



Annual Report 2020
2016 Closed Data

Acknowledgements

We gratefully acknowledge the assistance and guidance received from both county and state employees. At the heart of this statewide effort is a dedication to making Minnesota a safer place, improving correctional cost efficiency by providing sound information to assist policymakers, establishing effective strategies for quality programming and service delivery, and holding service providers accountable. This effort represents a true collaboration between the state and the counties and would not have been possible without the expertise of many people in both the DOC and county corrections offices. Specifically, we would like to thank the state and county staff who worked diligently to obtain the Statewide Identification Numbers needed to determine the recidivism rates provided in this report. In addition, the information used to complete this work would not have been available without the many people who work daily to keep up-to-date, accurate and accessible offender records. Thank you all for your professionalism, expertise, dedication and hard work.

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Executive Summary

This report is the latest result of an effort that has been continuing for the last decade. This is a collaborative effort between state and local corrections jurisdictions. Since 1997, we have been working to develop and report uniform outcomes for probation and supervised release throughout Minnesota. This year's report offers information on reconviction rates and restitution collections throughout Minnesota.

The three-year recidivism rates for probationers and supervised releasees who left supervision during 2016 are discussed in detail. Recidivism is defined as having a new felony conviction within three years of leaving supervision. This report only follows individuals who complete probation or supervised release without revocation. See the **Methodology** section on page five and six for a full discussion of definitions, limitations, and how the data was gathered. The body of this report includes felony-free rates for probationers and supervised releasees from six months, one year, two years, and three years after they leave supervision.

The findings of this report include:

- **83% of probationers statewide remained free of felony convictions for three years after leaving supervision in 2016.**
- **66% of the individuals leaving supervised release in 2016 had no new felony convictions within three years.**
- **There was little variation in probation outcomes across the state.** There was a difference of 9% after three years between the regions with the highest and lowest rates.
- **There was more slightly more regional differences in outcomes for supervised release clients.** After three years, there was an 11% difference between the regions of the state with the highest and lowest reconviction rates.

This report also includes statewide statistics on restitution. Restitution is defined as money the Court orders an individual to pay to a victim as part of a criminal sentence. This is an equitable remedy to restore a person to the position they would have been in if not for the improper action of the individual. Cases with restitution ordered as defined for this report include felony-level adult cases that were closed in 2019 with a case condition of restitution.

- **Statewide, there were 2,255 cases with a case condition of restitution closed in 2019.**
- **Statewide, the case condition of restitution was paid in full in 29% of the cases closed in 2019.**
- **Statewide, the total amount of court ordered restitution paid was \$4,054,647 in the cases closed in 2019.**

Introduction

The *Minnesota Statewide Probation and Supervised Release Outcomes Report* for 2018 describes three year, statewide¹ recidivism findings for adult felony individuals who had a closed supervision case in 2016. Through a concerted effort, a set of standardized outcome measures and definitions have been adopted by Minnesota's three probation delivery systems: Minnesota Association of County Probation Officers (MACPO), Minnesota Association of Community Corrections Act Counties (MACCAC), and the Department of Corrections (DOC). Using these definitions and Minnesota's Statewide Supervision System (S³), Minnesota is able to report statewide recidivism on felony individuals on probation and supervised release. The purposes of this effort are to improve public safety and correctional cost efficiency by providing sound information to assist policymakers, to establish effective strategies for quality programming and service delivery, and to hold service providers accountable.

History

The effort to report statewide probation and supervised release recidivism outcomes has been in existence since 1997. During these past years, the efforts and the ability to report statewide recidivism is continually moving forward. An in-depth view of the development and history of this effort is located in Appendix A.

Outcomes

As is to be expected, the outcome goals for a project of this magnitude are ever changing. As the work begins and the discovery of what data are available, reality becomes clearer on what can be accomplished. Therefore because of this, the outcome goals are not being reported in this year's report.

Methodology

To complete this report, we defined the population of interest as felony-level individuals with a Minnesota offense and having a supervision case that closed in 2016 for any reason except death or incarceration in prison. For purposes of this report, recidivism was defined as a felony-level conviction within three years of an individual's supervision end date.

To obtain the population to be studied, researchers at the Department of Corrections used the Statewide Supervision System (S³) to extract adults and certified adults² with a felony-level supervision case ending in 2016. Each individual is only represented once. To ensure this, the felony case with the longest period of supervision was retained for individuals with multiple cases that closed in 2016, while the other cases were eliminated. There were a small number of individuals that were represented in more than one county. In these instances, the county where the individual was supervised for the longest length of time was retained. Because duplicates were not included, this may marginally affect regional numbers. It is important to note that these individuals, while having a felony supervision case that closed in 2016, could potentially have been under another form of supervision for a different case. Therefore, it would be inaccurate to assume that all of the individuals in this study were free from supervision during the three years after their felony case closed.

¹ Statewide includes all counties and probation agencies that supervise felony-level offenders. County probation offices that only handle juvenile and non-felony offenders are not included in this report.

² Adult and Certified Adult status was determined by selecting only those cases with an age status of "A" for adult (over 18) or "C" certified adult (a juvenile certified by the court to stand trial as an adult).

Individuals who were closed for reasons of death and incarceration into prison were excluded. If they were not in the community they did not have a chance to remain recidivism-free. Transfers who were transferred from agency to agency were a bit of a problem. An individual who leaves one agency to go to a different one within Minnesota will remain open in the transferring district until that individual is done with supervision. Therefore, an individual has the possibility of being “open” in several agencies during the same timeframe, and likewise being closed out at the same time in several agencies. The data were limited even further to catch these, and in most cases we were able to discover and ultimately exclude those that were under a jurisdiction simply for the fact of being a “transfer”. The agency that was actually providing the supervision was brought to the surface, and included.

Supervision includes both probation and supervised release³. The dataset was cleaned, and duplicates were eliminated. In order to retrieve recidivism information all individuals needed to have a State Identification Number (SID). If they did not have an SID they were eliminated from the dataset⁴. After a clean dataset was established, a file containing individuals’ SIDs was sent to the Minnesota Bureau of Criminal Apprehension (BCA). Felony convictions that occurred after an individual’s supervision end date were used to determine the percentage of individuals who remained free of felony convictions within three years post-supervision.

It is important to note that while the best possible methods for obtaining this recidivism rate were employed for this study, we continue to find issues with the way these data are collected and maintained across the state; such as suspense files, missing SIDs, and different standards for maintaining data in different counties. The accuracy of the recidivism data will improve as Minnesota’s ability to identify individuals improves.

It is also important to realize that Minnesota’s recidivism rate is simply that – a rate. It does not indicate that probation or supervised release across Minnesota are or are not working. However, it does give the state a starting point for further exploration and understanding of how probation and supervised release function.

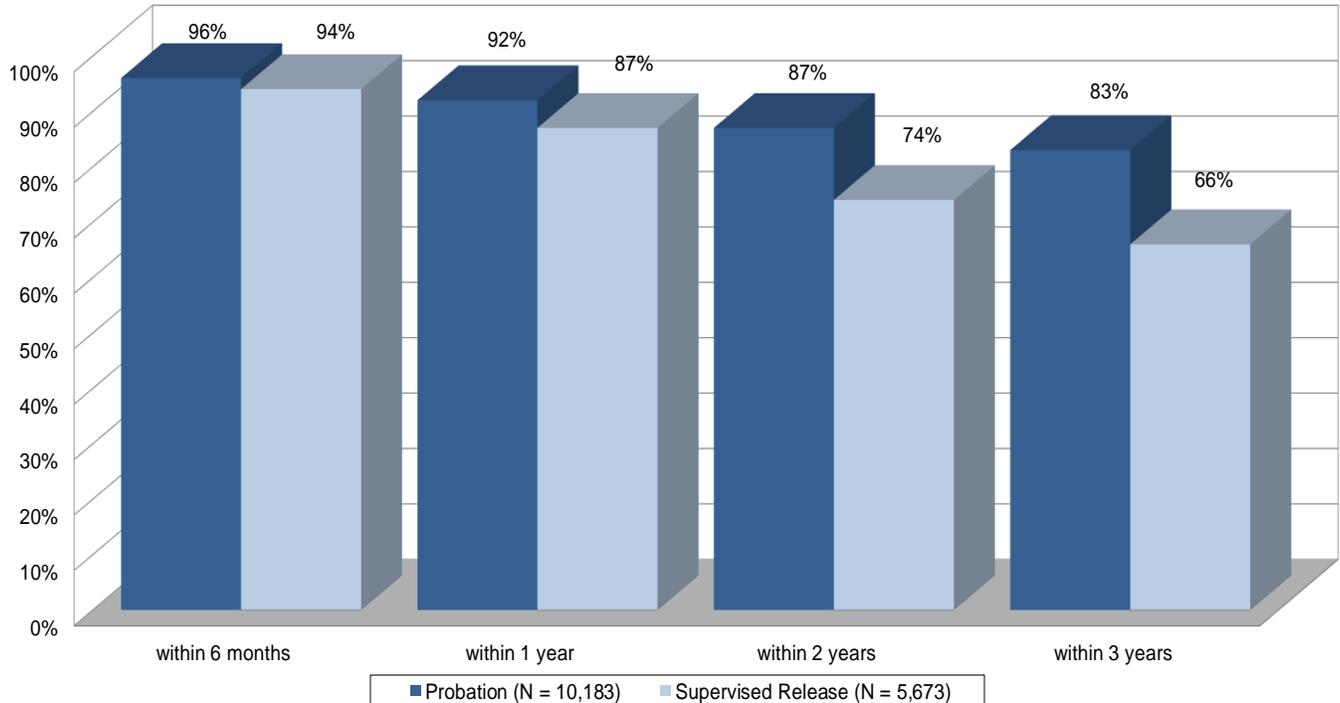
Re-conviction information in this report is cumulative; an individual’s first post-supervision felony reconviction was used to determine at what point he or she recidivated, resulting in a cumulative three year rate.

³ See page 39 for definitions of probation and supervised release.

⁴ Approximately 1.4% of the total offenders closed in 2016 did not have a State Identification Number and could not be used for this report.

Findings-Recidivism

Percentage of Individuals with a Felony Case Closed in 2016 Who Remained Free of Felony Convictions within Three Years of Supervision End Date
(N = 15,856)



- Within three years post-supervision 83% of individuals on probation and 66% of individuals on supervised release were free from any additional felony-level convictions.
- It should be noted that previous recidivism studies have focused on supervised releasees directly after their release from prison. The population in this study focuses on the period after completion of *supervision*.

The individuals studied for this report were, on average, 36 years old at the end of probation and 36 years old at the end of supervised release supervision. In the probation cohort, 77% of the individuals were male, and 87% of those on supervised release were male. The racial composition of the individuals on probation and supervised release was slightly different; while 70% of the probation population was Caucasian, this was true for 60% of those on supervised release. There were 19% of the probation population and 27% of the supervised release population that were African American.

Restitution

This report also includes statewide statistics on restitution. Restitution is defined as money the Court orders an individual to pay to a victim as part of a criminal sentence. This is an equitable remedy to restore a person to the position they would have been in if not for the improper action of the individual.

To obtain the population of individuals who have restitution ordered, the Statewide Supervision System⁵ was used to extract adults with a felony-level supervision case discharged in 2019. Supervision included probation and supervised release.

Number of Adult Felony Cases Closed in 2019 with Restitution Ordered	State-Wide Totals	% of Total Number of Cases Closed with Restitution Ordered
Total Number of Cases Closed with Restitution Ordered	2,255	100
Total Number Closed With Restitution Paid In Full	652	29.0
Total Number Closed With Less Restitution Paid Than Ordered⁵	1,599	70.9
Closed With Some Restitution Paid	458	20.3
Closed With \$0 Restitution Paid⁶	1,141	50.6

⁵ Hennepin and Ramsey Counties compiled their statistics utilizing internal financial tracking software.

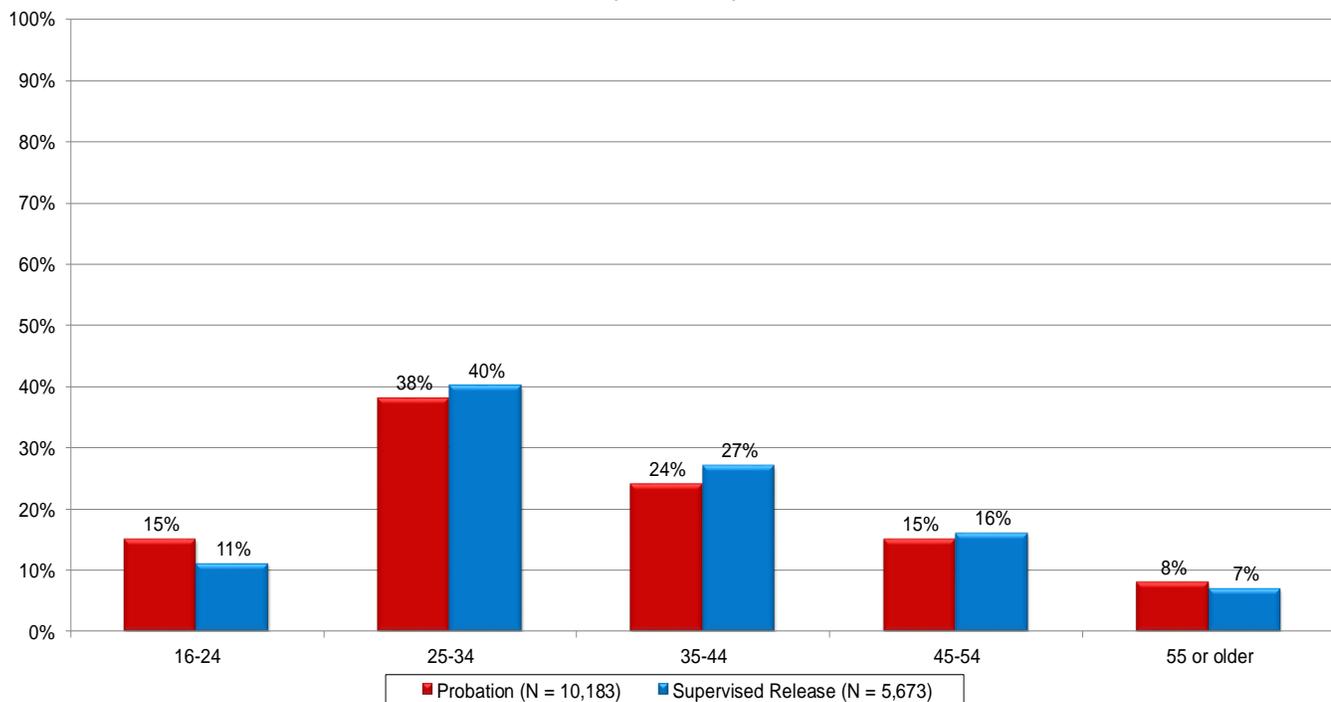
⁶ Completion amounts are as reported in S3 and do not include any subsequent payments via revenue recapture or other civil remedies.

Statewide Probation and Supervised Release Outcome Measures for Cases Closed in 2016

This section of the report presents demographic and recidivism information on 15,856 statewide individuals under supervision with a felony case that closed in 2016. Demographic information is presented first, followed by graphs showing the percentage of individuals who remained felony-free during the three years post-felony supervision.

