

**MINNESOTA  
DEPARTMENT  
OF  
HUMAN  
SERVICES**

**DISABILITY  
SERVICES  
DIVISION**

# **Annual Report on the Use and Availability of Home and Community-Based Waivers for Persons with Disabilities**

*Minnesota Statutes § 256B.0916, Subd. 7  
and  
Minnesota Statutes § 256.49, Subd.21*

*A Report to the Minnesota Legislature  
February 2008*

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1. Case Load Summary Report

**For the DD Waiver:**

2. People Waiting for DD Waiver By Living Arrangement and Age
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Minnesota Department of **Human Services**

*Disability Services Division*

## **Executive Summary**

For more than two decades, Minnesota has worked to replace institutional care with community-based service options for persons with disabilities. To help achieve this goal, the Minnesota Department of Human Services (DHS) implemented the following home and community-based waiver programs:

- Developmental Disabilities (DD), July 1, 1984  
(Formerly known as the Waiver for People with Mental Retardation and Related Conditions – MR/RC)
- Community Alternative Care (CAC), April 1, 1985
- Community Alternatives for Disabled Individuals (CADI), October 1, 1987
- Traumatic Brain Injury (TBI), April 1, 1992

These home and community-based waiver programs provide necessary services and supports so that people with disabilities can continue to live and thrive in their communities. Services are designed to be meaningful to the person receiving the services, respectful of the beliefs and customs of that person, assure the person's health, safety and welfare, and increase levels of independence.

Since 2001, Minnesota has experienced an increased demand for home and community-based services. This is attributed to a number of factors:

- Downsizing and closure of community Intermediate Care Facilities for Mental Retardation (ICFs/MR);
- Statewide initiatives to reduce nursing home populations;
- Initiatives to re-design services for the state's mental health population; and
- Efforts to more accurately match services and funding with service participants needs and provide them with more options to meet those needs.

As a result, DHS and the Minnesota Legislature have sought to more effectively manage the growth of the programs. This report will provide the Minnesota legislature with data regarding the available resources for the home and community based waiver programs for persons with disabilities in the state of Minnesota.

### **Data Resources**

All data in this report was obtained from the following sources:

- 
- Medicaid Management Information System (MMIS) Screening and Service Agreement Data
- DD Waiver Management System 3.1
- CAC, CADI and TBI Waiver Management System 3.1
- Continuing Care Management Reporting System (CCMRS)

## Legislation

This report was prepared for the legislature of the State of Minnesota in accordance with *Minnesota Statutes*, §256B.0916, *subd. 7* and *Minnesota Statutes* § 256B.49. *subd. 21*. The legislation requires the Commissioner of the Department of Human Services to issue a report on county and state use of available resources for the following home and community-based services waiver programs:

- Community Alternative Care (CAC)
- Community Alternatives for Disabled Individuals (CADI)
- Development Disabilities (DD) and
- Traumatic Brain Injury (TBI)

*Minnesota Statutes* § 256B.0916, **Expansion of home and community-based services;** management and allocation responsibilities. *Subd. 7*. Annual report by commissioner. Beginning November 1, 2001, and each November 1 thereafter, the commissioner shall issue an annual report on county and state use of available resources for the home and community-based waiver for persons with developmental disabilities. For each county or county partnership, the report shall include:

- (1) the amount of funds allocated but not used;
- (2) the county specific allowed reserve amount approved and used;
- (3) the number, ages and living situations of individuals screened and waiting for services;
- (4) the urgency of need for services to begin within one, two, or more than two years for each individual;
- (5) the services needed;
- (6) the number of additional persons served by approval of increased capacity within existing allocations;
- (7) results of action by the commissioner to streamline administrative requirements and improve county resource management; and
- (8) additional action that would decrease the number of those eligible and waiting for waiver services.

*Minnesota Statutes* § 256.49, *Subd. 21*. Report. The commissioner shall expand on the annual report required under section 256B.0916, subdivision 7, to include information on the county of residence and financial responsibility, age, and major diagnoses for persons eligible for the home and community-based waivers authorized under subdivision 11 who are: (1) receiving those services; (2) screened and waiting for waiver services; and (3) residing in nursing facilities and are under age 65.

