	50,133	67,818
000687	Special Teacher:No Degree/Lic	15A	215	01J	12.57	15.93	2,187	2,772	26,246	33,262
000689	Special Teacher:Voc Lic	15A	215	02J	17.10	24.39	2,975	4,244	35,705	50,926

**APPENDIX E-1**  
**UNIT 215 SPECIAL TEACHERS**  
**CLASSES AND SALARIES AS OF JULY 1, 2002**

JOB CODE	JOB TITLE	GRID ID #	BARG UNIT	COMP CODE	MINIMUM HOURLY	MAXIMUM HOURLY	MINIMUM MONTHLY	MAXIMUM MONTHLY	MINIMUM ANNUAL	MAXIMUM ANNUAL
008670	Arts Education Teacher 1	15A	215	01J	12.95	16.41	2,253	2,855	27,040	34,264
008671	Arts Education Teacher 2	15A	215	02J	17.61	25.12	3,064	4,371	36,770	52,451
008675	Arts Education Teacher 3	15A	215	03J	18.90	26.40	3,289	4,594	39,463	55,123
008676	Arts Education Teacher 4	15A	215	04J	20.15	27.62	3,506	4,806	42,073	57,671
008677	Arts Education Teacher 5	15A	215	05J	21.40	28.87	3,724	5,023	44,683	60,281
008678	Arts Education Teacher 6	15A	215	06K	22.24	31.32	3,870	5,450	46,437	65,396
008679	Arts Education Teacher 7	15A	215	07K	23.04	31.77	4,009	5,528	48,108	66,336
008680	Arts Education Teacher 8	15A	215	08K	23.88	32.57	4,155	5,667	49,861	68,006
008796	Arts Education Teacher 9	15A	215	09K	24.73	33.45	4,303	5,820	51,636	69,844
002385	Special Teacher:5yr Voc Lic	15A	215	03J	18.90	26.40	3,289	4,594	39,463	55,123
000690	Special Teacher:5yr Voc Lic+15	15A	215	04J	20.15	27.62	3,506	4,806	42,073	57,671
002386	Special Teacher:5yr Voc Lic+30	15A	215	05J	21.40	28.87	3,724	5,023	44,683	60,281
002708	Special Teacher:5yr Voc Lic+45	15A	215	06K	22.24	31.32	3,870	5,450	46,437	65,396
000683	Special Teacher:Ba/Bs+Lic	15A	215	02J	17.61	25.12	3,064	4,371	36,770	52,451
002382	Special Teacher:Ba/Bs+Lic+15	15A	215	03J	18.90	26.40	3,289	4,594	39,463	55,123
000684	Special Teacher:Ba/Bs+Lic+30	15A	215	04J	20.15	27.62	3,506	4,806	42,073	57,671
002383	Special Teacher:Ba/Bs+Lic+45	15A	215	05J	21.40	28.87	3,724	5,023	44,683	60,281
002707	Special Teacher:Ba/Bs+Lic+60	15A	215	06K	22.24	31.32	3,870	5,450	46,437	65,396
000685	Special Teacher:Ma/Ms/5yr+Lic	15A	215	06K	22.24	31.32	3,870	5,450	46,437	65,396
002384	Special Teacher:Ma/Ms+Lic+15gr	15A	215	07K	23.04	31.77	4,009	5,528	48,108	66,336
000686	Special Teacher:Ma/Ms+Lic+30gr	15A	215	08K	23.88	32.57	4,155	5,667	49,861	68,006
003701	Special Teacher:MA/MS+Lic+45Gr	15A	215	09K	24.73	33.45	4,303	5,820	51,636	69,844
000687	Special Teacher:No Degree/Lic	15A	215	01J	12.95	16.41	2,253	2,855	27,040	34,264
000689	Special Teacher:Voc Lic	15A	215	02J	17.61	25.12	3,064	4,371	36,770	52,451

## **APPENDIX F - REQUEST FOR LANE CHANGE**

---

Employee Name: \_\_\_\_\_

Lane being applied for: \_\_\_\_\_

Courses to be applied for lane change and criterion or criteria under which request being made (refer to Article 23, Section 6, Subd. A(1) and/or (2)): (Attach documentation)

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I request that the above courses be applied for a lane change in accordance with the labor agreement between the State of Minnesota and the S.R.S.E.A.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date Submitted

### **FOR APPOINTING AUTHORITY USE**

Approved \_\_\_\_\_

Date Received \_\_\_\_\_

Not Approved \_\_\_\_\_

Reason If Not Approved: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_  
Appointing Authority or Designee

\_\_\_\_\_  
Date Approved

## APPENDIX G - REQUEST FOR APPROVAL OF CREDIT

Employee Name: \_\_\_\_\_

College, University, or Sponsor: \_\_\_\_\_

Department: \_\_\_\_\_

Course or program and criterion or criteria under which request being made (refer to Article 23, Section 6, Subd. A(1) and/or (2)):

\_\_\_\_\_  
\_\_\_\_\_

Title: \_\_\_\_\_

Date(s): \_\_\_\_\_

Number of Credits: semester \_\_\_\_\_ quarter \_\_\_\_\_ or Number of Local Credit clock hours: \_\_\_\_\_

Course or Program Description: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Explain the value of this course or program to the Institution's Education Program, if applicable:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I affirm that the above information is correct and hereby request that this credit be approved for utilization in accordance with the labor agreement between the State of Minnesota and the State Residential Schools Education Association.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date Submitted

\_\_\_\_\_ Approved: \_\_\_\_\_

\_\_\_\_\_ Not Approved: \_\_\_\_\_

Reasons, If Not Approved: \_\_\_\_\_

\_\_\_\_\_  
Appointing Authority or Designee

\_\_\_\_\_  
Date Approved

## APPENDIX H - CREDIT DOCUMENTATION FORM

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### CERTIFICATION OF SATISFACTORY COMPLETION OF COLLEGE CLASS

NAME OF STUDENT \_\_\_\_\_ DATE \_\_\_\_\_

EMPLOYING FACILITY \_\_\_\_\_

COLLEGE/UNIVERSITY \_\_\_\_\_

DEPARTMENT \_\_\_\_\_

COURSE NUMBER \_\_\_\_\_

COURSE TITLE \_\_\_\_\_

\_\_\_\_\_ GRADUATE

\_\_\_\_\_ UNDERGRADUATE

CREDIT HOURS:

Semester \_\_\_\_\_

Quarter \_\_\_\_\_

This is to certify that the above named student has satisfactorily completed this course.

INSTRUCTOR \_\_\_\_\_ DATE \_\_\_\_\_

## **APPENDIX I - BARGAINING UNIT PERSONNEL TRANSACTIONS**

---

(Include employees working more than 14 hours/week or 67 days/year.)

Department: \_\_\_\_\_

For payroll period ending: \_\_\_\_\_

### **ADDITIONS TO BARGAINING UNIT**

EMPLOYEE ID #	NAME: LAST,	FIRST,	M.I.	HOME ADDRESS	WORK LOCATION
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

### **DELETIONS FROM BARGAINING UNIT**

NAME: LAST,	FIRST,	M.I.
_____	_____	_____
_____	_____	_____
_____	_____	_____

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

## APPENDIX J - EDUCATION GRANT FORM

### AWARDS FOR EXCELLENCE IN EDUCATION STATE RESIDENTIAL SCHOOLS EDUCATION ASSOCIATION

*The following criteria must be met for a lump sum award to teachers who successfully secure education grants:*

- 1. The grant must benefit students at the teacher's facility/institution/agency.*
- 2. The grant must have been written on the teacher's own time, outside their normal job duties.*
- 3. If more than one teacher is involved in writing the grant, the lump sum award is to be shared equally between the teachers.*

Name: \_\_\_\_\_ Employee OID: \_\_\_\_\_

Institution/Facility: \_\_\_\_\_

Grant Name: \_\_\_\_\_

Describe purposes of grant and how it will benefit students at the teacher's institution/facility/agency: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Amount of Grant: \$ \_\_\_\_\_

Grant Dates: \_\_\_\_\_

Grantor: \_\_\_\_\_

Amount of Lump Sum Award Authorized: \$ \_\_\_\_\_

\_\_\_\_\_  
Signature of Applicant

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Supervisor

\_\_\_\_\_  
Date

#### For Fiscal Services Use Only

Employee ID	Expense Group ID	Fund
Expense Type	Amount	Date Paid
Agency	ORG	Appr.

**ARTICLE I**

**No Layoff Agreement**

This Agreement is made between the State of Minnesota and the various bargaining unit representatives with respect to the re-structuring of the State's health facility system and opportunities which will be provided employees as change occurs.

This Agreement will become effective only if the Legislature substantially authorizes the policy and funding necessary to implement the department's re-structuring plan.

The parties agree to the following terms in order to ensure that fair and equitable arrangements are carried out to protect the interests of affected State employees under the re-structuring. These terms shall be part of the collective bargaining Agreements between parties and shall be implemented through the master and supplemental Agreements.

