

Interstate Compact for Adult Offender Supervision
And
Interstate Compact for Juveniles

2021 Report to the Legislature



Transforming lives for a safer Minnesota

Minnesota Department of Corrections
1450 Energy Park Drive, Suite 200
St. Paul, Minnesota 55108-5219
(651) 361-7200
TTY (800) 627-3529
March 2022

This information will be provided in alternative format upon request.

The total cost of salaries and supplies
incurred in development and preparation of this
report was \$4,170.00 (reported as required by M.S. 3.197).

BACKGROUND

The Interstate Compact for Adult Offender Supervision (ICAOS) is the law that regulates the interstate movement of adults on probation and supervision. The ICAOS is enacted in all 50 states. In March 2002, Minnesota passed Minn. Stat. §§ [243.1605](#), [243.1606](#), and [243.1607](#) regarding the ICAOS which authorizes individuals sentenced in Minnesota to apply for transfer to another state for probation and supervision. The Legislature also established an Advisory Council on the ICAOS in Minnesota which works with the Interstate Commission on Adult Offender Supervision, the national governing body, to ensure compliance with ICAOS and related rules. This statute also directs the Advisory Council to report to the governor and the chairs and ranking minority members of the committees of the senate and house of representatives having jurisdiction over criminal justice policy by March 1 each year on its activities, along with providing a copy of the ICAOS annual report which includes the activities of the interstate commission and executive committee (attached as Appendix A).

The Interstate Compact for Juveniles (ICJ) is the law that regulates the interstate movement of juveniles who are under court supervision or need to be returned to their home states as a runaway, escapee, absconder, or juvenile delinquent and is also enacted in all 50 states. In May 2010, Minnesota passed Minn. Stat. § [260.515](#) regarding the Interstate Compact for Juveniles (ICJ), which similarly authorized juveniles in Minnesota to apply for transfer to another state for probation and supervision. The Legislature also established an advisory committee which works with the Interstate Commission for Juveniles, the national governing body, to implement the ICJ and related rules and created similar legislative reporting requirements (attached as Appendix B).

In Minnesota, similar to other states, the advisory council and advisory committee are combined and act as one Advisory Council that oversees implementation of both the ICAOS and ICJ.

ACTIVITIES OF THE ADVISORY COUNCIL ON THE ICAOS & ICJ IN MINNESOTA

Per Minn. Stat. § 243.1606, the Advisory Council shall consist of the following individuals or their designee: the governor; the chief justice of the supreme court; two senators, one from the majority and the other from the minority party, selected by the Subcommittee on Committees of the senate Committee on Rules and Administration; two representatives, one from the majority and the other from the minority party, selected by the house speaker; the compact administrator, selected as provided in Minn. Stat. § 243.1607; the executive director of the Center for Crime Victim Services; and additional members as appointed by the commissioner of corrections.

Per Minn. Stat. § 260.515, the Advisory Council shall consist of the same member roles with the exception of the executive director of the Center for Crime Victim Services and with the addition of the executive director of the Office of Justice Programs or designee.

The 2021 membership included:

- ✓ Steve King, Chair, Mower County Court Services Director, representing county probation officers
- ✓ Suzanne Elwell, Vice-Chair, Victim's Representative;
- ✓ Honorable Richard Kyle, Minnesota Court of Appeals;

- ✓ Allen Godfrey, Interstate Commissioner, Department of Corrections (DOC);
- ✓ Mike McGuire, Office of Governor Tim Walz;
- ✓ Kelly Kemp, Office of the Attorney General;
- ✓ Honorable Warren Limmer, Minnesota State Senate;
- ✓ Karla Bigham, Minnesota State Senate;
- ✓ Athena Hollins, State Representative;
- ✓ Brian Johnson, State Representative;
- ✓ Kelly Moller, State Representative;
- ✓ Honorable Marion O'Neill, State Representative;
- ✓ William Ward, State Public Defender, representing public defenders;
- ✓ Mr. William Hutton, representing the Minnesota Sheriffs' Association;
- ✓ Renee Brown-Goodell, community representative;
- ✓ Nicole Kern, representing Community Corrections Act Counties.
- ✓ Callie Hargett, Victim's Representative
- ✓ Shane Baker, Minnesota County Attorney's Association

Advisory Council staff from the DOC included:

- ✓ Paul Schnell, Commissioner of Minnesota Department of Corrections
- ✓ Safia Khan, Office of Government and External Relations, Minnesota Department of Corrections
- ✓ Rebecca Holmes-Larson, Executive Officer of Hearings and Release, Minnesota Department of Corrections
- ✓ Curtis Shanklin, Deputy Commissioner, Field Services, Minnesota Department of Corrections

The Deputy Compact Administrator for the Minnesota Department of Corrections:

- Tracy Hudrlik.

The Advisory Council first met on August 21, 2002 and meets on a semi-annual basis or as needed.

The Advisory Council met on May 18, 2021 and November 16, 2021 via ZOOM video conference technology.

The primary focus of the Advisory Council in 2021 was the review and approval of proposed rule amendments for both adult and juvenile interstate commissions. Those amendments were voted on at the annual business meetings of both interstate commissions. The Advisory Council also reviewed changes to the juvenile data tracking system, a transfer assessment completed by the adult commission, and various policy and procedures changes. More information about interstate commission activity can be found in the annual reports attached in appendices A & B.

Finally, the Advisory Council reviewed current statutory language regarding the membership of the council and recommended that new statutory language be proposed that will more accurately depict the council's current and desired membership while still meeting the requirements of the national interstate commissions.

ACTIVITIES OF THE INTERSTATE COMMISSIONS

The ICAOS Interstate Commission is comprised of one voting member from each state party to the compact. The role of the Interstate Commission is to exercise day-to-day oversight of the compact between states. It promulgates rules to achieve the goals of the compact and ensures an opportunity for input and timely notice to victims and jurisdictions where defined clients are authorized to relocate across state lines. The Interstate Commission established a system of uniform data collection (ICOTS), which provides access to information on active cases by authorized criminal justice officials. It coordinates regular reporting of compact activities to heads of state advisory councils, state executive/judicial/legislative branches, and criminal justice administrators. The Interstate Commission also monitors compliance with rules governing interstate movement of clients, initiates interventions to address and correct noncompliance, and coordinates training and education regarding regulations of interstate movement of clients for officials involved in such activity.

The Interstate Commission is required to submit an annual report on the activities of the Commission. The current annual report (FY 2021), as well as past reports and newsletters regarding the Interstate Commission's activities, can be found on the ICAOS site:

www.interstatecompact.org and is attached as Appendix A.

CLIENT MOVEMENT AS REPORTED IN ICOTS:

	Probation	Parole	Both
Number of clients under supervision in Minnesota from other states as of 12-31-2021	1514	486	46
Number of Minnesota clients under supervision in other states as of 12-31-2021	2531	448	0

Interstate Commission for Juveniles is the governing body of the Interstate Compact for Juveniles (ICJ). Commission members include representatives from all 50 states, the District of Columbia, and the US Virgin Islands, who work together to preserve child welfare and promote public safety. The Commission is responsible for promulgating and enforcing rules to implement the ICJ. The Interstate Commission is required to submit an annual report on the activities of the Commission. The current annual report (FY 2021), as well as past reports and newsletters regarding the Interstate Commission's activities, can be found on the national website: www.juvenilecompact.org and is attached as Appendix B.

Incoming Juveniles (July 1, 2020-June 30, 2021):

Parole Supervision	9
Probation Supervision	69

Outgoing Juveniles (July 1, 2020-June 30, 2021):

Parole Supervision	0
Probation Supervision	91

SUMMARY

The Advisory Council has been in place for 19 years and continues to meet as needed to address issues that arise regarding the ICAOS as well as ICJ since adoption. The Advisory Council has an active membership, with well attended meetings.

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Meeting Challenges Together



FISCAL YEAR TWENTY TWENTY-ONE

Annual Report

Interstate Commission for Adult Offender Supervision

EXECUTIVE COMMITTEE

Jeremiah Stromberg (OR), *Chair*

Hope Cooper (KS), *Vice Chair*

Gary Roberge (CT), *Treasurer and Finance
Committee Chair*

Dale Crook (VT), *East Region Chair*

Russell Marlan (MI), *Midwest Region Chair*

Julie Kempker (MO), *South Region Chair*

Roberta Cohen (NM), *West Region Chair*

Allen Godfrey (MN), *Compliance Committee Chair*

Chris Moore (GA), *Information Technology Chair*

Mary Kay Hudson (IN), *Rules Committee Chair*

Joselyn López (WI), *Training, Education and
Public Relations Committee Chair*

Suzanne Brooks (OH), *DCA Liaison Committee Chair*

John Gillis (NOVA), *National Victims' Representative*

NATIONAL OFFICE STAFF

Ashley H. Lippert, *Executive Director*
alippert@interstatecompact.org | 859.721.1050

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Director of Policy & Operations
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Xavier Donnelly, MBA, ICOTS Project Manager
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Mindy Spring, *Training and Audit Coordinator*
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Web Development Manager
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Barno Saturday
Logistics and Administrative Coordinator
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LETTER FROM THE CHAIR



Jeremiah Stromberg
(OR) Chair

When the fiscal year began, the challenges this Commission and nation faced from the COVID-19 pandemic unfolded with unknown duration and severity. The change required to navigate these challenges was uncharted; however, the strategies we implemented served the Commission well. Throughout this time, the Commission demonstrated remarkable resolve, persevering through this crisis to create a successful and productive year.



Hope Cooper
(KS) Vice-Chair

Responding to the prolonged period of uncertainty required adaptability. Accordingly, the Commission shifted focus and engaged members to address escalating issues. We improved communication techniques, providing a forum for victims to seek assistance, and educating members through webinars and round tables. At the same time, we advanced projects to improve warrant issuance, tracking, and notification. Further, we utilized our collaborations with stakeholders to expand training opportunities and partnerships, including the evaluative social justice efforts through the American Probation and Parole Association *Justice System Partnership for Racial Equity*.

This fiscal year's financial performance reflects the underlying strength and stability achieved through years of prudent financial management. Because of these sound practices and wise investments, the Commission extended a one-time dues reduction in fiscal year 2022 to member states stressed by the fiscal pressures of the pandemic.



Gary Roberge
(CT) Treasurer

Looking ahead, the Commission's 20th Anniversary in New York City will be our first post-pandemic event, and a celebration to commemorate two decades of accomplishments. It will be an opportunity to reflect on our return to normal operations while evaluating our efforts to manage through the pandemic. At this meeting, we will take an in-depth look at the evolution and success of the Compact. As well, we will carefully evaluate the outcomes from the fiscal year 2022 audit of acceptances and rejections, seeking to improve our practices and create more efficient transfer processes. Twenty years of progress and achievement leaves us with so much to reflect on and celebrate.

It is my privilege to lead this Commission on your behalf. Though new challenges await us, the resilience, initiative, and dedication of the executive team, as well as our professional National Office staff and outstanding colleagues from across the nation, give me renewed optimism for the opportunities ahead. With a bright future and our 20th Anniversary on the horizon, I look forward to meeting in person once again and toasting to our collective success.

Best Regards,
Jeremiah Stromberg, Chair



FY 2021 *in* REVIEW

Virtual ABM

Balancing the Commission's obligations with the ongoing health concerns arising from the COVID-19 pandemic, the Commission shifted to a virtual Annual Business Meeting for 2020. Utilizing new technologies, more than 150 attendees participated in sessions addressing the enormous environmental change and associated stress resulting from adaptations during the pandemic. Deputy Compact Administrators benefitted from in-service training on compact roles, communication strategies, and Compact resources.

Before the final general session, Commissioners, DCAs and staff participated in regional meetings. Concluding the virtual ABM, Commissioners passed six ICOTS enhancements, evaluated compliance given COVID-19's effect, and voted to reduce dues to mitigate the economic effects of the pandemic.

