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Corrections

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AT A GLANCE

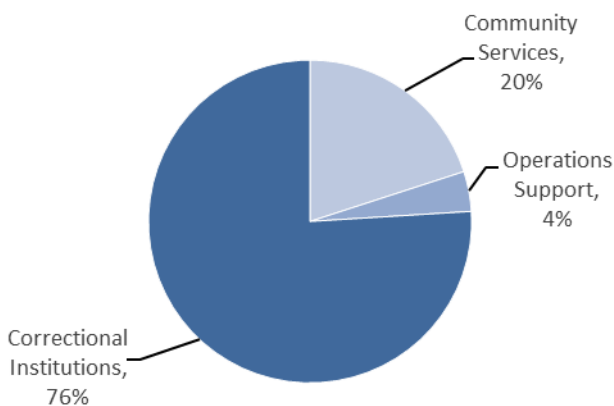
- More than 4,300 employees
- 10 prisons statewide
- Approximately 8,000 incarcerated adults and juveniles in state correctional facilities
- Approximately 104,000 persons under community supervision in Minnesota including more than 20,000 supervised by the DOC and more than 80,000 supervised by community partners
- 74% of people released from prison do not return for a new conviction
- 95% of incarcerated persons will return to their communities upon release from prison

PURPOSE

The mission of Minnesota Department of Corrections (DOC) is to transform lives for a safer Minnesota. By successfully addressing the needs of the people served, the DOC provides the opportunity for redemption, and productive community reintegration. It is the goal of these services to advance public safety. To achieve the agency’s mission, strategies are prioritized to hold people accountable for the offenses they commit while providing them with the tools to become successful and contributing members of their communities. The DOC is committed to achieving justice through promotion of racial equity, reducing and repairing the harmful impacts of crime, and building community connectedness. The DOC serves men, women and youth in the state’s correctional facilities or on community supervision. No matter the custody status, transformative opportunities and the path to community reintegration are prioritized by the DOC starting the first day a person begins their sentence and throughout successful completion of their debt to society. The agency accomplishes its mission through the committed efforts of more than 4,000 employees.

BUDGET

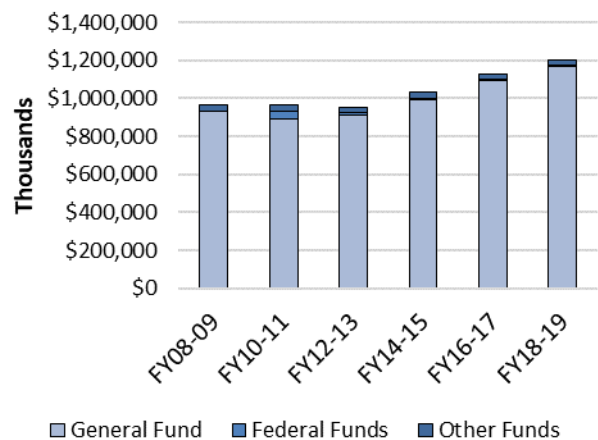
**Spending by Program
FY 2019 Actual**



More than 60% of community services spending is grant/subsidy pass-through funds to county corrections agencies for offender supervision.

Source: Budget Planning & Analysis System (BPAS)

Historical Spending



Federal funds for FY10-11 include \$38 million of one-time stabilization funds legislatively appropriated to supplant the general fund budget.

Source: Consolidated Fund Statement

Approximately 97% of the DOC's total biennial budget comes from the general fund. Special revenue funds represent over 2% of the budget and federal funds represent less than 1%.

STRATEGIES

Strategies to Achieve Mission

The DOC works to support and achieve established priorities and goals as it executes its mission of transforming lives for a safer Minnesota. The work of transforming lives is all about building connections, helping people become good neighbors when they return to their communities, and making Minnesota a great place for families and children.

The DOC implements several specific strategies including:

- Increasing the use of evidence-based practices in the rehabilitation of those who are in the department's care, including programming, treatment, education, and processes surrounding incarceration.
- Increasing the number of people released from prison who, within 30 days, obtain meaningful employment, enroll in educational programming, or actively engage in community-based treatment by 90 percent.
- Reducing the number of technical readmissions to prison by 70 percent overall, including for American Indians and Minnesotans of color, through effective use of community supervision and support services.
- Increasing the number of people in prison enrolled in full-time classroom college and enrolling 75 people in new pathways from DOC technical training to Minnesota State sponsored associate degrees.
- Transforming data collection and reporting process into a strategic and performance-based model, resulting in dynamic, real-time dashboards (internal and public facing) displaying accurate and predictive key performance indicators.
- Using the Department of Administration's Equity Select program.
- Training staff and encouraging the use of Targeted Group, Economically Disadvantaged, and Veteran Owned (TG/ED/VO) vendors whenever possible.

The DOC is focused on two priorities to achieve the mission of transforming lives: (1) Improving internal organizational culture and (2) Providing consistent delivery of exceptional public services. Several strategies support these priorities.

Improved internal organizational culture:

- Aligning the organization's structure to reflect and support the mission.
- Identifying leaders within the organization and providing support needed to help them become invested in this mission and lead the organization into the future.
- Protecting staff, those who are incarcerated, and clients under supervision from harm and injury.
- Increasing retention rates of employees by improving the onboarding process, developing supervisors' capacity for encouraging professional development, and increasing pride in working for the DOC.
- Hiring people to fill critical vacancies especially among corrections officers.
- Advancing equity and inclusion across the agency by retaining at least 75 percent of newly hired employees for at least two years and achieve this retention rate for American Indians, people of color, people who have a disability, and Veterans.
- Transforming the culture of local workplaces into creating more successful, positive, and healthier experiences for others by practicing servant leadership, using performance management, and engaging employees in decision-making.
- Empowering leaders, engaging front line staff, and fostering collaboration.