1. As a result of changes in the department's service delivery system, no employee of a State-operated treatment center or nursing home except a temporary employee or emergency employee shall suffer a reduction in pay or be involuntarily laid off. Hours of work of full-time unlimited employees shall not be involuntarily reduced. The hours of work of part-time employees shall not be involuntarily reduced below their current level of Employer paid insurance contributions. Within sixty (60) days of the execution of this agreement, the parties will meet and negotiate regarding the status of intermittent employees. Following these negotiations, the employment condition of intermittent employees shall be changed, if appropriate. Intermittent employees who are laid off shall retain rights under their normal separation procedures.
2. Reduction in employee numbers will be made through normal attrition and through the provisions detailed in the employee mitigation to layoff section of this agreement.
3. Nothing in the Agreement shall be interpreted as entitling an employee to lifetime employment or as protecting an employee against discharge for just cause.
4. Employees of the department who move to State operated community based facilities in accord with the re-structuring proposal will be guaranteed collective bargaining rights as applicable under M.S. 179A and other rights under M.S. 43A, M.S. 352, and M.S. 354.
5. Training and re-training of staff who, as a result of re-structuring, fill a position in a state operated community based facility, or staff who fill a position within a facility, or between facilities, will be the responsibility of the department. The department will make every reasonable effort to coordinate training and re-training with public institutions or post-secondary education.
6. Procedures for notifying employees affected by the re-structuring plans will be negotiated into the collective bargaining agreements or supplemental agreements.
7. Any dispute concerning the interpretation, application or meaning, and relationship to the terms of the respective master or supplemental agreements must be resolved by the grievance/arbitration procedures of the appropriate agreements. The terms of the Memorandum are non-precedential.



8. Every effort will be made to communicate openly and have common understanding between the State and labor organizations affected by the re-structuring plan, including the establishment of joint labor and management committees.
9. The term of this Agreement for each facility extends until the completion of the re-structuring at that facility.

#### **EMPLOYEE MITIGATION TO LAYOFF SECTION:**

For employees whose positions will be eliminated by implementation of the department's re-structuring plan, a number of options will be offered. If an employee's position is to be eliminated, the following will be simultaneously presented to the employee:

1. job and training opportunities;
2. enhanced separation options;
3. normal separation including recall rights.

In order to reduce involuntary separations otherwise necessary, the most senior employee within a class shall be offered the choice of one of the available options before less senior employees. At the time an offer is made, the employee may select from the options available. Selection of the enhanced separation or normal separation packages preclude exercising any other option. The employee who selects from job and training opportunities [items 1.1 - 1.7] shall choose from all available job and training opportunities. Once such a selection has been made, the employee is precluded from exercising another option from items 1.1 - 1.7 at a later time, unless the employee's position is subsequently eliminated as a result of re-structuring. An employee who selected the job and training opportunities shall be guaranteed at least one job and training opportunity.

#### **JOB AND RE-TRAINING OPPORTUNITIES:**

- 1.1 A position of comparable duties and same pay within the same employment condition and within the same Regional Center.
- 1.2 A position in a State operated community based residential or day habilitation services or a position in the technical support group for those services. Such positions could be of comparable duties and same pay and within the same employment condition. Relocation expenses will be paid by the Employer.
- 1.3 A position which the parties agree can best be filled by upgrading existing staff and for which the employer agrees to pay the cost of necessary training or certification.
- 1.4 Up to 160 hours training necessary to qualify for a comparable job (i.e., no reduction in pay) and the subsequent offer of that job within the same or another Regional Treatment Center or State nursing home. Relocation expenses will be paid by the Employer.
- 1.5 A position of comparable duties and same pay, within the same employment condition, at another state agency within a reasonable commuting distance.
- 1.6 A position of comparable duties and same pay, within the same employment condition at another state agency or Regional Treatment Center. Relocation expenses will be paid by the Employer.
- 1.7 A position at any State agency pursuant to the activation of M.S. 246.60 by the Commissioner of Employee Relations and Administration. Relocation expenses will be paid by the Employer.

An employee who refuses a job and training opportunity not requiring relocation waives his/her right to enhanced separation. An employee who does not accept a job and training opportunity requiring relocation shall be entitled to select the enhanced separation option or normal separation.

**ENHANCED SEPARATION PACKAGE:**

- 2.1 Retirement, with Employer paid insurance benefits as negotiated under Chapter 605 (1988 Session Laws); or
- 2.2 In addition to benefits provided under collective bargaining agreements, a one-time enhanced payment not to exceed \$7500, based on 5% of the employee's base salary or wage, not to exceed \$1250.00 multiplied by the number of years of State service. For employees selecting this option, the department agrees not to contest any unemployment insurance determination; or,
- 2.3 In lieu of the one-time enhanced payment, tuition, fees, books, travel expenses, career guidance, and related expenses at a public institution of post-secondary education, up to the amount of the enhanced payment to which the employee would be entitled.

An employee electing the enhanced separation options waives his/her recall rights under the collective bargaining agreements.

**NORMAL SEPARATION PACKAGE:**

- 3.1 Normal separation, with all rights negotiated under collective bargaining agreements.

## **APPENDIX L - SUPPLEMENTAL AGREEMENT**

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### **THE PERPICH CENTER FOR ARTS EDUCATION**

This supplemental agreement shall apply to Unit 15 teachers at the Perpich Center for Arts Education.

#### **ARTICLE 1: Meet and Confer**

The provisions of Article 7, Meet and Confer, are supplemented by the following provision.

**Section 1. Agency Policies.** Policies related to faculty responsibilities shall be discussed by a Meet and Confer Committee. Each faculty member shall be given a copy of agency policies. New or amended policies shall be distributed to faculty members upon adoption.

#### **ARTICLE 2: Hours of Work**

The provisions of Article 8, Hours of Work, are supplemented by the following provision.

**Section 1.** Prior to the establishment of the academic calendar for the Perpich Center for Arts Education, the Appointing Authority shall meet and confer with the Association to discuss its content. Once the Appointing Authority has established the academic calendar, any changes shall be preceded by a meet and confer with the Association.

**Section 2.** Arts Education Teachers who are involved in the actual performance of an Arts Center production are not eligible for overtime compensation.

#### **ARTICLE 3: Teacher Assignments**

The provisions of Article 9, Teacher Assignments, are supplemented by the following.

Arts Education Teachers hired by the Perpich Center for Arts Education may be hired as either licensed or unlicensed teachers.

#### **ARTICLE 4: Layoff**

The provisions of Article 14, Layoff, are supplemented by the following provisions.

1. All teachers shall be laid off only for reasons of fiscal necessity and not for disciplinary reasons. Further, they shall be laid off consistent with the principles of seniority.
2. All teachers shall have all discharge rights provided in Article 15 of the Labor Agreement between the parties.
3. The above rights will be granted to any and all teachers, including incumbents, who are members of the Professional State Residential Instructional Unit 15 at PCAE who have been employed at the Center, either full-time or part-time, and who have completed a probationary period of one (1) academic year if already tenured in a K-12 public school, or two (2) academic years for all others.
4. Arts Education Teachers who are on mobility leave from an independent school district under Minnesota Statutes 15.52 shall be given an indication of their continued employment status by January 10th preceding the start of the next regular school year.

5. Article 14, Section 3(E) 1 and 2 shall be modified as follows:

Bump the least senior teacher occupying a position in the same class, class option or another option within the class for which the teacher is determined by the Employer to be qualified.

6. **Ability to Bump.** Upon the PCAE's decision to reduce or eliminate a SRSEA staff position, the laid off employee's ability to bump other SRSEA staff members at PCAE will be determined by:
- a) seniority in the SRSEA local unit, and
  - b) credentials to teach in the program area.
7. **Seniority.** Seniority is documented by the local SRSEA seniority list maintained by PCAE. Part-time teaching time is prorated according to the formula set forth in the SRSEA master contract.
8. **Credential Categories.** Credentials shall be divided into the following categories:
- a) education/professional experience appropriate to the program area;
  - b) teaching experience in the program area;
  - c) evidence that the candidate is current with trends in the program area;
  - d) evidence that the candidate can teach the depth and breadth of the program; and
  - e) evidence that the candidate has contributed to the work and mission of the entire agency (with allowance made for part-time versus full-time candidates).
9. **Review Team.** An SRSEA staff member who is facing layoff and who has the seniority and potential credentials to bump a less senior SRSEA staff member shall develop a professional portfolio which addresses the five (5) credentialing categories. A review team will consist of two (2) administrators and two (2) SRSEA members. It is desirable but not necessary that a teacher in the program area involved be on the review team. Volunteers will be sought initially and from there the best possible team will be assembled. Service on the review team will count toward agency-wide contribution. The team will review the candidate's professional portfolio, interview the candidate, and evaluate the portfolio and the candidate according to the following criteria:
- a) **Category one** (education/professional experience) will be assessed by a yes/no checklist (e.g., holds an undergraduate or graduate degree in the appropriate field, etc.)
  - b) **Category two** (teaching experience) will be assessed by a combined checklist and rating scale. For example:
    - Candidate has teaching experience with high school aged students: yes/no.
    - Candidate displays successful teaching experience with high school aged students: 4-5 (strong evidence); 3 (evidence); 1-2 (little or no evidence).
  - c) **Category three** (current in the field) will be assessed by a rating scale. For example:
    - Candidate has participated in one or more professional organizations: 4-5 (strong evidence); 3 (evidence); 1-2 (little or no evidence).
    - Candidate speaks knowledgeably about 2-3 of the most current trends in the field: 4-5 (strong evidence); 3 (evidence); 1-2 (little evidence).

