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# University of Minnesota

## Capital Appropriations Expenditure Report

2008

# Total Allocation Status

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## Funding Summary: Total Allocation

Year	Total Allocation	Percent Spent or Encumbered
2003	\$48.7 million	95 %
2005	\$134.0 million	94 %
2006	\$115.7 million	59 %

### Definitions

**Allocation:** The Legislative appropriation for each project. Although HEAPR funds are appropriated in a block of funds, they are detailed in this report by the University's allocation.

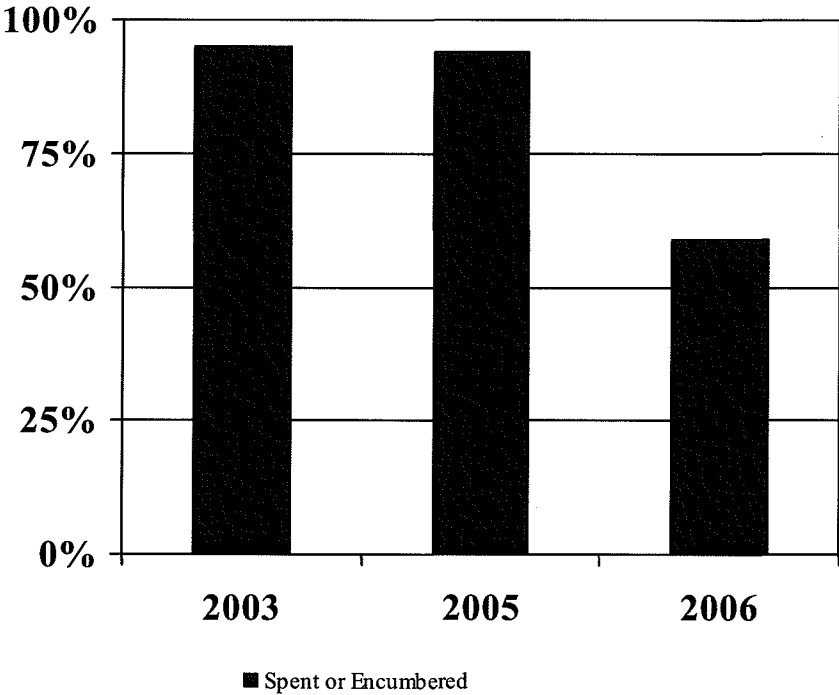
**Spent:** The amount the University has paid to contractors from signed contracts.

**Encumbered:** This includes three categories.

- a. Project amount specifically under contract with a general contractor, architect, engineer, or other vendor.
- b. Known/budgeted cost required to complete a project under contract for construction.
- c. Internal project where work has begun and/or internal purchase order/work has been completed.

# Total Allocation Status

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# HEAPR Allocation Status

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## Funding Summary: HEAPR Allocation

Year	HEAPR Allocation	Percent Spent or Encumbered
2003	\$1.1 million*	100 %
2005	\$40.0 million	99 %
2006	\$30.0 million	99%

\* 2003 HEAPR includes funds converted from other completed capital projects.

### Definitions

**Allocation:** The Legislative appropriation for each project. Although HEAPR funds are appropriated in a block of funds, they are detailed in this report by the University's allocation.

