



Performance Report 2020





Walz-Flanagan Cabinet

8,330

Incarcerated population (425 housed in non-DOC facilities)

19,413

Number on supervision

75%

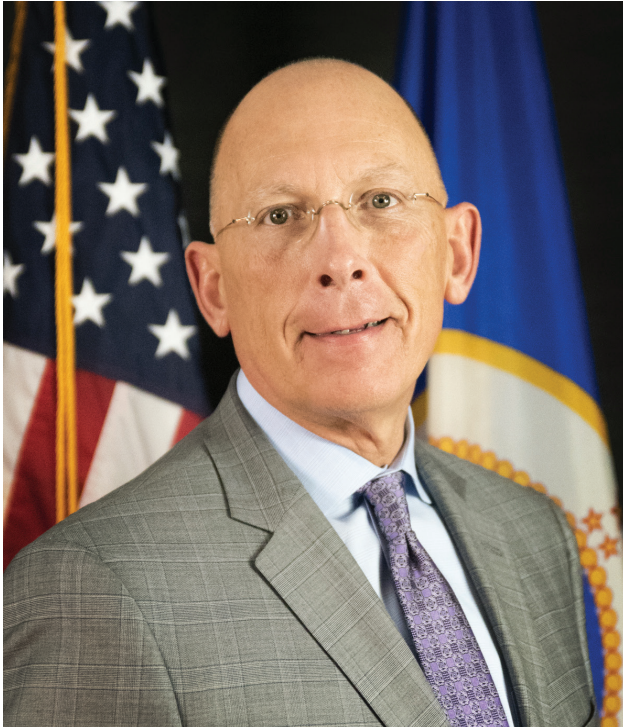
Incarcerated individuals who do not return to prison

About the Department of Corrections Performance Report



The Minnesota Department of Corrections (DOC), under Minnesota Statute, submits a performance report to the chairs and ranking minority members of the Senate and House of Representatives committees as well as divisions having jurisdiction over criminal justice funding by January 15 of each odd-numbered year.

Executive Leadership:



Commissioner Paul Schnell

Vision: Achieving justice through promotion of racial equity, restoration from harm, and community connectedness.

Mission: Transforming lives for a safer Minnesota



Curtis Shanklin

Deputy Commissioner: Reintegration & Restorative Services



Michelle Smith

Deputy Commissioner: Facility Safety & Security Unit



Brian Collins

Assistant Commissioner: Orientation, Assessment, and Program Planning Unit



Nanette Larson

Assistant Commissioner: Health, Recovery, & Programs Unit



Marcus Schmit

Assistant Commissioner: Organizational & Regulatory Services Unit



Daniel Karpowitz

Assistant Commissioner: Research, Planning & Organizational Performance Measurement Unit



*Employee Recognition Award winner Kaitlin Barry
Outstanding Individual Performance*



*Minnesota Correctional Association Award winner Antonio Espinosa
Person of the Year*

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Section 1: DOC Overview



The Minnesota Department of Corrections operates ten facilities.

FACILITY POPULATION	7/1/2020 Population
Faribault	1,699
Lino Lakes	1,114
Moose Lake	930
Oak Park Heights	377
Red Wing Adults	34
Rush City	955
St. Cloud	817
Stillwater	1,366
Togo Challenge Incarceration Program (CIP)	66
Willow River CIP	80
Total Male	7,438
Shakopee	467
Total Female	467
TOTAL Adult Facility	7,905
Housed in non-DOC contract facilities	425
TOTAL Adult Incarcerated Population	8,330

INDIVIDUALS UNDER DOC COMMUNITY SUPERVISION		7/1/2020	
Adult probation		15,448	
Adult community supervision		2,681	
Adult other		518	
Adult Total		18,647	
Juvenile probation		654	
Juvenile other		112	
Juvenile Total		766	
TOTAL ADULT AND JUVENILE		19,413	

TOTAL INDIVIDUALS SUPERVISED BY DOC IN THE COMMUNITY THROUGHOUT FY20			
	Starting	Opened	Total
Adult	19,509	12,112	31,621
Juvenile	1,022	1,120	2,142
			33,763

Risk Assessment

While people are incarcerated in the department's correctional facilities, a Minnesota Screening Tool Assessing Recidivism Risk (MnSTARR) is conducted at intake, annually, 130 days pre-release, and on the day of release. If an individual scores high or very high on the MnSTARR, a Level of Service/Case Management Inventory (LS/CMI) is completed to assess for criminogenic needs to be addressed during incarceration. Case plans may be started on targeted higher risk individuals.

In the field, supervision agents complete LS/CMI assessments to address both risk and need of probationers and supervised releases. Case plans may be started on targeted, higher risk individuals.

Sanctions Conferences

Supervised individuals who violate technical conditions of supervision may be offered the opportunity to participate in a sanctions conference in lieu of a formal revocation proceeding. The agent must believe that the nature of the violation and incarcerated individual's overall adjustment does not require a formal revocation proceeding, and that the conditions imposed by the sanctions conference aid in their rehabilitation. The sentencing judge must also agree to the sanctions conference, including additional conditions imposed as a result of the conference. The number of sanctions conferences has increased in the past biennium. Benefits include immediate addressing of violations, and avoiding the use of prison beds for lower-level violations.

SANCTIONS CONFERENCES	FY15	FY16	FY17	FY18	FY19	FY20
Probation	401	357	437	418	455	368

Facility Work and Programming

Programming is an integral part of preparing those incarcerated for eventual release to the community. Programming also reduces idleness and contributes to the safety of correctional facilities. Incarcerated individuals are classified as involved in programming if they are not on idle status. Idle status is assigned to those who refuse to work or participate in programming, or those recently terminated from an assignment.

INDIVIDUALS ASSIGNED	FY15	FY16	FY17	FY18	FY19	FY20
	76%	77%	75%	74%	73%	71%



Recidivism

In Minnesota, adult recidivism is calculated based on a three-year follow-up period after release from prison. In the most recent data, 63 percent of formerly incarcerated remain free of felony convictions for three years post-release. The felony reconviction and reincarceration rate was 25 percent in FY18 and FY19.

3-year adult recidivism rate	Reconviction with new felony	Reconviction and reincarceration
FY14	36%	26%
FY15	36%	25%
FY16	38%	26%
FY17	38%	26%
FY18	38%	25%
FY19	37%	25%





Section 2: Restitution



The department holds incarcerated individuals accountable to their obligations and assists victims in collecting restitution.

Restitution

Restitution is the money a court orders an incarcerated individual to pay to a victim to compensate for damages related to a crime, such as property loss or damage, out-of-pocket medical or mental health treatment, participation in justice processes, and funeral costs.

Restitution is part of an individual's criminal sentence and can help a victim feel the criminal justice system is working on their behalf to ensure just compensation for losses. Restitution also helps hold individuals accountable for the crime he or she committed.

RESTITUTION ORDERS		
Individuals in adult institutions with restitution orders on July 1, 2020	3,765	47.6%
Individuals in adult institutions who paid restitution during FY20	7,886	57.2%
Adult individuals on DOC community supervision with restitution orders on July 1, 2020	3,562	19.1%

Restitution Paid by Discharge

In FY20, sixty percent of incarcerated individuals on felony-level supervision with DOC agents paid restitution in full by discharge, according to department data systems. There has been an increasing use of revenue recapture by probation agencies in recent years, which can result in the collection of more restitution, but restitution collected in this manner is not reflected in the data gathered by the department at this time.

RESTITUTION PAID BY DISCHARGE	FY15	FY16	FY17	FY18	FY19	FY20
	61%	63%	53%	53%	53%	60%

Child Support

The majority of those incarcerated have limited ability to pay child support during their incarceration. Resources are provided to educate and navigate child support and paternity issues so they are less of a barrier upon release. In a random sample of 100 individuals released in calendar year 2017 and who did not return to prison, it was found that 83 percent were compliant with the current child support order and 91 percent had initiated contact with at least one of their child support county offices after their release.

CHILD SUPPORT	2017 Release Cohort
Releasees compliant with child support order after release	83%
Releasees who initiated contact with child support officials after release	91%

Escapes

The mission of the DOC is transforming lives for a safer Minnesota. Ensuring those incarcerated remain securely confined is central to this mission and a primary responsibility of DOC employees. Wardens and managers create policies and procedures governing orderly movement and other activities of individuals throughout the institutions.

Facility line staff such as corrections officers and caseworkers enforce these policies and conduct regular security rounds, and staff from the Office of Special Investigations (OSI) thwart escapes and other illegal behavior through the systematic gathering of intelligence on inmate activities.

Through the proactive efforts of these employees and many others, the DOC has not had an escape from a secure facility in many years.

ESCAPES FROM SECURE FACILITIES	
FY15	0
FY16	0
FY17	0
FY18	0
FY19	0
FY20	0
TOTAL	
	0

Fugitive Apprehension

The DOC's Fugitive Apprehension Unit, designated by statute as a law enforcement agency, locates and arrests DOC-warranted fugitives. Priority is given to apprehending warranted fugitives who committed serious person offenses (e.g., Level 3 sex offenders and those with loss-of-life convictions).

The DOC tracks data on the percentage of fugitive Level 3 sex offenders apprehended within 72 hours of absconding. While the number of Level 3 sex offender warrants issued has increased from 66 in FY09 to 189 in FY19, a high apprehension rate (between 93 and 99.5 percent in each of the past six fiscal years) has been maintained, due to the cooperative work between the Fugitive Apprehension Unit and other state, county, and municipal law enforcement units.

FUGITIVE LEVEL 3 SEX OFFENDERS APPREHENDED WITHIN 72 HOURS	
FY15	96%
FY16	93%
FY17	97%
FY18	99.5%
FY19	98.9%
FY20	98.7%

Section 3: Evidence-Based Programming



95% of those incarcerated will return to their communities. Programming is key to their success.

Substance Use Disorder (SUD) Treatment

Admissions to SUD treatment programming	
FY15	1,437
FY16	1,619
FY17	1,630
FY18	1,754
FY19	1,640
FY20	1,479

The department defines treatment success as either fully completing the program or successfully participating in the program until the individual is released from prison.¹ In FY19, 78 percent of those incarcerated exiting SUD treatment programs successfully completed treatment; 78 percent completed treatment in FY20.

Percentage of SUD treatment participants completing treatment	
FY15	74%
FY16	73%
FY17	80%
FY18	74%
FY19	78%
FY20	78%

¹ Because treatment is individualized, length of treatment is variable.

Sex Offender Treatment

Typically, over 200 individuals are admitted to sex offender treatment programs annually. Sex offender treatment bed capacity is still a constraining factor, so the department gives priority to treating those with the highest risk and highest clinical needs.

Admissions to sex offender treatment programming	
FY15	237
FY16	229
FY17	208
FY18	213
FY19	216
FY20	165

As with SUD treatment, the department defines sex offender treatment success as either fully completing the program or successfully participating in the program until the individual is released from prison. The department recognizes that treatment success and the associated reductions in recidivism are in everyone's best interest. Completion rates have remained high during the past biennium, maintaining the gains made in earlier years.