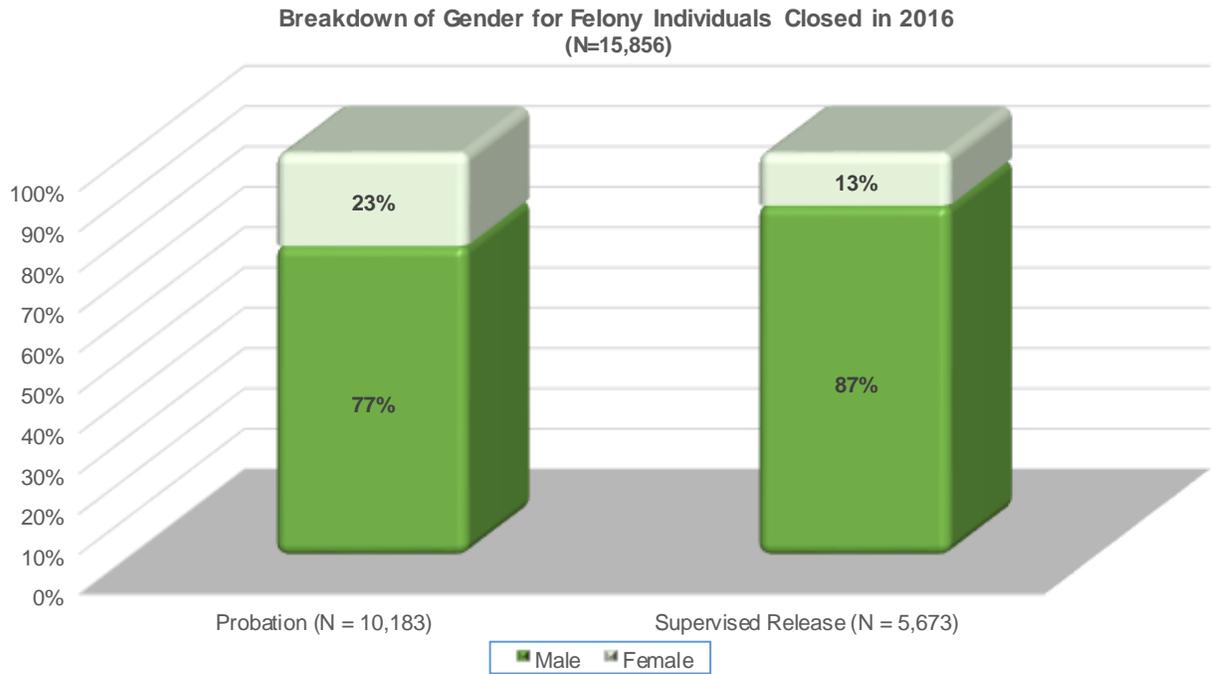
FIGURE 1

**Breakdown of Age for Felony Individuals Closed in 2016
(N = 15,856)**



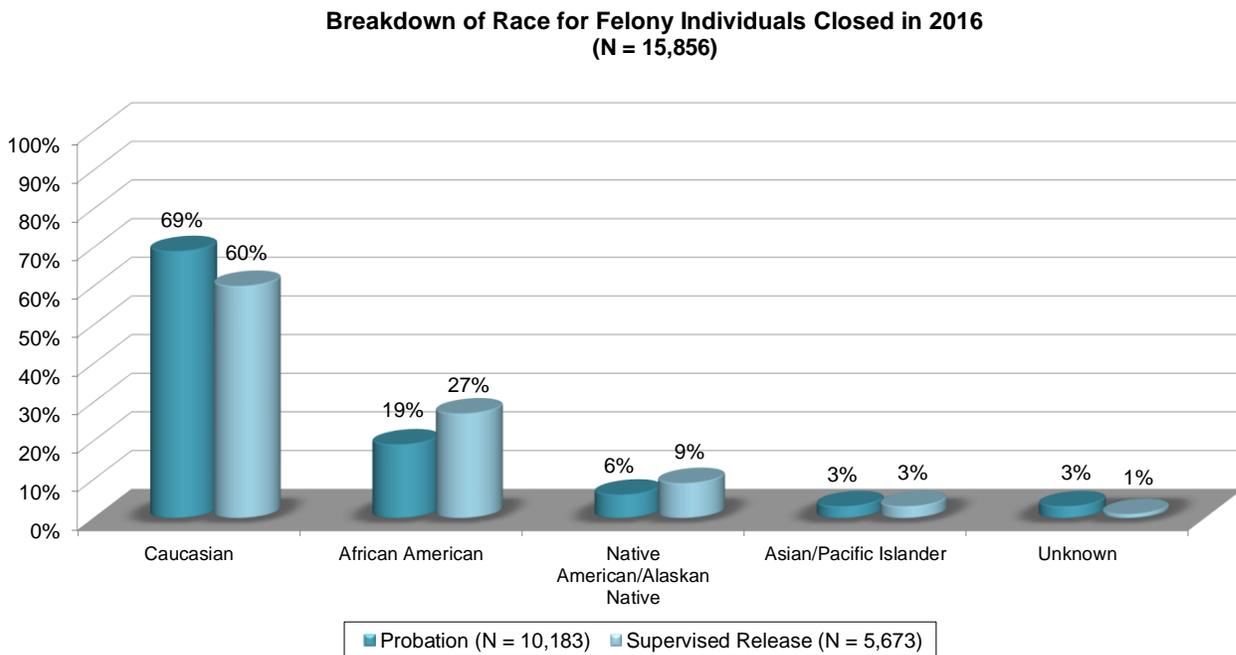
- The age of individuals at their supervision end date varied slightly between those on probation and those on supervised release (Figure 1). The heaviest representation for both groups is the 25—34 age category with probationers 40% and supervised releasees making up 38% of the population.
- The average age of statewide individuals for probation was 36 and for supervised release the average age at the end of their supervision was 36.

FIGURE 2



- As shown in Figure 2, a little more than three quarters (77%) of individuals on probation and nearly nine in ten (87%) on supervised release were male.
-

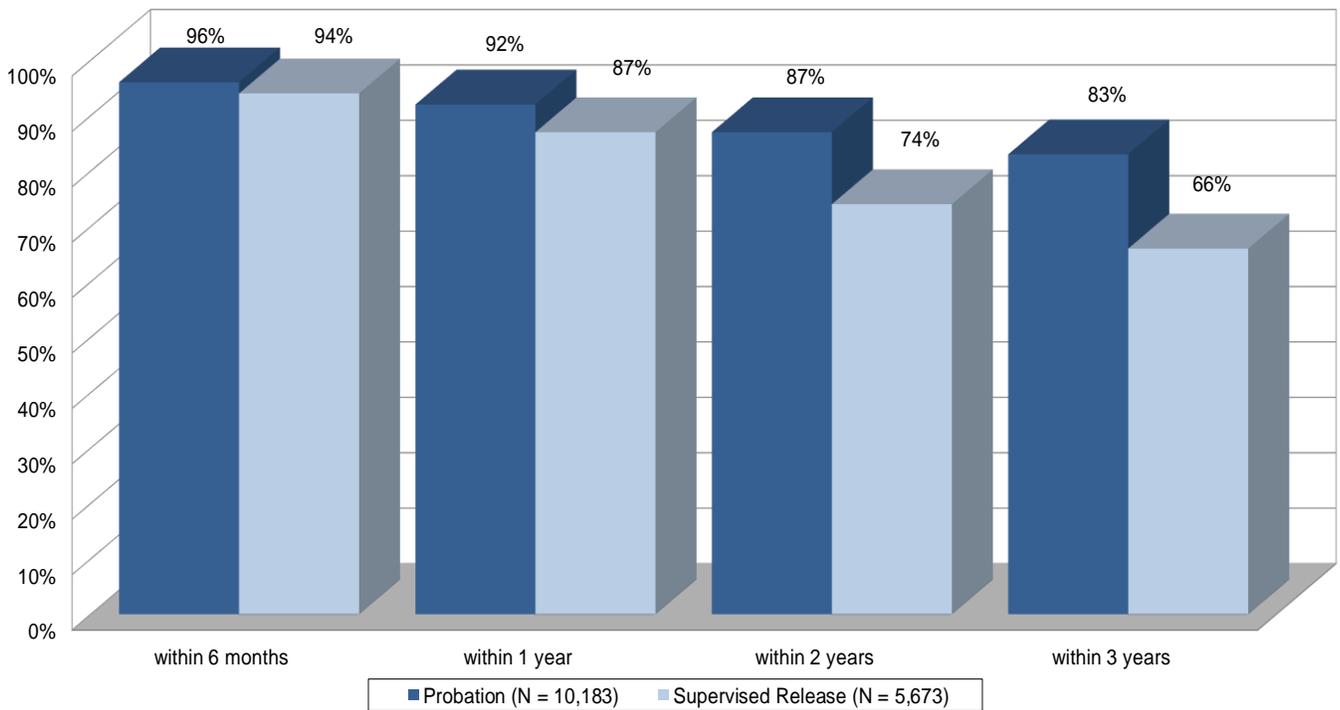
FIGURE 3



- In Figure 3, there are slight differences between the race of individuals on probation and those on supervised release. While 69% of the individuals on probation were Caucasian, 60% of those on supervised release were of this same race. In addition, 19% of those on probation and 27% of individuals on supervised release were African American.

FIGURE 4

Percentage of Individuals with a Felony Case Closed in 2016 Who Remained Free of Felony Convictions within Three Years of Supervision End Date (N = 15,856)



- Figure 4 shows statewide felons with a closed case in 2016 who remained felony-free.
- The percentage difference between probationers and supervised releasees who remain recidivism-free becomes greater at the two year mark. At two years, 87% of probationers compared whereas 74% of supervised releasees are recidivism-free.

It is important to understand that the information in this graph is cumulative i.e., the pool of individuals who remain felony-free can only stay the same or grow smaller over time.

FIGURE 5

Ten Year Comparison (2007--2016) of Percentage of Probationers with a Felony Case Closed Who Remained Free of Felony Convictions within Three Years of Supervision End Date

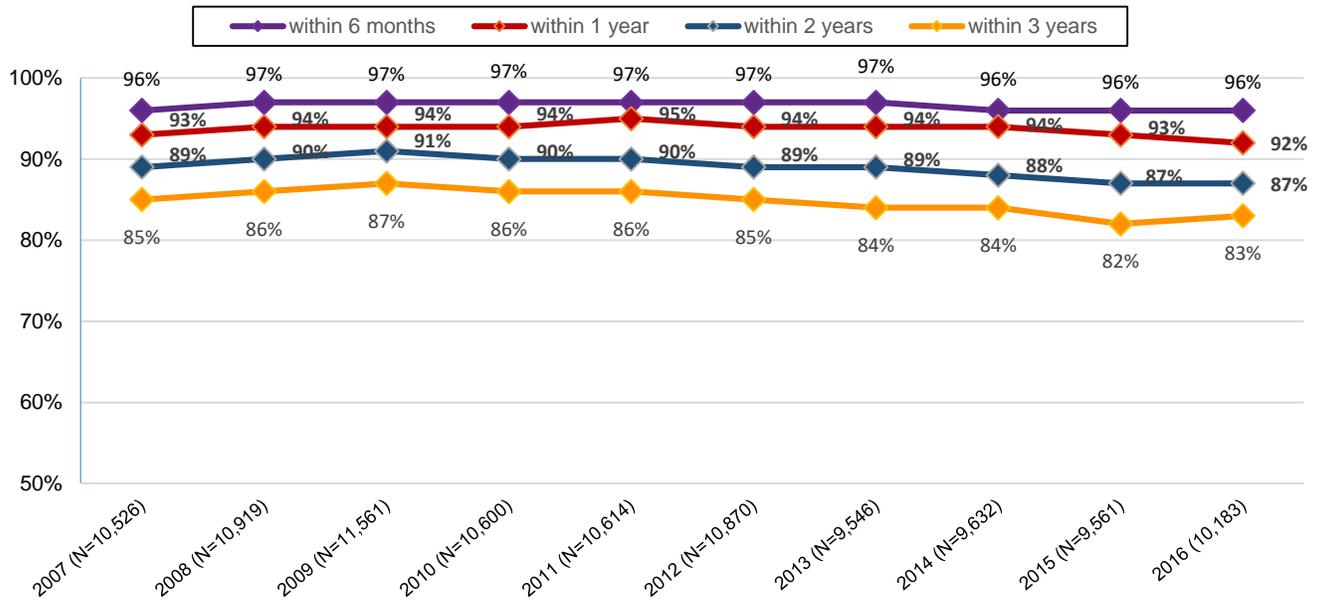
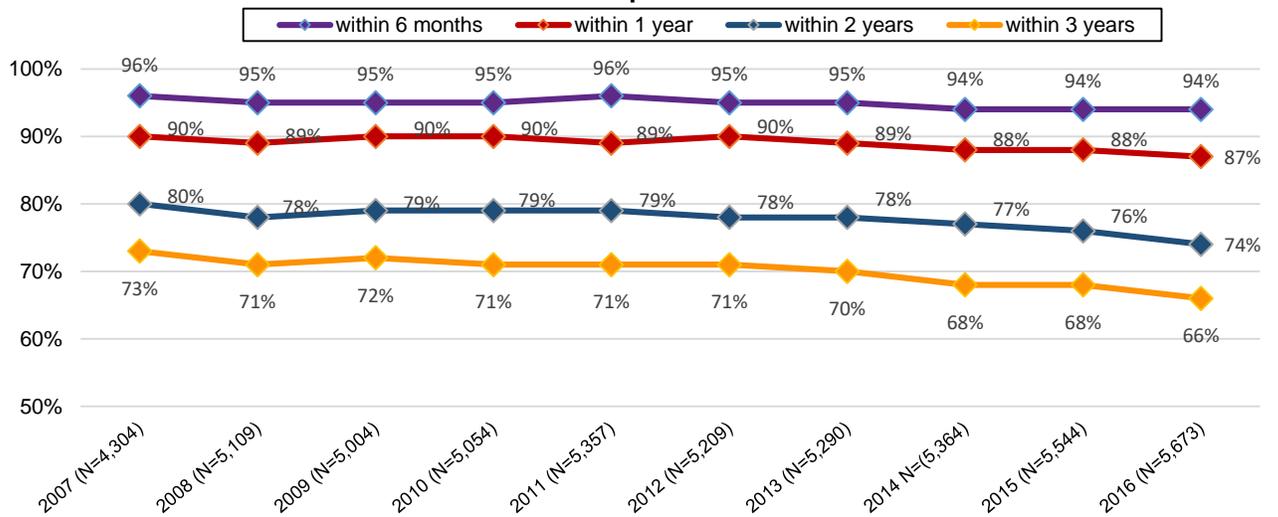


FIGURE 6

Ten Year Comparison (2007--2016) of Percentage of Supervised Releasees with a Felony Case Closed Who Remained Free of Felony Convictions within Three Years of Supervision End Date