- d) **Category four** (depth/breadth) will be assessed according to a rating scale and criteria mutually developed by administration and by teachers in the affected program. Examples of criteria in the field of dance are: "all levels of experience, from beginning to advanced in: modern technique, ballet technique, dance history, dance criticism, repertory, composition, improvisation, anatomy, kinesthetics, and body study."
  - e) **Category five** (agency contributions) will be assessed by a checklist. For example: candidate has contributed to and is involved in agency-wide roles:
    - Candidate has service on agency-wide committees or task forces (yes/no; list; dates).
    - Candidate has served in leadership roles such as chaired a committee, headed a task force, headed a department, initiated a project, etc. (yes/no; list; dates).
    - Candidate has contributed to agency-wide development such as contributed lessons or program information to the homepage, made presentations or contributions to outside groups, developed and shared curriculum and assessment ideas with outside groups, etc. (yes/no; list; dates).
10. **Review Team Approval.** In order to be approved by the review committee, a candidate must demonstrate competence and strength in all five categories. Candidates must achieve an average score of 3 or above in categories 2-4, must demonstrate appropriate education and/or professional experience in category one, and must show a record of service to the agency in category five (appropriate to part-time or full-time status). If consensus cannot be reached on the review committee, then the administration will make the final decision. In the event that two or more candidates meet this minimum credentialing criteria, the most senior candidate shall prevail. Any ties in seniority shall be resolved pursuant to the SRSEA Master contract provisions.
  11. **Probationary Period.** Teachers who are accepted into a new program area under this system will be placed on probation for two (2) years. During the course of this probationary period, the following will apply:
    - a) The mentee teacher will establish a professional development plan which will be monitored during the probationary period. The professional development plan will grow out of the five category initial assessment process described above and will be adapted to the needs and background of the teacher.
    - b) The mentee teacher will work with a mentor teacher who will observe the mentee teacher and offer feedback and support on a monthly basis during the first year of probation. A mid-year and end-of-the-year evaluation will be conducted by the mentor teacher in collaboration with administration. At the end-of-the-year evaluation, the administration, in collaboration with the mentor teacher, will extend or not extend the appointment for one more year.
    - c) During the second year of probation, the mentor teacher will meet with the mentee teacher on an "as needed" basis. A mid-year and end-of-the-year evaluation will be conducted. Upon receiving a satisfactory end-of-the-year evaluation, the mentee teacher will be appointed by administration to a regular full-time position.
  12. **Ability to Grieve.** Any candidate who is not satisfied with the final decision may grieve such decision by following the grievance procedure set forth in Article 16 of the SRSEA Master contract.
  13. **Duration.** The provisions in paragraphs 6-12 of this Article 4 shall be in effect through June 30, 2003.

## **ARTICLE 5: Vacation Leave**

The provisions of Article 18, Vacation Leave, are supplemented by the following provisions.

Length of service may also include time spent in other formal teaching positions as stated below:

An employee who is appointed to or occupies a position covered by this agreement within three (3) academic years of separation from formal teaching or professional artistic positions may, at the discretion of the Appointing Authority, transfer length of service credit from such positions for purposes of vacation accrual. Any increase in the vacation accrual rate which is the result of the Appointing Authority decision, shall commence the pay period after the date of the Appointing Authority decision. Determinations made under this provision are not arbitrable under this agreement.

Mandatory vacation leave that employees are required to take during official school breaks shall not exceed five (5) days per academic year.

## **ARTICLE 6: Holidays**

The provisions of Article 20, Holidays, are supplemented by the following provisions.

**Section 1.** Employees will not normally be scheduled to work on the listed holidays in Article 20 except that the Appointing Authority may designate alternate days for the observance of these asterisked holidays. Prior to the implementation of a change in the listed holiday schedule, the Local Association may request to meet and confer regarding such a change.

## **ARTICLE 7: Salaries**

The provisions of Article 23, Salaries, are supplemented by the following provisions.

Arts Education Teachers shall qualify for lane changes on the basis of a combination of education and work experience that is approved in advance by the Appointing Authority. Denials shall not be arbitrable.

## **ARTICLE 8: Extra Curricular Assignments**

Assignments to extra curricular activities that are in addition to normal teaching assignments shall first be made from volunteers within the institution. The appointing authority retains the right to discontinue any of the activities and the right to fill or not fill vacancies.

Event Chaperones	\$40/Event
Student Government	\$1,500
Gala	\$800
Yearbook	\$3,500

## **APPENDIX M - SUPPLEMENTAL AGREEMENT**

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### **MINNESOTA STATE ACADEMY FOR THE BLIND AND MINNESOTA STATE ACADEMY FOR THE DEAF**

This Supplemental Agreement shall apply to Unit 15 teachers at the Minnesota State Academy for the Blind and the Minnesota State Academy for the Deaf.

#### **ARTICLE 1: Hours of Work**

The provisions of Article 8, Hours of Work, are supplemented by the following provision:

**Section 1.** Prior to the establishment of the academic calendar for the Minnesota State Academy for the Blind and the Minnesota State Academy for the Deaf, the Appointing Authority shall meet and confer with the Association to discuss its content. Once the Appointing Authority has established the academic calendar, any changes shall be preceded by a meet and confer with the Association.

#### **ARTICLE 2: Layoff**

The provisions of Article 14, Layoff, are supplemented by the following provision:

**Section 1. Seniority.** Notwithstanding the fact that the Minnesota Department of Children, Families and Learning and the State Board of Teaching recognize a hearing impaired and visually handicapped license as proper qualifications to teach all subjects at the Minnesota Academy for the Deaf and the Minnesota Academy for the Blind respectively, seniority, bumping rights, and recall from layoff shall be determined on the basis of subject matter licensure held at the time of layoff.

#### **ARTICLE 3: Vacation Leave**

The provisions of Article 18, Vacation Leave, are supplemented by the following provision:

**Section 1. Vacation Usage.** Teachers at the Minnesota State Academy for the Blind and the Minnesota State Academy for the Deaf shall be permitted to use accumulated vacation leave beyond the specified date ending the academic year. Teachers prior to May 1 of each year shall designate the amount of vacation hours to be used. Use of such accumulated vacation shall not entitle employees for holiday pay eligibility or conversion of vacation leave to sick leave.

#### **ARTICLE 4: Holidays**

The provisions of Article 20, Holidays, are supplemented by the following provision:

**Section 1.** Employees will not normally be scheduled to work on the listed holidays in Article 20 except that the Appointing Authority may designate alternate days for the observance of these asterisked holidays. Prior to the implementation of a change in the listed holiday schedule, the Local Association may request to meet and confer regarding such a change.

Notwithstanding the above, employees at Minnesota Academy for the Deaf and Minnesota Academy for the Blind shall be eligible for the Christmas and New Year's holidays provided they are in payroll status on the last scheduled work day prior to the Christmas Break and on the first scheduled work day following the break; however, to be eligible for the Independence Day holiday the employee(s) must be employed for the summer school session, and Independence Day must fall during the summer session.

## **ARTICLE 5: Teacher Assignments**

**Section 1. Assignments.** All teachers shall participate in curriculum development as assigned by the Appointing Authority. Assignments as determined by the Appointing Authority to participate in curriculum development shall first be made from volunteers. If there are no volunteers, the Appointing Authority shall assign teachers to participate in curriculum development.

**Section 2.** All teachers that are assigned and participate in curriculum development during the summer break shall be compensated at a rate of fifteen dollars and thirty cents (\$15.30) an hour:

**Section 3. Pilot Program.** Please refer to the letter outlining the process for making assignments for the school year.

## **ARTICLE 6: Extracurricular Assignments**

**Section 1. Assignments.** Assignments to extracurricular activities that are in addition to the normal teaching schedule shall first be made from volunteers within the institution who are associated with the activity. The Appointing Authority retains the right to discontinue any of the above programs and to fill or not fill any vacancies.

### **Section 2. Extracurricular Salary Schedule.**

<b><u>Category 1 Base</u></b>	<b><u>Category 2 Base</u></b>	<b><u>Category 3 Base</u></b>	<b><u>Category 4 Base</u></b>
\$3,963	\$2,464	\$2,000	\$1,607
<b><u>Category 1 Programs</u></b>	<b><u>Category 2 Programs</u></b>	<b><u>Category 3 Programs</u></b>	<b><u>Category 4 Programs</u></b>
Football Volleyball	Basketball	Cheerleading Speech/Forensics (MSAB) Swimming Track Wrestling Team Leader	Baseball Drama Goalball Golf
<b><u>Coaching Position</u></b>	<b><u>Percent of Base Stipend</u></b>		
Varsity Head Coach	100%		
Varsity Assistant Coach	75%		



**Job Title****Stipend per Academic Season**

Athletic Director	\$ 2,265.00
Assistant Athletic Director	\$ 720.00
Senior Class Sponsor	\$ 887.00
Yearbook Director	\$ 900.00
Junior Class Sponsor	\$ 536.00
Sophomore Class Sponsor	\$ 375.00
"C" Team Head Coaches	\$ 863.00
"C" Team Assistant Coaches	\$ 720.00
Newsletter Editor	\$ 900.00
Student Council Advisor	\$ 536.00
Junior National Association for Deaf	\$ 536.00
Speech/Debate/Forensic Advisor (MSAD)	\$ 536.00
Drama Assistant	\$ 643.00
Sign Language/Voice Interpreter	\$ 10.42/hr.
SCPI Team Member	\$ 282.00/year
Closeup Advisor	\$ 803.00
Brain Bowl Advisor	\$ 428.00
Driver's Education Instructor	\$ 28.84/hr.

