



CONSULTANTS
• ENVIRONMENTAL
• GEOTECHNICAL
• MATERIALS
• FORENSICS

March 12, 2015

Minnesota Department of Natural Resources
261 Highway 15 South
New Ulm, Minnesota 56073

Attn: Mr. William Schuna

RE: Subsurface Exploration Program
Cameron Township Berm
Murray County, Minnesota
AET #13-05522

Dear Mr. Schuna:

This letter report presents the results of the standard penetration test borings performed on March 5, 2015 through a berm in Cameron Township in Murray County, Minnesota. The work was requested by you. The scope of work related to this request includes the following:

- Three (3) standard penetration test borings to a depth of 15 to 20 feet.
- Soil laboratory testing (water content and moisture density)
- Preparation of this letter report, discussing the in-place soil and ground water conditions encountered and general comments on engineering properties of the soils.

We are submitting you one (1) electronic copy of our report.

1.0 Project Information

We understand that a berm was previously constructed at the project site and water seepage through the berm has been noted.

2.0 Site Exploration

Three standard penetration test borings were advanced at the site on March 5, 2015 at the approximate locations shown on attached Boring Location Map. The borings were extended to a depth of 17½ to 18 feet below existing grade.

Consultant's Report

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1603 Halbur Road • Marshall, MN 56258-1673

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During extension of the borings, soil sampling was performed using primarily 2" split spoon (ASTM: D1586) sampling methods. Blow counts ("N" values) were obtained using the split spoon sampling method. One to two split spoon samples were taken within each 5' depth of the borings.

As soil samples were obtained during the drilling operations, they were visually and manually classified by the crew chief in accordance with ASTM:D2487 and ASTM:D2488. Representative portions of samples were returned to the laboratory for further examination and verification of the field classification. A log of each boring indicating the depth and identification of various strata, water level information and pertinent information regarding the method of maintaining and advancing the boring was prepared and is included with this report. Several soil samples were selected for laboratory tests to aid in identifying the engineering and index properties. These tests included moisture content and dry density. The results are shown on the attached boring logs adjacent to the samples upon which the test was performed.

Data sheets concerning the Unified Soils Classification System, the descriptive terminology, and the symbols used on the boring logs are also attached.

3.0 Conditions Encountered

3.1 Soils

The site geology consists of a layer of clay fill soils at the surface underlain by predominantly fine alluvial clay soils. Sandy lean clay till soils were encountered in boring #1 near the termination depth of the boring.

3.2 Groundwater

Groundwater was encountered in borings #2 and #3 at a depth of 12' and 14' below existing grade at the time of drilling. The lack or depth of subsurface water noted at the boring locations should not be taken as an accurate representation of the actual subsurface water levels. A long period of time is generally required for groundwater to stabilize in the impermeable soils generally present at the site; this period of time is generally not available during a typical subsurface exploration program.

Ground water levels should be expected to fluctuate seasonally and yearly. The time of year that the borings were drilled, and the history of precipitation prior to drilling, should be known when using the water level information on the soil boring logs to extrapolate water levels at other points in time.

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Based upon our previous experience with clay till soils in the general project area, it is our opinion that the subsurface water levels at the site could be quite near the ground surface during periods of significant precipitation, particularly during the spring of the year.

4.0 Geotechnical Review

Based on our review of the site soil conditions, it is our opinion the clay soils encountered at the site are relatively impermeable when properly compacted. We did not encounter any significant sand layers or lenses at the boring locations. The dry density of the natural clay and the clay fill soils is relatively low. It is our opinion the clay fill soils were not placed in a controlled manner.

6.0 Limitations

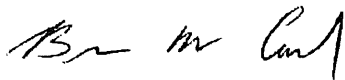
Within the limitations of scope, budget, and schedule, our services have been conducted according to generally accepted geotechnical engineering practices at this time and location. Other than this, no warranty, either expressed or implied, is intended.

Important information regarding risk management and proper use of this report is given in the attached sheet entitled "Geotechnical Report Limitations and Guidelines for Use".

7.0 Remarks

We appreciate being giving the opportunity to work with you on your project. If you have any questions regarding the work reported herein, please do not hesitate to contact us at (507) 532-0771.

Sincerely,
American Engineering Testing, Inc.