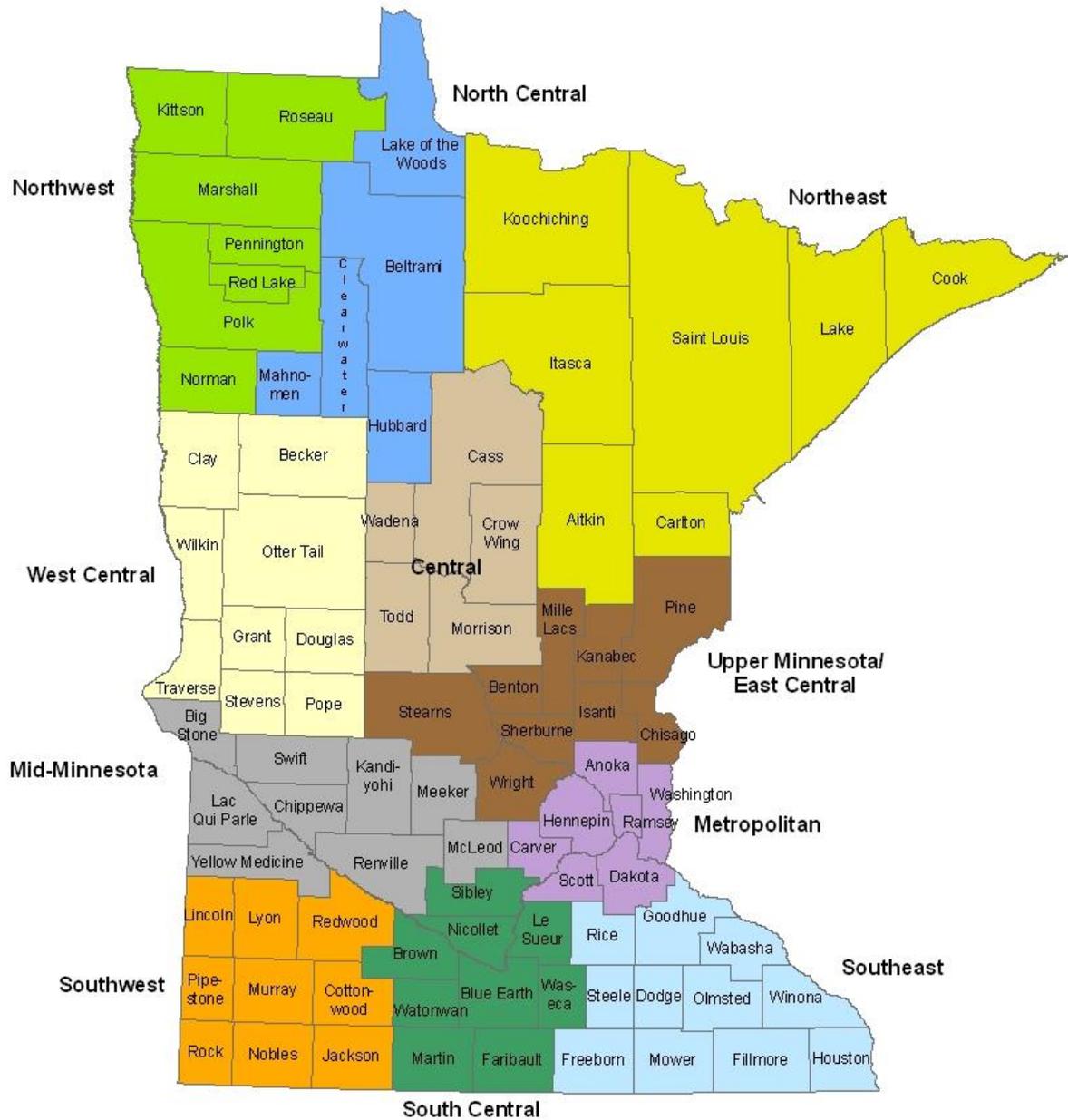


Regional Recidivism Analysis for Cases Closed in 2016

This section of the report examines recidivism based on regions across Minnesota. Regional aggregations are reported in a manner consistent with the Minnesota Regional Development Organizations. These regions include:

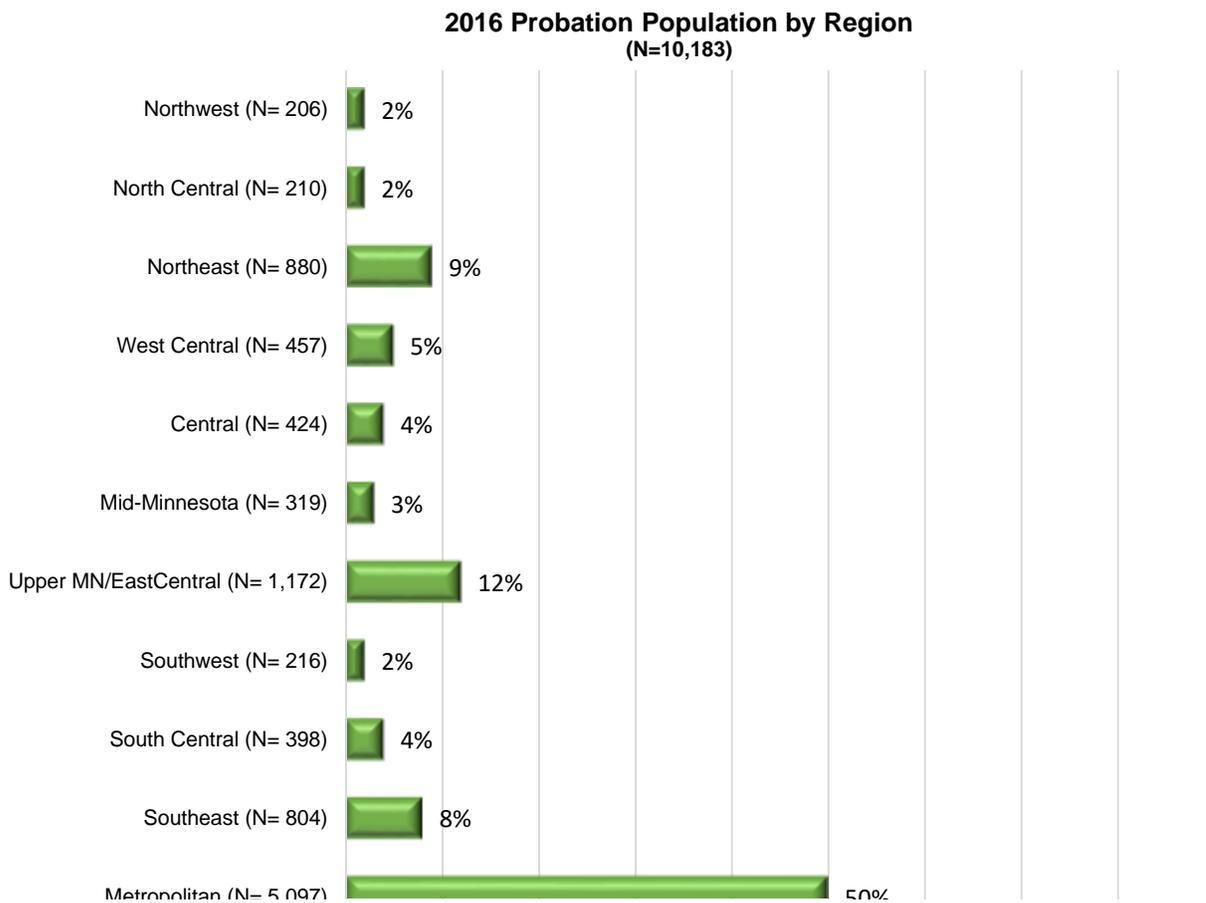
1. **Northwest.** Kittson, Marshall, Norman, Pennington, Polk, Red Lake, and Roseau.
2. **North Central.** Beltrami, Clearwater, Hubbard, Lake of the Woods, and Mahnomen.
3. **Northeast.** Aitkin, Carlton, Cook, Itasca, Koochiching, Lake, and St. Louis.
4. **West Central.** Becker, Clay, Douglas, Grant, Otter Tail, Pope, Stevens, Traverse, and Wilkin.
5. **Central.** Cass, Crow Wing, Morrison, Todd, and Wadena.
6. **Mid-Minnesota.** Big Stone, Chippewa, Kandiyohi, Lac Qui Parle, McLeod, Meeker, Renville, Swift, and Yellow Medicine.
7. **Upper Minnesota/East Central.** Benton, Chisago, Isanti, Kanabec, Mille Lacs, Pine, Sherburne, Stearns, and Wright.
8. **Southwest.** Cottonwood, Jackson, Lincoln, Lyon, Murray, Pipestone, Redwood, Rock, and Nobles.
9. **South Central.** Blue Earth, Brown, Faribault, Le Sueur, Martin, Nicollet, Sibley, Waseca, and Watonwan.
10. **Southeast.** Dodge, Fillmore, Freeborn, Goodhue, Houston, Mower, Olmsted, Rice, Steele, Wabasha, and Winona.
11. **Metropolitan.** Anoka, Carver, Dakota, Hennepin, Ramsey, Scott and Washington.

Regional Map



Probation Regional Recidivism

FIGURE 7

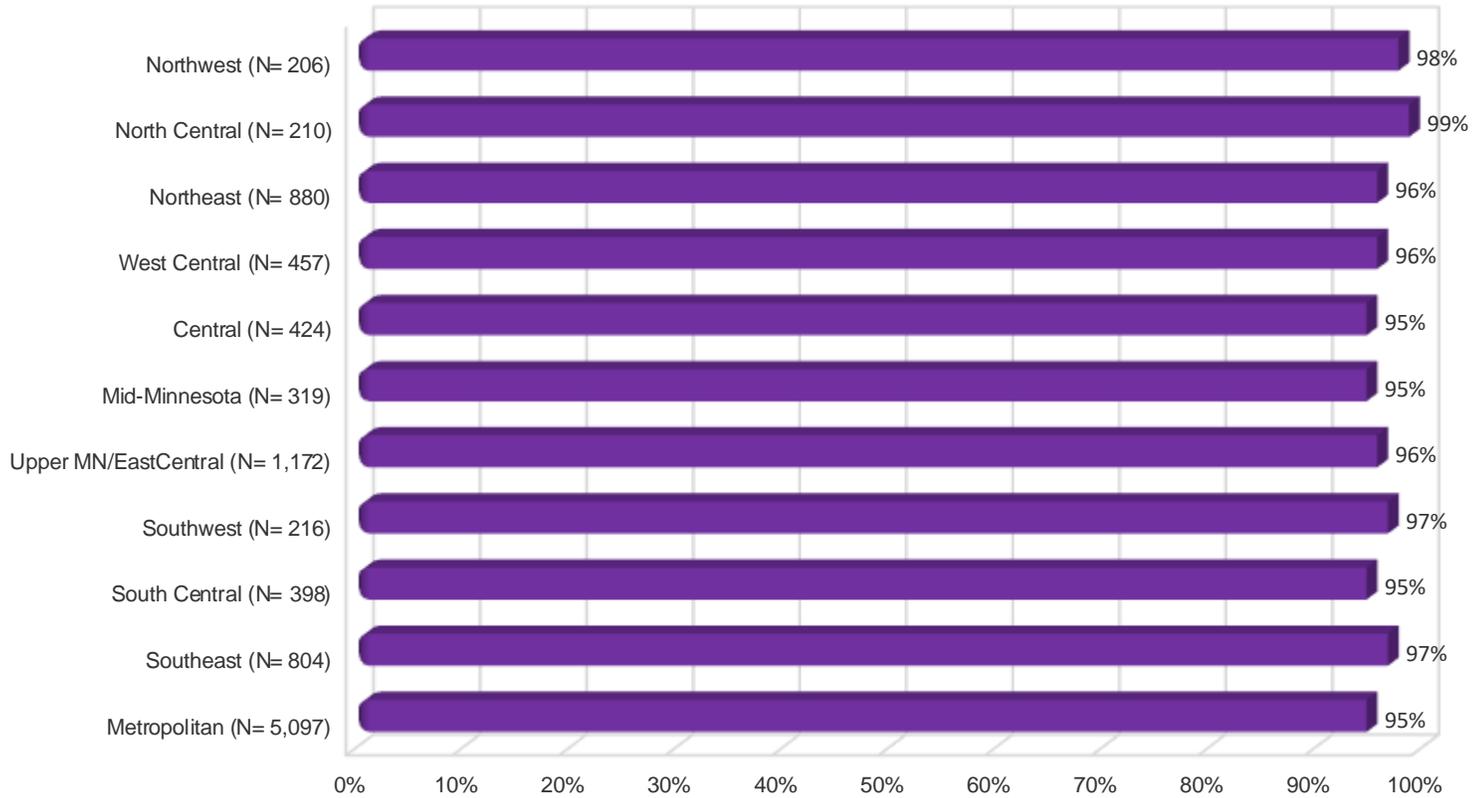


- Half of the probation individuals that closed in 2016 had been supervised in the Metropolitan region⁷.
- All other regions with the exception of Upper MN/East Central (12%) represented 9% or less of the probation population (Figure 7).

⁷ Please refer to page 14 for the regional breakdown.

FIGURE 8

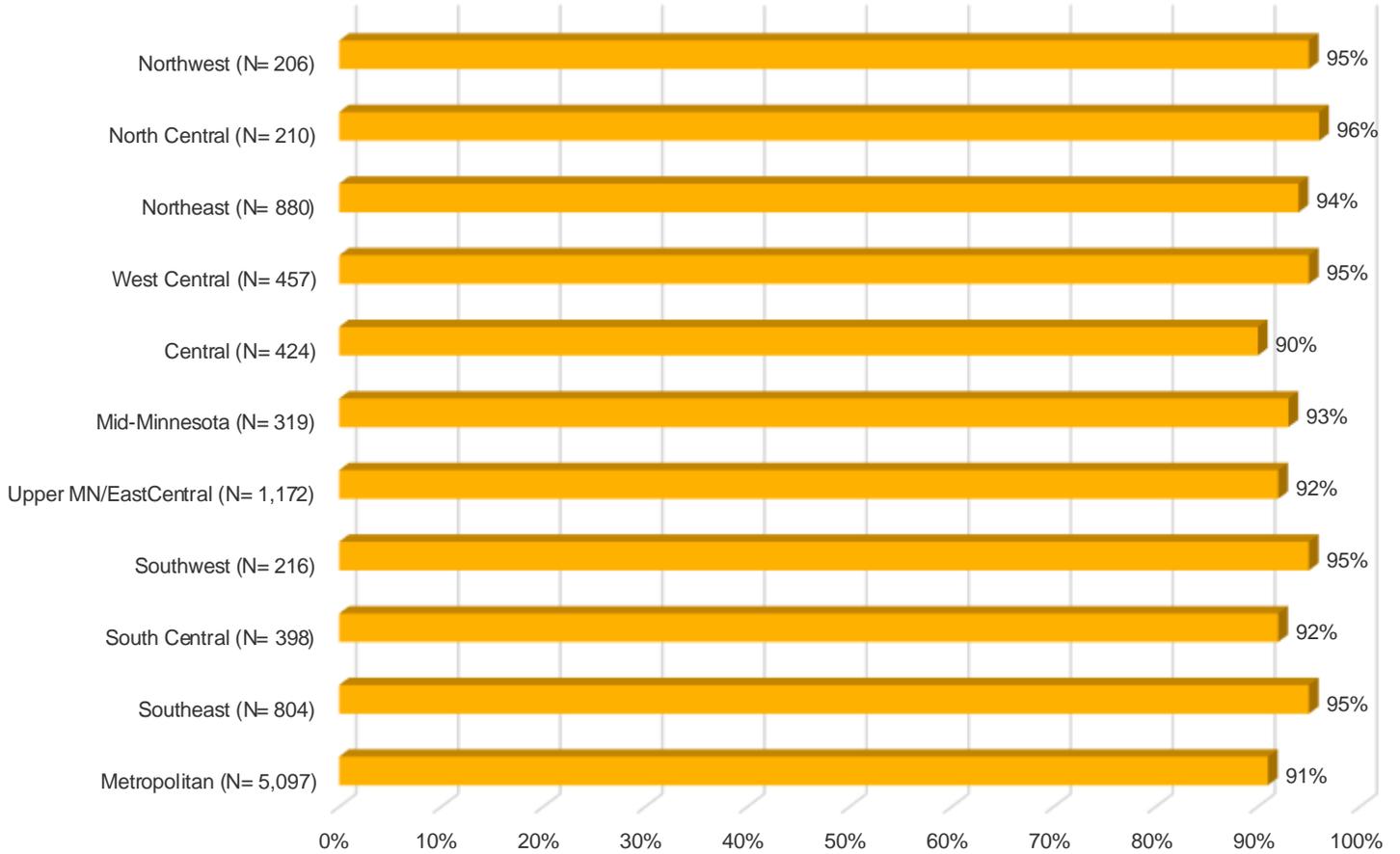
**Six Months Felony-Free by Region for Probationers with a Felony Case Closed in 2016
(N=10,183)**



- Figure 8 shows that probationers at six months post-supervision, had felony conviction free rates between 95% and 99%.