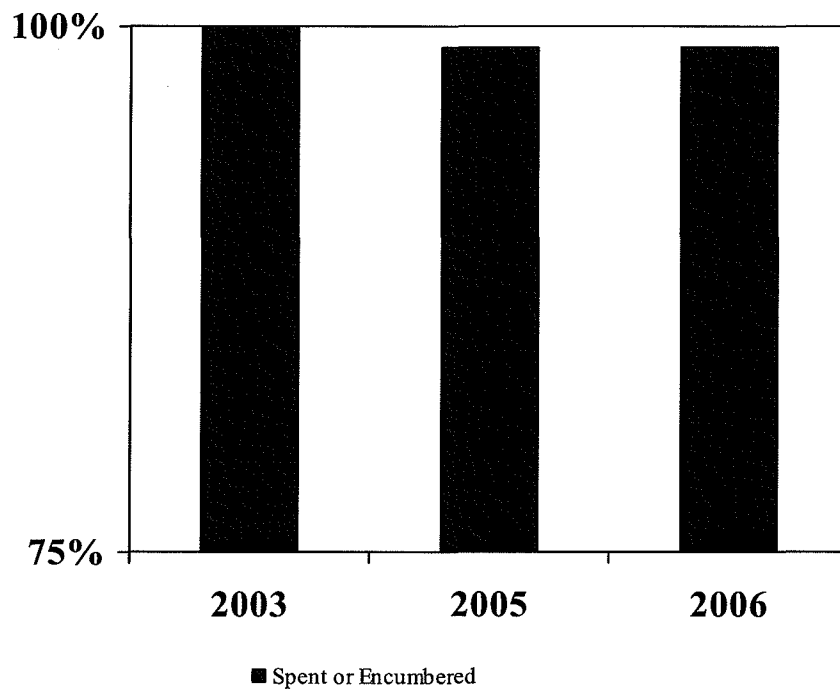
**Spent:** The amount the University has paid to contractors from signed contracts.

**Encumbered:** This includes three categories.

- a. Project amount specifically under contract with a general contractor, architect, engineer, or other vendor.
- b. Known/budgeted cost required to complete a project under contract for construction.
- c. Internal project where work has begun and/or internal purchase order/work has been completed.

## HEAPR Allocation Status

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# University of Minnesota

Status Report: Total Capital Appropriations 2003 to 2006  
 (Projected 01/31/08 as of 12/31/07) Definitions on last page

(A) Project Name	(B) Appropriation Amount	(C) Spent	(D) Encumbered	(E) (B-C-D) Allotted but Uncommitted	(F) Status	(G) Estimated Occupancy
<b>2003 State Capital Appropriations: Major Projects</b>						
<b>Completed Projects</b>	43,127,955	43,127,955	0	0		
TRANSLATIONAL RESEARCH PUBLIC ART	85,000	21,500	63,500	0	Construction	NA
<b>Subtotal - Lions Translational Research Addtn</b>	85,000	21,500	63,500	0		
TEACHING & TECHNOLOGY CTR(PREDESIGN)	3,000,000	428,767	0	2,571,233	Pre-Design	NA
<b>Completed Research &amp; Outreach Projects</b>	2,096,045	2,096,045	0	0		
NCROC FOREST GENETICS LAB ADDTN	375,000	375,000	0	0	Substantial Completion	Occupied
NCROC FOREST GENETICS(CONTINGENCY)	24,000	16,054	7,946	0	Substantial Completion	Occupied
<b>Subtotal - Research &amp; Outreach</b>	2,495,045	2,487,099	7,946	0		
<b>Total - 2003 Appropriations</b>	48,708,000	46,065,321	71,446	2,571,233		

## University of Minnesota

Status Report: Total Capital Appropriations 2003 to 2006  
(Projected 01/31/08 as of 12/31/07) Definitions on last page