Bruce W. Card, PE
Sioux Falls Geotechnical Engineer
MN Reg. No. 16783

Report Reviewed By:
American Engineering Testing, Inc.



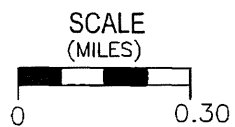
Tom James
Marshall Manager

BWC/bc

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Attachments

- Site Location Map
- Boring Location Map
- Subsurface Boring Logs
- Geotechnical Field Exploration and Testing
- Boring Log Notes
- Unified Soil Classification System
- Geotechnical Report Limitations and Guidelines For Use



SITE LOCATION



AMERICAN
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PROJECT: CAMERON TOWNSHIP BERM
MURRAY COUNTY, MINNESOTA

SUBJECT: SITE LOCATION MAP

SCALE: 1 INCH = 0.30 MILES

DRAWN BY: BCL

PROJECT NO. 13-05522

DATE: 03 / 12 / 2015

REVIEWED BY: BWC



DeGroot WMA - Boring
Murray County
Cameron TWP T107N R43W
Part of SE1/4 Section 3



3

Legend

Berm

PLS Section polygon

State Wildlife Management Area Boundaries



AMERICAN
ENGINEERING
TESTING, INC.

PROJECT: CAMERON TOWNSHIP BERM
MURRAY COUNTY, MINNESOTA

SUBJECT: BORING LOCATION MAP

SCALE: ON DRAWING

DRAWN BY: BCL

PROJECT NO.
13-05522

DATE: 3 / 12 / 2015

REVIEWED BY: BWC



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TESTING, INC.

SUBSURFACE BORING LOG

AET JOB NO: **13-05522**

LOG OF BORING NO. **1 (p. 1 of 1)**

PROJECT: **Cameron Township Berm; Murray County, Minnesota**

DEPTH IN FEET	SURFACE ELEVATION: 98.2' MATERIAL DESCRIPTION	GEOLOGY	N	MC	SAMPLE TYPE	REC IN	FIELD & LABORATORY TESTS				
							WC	DEN	LL	PL	qu
1	FILL, mixture of ORGANIC LEAN CLAY and LEAN CLAY, black and dark brown, frozen to 2.5'	FILL		F	HSAS						
2											
3			6	F/M	SS	9					
4			4	M	SS	7	46				
5			4	M	SS	9					
6	LEAN CLAY, grayish brown, firm to soft to very soft to soft to very soft to soft (CL)	FINE ALLUVIUM	4	M	SS	10	35	82.0			
7			7	M	SS	10					
8			2	M	SS	18	39				
9			3	M	SS	12					
10			1	M	SS	5	38				
11			4	M	SS	6					
12			1	M	SS	8	34				
13			4	M	SS	6					
14			2	M	SS	8	48	77.0			
15	SANDY LEAN CLAY, with a little gravel, gray mottled, firm (CL)	TILL	6	M	SS	8					
16			6	M	SS	12					
17	END OF BORING										
DEPTH: DRILLING METHOD		WATER LEVEL MEASUREMENTS							NOTE: REFER TO THE ATTACHED SHEETS FOR AN EXPLANATION OF TERMINOLOGY AND		
0-16' 3.25" HSA		DATE	TIME	SAMPLED DEPTH	CASING DEPTH	CAVE-IN DEPTH	DRILLING FLUID LEVEL	WATER LEVEL			
		3/5/15	11:22	17.5'	14'	17'		None			
BORING COMPLETED: 3/5/15											
DR: RH J. 344 Rtg 63											