20th Anniversary

To commemorate the auspicious occasion of the ICAOS' 20th anniversary, the Commission is collaborating with the National Institute of Corrections on a documentary to provide a general context and the historical significance of the Compact. This multimedia production is poised for release during the 2022 Annual Business Meeting to be held September 26-29 in New York City.

New Rules & ICOTS Enhancements

Amendments being proposed in FY22 include 1.101 (definition of resident), 5.108 (probable cause hearing in receiving states) and changes to 2.110, 4.111, 5.101, 5.102, 5.103 & 5.103-1 (setting a standard timeframe for issuing compact compliant warrants to 15 business days when an offender fails to arrive, return as instructed, or is subject to retaking). Additionally, the Rules Committee recommends ICOTS enhancements to include a new 'warrant status,' initiated by retaking or failure to report, a new activity for 'discretionary retaking' and notifications for when warrants should be issued.

Toward Justice for All

To ensure every offender, regardless of defining characteristic, receives equitable treatment, the Commission is committed to understanding the role of interstate compact in an equitable justice system. To this end, the executive director represents the Commission on the *Justice System Partnership for Racial Equity* initiative in collaboration with fifteen other criminal justice agencies. Through this partnership, the Commission seeks to identify equitable strategies and outcomes within and across stakeholder groups, develop practical and actionable guidance, and lead transformational change to advance racial justice throughout the nation.

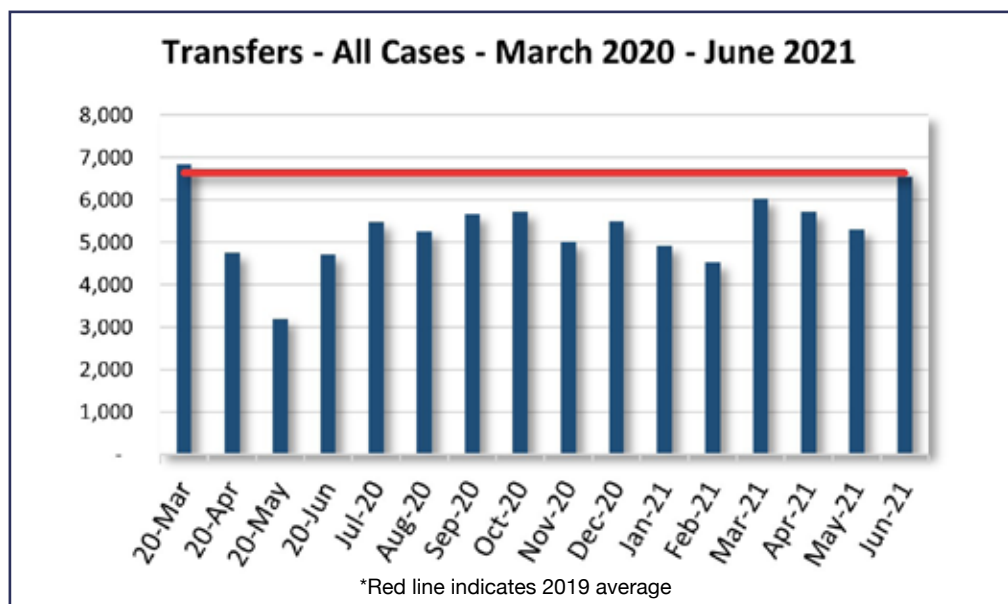
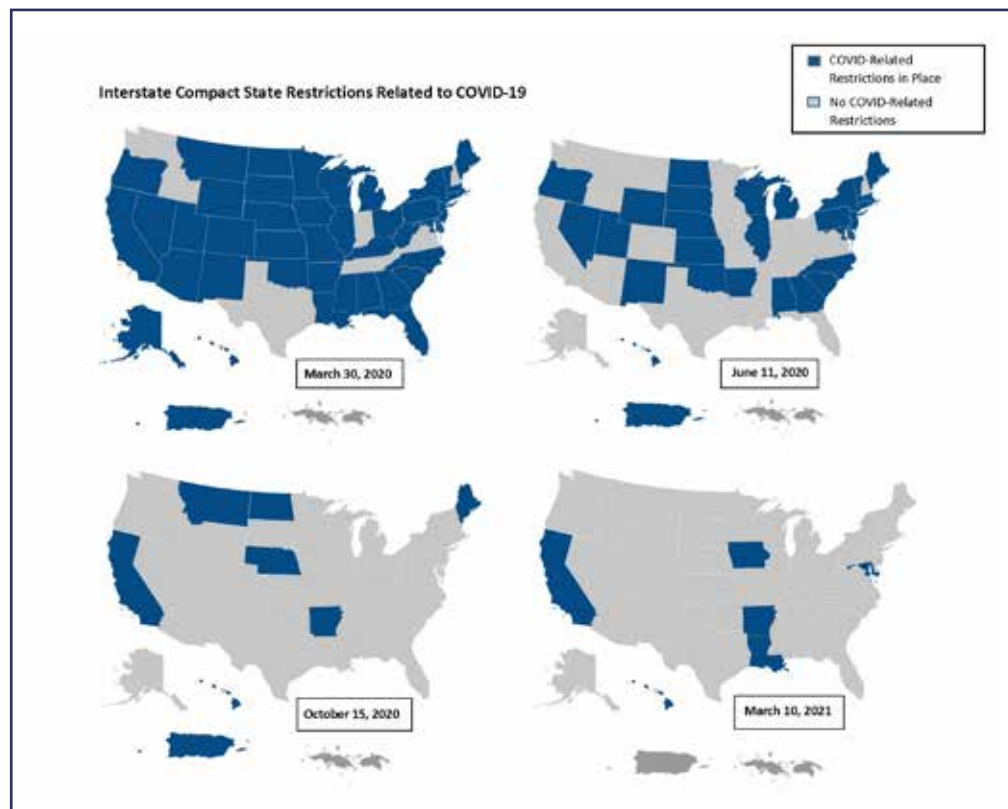
COVID-19 & Rescinding 2.111

Responding to the conditions following the emergency declarations of many states and the nation, the Commission acted swiftly in response to the national emergency by adopting Emergency Policy 01-2020 and Rule 2.111 Emergency Suspension of Enforcement. Through this rule, ICAOS temporarily suspended the enforcement of timelines in ICAOS Rules chapters three, four, and five.

Over fiscal year 2021, the Executive Committee monitored transfer data to determine states' abilities to return

to normal operations. By Spring 2021, operating conditions, and state progress in managing the pandemic stabilized, allowing the return to normalcy in compliance management starting April 1, 2021.

Though the rate of transfer activity revealed a dramatic decline in the number of cases during early 2020, the level of compact work began to rebound by year's end. By the close of FY2021, transfer actions for all cases trended higher still, approaching pre-COVID averages.



FY2022 Case Acceptance Audit

Observing trends emerging because of how states responded to COVID-19, the Executive Committee authorized a case acceptance audit for FY2022. As indicated by the initial months of the pandemic, state rejection rates soared and then generally held above the prior year's national average throughout a significant portion of the calendar year.

Seeking to promote offender success, effective tracking, orderly and efficient transfer processes, and rule compliance, the FY2022 assessment is an evaluation of state acceptance and rejection cases for transfers processed during FY2021. It is a measurement of change from the FY2016 assessment and establishes common factors impeding present-day acceptance rates.

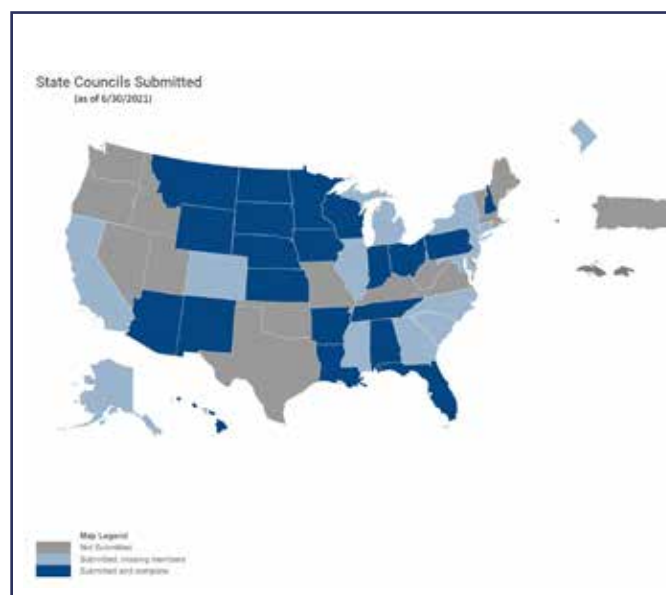
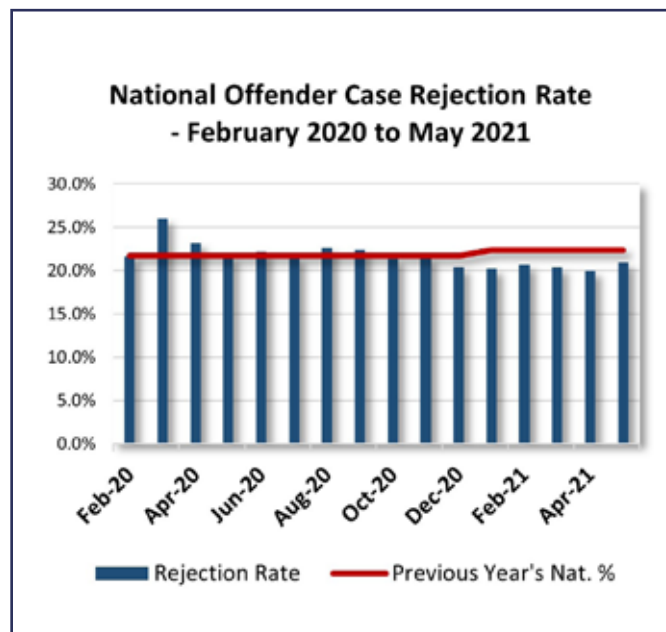
Relying on data from dashboards such as the transfer decision summary and the incoming and outgoing transfer decision dashboards, each state will receive its results with a link to complete a self-assessment. This approach, developed following a pilot project with three states, gathers state-specific information on procedures and plans to improve acceptance rates and compact officer training.

State Council Tools

To assist states in meeting their obligation and tracking compliance with the statutory duty to establish a functioning state council, changes to Administrative Policy 03-2009 precipitated the launch of a new State Council Reporting Tool. An interactive map offers an at-a-glance evaluation of state compliance, and the pre-populated state roster pages make corrections or additions much easier to manage in real-time. In addition, the National Office redesigned the online State Council Toolkit, adding practical guidance for new council members and states seeking to engage in a more robust use of their state council.

CORE 2.0

In its first year, the Compact Online Reference Encyclopedia (CORE) became one of the top five pages accessed on the Commission's website, amassing more than 300,000 queries through the cross-referenced and searchable tool in that short period. Yet, the Commission's additions to CORE have not stopped as improvements added to the reference engine include the Hearing Officer's Guide, support and training articles, Bylaws, and Commission policies.



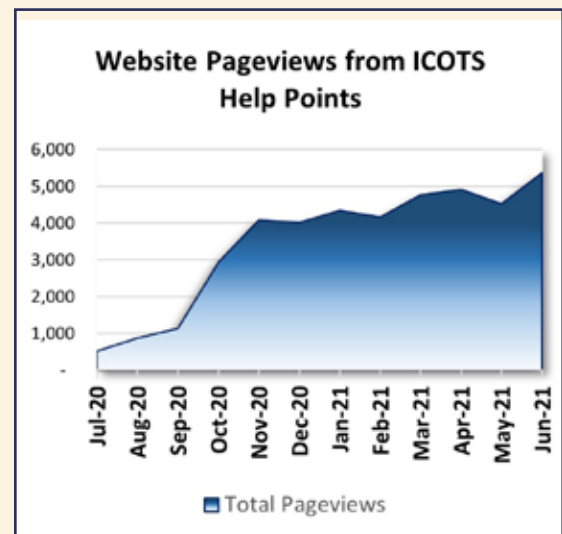
The state council is essentially a communication and support mechanism to assist with developing statewide policy, acquiring critical resources, and Compact Office operations.