FIGURE 9

One Year Felony-Free by Region for Probationers with a Felony Case Closed in 2016
(N = 10,183)

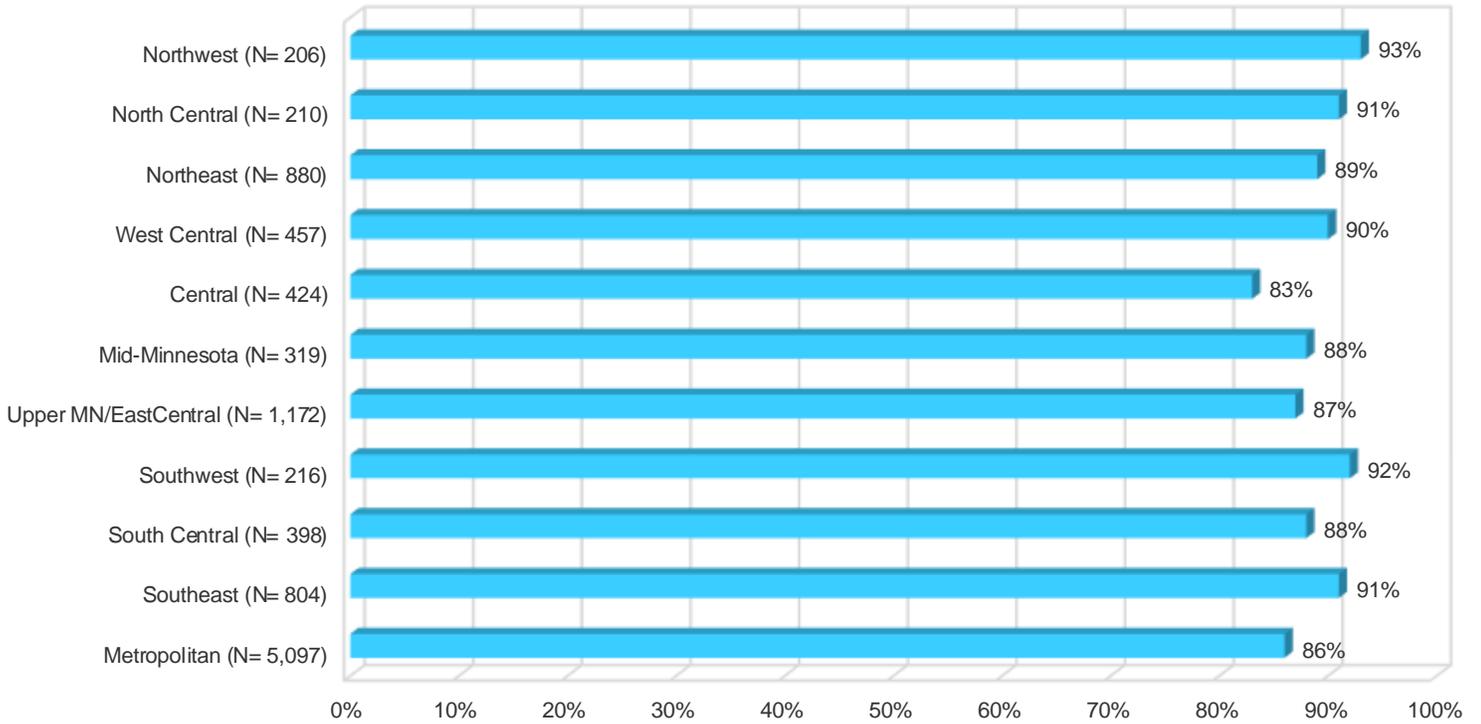


- By one year post-supervision, between 90% and 96% of individuals who had been on probation in all regions were felony conviction free (Figure 9).
- North Central⁸ topped the regions with a felony-free conviction rate at 96%.

⁸ Please refer to page 14 for the regional breakdown.

FIGURE 10

**Two Years Felony-Free by Region for Probationers with a Felony Case Closed in 2016
(N = 10,183)**

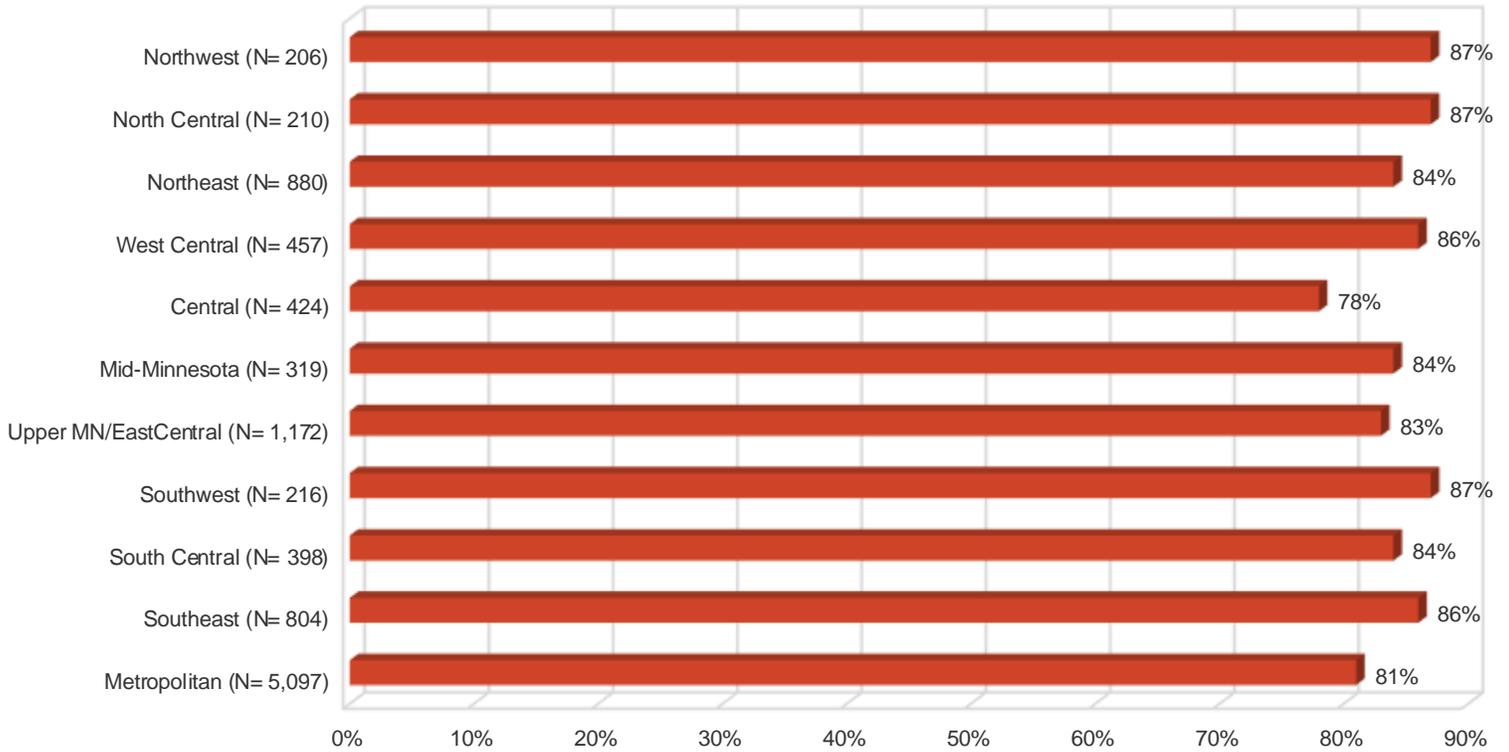


- At two years post-supervision between 83% and 93% of felony-level individuals who were on probation remained felony conviction free (Figure 10).
- Northwest⁹ took the top spot leading the regions with the highest felony-free rate of 93%.

⁹ Please refer to page 14 for the regional breakdown.

FIGURE 11

**Three Years Felony-Free by Region for Probationers with a Felony Case Closed in 2016
(N = 10,183)**



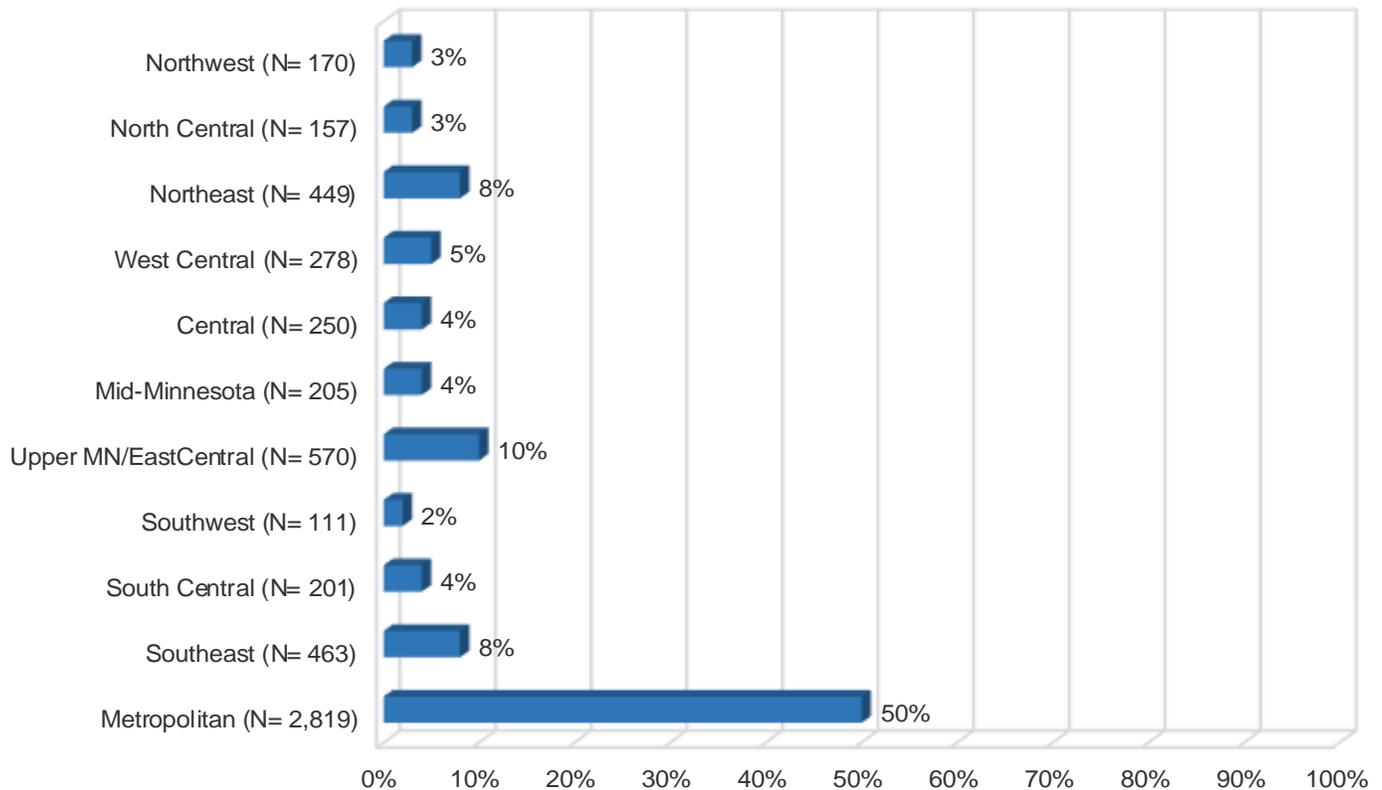
- Within three years post-supervision between 78% and 87% of felony-level individuals who had been on probation remained felony conviction free (Figure 11).
- The Northwest¹⁰, North Central, and Southwest regions represented the highest felony-free conviction rates at 87%.

¹⁰ Please refer to page 14 for the regional breakdown.

Supervised Release¹¹ Regional Recidivism

FIGURE 12

2016 Supervised Release Population Distribution by Region
(N = 5,673)



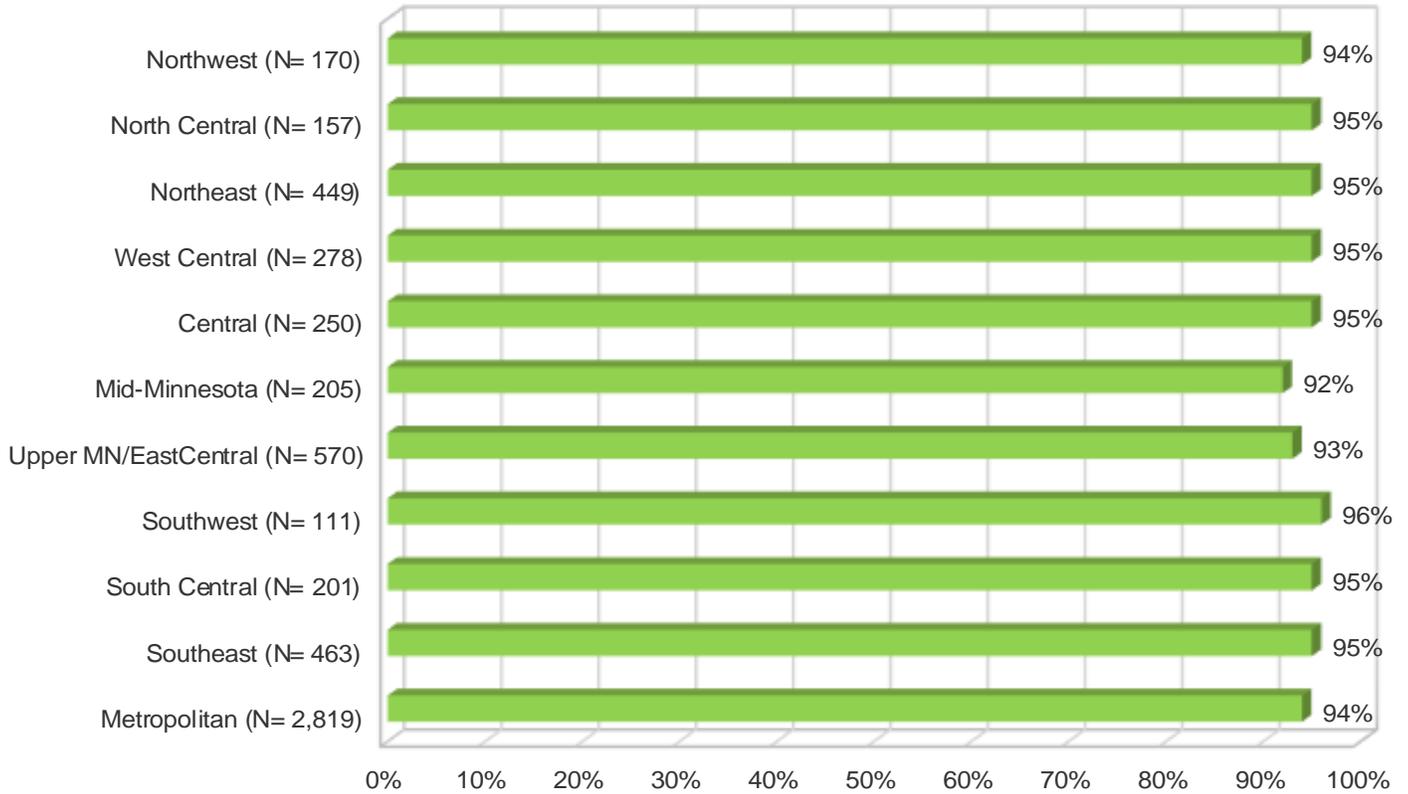
- The largest distribution of the felony-level supervised release population was in the Metropolitan region¹² (50%).
- All other regions represented 10% or less of the population (Figure 12).