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SUBSURFACE BORING LOG

AET JOB NO: **13-05522**

LOG OF BORING NO. **2 (p. 1 of 1)**

PROJECT: **Cameron Township Berm; Murray County, Minnesota**

DEPTH IN FEET	SURFACE ELEVATION: 98.8' MATERIAL DESCRIPTION	GEOLOGY	N	MC	SAMPLE TYPE	REC IN.	FIELD & LABORATORY TESTS				
							WC	DEN	LL	PL	qu
1	FILL, mixture of ORGANIC FAT CLAY and FAT CLAY, black and dark brown, frozen to 2.5'	FILL		F	HSAS						
2											
3			6	F/M	SS	6					
4			7	M	SS	6					
5			1	M	SS	6	34				
6	FAT CLAY, dark brown, firm to soft (CH)	FINE ALLUVIUM	6	M	SS	3					
7			6	M	SS	6					
8			1.5	M	SS	6	42				
9			2.5	M	SS	12	32				
10			4	M	SS	8					
11	LEAN CLAY, grayish brown, soft (CL)		4	M	SS	8					
12			4	M	SS	8	34	89.0			
13			2	M	SS	12	41				
14			3	M	SS	12					
15			2	M	SS	8	36				
16	FAT CLAY, brown and gray mottled, firm (CH)		3	M	SS	12					
17			6	M	SS	12					
18			END OF BORING								

DEPTH	DRILLING METHOD	WATER LEVEL MEASUREMENTS						NOTE. REFER TO THE ATTACHED SHEETS FOR AN EXPLANATION OF TERMINOLOGY ON THIS LOG.	
		DATE	TIME	SAMPLED DEPTH	CASING DEPTH	CAVE-IN DEPTH	DRILLING FLUID LEVEL		WATER LEVEL
0-17'		3/5/15	1:05	18'	15'	18'		12'	
BORING COMPLETED: 3/5/15									
DR RH LG MH Rtg 63									



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SUBSURFACE BORING LOG

AET JOB NO: **13-05522**

LOG OF BORING NO. **3 (p. 1 of 1)**

PROJECT: **Cameron Township Berm; Murray County, Minnesota**

DEPTH IN FEET	SURFACE ELEVATION: <u>98.9'</u> MATERIAL DESCRIPTION	GEOLOGY	N	MC	SAMPLE TYPE	REC IN	FIELD & LABORATORY TESTS				
							WC	DEN	LL	PL	qu
1	FILL, mixture of ORGANIC LEAN CLAY and FAT CLAY, black and dark brown, frozen to 2.5'	FILL		F	HSAS						
2			4	F/M	SS	3					
3			5	M	SS	3					
4			5	M	SS	6					
5			2	M	SS	8	59				
6			4	M	SS	8					
7			LEAN CLAY, dark brown, soft (CL)	FINE ALLUVIUM	3	M	SS	16	35	89.0	
8	5	M			SS	18					
9	4	M			SS	12	33				
10	5	M			SS	12					
11	4	M			SS	12	31				
12	6	M			SS	12					
13	2	M			SS	12	36				
14	4	M			SS	12					
15	6	M			SS	12					
16	5	M			SS	12					
17	END OF BORING										
18											

DEPTH: DRILLING METHOD		WATER LEVEL MEASUREMENTS							NOTE: REFER TO THE ATTACHED SHEETS FOR AN EXPLANATION OF TERMINOLOGY ON THIS LOG
0-17'		DATE	TIME	SAMPLED DEPTH	CASING DEPTH	CAVE-IN DEPTH	DRILLING FLUID LEVEL	WATER LEVEL	
		3/5/15	2:15	18'	14'	18'		14'	
BORING COMPLETED: 3/5/15									
DR RH LG MH RB 63									

Geotechnical Field Exploration and Testing

AET Project No. 13-05522

A.1 FIELD EXPLORATION

The subsurface conditions at the site were explored by drilling and sampling standard penetration test borings. The locations of the borings appear on the Boring Location Map, preceding the Subsurface Boring Logs in this appendix.

A.2 SAMPLING METHODS

A.2.1 Split-Spoon Samples (SS)

Standard penetration (split-spoon) samples were collected in general accordance with ASTM: D1586. The ASTM test method consists of driving a 2-inch O.D. split-barrel sampler into the in-situ soil with a 140-pound hammer dropped from a height of 30 inches. The sampler is driven a total of 18 inches into the soil. After an initial set of 6 inches, the number of hammer blows to drive the sampler the final 12 inches is known as the standard penetration resistance or N-value.

A.2.2 Disturbed Samples (DS)/Spin-up Samples (SU)

Sample types described as "DS" or "SU" on the boring logs are disturbed samples, which are taken from the flights of the auger. Because the auger disturbs the samples, possible soil layering and contact depths should be considered approximate.

A.2.3 Sampling Limitations

Unless actually observed in a sample, contacts between soil layers are estimated based on the spacing of samples and the action of drilling tools. Cobbles, boulders, and other large objects generally cannot be recovered from test borings, and they may be present in the ground even if they are not noted on the boring logs.

