



Legislative Report

Quarterly Clinical Report

First Quarter Fiscal Year 2021

Direct Care and Treatment

November 2020

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This report is being provided as required under Minnesota Statutes Section 246.131. Please refer to the attached notes and definitions for additional information. Contact Matt Burdick, Director of State Government Relation for the Department of Human Services (Matthew.Burdick@state.mn.us or 651-431-4858) with questions.

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I. Census Information

The table below provides the census information for the quarter.

	AMRTC	MSH	CBHHs
Licensed Bed Capacity	175	447	96
Budgeted Bed Capacity	110	407	96
Actual Bed Capacity	97	407	84
Average Daily Census	86	364	81
Occupancy Rate of Budget/Actual Bed Capacity	78.2% / 88.7%	89.4% / 89.4x%	84.4% / 96.4%

II. Occupational Safety and Health Administration (OSHA) Recordable Injuries

The table below provides the number of OSHA recordable injuries during the quarter. The numbers may change depending on when the injury was actually recorded.

	AMRTC	MSH	CBHHs
Total OSHA Recordable Cases	25	25	7
Total OSHA Recordable Aggressive Behavior	19	16	4

III. Clinical Positions

The table below provides data from the last day of the quarter. New FTEs may be partially funded for the first year due to timing of hiring (e.g. 1.0 FTE may be only funded at 0.75); therefore, the sum of the filled and actively recruiting FTEs may be greater than budget.

	AMRTC	MSH	CBHs
Budgeted/Funded FTEs	68.90	193.98	77.00
Filled FTEs	69.30	195.23	77.65
Percent Budgeted/Funded FTEs Filled	100.6%	100.6%	100.8%
Number of FTEs Actively Recruiting	11.0	0.0	5.0

IV. Direct Care Positions

The table below provides data from the last day of the quarter. New FTEs may be partially funded for the first year due to timing of hiring (e.g. 1.0 FTE may be only funded at 0.75); therefore, the sum of the filled and actively recruiting FTEs may be greater than budget.

	AMRTC	MSH	CBHs
Budgeted/Funded FTEs	277.55	571.40	277.00
Filled FTEs	297.93	602.30	297.55
Percent Budgeted/Funded FTEs Filled	107.3%	105.4%	107.4%
Number of FTEs Actively Recruiting	15.2	23.1	13.15

VI. Notes

Direct Care and Treatment (DCT) is facing a significant budget deficit as a result of rising staff compensation costs (salaries, benefits, insurance and pensions) as a result of negotiated labor contracts. The few options we have for managing the situation include scaling back or not filling vacant positions and admitting fewer patients to our treatment facilities. During the first quarter of FY2021, we have been able to manage staffing levels while continuing to provide safe and effective treatment with limited impact to our capacity to admit patients. While a one-time appropriation made during the 5th 2020 Special Session will help manage the budget through fiscal year 2021, these ongoing cost pressures, coupled with workforce shortages throughout the state due to the coronavirus pandemic, mean DCT will confront even more serious financial challenges in the near future. That will require difficult choices about the services we can provide or the number of patients we can safely treat with the current level of available funding.

Census Information:

- The Average Daily Census for Anoka and the CBHHs is relatively unchanged from last quarter; however, the Actual Bed Capacity for both programs is down from last quarter primarily due to COVID.
- The Average Daily Census for MSH is up from last quarter. Budgeted/Actual Bed Capacity is also up from last quarter due to completion of construction projects; however, the licensed bed capacity has dropped.

OSHA Recordable Injuries:

- Recordable injuries at Forensic Services has increased from last quarter mainly due to a small number of aggressive clients with complex diagnoses and behaviors.

Budgeted/Filled Positions:

- DCT has made its best effort to manage staffing within available funding.

VII. Definitions

AMRTC

Anoka Metro Regional Treatment Center

MSH

Minnesota Security Hospital – includes all Forensic Services: Forensic Mental Health, Forensic Nursing Home, and Forensic Transition services.

CBHHs

Community Behavioral Health Hospitals – located at Alexandria, Annandale, Baxter, Bemidji, Fergus Falls, and Rochester. The St. Peter CBHH closed Nov. 7, 2016.