¹¹ It should be noted that previous recidivism studies have focused on supervised releasees directly after their release from prison. The population in this study focuses on the period after completion of *supervision*.

¹² Please refer to page 14 for the regional breakdown.

FIGURE 13

**Six Months Felony-Free by Region for Supervised Releasees with a Felony Case Closed in 2016
(N = 5,673)**

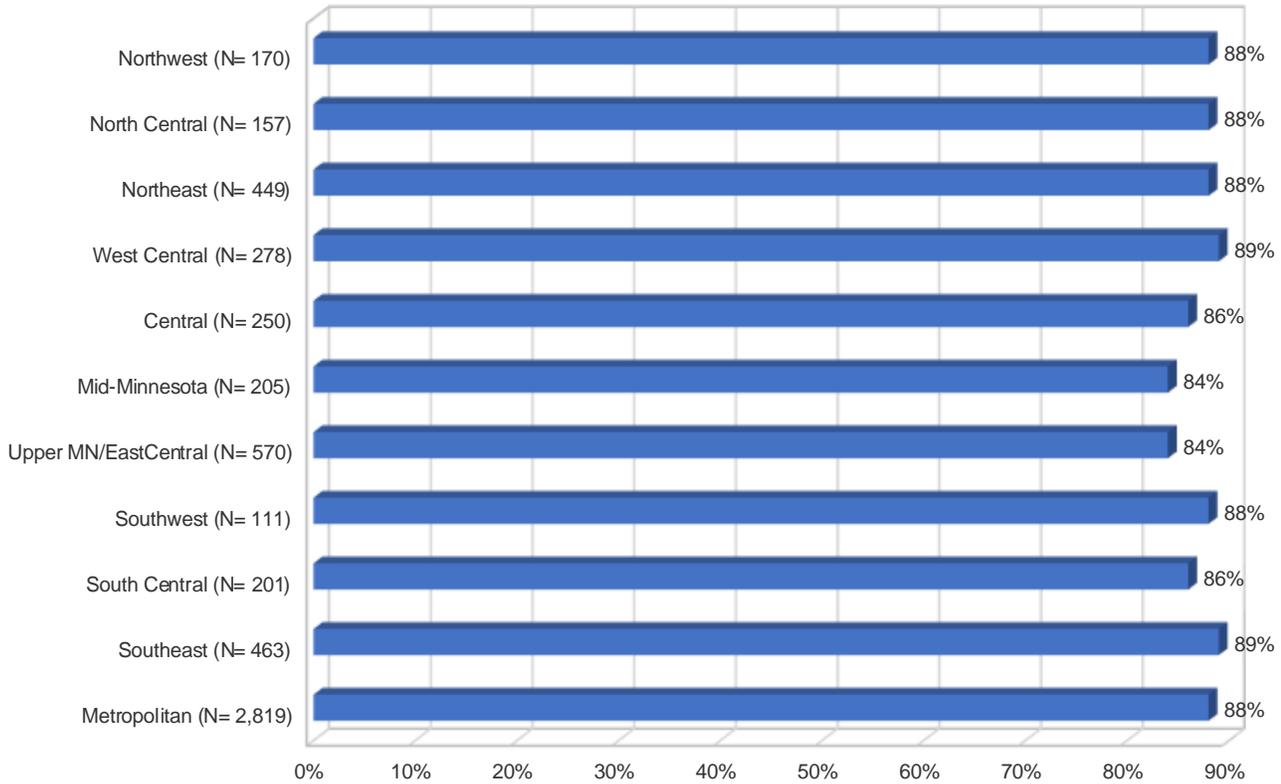


- Figure 13 shows that for individuals who had been on supervised release at six months post-supervision, between 92% and 96% were felony conviction free.
- The Southwest¹³ region had the highest rate with 96% felony conviction free.

¹³ Please refer to page 14 for the regional breakdown.

FIGURE 14

**One Year Felony-Free by Region for Supervised Releasees with a Felony Case Closed in 2016
(N = 5,673)**

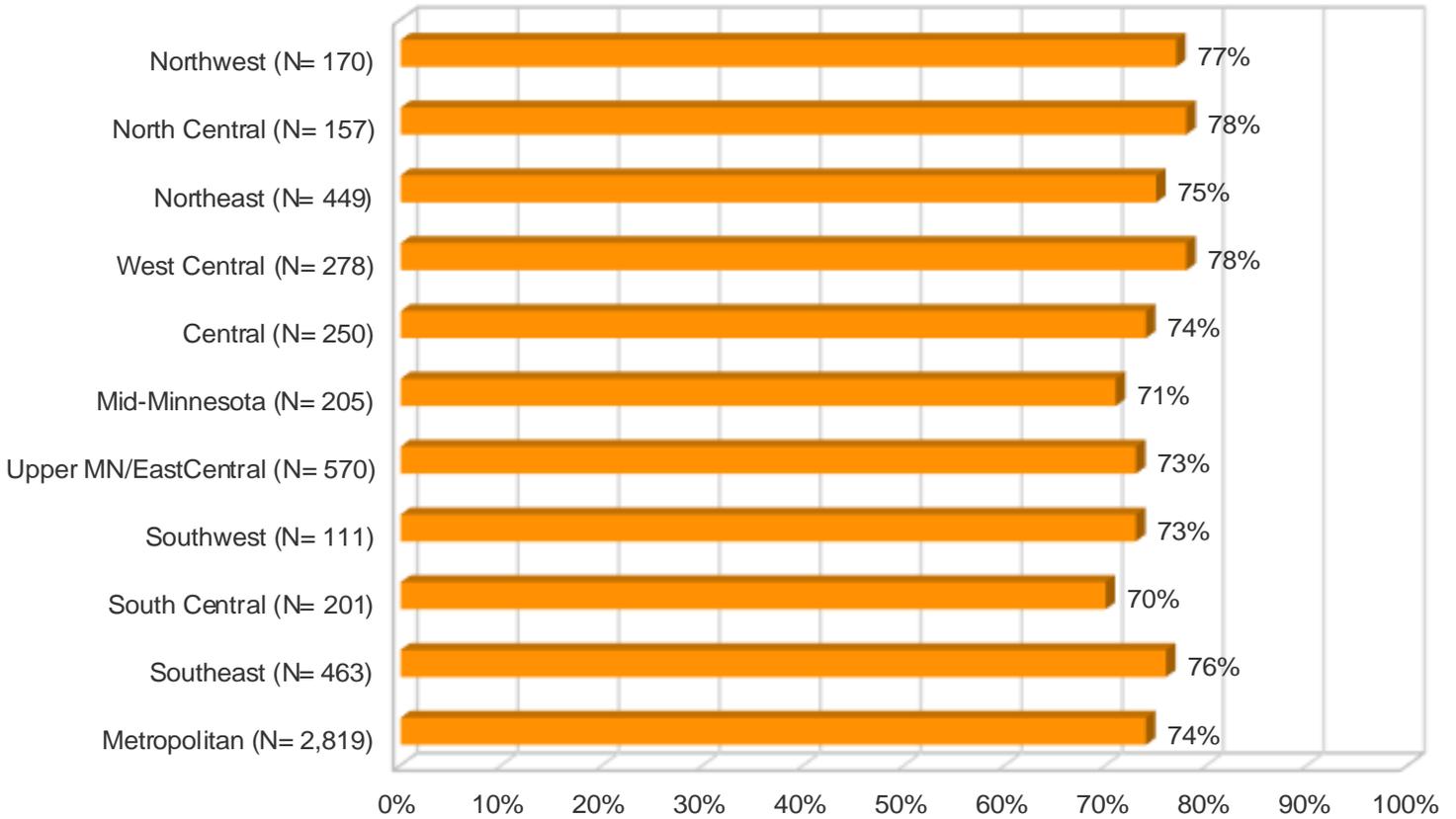


- By one year post-supervision, between 84% and 89% of individuals who had been on supervised release in the various regions were felony conviction free (Figure 14).
- The West Central and Southeast¹⁴ regions marked the highest rates of felony conviction free at 89%.

¹⁴ Please refer to page 14 for the regional breakdown.

FIGURE 15

**Two Years Felony-Free by Region for Supervised Releasees with a Felony Case Closed
in 2016
(N=5,673)**

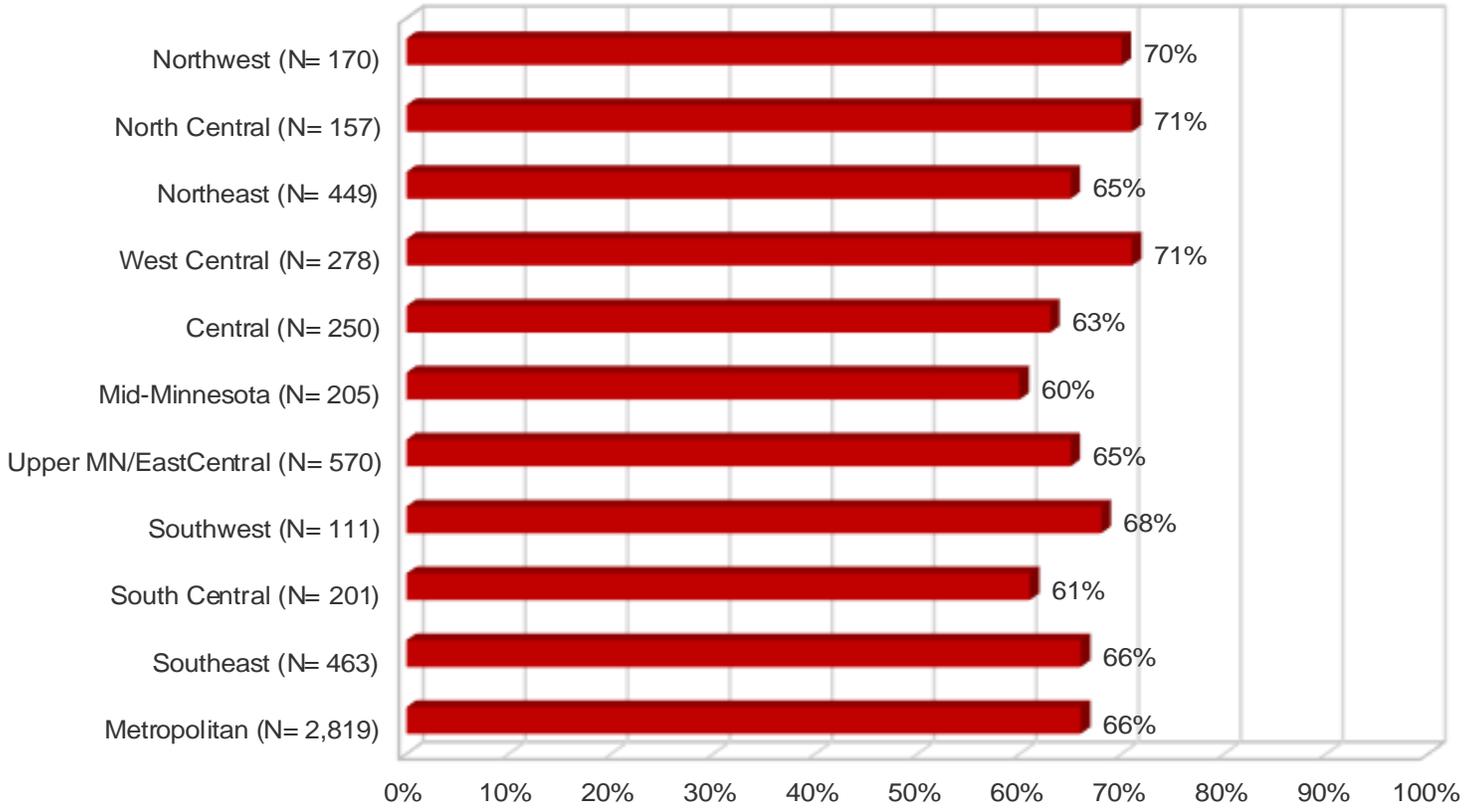


- At two years post-supervision between 70% and 78% of felony-level individuals who had been on supervised release remained felony conviction free (Figure 15).
- The North Central¹⁵ and West Central regions again had the highest rates at 78%.

¹⁵ Please refer to page 14 for the regional breakdown.

FIGURE 16

Three Years Felony-Free by Regions for Supervised Releasees with a Felony Case Closed in 2016 (N=5,673)



- Within three years post-supervision between 60% and 71% of felony-level individuals who had been on supervised release remained felony conviction free (Figure 16).
- The West Central¹⁶ region continued to have the highest rate and the Mid-Minnesota region had the lowest felony conviction free rates.

¹⁶ Please refer to page 14 for the regional breakdown.

Detailed Regional Outcomes¹⁷ for Cases Closed in 2016

FIGURE 17

Felony-Free Individuals for Felony Cases Closed in 2016 for Northwest Region (N= 376)

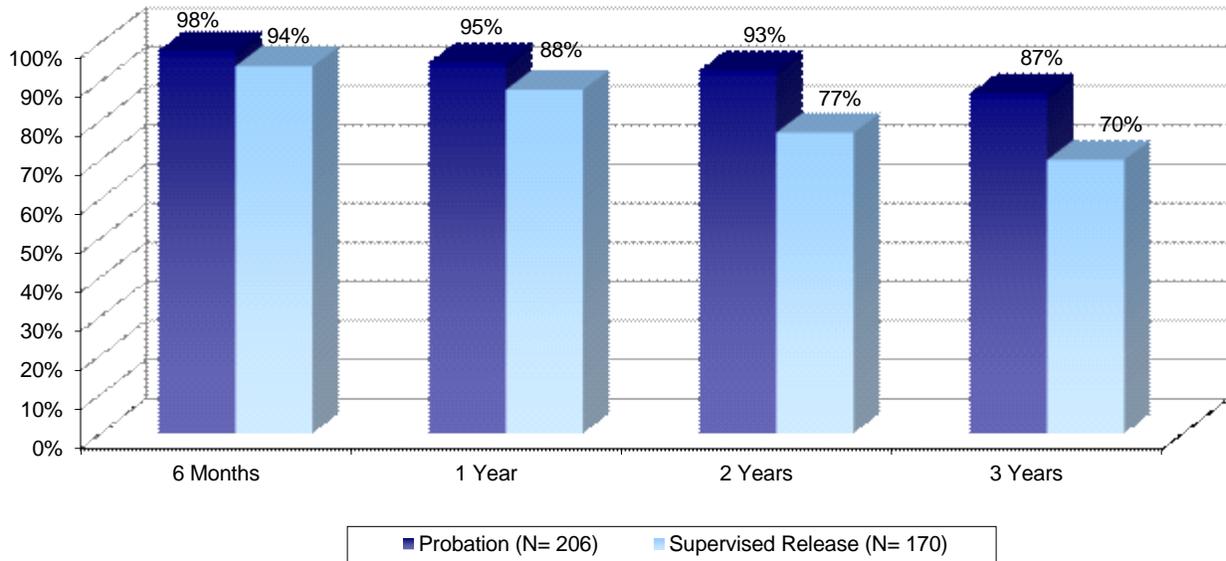
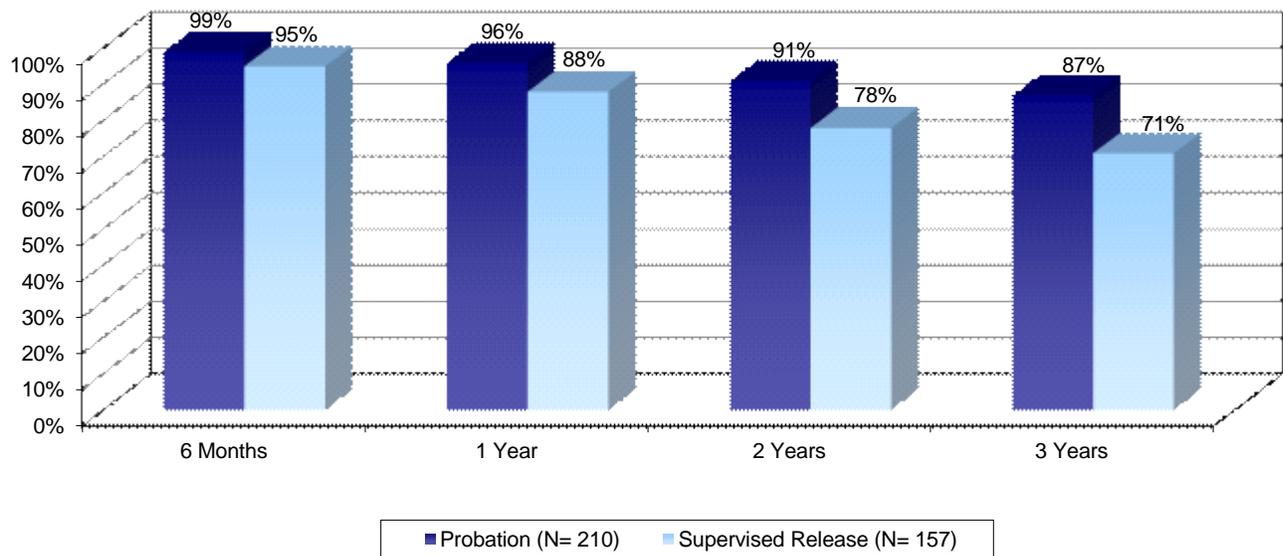


FIGURE 18

Felony-Free Individuals for Felony Cases Closed in 2016 for North Central Region (N= 367)



¹⁷ Please refer to page 14 for the regional breakdown for Figures 17—27.