Determining the thickness of "topsoil" layers is usually limited, due to variations in topsoil definition, sample recovery, and other factors. Visual-manual description often relies on color for determination, and transitioning changes can account for significant variation in thickness judgment. Accordingly, the topsoil thickness presented on the logs should not be the sole basis for calculating topsoil stripping depths and volumes. If more accurate information is needed relating to thickness and topsoil quality definition, alternate methods of sample retrieval and testing should be employed.

A.3 CLASSIFICATION METHODS

Soil descriptions shown on the boring logs are based on the Unified Soil Classification (USC) system. The USC system is described in ASTM: D2487 and D2488. Where laboratory classification tests (sieve analysis or Atterberg Limits) have been performed, accurate classifications per ASTM: D2487 are possible. Otherwise, soil descriptions shown on the boring logs are visual-manual judgments. Charts are attached which provide information on the USC system, the descriptive terminology, and the symbols used on the boring logs.

Visual-manual judgment of the AASHTO Soil Group is also noted as a part of the soil description. A chart presenting details of the AASHTO Soil Classification System is also attached.

The boring logs include descriptions of apparent geology. The geologic depositional origin of each soil layer is interpreted primarily by observation of the soil samples, which can be limited. Observations of the surrounding topography, vegetation, and development can sometimes aid this judgment.

A.4 WATER LEVEL MEASUREMENTS

The ground water level measurements are shown at the bottom of the boring logs. The following information appears under "Water Level Measurements" on the logs:

- ♦ Date and Time of measurement

Geotechnical Field Exploration and Testing

AET Project No. 13-05522

- ♦ **Sampled Depth:** lowest depth of soil sampling at the time of measurement
- ♦ **Casing Depth:** depth to bottom of casing or hollow-stem auger at time of measurement
- ♦ **Cave-in Depth:** depth at which measuring tape stops in the borehole
- ♦ **Water Level:** depth in the borehole where free water is encountered
- ♦ **Drilling Fluid Level:** same as Water Level, except that the liquid in the borehole is drilling fluid

The true location of the water table at the boring locations may be different than the water levels measured in the boreholes. This is possible because there are several factors that can affect the water level measurements in the borehole. Some of these factors include: permeability of each soil layer in profile, presence of perched water, amount of time between water level readings, presence of drilling fluid, weather conditions, and use of borehole casing.

A.5 LABORATORY TEST METHODS

A.5.1 Water Content Tests

Conducted per AET Procedure 01-LAB-010, which is performed in general accordance with ASTM: D2216 and AASHTO: T265.

A.5.2 Atterberg Limits Tests

Conducted per AET Procedure 01-LAB-030, which is performed in general accordance with ASTM: D4318 and AASHTO: T89, T90.

A.5.3 Unconfined Compressive Strength of Cohesive Soil

Conducted per AET Procedure 01-LAB-080, which is performed in general accordance with ASTM: D2166 and AASHTO: T208.

A.6 TEST STANDARD LIMITATIONS

Field and laboratory testing is done in general conformance with the described procedures. Compliance with any other standards referenced within the specified standard is neither inferred nor implied.

A.7 SAMPLE STORAGE

Unless notified to do otherwise, we routinely retain representative samples of the soils recovered from the borings for a period of 30 days.