Activity Sponsors (for other than listed, school sponsored, competitive events, broomball, beepball, etc.)

Home Events	\$ 15.00/hr.**
Away Events	\$ 151.00/day***
Other workers (scores, times, etc.)	\$ 7.82/hr.

\*\*Football, basketball, volleyball, track, wrestling and swimming.

\*\*Hours beyond the normal workday.

\*\*\*Saturdays and Sundays.

## APPENDIX N - STATUTORY LEAVES

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Following are the citations for leaves designated by the Legislature. These leaves are subject to change or repeal. These leaves are not grievable or arbitrable under Article 16 of this contract.

3.088	Leave of Absence to Serve as a Legislator or For Election to a full-time City or County Office.
15.62	Athletic Leave of Absence.
43A.32	Leaves of Absence for Classified Employees Who Become Elected Public Officials or Candidates.
43A.185	Disaster Volunteer Leave.
179A.07, Subd. 6	Elected or Appointed Officials of the Exclusive Representatives.
181.940 - 181.943	Parenting Leave, School Conference and Activities Leave, and Sick Child Care Leave.
181.945	Bone Marrow Donation Leave.
181.946	Leave for Civil Air Patrol Service.
192.26, 192.261	Military Service Leave.
202A.135	Leave Time from Employment; Party Officers; Delegates to Party Conventions.
202A.19	Precinct Caucus Leave.
204B.195	Time Off from Work to Serve as Election Judge.
204C.04	Time Off to Vote in a State Primary Election, a Presidential Primary Election, or an Election to Fill a Vacancy in the Office of United States Senator or United States Representative.
181.9455	Organ Donation Leave

Note: These leaves may be paid or unpaid, depending on the provisions of the applicable statute.

Note: Please see Appendix R for information on the Family Medical Leave Act (FMLA).

## APPENDIX O - SENIORITY ROSTER

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(Date of Posting)

(Name of Facility)

Subject:				
Criteria:				
Name	License(s)	Date	Meets Criteria	Currently Assigned

Subject:				
Criteria:				
Name	License(s)	Date	Meets Criteria	Currently Assigned

Subject:				
Criteria:				
Name	License(s)	Date	Meets Criteria	Currently Assigned

## APPENDIX P - GLOSSARY

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The descriptions found in this glossary are provided for informational purposes only and are not binding upon the parties. In the event of a conflict between any description set forth herein and a definition set forth in the contract/agreement, law, rule, or Administrative Procedure, the terms of that document shall prevail.

**Actively at Work** - Employees are "actively at work" if they are on active payroll status and not using paid or unpaid leave.

**Administrative Procedures** - The procedures of the Department of Employee Relations developed in accord with M.S. 43A.04, Subd. 4.

**Agency** - Department, commission, board, institution, or other employing entity of the civil service, in which all positions are under the same appointing authority.

**Appointing Authority** - A person or a group of persons empowered by the Constitution, statute, or executive order to employ persons in, or to make appointments to positions in the civil service.

**Appointment Status** - Could be unlimited or limited. See appropriate definitions.

**Balancing** - A time management system for professional employees that provides for the flexibility to schedule, with supervisory approval, work days/weeks other than those defined as normal so as to equitably address the variability in the hours of work often required of a professional teaching position. In contrast to flextime schedules, balancing does not occur on a regular and recurring basis and does not guarantee hour-for-hour time off for extra hours worked. Examples of situations where balancing might be approved include:

- teacher needs to work into the evening on a regular job duty, but could "balance" hours by coming in later the next day, if work schedule permits.
- teacher needs to work on a Saturday on a regular job duty, but could "balance" hours by not working the following Monday, if work schedule permits.
- teacher needs to work several evening hours for conferences early in September, but could "balance" hours by working less hours in a different week, if work schedule permits.

**College Credit** - For purposes of this contract, college credits are measured in quarter credits. One semester credit equals 1.5 quarter credits.

**Classified Service** - All positions now existing or hereafter created in the civil service and not specifically designated unclassified pursuant to M.S. 43A.08 or other enabling legislation.

**Compensatory Time ("comp time")** - A form of payment for overtime that the employee may, with supervisory approval, use as a form of paid leave. [See also "overtime."]

**Competitive Open List** - List of candidates who passed the exam for a class where the exam was open for application to both state employees and the public. Usually maintained for one year.

**Competitive Promotional List** - List of candidates who passed the exam for a class where the exam was open for application to current state employees only. Promotional exams may be open to all state employees or only employees of a particular agency. All state employees, except emergency and intern appointees, are eligible for promotional exams. Lists are usually maintained for two years.

**Delegated Authority** - The responsibility and accountability given to an agency by the Department of Employee Relations to perform certain classification and examination functions. This authority may vary from agency to agency.

**D.O.E.R.** (Department of Employee Relations) - The Employer of all Executive Branch State employees and employees of the three (3) retirement systems.

**E.A.P.** (Employee Assistance Program) - A service available to all state employees, which provides assistance and referral for a variety of situations including emotional, financial, family, and alcohol or chemical dependency problems.

**Eligible List** - A list of candidates who have passed a job-related exam (competitive open and competitive promotional lists) or are otherwise qualified (reemployment and layoff lists) for a specific job class pursuant to the provisions of M.S. 43A.

**Emergency Employee** - An employee who is appointed for no more than 45 aggregate work days in any 12 month period for any single Appointing Authority.

**Employer** - Department of Employee Relations, which is considered the Employer of all Executive Branch State employees and employees of the three (3) retirement systems.

**Employment Condition** - May be full-time, part-time, intermittent or seasonal. See appropriate definitions.

**First Report of Injury** - Related to Workers' Compensation, a form used for reporting injuries that happen to employees during the course of performing their job duties.

**Flextime Schedule** - An alternative work schedule available to employees upon request and with supervisory approval. A flextime schedule consists of recurring and predictable schedules, includes a specific period of time in which all employees must be at work ("core time") and another larger period of time ("band width") in which employees may choose to complete their specific work day/week requirements of their position.

**F.M.L.A. (Family Medical Leave Act)** - Federal law mandating up to 12 weeks of job protected leave to eligible employees for certain family and/or medical reasons consistent with the Act, relevant State law and collective bargaining agreements/plan.

**Incumbent** - Employee currently serving in a job.

**Intermittent** - An employment condition in which an employee is called to work as needed, without a schedule.

**Job Audit** - Process by which a position is reviewed by the Department of Employee Relations or Appointing Authority to determine the correct classification.

**Just Cause** - A standard upon which discipline is based.

**Limited Appointment** - May be emergency, temporary, temporary unclassified or provisional. See appropriate definitions.

**Mobility Assignment** - Per Administrative Procedure 1.1, voluntary, limited assignments of classified permanent employees to alternative duties within another state agency/Appointing Authority, governmental jurisdiction, or private employer. Duration cannot normally exceed two years.

**M.S. - Minnesota Statutes.**

**O.S.H.A. (Occupational Safety and Health Act)** - Federal law which governs safety and health issues in the workplace.

**Overtime** - Payment, in the form of cash or compensatory time, for work performed that has been determined to be a special project. Examples of situations where overtime payment might be approved include:

- a teacher is asked to design a new program, in addition to his/her regular job duties. This additional assignment might be a special project eligible for overtime.
- a teacher needs to work an extra shift due to special circumstances.

**P.E.L.R.A. (Public Employee Labor Relations Act)** - Minnesota Statute 179A which governs the relationships between public employers and their employees. Provisions include granting public employees the right to organize, requiring public employers to meet and negotiate with public employees and establishing the responsibilities, procedures and limitations of public employment relationships.

**Position Description** - A document which defines an individual job's duties and responsibilities and the knowledge, skills, and abilities required to perform them.

**Provisional Appointment** - An appointment authorized when there is an urgent reason for filling a vacancy and no person on an incomplete certification is suitable or available for appointment. Appointment may not normally exceed 12 months, but may be extended for persons provisionally appointed to positions requiring licensure or certification where there is a lack of eligibles. Person must pass the appropriate qualifying exam and /or be qualified in all respects except for completion of a licensure or certification requirement.

**Re-employment List** - An eligible list by class of current or former permanent and probationary classified employees laid off, demoted in lieu of layoff or separated in good standing from the class, and whose written applications for consideration for re-employment in the class have been approved by DOER.

**Reinstatement** - The appointment of a former permanent or probationary employee to a class within four years of the employee's separation from the class.

**Seasonal Appointment** - A limited appointment for no more than ten (10) months during any twelve (12) consecutive months with the expectation that the employee will return to work year after year.

**Special Project** - Work performed that the supervisor has determined is in addition to an employee's normal work duties or workload and is, therefore, eligible for overtime payment.

**Shift Differential** - A fixed amount of money that is added to an employee's normal hourly wage when an assigned shift begins before or ends after a specified time of day.

**Temporary Appointment** - A limited appointment not to exceed 12 months in any 24 month period within any one Appointing Authority.

**Temporary Unclassified** - A limited unclassified appointment pursuant to M.S. 43.08, Subd. 2A.

**Unclassified Service** - All positions specifically designated as not being classified pursuant to M.S. 43A.08 and other enabling legislation.

**Unlimited Appointment** - An appointment for which there is no specified maximum duration.

**Work-Out-Of-Class** - Assignment of an employee to duties in another class, usually for no more than two (2) years. The employee remains assigned to their original bargaining unit.