Census Information

Licensed Bed Capacity – the number of beds licensed by the Department of Health

Budgeted Bed Capacity – the number of beds able to operate within available funding

Actual Bed Capacity – the number of beds able to operate within available staffing and physical plant limitations

Average Daily Census – the average census for each day during the quarter

Occupancy Rate – the average daily census divided by budgeted/actual bed capacity

OSHA Recordable Injuries

OSHA Recordable Cases – an injury or illness is considered OSHA Recordable if it results in any of the following:

- Death, days away from work, restricted work or transfer to another job, medical treatment beyond first aid (see below for first aid definition), or loss of consciousness
- A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it does not result in death, days away from work, restricted work or job transfer, medical treatment beyond first aid, or loss of consciousness
- Injuries include cases such as, but not limited to, a cut, fracture, sprain, or amputation
- Illnesses include both acute and chronic illnesses, such as, but not limited to, a skin disease (i.e. contact dermatitis), respiratory disorder (i.e. occupational asthma, pneumoconiosis), or poisoning (i.e. lead poisoning, solvent intoxication)
- OSHA's definition of work-related injuries, illnesses and fatalities are those in which an event or exposure in the work environment either caused or contributed to the condition. In addition, if an event or exposure in the work environment significantly aggravated a pre-existing injury or illness, this is also considered work-related

Aggressive Behavior - a disabling injury stemming from the aggressive and/or intentional and overt act of a person, or which is incurred while attempting to apprehend or take into custody such person.

OSHA Recordable Aggressive Behavior - meets both criteria for an OSHA Recordable case and Aggressive Behavior.

First Aid – for determination of OSHA Recordable cases includes:

- Using a non-prescription medication at nonprescription strength (for medications available in both prescription and non-prescription form, a recommendation by a physician or other licensed health care professional to use a non-prescription medication at prescription strength is considered medical treatment for recordkeeping purposes)
- Administering tetanus immunizations (other immunizations, such as Hepatitis B vaccine or rabies vaccine, are considered medical treatment)
- Cleaning, flushing or soaking wounds on the surface of the skin
- Using wound coverings such as bandages, Band-Aids™, gauze pads, etc.; or using butterfly bandages or Steri-Strips™ (other wound closing devices such as sutures, staples, etc., are considered medical treatment)
- Using hot or cold therapy
- Using any non-rigid means of support, such as elastic bandages, wraps, non-rigid back belts, etc. (devices with rigid stays or other systems designed to immobilize parts of the body are considered medical treatment for recordkeeping purposes)
- Using temporary immobilization devices while transporting an accident victim (e.g., splints, slings, neck collars, back boards, etc.)
- Drilling of a fingernail or toenail to relieve pressure, or draining fluid from a blister
- Using eye patches
- Removing foreign bodies from the eye using only irrigation or a cotton swab
- Removing splinters or foreign material from areas other than the eye by irrigation, tweezers, cotton swabs or other simple means
- Using finger guards
- Using massages (physical therapy or chiropractic treatment are considered medical treatment for recordkeeping purposes)
- Drinking fluids for relief of heat stress

Clinical and Direct Care Positions

Clinical Positions – includes 1) Mental Health Professionals – licensed clinicians such as psychologists, psychiatrists, and social workers who provide clinical direction to the treatment team; 2) Professional Staff who provide clinical assessments, direction to staff, and who also provide direct professional services that do not require oversight

Direct Care Positions – includes 1) staff providing the day to day provision of care to clients on a 24/7 basis (e.g., nurses and Human Services Technician); 2) staff providing direct services under the direction of a Mental Health Professional (e.g., Occupational and Recreational Therapist)

FTE – Full Time Equivalent

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Budgeted/Funded FTEs – the number of FTEs needed to maintain the budgeted bed capacity

Filled FTEs – the total number of actual filled positions within Sema4 as of the last day of the quarter

Percent Budgeted/Funded FTEs Filled – total number of filled FTEs divided by the Budgeted/Funded FTEs

Number of FTEs Actively Recruiting – the number of FTE positions the Human Resources department is working to fill