BORING LOG NOTES

DRILLING AND SAMPLING SYMBOLS

Symbol	Definition
AR:	Sample of material obtained from cuttings blown out the top of the borehole during air rotary procedure.
B, H, N:	Size of flush-joint casing
CAS:	Pipe casing, number indicates nominal diameter in inches
COT:	Clean-out tube
DC:	Drive casing; number indicates diameter in inches
DM:	Drilling mud or bentonite slurry
DR:	Driller (initials)
DS:	Disturbed sample from auger flights
DP:	Direct push drilling; a 2.125 inch OD outer casing with an inner 1½ inch ID plastic tube is driven continuously into the ground.
FA:	Flight auger; number indicates outside diameter in inches
HA:	Hand auger; number indicates outside diameter
HSA:	Hollow stem auger; number indicates inside diameter in inches
LG:	Field logger (initials)
MC:	Column used to describe moisture condition of samples and for the ground water level symbols
N (BPF):	Standard penetration resistance (N-value) in blows per foot (see notes)
NQ:	NQ wireline core barrel
PQ:	PQ wireline core barrel
RDA:	Rotary drilling with compressed air and roller or drag bit.
RDF:	Rotary drilling with drilling fluid and roller or drag bit
REC:	In split-spoon (see notes), direct push and thin-walled tube sampling, the recovered length (in inches) of sample. In rock coring, the length of core recovered (expressed as percent of the total core run). Zero indicates no sample recovered.
SS:	Standard split-spoon sampler (steel; 1.5" is inside diameter; 2" outside diameter); unless indicated otherwise
SU:	Spin-up sample from hollow stem auger
TW:	Thin-walled tube; number indicates inside diameter in inches
WASH:	Sample of material obtained by screening returning rotary drilling fluid or by which has collected inside the borehole after "falling" through drilling fluid
WH:	Sampler advanced by static weight of drill rod and hammer
WR:	Sampler advanced by static weight of drill rod
94mm:	94 millimeter wireline core barrel
▼:	Water level directly measured in boring
▽:	Estimated water level based solely on sample appearance

TEST SYMBOLS

Symbol	Definition
CONS:	One-dimensional consolidation test
DEN:	Dry density, pcf
DST:	Direct shear test
E:	Pressuremeter Modulus, tsf
HYD:	Hydrometer analysis
LL:	Liquid Limit, %
LP:	Pressuremeter Limit Pressure, tsf
OC:	Organic Content, %
PERM:	Coefficient of permeability (K) test; F - Field; L - Laboratory
PL:	Plastic Limit, %
qp:	Pocket Penetrometer strength, tsf (<u>approximate</u>)
qc:	Static cone bearing pressure, tsf
qu:	Unconfined compressive strength, psf
R:	Electrical Resistivity, ohm-cms
RQD:	Rock Quality Designation of Rock Core, in percent (aggregate length of core pieces 4" or more in length as a percent of total core run)
SA:	Sieve analysis
TRX:	Triaxial compression test
VSR:	Vane shear strength, remolded (field), psf
VSU:	Vane shear strength, undisturbed (field), psf
WC:	Water content, as percent of dry weight
%-200:	Percent of material finer than #200 sieve

STANDARD PENETRATION TEST NOTES (Calibrated Hammer Weight)

The standard penetration test consists of driving a split-spoon sampler with a drop hammer (calibrated weight varies to provide N_{60} values) and counting the number of blows applied in each of three 6" increments of penetration. If the sampler is driven less than 18" (usually in highly resistant material), permitted in ASTM: D1586, the blows for each complete 6" increment and for each partial increment is on the boring log. For partial increments, the number of blows is shown to the nearest 0.1' below the slash.

The length of sample recovered, as shown on the "REC" column, may be greater than the distance indicated in the N column. The disparity is because the N-value is recorded below the initial 6" set (unless partial penetration defined in ASTM: D1586 is encountered) whereas the length of sample recovered is for the entire sampler drive (which may even extend more than 18").

UNIFIED SOIL CLASSIFICATION SYSTEM ASTM Designations: D 2487, D2488

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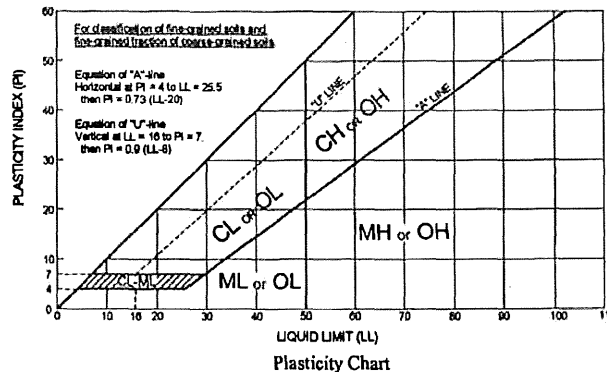
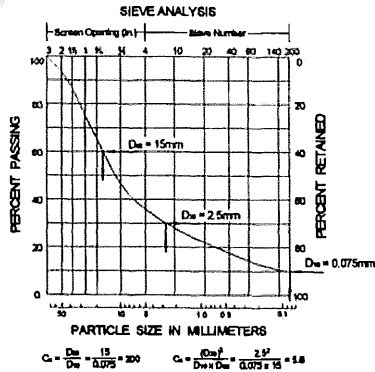