(A) Project Name	(B) Appropriation Amount	(C) Spent	(D) Encumbered	(E) (B-C-D) Allotted but Uncommitted	(F) Status	(G) Estimated Occupancy
<b>2005 State Capital Appropriations: Major Projects</b>						
<b>Completed Projects</b>	27,109,000	27,109,000	0	0		
KOLTHOFF FACILITY RENEWAL	17,400,000	14,465,575	2,934,425	0	Construction	06/01/08
EDUCATION SCIENCES BUILDING RENEWAL	14,500,000	12,388,435	2,111,565	0	Substantially Complete	Occupied
AHC CLASSROOM IMPROVEMENTS	2,624,361	2,131,455	492,906	0	Construction	Occupied
<b>Subtotal-AHC Education Projects</b>	<b>11,600,000</b>	<b>11,107,094</b>	<b>492,906</b>	<b>0</b>		
WCROC DRAINAGE RESEARCH	100,000	71,702	28,298	0	Construction	03/31/08
WCROC WATER QUALITY RESEARCH	110,000	74,874	35,126	0	Construction	03/31/08
SROC WELL & PUMP INSTALLATION	163,500	88,336	75,164	0	Construction	03/31/08
SWROC AGRICULTURAL RUNOFF	245,500	169,374	76,126	0	Construction	03/31/08
<b>Subtotal-ROC Water Research Projects</b>	<b>619,000</b>	<b>404,286</b>	<b>214,714</b>	<b>0</b>		
UMD LIFE SCIENCE BUILDING RENOVATION	10,100,000	10,088,108	11,892	0	Substantial Completion	Occupied
UMD SPORTS & HEALTH CENTER	8,700,000	8,488,577	211,423	0	Substantial Completion	Occupied
UMM BIO MASS/ALT ENERGY FACILITY	4,000,000	2,596,886	1,403,114	0	Construction	04/30/08
<b>Subtotals - '05 Appropriations</b>	<b>94,028,000</b>	<b>86,647,961</b>	<b>7,380,039</b>	<b>0</b>		
<b>'05 HEAPR Projects</b>						
<b>Completed Projects</b>	31,458,581	31,458,581	0	(0)		
COFFEY HALL WATER INFILTRATION	350,000	8,529	341,471	0	Construction	06/30/08
DIEHL HALL ROOF REPLACEMENT-AREA A	344,709	329,512	15,197	0	Substantial Completion	Occupied
CIVIL ENGINEERING DEWATERING WELL MODIF	118,580	100,741	17,840	0	Construction	06/30/08
COFFEY ELEVATOR MODERNIZATION	455,791	435,440	20,350	0	Substantial Completion	Occupied
ST PAUL CHILLED WATER PHASE II	2,181,945	1,792,405	389,540	0	Construction	11/01/08
CEDAR CREEK '06 MISC REPAIRS	45,000	44,420	580	0	Substantial Completion	01/31/08
UMC STEAM UTILITY INFRASTRUCTURE	4,545,393	4,499,188	46,206	0	Substantial Completion	Occupied
UMC LAMB & SHEEP BARN SINKS	50,000	24,376	25,624	0	Construction	03/31/08
UMC LYSACKER GYM FLOOR REPLACEMENT	275,000	250,533	24,467	0	Substantial Completion	Occupied
UMC SIDEWALK REPLACEMENTS	70,000	16,015	53,985	0	Construction	04/30/08
CROOKSTON HEALTH & SAFETY PROJECTS	105,000	0	0	105,000	Design Development	06/30/08

# University of Minnesota

Status Report: Total Capital Appropriations 2003 to 2006  
(Projected 01/31/08 as of 12/31/07) Definitions on last page