Completion of sex offender treatment programming	
FY15	65%
FY16	65%
FY17	66%
FY18	64%
FY19	63%
FY20	68%

The DOC recognizes the importance of release planning to successful community re-entry. Specialized release planning and reintegration services are available to inmates who are impacted by significant substance use disorder and/or sex offender issues. This focused release planning ensures continuity throughout the community re-entry process.

Substance Use Disorder (SUD) and Sex Offender (SO) Release Planning Activity

	FY15	FY16	FY17	FY18	FY19	FY20
SUD release plans completed	256	254	360	497	493	558
SO release plans completed	89	92	104	103	77	91



Incarcerated individuals enrolled in education programming

FY15	8,539
FY16	7,900
FY17	7,195
FY18	6,963
FY19	6,225
FY20	6,095

The DOC offers a number of pre-release classes and activities at all facilities. The curriculum covers housing, employment, personal identification documents, health, transportation, family issues, living under supervision, and personal finance management. A pre-release handbook covering these topics is provided to every participating incarcerated individual.

Pre-release planning completions	
FY15	5,644
FY16	4,928
FY17	4,923
FY18	4,482
FY19	2,893
FY20	3,406

Photo identification/drivers license application completions	
FY15	3,206
FY16	2,831
FY17	2,965
FY18	3,355
FY19	2,626
FY20	2,079



Section 4: Restorative Services

The department provides restorative services to individual crime victims as well as to the overall community. It is important that individual and community victims of crime are represented and supported.



Apology Letters

An apology letter is an opportunity for an inmate, or someone who has caused harm, to offer a sincere apology to the person(s) who experienced that harm. The DOC's Restorative Justice Program provides education to incarcerated individuals so they can write an effective apology, reviews apology letter drafts to ensure the apology is appropriate, and delivers apology letters to victims/survivors upon their request. By policy, the DOC does not allow an incarcerated individual to send an apology letter to a victim/survivor directly or through a third party.

Apology Letters	FY15	FY16	FY17	FY18	FY19	FY20
Apology letter requests ²	80	9	1	1	9	7
Apology letters received from those incarcerated	85	107	66	56	36	79
Apology letters finalized/approved	44	68	5	5	9	5
Apology letters delivered	6	8	2	1	1	3

Victim Service Registrants	FY15	FY16	FY17	FY18	FY19	FY20
New letter notifications	1,123	398	476	381	253	347
New e-mail notifications	800	871	1,280	1,089	498	827
Total letter notifications sent	4,661	4,328	4,775	4,473	4,345	3,425
Total e-mail notifications sent	7,706	8,153	10,076	10,198	8,883	8,957

Restitution Paid by Discharge

Incarcerated individual compliance with restitution ordered is also an important component of this goal. Results of restitution compliance is reported on page 15.

²Historically, apology letter requests were received via MNCHOICE (victim notification system) but in FY16 MNCHOICE ceased being available to the public. MNCHOICE's replacement, Minnesota HAVEN, is also not available to the public. Therefore, apology letter requests have dropped significantly since members of the general public are not able to make a request without contacting DOC staff directly.



Life-Sentenced Incarcerated Individuals	FY15	FY16	FY17	FY18	FY19	FY20
Lifer reviews	34	35	47	34	40	68
Number of decisions to parole	7	10	8	4	2	10

	FY15	FY16	FY17	FY18	FY19	FY20
Number of ECRC meetings	784	726	736	749	775	704
Level 3 Sex Offender Community Notification Presentations	57	53	68	46	58	104

Institution/Community Work Crew

In FY19 and FY20, there were 18 Institution/Community Work Crews (ICWC) based out of the four DOC minimum security units at MCF-Lino Lakes, MCF-Stillwater, MCF-Faribault, and MCF-Red Wing. There are typically seven to ten individuals on each crew. Work hours declined in FY20 due to COVID-19.

ICWC	FY15	FY16	FY17	FY18	FY19	FY20
Hours worked—County	21,046	18,155	19,478	20,793	17,627	10,653
Hours worked—City	119,190	125,432	129,155	128,909	118,660	81,422
Hours worked—Department of Natural Resources (DNR)	526	761	808	184	0	0
Hours worked—Other state agencies	13,696	10,179	8,695	7,668	8,808	5,444
Hours worked—School District	---	---	91	---	---	---
Hours worked—Other (nonprofit)	340	270	281	620	23,860	15,053
TOTAL	154,798	154,797	158,509	158,174	168,955	112,572
Value of hours worked at \$7/hour	\$1,083,586	\$1,083,579	\$1,109,563	\$1,107,218	\$1,182,685	\$788,004
Estimated market value of completed projects at \$11/hour	\$1,702,778	\$1,702,767	\$1,743,599	\$1,739,914	\$1,858,505	\$1,238,292

ICWC	FY15	FY16	FY17	FY18	FY19	FY20
Becker (2 crews), Douglas, Fillmore, and Pennington and Steele jails	71 individuals	79	79	72	83	81
Lino Lakes, Stillwater, Faribault, Red Wing facilities (7-10 individuals per crew)	15 crews	16	16	16	18	18

Sentencing to Service (STS) work crews

STS is a restorative justice program that serves as a sentencing alternative providing courts with the option to sentence carefully selected non-violent individuals to a period of supervised work in the community. By participating in STS, those who are incarcerated are able to reduce their jail sentences and/or work off financial obligations including jail per diems, fines, correctional fees, and restitution.

The work involves public projects that otherwise might not be completed. Projects include park and trail maintenance, snow removal, highway litter pick up, labor for school district projects, construction of access ramps for the disabled, and natural disaster response and clean up. In addition to the benefits to the community, the program provides the opportunity for incarcerated individuals to develop a work ethic and job skills.

Roughly 398,000 hours of STS work were logged during FY19, and 290,000 hours in FY20. The STS program has noticed a decline in hours worked over the years due to budget cuts and use of collection agencies for court-ordered financial obligations, which in turn has resulted in fewer crews and incarcerated individual hours worked. Using a conservative figure of \$8 per hour of STS person labor, the value of the work done by STS crews was nearly \$3,200,000 in FY19 and over \$2,300,000 in FY20.

Number of STS Person Hours Worked	
FY15	552,683
FY16	547,277
FY17	484,933
FY18	451,023
FY19	398,092
FY20*	290,457

Sentencing to Service (STS)	FY15	FY16	FY17	FY18	FY19	FY20
Referrals to STS	12,265	11,920	11,116	11,305	10,133	7,609
Total # of individuals completing STS	6,373	5,864	5,727	4,975	5,612	3,538
Total # of individuals exiting prematurely	2,183	1,862	1,933	2,191	2,240	1,739

Note that an individual can have multiple referrals during a year.

The reduction in the use of jail space as a result of STS is another key benefit of the program. Many STS individuals would occupy a jail bed if they were not out working in the community on an STS crew. Thus, STS can help ease jail overcrowding as well as reserve space for more dangerous individuals who require confinement.

*Reduction of hours noted in FY20 due to suspension of STS crews due to COVID-19 pandemic.

The STS program saved over 15,000 jail days in FY19, and over 14,700 jail days in FY20. The taxpayer benefit is considerable. Using an average per diem of \$55, the use of STS resulted in a cost savings in jail expenditures of about \$836,000 in FY19 and nearly \$810,000 in FY20. Note that this understates the actual cost savings, due to missing data from several counties who manage their own crews and due to COVID-19 in FY20.



Number of STS Jail Days Saved	
FY15	24,441
FY16	28,864
FY17	27,952
FY18	22,224
FY19	15,205
FY20	14,725

Note that these figures do not include data from the following counties:

FY15-18: Blue Earth, Carver, Lake of the Woods, Scott, Traverse

FY19-20: Becker, Blue Earth, Carver, Dakota, Hennepin, Lake of the Woods, Otter Tail, Ramsey, Todd, and Wadena Counties have no project hours reported due to COVID-19 (FY20)

Increased victim/offender restorative opportunities

The DOC's Restorative Justice Program works with individual victims/survivors (requesters) to identify a restorative option that best fits their needs. This could include a Victim Offender Dialogue (VOD), a facilitated letter exchange, a dialogue with a surrogate, an opportunity to share a victim impact statement, or other options as decided upon by the requester and program staff. Each of these options are victim-initiated and require consent from all participating parties to move forward. Restorative Justice Program staff work with the requester to determine which option best fits their needs, assesses the suitability of all participants, and assigns trained facilitators to assist participants in a safe and structured way and on a timeline of their choosing.

Historically, the data provided in this report only captured requests for a VOD and whether the VOD successfully resulted in a dialogue. For several reasons, not all victims/survivors of a severe harm want a VOD and most severe-harm VOD requests do not proceed to a dialogue. Moving forward, the DOC's Restorative Justice Program considers a restorative process to be "completed" when a VOD or alternative restorative option has taken place or when a requester withdraws from a process because their needs have been met or they'd like to explore other options. (This is reflected in the FY19 and FY20 data below.)

	FY15	FY16	FY17	FY18	FY19	FY20
Victim Requests	34	18	11	53	15	7
Completed VODs ³	0	1	1	1	16 ⁴	8 ⁵

³Requests often take longer than one year to complete. Number of requests received and number of completions per year are not always related.

⁴FY19 completions: 2 VODs, 4 facilitated letter exchanges, 9 closed by requester, 1 referred out.

⁵FY20 completions: 1 VOD, 3 facilitated letter exchanges, 3 closed by requester, 1 referred out.

Section 5: Staff Engagement and Workplace Safety

The department recognizes staff are critical to maintaining core operations and delivering services to those who are incarcerated. The agency employs roughly 4,300 people, and maintaining a safe work environment and healthy work culture, in collaboration with labor unions, is essential to sound business practices and operations.



Staff and labor	FY15	FY16	FY17	FY18	FY19	FY20
Number of staff at beginning of fiscal year	4,249	4,357*	4,340	4,379	4,384	4,353
Number of labor union meetings	55	49	60	56	70	66

*During FY16, 71 FTEs were added when food service was changed from contracted to state employee provided at all facilities.

OSHA-recordable incidents	CY14	CY15	CY16	CY17	CY18	CY19
Number of incidents	94	110	106	100	152	105

Workers compensation claim rates are reduced

The workers compensation claim incident rate is an indicator of an agency's claims activity — an approximation of the number of reportable claims per year, per 100 full-time employees.

Workers compensation claim incident rate	
FY15	3.2
FY16	4.0
FY17	3.8
FY18	5.6
FY19	5.4
FY20	4.2

Staff Survey Results Demonstrate Staff Perceptions

An all-staff survey of DOC employees was conducted in 2018. With 1,947 employees taking the time to complete the survey, an overall response rate of 42.3 percent was obtained. In response to the statement “Most of the time I think the DOC work environment is welcoming, supportive, and inclusive”, 43 percent answered “strongly agree” or “agree”.

Integrated Conflict Management System (ICMS)

ICMS Training Hours	FY15	FY16	FY17	FY18	FY19	FY20*
Classroom	8,377	6,095	5,036	8,356	3,338	1,483
Online	4,626	3,573	7,582	5,374	3,895	3,270

*ICMS was discontinued 4/15/2020.