Criteria for Assigning Group Symbols and Group Names Using Laboratory Tests ^A				Soil Classification	
				Group Symbol	Group Name ^B
Coarse-Grained Soils More than 50% retained on No. 200 sieve	Gravels More than 50% coarse fraction retained on No. 4 sieve	Clean Gravels Less than 5% fines ^C	$Cu \geq 4$ and $1 \leq Cc \leq 3$ ^E	GW	Well graded gravel ^F
			$Cu < 4$ and/or $1 > Cc > 3$ ^E	GP	Poorly graded gravel ^F
	Sands 50% or more of coarse fraction passes No. 4 sieve	Gravels with Fines more than 12% fines ^C	Fines classify as ML or MH	GM	Silty gravel ^{F,G,H}
			Fines classify as CL or CH	GC	Clayey gravel ^{F,G,H}
	Sands with Fines more than 12% fines ^D	Clean Sands Less than 5% fines ^D	$Cu \geq 6$ and $1 \leq Cc \leq 3$ ^E	SW	Well-graded sand ^I
			$Cu < 6$ and/or $1 > Cc > 3$ ^E	SP	Poorly-graded sand ^I
Fine-Grained Soils 50% or more passes the No. 200 sieve (see Plasticity Chart below)	Silts and Clays Liquid limit less than 50	inorganic	PI > 7 and plots on or above "A" line ^J	CL	Lean clay ^{K,L,M}
			PI < 4 or plots below "A" line ^J	ML	Silt ^{K,L,M}
		organic	Liquid limit—oven dried < 0.75 Liquid limit — not dried	OL	Organic clay ^{K,L,M,N} Organic silt ^{K,L,M,O}
	Silts and Clays Liquid limit 50 or more	inorganic	PI plots on or above "A" line	CH	Fat clay ^{K,L,M}
			PI plots below "A" line	MH	Elastic silt ^{K,L,M}
Highly organic soil		organic	Liquid limit—oven dried < 0.75 Liquid limit — not dried	OH	Organic clay ^{K,L,M,P} Organic silt ^{K,L,M,Q}
			Primarily organic matter, dark in color, and organic in odor	PT	Peat ^R

Notes
^ABased on the material passing the 3-in (75-mm) sieve.
^BIf field sample contained cobbles or boulders, or both, add "with cobbles or boulders, or both" to group name.
^CGravels with 5 to 12% fines require dual symbols:
GW-GM well-graded gravel with silt
GW-GC well-graded gravel with clay
GP-GM poorly graded gravel with silt
GP-GC poorly graded gravel with clay
^DSands with 5 to 12% fines require dual symbols:
SW-SM well-graded sand with silt
SW-SC well-graded sand with clay
SP-SM poorly graded sand with silt
SP-SC poorly graded sand with clay

$$E_{Cu} = D_{60}/D_{10}, \quad Cc = \frac{(D_{30})^2}{D_{10} \times D_{60}}$$

^FIf soil contains $\geq 15\%$ sand, add "with sand" to group name.
^GIf fines classify as CL-ML, use dual symbol GC-GM, or SC-SM.
^HIf fines are organic, add "with organic fines" to group name.
^IIf soil contains $\geq 15\%$ gravel, add "with gravel" to group name.
^JIf Atterberg limits plot is hatched area, soils is a CL-ML silty clay.
^KIf soil contains 15 to 29% plus No. 200 add "with sand" or "with gravel", whichever is predominant.
^LIf soil contains $\geq 30\%$ plus No. 200, predominantly sand, add "sandy" to group name.
^MIf soil contains $\geq 30\%$ plus No. 200, predominantly gravel, add "gravelly" to group name.
^NPI > 4 and plots on or above "A" line.
^OPI < 4 or plots below "A" line.
^PPI plots on or above "A" line.
^QPI plots below "A" line.
^RFiber Content description shown below.