Consistent delivery of exceptional public services:

- Increasing engagement of the public, particularly those impacted by our programs, in creation and revision of our programs, policies, and decisions.
 - Significantly increasing the use of evidence-based practices in the rehabilitation of those who are incarcerated, on community supervision or probation; including programming, treatment, education, and processes surrounding incarceration.
 - Increasing the number of people released from prison who, within 30 days, obtain meaningful employment, enroll in educational programming, or actively engage in community-based treatment by 90 percent.
 - Reducing the number of technical readmissions to prison by 70 percent overall, including for American Indians and Minnesotans of color, through effective use of community supervision and support services.
 - Increasing the number of people in prison enrolled in full-time classroom college by 400 percent and enrolling 75 people in new pathways from DOC technical training to Minnesota State sponsored associate degrees.
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M.S. 241.01 (<https://www.revisor.mn.gov/statutes/cite/241.01>) provides the legal authority for the DOC.

Corrections

Agency Expenditure Overview

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
<u>Expenditures by Fund</u>						
1000 - General	571,036	594,537	595,373	645,626	627,089	626,941
1251 - COVID-19 Minnesota			2,235			
2000 - Restrict Misc Special Revenue	6,766	6,088	6,625	7,079	8,988	6,831
2001 - Other Misc Special Revenue	7,355	6,604	8,723	10,293	10,985	10,412
2403 - Gift	7	5	9	5	14	8
3000 - Federal	3,750	2,963	2,469	5,312	4,986	4,278
3010 - Coronavirus Relief			4,783	14,215		
4400 - Correctional Industries	58,385	48,696	47,222	43,205	44,643	45,189
6000 - Miscellaneous Agency	3,253	2,975	3,068	3,159	4,686	3,178
6001 - Social Welfare Agency	32,347	31,912	30,194	29,596	28,141	32,699
Total	682,898	693,779	700,700	758,490	729,532	729,536
Biennial Change				82,513		(122)
Biennial % Change				6		(0)
<u>Expenditures by Program</u>						
Correctional Institutions	520,150	526,989	530,579	581,977	555,471	556,793
Community Services	135,280	137,461	138,058	143,705	141,207	139,960
DOC Operations Support	27,468	29,330	32,063	32,808	32,854	32,783
Total	682,898	693,779	700,700	758,490	729,532	729,536
<u>Expenditures by Category</u>						
Compensation	389,446	405,897	424,469	443,489	430,380	430,589
Operating Expenses	168,445	162,207	157,871	201,794	188,396	183,207
Grants, Aids and Subsidies	121,401	119,373	115,870	108,835	107,099	112,133
Capital Outlay-Real Property	1,200	2,245	1,007	2,095	1,763	1,713
Other Financial Transaction	2,405	4,057	1,483	2,277	1,894	1,894
Total	682,898	693,779	700,700	758,490	729,532	729,536
Total Agency Expenditures	682,898	693,779	700,700	758,490	729,532	729,536
Internal Billing Expenditures	2,291	2,402	1,653	1,459	1,419	1,412
Expenditures Less Internal Billing	680,607	691,377	699,047	757,031	728,113	728,124

Corrections

Agency Expenditure Overview

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
<u>Full-Time Equivalents</u>	4,385.63	4,387.00	4,371.50	4,351.47	4,335.65	4,283.57

Corrections

Agency Financing by Fund

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
1000 - General						
Balance Forward In	0	16,698		14,778		
Direct Appropriation	584,866	588,153	611,127	632,104	628,629	628,630
Transfers In	27,137	37,045	15,673	11,412	10,943	10,944
Transfers Out	28,086	46,645	16,621	12,668	12,483	12,633
Cancellations	11	714	28			
Balance Forward Out	12,870		14,777			
Expenditures	571,036	594,537	595,373	645,626	627,089	626,941
Biennial Change in Expenditures				75,426		13,031
Biennial % Change in Expenditures				6		1
Full-Time Equivalents	4,068.64	4,074.20	4,064.69	4,076.08	4,062.23	4,015.81

1251 - COVID-19 Minnesota

Direct Appropriation			2,255			
Cancellations			20			
Expenditures			2,235			
Biennial Change in Expenditures				2,235		(2,235)
Biennial % Change in Expenditures						
Full-Time Equivalents			0.66			

2000 - Restrict Misc Special Revenue

Balance Forward In	5,468	4,885	5,564	6,130	5,955	3,576
Receipts	6,700	7,182	7,317	7,277	6,982	6,982
Transfers Out	512	457	369	373	373	373
Net Loan Activity	(135)	0	242	0	0	0
Balance Forward Out	4,754	5,522	6,129	5,955	3,576	3,354
Expenditures	6,766	6,088	6,625	7,079	8,988	6,831
Biennial Change in Expenditures				850		2,115
Biennial % Change in Expenditures				7		15
Full-Time Equivalents	50.49	54.43	55.96	55.96	55.96	55.96

2001 - Other Misc Special Revenue

Balance Forward In	8,392	9,369	10,937	9,323	6,846	3,677
Receipts	8,287	8,139	7,109	7,816	7,816	7,816

Corrections

Agency Financing by Fund

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
Internal Billing Receipts	2,272	2,397	1,651	1,845	1,845	1,845
Transfers Out	0	0				
Balance Forward Out	9,325	10,905	9,322	6,846	3,677	1,081
Expenditures	7,355	6,604	8,723	10,293	10,985	10,412
Biennial Change in Expenditures				5,058		2,381
Biennial % Change in Expenditures				36		13
Full-Time Equivalents	67.81	63.29	62.10	62.05	62.05	62.05