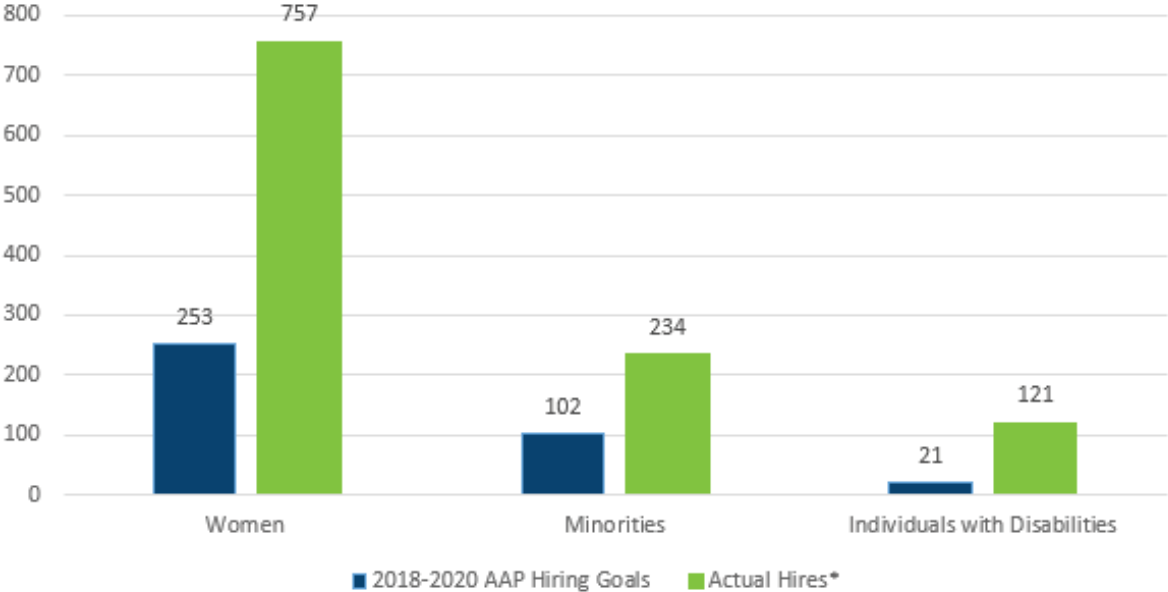
Stress Management Training

The “Benefits of Stress” course was offered at Minnesota correctional facilities as a two-hour course in FY19; 241 staff completed the training.



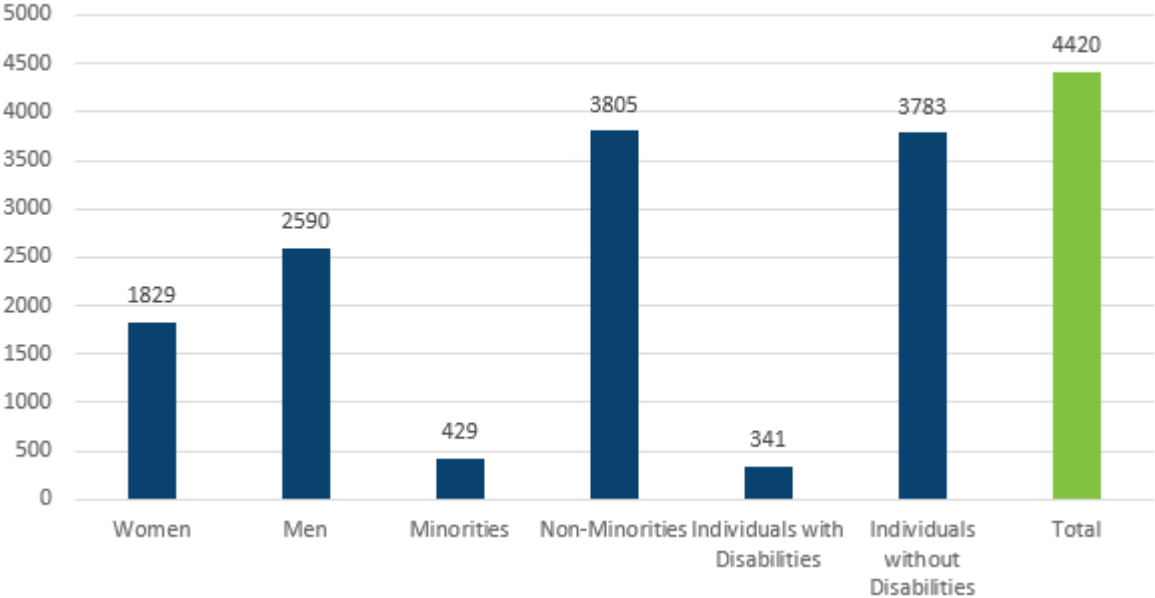
Affirmative Action

2018-2020 Affirmative Action Hiring Goals & Actual Hires



*Actual Hires refers to all hires made by the agency between 7/2018-6/30/2020.

2020 MN DOC Diversity*



*This is an agency snapshot as of 7/1/2020. The following are not specified/unknown data: gender category (1), minorities category (186), and individuals with disabilities category (296).

Per Diem Information

The DOC's operational per diem is calculated in a manner relatively consistent with many other states. It includes general fund expenditures directly related to incarcerating individuals, including facility management, security, food, clothing and linens, treatment and education programming, and medical and behavioral health. This per diem often is used to compare costs between Minnesota facilities and other states. The average adult operational per diem for FY20 was \$111.07.

A second per diem is calculated to comply with M.S. 241.018, which requires the DOC to develop a uniform method to calculate an average department-wide per diem for incarcerating individuals at adult state correctional facilities. In accordance with the statute, the per diem must include the operational per diem plus capital costs and 65 percent of the department's management services budget. The DOC is not aware of any states that calculate a per diem using this formula, and it should not be used to compare costs with other jurisdictions. The average adult statutory per diem for FY20 was \$136.67.

In addition, M.S. 241.018 requires the DOC to develop a uniform method to calculate an average per diem for county and regional jails licensed by the department. The statute requires each jail submit to the department an annual per diem, calculated in accordance with the formula promulgated by the department, and the DOC report these figures in its performance report. The following chart presents the jail per diem figures for 2019, as calculated by each county or regional jail.



2020 PERFORMANCE REPORT

2019	Total Expenditures	ADP	PER DIEM RATE based on ADP	Approved Capacity	PER DIEM RATE based on Capacity	Operational Capacity
Class I Facility (72 Hour Hold)						
Cook County	\$574,949.12	1.43	\$1,101.54	15	\$105.01	80%
Murray County	\$497,530.67	1.00	\$1,363.10	4	\$340.77	75%
Norman County	\$340,092.26	0.02	\$46,587.98	2	\$465.88	100%
Total	\$1,412,572.05	2.45		21		
Average			\$1,579.62		\$184.29	
Class II Facility (90 Day Lockup)						
Fillmore County	\$794,407.00	11.62	\$187.30	24	\$90.69	80%
Kittson County	\$254,130.54	2.10	\$331.55	6	\$116.04	85%
Lac Qui Parle County	\$235,440.00	3.64	\$177.21	7	\$92.15	60%
Lake of the Woods County	\$306,868.00	6.00	\$140.12	7	\$120.10	80%
Pipestone County	\$550,618.00	9.69	\$155.68	19	\$79.40	80%
Watonwan County	\$754,448.34	11.11	\$186.05	20	\$103.35	80%
Winona County	\$3,164,320.03	52.00	\$166.72	83	\$104.45	90%
Total	\$6,060,231.91	96.16		166		
Average			\$172.66		\$100.02	
Class III Facility (Jail)						
Aitkin County	\$2,723,972.99	49.52	\$150.71	89	\$83.85	90%
Anoka County	\$12,828,419.47	210.00	\$167.36	238	\$147.67	90%
Becker County	\$4,371,284.58	82.06	\$145.94	186	\$64.39	85%
Beltrami County	\$4,333,863.61	103.00	\$115.28	132	\$89.95	90%
Benton County	\$3,867,419.00	62.00	\$170.90	102	\$103.88	95%
Blue Earth County	\$4,293,302.24	117.62	\$100.00	146	\$80.56	90%
Brown County	\$3,310,545.56	21.80	\$416.05	56	\$161.96	80%
Carlton County	\$1,729,089.00	27.00	\$175.45	48	\$98.69	80%
Carver County	\$5,931,405.00	70.00	\$232.15	115	\$141.31	90%
Cass County	\$1,701,759.05	26.40	\$176.60	60	\$77.71	80%
Chippewa County	\$953,745.83	9.21	\$283.71	17	\$153.71	85%
Chisago County	\$3,259,307.66	63.00	\$141.74	80	\$111.62	88%
Clay County	\$5,427,913.17	116.50	\$127.65	204	\$72.90	75%
Clearwater County	\$786,103.13	13.00	\$165.67	38	\$56.68	85%
Cottonwood County	\$1,179,542.00	15.45	\$209.17	21	\$153.89	80%
Crow Wing County	\$7,642,166.00	191.87	\$109.12	276	\$75.86	90%
Dakota County	\$12,139,718.10	199.00	\$167.13	263	\$126.46	95%
Douglas County	\$4,278,002.97	60.20	\$194.69	149	\$78.66	90%
Faribault County	\$1,742,358.52	20.97	\$227.64	62	\$76.99	85%
Freeborn County	\$4,292,843.22	102.56	\$114.68	148	\$79.47	90%
Goodhue County	\$5,944,676.39	98.00	\$166.19	156	\$104.40	90%
Houston County	\$1,975,217.86	27.00	\$200.43	40	\$135.29	90%
Hubbard County	\$2,514,551.11	45.00	\$153.09	116	\$59.39	90%
Isanti County	\$3,419,989.38	49.19	\$190.48	111	\$84.41	85%
Itasca County	\$4,631,454.00	92.00	\$137.92	98	\$129.48	85%
Jackson County	\$928,388.00	9.76	\$260.61	18	\$141.31	80%
Kanabec County	\$2,658,882.63	37.00	\$196.88	60	\$121.41	85%
Kandiyohi County	\$5,352,565.00	123.00	\$119.22	190	\$77.18	95%
Koochiching County	\$987,475.06	18.00	\$150.30	30	\$90.18	80%
Lake County	\$1,008,879.21	5.93	\$466.11	27	\$102.37	80%
Le Sueur County	\$1,196,935.00	19.00	\$172.59	80	\$40.99	80%
Lincoln County	\$436,365.00	3.99	\$299.63	15	\$79.70	80%
Lyon County	\$1,793,941.45	43.07	\$114.11	88	\$55.85	85%
Marshall County	\$773,799.00	6.05	\$350.41	15	\$141.33	86%
Martin County	\$1,570,756.83	24.50	\$175.65	32	\$134.48	80%
McLeod County	\$1,901,703.94	34.00	\$153.24	59	\$88.31	80%
Meeker County	\$1,828,764.99	33.00	\$151.83	69	\$72.61	90%
Mille Lacs County	\$2,524,259.73	65.58	\$105.46	147	\$47.05	85%
Morrison County	\$2,326,796.49	44.72	\$142.55	141	\$45.21	90%
Mower County	\$4,079,143.00	71.00	\$157.40	88	\$127.00	90%