ICOTS Tooltips

The Commission integrated training into ICOTS by publishing 336 help-points or tooltips in its activity screens to improve end-user satisfaction and data input accuracy. These help-points supplement existing training and reinforce proper ICOTS use while reducing the amount of support that Compact Offices and the National Office provide to end-users. The first help-point/tooltip is a small blue “hover-over” question mark icon that populates with useful information and links. The second type of help-point is a *More Help* link that upon clicking it, provides helpful information, graphics, and links. These tooltips assist user navigation and allow for quick information retrieval.

According to the Website Pageviews chart, the implementation of Help Points shows consistently increasing utilization by ICOTS end users. This trend is encouraging for long-term enhancement in state end user efficiency in managing common ICOTS troubleshooting.



ICOTS Enhancements

This year, six new enhancements went into production. These enhancements provided clarity for required actions when rejection notices were received, provided new compact action request (CAR) options related to

travel permits, added comment field previews and specialization in CAR notifications, allowed receiving state compact offices to modify retaking requirements by addendum to violation reports, modified case closure documentation to include last primary residence, and modified case closure notifications.



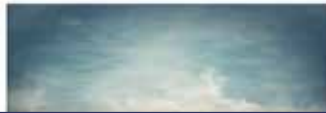
NOTICE

South Dakota

Closed: Thu, 08/05/2021 - 08:00 until Sun, 08/15/2021 - 22:00

Retaking Roundtable Recording Available

WATCH NOW!



Round Tables

The COVID-19 pandemic created a punctuation point for public policy and highlighted longstanding questions relevant to remote hearings and the use of electronic signatures. Addressing these issues, the Commission held two round table discussions featuring Legal Counsel Tom Travis and Special Counsel Rick Masters. Joining them were ex-officio representatives from the National District Attorneys Association and the Conference of State Court Administrators.

These discussions concluded that electronic signatures appear valid depending on the context, parties using the technology, and on a state's laws or implementation of the Uniform Electronic Transactions Act and Electronic Signatures in Global and National Commerce Act. Moreover, during the pandemic, many states expanded the adoption of electronic signatures through executive orders, emergency legislation, or court directives; and, the remote hearing practices used for in-state offenders are allowed for Compact offenders. Moreover, they agreed that the Compact's rules and advisory opinions allow the use of electronic hearings for Compact purposes. Advisory opinion 5-2012 addressed these issues, noting the U.S. Supreme Court's agreement on the permissibility of remote hearings.

Commission members may view recordings of these roundtables where Commissioners and deputy compact administrators ask questions, share their experiences, and engage in thoughtful discussions.

New Commissioner Orientation

The Commission established a biannual orientation via videoconference to provide newly appointed Commissioners a personal engagement and learning opportunity. These orientations allow commissioners to engage despite the limitations imposed by the pandemic and facilitate an introduction to their roles, expectations, current projects, as well as the tools and resources at their disposal.

Training

More than 5,000 individuals access ICAOS training each year. To support these training needs, the national office launched a new learning management system that offers a variety of on-demand training and resources. Several new resources include dashboard training and demonstrations, a legal PowerPoint for Rules training, an updated reference for the Compact's legal background and implications, as well as direct access to on-demand modules for courts, jail administrators, and parole board members. These quick trainings give users tools to quickly search for reports, links to support articles, walkthroughs, and other Compact reference material.

COMMISSION AWARDS

Executive Chair Award, Chris Moore (GA),
Commissioner

Executive Director Award, Suzanne Brooks (OH),
Deputy Compact Administrator

Peyton Tuthill Award, Lisa Kinard (FL),
Victim Representative

EX OFFICIO PARTNERS

American Jail Association (AJA)

American Probation and Parole Association (APPA)

Association of Paroling Authorities International (APAI)

Association of Prosecuting Attorneys (APA)

Conference of Chief Justices (CCJ)

Conference of State Court Administrators (COSCA)

International Association of Chiefs of Police (IACP)

Interstate Commission for Juveniles (ICJ)

National Association of Public Defense (NAPD)

National Association of Attorney General (NAAG)

National Association of Police Organizations (NAPO)

National Conference of State Legislatures (NCSL)

National Criminal Justice Association (NCJA)

National Governors Association (NGA)

National Institute of Corrections (NIC)

National Organization for Victim Assistance (NOVA)

National Sheriffs' Association (NSA)

FINANCIAL REPORT

At the close of FY 2021, the Coronavirus pandemic and the resulting economic crisis remained dominant. Amidst added responsibilities, the Commission maintained its focus on Compact operations, resulting in a successful financial conclusion to FY 2021 that will support a one-time 30 percent dues reduction for all member states in FY 2022.

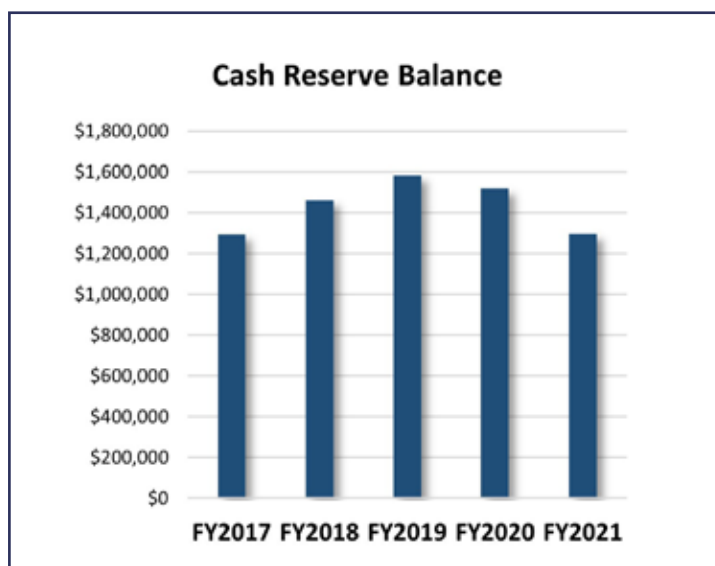
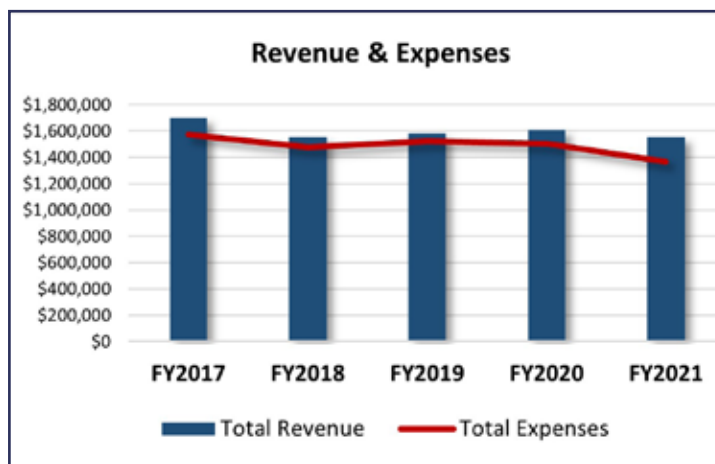
Recognizing the economic uncertainty caused by the COVID-19 pandemic and its impact on state budgets, the Commission unanimously voted to use investment income and operational changes to support a one-time dues reduction for all member states. While not a sustainable mitigation effort, the Commission's long history of responsible fiscal management supports this one time relief and achievement of solid fiscal goals.

Revenue: \$1,552,799.75

Expenses: \$1,365,946.55

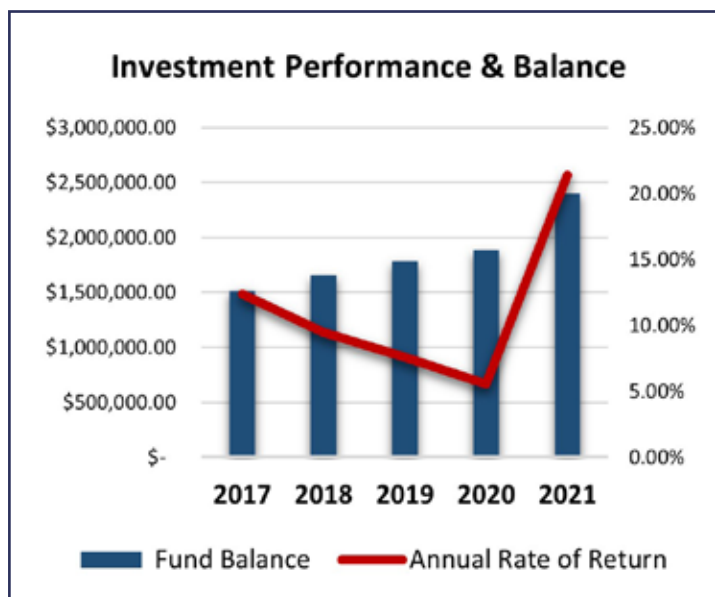
Cash Reserve Balance:
\$1,295,018.94

Investment Balance:
\$2,399,908.59



AUDITOR'S REPORT

Please reference opposite page.



Hicks & Associates CPAs

CERTIFIED PUBLIC ACCOUNTANTS

INTERSTATE COMMISSION FOR ADULT OFFENDER SUPERVISION
"ICAOS"
LEXINGTON, KENTUCKY
YEAR ENDED JUNE 30, 2021

SERVICES PROVIDED BY HICKS & ASSOCIATES CPAS:

- a. AUDIT OF THE FINANCIAL STATEMENTS - UNMODIFIED OPINION¹
- b. REPORT TO MANAGEMENT²
- c. AVAILABLE FOR ROUTINE ACCOUNTING AND TAX ADVICE AS REQUESTED BY MANAGEMENT

FINANCIAL HIGHLIGHTS FOR ICAOS:

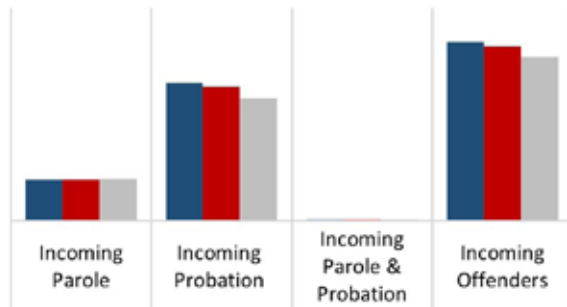
	6/30/2021	6/30/2020	6/30/2019
• MEMBER DUES	\$ 1,487,602	\$ 1,549,407	\$ 1,518,636
• INVESTMENT INCOME ³	\$ 512,805	\$ 104,480	\$ 125,637
• TOTAL REVENUES	\$ 2,003,472	\$ 1,673,363	\$ 1,667,675
• PERCENTAGE CHANGE IN TOTAL REVENUES	+19.73%	+0.34%	+0.13%
• TOTAL EXPENSES	\$ 1,393,102	\$ 1,529,235	\$ 1,551,099
• PERCENTAGE CHANGE IN TOTAL EXPENSES	-8.90%	-1.41%	+4.28%
• CHANGES IN NET ASSETS	\$ 610,370	\$ 144,128	\$ 116,576
• TOTAL NET ASSETS	\$ 3,388,486	\$ 2,778,116	\$ 2,633,988
• TOTAL ASSETS	\$ 3,883,931	\$ 3,636,900	\$ 3,615,733
• PERCENTAGE CHANGE IN TOTAL ASSETS	+6.79%	+0.59%	+6.40%
• TOTAL LIABILITIES	\$ 495,445	\$ 858,784	\$ 981,745
• PERCENTAGE CHANGE IN TOTAL LIABILITIES	-42.31%	-12.52%	+11.45%
• OPERATING CASH FLOWS	\$ -274,566	\$ -72,873	\$ 95,057
• TOTAL CASH FLOWS	\$ -274,566	\$ -72,873	\$ 86,238

1. AN "UNMODIFIED OPINION" IS THE TERMINOLOGY THAT REPRESENTS A GOOD, CLEAN AUDIT. ALL THE INFORMATION WE REQUESTED TO SUPPORT OUR OPINION WAS PROVIDED BY MANAGEMENT DURING OUR AUDIT. THERE WERE NO INSTANCES OF FRAUD, ABUSE, OR UNSUPPORTED TRANSACTIONS OBSERVED DURING OUR TESTING. OUR OPINION ON THE FINANCIAL STATEMENTS RELATES TO THE FINANCIAL STATEMENTS BEING PREPARED ON THE MODIFIED ACCRUAL BASIS OF ACCOUNTING, WHICH IS A BASIS OF ACCOUNTING OTHER THAN U.S. GAAP.
2. THE REPORT TO MANAGEMENT DESCRIBES (1) THE RESPONSIBILITIES OF THE AUDITORS, MANAGEMENT, AND THE COMMITTEES; (2) THE OVERALL AUDIT PROCESS, AND (3) ANY RECOMMENDATIONS WE HAD DURING THE CURRENT YEAR AND UPDATES TO ANY ITEMS THAT MAY HAVE BE MENTIONED IN THE PRIOR YEAR. WE DID NOT HAVE ANY RECOMMENDATIONS FOR THE YEAR ENDED JUNE 30, 2021.
3. INTEREST AND DIVIDENDS OF \$34,982; NET REALIZED GAINS OF \$14,934; AND NET UNREALIZED GAINS OF \$462,889 FOR THE YEAR ENDED JUNE 30, 2021.