FIGURE 19

**Felony-Free Individuals for Felony Cases Closed in 2016 for Northeast Region
(N= 1,329)**

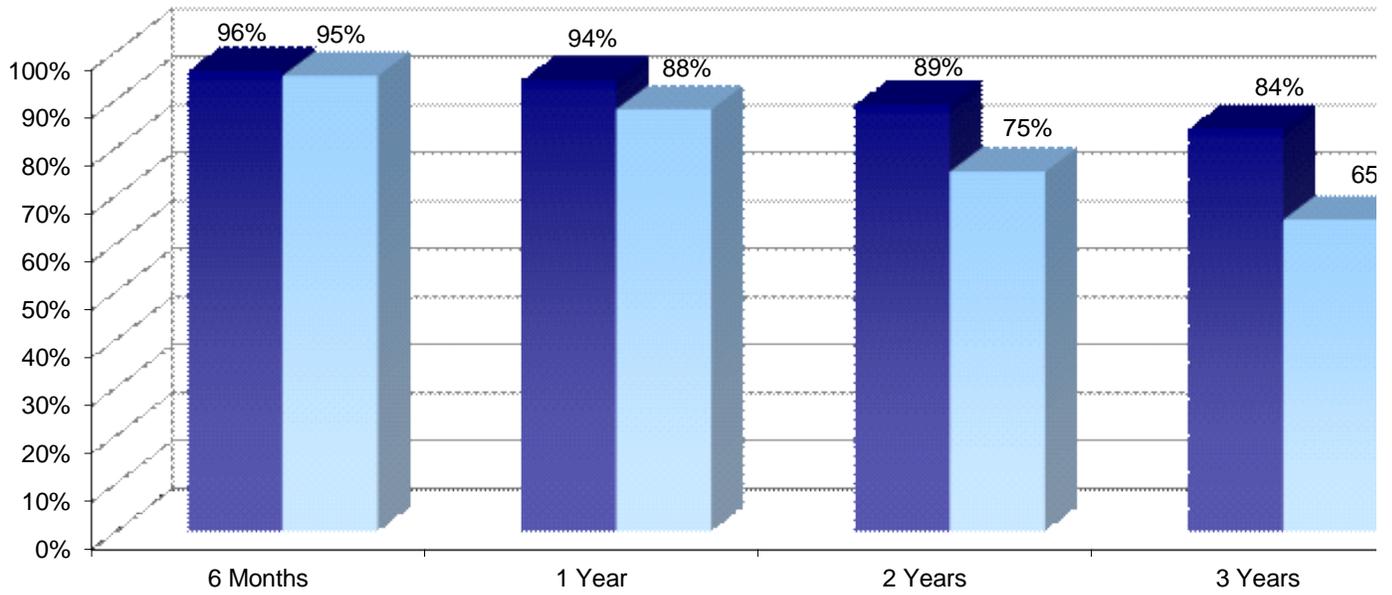


FIGURE 20

**Felony-Free Individuals for Felony Cases Closed in 2016
for West Central Region
(N= 735)**

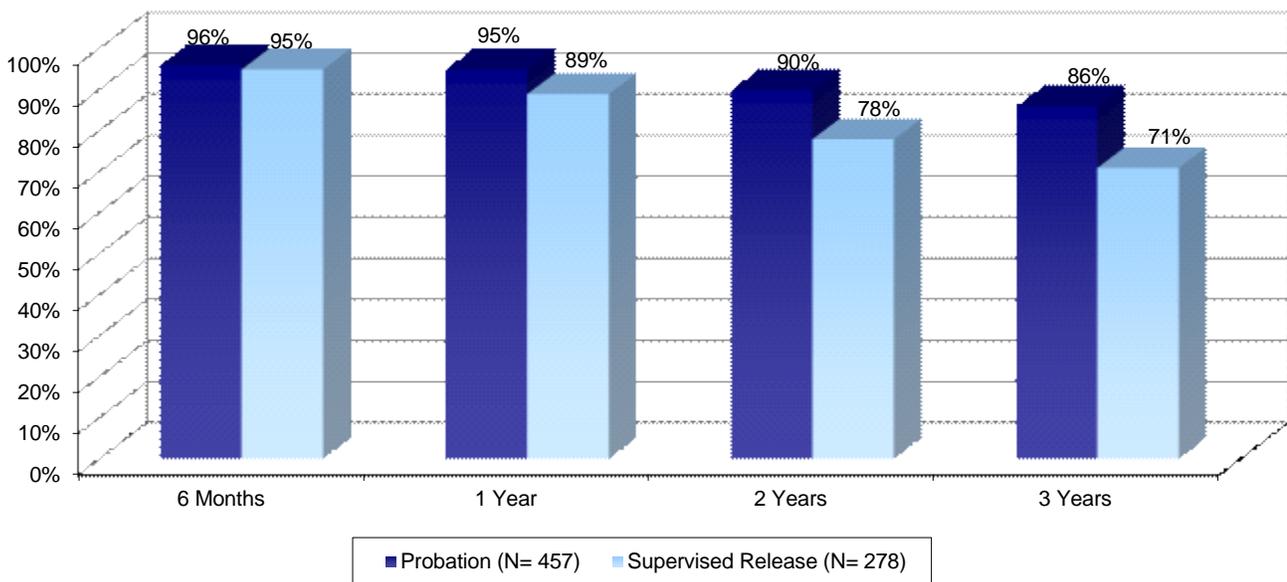


FIGURE 21

**Felony-Free Individuals for Felony Cases Closed in 2016 for Central Region
(N= 674)**

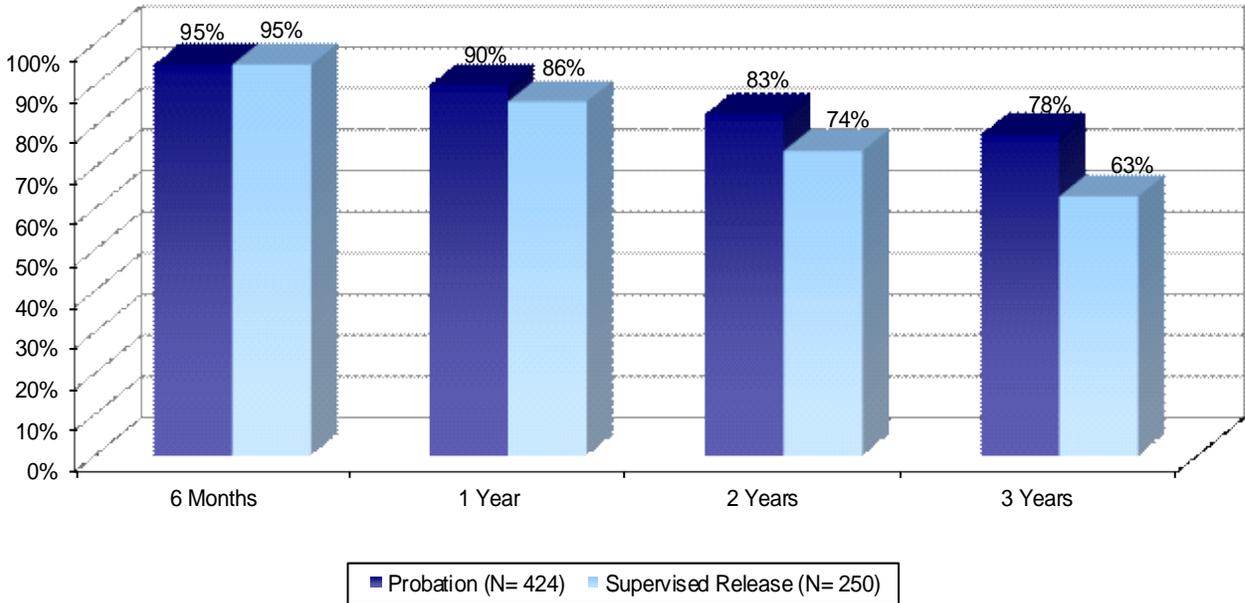


FIGURE 22

**Felony-Free Individuals for Felony Cases Closed in 2016 for Mid-Minnesota Region
(N= 524)**

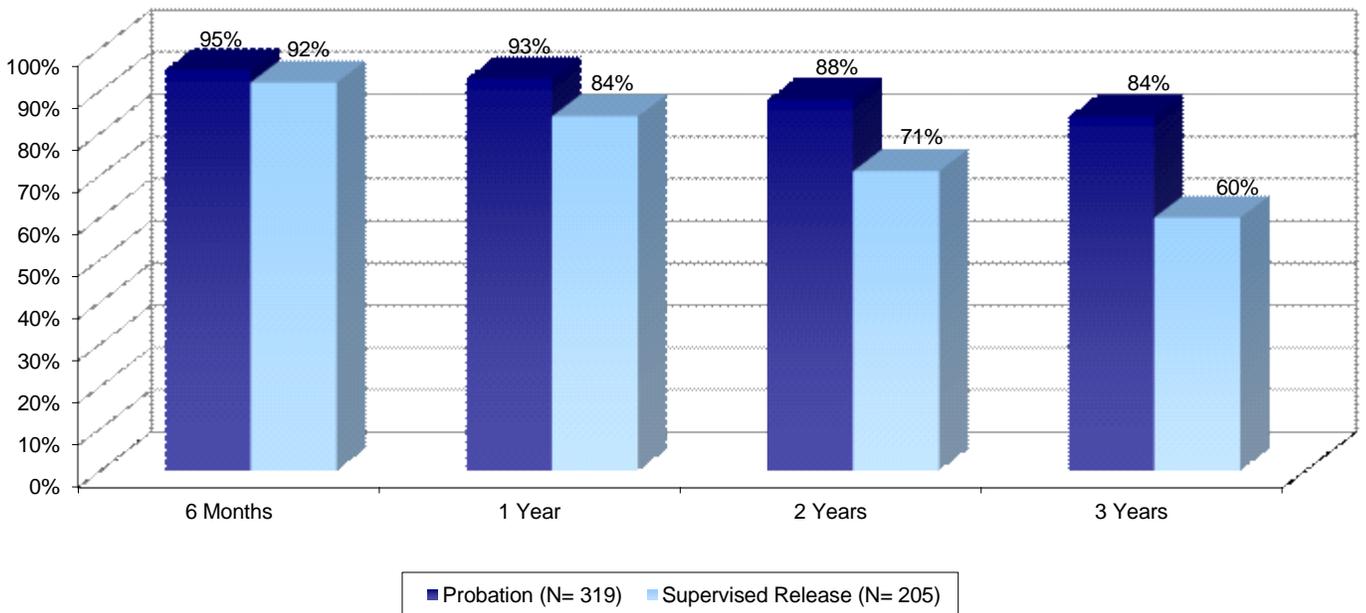


FIGURE 23

**Felony-Free Individuals for Felony Cases Closed in 2016
for Upper Minnesota/East Central Region
(N= 1,742)**

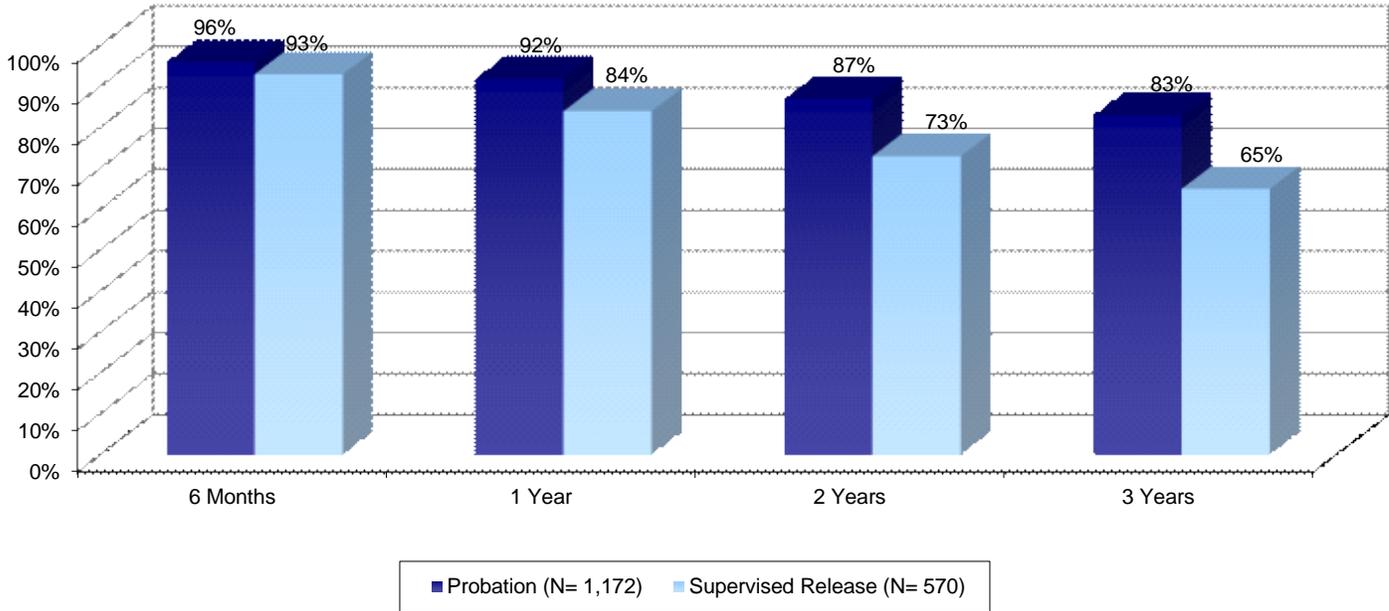


FIGURE 24

**Felony-Free Individuals for Felony Cases Closed in 2016 for Southwest Region
(N= 327)**

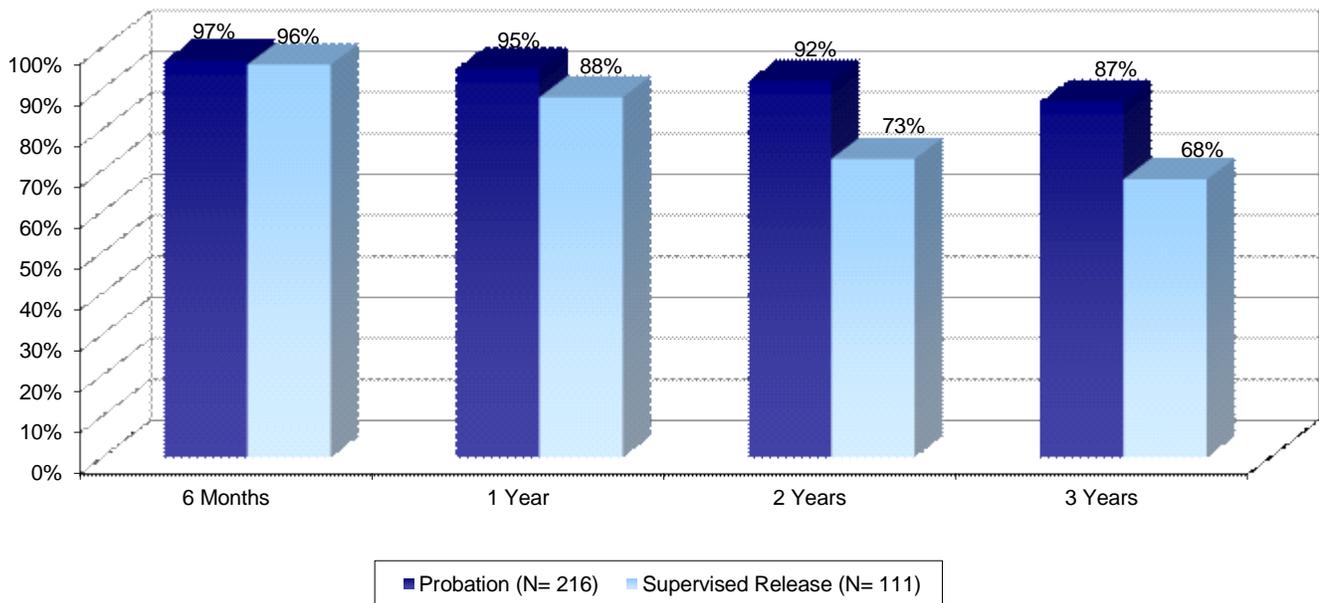


FIGURE 25

**Felony-Free Individuals for Felony Cases Closed in 2016 for South Central Region
(N= 599)**

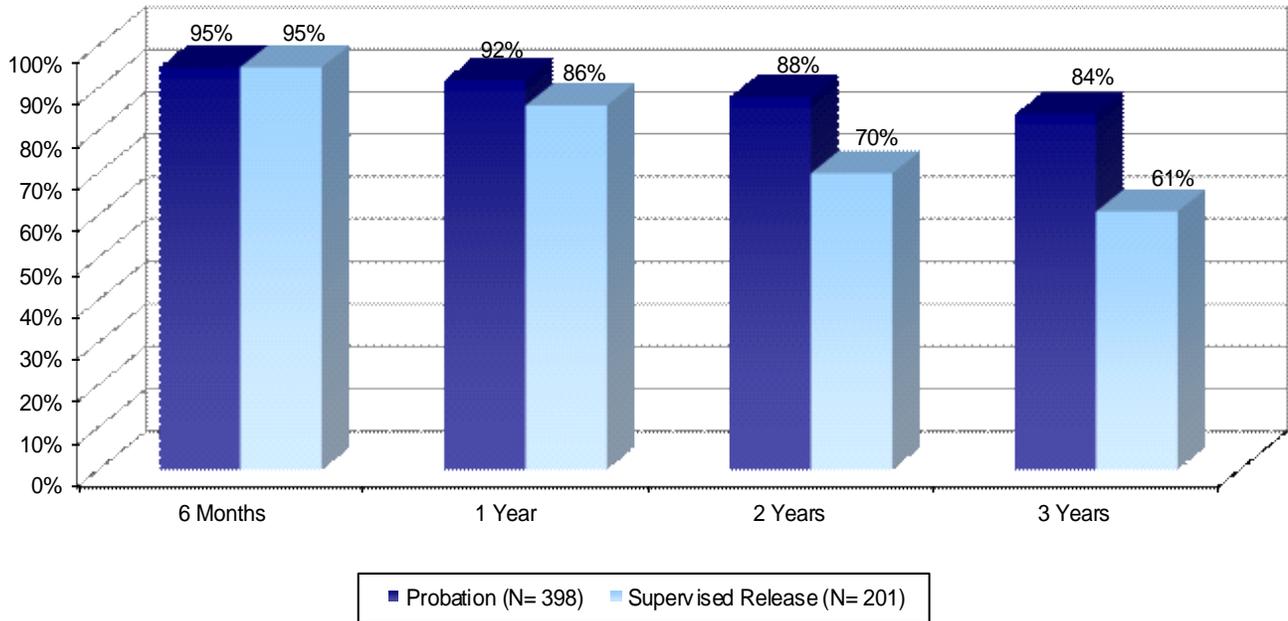


FIGURE 26

**Felony-Free Individuals for Felony Cases Closed in 2016 for Southeast Region
(N= 1,267)**

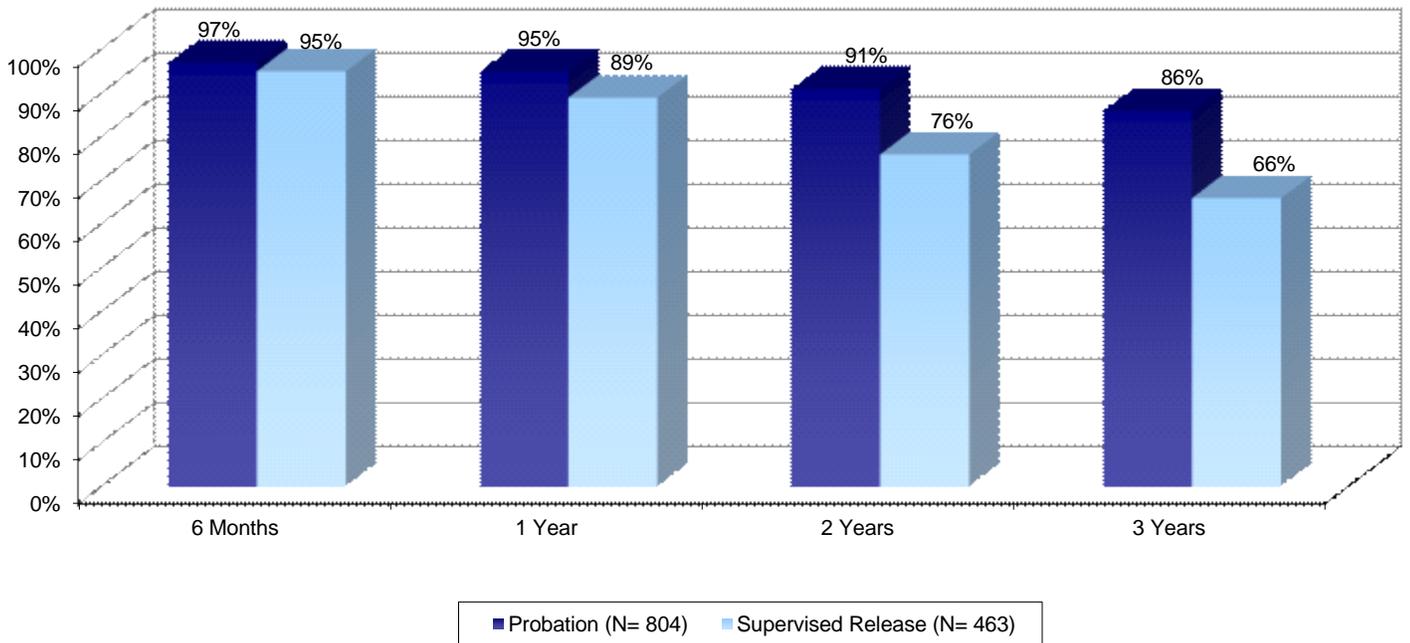
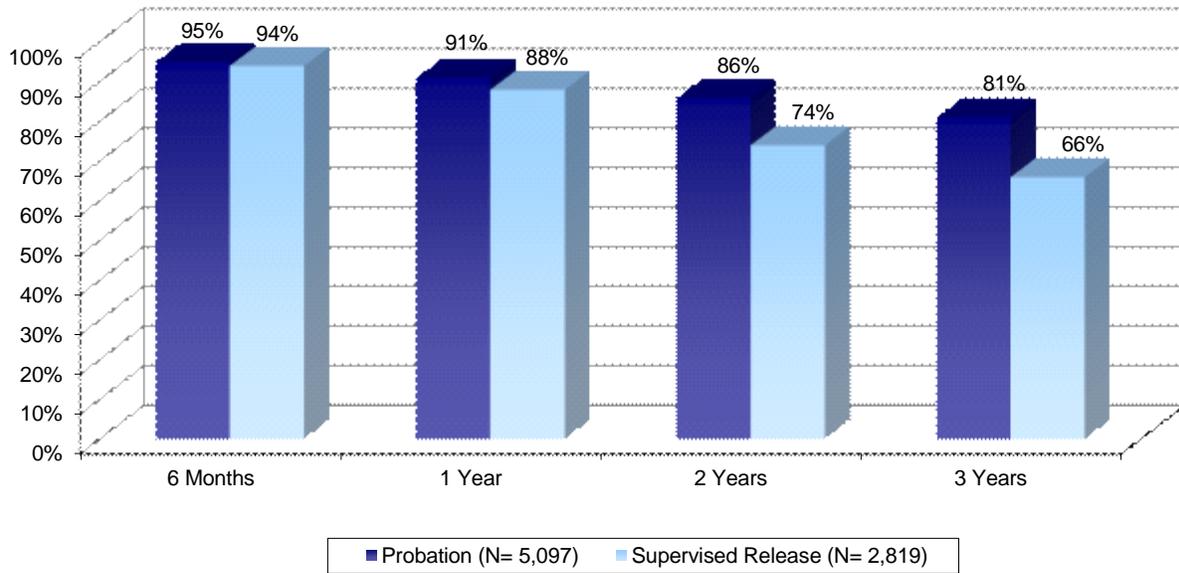


FIGURE 27

**Felony-Free Individuals for Felony Cases Closed in 2016 for Metropolitan Region
(N= 7,916)**



Restitution Ordered for Felony Cases Closed in 2019¹⁸

For the purposes of this report restitution is defined as money the Court orders an individual to pay to a victim as part of a criminal sentence. This is an equitable remedy to restore a person to the position they would have been in if not for the improper action of the individual.

To obtain the population of individuals who have restitution ordered, the Statewide Supervision System¹⁹ was used to extract adults with a felony-level supervision case closed in 2019. Supervision included probation and supervised release.

- Statewide there were 2,255 adult felony closed cases that showed restitution ordered.
- A total of 29% was paid in full.
- The total restitution paid for cases closed in 2019 was \$4,054,647.
- From 2015 to 2019 the total percentage of individuals paying restitution in full decreased from 38% to 29%.

Table 1

Number of Adult Felony Cases Closed in 2019 with Restitution Ordered	State-Wide Totals	% of Total Number of Cases Closed with Restitution Ordered
Total Number of Cases Closed with Restitution Ordered	2,255	100
Total Number Closed With Restitution Paid In Full	652	28.9 ²⁰
Total Number Closed With Less Restitution Paid Than Ordered ²⁰	1,599	70.9
Closed With Some Restitution Paid	458	20.3
Closed With \$0 Restitution Paid ²¹	1,141	50.6

Table 2

Restitution Collected from Adult Felony Cases Closed in 2019	State-Wide Totals	Total Restitution Ordered	Total Restitution Paid
Total Number of Cases Closed with Restitution Ordered	2,255	\$42,033,347	\$4,054,647
Total Number Closed With Restitution Paid In Full	652	\$2,925,659	\$2,925,659
Total Number Closed With Less Restitution Paid Than Ordered ²⁰	1,599	\$41,451,696	\$1,078,991
Closed With Some Restitution Paid	458	\$33,873,795	\$1,078,991
Closed With \$0 Restitution Paid ²⁰	1,141	\$7,577,900	\$0.00

¹⁸ Restitution collection in Hennepin County has been moved from Community Corrections to the County Attorney's office.

¹⁹ Hennepin and Ramsey Counties compiled their statistics utilizing internal financial tracking software.

²⁰ While a number of factors may have contributed to the decline in the number of offenders paying their restitution in full, the primary causes appear to have been the increase in unemployment as well as the increasing use of revenue recapture by probation agencies. While revenue recapture actually can result in the collection of more restitution, restitution collected in this manner is not reflected in the data gathered by the department at this time.