	Total Expenditures	Avg Daily Population (ADP)	Per Diem (Based on ADP)	Approved Capacity	Per Diem (Based on Capacity)	Operational Capacity
Nicollet County	\$3,009,390.00	16.78	\$491.35	34	\$242.50	80%
Nobles County	\$2,683,248.00	64.68	\$113.66	88	\$83.54	90%
Northwest Regional Corrections Center	\$5,273,273.98	182.19	\$79.30	200	\$72.24	95%
Olmsted County	\$12,855,526.87	126.00	\$279.53	230	\$153.13	90%
Otter Tail County	\$3,769,818.99	82.50	\$125.19	111	\$93.05	90%
Pennington County	\$1,527,655.51	43.74	\$95.69	92	\$45.49	80%
Pine County Detention Center	\$3,972,242.76	73.90	\$147.26	131	\$83.08	85%
Redwood County	\$860,482.96	16.47	\$143.14	21	\$112.26	80%
Renville County	\$1,796,345.61	49.70	\$99.02	72	\$68.35	90%
Rice County	\$2,471,488.00	47.00	\$144.07	71	\$95.37	80%
Roseau County	\$1,501,475.56	25.15	\$163.56	52	\$79.11	80%
Scott County	\$7,363,162.59	133.97	\$150.58	210	\$96.06	90%
Sherburne County	\$24,133,470.90	623.30	\$106.08	732	\$90.33	95%
Sibley County	\$787,389.13	12.31	\$175.24	19	\$113.54	80%
St. Louis County (includes Hibbing & Virginia)	\$11,467,034.34	177.85	\$176.65	217	\$144.78	85%
Stearns County	\$11,852,267.96	137.41	\$236.31	151	\$215.05	90%
Steele County	\$4,935,735.00	78.00	\$173.37	154	\$87.81	90%
Swift County	\$363,620.38	7.67	\$129.89	12	\$83.02	80%
Todd County	\$2,651,749.45	28.00	\$259.47	52	\$139.71	80%
Traverse County	\$799,602.51	7.09	\$308.98	11	\$199.15	70%
Wabasha County	\$1,784,736.00	18.91	\$258.58	43	\$113.71	90%
Wadena County	\$1,179,522.37	26.00	\$124.29	27	\$119.69	85%
Waseca County	\$627,788.34	10.85	\$158.52	24	\$71.67	80%
Washington County	\$10,814,091.00	197.00	\$150.39	240	\$123.45	95%
Wilkin County	\$802,022.63	10.86	\$202.33	21	\$104.63	80%
Wright County	\$7,558,652.00	180.00	\$115.05	288	\$71.90	93%
Yellow Medicine County	\$1,523,330.90	24.00	\$173.90	36	\$115.93	80%
Total	\$263,012,428.91	4812.28		7349		
Average			\$149.74		\$98.05	
Class IV Facility (Jail Annex)						
Anoka County Workhouse	\$4,059,388.14	95.54	\$116.41	240	\$46.34	100%
Bethel Work Release Center	\$1,268,924.00	36.88	\$94.27	50	\$69.53	100%
Total	\$5,328,312.14	132.42		290		
Average			\$110.24		\$50.34	
Class V Facility (Adult Detention Center)						
Hennepin County	\$43,685,243.00	695.00	\$172.21	835	\$143.34	95%
Ramsey County	\$29,018,787.94	370.00	\$214.87	494	\$160.94	95%
Total	\$72,704,030.94	1065.00		1329		
Average			\$187.03		\$149.88	
Class VI Facility (Adult Correctional Facility)						
Hennepin County-Men	\$12,371,482.74	297.00	\$114.12	399	\$84.95	85%
Hennepin County-North	\$10,969,478.77	262.00	\$114.71	78	\$385.30	95%
Northeast Regional Corrections Center	\$6,854,676.73	87.00	\$215.86	144	\$130.42	90%
Ramsey County	\$20,667,250.80	252.00	\$224.69	556	\$101.84	95%
Total	\$50,862,889.04	898.00		1177		
Average			\$155.18		\$118.39	



Annual Statistics

The following section covers various topics, including adult and juvenile discipline convictions, facility capacity and population, information on the percentage of idle incarcerated individuals, and MINNCOR operating statistics.



Discipline Convictions and Incidents -						
Adult Facilities⁶	FY15	FY16	FY17	FY18	FY19	FY20
Assault of inmate	394	466	406	379	386	502
Assault of inmate causing harm	63	86	61	65	73	72
Assault of inmate causing significant harm	-	-	-	-	-	42
Assault of inmate with weapon	22	36	22	37	22	39
Assault of inmate with weapon causing harm	7	9	8	16	11	-
Assault of staff	81	63	74	120	106	99
Assault of staff causing harm	3	9	12	30	29	19
Assault of staff causing significant harm	-	-	-	-	-	5
Assault of staff with bodily fluids	-	-	-	-	-	33
Assault of staff with bodily fluids significant exposure	-	-	-	-	-	11
Assault of staff with weapon	15	9	27	31	18	13
Assault of staff with weapon causing harm	2	1	1	7	2	-
Homicide	0	0	0	0	0	0
Threatening others	589	519	606	714	763	691
Extortion	21	19	17	13	19	12
Holding hostage	2	2	2	1	0	0
Inciting/Unlawful Assembly/Protest	90	55	42	67	78	82
Riot	10	8	40	74	35	35
Arson	1	0	1	1	2	3
Possession of liquor	728	608	527	459	561	549
Possession of Drugs/Unauthorized Medications	313	362	299	324	330	507
Possession of weapon	140	131	144	191	178	175
Possession of money	27	26	25	42	40	25
Possess smuggling device	24	26	15	32	32	43
Possession of escape materials	5	0	5	18	29	32
Incidents						
Escape from secure facility	0	0	0	0	0	0
Escape from non-secure facility	2	0	3	0	0	0
Accidental death	0	1	0	0	0	0
Suicide	0	1	0	1	3	0
TOTAL	2,539	2,437	2,337	2,622	2,717	2,989

⁶ "Attempts" are excluded from these counts, as are multiple counts of the same infraction during an incident.

Discipline Convictions and Incidents — Juveniles at the MCF-Red Wing	FY15	FY16	FY17	FY18	FY19	FY20
Discipline Convictions						
Arson	0	0	0	0	0	0
Assault on inmate	54	69	58	53	34	42
Assault on inmate with bodily harm	1	0	1	1	0	0
Assault on inmate with weapon	0	0	1	2	0	0
Assault on inmate with weapon and bodily harm	0	0	0	0	1	0
Assault on staff	18	54	28	28	8	17
Assault on staff with bodily harm	0	0	0	0	0	0
Assault on staff with weapon and bodily harm	0	0	0	0	0	0
Assault on staff with weapons	0	5	0	2	0	0
Extortion	3	5	1	0	0	0
Holding hostages	0	0	0	0	0	0
Inciting to riot	0	0	0	0	0	0
Possession of alcohol	1	0	0	0	0	3
Possession of drugs	2*	3*	1	0	0	0
Possession of escape materials	1	3	2	0	1	0
Possession of money	0	0	0	0	0	0
Possession of smuggling device	0	0	0	0	0	0
Possession of weapons	3	5	2	3	4	2
Riot	0	0	0	4	0	0
Threatening others	345	449	377	257	167	174
Unlawful assembly	191	221	211	163	109	160
Incidents						
Secure escape	0	0	0	0	0	0
Non-secure escape	0	0	0	0	0	0
Accidental death	0	0	0	0	0	0
Suicide	0	0	0	0	0	0
TOTAL	619	814	682	513	324	398

* Unauthorized medications

Capacity and Population by Facility and Date

FACILITY	7/1/2016		7/1/2017		7/1/2018		7/1/2019		7/1/2020	
	Capacity	Population	Capacity	Population	Capacity	Population	Capacity	Population	Capacity	Population
Stillwater	1,624	1,621	1,626	1,615	1,626	1,594	1,626	1,532	1,626	1,366
Lino Lakes	1,324	1,315	1,325	1,312	1,325	1,299	1,325	1,286	1,325	1,114
Oak Park Heights	444	416	444	417	444	393	444	388	444	377
Moose Lake	1,053	1,050	1,057	1,060	1,057	1,062	1,057	1,055	1,057	930
St. Cloud	1,058	1,032	1,058	1,032	1,058	1,040	1,058	1,040	1,058	817
Faribault	2,026	2,018	2,026	2,009	2,026	1,999	2,026	1,998	2,026	1,699
Rush City	1,018	1,011	1,018	1,012	1,018	1,019	1,018	1,011	1,018	955
Red Wing Adults	42	43	42	45	42	45	42	45	42	34
Willow River (CIP)	177	155	177	168	177	167	177	111	177	80
Togo (CIP)	75	75	75	77	75	74	75	60	75	66
Total Male	8,841	8,736	8,848	8,747	8,848	8,692	8,848	8,526	8,848	7,438
Shakopee	650	634	656	646	656	637	656	635	656	467
Total Female	650	634	656	646	656	637	656	635	656	467
Total Adult Facility Capacity/ Population	9,491	9,370	9,504	9,393	9,504	9,329	9,504	9,161	9,504	7,905
Red Wing Juvenile (male)	189	111	189	107	189	79	189	87	189	66
Total Adult & Juvenile Facility Capacity/Population	9,680	9,481	9,693	9,500	9,693	9,408	9,693	9,248	9,693	7,971
Work Release		232		207		212		179		234
ICWC/Jail		36		26		29		44		31
Contracted		421		430		224		163		146
MCF Delegation		55		55		55		60		14
Total Other Adult		744		718		520		446		425
Total Adult Population		10,114		10,111		9,849		9,607		8,330
Total Adult & Juvenile Population		10,225		10,218		9,928		9,694		8,396

Percent of Idle Adults by Fiscal Year

Facility	FY17			FY18			FY19			FY20		
	Popula- tion	Unassigned	Idle	Popula- tion	Unassigned	Idle	Popula- tion	Unassigned	Idle	Popula- tion	Unassigned	Idle
Faribault	2024	422	21%	2018	385	19%	2007	426	21%	2003	452	23%
Lino Lakes	1320	387	29%	1319	348	26%	1307	356	27%	1294	336	26%
Moose Lake	1059	190	18%	1066	126	12%	1064	148	14%	1061	164	15%
Oak Park Heights	416	60	14%	422	146	35%	394	103	26%	391	85	22%
Rush City	1021	192	19%	1017	260	26%	1022	261	26%	1012	324	32%
St. Cloud	1050	568	54%	1044	603	58%	1063	648	61%	1067	708	66%
Shakopee	638	66	10%	650	117	18%	640	115	18%	638	84	13%
Stillwater	1623	427	26%	1621	466	29%	1601	480	30%	1538	554	36%
CIP-Willow River	155	0	0%	169	0	0%	167	0	0%	111	0	0%
CIP-Togo	75	0	0%	77	0	0%	74	0	0%	60	0	0%
Red Wing	43	1	2%	45	0	0%	45	0	0%	45	0	0%
TOTAL	9424	2313	25%	9448	2451	26%	9384	2537	27%	9220	2707	29%

MINNCOR

MINNCOR Industries provides incarcerated individuals job skills training to support positive behavior and successful transition into the community, at no cost to taxpayers. MINNCOR operates out of seven Minnesota Correctional Facilities. Those incarcerated individuals who are assigned to MINNCOR learn work skills producing the following products: cabinetry and casework, custodial products, detention plastics, high visibility garments, library furniture, mattresses and pillows, office furniture, residence furniture, safety products, seating/upholstery, and linens and clothing for incarcerated men and women. MINNCOR also serves as a subcontract manufacturer to many different companies located throughout Minnesota. The education and employment programs have been proven to reduce recidivism—contributing to transforming lives for a safer Minnesota.