ADDITIONAL TERMINOLOGY NOTES USED BY AET FOR SOIL IDENTIFICATION AND DESCRIPTION

Grain Size		Gravel Percentages		Consistency of Plastic Soils		Relative Density of Non-Plastic Soils	
Term	Particle Size	Term	Percent	Term	N-Value, BPF	Term	N-Value, BPF
Boulders	Over 12"	A Little Gravel	3% - 14%	Very Soft	less than 2	Very Loose	0 - 4
Cobbles	3" to 12"	With Gravel	15% - 29%	Soft	2 - 4	Loose	5 - 10
Gravel	#4 sieve to 3"	Gravelly	30% - 50%	Firm	5 - 8	Medium Dense	11 - 30
Sand	#200 to #4 sieve			Stiff	9 - 15	Dense	31 - 50
Fines (silt & clay)	Pass #200 sieve			Very Stiff	16 - 30	Very Dense	Greater than 50
				Hard	Greater than 30		
Moisture/Frost Condition		Layering Notes		Peat Description		Organic Description (if no lab tests)	
(MC Column)						Soils are described as <u>organic</u> , if soil is not peat and is judged to have sufficient organic fines content to influence the Liquid Limit properties. <u>Slightly organic</u> used for borderline cases.	
D (Dry):	Absence of moisture, dusty, dry to touch.	Laminations: Layers less than 1/2" thick of differing material or color.		Fiber Content (Visual Estimate)		<u>Root Inclusions</u>	
M (Moist):	Damp, although free water not visible. Soil may still have a high water content (over "optimum").			Fibric Peat: Greater than 67%		With roots: Judged to have sufficient quantity of roots to influence the soil properties.	
W (Wet/ Waterbearing):	Free water visible intended to describe non-plastic soils. Waterbearing usually relates to sands and sand with silt.	Lenses: Pockets or layers greater than 1/2" thick of differing material or color.		Hemic Peat: 33 - 67%		Trace roots: Small roots present, but not judged to be in sufficient quantity to significantly affect soil properties.	
F (Frozen):	Soil frozen			Sapric Peat: Less than 33%			

Geotechnical Report Limitations and Guidelines for Use

AET Project No. 13-05522

B.1 REFERENCE

This appendix provides information to help you manage your risks relating to subsurface problems which are caused by construction delays, cost overruns, claims, and disputes. This information was developed and provided by ASFE¹, of which, we are a member firm.

B.2 RISK MANAGEMENT INFORMATION

B.2.1 Geotechnical Services are Performed for Specific Purposes, Persons, and Projects

Geotechnical engineers structure their services to meet the specific needs of their clients. A geotechnical engineering study conducted for a civil engineer may not fulfill the needs of a construction contractor or even another civil engineer. Because each geotechnical engineering study is unique, each geotechnical engineering report is unique, prepared solely for the client. No one except you should rely on your geotechnical engineering report without first conferring with the geotechnical engineer who prepared it. An no one, not even you, should apply the report for any purpose or project except the one originally contemplated.

B.2.2 Read the Full Report

Serious problems have occurred because those relying on a geotechnical engineering report did not read it all. Do not rely on an executive summary. Do not read selected elements only.

B.2.3 A Geotechnical Engineering Report is Based on A Unique Set of Project-Specific Factors

Geotechnical engineers consider a number of unique, project-specific factors when establishing the scope of a study. Typically factors include: the client's goals, objectives, and risk management preferences; the general nature of the structure involved, its size, and configuration; the location of the structure on the site; and other planned or existing site improvements, such as access roads, parking lots, and underground utilities. Unless the geotechnical engineer who conducted the study specifically indicates otherwise, do not rely on a geotechnical engineering report that was:

- X not prepared for you,
- X not prepared for your project,
- X not prepared for the specific site explored, or
- X completed before important project changes were made.

Typical changes that can erode the reliability of an existing geotechnical engineering report include those that affect:

- X the function of the proposed structure, as when it's changed from a parking garage to an office building, or from a light industrial plant to a refrigerated warehouse,
- X elevation, configuration, location, orientation, or weight of the proposed structure,
- X composition of the design team, or
- X project ownership.

As a general rule, always inform your geotechnical engineer of project changes, even minor ones, and request an assessment of their impact. Geotechnical engineers cannot accept responsibility or liability for problems that occur because their reports do not consider developments of which they were not informed.