²¹ Completion amounts are as reported in S3 and do not include any subsequent payments via revenue recapture or other civil remedies.

Table 3

Individuals Closed in 2015—2019	% of Total Number Paid in Full	Total Restitution Ordered	Total Restitution Paid
2019	28.9	\$42,033,347	\$4,054,647
2018	34.0	\$16,233,237	\$3,842,579
2017	38.8	\$14,936,197	\$3,704,158
2016	38.7	\$18,335,050	\$4,533,352
2015	37.6	\$18,185,517	\$3,948,246

Appendix

Appendix A:

History

The statewide probation and supervised release outcomes effort in Minnesota began in 1997. The following is an historical chronology of this effort:

February 1997: First Correctional Outcome Measures report completed. The report was not legislatively required. Rather, it was initiated by various correctional agencies in response to the need for uniform outcome measures. The agencies involved with this report included the Department of Corrections, the Minnesota Association of County Probation Officers, the Minnesota Corrections Association, and the Minnesota Association of Community Corrections Act Counties. The Task Force proposed four outcome measures and made two implementation recommendations. The four measures were designed to meet the following correctional objectives:

1. Individuals will be law abiding,
2. Victims will be financially restored,
3. Individuals who are court ordered to perform certain obligations will abide by the court order, and
4. Agencies will assist individuals with change.

In addition, the Task Force recommended that:

1. The Minnesota Department of Corrections form an implementation committee to develop data standards, definitions, methodology, and means of data collection; and
2. A Data Advisory Committee be established to review the information submitted and interpret the data for possible policy implications and data enhancements.

May 1997: Legislatively created work group required. A statute was passed (Minnesota Laws 1997, Chapter 239, Senate File 1880, Article 9, Section 48) requiring the Commissioner of Corrections to establish a work group to develop uniform statewide probation outcome measures. This work group was charged with the development of both measurement definitions (in order that all probation service providers report standardized outcome information) and a method by which statewide providers could measure and report recidivism in a uniform manner.

January 1998: Uniform Statewide Probation Outcome Measures Workgroup report completed. The workgroup consisted of multiple stakeholders and included interviews and meetings with various agencies involved in information systems policy. The work group recommended five overarching objectives on which to collect data, including:

1. Protection of the public,
2. Enforcing orders of the criminal and juvenile justice systems,
3. Assisting the individual to change,
4. Restoring the crime victim, and
5. Community restoration and community involvement.

This group further recommended that:

1. The Minnesota Department of Corrections should develop a process for the construction of a statewide plan including, but not limited to, minimum standards for service delivery and statewide goals from which future measures could be created. It was also recommended that local agencies be a part of this planning process.
2. A small number of core outcomes should be developed for both juvenile and adult community-based correctional services, on which all delivery systems should be asked to report annually.
3. Due to a lack of a centralized statewide data system, only the measure of adult recidivism would be implemented immediately.
4. Tabulation and reporting of recidivism should be done annually and statewide by the Minnesota Department of Corrections. After tabulation, individual agency information should be returned to the reporting agencies for the purpose of measuring the effectiveness of programs being operated by that agency.