* THE INFORMATION PRESENTED ABOVE HAS BEEN SUMMARIZED FOR MANAGEMENT'S PURPOSES AND DOES NOT INCLUDE ALL INFORMATION FROM THE AUDIT REPORTS ISSUED FOR THE YEARS ENDED JUNE 30, 2021, 2020, AND 2019. PLEASE REFER TO THE FULL AUDIT REPORTS FOR ADDITIONAL INFORMATION AND DISCLOSURE.

Incoming Offender Population

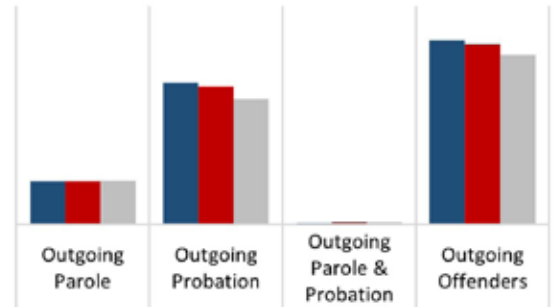
■ FY2019 ■ FY2020 ■ FY2021



■ FY2019	27,709	91,011	1,219	117,515
■ FY2020	27,695	88,249	1,374	114,922
■ FY2021	28,093	80,596	1,521	107,965

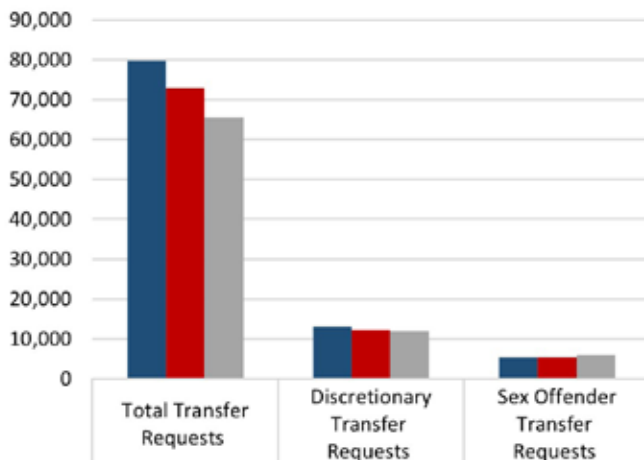
Outgoing Offender Population

■ FY2019 ■ FY2020 ■ FY2021



■ FY2019	27,709	91,011	1,219	118,046
■ FY2020	27,695	88,249	1,374	115,452
■ FY2021	28,093	80,596	1,521	108,407

Transfer Requests Over Time



■ FY 2019	79,689	13,084	5,406
■ FY 2020	72,857	12,096	5,247
■ FY 2021	65,598	12,038	5,951

■ FY 2019 ■ FY 2020 ■ FY 2021

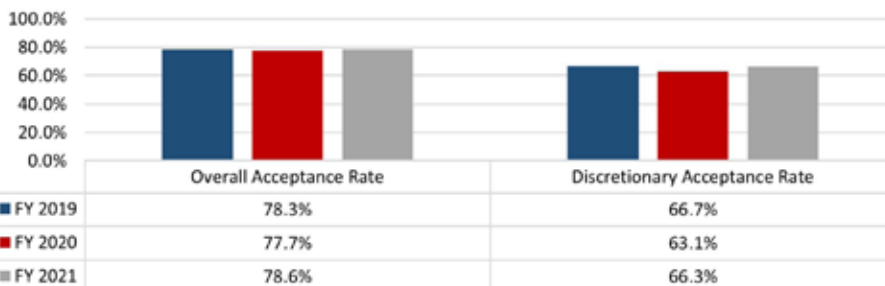
Violation Reports Over Time



■ FY 2019	13,962
■ FY 2020	12,206
■ FY 2021	10,209

■ FY 2019 ■ FY 2020 ■ FY 2021

Case Acceptance Rates Over Time



■ FY 2019	78.3%	66.7%
■ FY 2020	77.7%	63.1%
■ FY 2021	78.6%	66.3%

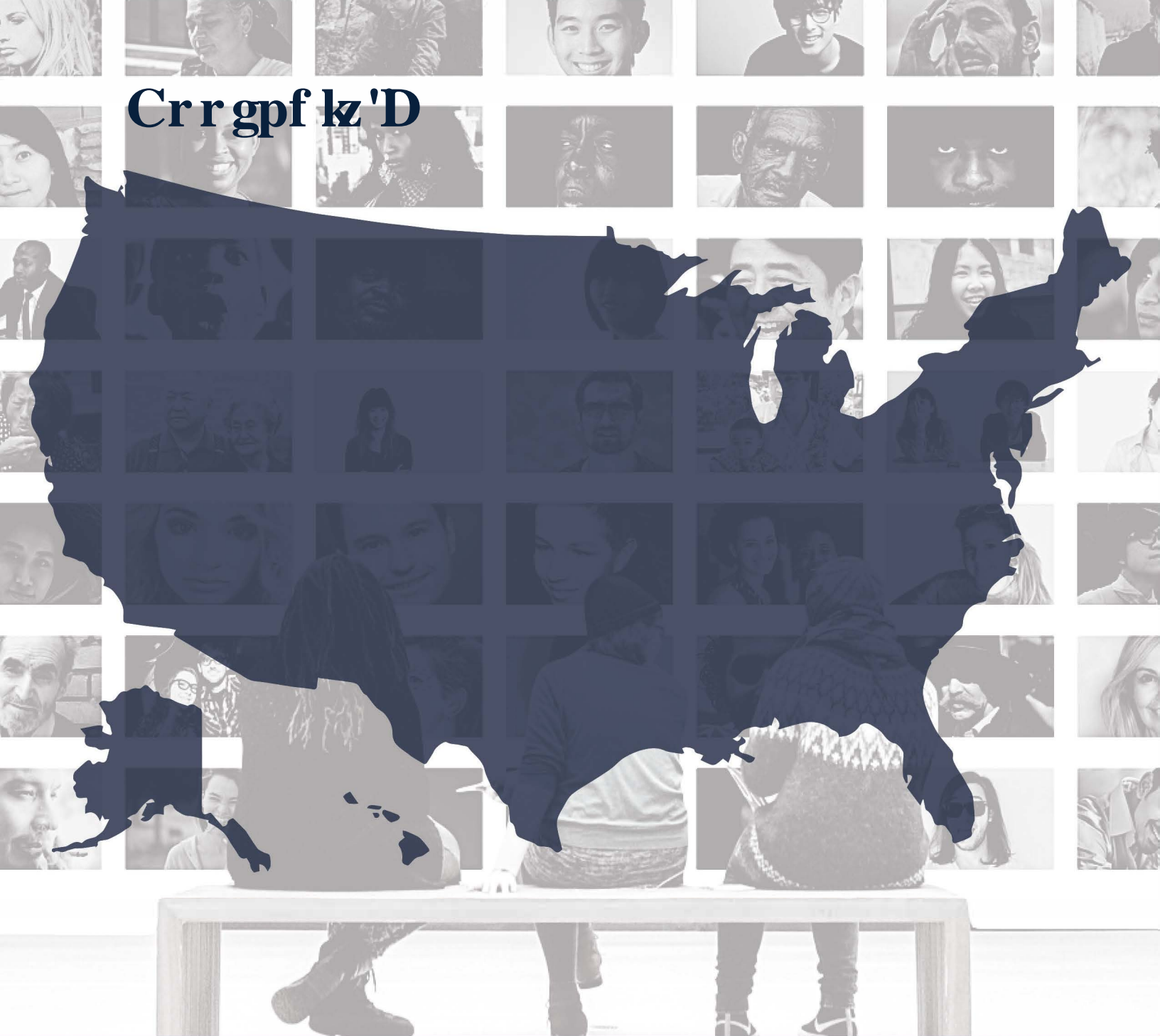
■ FY 2019 ■ FY 2020 ■ FY 2021



3070 Lakecrest Circle, Suite 400-264
Lexington, KY 40513
859.721.1050
www.interstatecompact.org

*Incoming and outgoing totals differ due to offenders with outgoing supervision from multiple sending states for different convictions who have a single receiving state.

Crr gpf kz'D



ANNUAL REPORT 2021



INTERSTATE COMMISSION FOR JUVENILES

ENSURING ALL ICJ YOUTH AND FAMILIES ARE SAFE,
SUPPORTED, AND TREATED EQUITABLY.

OFFICERS



Jacey Rader (NE)
Commissioner, Chairperson



Jedd Pelander (WA)
Commissioner,
Vice Chairperson



Nate Lawson (OH)
Commissioner, Treasurer

LETTER FROM THE CHAIR

As the Chair of the Interstate Commission for Juveniles for the last two years, I have had the honor of working with dedicated juvenile justice professionals throughout the United States. While our world has been deeply affected by the COVID-19 pandemic, ICJ Offices throughout the nation have consistently ensured the safety and well-being of juveniles and communities. Despite innumerable challenges, juveniles have been transferred and returned with astounding regularity.

This year, we also embraced opportunities for growth and leadership in order to promote justice, equity, and UNITY. Highlights of the Commission's accomplishments are discussed throughout this report, including:

- Completed development, testing, training and implementation of UNITY – the new, nationwide data management system for tracking ICJ cases;
- Launched a new Learning Management System and other web-based resources to support users throughout the nation in learning about UNITY;
- Formed Ad Hoc Committee on Racial Justice, which revamped the Commission's Nominations & Elections Process and proposed amendments to the ICJ Rules to bolster procedural justice;
- Launched the Leadership Exchange Series focused on equity, access, and inclusion, which resulted in creation of the Commission's first Results Statement: "All ICJ youth and families are safe, supported, and treated equitably."
- Collaborated with TSA, APPA, SEARCH, and many others on initiatives and projects to move the ICJ forward.

These accomplishments are wholly attributable to the hard work, dedication, and professionalism of ICJ personnel and field staff throughout the nation. I am grateful to the members of the Executive Committee, the National Office, and all those who have contributed to the success of this year. I will always remain proud of all the progress made and innovations created, even in the midst of a global pandemic.

As I close out my time as Commission Chair, I am pleased to report that the Commission is well positioned to continue serving as a leader in juvenile justice. I look forward to continuing our work together to promote justice, equity, and UNITY. Thank you for the opportunity to serve as your Commission Chair.

Respectfully Submitted,

Jacey R. Rader, Commission Chairperson

ICJ AT A GLANCE

Interstate Compact for Juveniles (ICJ)

The Interstate Compact for Juveniles (ICJ) is the law that regulates the interstate movement of juveniles who are under court supervision or need to be returned to their home states as a runaway, escapee, absconder, or juvenile delinquent. The ICJ is a contract that has been adopted as law throughout the United States. Therefore, all state and local officials are legally bound to honor and enforce the terms of the Compact.