EMPLOY was an employment resource program whose mission was to reduce recidivism by providing participants with the necessary tools to locate, gain, and retain employment. EMPLOY staff worked with participants to be able to market their skills and work experience to potential employers, encouraging positive change, and providing employer connections. The EMPLOY Program was suspended indefinitely at the end of FY20 due to budget cuts.

MINNCOR Bridge is an outside-the-walls program that partners with the DOC Work Release Unit to provide three months of transitional employment, helping incarcerated individuals re-enter society gradually. Bridge participants who have completed the program have maintained a 99 percent employment rate. The Bridge Program remained open during the COVID-19 pandemic, however there were no active participants as of March 31, 2020 through the end of the fiscal year.

MINNCOR Industries	FY15	FY16	FY17	FY18	FY19	FY20
Inmates Assigned	1,565	1,695	1,730	1,801	1,734	1,509
Total Revenues (Operating and Non-Operating)	\$44,284,233	\$47,427,839	\$48,848,639	\$50,518,759	\$43,478,301	\$40,863,760
Total Expenses	\$41,067,045	\$42,800,121	\$44,122,984	\$46,418,428	\$43,695,235	\$41,813,480
Net Income	\$3,217,188	\$4,627,718	\$4,725,655	\$4,100,331	\$(216,934)	\$(949,720)

EMPLOY Program	FY15	FY16	FY17	FY18	FY19	FY20*
Participants	767	764	828	813	925	938
Participants Completed	448	556	694	814	946	1062
Employed (percentage)	68%	79%	69%	78%	81%	68%

*The EMPLOY Program was suspended indefinitely at the end of FY20 due to budget cuts.

Bridge Program	FY15	FY16	FY17	FY18	FY19	FY20*
Participants	144	221	123	140	117	86
Employed (percentage)	100%	95%	98%	99%	99%	98%

*The Bridge Program remained open during the COVID-19 pandemic, however there were no active participants as of March 31, 2020 through the end of the fiscal year.

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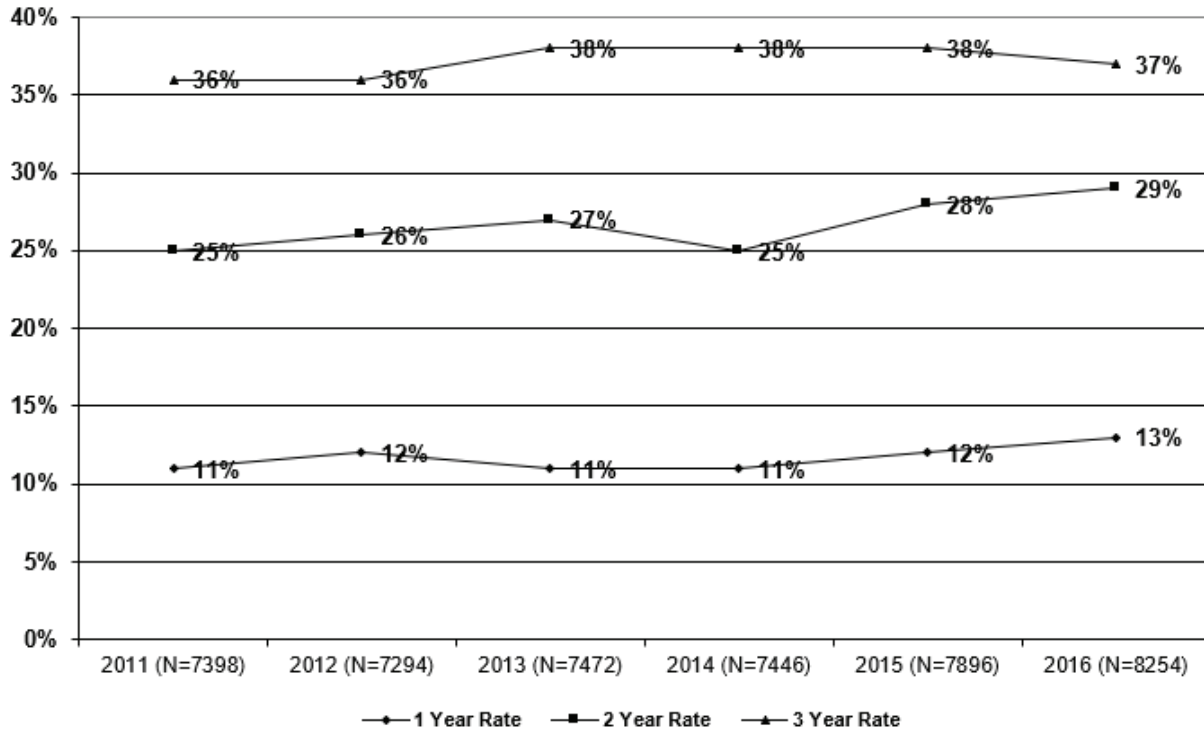
Adult Recidivism Update

This section provides an update of the three-year, post-release felony reconviction and reincarceration rates for individuals released from an adult facility between 2011 and 2016. Rates for those released in 2015 or 2016 are disaggregated by gender and original offense.



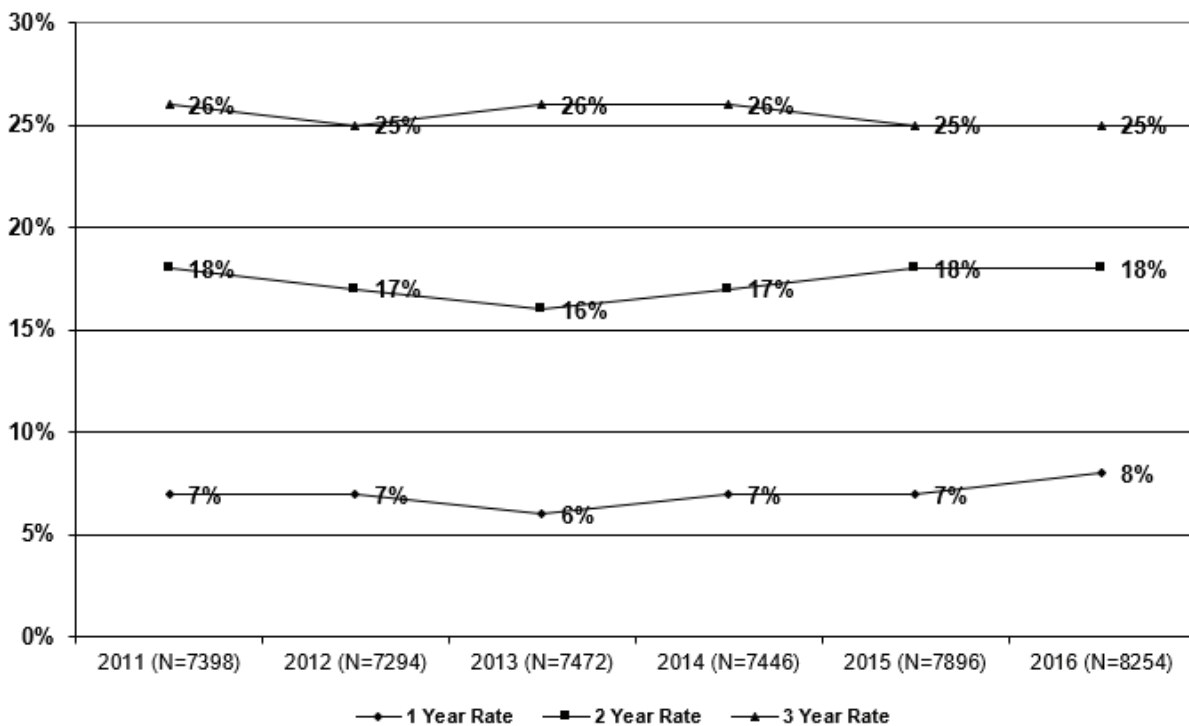
Felony Recidivism Rates Up to Three Years Post-Release, 2011 - 2016

One- and two-year post-release recidivism rates increased slightly in the last biennium, while three-year recidivism rates have held steady. The one-year recidivism rate has ranged from 11 percent to 13 percent during the last six years. Two-year recidivism rates have fluctuated between 25 percent and 29 percent, and three-year recidivism rates have remained between 36 to 38 percent over these six years.



Reincarceration Rates

Since 2011, roughly one-fourth of incarcerated individuals released each year have returned to prison with a new felony conviction within three years of release. Two-year return rates have fluctuated between 16 and 18 percent during this time period, and one-year return rates remain between 6 and 8 percent.



Incarcerated Individuals Released: Gender

The majority of individuals released in 2015 and 2016 were male.

Gender of Those Incarcerated Released in 2015 and 2016	2015	2016
Male	89%	88%
Female	11%	12%

Incarcerated Individuals Released: Male

Thirty-nine percent of male individuals released in 2015 were reconvicted within three years, compared to 38 percent of males released in 2016. The three-year reincarceration rate for males held steady at 26 to 27 percent both years.

Recidivism Rates for Male Individuals Three Years Post-Release, 2015–2016	2015	2016
Reconviction	39%	38%
Reincarceration	26%	27%

Incarcerated Individuals Released: Female

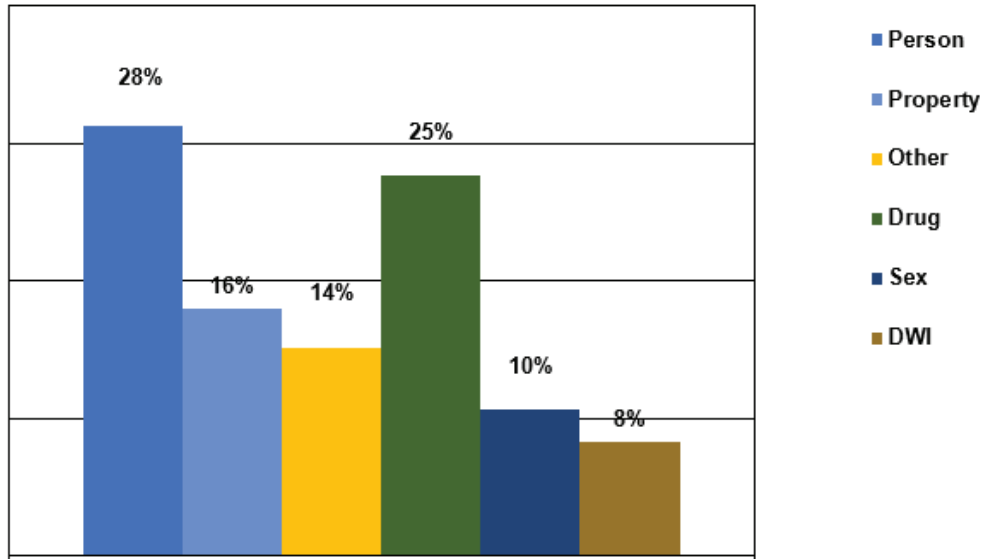
The percentage of female individuals reconvicted within three years was 31 percent in 2015 and 30 percent in 2016. The reincarceration rate held steady at 14 percent over this period.