(A) Project Name	(B) Appropriation Amount	(C) Spent	(D) Encumbered	(E) (B-C-D) Allotted but Uncommitted	(F) Status	(G) Estimated Occupancy
<b>Subtotals - '05 HEAPR</b>	40,000,000	38,959,740	935,260	105,000		
<b>Total - 2005 Appropriations</b>	134,028,000	125,607,701	8,315,299	105,000		
<b>2006 State Capital Appropriations: Major Projects</b>						
<b>Completed Projects</b>	800,000	800,000	0	0		
UMD LABOVITZ SCHOOL OF BUSINESS & ECON	15,333,000	12,046,583	3,286,417	0	Construction	03/31/08
HANSON HALL (CSOM EXPANSION)	26,600,000	23,366,697	3,233,303	0	Construction	05/01/08
MEDICAL BIOSCIENCES BUILDING	40,000,000	5,407,587	34,592,413	0	Construction	09/29/09
CEDAR CREEK NEW HOUSING FACILITY	500,000	485,319	14,681	0	Construction	01/01/08
WCROC WIND TO HYDROGEN SYSTEM	2,500,000	0	285,530	2,214,470	Design Development	03/31/08
<b>Subtotals - '06 Appropriations</b>	85,733,000	42,106,186	41,412,344	2,214,470		
<b>'06 HEAPR Projects</b>						
<b>Completed Projects</b>	21,188,751	21,188,751	(0)	0		
ZONE 5 CENTRAL AIR SYSTEM	641,000	511,764	129,236	0	Construction	03/01/08
WEST BANK CONCRETE WORK	275,330	12,705	262,625	0	Construction	04/30/08
WESBROOK ROOF AND GUTTER REPLCMNT	476,723	449,813	26,910	0	Substantial Completion	Occupied
1901 UNIVERSITY AVE SPRINKLERS	149,000	15,658	133,342	0	Construction	06/30/08
MOOS TOWER BACK UP ELECTRICAL SYSTEM	510,000	213,864	296,136	0	Construction	05/01/08
WILLIAMSON HVAC & WINDOWS REPLCMNT	1,276,322	210,041	1,066,281	0	Construction	03/31/08
CIV ENG DEWATERING WELL MODIFICATIONS	363,907	186,125	177,782	0	Construction	06/30/08
AQUATIC CENTER HVAC CONTROLS REPLC	367,555	357,934	9,621	0	Substantial Completion	Occupied
WEISMAN HVAC & FIRE ALARM UPGRADE	654,453	605,590	48,863	0	Substantial Completion	Occupied
LIONS RESEARCH MECH SYSTEMS UPGRADE	463,846	444,704	19,142	0	Substantial Completion	Occupied
HASSELMO FALLING SNOW/ICE	271,370	266,974	4,396	0	Substantial Completion	01/31/08
WILSON LIB RESTROOM & ELEV UPGRADE	586,322	118,704	467,618	0	Construction	04/30/08
HUMPHREY ELEC SERVICE TO RISER	483,298	443,848	39,449	0	Construction	12/31/07
ZONE 1 CENTRAL AIR COMPRESSOR SYSTEM	480,829	413,848	66,981	0	Construction	04/30/08
CLASSRM OFFICE BLDG ELEVATOR UPGRADE	71,845	25,031	46,814	0	Substantial Completion	Occupied



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 (Projected 01/31/08 as of 12/31/07) Definitions on last page