## **APPENDIX Q - STIPULATION ON RELEASE OF INFORMATION**

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**STIPULATION  
between  
State of Minnesota  
and  
State Residential Schools Education Association**

In The Matter of a Request to  
Release Certain Information from

State Residential Schools Education Association

and

State of Minnesota, Department of Employee Relations

The undersigned Parties agree that they shall abide by the following Stipulation:

1. Subject to the restrictions set forth in paragraphs 2 and 3 below, the State will produce, as requested by the State Residential Schools Education Association, the following information the State has determined includes information which is classified as private personnel data on individuals under Minn. Stat. §13.43.
  - a. Bi-weekly report; and
  - b. Quarterly report of active employees in the bargaining unit.
2. The information identified in paragraph 1 above will be subject to the following restrictions:
  - a. This data shall be used by the State Residential Schools Education Association to conduct elections, notify employees of fair share fee assessments, implement the provisions of Minn. Stat. §179A and shall not be disclosed for any other purpose.
  - b. The State Residential Schools Education Association agrees to maintain the data and shall not produce or disclose the data to any other person or parties.
  - c. The State Residential Schools Education Association agrees to return the data to the State when it is determined by the State Residential Schools Education Association that the data is dated or replaced and no longer needed. Or, the State Residential Schools Education Association may elect to destroy the data in a manner which assures that the data cannot be retrieved and used in any manner. If the data is destroyed, the State Residential Schools Education Association agrees to inform the State at the time the data is destroyed.



3. The State Residential Schools Education Association shall keep all confidential and private data disclosed to them in accordance with the terms of this Stipulation. The State Residential Schools Education Association and the Employer understand and agree that each will be responsible for its own acts and the results thereof to the extent authorized by law and shall not be responsible for the acts of the other party and the results thereof. The State's liability shall be governed by the provisions of the Minnesota Tort Claims Act, Minn. Stat. §3.736, et. seq., and other applicable law.
4. The provisions of this Stipulation shall remain in effect until June 30, 2003.

FOR THE ASSOCIATION

## FOR THE EMPLOYER

Jeanette June  
President

Date \_\_\_\_\_

Wayne Simoneau  
Acting Commissioner

Date \_\_\_\_\_

## **APPENDIX R - STATEWIDE POLICY ON FMLA**

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The following "Statewide Policy on FMLA" and "Frequently Asked Questions" are subject to change by the Employer and are not grievable or arbitrable under this Collective Bargaining Agreement.

10/00

### **STATEWIDE POLICY ON FMLA**

#### **Purpose**

To provide guidelines to agencies on implementation of the Federal Family Medical Leave Act of 1993 (FMLA).

#### **Policy**

Every fiscal year, the State of Minnesota will provide up to 12 weeks of job-protected leave to "eligible" employees for certain family and medical reasons consistent with the FMLA, relevant State law, and collective bargaining agreements and plans.

#### **Definitions**

Listed below are the definitions of specific words and phrases as used in the Family Medical Leave Act. These definitions are intended to be used solely in relation to the provisions of the Family Medical Leave Act, and should not be expanded to any other situation. Following each heading is a citation number from the regulations published in 1995.

#### **"EMPLOYEE IS NEEDED TO CARE FOR A FAMILY MEMBER" 825.116**

This encompasses both physical and psychological care which include situations where:

- 1) Because of a serious health condition, the family member is unable to care for his or her own basic medical, hygienic, nutritional needs or safety; or is unable to transport himself or herself to the doctor.
- 2) The employee is needed to provide psychological comfort and reassurance which would be beneficial to a child, spouse or parent with a serious health condition who is receiving inpatient or home care.
- 3) The employee may be needed to fill in for others who are caring for the family members, or to make arrangements for changes in care, such as transfer to a nursing home.

#### **"HEALTH CARE PROVIDER" 825.118**

- a) A doctor of medicine or osteopathy who is authorized to practice medicine or surgery by the State in which the doctor practices.
- b) Others capable of providing health care services including only:
  - Podiatrists, dentists, clinical psychologists, optometrists, and chiropractors (limited to treatment consisting of manual manipulation of the spine to correct a subluxation as demonstrated by x-ray to exist) authorized to practice in the State.

- Nurse practitioners and nurse-midwives who are authorized to practice under State law.
- Christian Science practitioners listed with the First Church of Christ, Scientist in Boston, Massachusetts.
- Clinical Social Worker.
- Any health care provider from whom an employer or the employer's group health plan's benefits manager will accept certification of the existence of a serious health condition to substantiate a claim for benefits, including a foreign physician.

#### "INCAPABLE OF SELF-CARE" 825.113

Incapable of self-care means that the individual requires active assistance or supervision to provide daily self-care in three or more of the "activities of daily living" (ADLs) or "instrumental activities of daily living" (IADLs).

#### "IN LOCO PARENTIS" 825.113

Persons who are "in loco parentis" include those with day-to-day responsibilities to care for and financially support a child or, in the case of an employee, who had such responsibility for the employee when the employee was a child. A biological or legal relationship is not necessary.

#### "PARENT" 825.113

A biological parent or an individual who stands or stood in loco parentis to an employee when the employee was a child. This term does not include parents "in law".

#### "PHYSICAL OR MENTAL DISABILITY" 825.113

A physical or mental impairment that substantially limits one or more of the major life activities of an individual.

#### "SERIOUS HEALTH CONDITION" 825.114

For purposes of the FMLA, serious health condition means an illness, injury, impairment, or physical or mental condition that involves:

- A. **Inpatient care**, i.e., an overnight stay, in a hospital, hospice, or residential care facility, including any period of incapacity or any subsequent treatment in connection with such inpatient care; or
- B. **Continuing treatment** by a health care provider that involves:
  1. **A period of incapacity** (i.e., inability to work, attend school or perform other regular daily activities due to the serious health condition, treatment therefor, or recovery therefrom) **of more than three consecutive calendar days; and**
  2. Any subsequent treatment or period of incapacity relating to the same condition, that also involves:
    - (a) **Treatment two or more times** by a health care provider, by a nurse or physician's assistant under direct supervision of a health care provider, or by a provider of health care services (e.g., physical therapist) under order of, or on referral by, a health care provider; or

- (b) **One treatment session** by a physician which results in a regimen of continuing treatment by a health care provider, or at least under the supervision of the health care provider; or
- C. **Pregnancy.** Any period of incapacity due to pregnancy, or for prenatal care. This absence qualifies for FMLA leave even though the employee does not receive treatment from a health care provider during the absence, and even if the absence does not last more than three days; or
- D. **Chronic serious health condition.** Any period of incapacity or treatment for such incapacity due to a chronic serious health care condition. This absence qualifies for FMLA leave even though the employee or immediate family member does not receive treatment from a health care provider during the absence, and even if the absence does not last more than three days.

**Chronic serious health condition** is defined as one which:

- (a) Requires periodic visits for treatment by a health care provider, or by a nurse or physician's assistant under direct supervision of a health care provider; and
  - (b) Continues over an extended period of time; and
  - (c) May cause episodic rather than a continuing period of incapacity (e.g., asthma, diabetes, epilepsy, etc.); or
- E. **Permanent or long term condition** for which treatment may not be effective. The employee or family member must be under the continuing supervision of, but need not be receiving active treatment by, a health care provider, e.g., Alzheimer's, a severe stroke, or the terminal stages of a disease; or
  - F. **Multiple treatments** by a health care provider or a provider of health care services under orders of, or on referral by, a health care provider, either for restorative surgery after an accident or other injury, or for a condition that would likely result in a period of incapacity of more than three consecutive calendar days in the absence of medical intervention such as cancer (radiation, chemotherapy, etc.), severe arthritis (physical therapy), or kidney disease (dialysis).

**Specific Exclusions:** Routine physical, eye, or dental examinations, cosmetic treatments, cold, flu, and earaches are excluded.

**Specific Inclusions.** The following conditions are included in the definition of serious health condition:

- A. Mental illness resulting from stress or allergies; and
- B. Substance abuse if the conditions of the FMLA rules are met. Leave may only be taken for treatment of substance abuse by a health care provider or by a provider of health care services on referral by a health care provider. Absence due to an employee's use of the substance does not qualify for FMLA leave.

"SON" OR "DAUGHTER" 825.113

A biological, adopted, or foster child, a stepchild, a legal ward, or a child of a person standing in loco parentis, who is either under age 18, or age 18 or older and "incapable of self-care" because of a mental or physical disability.

## "SPOUSE" 825.113

A spouse means a husband or wife as defined or recognized under state law for purposes of marriage in the state where the employee resides, including common law marriage in states where it is recognized.

## "UNABLE TO PERFORM THE FUNCTIONS OF THE POSITION OF THE EMPLOYEE" 825.115

Where the health care provider finds that the employee is unable to work at all or is unable to perform any of the essential functions of the employee's position within the meaning of the Americans with Disabilities Act.

### **Procedures and Responsibilities**

#### **I. Eligibility**

##### **A. Employee Eligibility**

1. The employee must have worked for the State of Minnesota for at least one year; and
2. The employee must have worked at least 1,250 hours during the 12 months immediately preceding the request. The Fair Labor Standards Act requires employers to count hours of work only, not paid hours such as vacation, holidays, sick pay, unpaid leave of any kind, or periods of layoff.

##### **B. Reasons For Taking a Qualifying Leave**

1. For the birth of the employee's child, and to care for such child.
2. For the placement with an employee of a child for adoption or foster care.
3. To care for the employee's seriously ill spouse, son or daughter, or parent.
4. Because of a serious health condition that makes the employee unable to perform one or more of the essential functions of an employee's job.