2403 - Gift

Balance Forward In	11	9	8	8	11	5
Receipts	5	4	8	8	8	8
Balance Forward Out	9	8	7	11	5	5
Expenditures	7	5	9	5	14	8
Biennial Change in Expenditures				2		8
Biennial % Change in Expenditures				16		61

3000 - Federal

Balance Forward In	6,301	6,002	6,256	6,536	4,194	2,080
Receipts	3,396	3,217	2,749	2,970	2,872	2,198
Balance Forward Out	5,947	6,256	6,536	4,194	2,080	
Expenditures	3,750	2,963	2,469	5,312	4,986	4,278
Biennial Change in Expenditures				1,068		1,483
Biennial % Change in Expenditures				16		19
Full-Time Equivalents	22.95	24.80	21.57	25.23	24.26	18.60

3010 - Coronavirus Relief

Balance Forward In				4,307		
Direct Appropriation			9,090	9,908	0	0
Balance Forward Out			4,307			
Expenditures			4,783	14,215		
Biennial Change in Expenditures				18,998		(18,998)
Biennial % Change in Expenditures						(100)

Corrections

Agency Financing by Fund

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
4400 - Correctional Industries						
Balance Forward In	13,154	9,630	13,145	10,557	11,832	11,669
Receipts	54,566	51,921	44,634	44,480	44,480	44,480
Transfers In		300				
Transfers Out		300				
Balance Forward Out	9,335	12,855	10,557	11,832	11,669	10,960
Expenditures	58,385	48,696	47,222	43,205	44,643	45,189
Biennial Change in Expenditures				(16,654)		(595)
Biennial % Change in Expenditures				(16)		(1)
Full-Time Equivalents	174.85	170.25	166.52	132.15	131.15	131.15

6000 - Miscellaneous Agency

Balance Forward In	4,507	4,264	4,318	4,667	4,686	3,178
Receipts	2,989	2,999	3,415	3,178	3,178	3,178
Balance Forward Out	4,243	4,287	4,665	4,686	3,178	3,178
Expenditures	3,253	2,975	3,068	3,159	4,686	3,178
Biennial Change in Expenditures				(1)		1,637
Biennial % Change in Expenditures				(0)		26
Full-Time Equivalents	0.89	0.03				

6001 - Social Welfare Agency

Balance Forward In	4,930	5,582	5,443	6,536	9,639	14,197
Receipts	32,998	31,771	31,285	32,699	32,699	32,699
Transfers Out	0					
Balance Forward Out	5,581	5,442	6,535	9,639	14,197	14,197
Expenditures	32,347	31,912	30,194	29,596	28,141	32,699
Biennial Change in Expenditures				(4,469)		1,050
Biennial % Change in Expenditures				(7)		2

Corrections

Agency Change Summary

(Dollars in Thousands)

	FY21	FY22	FY23	Biennium 2022-23
Direct				
Fund: 1000 - General				
FY2021 Appropriations	632,104	632,104	632,104	1,264,208
Base Adjustments				
All Other One-Time Appropriations		(7,500)	(7,500)	(15,000)
Current Law Base Change		1,451	1,452	2,903
Pension Allocation		2,574	2,574	5,148
Forecast Base	632,104	628,629	628,630	1,257,259
Fund: 3010 - Coronavirus Relief				
FY2021 Appropriations	9,908	9,908	9,908	19,816
Base Adjustments				
All Other One-Time Appropriations		(9,908)	(9,908)	(19,816)
Forecast Base	9,908	0	0	0
Dedicated				
Fund: 2000 - Restrict Misc Special Revenue				
Planned Spending	7,079	8,988	6,831	15,819
Forecast Base	7,079	8,988	6,831	15,819
Fund: 2001 - Other Misc Special Revenue				
Planned Spending	10,293	10,985	10,412	21,397
Forecast Base	10,293	10,985	10,412	21,397
Fund: 2403 - Gift				
Planned Spending	5	14	8	22
Forecast Base	5	14	8	22
Fund: 3000 - Federal				
Planned Spending	5,312	4,986	4,278	9,264
Forecast Base	5,312	4,986	4,278	9,264
Fund: 4400 - Correctional Industries				
Planned Spending	43,205	44,643	45,189	89,832
Forecast Base	43,205	44,643	45,189	89,832
Fund: 6000 - Miscellaneous Agency				
Planned Spending	3,159	4,686	3,178	7,864
Forecast Base	3,159	4,686	3,178	7,864

Corrections

Agency Change Summary

(Dollars in Thousands)

	FY21	FY22	FY23	Biennium 2022-23
Fund: 6001 - Social Welfare Agency				
Planned Spending	29,596	28,141	32,699	60,840
Forecast Base	29,596	28,141	32,699	60,840
 Revenue Change Summary				
Dedicated				
Fund: 2000 - Restrict Misc Special Revenue				
Forecast Revenues	7,277	6,982	6,982	13,964
Fund: 2001 - Other Misc Special Revenue				
Forecast Revenues	7,816	7,816	7,816	15,632
Fund: 2403 - Gift				
Forecast Revenues	8	8	8	16
Fund: 3000 - Federal				
Forecast Revenues	2,970	2,872	2,198	5,070
Fund: 4400 - Correctional Industries				
Forecast Revenues	44,480	44,480	44,480	88,960
Fund: 6000 - Miscellaneous Agency				
Forecast Revenues	3,178	3,178	3,178	6,356
Fund: 6001 - Social Welfare Agency				
Forecast Revenues	32,699	32,699	32,699	65,398
 Non-Dedicated				
Fund: 1000 - General				
Forecast Revenues	10,677	10,677	10,677	21,354