(A) Project Name	(B) Appropriation Amount	(C) Spent	(D) Encumbered	(E) (B-C-D) Allotted but Uncommitted	(F) Status	(G) Estimated Occupancy
NWROC CALF BARN REPAIRS	70,000	56,649	13,351	0	Construction	03/31/08
NWROC DAIRY BLDG REPAIRS	84,000	0	0	84,000	Bidding	06/30/08
NCROC BEEF COW/CALF FENCING	30,000	28,065	1,935	0	Substantial Completion	03/01/08
WCROC MISC SITE IMPROVEMENTS	30,000	17,382	12,618	0	Construction	03/31/08
SRQC EXTERIOR IMPR-SOILS & CROPS	70,000	56,164	13,836	0	Construction	03/31/08
ITASCA '06/07 MISC REPAIRS	75,000	49,358	25,642	0	Construction	03/31/08
CLOQUET ROOF REPAIRS-MACHINE STORAGE	15,000	4,525	10,475	0	Construction	06/30/08
CLOQUET ROOF REPAIRS-SAWMILL BLDG	20,000	9,142	10,858	0	Construction	06/30/08
CEDAR CREEK - PLACEHOLDER	38,000	6,557	31,443	0	Construction	03/31/08
UMC BUILDING EXTERIORS/TUCKPOINTING	35,000	0	0	35,000	Programming	09/30/07
UMC UTOC/LIBRARY ROOF REPLACEMENT	275,000	113,110	161,890	0	Construction	03/31/08
UMC ACCESSIBILITY IMPROVEMENTS	60,000	30,179	29,821	0	Construction	03/31/08
UMC ELEV UPGRADE-DOWELL & SAHLSTROM	225,000	10,737	214,263	0	Construction	06/30/08
UMC EMERGENCY GENERATOR UPGRADES	50,000	0	0	50,000	Programming	09/30/07
MORRIS HEALTH AND SAFETY PROJECTS	32,450	0	0	32,450	Programming	06/30/08
UMM BUILDING ENVELOPE - CAMDEN	220,000	206,114	13,886	0	Construction	03/31/08
UMM BUILDING SYSTEM - HFA LIGHTING	225,000	206,833	18,167	0	Construction	03/31/08
UMM CHILLED WATER - LIBRARY	185,000	174,588	10,412	0	Construction	03/31/08
<b>Subtotals - '06 HEAPR</b>	<b>30,000,000</b>	<b>26,434,754</b>	<b>3,363,795</b>	<b>201,450</b>		
<b>Total - 2006 Appropriations</b>	<b>115,733,000</b>	<b>68,540,940</b>	<b>44,776,140</b>	<b>2,415,920</b>		
<b>HEAPR Converted From Remaining UMC Kiehle Balances</b>						
CONVERTED BALANCES FROM UMC KIEHLE	22,157	0	0	22,157		
<b>Total - Converted HEAPR</b>	<b>22,157</b>	<b>0</b>	<b>0</b>	<b>22,157</b>		
<b>HEAPR Converted From Remaining Nicholson Balances</b>						
<b>Completed Projects</b>	<b>712,171</b>	<b>712,171</b>	<b>0</b>	<b>0</b>		
MOOS TOWER ELECTRICAL SYSTEM	500,000	185,283	314,717	0	Construction	05/01/08
NICHOLSON HALL RENOVATION BALANCE	100,000	0	0	100,000		N/A
MCGRATH LIBRARY WATERPROOFING	521,197	201,835	319,362	0	Construction	06/01/08
<b>Total - Converted HEAPR</b>	<b>1,833,368</b>	<b>1,099,289</b>	<b>634,079</b>	<b>100,000</b>		

# University of Minnesota

Status Report: Total Capital Appropriations 2003 to 2006  
(Projected 01/31/08 as of 12/31/07) Definitions on last page

(A) Project Name	(B) Appropriation Amount	(C) Spent	(D) Encumbered	(E) (B-C-D) Allotted but Uncommitted	(F) Status	(G) Estimated Occupancy
<b>Grand Totals 2003 to 2006</b>	300,324,525	241,313,251	53,796,964	5,214,310		

## University of Minnesota

Status Report: Total Capital Appropriations 2003 to 2006  
(Projected 01/31/08 as of 12/31/07) Definitions on last page

(A)	(B)	(C)	(D)	(E) (B-C-D) Allotted but Uncommitted	(F)	(G)
Project Name	Appropriation Amount	Spent	Encumbered	Uncommitted	Status	Estimated Occupancy

**Notes:**

1) Definitions of columns:

- B. Appropriation Amount: The Legislative appropriation for each project. Although HEAPR funds are appropriated in a block of funds, they are detailed in this report by the University's allocation.
- C. Spent: The amount the University has paid to contractors from signed contracts.
- D. Encumbered: This includes three categories.
  - a. Project amount specifically under contract with a general contractor, architect, engineer, or other vendor.
  - b. Known/budgeted cost required to complete a project under contract for construction.
  - c. Internal project where work has begun and/or internal purchase order/work has been completed.
- E. Uncommitted: The balance of the appropriation, which has been allotted to a specific project, but has not been spent or encumbered.

2) Definitions of project phases:

- a. Programming: Defining in detail the scope of the project, describing the facility components required to accommodate the academic/operational program, and establishing the functional and physical relationships of those components.
- b. Schematic Design: Evaluating alternatives for meeting the project program and establishing the general size, shape, and massing of building elements; exterior finishes; and design criteria for structural, mechanical, and electrical systems.
- c. Design Development: Developing the preliminary design into a detailed design that establishes final floor plans, building elevations, interior and exterior materials, room finishes, building systems, furnishings, and equipment.
- d. Construction Documents: Preparing detailed drawings and specifications required to obtain bids and to describe and direct the construction work.
- e. Bidding: Soliciting bids from contractors for completing the work described in the construction documents.
- f. Construction: Mobilizing of the contractor's equipment, purchasing of building materials, and implementing the work described in the construction documents.
- g. Substantial Completion: Completing work on the project to a point that the Owner can occupy and use the facility for its intended use.
- h. Pcloseout: Making final payments to contractors and vendors, closing all contracts, and preparing the final project accounting.