Interstate Commission for Juveniles

The Interstate Commission for Juveniles is the governing body of the Interstate Compact for Juveniles (ICJ). Commission members include representatives from all 50 states, the District of Columbia, and the US Virgin Islands, who work together to ensure that all ICJ youth and families are safe, supported, and treated equitably. The Commission is responsible for promulgating and enforcing rules to implement the ICJ.

FISCAL YEAR 2021 STATISTICS AT A GLANCE

Nationwide

- **Transfers of supervision:** 4,166 juveniles transferred supervision to another state
 - o 3,656 were on probation
 - o 510 were on parole
- **Returns:** 1730 runaways, escapees, absconders and juveniles charged delinquent returned to home/demanding state
- **Travel Permits:** 6,315 permits issued for juveniles traveling out-of-state for more than 24 hours
- **Airport surveillance** provided for 131 juveniles

EXECUTIVE COMMITTEE MEMBERS

Compliance Committee Chairperson:
Natalie Dalton (VA)

Finance Committee Chairperson:
Corrie Copeland (TN)

**Information Technology Committee
Chairperson:** Anne Connor (ID)

Rules Committee Chairperson:
Tracy Hudrlik (MN)

**Training, Education & Public Relations
Committee Chairperson:** Felicia Dauway (SC)

East Region Representative:
Becki Moore (MA)

Midwest Region Representative:
Chuck Frieberg (SD)

South Region Representative:
Daryl Liedেকে (TX)

West Region Representative:
Michael Farmer (CA)

Ex Officio Victims Representative:
Nataki Brown



NEW DATA SYSTEM LAUNCHED TO IMPROVE INTERSTATE TRACKING OF JUVENILES

In May 2021, the Commission made a technological leap forward by transitioning to the new Uniform Nationwide Interstate Tracking for Youth (UNITY) data management system. The transition to UNITY from JIDS was a major milestone in improving the tracking of juveniles who cross state lines through transfers of supervision and interstate returns. The successful transition was the culmination of hundreds of meetings and countless hours of work, involving virtually every ICJ official from all 50 states, the District of Columbia, and the U.S. Virgin Islands.

The new UNITY system provides a user-friendly environment featuring processes built directly upon the ICJ Rules. Unlike its predecessor, UNITY is browser and device agnostic, which provides state ICJ offices and juvenile supervision officers greater opportunities for operational flexibility, access, and communication in both interstate and intrastate communication. It is securely hosted on Microsoft's CJIS compliant Azure government cloud platform.

In addition to improved access to case information, UNITY will soon provide unprecedented access to data that can be more effectively analyzed to help guide operational decisions, ensure compliance, and advance the work of the Commission. Due to the integration of Tableau (a data visualization tool), UNITY will provide:

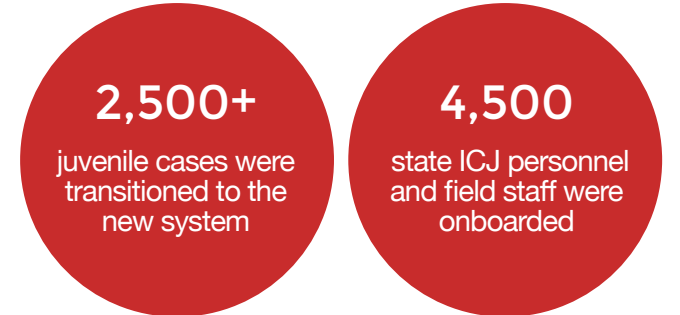
- Descriptive analytics (qualitative and quantitative information about what has happened);
- Predictive analytics (information about what potentially will happen); and
- Prescriptive analytics (information about potential effects of certain actions on operations, workflow, and/or policy decisions).

To ensure the success of the transition, the Commission also updated its On Demand learning platform to TalentLMS, a highly flexible cloud-based learning management system. This new platform allows users to progressively learn about UNITY, and enabled state ICJ Offices to effectively manage the training required for a successful statewide rollout. To supplement training courses, a separate "UNITY Training Site" was also developed. The UNITY Training Site is an interactive virtual environment where learners can test new skills without impacting the live site. It is essentially a replica of the "real" UNITY system, except that no data regarding "real" juveniles is included.

To develop the UNITY system, ICJ personnel throughout the nation collaborated closely over the course of three years. This year, five (5) teams of state ICJ personnel worked closely with the Information Technology Committee and National Office staff in the areas of Business Analysis; User Acceptance Testing; Reporting and Data Visualization; Training; and Technical Assistance.

To ensure success, each state selected a UNITY Coordinator to act as the state's primary UNITY contact and state project manager. Each UNITY Coordinator was charged with three major objectives: 1) educating ICJ staff and juvenile supervision officers in preparation for the transition; 2) auditing files in JIDS (the previous system) to prepare for migration; and 3) providing the first line of support for others in their state during the first 60-days after "go-live." These UNITY Coordinators met with National Office staff each week for more than a year and worked diligently to achieve these objectives.

As a result of the extensive collaboration, the transition to UNITY was highly successful. More than 2,500 juvenile cases were transitioned to the new system, and more than 4,500 state ICJ personnel and field staff were onboarded. With only five days of down time for the transition and data migration, the Commission celebrated the successful launch of UNITY without any major issues. This momentous accomplishment is a testament to the spirit of unity that embodies the Commission.



PROMOTING RACIAL JUSTICE AND EQUITY

Because the Commission is committed to promoting the safety and well-being of juveniles and communities, we were compelled to action in the summer of 2020, when wide-spread racial injustice was highlighted by the killings of Breonna Taylor, George Floyd, and countless other Black Americans. To be clear, most criminal and juvenile justice professionals are honorable, dedicated, and courageous. Nonetheless, there is overwhelming evidence that people of color are disproportionately represented in all levels of the criminal justice system.

As a national juvenile justice organization, the Commission has a unique opportunity to serve as a force for positive change. Because “actions speak louder than words,” the Commission adopted a 3-pronged Action Plan to support racial justice work at the Commission and with our partners throughout the nation. Action steps include:

- **Internal Procedural Justice Assessment** – The Commission created an **Ad Hoc Committee on Racial Justice** to analyze data regarding ICJ operations, as well as ICJ Rules, policies, and resources. Comprised of ICJ personnel from throughout the U.S., the Committee met throughout the year and made recommendations regarding ICJ Rule 5-103, to ensure equitable use of graduated responses; improvements to the Commission’s process for nomination and election of officers; and updates to the Commission’s vision, mission, and values statements.
- **Internal Leadership Development Focused on Racial Justice** – The Commission launched a **Leadership Exchange Series** to support Commission leaders as they move to apply a racial equity lens to their

work with the Commission and in their states. In FY 21, 18 current and emerging leaders worked together to develop skills needed to move from talking about philosophies to enacting real change.

- **External Collaboration to Improve Community Supervision** – The Commission is collaborating with other national criminal justice leaders to develop and implement strategies for addressing institutional racism. This year, we collaboratively launched the Justice System Partnership for Racial Equity, which includes leaders from 15 criminal justice agencies, including those representing probation and parole officers; law enforcement officers; judges; prosecutors; defense attorneys; and more. This partnership is committed to eliminating racial inequities within the justice system.

Inspired by results-based accountability training, the Executive Committee adopted the Commission’s first-ever “results statement.” This statement is designed to articulate the ultimate goal for ICJ-related work:

“All ICJ youth and families are safe, supported, and treated equitably.”

These actions represent steps in the right direction, but there is still much work to be done. We look forward to continuing to work to promote racial justice and equity for all.

STRATEGIC PLAN UPDATE

Strategic planning is essential for setting priorities, focusing resources, and ensuring that everyone is working toward common goals. This year, the Commission made great strides in advancing our strategic priorities, as outlined below.

To review the Commission’s complete Strategic Plan, visit www.juvenilecompact.org/about/strategic-plan

FY21 ACCOMPLISHMENTS			
IMPROVE DATA SYSTEM	PROMOTE MEMBER ENGAGEMENT & LEADERSHIP DEVELOPMENT	ADDRESS GAPS IN RULES & RESOURCES	LEVERAGE RELATIONSHIPS TO PROMOTE AWARENESS & IMPROVE OUTCOMES
Collaboratively developed new data management system (UNITY) through extensive team work of state ICJ personnel	Recruited members reflecting diversity for leadership roles	Ad Hoc Committee on Juvenile Adult Issues presented recommendations to the Executive and Rules Committees, and Regions	Established Online State Council Report Form, which was used by all states to submit consistent report
Launched UNITY to more than 4,000 state and local users throughout the US	Provided Orientation Training for new Executive Committee Members	Launched new Learning Management System: ICJ.TalentLMS.com	Offered targeted technical assistance to states regarding state council development
Developed web-based training tools to support UNITY	Launched Leadership Exchange Series focused on equity, access, and inclusion	Developed 20 new on demand courses, mostly related to UNITY	Collaborated with TSA to develop Transportation ID Form to prepare for REAL ID requirements
Provided UNITY-related training and resources using a wide variety of formats	Collaborated with APPA to launch the Justice System Partnership for Racial Equity	Developed new on demand course structure and content for "ICJ in Action" series	Collaborated with FBI, SEARCH, ICAOS, and others to develop Warrant Notification Project
Developed web-based support centers that keeps states informed about in-state needs	Created Ad Hoc Committee on Racial Justice, which made recommendations related to mission, vision, amendment of ICJ Rules, and elections	Restructured National Office facilities, contracts, and technology resources to adapt changes triggered by the global pandemic	
Worked collaboratively to support states during and after the transition to UNITY		Presented Commission's first ever virtual Annual Business Meeting	

ANNUAL BUSINESS MEETING PROMOTES JUSTICE, EQUITY & UNITY



2020 Virtual Annual Business Meeting

The Commission held its first-ever virtual Annual Business Meeting in October 2020, during the height of the COVID-19 pandemic. We greatly

appreciate the diverse and dynamic group of speakers who provided in-depth insights, as well as actionable tools to assist with preparations for the release of the UNITY data management system. During the General Session, keynote speaker Hasan Davis shared a powerful account of his own experiences, along with data regarding the overrepresentation of youth in the juvenile justice system based on race, poverty, disability, and educational failure. He challenged us work to maintain hope for juveniles and to find ways to improve the systems that serve them.

Though we missed gathering in person, the meeting was full of enthusiasm about the new data management system and the exemplary work of the Commission the previous year. A record number of 137 participants attended via Zoom, including representatives from all 50 states, the District of Columbia, the U.S. Virgin Islands, and seven (7) ex officio representatives of member organizations.

2020 LEADERSHIP AWARD PRESENTED TO JULIE HAWKINS (MO)

Congratulations to Missouri Commissioner Julie Hawkins, the recipient of the 2020 ICJ Leadership Award. Each year, the Commission presents this award to a person who has demonstrated outstanding leadership and dedication to the Commission through extraordinary service.

Commissioner Julie Hawkins was described in her nomination as “a very valuable asset to ICJ, who is always willing to assist or do the work in any way she can.” Commissioner Hawkins provides a vast wealth of knowledge from a historical perspective as a member of the Rules Committee. She never shies away from responsibility and often volunteers to draft language

or provide further information to aid in the decision making and rule proposal process. Last year, she served as chair of the Adult/Juvenile Ad Hoc Committee, which made numerous recommendations that are beneficial to the Commission as a whole.”

The Commission commends Ms. Hawkins for her commitment to the ICJ.



Julie Hawkins, Missouri Commissioner, recipient of the 2020 Leadership Award

NEW & UPDATED RESOURCES

The Commission provides educational and operational resources to support state ICJ Offices and others working to implement the Compact throughout the United States. New and updated content can always be found on the Commission’s website. Resources listed here include significant changes, such as new legal and operational guidance. You can also stay up-to-date by subscribing to the Commission’s monthly newsletter. Scan this QR code to subscribe:



New Web-Based Training System

The Commission employs a multi-pronged training strategy to educate users throughout the nation. In November 2020, the Commission transitioned to TalentLMS, a new on-demand training platform. The on-demand training courses provide 24/7 access to a variety of information related to the Compact rules, processes, and the new UNITY data management system. Currently, there are 27 on-demand courses available.