Program: Correctional Institutionsdoc.state.mn.us**AT A GLANCE**

- 10 correctional facilities statewide
- More than 3,600 employees
- Approximately 8,000 incarcerated adults and juveniles in state correctional facilities
- 74% of released offenders do not return to prison for a new conviction

PURPOSE AND CONTEXT

The correctional institutions program plays the critical role of providing a safe and secure environment for the provision of transformational services to the men, women and youth committed to the custody and care of the commissioner of corrections. The Department of Corrections (DOC) provides transformative opportunities to advance community safety by promoting community reintegration upon release, including educational, treatment and work programming. Individual transformative strategies are prioritized and evaluated by the DOC starting on the first day a person begins their sentence. Approximately 15,000 individuals are served through the cycle of intake and release each year. The program is funded primarily by the general fund. Federal funds used to support educational activities represent less than 1.5 percent of the program's total operating budget.

SERVICES PROVIDED

The correctional institutions program accomplishes its purpose through:

- The provision of food, clothing, secure housing and adequate living conditions;
- The provision of medical care and behavioral health services;
- The use of policy management, internal controls, incident command responses, security rounds, preventive maintenance, safety inspections and technology systems to help ensure a safe work/living environment;
- The promotion of racial equity;
- The development and use of comprehensive person-centered assessments to guide program planning and offender placement priorities;
- The provision of evidence-based practices for the rehabilitation of those in DOC custody, including treatment, mental health, medical, education and vocational programming that improves individual success;
- Use of organizational and practitioner level performance-management systems focused on successful outcomes and barriers to success;
- The use of research-based transition services and comprehensive release planning; and
- The appropriate use of disciplinary sanctions and investigations of offender criminal activity.

Specific services provided by this program include health care, treatment, education and work programming, population management, classification, transportation, food services, offender property management, workplace safety, physical plant maintenance, asset preservation, criminal investigation, intelligence gathering and fugitive apprehension. The program also manages MINNCOR as a self-sufficient prison industries operation providing incarcerated persons with needed work experience, learning opportunities, and the opportunity to advance.

RESULTS

<i>Type of Measure</i>	<i>Name of Measure</i>	<i>Previous</i>	<i>Current</i>	<i>Dates</i>
Quality	Escapes from secure facilities	0	0	2013-2018
Results	3-year adult recidivism rate: Reconviction with a new felony	35%	38%	2012-2017
Results	3-year adult recidivism rate: Reconviction and reincarceration	25%	26%	2012-2017
Quality	Percentage of fugitive level 3 sex offenders apprehended within 72 hours	98%	99.5%	2013-2018
Quantity	Number of incarcerated individuals enrolled in educational programming	8,819	6,963	2013-2018

The DOC's most recent performance report is located at this link:

https://mn.gov/doc/assets/2018%20DOC%20Performance%20Report_tcm1089-366101.pdf

Minnesota Statutes 241 to 244 (<https://www.revisor.mn.gov/statutes/part/CORRECTIONS>) provide the legal authority for the DOC.

Correctional Institutions

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
<u>Expenditures by Fund</u>						
1000 - General	416,260	434,284	435,040	480,209	464,216	464,068
1251 - COVID-19 Minnesota			1,985			
2000 - Restrict Misc Special Revenue	1,994	1,684	1,797	2,514	3,843	2,266
2001 - Other Misc Special Revenue	6,693	6,456	5,636	6,837	7,098	6,596
2403 - Gift	7	5	9	5	14	8
3000 - Federal	2,310	1,987	1,953	4,343	4,023	3,953
3010 - Coronavirus Relief			4,667	13,275		
4400 - Correctional Industries	58,385	48,696	47,222	43,205	44,643	45,189
6000 - Miscellaneous Agency	3,253	2,975	3,068	3,159	4,686	3,178
6001 - Social Welfare Agency	31,249	30,901	29,203	28,430	26,948	31,535
Total	520,150	526,989	530,579	581,977	555,471	556,793
Biennial Change				65,418		(292)
Biennial % Change				6		(0)

Expenditures by Activity

Corr Institutn-Special Revenue					202	200
MCF-St. Cloud	34,145	36,797	35,776	39,018	38,250	38,423
MCF-Stillwater	47,185	48,744	49,031	52,465	52,251	52,186
MCF-Lino Lakes	36,008	37,311	37,894	39,513	39,518	39,632
MCF-Oak Park Heights	24,893	25,979	26,556	29,477	29,422	29,417
MCF-Moose Lake	32,957	34,279	33,637	35,843	35,661	35,984
MCF-Faribault	51,590	53,515	52,672	55,759	56,681	56,186
MCF-Willow River-CIP	5,467	5,771	5,521	6,012	5,899	5,888
MCF-Rush City	31,968	34,073	32,893	34,658	34,959	35,621
MCF - TOGO - CIP MALE	4,882	5,273	5,198	5,584	5,548	5,591
MCF-Shakopee	19,385	20,813	20,234	21,349	20,219	21,262
MCF - SHAKOPEE - CIP	1,234	1,238	1,263	1,372	1,335	1,335
MCF-Red Wing	13,552	14,240	14,772	15,416	15,260	15,252
MCF-Togo	171				3	3
Education	16,552	17,779	17,878	17,834	17,814	17,509
Health Services-Corrections	92,733	90,962	93,192	101,502	99,934	99,718
Institution Support Services	38,144	38,728	45,911	69,905	46,400	45,438