## Overview of Waiver Programs

### Waiver Background

The goal of home and community-based waiver programs is to provide necessary services and supports to people who require an institutional level of care so they can live and thrive in their community. These services should be meaningful to the person receiving them, respectful of the beliefs and customs of that person, assure the person's health and safety and increase levels of independence. Waiver services are based on individual needs and enable a person to become involved in and to develop and maintain relationships in the community. In 1999, a Supreme Court decision (Olmstead) affirmed the right of individuals who were eligible for Medicaid services to receive those services in the least restrictive setting in accordance with the Americans with Disabilities Act.

Each of the Minnesota home and community-based waiver programs has been developed to meet federal guidelines and carries with it responsibilities to meet specified federal assurances in the areas of (1) level of care, (2) plan of care, (3) choice, (4) qualified providers, (5) health and safety, (6) administrative authority, and (7) financial accountability. Services authorized under all waiver program plans must:

- Help a person avoid institutionalization and/or function with greater independence in the community
- Be necessary to assure health, welfare and safety of the person
- Be an alternative to institutionalization
- Not have another funding source
- Meet the individual needs and preferences of the person

### Waiver Overview Data

The following three charts present statewide data regarding the waiver programs in Minnesota. The first chart depicts the cost neutrality of providing services in the community as opposed to institutional settings. The second chart provides some overview information about the people served, eligibility requirements and benefit levels of each waiver. The third chart provides a statewide summary of the caseloads for the four disability waiver programs. For a county-by-county listing of caseload summary for all home and community services, see the report titled "Case Load Summary Report" in the appendix of this report.

### Cost Neutrality

The cost of the waiver service must be considered reasonable and customary and meet authorization guidelines set by the federally approved waiver plans while maintaining cost neutrality with institutional care. The chart on the next page compares waiver cost to institutional cost for the disability waivers.

HCBS WAIVER	LEVEL OF CARE	HCBS WAIVER COSTS (in thousands)			INSTITUTIONAL COSTS (in thousands)			DIFFERENCE (in thousands) HCBS Waiver and Institutional
		Waiver Services	Acute Care	Total Waiver	Institutional	Acute Care	Total Institutional	
CAC	Hospital	\$43,920	\$134,366	\$178,286	\$312,407	\$282,741	\$595,148	(\$416,862)
CADI	Nursing Facility	\$12,624	\$19,298	\$31,922	\$46,210	\$21,345	\$67,555	(\$35,633)
DD	Intermediate Care Facility (ICF/MR)	\$61,629	\$6,478	\$68,107	\$86,524	\$5,253	\$91,777	(\$23,670)
TBIW-NB	Hospital	\$95,303	\$19,160	\$114,463	\$260,887	\$17,926	\$278,813	(\$164,350)
TBIW-NF	Nursing Facility	\$37,886	\$14,297	\$52,183	\$88,661	\$19,448	\$108,109	(\$55,926)

**Source: Center for Medicare and Medicaid Services (CMS) 372 Reports:**

The 372 report compares annual per capita Medicaid costs for a HCBS waiver population and a corresponding institutional population.

CADI	10/01/05 to 09/30/06
CAC	04/01/06 to 03/31/07
TBIW	04/01/06 to 03/31/07
DD	07/01/06 to 06/30/07



### Summary of Waiver Populations

A summary of the number of persons served, eligibility, and benefit level for each of the disability waivers is described below:

HCBS Waiver	Persons Served		Eligibility	Benefit Level
<b>CAC</b>	* Monthly average recipients:	<b>239</b>	* Eligible for Medical Assistance * Certified disabled by the State Medical Review Team (SMRT) or the Social Security Administration (SSA) * Under age 65 * Determined to need hospital level of care	* Need level determined by an assessment * Services identified in an individual service plan completed by county case manager * Funds managed on an aggregate basis across the CADI, CAC, and TBI Waivers at the local level by the county agency
	* Monthly cost per recipient:	<b>\$4733</b>		
	* Total spending:	<b>\$13.6 m</b>		
	* Some support costs for CAC enrollees is found in the MA Home Care section of the forecast			
<b>CADI</b>	* Monthly average recipients:	<b>10,064</b>	* Eligible for Medical Assistance * Certified disabled by the SMRT or the SSA * Under age 65 when admitted to program * Need nursing facility-level of care	* Need level determined by an assessment * Services identified in an individual service plan completed by county case manager * Funds managed on an aggregate basis across the CADI, CAC, and TBI Waivers at the local level by the county agency
	* Monthly cost per recipient:	<b>\$1,550</b>		
	* Total spending:	<b>\$162.9 m</b>		
	* Some support costs for CADI enrollees is found in the MA Home Care section of the forecast			
<b>DD</b>	* Monthly average recipients:	<b>14,096</b>	* Eligible for Medical Assistance * Determined to have mental retardation or related condition * Determined to require level of care provided in Intermediate Care Facility for Persons with Mental Retardation	* Need level determined by an assessment * Services identified in an individual service plan completed by county case manager * Funds managed on an aggregate at the local level by the county agency
	* Monthly cost per recipient:	<b>\$5,322</b>		
	* Total spending:	<b>\$900.2 m</b>		
	* Counties manage MA Home Care costs for DD Waiver enrollees within their allocation.			
<b>TBI</b>	* Monthly average recipients:	<b>1,244</b>	* Eligible for Medical Assistance * Certified disabled by the SMRT or SSA * Documented diagnosis of traumatic or acquired brain injury or degenerative brain disease diagnosis * Experience significant to severe cognitive and behavioral impairments related to the brain injury * Under age 65 * Determined to need level of care provided in nursing facility or neurobehavioral hospital	* Need level determined by an assessment * Services identified in an individual service plan completed by county case manager * Funds managed on an aggregate basis across the CADI, CAC and TBI Waivers at the local level by the county agency
	* Monthly cost per recipient:	<b>\$5,289</b>		
	* Total spending:	<b>\$78.9 m</b>		
	* Some support costs for TBI enrollees is found in the MA Home Care section of the forecast			

### Statewide Case Load Summary

Caseload summary of waiver and home care services:



### Case Load Summary Report for State Wide

Report for the month of February 2008

Number of Persons receiving services as of Date given below unless otherwise noted.

	Total	Age Ranges						Data As Of
		0-12	13-17	18-22	23-39	40-64	65-65+	
<b>Unduplicated Screening Totals</b>								
Screened - DD	26,349	4,553	2,404	3,227	7,403	7,435	1,327	02/14/2008
Screened - LTC	66,067	1,031	607	934	2,985	12,510	47,990	02/14/2008
<b>Home Care</b>								
PCA - Waiver	3,059	421	293	250	552	1,510	33	11/01/2007
PCA - Non-waiver	9,901	2,755	1,038	609	1,212	3,516	771	11/01/2007
<b>PCA - Total</b>	<b>12,960</b>	<b>3,176</b>	<b>1,331</b>	<b>859</b>	<b>1,764</b>	<b>5,026</b>	<b>804</b>	<b>11/01/2007</b>
PDN - Waiver	265	111	32	31	41	48	2	11/01/2007
PDN - Non-waiver	236	96	17	12	36	33	43	11/01/2007
<b>PDN - Total</b>	<b>501</b>	<b>207</b>	<b>49</b>	<b>43</b>	<b>76</b>	<b>81</b>	<b>45</b>	<b>11/01/2007</b>
Sk. Nurse - Waiver	2,391	33	9	32	244	2,014	59	11/01/2007
Sk. Nurse - Non-waiver	3,207	229	32	63	346	1,090	1,447	11/01/2007
<b>Sk. Nurse - Total</b>	<b>5,598</b>	<b>262</b>	<b>41</b>	<b>95</b>	<b>590</b>	<b>3,104</b>	<b>1,506</b>	<b>11/01/2007</b>
HHA - Waiver	622	6	6	7	42	529	32	11/01/2007
HHA - Non-waiver	918			1	12	133	772	11/01/2007
<b>HHA - Total</b>	<b>1,540</b>	<b>6</b>	<b>6</b>	<b>8</b>	<b>54</b>	<b>662</b>	<b>804</b>	<b>11/01/2007</b>
Therapies - Waiver	119	31	5	7	20	56		11/01/2007
Therapies - Non-waiver	137	36	8	4	18	62	9	11/01/2007
<b>Therapies - Total</b>	<b>256</b>	<b>67</b>	<b>13</b>	<b>11</b>	<b>38</b>	<b>118</b>	<b>9</b>	<b>11/01/2007</b>
<b>Unduplicated Home Care Totals</b>								
Home Care - Waiver	5,179	529	331	285	759	3,186	89	11/01/2007
Home Care - Non-waiver	13,015	3,037	1,076	674	1,510	4,363	2,355	11/01/2007
<b>Home Care - Total</b>	<b>18,194</b>	<b>3,566</b>	<b>1,407</b>	<b>959</b>	<b>2,269</b>	<b>7,549</b>	<b>2,444</b>	<b>11/01/2007</b>
<b>Other Services</b>								
Semi-independent Living Services	1,552.00							02/01/2007
Family Support Grant (FSG)	1,628.00							02/01/2007
Consumer Support Grant (CSG)	1,057	775	183	59	23	17		11/01/2007
<b>Waivers</b>								
CAC	293	142	41	36	40	31	3	11/01/2007
CADI	11,582	689	450	648	1,851	7,572	402	11/01/2007
TBI - NB	357	6	7	20	143	176	5	11/01/2007
TBI - NF	956	19	22	38	246	610	21	11/01/2007
MR/RC	13,954	949	1,181	1,365	4,773	4,851	835	11/01/2007
<b>Institutional Services</b>								
ICF / MR	1,871	6	26	74	374	1,143	248	11/01/2007
NF	17,304		1	11	114	1,726	15,452	11/01/2007