Recidivism Rates for Female Individuals Three Years Post-Release, 2015–2016	2015	2016
Reconviction	31%	30%
Reincarceration	14%	14%

Offenses for 2015 - 2016 Releases

Twenty-eight percent of this population was originally incarcerated for a person offense (excluding sex offenses), and an additional 25 percent were originally imprisoned for a drug offense. Individuals originally imprisoned for a property offense comprised sixteen percent of the population released in 2015-2016. Ten percent originally were incarcerated for a sex offense.

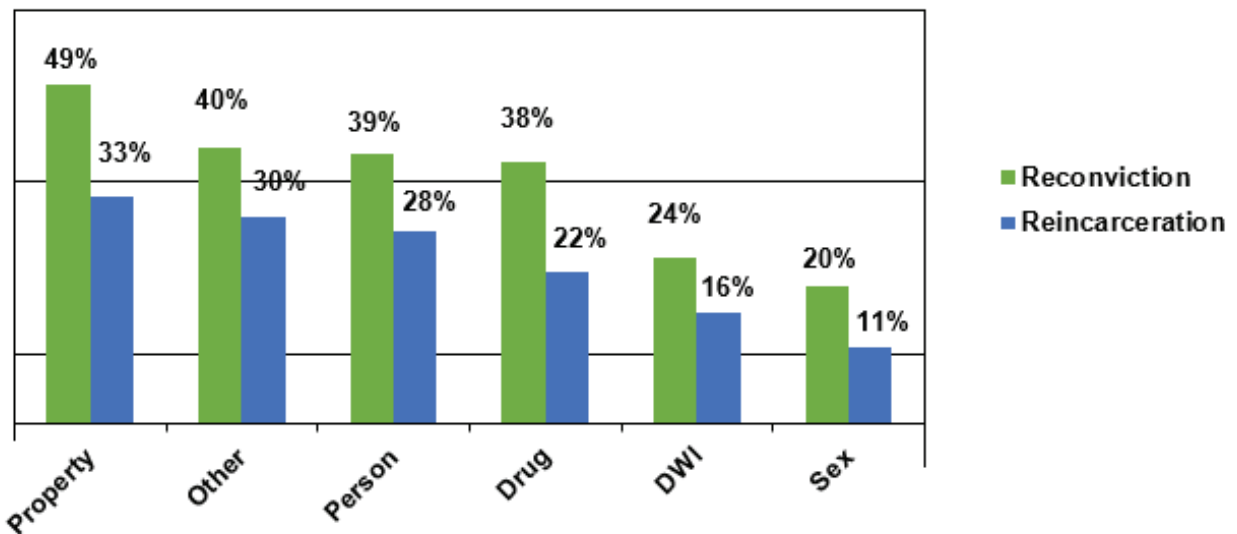
Original Offense for Those Incarcerated Who Were Released 2015 – 2016



Recidivism Rates by Original Offense, Three Years Post-Release, 2015 – 2016

Forty-nine percent of people with property offenses released in 2015-2016 were convicted of a felony-level crime within three years of their release. Forty percent of those originally convicted of an offense categorized as “other” were reconvicted within three years of release. Thirty-nine percent of those who committed crimes against persons (excluding sex offenders) and 38 percent of those who committed drug offenses were reconvicted within this timeframe.

Those with property offenses also were more likely to be returned to prison; 33 percent of those released in 2015-2016 were reincarcerated within three years of their release for a new offense. Thirty percent of those originally convicted of an offense categorized as “other”⁷ were reincarcerated within three years of release, and 28 percent of those with person offenses (excluding those with sex offenses) were reincarcerated within three years of release. Those people originally incarcerated for a sex offense were the least likely to be reconvicted or reincarcerated for a new offense.



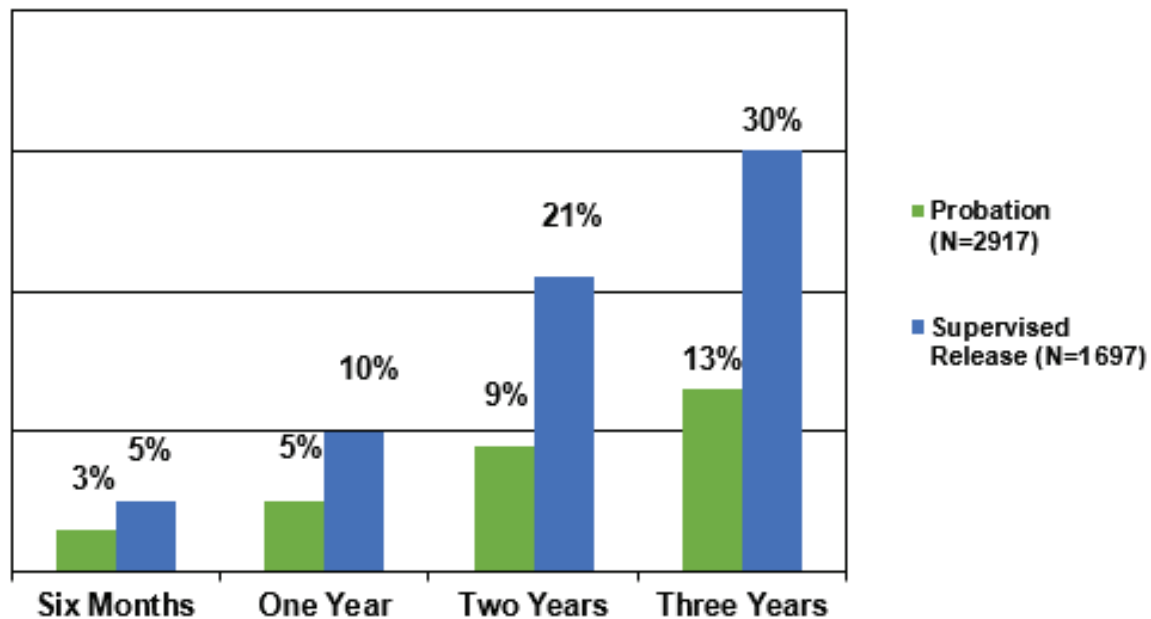
⁷The majority of the offenses in the “other” category are weapon-related offenses, failure to register as a predatory offender, and escape.

Community Services Recidivism

This section provides three-year, post-supervision felony reconviction rates for 4,614 adult felony-level probationers and supervised releasees who were supervised by a DOC agent for a Minnesota offense and left community supervision in 2015 for any reason except death or incarceration in prison. Individuals with multiple cases closed in 2015 were counted only once by selecting the case for which the individual was under supervision longest. Rates are disaggregated by gender.

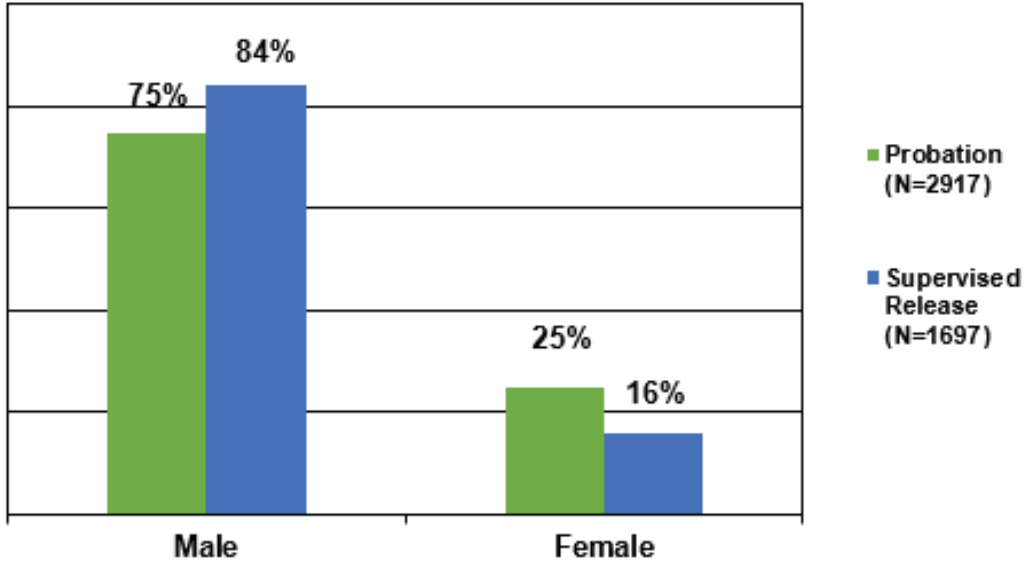
Felony Reconviction Rates Up to Three Years Post-Supervision

Felony reconviction rates are higher at the six-month post-supervision mark for DOC supervised releasees who left supervision in 2015 as compared to probationers, and diverge even more at longer intervals. Within three years post-supervision, thirty percent of adult supervised releasees are reconvicted of a felony offense compared to thirteen percent of adult probationers.



Releases From Supervision By Gender

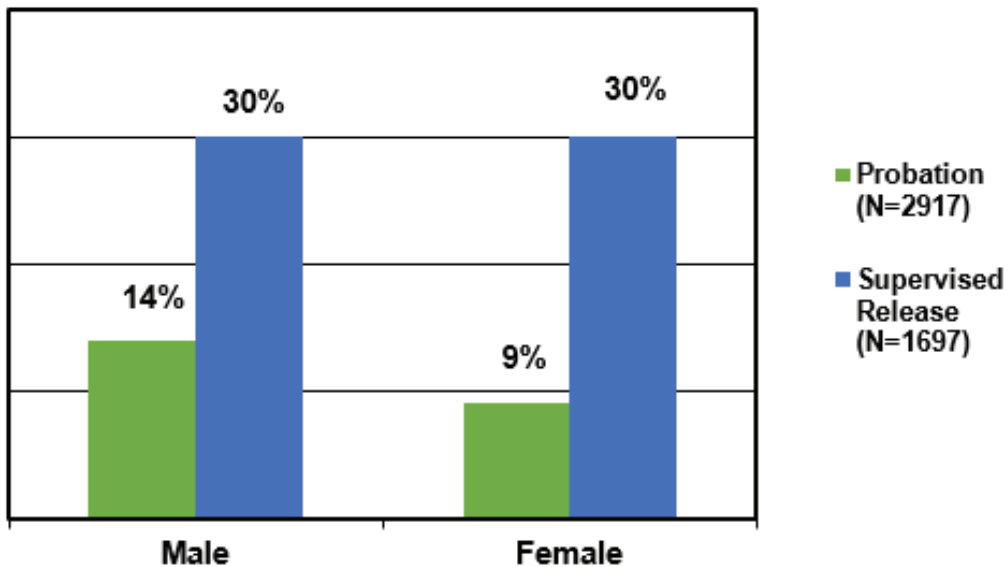
Females account for a greater proportion of the those released from probation in 2015 than among those who left supervised release in 2015 (25 percent versus 16 percent).