Correctional Institutions

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
Office of Special Investigations	6,516	7,005	6,675	7,379	6,948	6,942
Transportation	2,411	3,629	2,452	2,909	2,762	2,762
Safety	1,234	1,396	1,286	1,051	1,000	1,000
Ombudsperson for Corrections			101	1,208	655	655
Correctional Industries	59,124	49,456	47,636	43,723	44,750	45,789
Total	520,150	526,989	530,579	581,977	555,471	556,793

Expenditures by Category

Compensation	331,987	344,676	360,759	380,235	368,193	368,670
Operating Expenses	139,895	133,172	127,970	158,977	146,964	142,826
Grants, Aids and Subsidies	44,949	43,226	39,591	38,480	36,744	41,777
Capital Outlay-Real Property	1,199	2,241	1,006	2,095	1,763	1,713
Other Financial Transaction	2,119	3,674	1,253	2,190	1,807	1,807
Total	520,150	526,989	530,579	581,977	555,471	556,793

Total Agency Expenditures	520,150	526,989	530,579	581,977	555,471	556,793
Internal Billing Expenditures	2,194	2,109	1,513	1,226	1,204	1,202
Expenditures Less Internal Billing	517,955	524,879	529,066	580,751	554,267	555,591

Full-Time Equivalent

	3,739.86	3,738.98	3,711.94	3,696.32	3,662.98	3,624.03
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Correctional Institutions

Program Financing by Fund

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
1000 - General						
Balance Forward In	0	13,780		13,187		
Direct Appropriation	427,666	429,575	449,098	468,182	465,660	465,661
Transfers In	15,078	28,736	11,522	11,318	10,861	10,862
Transfers Out	16,276	37,410	12,393	12,478	12,305	12,455
Cancellations	5	398				
Balance Forward Out	10,204		13,187			
Expenditures	416,260	434,284	435,040	480,209	464,216	464,068
Biennial Change in Expenditures				64,705		13,035
Biennial % Change in Expenditures				8		1
Full-Time Equivalents	3,478.52	3,484.84	3,462.92	3,481.75	3,450.38	3,411.43

1251 - COVID-19 Minnesota

Direct Appropriation			2,005			
Cancellations			20			
Expenditures			1,985			
Biennial Change in Expenditures				1,985		(1,985)
Biennial % Change in Expenditures						
Full-Time Equivalents			0.66			

2000 - Restrict Misc Special Revenue

Balance Forward In	2,618	2,761	3,130	4,199	4,024	2,225
Receipts	2,620	2,502	3,234	2,712	2,417	2,417
Transfers Out	512	457	369	373	373	373
Balance Forward Out	2,731	3,122	4,198	4,024	2,225	2,003
Expenditures	1,994	1,684	1,797	2,514	3,843	2,266
Biennial Change in Expenditures				633		1,798
Biennial % Change in Expenditures				17		42
Full-Time Equivalents	1.50	3.76	6.61	6.61	6.61	6.61

2001 - Other Misc Special Revenue

Balance Forward In	1,667	1,670	1,571	1,824	1,583	1,081
Receipts	6,662	6,325	5,888	6,596	6,596	6,596
Internal Billing Receipts	647	582	431	625	625	625

Correctional Institutions

Program Financing by Fund

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
Transfers Out	0	0				
Balance Forward Out	1,636	1,539	1,824	1,583	1,081	1,081
Expenditures	6,693	6,456	5,636	6,837	7,098	6,596
Biennial Change in Expenditures				(676)		1,221
Biennial % Change in Expenditures				(5)		10
Full-Time Equivalents	66.85	61.29	58.88	58.83	58.83	58.83

2403 - Gift

Balance Forward In	11	9	8	8	11	5
Receipts	5	4	8	8	8	8
Balance Forward Out	9	8	7	11	5	5
Expenditures	7	5	9	5	14	8
Biennial Change in Expenditures				2		8
Biennial % Change in Expenditures				16		61

3000 - Federal

Balance Forward In	6,301	6,002	6,256	6,525	4,194	2,080
Receipts	1,956	2,242	2,222	2,012	1,909	1,873
Balance Forward Out	5,947	6,256	6,525	4,194	2,080	
Expenditures	2,310	1,987	1,953	4,343	4,023	3,953
Biennial Change in Expenditures				1,999		1,680
Biennial % Change in Expenditures				47		27
Full-Time Equivalents	17.25	18.81	16.35	16.98	16.01	16.01

3010 - Coronavirus Relief

Balance Forward In				3,367		
Direct Appropriation			8,034	9,908	0	0
Balance Forward Out			3,367			
Expenditures			4,667	13,275		
Biennial Change in Expenditures				17,942		(17,942)
Biennial % Change in Expenditures						(100)

4400 - Correctional Industries

Correctional Institutions

Program Financing by Fund

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
Balance Forward In	13,154	9,630	13,145	10,557	11,832	11,669
Receipts	54,566	51,921	44,634	44,480	44,480	44,480
Transfers In		300				
Transfers Out		300				
Balance Forward Out	9,335	12,855	10,557	11,832	11,669	10,960
Expenditures	58,385	48,696	47,222	43,205	44,643	45,189
Biennial Change in Expenditures				(16,654)		(595)
Biennial % Change in Expenditures				(16)		(1)
Full-Time Equivalents	174.85	170.25	166.52	132.15	131.15	131.15

6000 - Miscellaneous Agency

Balance Forward In	4,507	4,264	4,318	4,667	4,686	3,178
Receipts	2,989	2,999	3,415	3,178	3,178	3,178
Balance Forward Out	4,243	4,287	4,665	4,686	3,178	3,178
Expenditures	3,253	2,975	3,068	3,159	4,686	3,178
Biennial Change in Expenditures				(1)		1,637
Biennial % Change in Expenditures				(0)		26
Full-Time Equivalents	0.89	0.03				

