



Vulnerable Adult Maltreatment Reconsideration Review Panel

Aging and Adult Services Division

January 2022

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Minnesota Statutes, Chapter 3.197, requires the disclosure of the cost to prepare this report. The estimated cost of preparing this report is \$560.

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I. Legislation

Minnesota Statutes 2015, section 256.021 VULNERABLE ADULT MALTREATMENT REVIEW PANEL.

Subdivision. 3. **Report.**

By January 15 of each year, the panel shall submit a report to the committees of the legislature with jurisdiction over section 626.557 regarding the number of requests for review it receives under this section, the number of cases where the panel requires the lead investigative agency to reconsider its final disposition, and the number of cases where the final disposition is changed, and any recommendations to improve the review or investigative process.

II. Introduction

The Minnesota Legislature requires the Minnesota Department of Human Services to establish a panel to review investigation dispositions by lead investigative agencies for alleged vulnerable adult maltreatment reported to the Minnesota Adult Abuse Reporting Center (MAARC), the common entry point designated by the commissioner of human services. There are two types of lead investigative agencies responsible for reports of suspected maltreatment: 1) state regulatory agencies, specifically the Minnesota Department of Human Services, Division of Licensing; and the Minnesota Department of Health, Office of Health Facility Complaints; and 2) all county social service agencies. This results in a total of 89 vulnerable adult maltreatment lead investigative agencies. No tribes have assumed responsibilities for civil maltreatment investigations.

The civil lead investigative agency makes a determination about the report of suspected maltreatment at the conclusion of the agency’s investigative activities. A preponderance of the evidence standard is used. Investigations are determined as having a final disposition of either: substantiated, inconclusive, false, or that no determination will be made.

The **Vulnerable Adult Maltreatment Review Panel** (Panel) was established in Minnesota Statutes section 256.021 to give vulnerable adults, or an interested party to the vulnerable adult, an opportunity to have the final investigative determination of the lead investigative agency reviewed. The vulnerable adult or interested party must first request an Administrative Reconsideration from the lead investigating agency before requesting a Panel review. If the action taken by the lead investigating agency does not satisfy the vulnerable adult’s or interested party’s concerns, they may make a written request to the Panel for review.

The authority of the Panel is limited to requesting a lead investigative agency to re-visit a maltreatment investigation, focusing on specific issues delineated by the Panel. It does not have the authority to order the lead investigative agency to change its final determination.

The Panel is made up of six (6) members listed in the chart below.

Vulnerable Adult Maltreatment Review Panel Member	Member’s Designee
Commissioner of Health	Stanton Shanedling
Commissioner of Human Services	Elizabeth Oji (until 5/10/21) Kimberly Ralidak (began 9/30/21)

Vulnerable Adult Maltreatment Review Panel Member	Member's Designee
Ombudsman for Long-Term Care	Sally Schoephoerster (until 10/18/21) Lori Goetz (began 10/25/21)
Ombudsman for Mental Health & Developmental Disabilities	Andrea Ayres
Minnesota Board on Aging	Linda Giersdorf
County Human Services Administrators	Phyllis Reller

The legislation requires quarterly meetings of the Panel. Four meetings were held in calendar year 2021 based on requests for review. The Continuing Care Administration's Aging and Adult Services Division is responsible for drafting the Panel report and does so based on recommendations of cases reviewed at Panel meetings. The Panel's reconsideration actions are laid out below and include the number of cases reviewed each quarter, the affirmation of the findings in each case, as well as any Panel request to the lead investigative agency to reconsider their findings.

During 2021, the Panel received six (6) requests for reconsideration to review.

- One (1) requests for reconsideration were reviewed from the **Minnesota Department of Health**. The Panel affirmed the final determination.
- Three (3) requests for reconsideration were reviewed from the **Minnesota Department of Human Services, Division of Licensing**. The Panel affirmed two (2) final determinations. The panel requested reconsideration of one (1) final determination.
- Two (2) requests for reconsideration were reviewed from two (2) **County Social Services Agencies (County)**. The Panel affirmed two (2) final determinations.
- 2021 Minnesota Vulnerable Adult Maltreatment Reconsideration Panel Meetings

Date of Meeting (all 2021)	MDH: Requests for Panel review	MDH: Panel Requests for Reconsideration by lead investigative agency	DHS: Requests for Panel review	DHS: Panel Requests for Reconsideration by lead investigative agency	County Social Service Agencies: Requests for Panel review	County Social Service Agencies: Panel Requests for Reconsideration by lead investigative agency
January 28	0	0	1	1	1	0
April 23	0	0	1	0	0	0
August 5	1	0	1	0	0	0
October 29	0	0	0	0	1	0
Totals	1	0	3	1	2	0

The Panel requested one (1) reconsiderations of cases reviewed in 2021¹:

- One (1) request for reconsideration did not result in the lead investigative agency changing the final determination as result of the Panel’s request for reconsideration.

The Panel affirmed the lead investigative agency resolutions in the remaining five (5) cases.

¹ The Panel requested additional information from the lead investigative agency in zero (0) cases in 2021.

III. Report recommendations

The Panel has no recommendations for improvement to the Vulnerable Adult Maltreatment Review Panel process at this time. Quarterly meetings for 2022 are scheduled for the fourth week in January, April, July, and October.