The Commission also released the UNITY Training Site, UNITY User Manuals, and UNITY Training Toolkit. This Training Toolkit was developed to provide resources and materials to support states who chose to provide instructor-led trainings using the UNITY Training Site.

New Legal Resources

- Advisory Opinion 01-2021: “HIPAA Permits Sharing Information as Required by the ICJ, including through the UNITY System”
- Advisory Opinion 02-2021: “Limits of ICJ Authority to Conduct Records Checks for Another State on Juveniles Not Subject to ICJ”
- White Paper: “Transfer of Jurisdiction Not Authorized Pursuant to the ICJ”
- White Paper: “Distinction Between Suspension of ICJ Rules & Suspension of Enforcement”
- White Paper: “ICJ Returns, Human Trafficking, and Federal Authorities”

New & Updated Operational Resources

The Commission also updated numerous resources that support operations of the Commission and state ICJ Offices, including:

- ICJ Dues Quick Reference Guide – New
- Handbook for Commissioners, Compact Administrators, and Other State ICJ Leaders – Updated
- Quick Reference Guide for ICJ Cases – Updated
- Bench Book for Judges and Court Personnel – Updated
- Best Practice Guide for AAICPC and ICJ Cases – Updated

Policies

- The Commission introduced and/or amended numerous policies, including:
- ICJ Policy 2021-02: UNITY Privacy Policy – New
 - ICJ Policy 2021-01: Juvenile Record Expungement – New
 - ICJ Policy 2020-02: Information System Modifications – New
 - ICJ Policy 2020-01: Access to Historical Data – New
 - ICJ Policy 2017-02: Sanctioning Guidelines – Amended
 - ICJ Policy 2012-03: Committee Guidelines – Amended
 - ICJ Policy 2009-08: Dues Assessment & Enforcement – Amended

COMMISSION VALUES

1. We **honor the Compact's spirit** of communication, collaboration and mutual respect among all parties in the Compact.
2. We **hold ourselves accountable** to our compact agreements.
3. We expect and support **continuous knowledge and skill development**.
4. We **seek sustainability of ICJ** via leadership development and national visibility.
5. We ensure that **everything we do supports the outcomes** stated in our mission



Trainings, Webinars, & Virtual Collaborations

Opportunities to learn and collaborate were expanded through a variety of webinars, web-based meetings, and on-demand training courses.



On-demand training courses provided

63,360

On-demand course completions



4,860

Professionals trained via on-demand courses

483

Professionals trained through 4 web-based, instructor-led Rules training sessions



188

Virtual meetings to advance the Commission's mission and prepare for the transition to UNITY

30

"ICJ Updates" newsletters delivered to over 4,000 people



320

Hours of in-state training provided

3,383

Professionals trained in-state

27

States provided in-state training

TRAINING & COLLABORATION

Throughout the U.S., Commission members work diligently to educate and raise awareness regarding the ICJ. Due to the transition to the UNITY data management system and the COVID-19 pandemic, the Commission increased its focus on distance learning in FY21. Consequently, the Commission provided training to a record number of learners. At the same, the Commission facilitated nearly 200 virtual meetings through which members and allies collaborated to promote justice, equity, and UNITY.

In November 2020, the Commission launched TalentLMS, a comprehensive on-demand learning management system. TalentLMS ensures all learners have access to instructional content and materials at any time, from any place whenever internet access is available. It also gives state ICJ offices the ability to keep track of user progress to ensure that their learners are meeting performance milestones through the creation of detailed reports. TalentLMS also saves the Commission both time and money by allowing the easy administration of large amounts of information in a user friendly, web-based environment.

ICJ.TalentLMS

By the Numbers

The Commission transitioned to ICJ.TalentLMS, a new on-demand training site. The on-demand training courses provide 24/7 access to a variety of information related to the Compact rules, processes, and the new UNITY data management system.

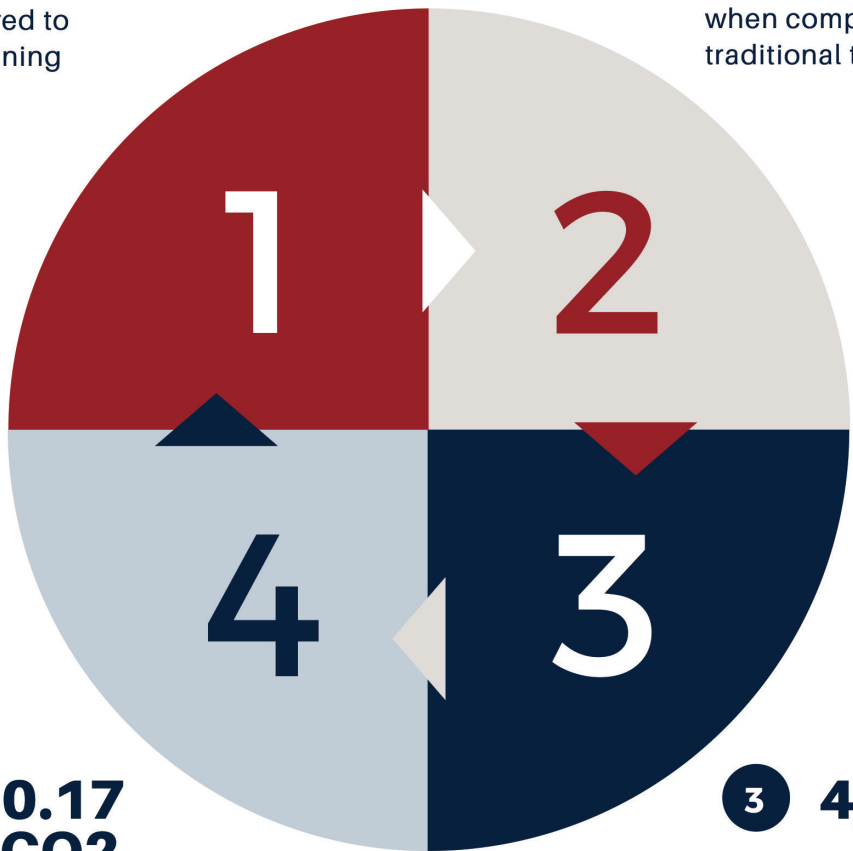
Total Savings

1 37K

Less commute hours saved compared to traditional training

2 \$4.38M

Approximate cost savings when compared to traditional training



4 -610.17 MgCO2

Less carbon dioxide to the atmosphere due to less commute

3 4,860

Unique learners enrolled in courses

OVERCOMING THE CHALLENGES OF THE COVID-19 PANDEMIC

The Commission was deeply impacted by the COVID-19 pandemic in fiscal year 2021. Cases surged nationwide during the winter months, and courts remained closed to in-person hearings in many states. The suspension of enforcement of ICJ Rules, which began in April 2020, continued throughout FY21.

Despite restrictions that affected supervision and returns, states performed Compact-related work remarkably well. While the pandemic resulted in many obstacles, there was no significant negative impact on states' abilities to comply with the Compact and to ensure that juveniles receive the interstate supports they need. According to a mid-year compliance

review, states performed the same or better than the 6-month period prior to the suspension of the enforcement in the areas of home evaluations, returning juveniles, quarterly progress reports, and violation reports. Nationwide compliance rates were well above the current compliance benchmark for these standards.

Though the global pandemic continues, states have risen to the occasion and found creative methods to ensure the safety of juveniles and communities. Therefore, the suspension of enforcement of ICJ Rules will end on October 8, 2021, immediately after the 2021 Annual Business Meeting.



LETTER FROM AUDITOR

Hicks & Associates CPAs

CERTIFIED PUBLIC ACCOUNTANTS

INTERSTATE COMMISSION FOR JUVENILES
LEXINGTON, KENTUCKY
YEAR ENDED JUNE 30, 2021

- SERVICES PROVIDED BY HICKS & ASSOCIATES CPAS:
- a. AUDIT OF THE FINANCIAL STATEMENTS - UNMODIFIED OPINION¹
 - b. REPORT TO MANAGEMENT²
 - c. AVAILABLE FOR ROUTINE ACCOUNTING AND TAX ADVICE AS REQUESTED BY MANAGEMENT

FINANCIAL HIGHLIGHTS FOR INTERSTATE COMMISSION FOR JUVENILES:

	6/30/2021	6/30/2020	6/30/2019
• DUES ASSESSMENTS	\$ 978,000	\$ 978,000	\$ 978,000
• INVESTMENT INCOME ³	\$ 266,605	\$ -16,080	\$ 113,174
• TOTAL REVENUES	\$ 1,245,060	\$ 963,805	\$ 1,099,371
• PERCENTAGE CHANGE IN TOTAL REVENUES	+29.18%	-12.33%	+2.88%
• TOTAL EXPENSES	\$ 895,948	\$ 999,178	\$ 911,528
• PERCENTAGE CHANGE IN TOTAL EXPENSES ⁴	-10.33%	+9.62%	+28.42%
• CHANGES IN NET ASSETS	\$ 349,112	\$ -35,373	\$ 187,843
• TOTAL NET ASSETS	\$ 2,864,827	\$ 2,515,715	\$ 2,551,088
• TOTAL ASSETS	\$ 3,164,237	\$ 2,788,122	\$ 2,804,874
• PERCENTAGE CHANGE IN TOTAL ASSETS	+13.49%	-0.60%	+6.55%
• TOTAL LIABILITIES	\$ 299,410	\$ 272,407	\$ 253,786
• PERCENTAGE CHANGE IN TOTAL LIABILITIES	+9.91%	+7.34%	-5.71%
• OPERATING CASH FLOWS	\$ 104,582	\$ -25,079	\$ 59,321
• TOTAL CASH FLOWS	\$ -218,053	\$ -167,129	\$ -180,679

1. AN "UNMODIFIED OPINION" IS THE TERMINOLOGY THAT REPRESENTS A GOOD, CLEAN AUDIT. ALL THE INFORMATION WE REQUESTED TO SUPPORT OUR OPINION WAS PROVIDED BY MANAGEMENT DURING OUR AUDIT. THERE WERE NO INSTANCES OF FRAUD, ABUSE, OR UNSUPPORTED TRANSACTIONS OBSERVED DURING OUR TESTING. OUR OPINION ON THE FINANCIAL STATEMENTS RELATES TO THE FINANCIAL STATEMENTS BEING PREPARED ON THE MODIFIED ACCRUAL BASIS OF ACCOUNTING, WHICH IS A BASIS OF ACCOUNTING OTHER THAN U.S. GAAP.
2. THE REPORT TO MANAGEMENT DESCRIBES (1) THE RESPONSIBILITIES OF THE AUDITORS, MANAGEMENT, AND THE COMMITTEES; (2) THE OVERALL AUDIT PROCESS, AND (3) ANY RECOMMENDATIONS WE HAD DURING THE CURRENT YEAR AND UPDATES TO ITEMS MENTIONED IN THE PRIOR YEAR. WE DID NOT HAVE ANY RECOMMENDATIONS FOR THE YEARS ENDED JUNE 30, 2021 OR 2020.
3. INTEREST AND DIVIDENDS OF \$22,338; REALIZED GAINS OF \$22,600; AND UNREALIZED GAINS OF \$221,667 FOR THE YEAR ENDED JUNE 30, 2021. INVESTMENT INCOME INCREASED DUE TO LOSSES SUFFERED AT THE BEGINNING OF THE COVID-19 PANDEMIC IN FISCAL YEAR ENDED JUNE 30, 2020.
4. SEVERAL EXPENSES DECREASED AS A RESULT OF THE COVID-19 PANDEMIC. IN ADDITION, \$322,635 OF EQUIPMENT PURCHASES AND UNITY SYSTEM EXPENSES WERE CAPITALIZED DURING THE YEAR ENDED JUNE 30, 2021, AND WILL BE EXPENSED IN FUTURE YEARS OVER THEIR ESTIMATED USEFUL LIVES.