6001 - Social Welfare Agency

Balance Forward In	4,843	5,491	5,291	6,439	9,544	14,131
Receipts	31,895	30,699	30,350	31,535	31,535	31,535
Transfers Out	0					
Balance Forward Out	5,489	5,289	6,438	9,544	14,131	14,131
Expenditures	31,249	30,901	29,203	28,430	26,948	31,535
Biennial Change in Expenditures				(4,517)		850
Biennial % Change in Expenditures				(7)		1

Program: Community Servicesdoc.state.mn.us**AT A GLANCE**

- The DOC serves approximately 20,000 individuals on community supervision including
 - Adult felony supervision in 53 counties
 - Adult misdemeanor and juvenile probation in 28 counties
 - Intensive supervised release (ISR) supervision in 75 counties
 - Intensive supervision to Challenge Incarceration Program (CIP) offenders in 82 counties
- County correctional agencies supervise more than 90,000 people
- Risk assessment and community notification of more than 9,400 sex offenders
- Inspect and license 236 local and out-of-state facilities
- State and county offender work crews benefit local communities

PURPOSE AND CONTEXT

The community services program works to transform lives for a safer Minnesota through community case management, problem-solving, and effective supervision of men, women and youth across the state. The DOC implements strategies that provide both support and accountability to those subject to supervision. The agency's probation and supervised release agents hold system-involved people accountable for the offenses they committed, while providing them with the tools to become successful and contributing members of their communities. The community services program serves more than 110,000 people on community supervision statewide, their victims, the courts, and local law enforcement agencies. The program is funded primarily by the general fund, with approximately 59% of the budget designated as pass-through to help fund county/community-based correctional services.

SERVICES PROVIDED

The community services program engages in the following activities to accomplish its purpose:

- Provide opportunity for redemption and productive community reintegration;
- Use evidence-based practices in the rehabilitation of those under community supervision or probation, including employment, treatment and health care directives, housing, pursuit of educational opportunities, and other processes;
- Provide investigative services, reports and recommendation to the courts and the Hearings and Release Unit;
- Assess the risk levels and needs of released individuals, and place them on proper supervision caseloads;
- Provide pre-release classes and assist with housing, employment and access to transformative support services;
- Incorporate research-based strategies including cognitive-based programming and motivational interviewing;
- Collaborate with local agencies and providers to help those placed on community supervision with mental health, domestic violence prevention, chemical dependency treatment, housing and employment needs;
- Collect and distribute address and employment information for predatory offenders requiring community notification and participate in community notification meetings;
- Promote racial equality;

- Build community connectedness;
- Refer potential civil commitment cases to county attorneys;
- Use community-based programs (Sentencing to Service crews, Institution Community Work Crews, Challenge Incarceration Programs and work release programming) to manage lower-risk cases, reduce the need for prison beds, respond to natural disasters and complete community work projects;
- Provide services that ensure the rights and needs of victims are met; and
- Inspect and license local correctional facilities and certify sex offender treatment programs.

Other specific services provided by this program include juvenile justice reform, management of supervision contracts, compliance with conditions of release, payment of financial restitution orders and due process offender hearings. The program manages pass-through and other funds appropriated for subsidies, grants, contracts and reimbursements. Program staff administer interstate compacts that allow for the controlled movement of offenders between states and provide victims with notification and other services.

RESULTS

<i>Type of Measure</i>	<i>Name of Measure</i>	<i>Previous</i>	<i>Current</i>	<i>Dates</i>
Results	3-year adult recidivism rate: Reconviction with a new felony	35%	38%	2012-2017
Results	3-year adult recidivism rate: Reconviction and reincarceration	25%	26%	2012-2017
Quantity	Number of incarcerated individuals who completed pre-release planning	3,768	4,482	2013-2018
Quantity	Number of hours worked by Institution/ Community Work Crews (ICWC)	116,300	158,174	2013-2018
Quality	Estimated market value of ICWC hours @ \$11 per hour	\$1,268,588	\$1,739,914	2013-2018

The DOC's most recent performance report is located at this link:

https://mn.gov/doc/assets/2018%20DOC%20Performance%20Report_tcm1089-366101.pdf

Minnesota Statutes 241 to 244 (<https://www.revisor.mn.gov/statutes/part/CORRECTIONS>) provide the legal authority for the DOC.

Community Services

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
<u>Expenditures by Fund</u>						
1000 - General	128,025	131,212	131,419	136,065	133,906	133,906
1251 - COVID-19 Minnesota			200			
2000 - Restrict Misc Special Revenue	4,771	4,305	4,828	4,565	5,145	4,565
2403 - Gift	0					
3000 - Federal	1,386	934	516	969	963	325
3010 - Coronavirus Relief			104	940		
6001 - Social Welfare Agency	1,097	1,011	990	1,166	1,193	1,164
Total	135,280	137,461	138,058	143,705	141,207	139,960
Biennial Change				9,022		(596)
Biennial % Change				3		(0)