Circumstances may require that leave for the birth of a child, or for placement for adoption or foster care, be taken prior to actual birth or placement.

##### **C. Medical Certification**

1. Where FMLA qualifying leave is foreseeable and 30 days notice has been provided, an employee must provide a medical certification before leave begins.
2. Where FMLA qualifying leave is not foreseeable, an employee must provide notice to the Employer of the need for leave as soon as practicable (1 or 2 working days is expected except in extraordinary circumstances). The employee must then provide medical certification within a reasonable timeframe established by the Employer.
3. An Appointing Authority may require medical certification to support a FMLA qualifying leave request either to care for an employee's seriously ill family member, or for leave due to a serious health condition that makes the employee unable to perform the functions of his or her job.
4. The Appointing Authority may require a fitness for duty report upon the employees return.

#### D. Designating Leave

1. An employer may make a preliminary designation of leave as FMLA qualifying if medical certification was not provided prior to the beginning of leave, or if the employer is waiting for a second or third medical opinion.
2. Where an employer has knowledge that an employee's leave qualifies as FMLA leave and does not designate the leave as such, the employer may not designate leave retroactively as FMLA leave unless:
  - i. The employee has been out of work and the employer **does not learn of the reason for the leave** until after the employee returns (in which case the employer must designate the leave upon the employee's return to work); or
  - ii. The employer has **provisionally** designated leave as FMLA leave and awaits receipt of a medical certification or other reasonable documentation.

If the employee gives notice of the reason of the leave later than two days after returning to work, the employee is not entitled to the protections of the FMLA.

#### II. Coordination With Collective Bargaining Agreements/Plans

- A. FMLA qualifying leaves of absence will be identified as those authorized under collective bargaining agreements or plans, i.e., disability leave or personal leave, dependent on which leave is appropriate.
- B. The FMLA provides for an unpaid leave under certain circumstances. The employer shall require an employee to use sick leave for situations required by the collective bargaining agreements. The employer shall only require an employee to use vacation in specific instances allowed by the collective bargaining agreements. However, the employee may request and the employer shall grant vacation or compensatory time. **All paid leave time counts toward the twelve (12) weeks of FMLA qualifying leave.** However, any absences which are paid from the employee's accrued compensatory time account shall not be counted against the employee's FMLA leave entitlement.

#### III. Job Benefits and Protection

- A. During an FMLA qualifying leave, the employee and dependent health and dental insurance is maintained on the same basis as coverage would have been provided if the employee had been continuously employed during the entire leave period.
- B. An eligible employee returning from a FMLA qualifying leave is entitled to be restored to the same position and shift that the employee held when the FMLA qualifying leave began, or to an equivalent position and shift with equivalent benefits, pay, and other terms and conditions of employment.
- C. Provided the employee returns to work immediately following his/her FMLA qualifying leave (i.e., does not follow the FMLA qualifying leave with additional unpaid leave), benefits must be resumed upon the employee's return to work at the same level as were provided when leave began. Any new or additional coverage or changes in health benefits must be made available to an employee while on FMLA qualifying leave.

#### IV. General Provisions

##### A. Recordkeeping

1. FMLA provides that the Appointing Authority shall make, keep, and preserve records pertaining to the obligations under the Act.
2. The records must disclose the following:
  - (a) Basic payroll data - name; address; occupation; rate of pay; hours worked per pay period; additions and deductions from wages; total compensation paid.
  - (b) Dates FMLA qualifying leave is taken.
  - (c) If FMLA qualifying leave is taken in increments of less than one full day, the number of hours taken.
  - (d) Copies of employee notices of leave provided to the employer; copies of all general and specific notices given to employees by the employer.
  - (e) Any documents describing employee benefits or employer policies or practices regarding taking of paid or unpaid leave.
  - (f) Records of any disputes between the employer and employee regarding designation of FMLA qualifying leave.
  - (g) Records and documents relating to medical certifications or medical histories of employees or employees' family members, shall be maintained in separate confidential files.
  - (h) Premium payments for employee benefits.

##### B. Posting Requirements

1. Appointing Authorities must post a notice describing the Act's provisions. The notice must be posted in all areas where employees would normally expect to find official notices.
2. If an Appointing Authority publishes and distributes an employee handbook, information on employee entitlements and obligations under the FMLA must be included.
3. If the Appointing Authority does not publish or distribute a handbook, it must provide written guidance to employees when they request a FMLA qualifying leave.

##### C. Employer's Response to the Employee's Request for FMLA Leave

When an employee requests FMLA qualifying leave, the employer must provide the employee with the following:

1. Notice describing the employee's obligations and explaining the consequences of a failure to meet the obligations.
2. The leave will be counted against the employee's twelve weeks of FMLA leave.
3. Medical certification requirements.

4. Employee's right to use paid leave and whether the employer requires the substitution of paid leaves.
5. Requirements concerning payment of health insurance premiums.
6. The employee's potential liability for payment of health insurance premiums paid by the employer during FMLA leave if the employee fails to return to work for at least thirty (30) calendar days after taking the leave.
7. Requirements for a fitness-for-duty certificate for the employee to be restored to employment.
8. The employee's rights to restoration to the same or an equivalent job upon return from FMLA leave.

#### D. Appeal Process

If an employee believes that their rights under the FMLA have been violated, he/she may:

##### 1. Internal

- a.) Contact your Human Resources office, or;
- b.) Contact your Labor Union/Association.

##### 2. External

- a.) File or have another person file on his/her behalf, a complaint with the Secretary of Labor.
  - (1.) The complaint may be filed in person, by mail or by telephone, with the Wage and Hour Division, Employment Standards Administration, U. S. Department of Labor. The complaint may be filed at any local office of the Wage and Hour Division; the address may be found in telephone directories.
  - (2.) A complaint filed with the Secretary of Labor should be filed within a reasonable time of when the employee discovers that his/her FMLA rights have been violated, but in no event more than two (2) years from the date the alleged violation occurred, or three (3) years for a willful violation.
  - (3.) No particular form is required to make a complaint, however the complaint must be reduced to writing and include a statement detailing the facts of the alleged violation.

or;

- b.) File a private lawsuit pursuant to section 107 of the FMLA.



## FREQUENTLY ASKED QUESTIONS

*1. Which employees are eligible for an FMLA qualifying leave?*

An "eligible employee" is a State employee who:

- a) Has been employed by the State for at least 12 months, and
- b) Has worked and been compensated for at least 1,250 hours during the 12-month period immediately preceding the leave (this does not include vacation, sick leave, other paid leave, or compensatory time—this does include overtime worked).

*2. Are only permanent employees eligible for FMLA qualifying leave?*

No, non-permanent employees are eligible if they meet the requirements stated under question number one above. If employees are not in insurance eligible status, they are only eligible for unpaid time off and not the insurance benefits.

*3. Under what circumstances are employees eligible to take a FMLA qualifying leave?*

- a) For birth of the employee's child, and to care for the newborn child;
- b) For placement with the employee of a child for adoption or foster care;
- c) To care for the employee's spouse, son, daughter, or parent with a serious health condition; and
- d) Because of a serious health condition that makes the employee unable to perform the functions of the employee's job.

*4. How much time may an employee take as FMLA qualifying leave?*

Eligible employees may take up to twelve work weeks of leave during each fiscal year with the following exceptions:

**Exceptions:**

If a husband and wife both work for the State, refer to Question No. 6.

If the leave is taken for the birth of a child or the placement of a child for adoption or foster care, refer to Question No. 7.

*5. If an employee uses 12 weeks of FMLA qualifying leave in one fiscal year, are they allowed another 12 weeks the following fiscal year for the same condition?*

Yes, provided the employee still meets all the eligibility criteria (including 1250 hours worked in the year preceding the request).

*6. If both husband and wife are State employees, are they both eligible for twelve weeks of FMLA qualifying leave during the fiscal year?*

Yes. However, a husband and wife may take only a combined total of twelve weeks of FMLA qualifying leave per fiscal year under the following situations:

- a) For the birth of a son or daughter and to care for the newborn child;
- b) For placement of a child with the employee for adoption or foster care;
- c) To care for the employee's parent (not parent-in-law) who has a serious health condition.

7. *If FMLA qualifying leave is taken for the birth of a child, or for placement of a child for adoption or foster care, must the leave be completed within a specific period of time?*

Although it is possible that an employee could qualify for two separate FMLA qualifying leaves for the birth or placement of a child (under the condition explained in Question No. 5 above), all FMLA qualifying leaves must be completed within 12 months of the birth or placement of a child. The 12-month period begins on the date of birth or placement.

8. *Does FMLA leave have to be taken all at once, or can it be taken intermittently?*

FMLA qualifying leave taken for the employee's own serious health condition, or for the serious health condition of the employee's spouse, son, daughter, or parent, may be taken intermittently or on a reduced schedule if "medically necessary" and if that medical need can best be accommodated by an intermittent schedule. If the need for intermittent leave or a reduced schedule is documented by the employee's or family member's health care provider as "medically necessary", such leave shall be granted. Intermittent leave for the birth/placement of a child may be granted at the discretion of the Appointing Authority.