1795 Alysheba Way • Suite 6206
Lexington, KY 40509

Telephone (859) 368-9727
Fax (859) 368-9739

FINANCIAL OVERVIEW

Due to careful fiscal management, the Commission finished Fiscal Year 2021 within the planned budget of \$1,402,000. The Commission's budget included significant expenditure of funds for development of the new UNITY data management system and the new TalentLMS on-demand learning management system. As most in-person meetings were cancelled due to the COVID-19 pandemic, revenue initially allocated for face-to-face meetings was reallocated to fund UNITY development and the Commission's Leadership Exchange Series. Consequently, the amount transferred from cash reserves was significantly reduced. The Commission's investment accounts grew by 5.5% in Fiscal Year 2021.

Consequently, the Commission is well positioned to start Fiscal Year 2022 on a solid financial footing. Funds from cash reserves/investments will be used to complete the UNITY development project. Operational and budgetary adjustments have been made to ensure the Commission's ongoing needs are fully funded by recurring revenue.

Staff Recognition

The Commission believes in recognizing individuals doing the day-to-day work of the Compact who go above and beyond the general call of duty to reach a conclusion or solution that best serves public safety.

In Fiscal Year 2021, the Commission recognized four (4) state ICJ staff members and juvenile supervision officers for their excellent service:

- Abbie Christian – Deputy Compact Administrator, Nebraska
- Rebecca Hillestead – Deputy Compact Administrator, Minnesota
- Mike Pesch – Probation Officer, North Dakota
- Kellianne Torres – Deputy Compact Administrator, Iowa

Standing Committees

The Commission's standing committees provide invaluable services to the Commission. The work represented in this report would not be possible without the volunteer efforts of members throughout the nation. Standing committees include:

- Compliance Committee
- Finance Committee
- Information Technology Committee
- Rules Committee
- Training, Education, and Public Relations Committee

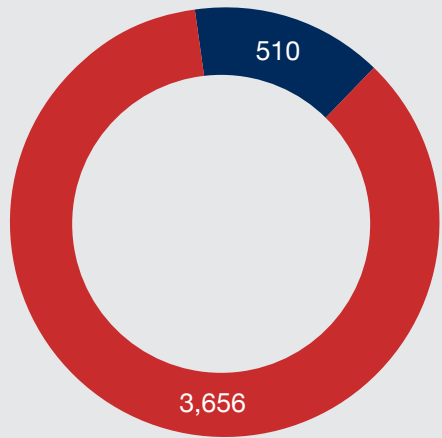
For more information on standing committees or to view membership, please visit the ICJ Website at www.juvenilecompact.org



ANNUAL STATISTICS

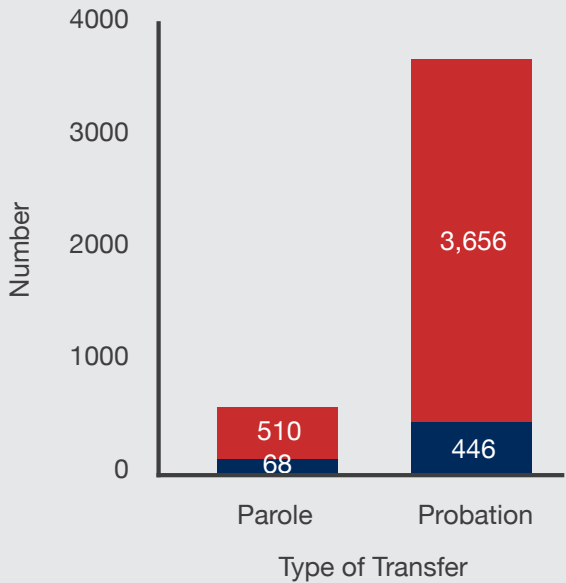
Starting in Fiscal Year 2015, the Commission obtained interstate juvenile data from the Juvenile Interstate Data System (JIDS). The UNITY data system was launched on May 17, 2021. The information presented in this report includes fiscal year 21 data from JIDS through May 12, 2021, and data from UNITY from May 17 to June 30, 2021. Both systems were inaccessible during the period of May 13 – 16, 2021.

Total Transfer of Supervision Cases = 4,166



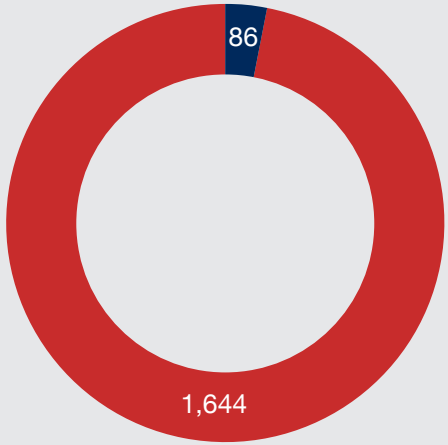
Probation
Parole

Transfer of Supervision by Type and Status – Fiscal Year 2021



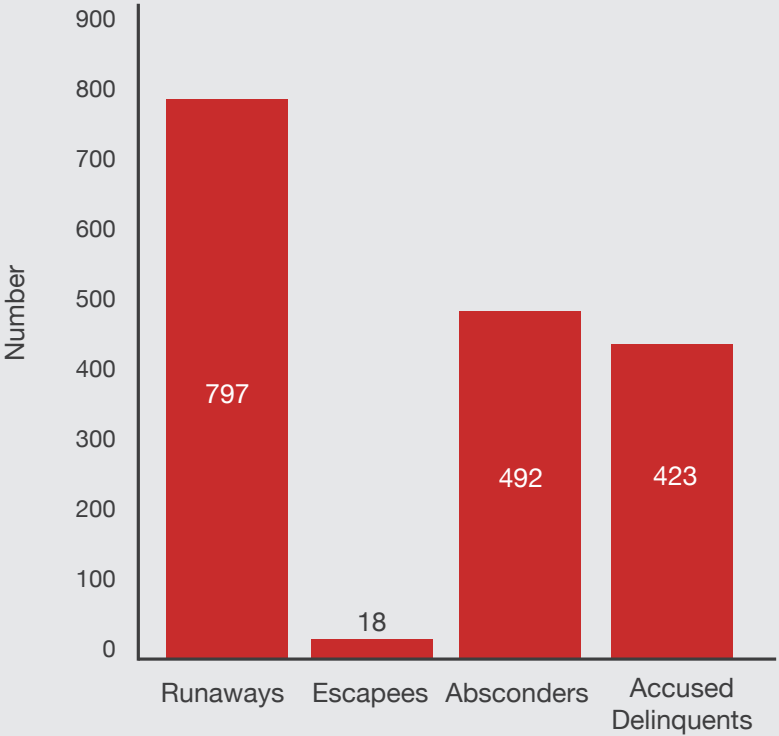
Total Transfers = 4,166
Sex Offenders = 514

Total Juvenile Returns = 1,730



Voluntary Returns
Non Voluntary Returns

Juvenile Returns by Type



EX OFFICIO MEMBERS

- American Parole and Probation Association (APPA)
- Association of Administrators of the Interstate Compact on the Placement of Children (AAICPC)
- Council of Juvenile Justice Administrators (CJJA)
- Conference of Chief Justices (CCJ)
- Conference of State Court Administrators (COSCA)
- International Association of Chiefs of Police (IACP)
- Interstate Compact for Adult Offender Supervision (ICAOS)
- National Association of Attorneys General (NAAG)
- National Children's Advocacy Center (NCAC)
- National Conference of State Legislatures (NCSL)
- National Council of Juvenile and Family Court Judges (NCJFCJ)
- National Governors Association (NGA)
- National Partnership for Juvenile Services (NPJS)
- National Runaway Safeline (NRS)
- National Sheriffs' Association (NSA)
- Victims Representative



RESULTS STATEMENT

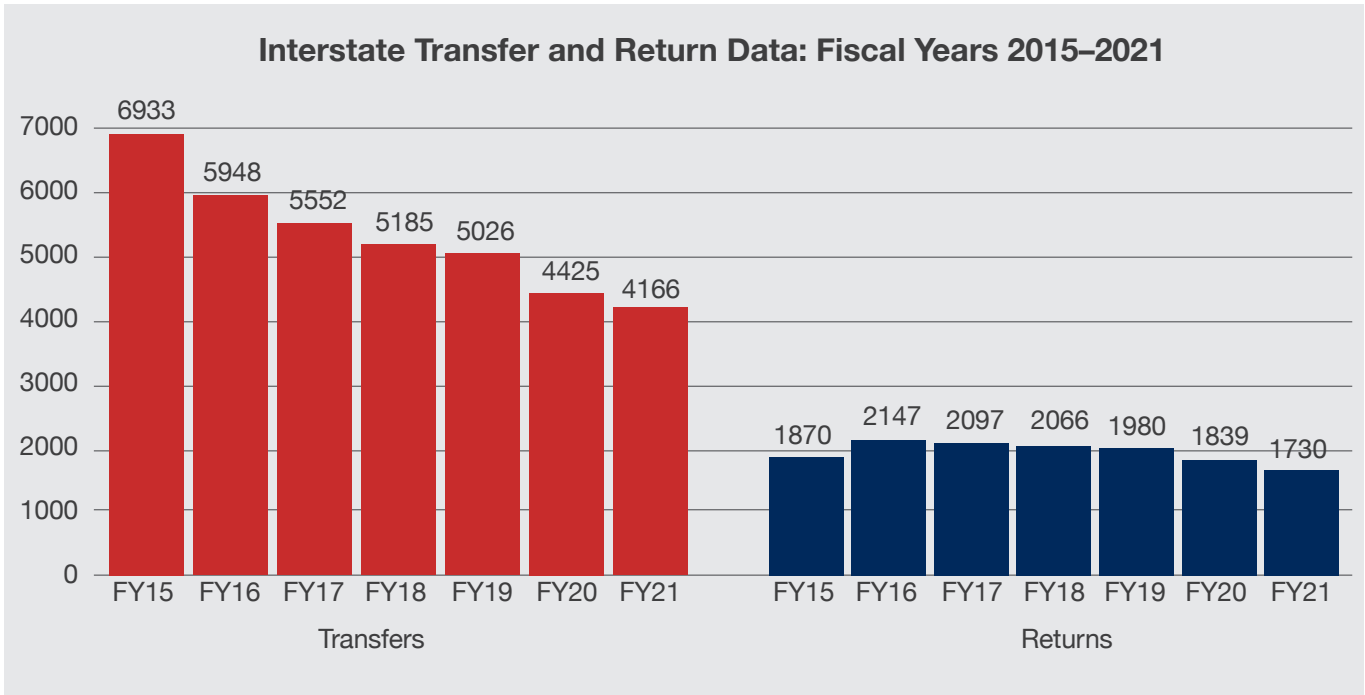
All ICJ youth and families are safe, supported, and treated equitably.

INTERSTATE MOVEMENT OF JUVENILES: FISCAL YEAR 2020 TO FISCAL YEAR 2021 COMPARISON

Transfer of supervision cases have been declining every fiscal year since 2015. This is likely due to an overall decline in juvenile adjudications across the nation. Perhaps some of the decline in Fiscal Year 2021 is due to COVID-related restrictions.

In Fiscal Year 2021, probation transfers decreased 4 percent from the previous fiscal year, for a total of 3,656 probation cases transferred. Parole transfers decreased by 19 percent from Fiscal Year 2020, with 510 cases transferred. Juvenile sex offenders represent 514 percent of all transfer requests, up slightly from the previous Fiscal Year.

Returns decreased slightly by 6%, for 1730 juveniles returned to the home/demanding state.