Expenditures by Activity

Probation & Supervised Release	25,921	27,765	29,299	28,118	28,223	28,223
Special Supervision	7,708	8,142	7,947	7,798	7,823	7,823
Sentencing to Service	4,450	3,890	3,733	3,764	3,805	3,767
Reentry Services	1,815	2,768	1,798	3,407	3,173	3,048
Work Release	6,218	5,877	5,858	6,576	6,579	6,580
Instn Comm Svcs Work Crews	2,742	2,878	2,972	2,800	3,299	2,800
Risk Assess/Comm Notification	1,855	2,008	2,131	2,206	2,216	2,216
Facilities Planning & Inspection	917	964	926	939	985	942
Grants/Subsidy/Offender Suprt	79,080	77,990	78,189	78,892	78,676	78,676
Community Support Services	1,582	1,844	2,082	6,359	3,563	3,291
Hearings and Release	1,931	2,066	2,160	2,115	2,124	2,124
Victim Assist/Restorative Just	1,062	1,268	963	731	741	470
Total	135,280	137,461	138,058	143,705	141,207	139,960

Expenditures by Category

Compensation	42,205	44,586	46,235	46,068	45,312	45,044
Operating Expenses	16,430	16,493	15,349	27,234	25,492	24,512
Grants, Aids and Subsidies	76,452	76,147	76,279	70,355	70,355	70,356
Capital Outlay-Real Property	1	1	1			
Other Financial Transaction	193	233	194	48	48	48

Community Services

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
Total	135,280	137,461	138,058	143,705	141,207	139,960
Total Agency Expenditures	135,280	137,461	138,058	143,705	141,207	139,960
Internal Billing Expenditures	97	293	140	233	215	210
Expenditures Less Internal Billing	135,183	137,168	137,918	143,472	140,992	139,750
 <u>Full-Time Equivalents</u>	 477.30	 479.51	 484.55	 485.64	 502.38	 492.47

Community Services

Program Financing by Fund

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
1000 - General						
Balance Forward In		1,912		1,517		
Direct Appropriation	129,499	130,413	132,965	134,548	133,906	133,906
Transfers In	4,142	6,401	3,401			
Transfers Out	3,904	7,201	3,401			
Cancellations	6	314	28			
Balance Forward Out	1,706		1,517			
Expenditures	128,025	131,212	131,419	136,065	133,906	133,906
Biennial Change in Expenditures				8,247		328
Biennial % Change in Expenditures				3		0
Full-Time Equivalents	422.61	422.85	429.98	428.04	444.78	440.53

1251 - COVID-19 Minnesota

Direct Appropriation			200			
Expenditures			200			
Biennial Change in Expenditures				200		(200)
Biennial % Change in Expenditures						

2000 - Restrict Misc Special Revenue

Balance Forward In	2,850	2,025	2,434	1,931	1,931	1,351
Receipts	3,980	4,680	4,083	4,565	4,565	4,565
Net Loan Activity	(135)	0	242	0	0	0
Balance Forward Out	1,923	2,400	1,931	1,931	1,351	1,351
Expenditures	4,771	4,305	4,828	4,565	5,145	4,565
Biennial Change in Expenditures				317		317
Biennial % Change in Expenditures				3		3
Full-Time Equivalents	48.99	50.67	49.35	49.35	49.35	49.35

2403 - Gift

Receipts	0					
Expenditures	0					
Biennial Change in Expenditures				0		0
Biennial % Change in Expenditures						

Community Services

Program Financing by Fund

(Dollars in Thousands)

	Actual	Actual	Actual	Estimate	Forecast Base	
	FY18	FY19	FY20	FY21	FY22	FY23

3000 - Federal

Balance Forward In				11		
Receipts	1,386	934	527	958	963	325
Balance Forward Out			11			
Expenditures	1,386	934	516	969	963	325
Biennial Change in Expenditures				(834)		(197)
Biennial % Change in Expenditures				(36)		(13)
Full-Time Equivalents	5.70	5.99	5.22	8.25	8.25	2.59

3010 - Coronavirus Relief

Balance Forward In				940		
Direct Appropriation			1,044			
Balance Forward Out			940			
Expenditures			104	940		
Biennial Change in Expenditures				1,044		(1,044)
Biennial % Change in Expenditures						(100)

6001 - Social Welfare Agency

Balance Forward In	86	91	152	97	95	66
Receipts	1,103	1,072	935	1,164	1,164	1,164
Balance Forward Out	91	152	97	95	66	66
Expenditures	1,097	1,011	990	1,166	1,193	1,164
Biennial Change in Expenditures				48		201
Biennial % Change in Expenditures				2		9

Program: Operations Supportdoc.state.mn.us**AT A GLANCE**

- Provision of services to
 - More than 4,300 employees
 - More than 30,000 people in state correctional facilities or on community supervision
- Employees represented by nine bargaining units/plans
- Employees are located at central office and across the state at 10 correctional institutions and 44 field offices
- Centralized/regionalized services
- Shared management

PURPOSE AND CONTEXT

The operations support program formulates organizational mission activities, internal accountability, and major policies for the Department of Corrections (DOC). The program provides leadership and support services that assist all programs in achieving the agency's mission to transform lives for a safer Minnesota. Customers include all DOC employees, the incarcerated population, individuals on community supervision, victims, state and local agencies, the Legislature and citizens. Additionally, the human resources and financial services units partner with and provide limited services to the Minnesota Sentencing Guidelines Commission, Ombudsman's Office for Corrections, and the Bureau of Medication Services. The program represents less than five percent of the total DOC operating budget and is funded entirely by the general fund.

SERVICES PROVIDED

The operations support program employs the following strategies to accomplish its purpose:

- Promote the mission and values of the agency through executive leadership, policy development, strategic planning, implementing evidence-based practices, and internal and external communications;
- Promote racial equity, and advance equity and inclusion across the agency;
- Align the organizational structure to reflect and support the agency's mission;
- Provide statutorily required services in the areas of finance, human resources, legal services, offender records administration and employee development;
- Use best and current practices to manage information technology, planning and performance, and compliance functions;
- Transform data collection and reporting processes into a strategic and performance-based model that is both dynamic and intuitive;
- Hire and maintain a high-quality and diverse workforce;
- Conduct business activities with integrity and transparency;
- Use established procurement processes that maximize the selection of diverse vendors and contractors;
- Safeguard the state's assets and comply with federal and state regulations and agency policies through compliance with established procedures and sound internal controls; and
- Manage offender information through integrated systems in partnership with the courts, state agencies, and state and local law enforcement agencies.