# University of Minnesota

Status Report: Total Capital Appropriations 2003 to 2006  
 (Projected 01/31/08 as of 12/31/07) Definitions on last page

(A) Project Name	(B) Appropriation Amount	(C) Spent	(D) Encumbered	(E) (B-C-D) Allotted but Uncommitted	(F) Status	(G) Estimated Occupancy
<b>Summary of University State Capital Appropriations</b>						
<b>Total Dollars by Status</b>						
2006 Appropriations						
HEAPR Projects	30,000,000	26,434,754	3,363,795	201,450		
Major Projects	85,733,000	42,106,186	41,412,344	2,214,470		
Subtotal	115,733,000	68,540,940	44,776,140	2,415,920		
2005 Appropriations						
HEAPR Projects	40,000,000	38,959,740	935,260	105,000		
Major Projects	94,028,000	86,647,961	7,380,039	0		
Subtotal	134,028,000	125,607,701	8,315,299	105,000		
2003 Appropriations						
HEAPR Projects (Converted)	1,120,904	1,120,904	0	0		
Major Projects	47,587,096	44,944,417	71,446	2,571,233		
Subtotal	48,708,000	46,065,321	71,446	2,571,233		
Converted HEAPR from Nicholson Balances						
HEAPR Projects	1,833,368	1,099,289	634,079	100,000		
Subtotal	1,833,368	1,099,289	634,079	100,000		
Converted HEAPR from UMC Kiehle Balances						
HEAPR Projects	22,157	0	0	22,157		
Subtotal	22,157	0	0	22,157		
<b>Grand Total: 2002-2006 Appropriations</b>						
HEAPR Projects Only	300,324,525	241,313,251	53,796,964	5,214,310		
	72,976,430	67,614,688	4,933,134	428,607		

# University of Minnesota

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(A) Project Name	(B) Appropriation Amount	(C) Spent	(D) Encumbered	(E) (B-C-D) Allotted but Uncommitted	(F) Status	(G) Estimated Occupancy
<b>Total Percents by Status</b>						
2006 Appropriations						
HEAPR Projects	30,000,000	88.1%	11.2%	0.7%		
Major Projects	85,733,000	49.1%	48.3%	2.6%		
Subtotal	115,733,000	59.2%	38.7%	2.1%		
2005 Appropriations						
HEAPR Projects	40,000,000	97.4%	2.3%	0.4%		
Major Projects	94,028,000	92.2%	7.8%	0.0%		
Subtotal	134,028,000	93.7%	6.2%	0.1%		
2003 Appropriations						
HEAPR Projects	1,120,904	100.0%	0.0%	0.0%		
Major Projects	47,587,096	94.4%	0.2%	5.4%		
Subtotal	48,708,000	94.6%	0.1%	5.3%		
Converted HEAPR from Nicholson Balances						
HEAPR Projects	1,833,368	60.0%	34.6%	5.4%		
Subtotal	1,833,368	60.0%	34.6%	5.4%		
Converted HEAPR from UMC Kiehle Balances						
HEAPR Projects	22,157	0.0%	0.0%	100.0%		
Subtotal	22,157	0.0%	0.0%	100.0%		
<b>Grand Total: 2002-2006 Appropriations</b>	<b>300,324,525</b>	<b>80.4%</b>	<b>17.9%</b>	<b>1.7%</b>		
<b>HEAPR Projects Only</b>	<b>72,976,430</b>	<b>92.7%</b>	<b>6.8%</b>	<b>0.6%</b>		