November 2000: Outcome Measures, Performance Enhancement, and Data Integration committee created. This work group, created by the Community Services Advisory Council and comprised of volunteers from both county and state corrections agencies, was charged with revisiting the 1998 Outcomes Report in the interest of clarifying existing outcomes and addressing the policy questions related to those outcomes. The recommendations of this committee were published in March of 2001 and included the following:

1. All data necessary for reporting on identified non-recidivism outcomes should be made available in the Court Services Tracking System (CSTS) and the Statewide Supervision System (SSS).
2. Data practices issues surrounding the collection and dissemination of data, including issues of juvenile data and the use of risk scores, should be addressed prior to the implementation of statewide outcomes.
3. A standing Data Definition Team (DDT) should be created to define terms, clarify data fields, construct timelines, and determine the protocols and responsibilities necessary for the implementation of statewide outcomes. The DDT should include three practitioner representatives from each of the three delivery systems, along with research or performance measurement specialists from both the state and local levels.

July 2001: Data Definition Team created. The Data Definition Team (DDT) grew out of the previous work groups and focused on the issues of quality assurance, defining and clarifying how outcome measures would be collected using current (and future) data systems, and creating/coordinating a data collection and reporting protocol. As a result of the DDT's work, standardized outcomes and definitions were established and documented in the Statewide Probation Outcomes Final Recommendations Report. The DDT worked with the administrations of the three delivery systems to collect State Identification Numbers (SID) that were needed to collect the recidivism data for this report. This current report concentrates on two of the four correctional objectives –individuals remain law abiding and the community receives restorative individual services and activities.

Implementation Plan

In order to accomplish its work, the DDT developed an implementation plan for gathering statewide probation and supervised release outcomes. Adoption of Statewide Probation Outcome Measures is voluntary. The DDT or any DDT satellite committee cannot impose outcome measures on probation delivery systems. Further, public reports on this performance data will only include information from counties who are willing to participate fully in the process. The Data Definition Team has established the following implementation guidelines:

- ❖ **Training.** Training sessions on performance measurement and the use of S³ to report on statewide outcome measures were developed and delivered in Winter 2003. The Department of Corrections was responsible for scheduling and delivering this training. Regional training in performance measurement and the development of the Statewide Probation Outcome Measures was provided for county corrections administrators and managers; training on issues of data integrity and the use of S³ to report on statewide outcomes was offered to line staff most closely connected with data entry.
- ❖ **Data Integrity and Representation.** The DOC's Information and Technology Unit has worked with systems administrators in each agency to verify S³ data. This unit has conducted trainings on the validation of probation data as it feeds into the S³ system. These efforts help to ensure that the information gathered is accurate, timely, and uniform across agencies. The body of the Statewide Probation and Supervised Release Outcomes Annual Report includes overall totals and regional aggregations of the outcomes data. Regional aggregations are reported in a manner consistent with the Minnesota Regional Development Organizations.

Individual jurisdictions can request to review and approve their data prior to the publication of that data in the Statewide Probation and Supervised Release Outcomes Annual Report. Jurisdiction-specific results, along with jurisdiction commentary on their data, are made available on a per request basis. The Data Definition Team meets on a continuing basis to discuss the implementation of the remaining outcomes, the content and style of future outcome reports, and strategies to encourage data sharing across jurisdictions.

Appendix B:

Data Dictionary

It should be noted that many of these goals are just that—goals. Due to a shortage of state and local resources as well as difficulties standardizing data, we are only able to collect data for closed cases and for restitution. Our desire is that someday more of these goals will have reportable data. However, until the data is available and standardized, we will continue to be limited on what we can report statewide.

GOAL	<u>Insuring Public Safety</u>
OUTCOME	Individuals remain law abiding.
Indicator #1	Percentage of individuals who are not arrested, charged, convicted for a new offense while under supervision.
Definitions	<p>Supervision: Agency has jurisdiction over an individual regardless of the level of supervision (paper, administrative, intensive, etc) not to include diversion, sole sanction, etc.</p> <p>Reporting Range: Individuals starting their supervision period of probation/supervised release/parole at any time during the selected calendar year.</p> <p>Transfer Cases: Reporting will be available to separate out individuals who transfer between agencies to assess impact on overall outcome.</p>
Reporting Frequency	Reporting to be done annually if automation possible (every other year if automation not possible)
Responsible Agency	Department of Corrections in consultation with local agencies

GOAL	<u>Insuring Public Safety</u>
OUTCOME	Individuals remain law abiding.
Indicator #2	Percentage of individuals who are not arrested, charged, convicted for a new offense following supervision discharge.
Definitions	<p>Supervision: Agency has jurisdiction over an individual regardless of the level of supervision (paper, administrative, intensive, etc) not to include diversion, sole sanction, etc.</p> <p>Probation: A court ordered sanction placing certain conditions on a convicted individual, which could include some local jail or workhouse time, but allowing the individual to remain in the community under the supervision of a probation officer.</p> <p>Supervised Release: Status of a convicted felon who has been released from a state correctional facility. Certain conditions must be met in order to remain in the community.</p> <p>Discharge: Court-ordered closure.</p> <p>Reporting Range: Individuals discharged from their supervision period of probation/supervised release/parole at any time during the selected calendar year.</p>
Reporting Frequency	Reporting to be done annually if automation possible (every other year if automation not possible)
Responsible Agency	Department of Corrections in consultation with local agencies

GOAL	<u>Restoring the Crime Victim</u>
OUTCOME	Victims receive court-ordered restitution.
Indicator #1-5	Percentage of adult and juvenile cases with restitution ordered paid at time of discharge, and percentage of restitution amount paid at time of discharge: In full, 75%, 50%
Definitions	<p>Reporting Range: Individuals ending their supervision period of probation/supervised release/parole at any time during the selected calendar year.</p> <p>Case Discharge/Closing Reason: Data from cases closed for any reason (other than death and incarcerated-prison) will be compiled. Case closing reasons should be compiled according to the following categories:</p> <p>DEA – death DIS – discharge-formal adjudication or conviction CAS – dismissed-no conviction or adjudication CLO – closed-no ongoing responsibilities INC – incarcerated-unknown JAI – incarcerated-jail PRI – incarcerated-prison EJJ – adult sentence executed</p>
Method	<p>a. Anoka, Hennepin and Ramsey Counties will provide restitution reporting via FTS automated information system.</p> <p>b. S³ should be modified to accept the following data from remaining counties:</p> <p style="text-align: center;">Restitution Amount Ordered Restitution Amount Paid</p> <p>c. Non-FTS counties will need to update restitution data in their local case management system (currently CSTS) at case closing.</p> <p>d. Case closing reasons related to sentence revocation will be collated and reflected in the reporting mechanism.</p>
Reporting Frequency	Reporting to be done annually
Responsible Agency	Department of Corrections and FTS Counties

GOAL	<u>Restoring the Crime Victim</u>
OUTCOME	Victims are satisfied with services provided.
Indicator #1	Percentage of victims responding to a survey who are satisfied with the manner in which their cases were handled by the supervising agency.
Definitions	<p>Phase 1: Percentage of victims responding to a survey who are satisfied with the manner in which their restitution was handled by the supervising agency.</p> <p>Survey: Written questionnaire done by mail.</p> <p>Victim Surveyed: Victims with restitution ordered associated with probation/supervision case opened during selected calendar year. Surveys will not be sent to businesses or victims who live at the same residence as the perpetrator of the crime.</p>
Method	<ol style="list-style-type: none"> a. Create list of victims' names and addresses with restitution for cases opened in selected calendar year. (For cases with juvenile victims, surveys will be developed and sent to parent or guardian of juvenile.) b. Restitution satisfaction question(s) as developed by the Multi-County Outcomes Group will be included on each local survey c. Survey will be mailed to victims with restitution ordered for probation cases opened during the selected calendar year.
Reporting Frequency	Reporting to be done every other year
Responsible Agency	Local agencies reporting to DOC Research for DOC Field Services

GOAL	<u>Restoring the Crime Victim</u>
OUTCOME	Victims are satisfied with services provided.
Indicator #1	Percentage of victims responding to a survey who are satisfied with the manner in which their cases were handled by the supervising agency.
Definitions	<p>Phase II: Percentage of victims responding to a survey who are satisfied with the victim services offered by the correctional agency.</p> <p>Survey: Written questionnaire done by mail.</p> <p>Victim Surveyed: Victims associated with probation/supervision case opened during selected calendar year. Surveys will not be sent to businesses or victims who live at the same residence as the perpetrator of the crime.</p>
Method	<p>a. Create list of victims' names and addresses for cases opened in selected calendar year. (For cases with juvenile victims, surveys will be developed and sent to parent or guardian of juvenile.)</p> <p>b. Case satisfaction question(s) as developed by the Multi-County Outcomes Group will be included on each local survey</p> <p>c. Pick list of victim-related services for agency to be included</p> <p>d. Survey will be mailed to victims with probation cases opened during the selected calendar year.</p> <p><i>Concerns Noted:</i> <i>*Ability of agencies to collect victim information for all cases</i> <i>*Validity of responses as related to probation agency satisfaction in agencies not providing any victim-related services</i></p>
Reporting Frequency	Reporting to be done every other year
Responsible Agency	Local agencies reporting to DOC Research for DOC Field Services

GOAL	<u>Restoring the Community</u>
OUTCOME	The community receives restorative individual services and activities.
Indicator #1	Number of adult and juvenile Sentence to Service (STS) or supervised crew hours completed per year.
Indicator #2	Dollar value* of adult STS or supervised crew and of juvenile STS or supervised crew hours completed per year.
Indicator #3	Number of adult bed days saved per year as a result of STS or supervised crew programming.
Definitions	A strict definition of hours versus days ordered/completed is still not available as judicial practice varies across the state. Dollar value to be determined by MARS.
Method	a. DOC Quarterly/Annual STS report will provide hours, dollar value for STS Crews. b. Local agencies will provide hours, dollar value for locally-run, unpaid supervised crews.
Reporting Frequency	Reporting to be done annually
Responsible Agency	Department of Corrections and local agencies reporting to DOC

GOAL	<u>Restoring the Community</u>
OUTCOME	The community receives restorative individual services and activities.
Indicator #4	Percentage and number of adult and percentage and number of juvenile Community Service Work (CSW) hours ordered completed at time of discharge excluding hours worked for payment of restitution.
Indicator #5	Dollar value of adult and dollar value of juvenile CSW hours completed at time of discharge excluding hours worked for payment of restitution.
Definitions	<p>Reporting Range: Individuals ending their supervision period of probation/supervised release/parole at anytime during the selected calendar year.</p> <p>Case Discharge/Closing Reason: Data from cases closed for any reason (other than death and incarceration-prison) will be compiled. Case Closing reason will be compiled according to the following categories:</p> <p>DEA – death DIS – discharge-formal adjudication or conviction CAS – dismissed-no conviction or adjudication CLO – closed-no ongoing responsibilities INC – incarcerated-unknown JAI – incarcerated-jail PRI – incarcerated-prison EJJ – adult sentence executed</p>
Method	<p>a. S³ should be modified to accept the following data from counties: Community Work Service Hours Ordered Community Work Service Hours Completed</p> <p>b. Case closing reasons related to sentence revocation will be collated and reflected in the reporting mechanism.</p>
Reporting Frequency	Reporting to be done annually
Responsible Agency	Department of Corrections

GOAL	<u>Developing Individual Competencies and Assisting Individual to Change</u>
OUTCOME	Individuals are productive members of their communities.
Indicator #1	Percentage of adult individuals who are employed or in an education program at time of entry and at time of final assessment. a. % of individuals employed b. % of individuals in education program
Definitions	Employed: 20 hours or more per week in a paid position Educational Program: half-time or more in an accredited educational program
Method	a. Add type of assessment to LSI automated tool (initial, 1 st reassessment, final, etc) b. Add yes/no question related to employment and education to the LSI automated tool. c. Create listing of final assessments from selected calendar year from LSI automation project data. d. DOC will report on number/percentage employed and in education programs based on comparison of initial to final LSI assessment.
Reporting Frequency	Reporting to be done annually
Responsible Agency	Department of Corrections (from LSI/YLS Project)

GOAL	<u>Developing Individual Competencies and Assisting Individual to Change</u>
OUTCOME	Individuals are productive members of their communities.
Indicator #2	Percentage of juvenile individuals who are employed or in an education program at time of entry and at time of final assessment: a. % of individuals employed b. % of individuals in education program
Definitions	Employed: 20 hours or more per week in a paid position Educational Program: half-time or more in an accredited educational program
Method	a. Add type of assessment to YLS automated tool (initial, 1 st reassessment, final, etc). b. Add yes/no question related to employment and education to the YLS automated tool. c. Create listing of final assessments from selected calendar year from YLS automation project data. d. DOC will report on number/percentage employed and in education programs based on comparison of initial to final YLS assessment. <i>*Concerns over the validity of tracking juvenile client change through addition of employment/education questions</i>
Reporting Frequency	Reporting to be done annually
Responsible Agency	Department of Corrections (from LSI/YLS Project)

GOAL	<u>Developing Individual Competencies and Assisting Individual to Change</u>
OUTCOME	Individuals have reduced risk and needs.
Indicator #1	Percentage of assessed individuals at discharge who show a reduction in risk and/or needs as measured by the LSI for adults and the YLS/CMI for juveniles.
Definitions	Results of the first re-assessment would be compared to the results of the final assessment to determine change.
Method	<p>Phase I: Percentage of assessed felony individuals who show a reduction in risk and/or needs at final assessment as measured by the LSI for adults and the YLS for juveniles.</p> <ol style="list-style-type: none"> Add type of assessment to LSI automated tool (initial, 1st reassessment, final, etc) DOC will report on the percentage change between first re-assessment and the final assessment for adults and for juveniles for felony individuals. Reporting will be by county on percentage change. <p><i>Caution: Due to significant differences in policy and practice between jurisdictions, individual agency data is not comparable.</i></p>
Reporting Frequency	Reporting to be done annually
Responsible Agency	Department of Corrections (from LSI/YLS Project)

GOAL	<u>Developing Individual Competencies and Assisting Individual to Change</u>
OUTCOME	Individuals remain law abiding following discharge.
Indicator #1	Percentage of individuals who are not arrested, charged, convicted for a new offense following supervision discharge.
Definitions	<p>Supervision: Agency has jurisdiction over an individual regardless of the level of supervision (paper, administrative, intensive, etc) not to include diversion, sole sanction, etc.</p> <p>Discharge: Court-ordered closure of legal jurisdiction (i.e., not to include death or prison commitment).</p> <p>Reporting Range: Individuals discharged from their supervision period of probation/supervised release/parole at any time during the selected calendar year.</p>
Method	<p>Phase I: Percentage of adults and of juveniles who were on active felony probation, parole or supervised release who are not convicted for a new felony offense within three years of discharge from supervision.</p> <ol style="list-style-type: none"> Create listing of adult and listing of juvenile felony individuals from S³ discharged from their supervision period during the selected calendar year. Compare to BCA and S³ records for any new felony convictions where the new offense occurred after the first supervision end date. Representative sampling procedure can be used if unable to automate comparison in item b. <p>Example: Adult felony individuals who end supervision anytime within calendar year 1998 will be followed up for new felony convictions for three years from date of discharge with reporting to occur in 2002.</p> <p><i>Phase 2 and 3 Concerns:</i> <i>*Concern over impact of comprehensive inclusion of all arrests, charges and convictions</i> <i>*Addition of arrest and charge comparison is dependant upon implementation of CriMNet</i></p>
Reporting Frequency	Reporting to be done annually if automation possible (every other year if automation not possible)
Responsible Agency	Department of Corrections in cooperation with local agencies