**Source(s):**

MMIS-II Recipient file, Screenings and Paid Claims as they existed on the date shown.

SILS and FSG totals are from DSD's most recent Surveys.

### **Legislative History**

Recent legislation has affected the growth of the disability waiver programs. In 2002, the legislature allocated new diversions for the DD Waiver, but delayed reuse of the slots by 180 days. In 2005, the legislature maintained a limitation on new allocations for the 2006 and 2007 biennium for three of the four disability waivers. The CADI Waiver was limited to 95 new allocations per month. The TBI Waiver was limited to 150 new allocations per year. The DD Waiver was limited to 50 diversions each year of the biennium for individuals at imminent risk of institutional placements. No new allocations were given for the DD Waiver for expected growth. In 2007, the 2005 mandates expired for the CADI and TBI Waiver programs.

## Waiver Program Goals and Outcomes

Over the past five years, various groups across Minnesota have completed research, needs assessment and planning efforts to explore how services and outcomes might be improved for the people of Minnesota with disabilities. These studies have been sponsored, requested and executed by many different groups, including the state Legislature, independent service agencies, state agencies, advocacy groups and associations. Though their methods, questions and areas of focus have been different, some common themes have emerged about desired outcomes. Those outcomes can be summarized with the acronym CHOICE:

- Community membership
- Health and safety
- Own place to live
- Important long-term relationships
- Control over supports and
- Economic stability

The Department continues to identify and take administrative actions to assist counties and persons receiving waiver funding through the CAC, CADI, DD and TBI Waivers to gain better access to waiver services and manage their allowable resources while promoting the outcomes described by the CHOICE domains described above. Goals for the waiver programs reflect these domains.

### **Goal 1: To develop a common waiver service list.**

In the current waiver service system in Minnesota, there are five waiver programs. Each waiver was designed to meet the needs of Medicaid recipients with a particular level of care and an assessed need for services. Each waiver has a list of service options available to participants who meet that level of care and assessed need. Certain services are available across all waivers; however, there are also services that are only available for one or two waivers. As a result, people with different needs across all of the waivers may not be able to access a service that would meet those goals because it is not available under the waiver with their particular level of care. The Department is in the process of designing a streamlined menu of services across waivers so that people can access the right service at the right time. This menu will streamline services across waivers, including (1) a self-directed approach to all services and (2) collaboration with the Elderly Waiver and the Alternative Care program in Aging and Adult Services.

The design process entails two phases of work. In Phase I, the Department will make available to all waiver participants services that are easily transferred to all waivers. In

Phase II, the department will work with stakeholders to redesign the remaining waiver services and ensure that service descriptions and provider standards are appropriate for all waiver participants regardless of level of care.