Felony Reconviction Rates By Gender

Felony reconviction rates are higher among male than female justice involved people released from probation or supervised release. The felony reconviction rate among females who left probation is nine percent but is 30 percent among females who left supervised release. The felony reconviction rate among males who left probation is 14 percent but is 30 percent among males who left supervised release.

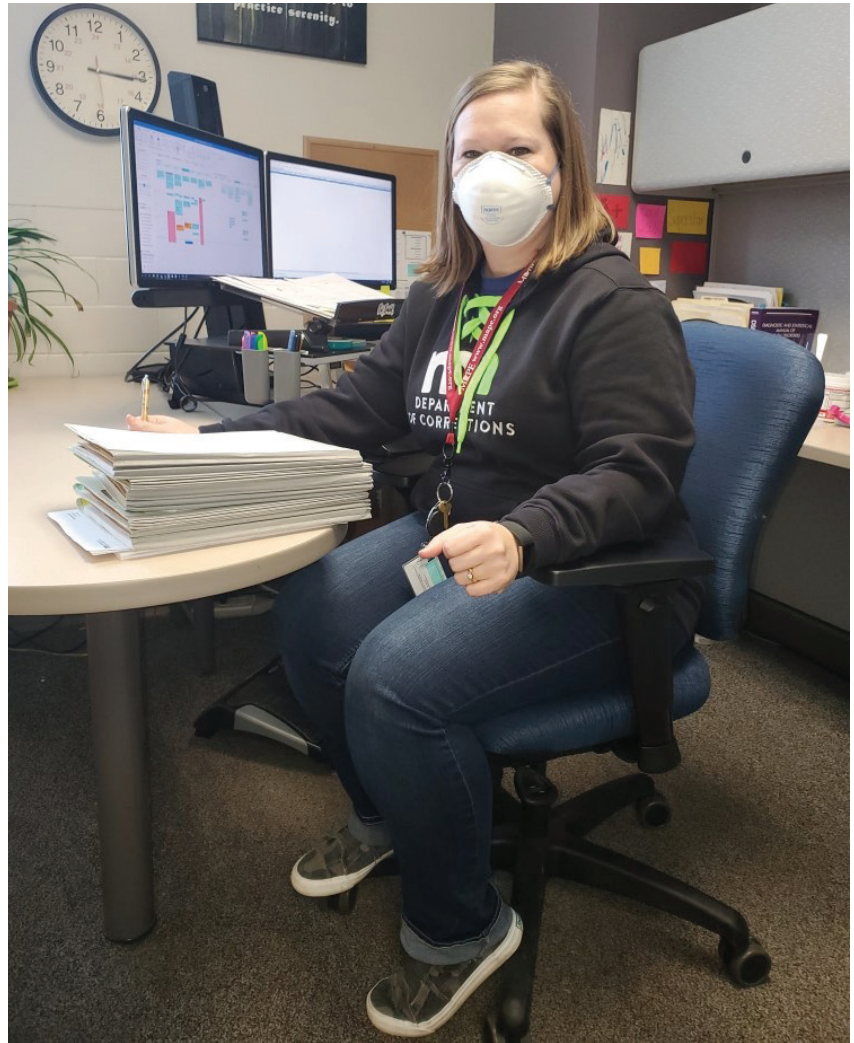
**Felony Reconviction Rates by Gender
Three Years Post-Supervision**



Mental Health Services

The department provides a range of services to address the mental health needs of those incarcerated, including support services, self-help groups and professional interventions for brief illness, situational adjustment, acute episodes of a chronic illness, or the ongoing management of that illness.

Completion numbers, in the form of discharge data, are included for the specialty and residential mental health programs, including the Supportive Living Services (SLS) units located at MCF-Faribault, MCF-Lino Lakes, MCF-Rush City, MCF- Stillwater, and a new SLS program developed at MCF-Moose Lake; the Women of Wellness (WOW) unit at MCF-Shakopee; and the Mental Health Unit (MHU) at MCF-Oak Park Heights. Completion rates are not included for other mental health services such as individual therapy, since there is typically no “completion” of ongoing mental health care.



Types of Mental Health Services

Mental health programs and services are available at all correctional facilities. All facilities provide assessment, self-help and informal groups, outpatient mental health services, and mental health release planning for incarcerated individuals with serious and persistent mental illnesses (SPMI). Additional services provided at some facilities include supportive living services programs and residential-level mental health services.

Intake Assessments

All incoming individuals are screened during intake to determine treatment needs and future placement. Adult males are screened at MCF-St. Cloud with the exception of release violators who are screened at MCF-Lino Lakes and MCF-Rush City. All adult females are screened at MCF-Shakopee.

Screenings include a brief assessment consisting of an interview with mental health staff. Screens positive for mental health concerns trigger a more in-depth assessment to determine the need for future services during incarceration and appropriate referrals (i.e., psychiatry, ongoing individual therapy).

Self-Help and Informal Groups

Self-help groups are available and encouraged at all sites. Typically, these activities occur during those incarcerated non-work hours and are facilitated by volunteers. The number and types of groups varies widely by facility and local interest. Groups most often occur on a weekly basis and are usually small, with 5 to 15 attendees, although a few groups may grow much larger based on a specific topic or area of interest. This category of groups include Alcoholics Anonymous, Narcotics Anonymous, Gamblers Anonymous, anger management, Alternatives to Violence, grief support, self-awareness, personal empowerment, meditation, critical thinking, anxiety support, depression support, parenting, Families in Focus, resiliency, relationships, healthy boundaries, victim and anti-violence support, religious or spiritual support groups like bible study, sweat lodges, and others.

Outpatient Services

Licensed and unlicensed mental health personnel are located at all facilities. Services are provided through both individual and group treatment sessions. Mental health release planning services also are provided. Some “non-contact” services also are tracked and summarized in this report.

Individual encounters include brief assessments (an interview and review of the mental health file); intermediate assessments (an interview and review of collateral data); full assessments (an interview, review of collateral data, and administration of psychological testing); individual therapy; segregation interventions to monitor individual status; crisis intervention elicited by an emergency need; or a mental health intervention, which may deal with a non-emergent need associated with mental illness like a work situation or due process concern.

Group therapy is an efficient treatment modality and is effective for many disorders. Group therapy is provided by DOC treatment staff as part of a formal treatment plan.

Release planning includes in-person and associated activities related to the release and reintegration planning for individuals with serious mental illnesses. Release and reintegration services are also available for those completing substance use disorder and sex offender treatment programs.



Assessment and Treatment Activity

In FY20, sixty-three percent of those incarcerated had a coded “encounter” with a mental health staff in either a group or individual contact. Nine percent participated in at least one group therapy session, and 16 percent had at least one individual therapy session with one of the department’s mental health staff.

Mental Health Assessment, Therapy, or Treatment in Minnesota Correctional Facilities

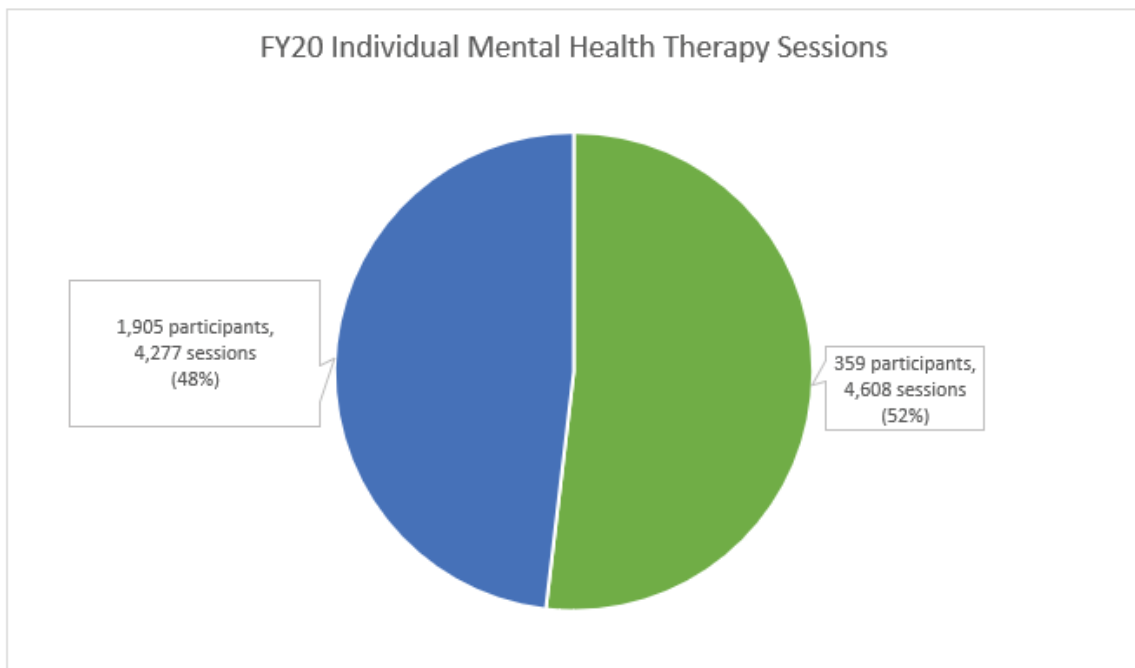
	FY15	FY16	FY17	FY18	FY19	FY20
Total Number of incarcerated individuals resident in a DOC prison facility ⁸	16,359	16,434	16,417	16,027	15,006	13,781
a. Individuals Receiving/Participating in Assessment, Therapy or Treatment (both individual and group)	10,565	10,805	10,783	10,478	9,697	8,617
Percent of Total	65%	66%	66%	65%	65%	63%
b. Individuals Receiving Individual Assessment, Therapy or Treatment (Included in line a.)	10,372	10,626	10,687	10,308	9,469	8,410
Percent of Total	63%	65%	65%	64%	63%	61%
c. Individuals Receiving Individual Therapy Sessions (Included in lines a. & b.)	3,273	3,159	3,321	3,065	2,676	2,264
Percent of Total	20%	19%	20%	19%	18%	16%
d. Individuals Participating in Group Therapy Sessions (Included in line a.)	1,371	1,288	1,220	1,338	1,481	1,186
Percent of Total	8%	8%	7%	8%	10%	9%
e. Individuals Receiving Crisis Intervention (Included in line a.)	728	872	732	732	632	532
Percent of Total	4%	5%	5%	5%	4%	4%
f. Individuals Receiving Segregation Intervention (Included in line a.)	1,171	1,389	1,167	1,167	1,138	963
Percent of Total	7%	8%	7%	7%	8%	7%
g. Individuals Receiving Assessment - Brief (Included in line a.)	8,390	8,567	8,358	8,358	7,964	7,100
Percent of Total	51%	52%	52%	52%	53%	52%
h. Individuals Receiving Assessment - Intermediate (Included in line a.)	4,125	3,886	4,049	4,049	3,958	3,492
Percent of Total	25%	24%	25%	25%	26%	25%
i. Individuals Receiving Assessment - Full (Included in line a.)	516	618	569	569	481	363
Percent of Total	3%	4%	4%	4%	3%	3%

⁸ This is the total number of incarcerated individuals residing in a Minnesota Correctional Facility at any point during the fiscal year, thus this number is greater than the incarcerated population on any particular date. The higher number is used in this case as it is a more accurate representation of services required.