9. *Is an employee required to use paid sick leave for certain FMLA qualifying leaves?*

Yes. FMLA allows an employer to require the use of paid leave for certain qualifying events as stated under the terms of the collective bargaining agreements and compensation plans. Employees must use sick leave for the reasons authorized by the bargaining agreement/plan provisions. The FMLA does not require an employer to expand the use of paid leave.

10. *Are there circumstances under which an employee may request to receive paid vacation or compensatory time in conjunction with FMLA?*

An employee may request and receive paid vacation or compensatory time. Granting of vacation or compensatory time is not subject to any other employer requirements such as seniority or staffing needs. Any absences which are paid from the employee's accrued compensatory time account shall not, however, be counted against the employee's FMLA leave entitlement.

However, the employee must attempt to schedule foreseeable qualifying leave so as not to unduly disrupt the employer's operation. If the employee is unable to provide sufficient documentation to determine FMLA eligibility, the employee shall be placed on unpaid leave until such documentation is made available to the employer.

11. *How do you determine the amount of FMLA qualifying leave used if an employee works a fixed part-time schedule or the employee's schedule varies from week to week?*

The amount of FMLA qualifying leave is determined on a prorata basis by comparing the requested schedule with the employee's normal schedule.

Where the schedule varies from week to week, a weekly average of the hours worked over the 12 weeks prior to the beginning of the leave period is used to calculate the employee's normal workweek.

12. *How can an Appointing Authority determine if a request for leave is a FMLA qualifying leave?*

- a) An employee requesting leave shall be asked the question, "Is the request for paid or unpaid time off for the purpose of an FMLA qualifying event (yes) (no)?"
- b) If an employee requests a leave prior to completing a request for leave slip, a supervisor may ask the reason for the leave. The supervisor will ask for this information solely for the purpose of determining whether the leave is FMLA qualifying and/or if under the terms of the State's contracts or compensation plans an employee is eligible for paid or unpaid time off.

13. *How can an employee determine if his or her request for time off qualifies under FMLA?*

- a) Notices explaining the Act's provisions and providing information concerning the procedures for filing complaints of violations of the Act shall be posted in conspicuous places at the worksite.
- b) An employee may ask his or her supervisor, contact the personnel office or their union to ask questions concerning the employee's rights and responsibilities under the FMLA.

14. *Can an FMLA qualifying leave extend an employee's period of employment?*

No.

15. *What are an employee's job protection rights upon return from an unpaid FMLA qualifying leave?*

An eligible employee shall be restored to the same position that the employee held when the FMLA qualifying leave began, or to an equivalent position with equivalent benefits, pay, and other terms and conditions of employment such as same shift, equivalent hours, etc.

16. *How does an FMLA qualifying leave coordinate with the Statewide Sick Leave Policy?*

The Act prohibits an employer from discriminating against employees who use FMLA qualifying leave. Therefore, the FMLA qualifying leave cannot be referred to in any employment actions including but not limited to discipline and selection.

17. *Can employees choose whether or not they want to use FMLA qualifying leave?*

No. It is the employer's responsibility to designate leave as qualifying under FMLA. An employee may not choose whether leave shall be counted towards FMLA qualifying leave.

18. *How can an employer verify an employee's need for leave because of a "serious health condition"?*

The Appointing Authority's FMLA designation decision must be based only on information received from the employee or the employee's spokesperson.

An employer may also require an employee to obtain certification of a "serious health condition" from the employee's health care provider. The employer can pay for a second opinion if it doubts the validity of the original certification. If the second opinion conflicts with the first, the employer may pay for a third opinion. The provider of the third opinion must be jointly approved by the employer and employee. The third opinion will be final.

If a leave request is for the serious health condition of a family member, the employer can require the employee to provide certification from a health care provider.

19. *Is an employee eligible to continue health insurance benefits during a FMLA qualifying leave?*

During an FMLA qualifying leave, the employee and dependent health and dental insurance coverage is maintained on the same basis as coverage would have been provided if the employee had been continuously employed during the entire leave period.

Employees who receive the partial employer contribution must continue to pay their portion of the premium in order to retain this coverage. If the employee fails to make their premium payments, they will lose the coverage and may not be covered for any claims which may have occurred while on FMLA qualifying leave.

20. *What other insurance coverage may an employee continue during a FMLA qualifying leave?*

An employee may continue all coverage which they had prior to going on the FMLA qualifying leave, by paying the full cost of the premium. This includes, but is not limited to, basic, optional, spouse, child life insurance and short term and long term disability insurance. If the employee takes leave due to a work-related disability, short term disability may not be continued. It may be reinstated upon the employee's return to work.

21. *May an employee choose not to retain health and dental coverages while on a FMLA qualifying leave?*

Yes, an employee may choose not to retain these coverages. The coverages will be reinstated upon the employee's return to work.

22. *May an employee choose not to retain optional coverages while on a FMLA qualifying leave?*

Yes, however, they may have the coverages reinstated upon return to work, if the return to work is within the allotted twelve weeks of FMLA qualifying leave. If the leave goes beyond twelve weeks, the employee must reapply with evidence of good health. If an employee chooses not to retain optional coverages, they will not be covered for any claims that may have occurred while they were on leave.

23. *If an employee terminates employment during the FMLA qualifying leave, may the employer recoup the costs of the premiums paid?*

Yes, an employer may recover its share of premiums paid during a period of unpaid FMLA qualifying leave from an employee if the employee fails to return to work (for at least thirty (30) calendar days) after the leave unless the employee does not return due to the continuation, recurrence or onset of the serious health condition, or due to other circumstances beyond the employee's control.

24. *What are an employee's COBRA rights in relation to an FMLA qualifying leave?*

As it relates to FMLA qualifying leave, the COBRA qualifying event is termination of employment, or the end of the leave—whichever comes first. Once the COBRA qualifying event occurs, the employee may choose to "continue" health and dental by paying the entire cost of coverage—even though the employee did not pay their share of the premium during the FMLA qualifying leave.

25. *What can employees do who believe that their rights under FMLA have been violated?*

The employee has the choice of:

- a) Filing, or having another person file on his or her behalf, a complaint with the Secretary of Labor, or
- b) Filing a private lawsuit pursuant to section 107 of FMLA.

26. *How are employees protected who request leave or otherwise assert FMLA rights?*

The FMLA prohibits an employer from interfering with, restraining, or denying the exercise of (or attempts to exercise) any rights provided by the Act.

27. *Do State laws providing family and medical leave still apply?*

Nothing in FMLA supersedes any provision of State law. However, if leave qualifies for FMLA and for leave under State law, the leave used counts against the employee's entitlement under both laws.

28. *If an employee is on a non-medical leave of absence that also qualifies as an FMLA-protected leave, should that employee's leave accrual date be adjusted in accordance with Article 8, Section 2B?*

No. Accrual dates shall not be adjusted for employees on FMLA-qualifying leaves whether medical or not.

29. *Do employees earn sick and vacation accruals when they are on unpaid FMLA-qualifying leaves?*

No. Employees only earn sick and vacation accruals when they are in a paid status. In addition, an employee being paid less than eighty (80) hours in a pay period due to an FMLA-qualifying unpaid leave will have his/her sick/vacation accruals prorated in accordance with Appendix C and D.

30. *Are employees on FMLA-qualifying leaves allowed to earn holiday pay during their leave?*

Only if they are in a paid status on the normal work day before and after the holiday, in accordance with Article 7, Section 6. Any holiday pay earned will be paid in accordance with Article 7, Section 7.

## **APPENDIX S - SENIORITY LISTS**

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Below is a list of Seniority Units for Unit 15, the Professional State Residential Instructional Unit, as of the effective date of this Agreement.

Corrections, Department of (each facility is a Seniority Unit)

- MCF - Faribault
- MCF - Lino Lakes
- MCF - Oak Park Heights
- MCF - Red Wing
- MCF - Rush City
- MCF - Shakopee
- MCF - St. Cloud
- MCF - Stillwater
- MCF - Thistledeew Camp
- MCF - Willow River/Moose Lake

Human Services, Department of (each facility is a Seniority Unit)

- Brainerd Regional Human Services Center and SOCS
- Fergus Falls Regional Treatment Center and SOCS
- Moose Lake Regional State Operated Services, including Minnesota Sexual Psychopathic Personality Treatment Center
- St. Peter Regional Treatment Center, including Residential Services
- Willmar Regional Treatment Center and SOCS
- Minnesota State Academy for the Blind
- Minnesota State Academy for the Deaf
- Perpich Center for Arts Education

The Employer and the Association agree that the above-listed Seniority Units may be added to, subtracted from, merged, or eliminated by agreement between the parties.

## **APPENDIX T - DEFINITION OF SAME SEX DOMESTIC PARTNERSHIP FOR INSURANCE PURPOSES**

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A domestic partnership is defined as a relationship between an employee and another adult of the same sex, in which the parties, hereinafter called domestic partners:

1. Have entered into a committed interdependent relationship with each other;
2. Are jointly responsible for each other's basic common welfare;
3. Share a common residence and intend to do so indefinitely;
4. Are not related by blood or adoption such that would prohibit marriage in Minnesota; are neither married nor registered in another domestic partnership; and
5. Are legally competent and qualified to enter into a contract.

"Joint responsibility" means that each partner agrees to provide for the other partner's basic living expenses if the partner is unable to provide for himself or herself.

"Basic common welfare" includes food, shelter, and health care.