Other specific services provided by this program include budget management, financial transactions, financial reporting and analysis, procurement and inventory functions, offender banking services, internal audit, asset and property management, recruitment and selection, job classification, salary and benefit administration, labor contract negotiations, pre-service and in-service training, mail processing, offender records management, legal representation, and responding to public data and media requests. The operations support program partners with MN.IT and other stakeholders to ensure continual operation and/or accessibility to internal and external technology systems and to provide help-desk support. The planning and performance unit conducts research and evaluation projects, prepares legislative reports, coordinates implementation of evidence-based practices, coordinates strategic planning activities and projects prison population. The program is also responsible for business continuity and risk management plans.

RESULTS

<i>Type of Measure</i>	<i>Name of Measure</i>	<i>Previous</i>	<i>Current</i>	<i>Dates</i>
Results	3-year adult recidivism rate: Reconviction with a new felony	35%	38%	2012-2017
Results	3-year adult recidivism rate: Reconviction and reincarceration	25%	26%	2012-2017
Results	Workers' compensation claim incident rate per 100 full-time employees	5.1%	3.8%	2012-2017

The DOC's most recent performance report is located at this link:

https://mn.gov/doc/assets/2018%20DOC%20Performance%20Report_tcm1089-366101.pdf

Minnesota Statutes 241 to 244 <https://www.revisor.mn.gov/statutes/part/CORRECTIONS> provide the legal authority for the DOC.

DOC Operations Support

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
<u>Expenditures by Fund</u>						
1000 - General	26,751	29,041	28,913	29,352	28,967	28,967
1251 - COVID-19 Minnesota			50			
2000 - Restrict Misc Special Revenue	1	99				
2001 - Other Misc Special Revenue	662	148	3,087	3,456	3,887	3,816
3000 - Federal	54	42				
3010 - Coronavirus Relief			12			
Total	27,468	29,330	32,063	32,808	32,854	32,783
Biennial Change				8,073		766
Biennial % Change				14		1

Expenditures by Activity

Employee Development	2,248	2,260	2,481	1,546	1,551	1,551
Financial Services	4,733	5,408	5,115	5,781	5,800	5,800
Human Resources	4,335	4,399	4,728	4,588	4,600	4,600
Information and Technology	1,641	9,371	9,446	9,986	9,957	9,957
Office Services	620	682	699	652	652	652
Operations Support Services	10,887	3,948	6,625	7,327	7,368	7,297
Policy and Legal Services	1,475	1,374	1,374	1,503	1,499	1,499
Planning and Performance	1,028	1,295	916	785	785	785
Offender Records	501	593	679	640	642	642
Total	27,468	29,330	32,063	32,808	32,854	32,783

Expenditures by Category

Compensation	15,255	16,635	17,475	17,186	16,875	16,875
Operating Expenses	12,120	12,543	14,552	15,583	15,940	15,869
Capital Outlay-Real Property		3				
Other Financial Transaction	93	149	36	39	39	39
Total	27,468	29,330	32,063	32,808	32,854	32,783

Full-Time Equivalent

	168.47	168.51	175.01	169.51	170.29	167.07
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DOC Operations Support

Program Financing by Fund

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
1000 - General						
Balance Forward In		1,005		74		
Direct Appropriation	27,701	28,165	29,064	29,374	29,063	29,063
Transfers In	7,917	1,907	750	94	82	82
Transfers Out	7,907	2,034	827	190	178	178
Cancellations		2				
Balance Forward Out	960		74			
Expenditures	26,751	29,041	28,913	29,352	28,967	28,967
Biennial Change in Expenditures				2,473		(331)
Biennial % Change in Expenditures				4		(1)
Full-Time Equivalents	167.51	166.51	171.79	166.29	167.07	163.85

1251 - COVID-19 Minnesota

Direct Appropriation			50			
Expenditures			50			
Biennial Change in Expenditures				50		(50)
Biennial % Change in Expenditures						

2000 - Restrict Misc Special Revenue

Balance Forward In		99				
Receipts	100					
Balance Forward Out	99					
Expenditures	1	99				
Biennial Change in Expenditures				(100)		0
Biennial % Change in Expenditures				(100)		

2001 - Other Misc Special Revenue

Balance Forward In	6,725	7,699	9,365	7,499	5,263	2,596
Receipts	1,625	1,814	1,220	1,220	1,220	1,220
Internal Billing Receipts	1,625	1,814	1,220	1,220	1,220	1,220
Balance Forward Out	7,689	9,365	7,499	5,263	2,596	
Expenditures	662	148	3,087	3,456	3,887	3,816
Biennial Change in Expenditures				5,734		1,160
Biennial % Change in Expenditures				708		18

DOC Operations Support

Program Financing by Fund

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
Full-Time Equivalents	0.96	2.00	3.22	3.22	3.22	3.22

3000 - Federal

Receipts	54	42				
Expenditures	54	42				
Biennial Change in Expenditures				(96)		0
Biennial % Change in Expenditures				(100)		

3010 - Coronavirus Relief

Direct Appropriation			12			
Expenditures			12			
Biennial Change in Expenditures				12		(12)
Biennial % Change in Expenditures						