Individual Therapy

As in prior years, a relatively small percentage of those incarcerated accounted for a substantial portion of the sessions provided. This phenomenon is common in other systems, and the department has been working to provide sufficient resources for this portion of the prison population. The lower chart shows the volume of individual therapy sessions provided for individuals who receive the most services. Some of these individuals are residents in the MHU at Oak Park Heights and/or residents in one of the SLS units.

Individual Mental Health Therapy Sessions of Those Incarcerated	FY15	FY16	FY17	FY18	FY19	FY20
Total Number of Sessions Reported	10,947	11,212	11,577	10,514	8,891	8,885
Maximum Number of Sessions Received by an Incarcerated Individual	121	110	189	140	124	102
Average (Mean) Number of Sessions	3.3	3.5	3.5	3.4	3.3	3.9
Midpoint (Median) Number of Sessions	2	2	2	2	2	2
Most Frequently Occurring (Modal) Number of Sessions	1	1	1	1	1	1



Supportive Living Services (SLS)

SLS units provide an intermediate level of mental health treatment services between outpatient and residential level services. SLS units are located in a designated area or residential unit and provide mental health and daily living support services. These programs are available at each custody level but not at each facility. SLS units are located at MCF-Lino Lakes, MCF-Rush City, MCF-Stillwater, and MCF-Faribault, and a new SLS program was developed at MCF-Moose Lake. Similar services are available for women at the MCF-Shakopee within the extended care unit of the Women of Wellness program. Efforts are made to employ standardized program approaches across all of the SLS programs while also differentiating programming based on specific population needs at the different facilities.

Supportive Living Services Units- Male Facilities	FY15	FY16	FY17	FY18	FY19	FY20
Number of Beds	69	69	69	77	102	108
Number of Admissions	188	203	205	167	79	106
Number of Discharges	182	198	207	164	74	96
Average Length of Stay	139	131	113	124	232	262
Average Daily Census	64	71	67	79	69	80

Supportive Living Services Units- Female Facilities	FY15	FY16	FY17	FY18	FY19	FY20
Number of Beds	8	8	8	8	8	8
Number of Admissions	16	14	12	13	15	10
Number of Discharges	12	13	11	16	17	14
Average Length of Stay	219	182	165	288	112	143
Average Daily Census	6	9	8	8	6	4

MCF-Oak Park Heights-Mental Health Unit (MHU)

Residential programming for mentally ill adult male individuals provides specialty assessments as well as acute and chronic mental health care within a secure environment. The MHU at MCF-Oak Park Heights provides the most intensive care and receives male individuals from other sites who are in crisis. Chronically mentally ill individuals also are housed at this facility when other placements are impractical.

The MHU provides services that include daily therapeutic contact with each incarcerated individual, including weekends and holidays, increased and consistent psychiatric services, psychological assessments, which may include specialized neuropsychological evaluations, intense mental health release planning, and a large range of group programming from mindfulness to psychoeducation. The MHU also works closely with the Minnesota Department of Human Services and county agencies to facilitate community placement, community resources, and when necessary, civil commitment and court ordered medications. Individual strengths and needs of the residents guide the services and interventions implemented. The acuity and wide range of challenges facing each individual resident requires constant evaluation of services and interventions provided.

MCF-Oak Park Heights MHU	FY15	FY16	FY17	FY18	FY19	FY20
Number of Beds	47	47	47	47	47	47
Number of Admissions	85	77	90	72	63	44
Number of Discharges	78	88	81	76	70	49
Average Length of Stay	126	145	134	127	154	119
Average Daily Census	31	30	29	28	23	18

SPMI Release and Reintegration Planning

The DOC provides release planning services for incarcerated individuals meeting the criteria for Serious and Persistent Mental Illness (SPMI). These services are provided to assist individuals in securing community placements as well as connecting them with a range of services needed for successful community transition. These community-based services may include psychiatry, mental health case management services, housing, substance abuse treatment or aftercare, as well as other services that may be needed. This table includes the count of “encounters” with SPMI release planners for each fiscal year.

SPMI Release Planning Activity	FY15	FY16	FY17	FY18	FY19	FY20
Release plan encounter activity	1,405	2,008	1,581	1,657	1,695	1,698
Release plans completed	313	373	439	385	351	341

Mental Illness Diagnoses

The table below shows the prevalence of incarcerated individuals within the DOC that have a diagnosis that meets the state’s statutory definition of a “serious and persistent mental illness” (SPMI). Approximately 11 percent of the incarcerated population has had a diagnosis that fits that statutory definition. According to the Substance Abuse and Mental Health Services Administration (SAMHSA), this is more than twice the rate of serious mental illness for the non-incarcerated population in Minnesota.

MCF Incarcerated with SPMI Diagnoses	7/1/2017		7/1/2018		7/1/2019		7/1/2020	
	n	%	n	%	n	%	n	%
Total MCF Incarcerated Individual Population	9,393		9,329		9,221		7,934	
Bipolar Disorders								
Bipolar I Disorder	129	1.4%	106	1.1%	103	1.1%	82	1.0%
Bipolar II Disorder	53	0.6%	47	0.5%	42	0.5%	37	0.5%
Borderline Personality Disorders								
Borderline Personality Disorder	282	3.0%	234	2.5%	220	2.4%	194	2.4%
Mood Disorders								
Major Depressive Disorder	152	1.6%	151	1.6%	137	1.5%	130	1.6%
Major Depressive Disorder, Recurrent	395	4.2%	371	4.0%	352	3.8%	317	4.0%
Major Depressive Disorder, Single Episode	99	1.1%	96	1.0%	83	0.9%	77	1.0%
Psychotic Disorders								
Schizoaffective Disorder	104	1.1%	119	1.3%	103	1.1%	102	1.3%
Schizophrenia	34	0.4%	31	0.3%	34	0.4%	41	0.5%
Schizophrenia Paranoid Type	56	0.6%	49	0.5%	51	0.6%	46	0.6%
Other Psychotic Disorders	32	0.3%	35	0.4%	39	0.4%	29	0.4%
All SPMI Disorders								
Individuals with one or more SPMI Diagnoses ⁹	1,063	11.3%	983	10.5%	927	10.1%	852	10.7%

⁹An incarcerated individual can be diagnosed as having more than one of the SPMI disorders listed, so this total number of SPMI individuals is less than the sum of the diagnoses in each column.

Other DOC Biennial Activities

This section presents information about Minnesota DOC services and activities during the past biennium which did not lend themselves to coverage in the previous sections.

Substance Abuse Diagnoses

Approximately 77 percent of the incarcerated population has been assessed as having one or more substance abuse diagnoses. The table below shows the number of substance use diagnoses in the adult prison population. The average number of substance abuse diagnoses per diagnosed incarcerated individual is about 2.4. The DOC has 1,051 treatment beds for individuals with substance abuse disorders.

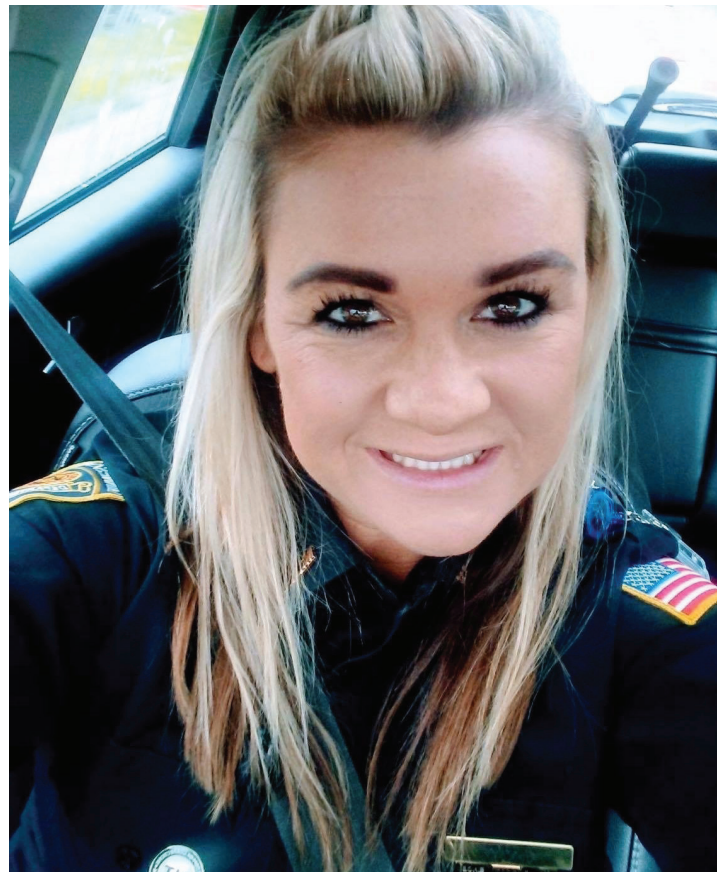
Substance Abuse Diagnoses in July 2020 MCF Population		
Substance	Diagnoses	% Of MCF Population
Alcohol	4,092	52%
Cannabis	4,016	51%
Amphetamine	2,636	33%
Cocaine	1,277	16%
Opiates	1,094	14%
Sedatives	418	5%
Hallucinogens	253	3%

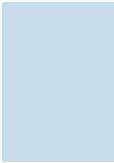
Opioid Use Disorder

There has recently been more attention focused on what is often referred to as the opioid epidemic in America, which has led to a sharp rise in overdose deaths in the United States over the past 15 years. The DOC has seen an increase in the number of incarcerated individuals diagnosed with an opioid use disorder (OUD) from approximately 600 in the mid-2000's to 1,094 in July 2020.

	CY16	CY17	CY18	CY19
Unduplicated MCF Admissions ¹⁰	7,401	7,503	6,854	6,442
Individuals Diagnosed with OUD	1,064	1,074	1,018	1,022
Percent of Individuals Admitted to MCF Diagnosed with OUD	14.4%	14.3%	14.9%	15.9%

¹⁰Note that many incarcerated individuals have more than one substance use disorder. An individual can have more than one prison admission in a year, but for this table, each admitted individual is counted only once.





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