"Share a common residence" means that two (2) people share the same place to live. It is not necessary that the legal right to possess the common residence be in both of their names. Two (2) people may have a common residence even if one (1) or both persons has an additional place to live. Domestic partners do not cease to live together if one (1) partner leaves the common residence but intends to return, including, but not limited to, periods of time left for long-term or short-term medical care, education, sabbaticals, or employment.

Department  
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Department of Employee Relations

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*State of Minnesota:  
Employer of Choice*

December 7, 1995

Nancy Kjeldahl  
Sauk Centre Correctional Facility  
Hwy 302  
P.O. Box C  
Sauk Centre, MN 56378

Dear Ms. Kjeldahl:

This letter is to confirm our understanding regarding the academic calendar at the Minnesota State Academy for the Deaf and the Minnesota State Academy for the Blind at Faribault, Minnesota. It is the intent of the Academies to have a school year comprised of one hundred seventy (170) days of student contact and eight (8) non-student contact days. This is our intention for both the 1995-96 academic year and the 1996-97 academic year.

Sincerely,

Jeffrey O. Wade  
Chief Negotiator  
SRSEA 1995-97 Contract



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December 7, 1995

Ms. Nancy Kjeldahl, Chief Negotiator  
1995-97 SRSEA Contract Negotiations  
Sauk Centre Correctional Facility  
Hwy 302, P.O. Box C  
Sauk Centre, MN 56378

Dear Nancy:

This letter is to confirm our understanding regarding the definition of "Academic Year" as it specifically pertains to crediting time spent in other formal teaching positions towards length of service for purposes of vacation accrual. The language in Article 18, Section 1 of this agreement presently reads:

An employee who is appointed to or occupies a position covered by this agreement within three (3) academic years of separation from....

In the above context, and only in the above context, "academic year" shall be defined as September 1 through August 31.

The parties agree that the above definition shall not be extended to other places in the collective bargaining agreement where the term "academic year" is used.

Sincerely,

Jeffrey O. Wade, Chief Negotiator  
1995-1997 SRSEA Contract

*State of Minnesota:  
Employer of Choice*

September 16, 1999

RE: Guiding Principles Concerning Climate at the Worksite

During the negotiations of the 1999-2001 Labor Agreement, the parties agreed to the following principles concerning climate at the worksite:

- The development of the mission/vision of each site should include input from all relevant parties, including teachers;
- The responsibility to carry out this mission/vision should be shared by both management and teachers;
- The development of expectations for student outcomes should be a joint process between teachers and management;
- The responsibility to meet these student outcomes shall be shared by both management and teachers. These shared expectations should be reflected in routinely refined position descriptions for teachers;
- When there is a need to share duties across bargaining units, a cross-unit team should be established to identify issues and resolve potential conflicts;
- We encourage the establishment of regularly scheduled labor-management committees to address issues, recognize successes, and proactively plan for future needs/challenges;
- When conflicts occur, we encourage the use of a joint, interest-based, facilitated process to resolve the conflict at the lowest possible level. This might include the use of outside assistance, including workplace mediation, mediation by a neutral party, conflict resolution training, or whatever other means appropriately fit the situation. The parties agree that funding of these processes will be through mutual agreement.

Sincerely,

Jeanette June  
Chief Negotiator, SRSEA

Katherine L. Megarry  
Principal Labor Relations Representative, State of Minnesota

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December 3, 2001

Ms. Jeanette June, President  
State Residential Schools Education Association  
41 Sherburne Avenue  
St. Paul, MN 55103

Dear Ms. June:

During the first year of the 2001-2003 collective bargaining agreement between SRSEA and the State of Minnesota, a committee that is representative of administration and faculty will be created at the Perpich Center for Arts Education to study criteria and a process and make recommendations to management for use of alternative methods to qualify for salary schedule placement and progression.

If management and the local association agree, the process will be implemented on a pilot basis for the remainder of the contract term.

Sincerely,



Carolyn J. Trevis  
Chief Negotiator  
2001-2003 SRSEA Contract

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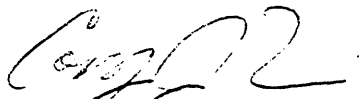
November 30, 2001

Jeanette June  
State Residential Schools Education Association  
Minnesota Correctional Facility – Shakopee  
1010 West 6<sup>th</sup> Avenue  
Shakopee, MN 55379

Dear Ms. June:

During the interest-based bargaining process conducted for the 2001-2003 collective bargaining agreement between the parties, the parties agreed that at the request of the Association, each Appointing Authority will agree to meet with Association representatives at least once each year to discuss its written education/staff development budget. The purpose will be to make recommendations regarding the distribution of the available staff development funds.

Sincerely,



Carolyn J. Trevis  
Chief Negotiator  
2001-2003 SRSEA Contract

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November 30, 2001

Jeanette June  
State Residential Schools Education Association  
Minnesota Correctional Facility – Shakopee  
1010 West 6<sup>th</sup> Avenue  
Shakopee, MN 55379

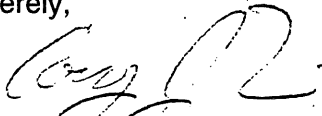
Dear Ms. June:

During the interest-based bargaining process conducted for the 2001-2003 collective bargaining agreement between the parties, the Association expressed various concerns regarding the increased workload at several locations. We recognize the importance of these concerns to your members. We also acknowledge and respect the value of teachers' work, both inside and outside the classroom.

In an attempt to resolve these concerns, we will agree to establish a Statewide Labor Management Committee at the Department of Corrections. The purpose of this Committee will be to research and gather data relating to workload (e.g. pupil contact time, class size, and other necessary duties). The Committee will consist of representatives of the Association, the Department Corrections, the Department Children, Families & Learning, and other experts as identified and agreed to by the parties.

In addition, we agree that upon the request of the Local Association, an Appointing Authority shall meet within thirty (30) days of the request to discuss concerns and options for potential solutions to address workload issues.

Sincerely,



Carolyn J. Trevis  
Chief Negotiator  
2001-2003 SRSEA Contract

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December 3, 2001

Ms. Jeanette June, President  
State Residential Schools Education Association  
41 Sherburne Avenue  
St. Paul, MN 55103

Dear Ms. June:

This letter is to confirm that the Minnesota State Academy for the Deaf ("MSAD") agrees to reconvene the "due process" committee established in the spring of 2000 for the purposes of discussing and reviewing guidelines related to IEP caseloads and evaluation (assessment) team assignments and related duties. MSAD will make all reasonable efforts to convene this committee and meet no later than January 1, 2002.

Sincerely,



Carolyn J. Trevis  
Chief Negotiator  
2001-2003 SRSEA Contract

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of Employee  
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November 28, 2001

Jeanette June  
State Residential Schools Education Association  
Minnesota Correctional Facility – Shakopee  
1010 West 6<sup>th</sup> Avenue  
Shakopee, MN 55379

Dear Ms. June:

During the interest-based bargaining process conducted for the 1997-99 collective bargaining agreement between the parties, it was agreed to establish a joint labor management committee to study a peer review/performance management process. This is to confirm that we will continue this committee during the term of the 2001-2003 bargaining agreement and that the committee shall meet no later than March 15, 2002 to continue the review and discussions.

Sincerely,



Carolyn J. Trevis  
Chief Negotiator  
2001-2003 SRSEA Contract

# **MINNESOTA STATE ACADEMIES FOR THE BLIND & DEAF**

*P.O. Box 308, Faribault, Minnesota 55021*

**Telephone: 507/332-5410 Fax: 507/333-2095 E-mail: [mhagen@msad.state.mn.us](mailto:mhagen@msad.state.mn.us)**

## **PILOT PROGRAM FOR MINNESOTA STATE ACADEMIES**

### **PROCESS FOR TEACHER ASSIGNMENTS**

This pilot program will be in place effective January 1, 2000, through June 30, 2003 (for the school years 2000-2001, 2001-2002, 2002-2003, and 2003-2004).

The following process shall be used in making teacher assignments for the start of each school year. This process will facilitate appropriate teacher placement and ensure student needs are met by assigning teachers based on teacher licensure, skills and experience.

- The team(s) at MSAD and the scheduling committee at MSAB will meet to discuss student/program needs and to determine appropriate student groupings.
- The team (MSAD) or scheduling committee (MSAB) and administration will ask for staff preferences in teaching assignments for fall.
- After reviewing the staff preferences, the team(s) (MSAD) or scheduling committee (MSAB) will be given the task of making recommendations for teacher placement in their particular team/work site. Parameters and guidelines will be given for making these assignments/determinations. The team(s)/committee must reach consensus regarding teacher placements for the following fall.
- The team(s)/committee will make a formal recommendation to the Principal(s) for the assignments for the new school year.
- The Principal(s) will then make the final determination of teacher assignments based on needs of the students/program and the recommendations from the team(s)/committee regarding assignments. This final determination is not grievable.
- At the request of the team, the decision made by the Principal shall be reviewed by the Superintendent.

Plans/changes for the new school year are typically determined in the spring or early summer of the prior school year. Every attempt will be made to accomplish this task in a timely manner. However, changes may occur in the months/weeks preceding the start of the school year based on numbers and needs of incoming students and staffing needs/changes. Wherever possible, for these last minute changes, the team leader/committee member will be contacted with regard to appropriate teacher placement.



The parties agree that during this pilot program, the provisions of Article 13, Section 5 of the Master Agreement will not apply to the assignment of teachers for the start of the school year.

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Linda Mitchell  
MSAD Superintendent

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Elaine Sveen  
MSAB Superintendent

11-17-99