B.2.4 Subsurface Conditions Can Change

A geotechnical engineering report is based on conditions that existed at the time the study was performed. Do not rely on a geotechnical engineering report whose adequacy may have been affected by: the passage of time; by man-made events, such as construction on or adjacent to the site; or by natural events, such as floods, earthquakes, or groundwater fluctuations. Always contact the geotechnical engineer before applying the report to determine if it is still reliable. A minor amount of additional testing or analysis could prevent major problems.

¹ ASFE, 8811 Colesville Road/Suite G106, Silver Spring, MD 20910
Telephone: 301/565-2733 : www.asfe.org

Geotechnical Report Limitations and Guidelines for Use

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B.2.5 Most Geotechnical Findings Are Professional Opinions

Site exploration identified subsurface conditions only at those points where subsurface tests are conducted or samples are taken. Geotechnical engineers review field and laboratory data and then apply their professional judgment to render an opinion about subsurface conditions throughout the site. Actual subsurface conditions may differ, sometimes significantly, from those indicated in your report. Retaining the geotechnical engineer who developed your report to provide construction observation is the most effective method of managing the risks associated with unanticipated conditions.

B.2.6 A Report's Recommendations Are Not Final

Do not overrely on the construction recommendations included in your report. Those recommendations are not final, because geotechnical engineers develop them principally from judgment and opinion. Geotechnical engineers can finalize their recommendations only by observing actual subsurface conditions revealed during construction. The geotechnical engineer who developed your report cannot assume responsibility or liability for the report's recommendations if that engineer does not perform construction observation.

B.2.7 A Geotechnical Engineering Report Is Subject to Misinterpretation

Other design team members' misinterpretation of geotechnical engineering reports has resulted in costly problems. Lower that risk by having your geotechnical engineer confer with appropriate members of the design team after submitting the report. Also retain your geotechnical engineer to review pertinent elements of the design team's plans and specifications. Contractors can also misinterpret a geotechnical engineering report. Reduce that risk by having your geotechnical engineer participate in prebid and preconstruction conferences, and by providing construction observation.

B.2.8 Do Not Redraw the Engineer's Logs

Geotechnical engineers prepare final boring and testing logs based upon their interpretation of field logs and laboratory data. To prevent errors or omissions, the logs included in a geotechnical engineering report should never be redrawn for inclusion in architectural or other design drawings. Only photographic or electronic reproduction is acceptable, but recognize that separating logs from the report can elevate risk.

B.2.9 Give Contractors a Complete Report and Guidance

Some owners and design professionals mistakenly believe they can make contractors liable for unanticipated subsurface conditions by limiting what they provide for bid preparation. To help prevent costly problems, give contractors the complete geotechnical engineering report, but preface it with a clearly written letter of transmittal. In the letter, advise contractors that the report was not prepared for purposes of bid development and that the report's accuracy is limited; encourage them to confer with the geotechnical engineer who prepared the report (a modest fee may be required) and/or to conduct additional study to obtain the specific types of information they need to prefer. A prebid conference can also be valuable. Be sure contractors have sufficient time to perform additional study. Only then might you be in a position to give contractors the best information available to you, while requiring them to at least share some of the financial responsibilities stemming from unanticipated conditions.

B.2.10 Read Responsibility Provisions Closely

Some clients, design professionals, and contractors do not recognize that geotechnical engineering is far less exact than other engineering disciplines. This lack of understanding has created unrealistic expectations that have led to disappointments, claims, and disputes. To help reduce the risk of such outcomes, geotechnical engineers commonly include a variety of explanatory provisions in their report. Sometimes labeled "limitations" many of these provisions indicate where geotechnical engineers' responsibilities begin and end, to help others recognize their own responsibilities and risks. Read these provisions closely. Ask questions. Your geotechnical engineer should respond fully and frankly.

B.2.11 Geoenvironmental Concerns Are Not Covered

The equipment, techniques, and personnel used to perform a geoenvironmental study differ significantly from those used to perform a geotechnical study. For that reason, a geotechnical engineering report does not usually relate any geoenvironmental findings, conclusions, or recommendations; e.g., about the likelihood of encountering underground storage tanks or regulated contaminants. Unanticipated environmental problems have led to numerous project failures. If you have not yet obtained your own geoenvironmental information, ask your geotechnical consultant for risk management guidance. Do not rely on an environmental report prepared for someone else.