NATIONAL OFFICE STAFF

The National Office serves as the secretariat for the Commission and facilitates collaborations to support the Interstate Compact for Juveniles

MaryLee Underwood, JD, BSW
Executive Director
859.721.1062

Jennifer Adkins
Operations and Policy Specialist
859.721.1063

Emma Goode
Logistics and Administrative Specialist
859.721.1062

Amanee Cabbagestalk
Training and Administrative Specialist
859.721.1073

Joe Johnson
Systems Project Manager
859.721.0796

MISSION STATEMENT:

The Interstate Commission for Juveniles, the governing body of the Interstate Compact for Juveniles, through means of joint and cooperative action among the compacting states, preserves child welfare and promotes public safety interests of citizens, including victims of juvenile offenders, by providing enhanced accountability, enforcement, visibility, and communication in the return of juveniles who have left their state of residence without permission and in the cooperative supervision of delinquent juveniles who travel or relocate across state lines.

LEGAL COUNSEL

Richard L. Masters

VISION STATEMENT

The Interstate Commission for Juveniles will promote public safety, victims' rights, and juvenile accountability that is balanced with safeguarding those juveniles.



INTERSTATE MOVEMENT OF JUVENILES:
JULY 1, 2020 – JUNE 30, 2021

This chart details the return of runaways, escapees, absconders, and juveniles charged delinquent. It includes the number of juveniles sent back to the home/demanding state (“From Your State Returned”) along with the number of incoming juveniles where the state listed is the holding state (“From Other States Returned”). The total returns are categorized as either voluntary or non-voluntary.

KEY

Run = Runaway

Esc = Escapee

Absc = Absconder

Acc Del & Stat Off = Accused Delinquent & Status Offenders

Airport Sup Req Met = Airport Supervision Request Met

Vol = Voluntary

Non Vol = Non Voluntary

STATE	From Your State Returned (Home/Demanding State)							From Other States Returned (Holding State)							Airport Sup Req Met
	Run	Esc	Absc	Acc Del & Stat Off	Total	Total Vol	Total Non Vol	Run	Esc	Absc	Acc Del & Stat Off	Total	Total Vol	Total Non Vol	
Alabama	11	0	6	6	23	21	2	12	0	14	4	30	30	0	0
Alaska	0	0	0	1	1	1	0	0	0	0	0	0	0	0	0
Arizona	10	0	10	4	24	23	1	19	0	16	1	36	36	0	12
Arkansas	8	0	4	3	15	15	0	8	0	5	7	20	20	0	0
California	59	0	40	6	105	101	4	21	0	34	20	75	72	3	2
Colorado	19	0	6	6	31	30	1	18	0	3	2	23	21	2	10
Connecticut	4	0	0	3	7	7	0	4	0	1	0	5	1	4	0
Delaware	1	0	4	5	10	10	0	0	0	2	6	8	8	0	0
District of Columbia	3	0	12	16	31	31	0	2	0	2	33	37	37	0	1
Florida	63	1	24	25	113	105	8	43	0	18	3	64	58	6	0
Georgia	39	0	18	18	75	71	4	39	0	20	13	72	71	1	46
Hawaii	1	0	1	0	2	2	0	0	0	0	0	0	0	0	0
Idaho	3	0	36	8	47	44	3	9	0	6	1	16	15	1	0
Illinois	25	4	10	19	58	56	2	10	2	10	27	49	49	0	6
Indiana	23	2	4	9	38	36	2	91	9	4	21	125	121	4	0
Iowa	13	0	13	10	36	36	0	18	0	17	8	43	42	1	0
Kansas	13	0	19	9	41	40	1	34	0	9	0	43	41	2	0
Kentucky	61	3	2	20	86	83	3	22	2	12	15	51	47	4	0
Louisiana	8	0	8	8	24	24	0	6	0	2	11	19	16	3	0
Maine	1	0	0	0	1	0	1	3	0	0	2	5	4	1	1
Maryland	7	0	2	14	23	22	1	6	0	27	9	42	42	0	0
Massachusetts	12	0	4	5	21	21	0	6	0	2	0	8	6	2	0
Michigan	14	0	12	5	31	28	3	10	0	7	1	18	17	1	0
Minnesota	18	3	12	7	40	39	1	11	0	14	7	32	28	4	1
Mississippi	7	0	0	5	12	12	0	22	0	2	0	24	22	2	0
Missouri	20	0	9	2	31	30	1	13	0	31	4	48	46	2	0
Montana	7	0	5	2	14	12	2	4	0	2	0	6	6	0	0
Nebraska	18	1	17	3	39	38	1	13	0	6	2	21	19	2	0
Nevada	10	0	11	9	30	30	0	18	0	36	6	60	59	1	1
New Hampshire	6	0	3	0	9	8	1	4	0	2	2	8	8	0	0
New Jersey	9	0	5	9	23	22	1	4	0	2	28	34	33	1	0
New Mexico	6	0	9	5	20	20	0	12	0	1	2	15	12	3	0
New York	28	0	3	19	50	41	9	7	0	9	10	26	20	6	0
North Carolina	25	0	6	23	54	49	5	30	0	17	9	56	54	2	23
North Dakota	7	0	15	4	26	25	1	5	0	6	4	15	15	0	0
Ohio	26	0	13	17	56	53	3	30	1	2	27	60	58	2	0
Oklahoma	24	0	9	2	35	34	1	14	0	17	7	38	37	1	0
Oregon	6	0	31	9	46	45	1	27	1	18	7	53	51	2	1
Pennsylvania	11	0	7	26	44	42	2	25	0	8	13	46	44	2	1
Rhode Island	7	0	0	0	7	4	3	5	0	1	0	6	2	4	0
South Carolina	9	0	10	3	22	20	2	13	0	1	31	45	44	1	0
South Dakota	2	0	8	1	11	11	0	4	2	5	1	12	11	1	0
Tennessee	43	2	11	7	63	62	1	41	0	8	21	70	64	6	0
Texas	38	1	18	13	70	63	7	43	0	31	11	85	84	1	25
Utah	6	0	4	1	11	11	0	19	0	12	10	41	41	0	0
Vermont	2	0	0	0	2	1	1	2	0	1	3	6	6	0	0
Virgin Islands	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Virginia	17	0	34	36	87	81	6	22	0	2	18	42	37	5	0
Washington	27	1	6	4	38	37	1	7	0	34	7	48	46	2	1
West Virginia	3	0	1	5	9	9	0	7	0	1	5	13	13	0	0
Wisconsin	16	0	9	11	36	36	0	9	0	6	4	19	19	0	0
Wyoming	1	0	1	0	2	2	0	5	1	6	0	12	11	1	0
Total	797	18	492	423	1730	1644	86	797	18	492	423	1730	1644	86	131

INTERSTATE MOVEMENT OF JUVENILES: JULY 1, 2020 – JUNE 30, 2021

This chart details the movement of juveniles under supervision. This includes the number of cases terminated, whether it was a sex-offender related case, failed supervision, and failed supervision due to violations.

KEY

Inc = Incoming

Sex Off = Sex Offender

Inc Term = Incoming Terminated

Out = Outgoing

Out Term = Outgoing Terminated

Failed Svsn = Return for Failed Supervision, as home/sending state

Fail Svsn Vio = Returned for Failed Supervision due to violation, as home/sending state

STATE	Parole Supervision								Probation Supervision							
	Inc	Sex Off	Inc Term	Out	Sex Off	Out Term	Fail Svsn	Fail Svsn Vio	Inc	Sex Off	Inc Term	Out	Sex Off	Out Term	Fail Svsn	Fail Svsn Vio
Alabama	14	1	11	5	1	3	0	0	75	9	71	47	2	35	0	0
Alaska	2	0	2	0	0	0	0	0	12	2	11	9	1	5	0	0
Arizona	16	1	22	8	0	5	0	0	107	13	90	95	6	85	1	0
Arkansas	9	2	7	17	4	13	0	0	34	8	33	41	6	48	0	0
California	20	2	19	17	3	12	0	0	177	22	154	251	13	245	0	0
Colorado	10	0	12	20	1	29	1	1	63	10	62	105	19	100	1	0
Connecticut	2	0	4	0	0	0	0	0	19	0	24	8	3	5	0	0
Delaware	4	0	2	5	0	7	0	0	41	3	33	22	2	26	0	0
District of Columbia	3	0	6	12	0	11	0	0	53	7	74	10	0	11	0	0
Florida	32	5	34	45	4	47	0	0	177	25	143	286	29	241	0	0
Georgia	30	4	38	65	7	47	0	0	190	14	147	136	10	131	1	1
Hawaii	1	1	1	0	0	0	0	0	10	2	5	3	1	1	0	0
Idaho	1	1	2	9	2	8	1	1	37	7	38	122	18	103	1	1
Illinois	17	0	12	26	0	38	0	0	158	13	113	207	23	182	0	0
Indiana	21	4	19	8	5	4	0	0	81	5	71	83	15	62	1	1
Iowa	9	0	9	0	0	0	0	0	67	1	65	58	8	50	1	1
Kansas	11	3	11	6	2	5	0	0	44	7	47	59	4	48	0	0
Kentucky	12	3	8	7	2	2	0	0	65	12	54	18	1	17	0	0
Louisiana	9	1	12	13	1	10	1	1	61	8	59	61	10	44	0	0
Maine	0	0	0	1	0	2	0	0	6	2	7	4	2	0	0	0
Maryland	19	0	16	6	1	11	0	0	89	6	78	92	14	100	1	1
Massachusetts	4	0	3	3	0	11	0	0	30	3	24	13	0	13	0	0
Michigan	9	4	5	0	0	0	0	0	73	11	52	31	8	15	0	0
Minnesota	9	0	3	0	0	0	0	0	69	10	50	91	13	67	0	0
Mississippi	3	1	0	0	0	1	0	0	55	6	50	31	3	17	0	0
Missouri	14	3	11	24	5	24	0	0	101	13	85	17	0	25	0	0
Montana	4	1	5	4	0	5	0	0	33	8	20	7	4	7	0	0
Nebraska	1	1	1	0	0	0	0	0	30	2	21	58	3	56	0	0
Nevada	13	2	13	18	1	18	0	0	98	9	93	114	20	87	0	0
New Hampshire	1	0	0	3	1	2	0	0	11	5	11	4	1	3	0	0
New Jersey	6	1	9	11	1	27	0	0	61	6	56	128	14	121	0	0
New Mexico	1	1	5	3	0	6	0	0	35	7	40	26	2	25	0	0
New York	10	3	13	19	0	16	0	0	125	9	115	46	3	37	0	0
North Carolina	30	1	23	4	0	3	0	0	173	20	155	69	14	41	0	0
North Dakota	2	1	3	10	2	5	0	0	28	5	26	43	5	34	1	0
Ohio	6	2	9	12	1	11	0	0	89	14	71	72	18	52	0	0
Oklahoma	11	1	7	4	1	3	0	0	87	17	75	49	4	45	0	0
Oregon	10	1	11	17	2	12	0	0	86	3	66	90	17	65	0	0
Pennsylvania	15	1	14	1	1	0	0	0	95	13	89	247	23	215	0	0
Rhode Island	1	0	4	0	0	0	0	0	11	1	3	10	0	11	1	0
South Carolina	18	2	16	8	1	6	0	0	94	10	74	115	4	66	0	0
South Dakota	1	1	1	11	4	5	0	0	21	3	28	24	2	16	1	1
Tennessee	21	2	11	21	3	26	1	1	103	9	100	72	7	83	0	0
Texas	40	7	36	18	1	17	0	0	209	30	184	192	30	205	0	0
Utah	5	0	4	5	1	5	0	0	41	15	40	35	11	24	0	0
Vermont	1	1	1	0	0	0	0	0	2	0	0	7	4	6	0	0
Virgin Islands	0	0	1	0	0	0	0	0	0	0	0	0	0	3	0	0
Virginia	9	1	13	22	2	14	1	1	80	11	77	86	10	109	0	0
Washington	11	1	11	18	8	17	0	0	117	12	98	128	21	52	2	2
West Virginia	2	0	2	0	0	0	0	0	31	6	23	32	4	29	0	0
Wisconsin	8	1	9	4	0	5	0	0	81	7	59	85	12	56	2	1
Wyoming	2	0	2	0	0	0	0	0	21	5	19	17	2	14	0	0
TOTAL	510	68	493	510	68	493	5	5	3656	446	3183	3656	446	3138	14	9



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