### **Intended Outcome**

- Simplify services for people with disabilities
- Streamline services across waivers, including consumer directed service approaches to all services
- Increase access for consumers of services and service providers
- Assure core health and safety protections for all waiver participants

### **Goal 2: Enhance Quality Management in the HCBS waivers.**

According to the Centers for Medicare and Medicaid Services (CMS), a state cannot be in compliance with federal HCBS waiver regulations unless it engages in the quality management functions of: (a) capturing data (*discovery*) to assess waiver program quality; (b) conducting *remediation* of specific problems or concerns that have been identified; and (c) utilizing data and quality information to engage in actions that assure *continuous improvement* in the waiver program. During 2007, the Department continued to develop and enhance its capacity to perform these functions in an effort to meet stringent federal requirements for quality management in all areas of waiver program design, implementation and support. Activities along these lines include:

- Development of the Quality Management, Assurance, and Improvement System for Minnesotans Receiving Disability Services, in accordance with Minnesota Statutes § 256B.096. This involves the development of an annual survey (discovery) to determine the effectiveness and quality of waiver services, and recommendations for improvements in the state's system of critical incident reporting (discovery), investigation, analysis and follow-up (remediation and continuous improvement).
- Development of a formal Quality Management Strategy across waiver programs to demonstrate compliance with federal requirements while also identifying areas of quality oversight in which prioritized activities for discovery, remediation and/or continuous improvement are warranted.
- Continued implementation of the County Waiver Review Initiative, during which DHS conducts on-site review (discovery) of HCBS waiver administration on a county-by-county basis. Work to develop a process for following up on corrective actions (remediation) and recommendations made to each county was begun in 2007. Once implemented, this will greatly enhance the capacity of state and county lead agencies to both engage in and reliably demonstrate continuous

improvement in waiver oversight, administration and quality management.

**Intended Outcome**

- DHS will enhance its capacity to demonstrate and maintain compliance with federal requirements for quality management in our HCBS waiver programs.

**Goal 3: To develop provider standards related to participant safeguards.**

In response to increased requirements for assurances for each of the waivers by the Centers for Medicaid and Medicare Services (CMS), the Department of Human Services (DHS) set a goal to improve provider standards related to participant safeguards. During the 2007 legislative session, language was passed that required providers of Independent Living Skills (ILS), foster care waiver service, prevocational services, structured day services, and supported employment services to meet basic health, safety and protection standards. The providers had the option to meet the new standards by agreeing to apply their 245B license to the waiver services, or by completing a certification process that verifies the provider has the appropriate policies and procedures. DHS is currently working on submitting waiver amendments to CMS that would allow the new provider standards for these waiver services.

**Intended Outcome**

- Develop a certification process that will be available for providers to complete by October 2008.

**Goal 4: Re-engineer state to county DD budget process**

In response to recommendations made by the Legislative Audit Commission in 2003, the Department of Human Services (DHS) contracted with Johnston, Villegas-Grubbs and Abraham LLC (JVGA) to design a state-to-county resource allocation methodology for the Developmental Disabilities Medicaid Waiver program. The product uses a methodology designed to provide access to and distribution of resources necessary to meet waiver requirements, while assuring state budget integrity. Johnston, Villegas-Grubbs and Associates LLC gathered input from consumers, stakeholders and counties as part of the design process. The new methodology was developed with a considerable amount of data analysis and stakeholder input including consumer focus groups, meetings with county staff, and stakeholder work groups. The new methodology better accounts for the changing needs of enrollees by using participant living arrangement and age data in the formula.

On April 27, 2007, DHS received notice that the Centers for Medicare and Medicaid

Services (CMS) approved the waiver amendment to revise the state-to-county budget methodology for the Waiver for Persons with Developmental Disabilities (DD), previously known as the MR/RC Waiver.

**Intended Outcome**

- Develop county training and data gathering to prepare for implementation of the new Budget Allocation Methodology on January 1, 2009.
- Expand of the reporting capacity of the 3.1 Waiver Management Tracking System for efficient county utilization.

## Description of Waiver Reports

Minnesota Statutes § 256B.0916 calls for the submission of information for each county or county partnership on the topics on the next page. Data for these reports was gathered from MMIS Screening and Service Agreement Data, the DD Waiver Management System 3.1, the CAC, CADI and TBI Waiver Management System 3.1, and CCMRS. The Division continues to work with stakeholders and partners to improve its data gathering process.

### Reports on the DD Waiver

1) *The amount of funds allocated but not used*

The table beginning on the next page details the amount of funds allocated but not used in the DD Waiver for calendar years (CY) 2004, 2005 and 2006. Because Medicaid providers have a full year after a service is provided to bill for that service, some services provided in CY2007 have not yet been billed. CY2007 is therefore not closed and not included in the analysis.

The table on the next page details for each county: (1) the total dollars allocated to a county in a given year, (2) the total dollars spent on services by the county in that year, (3) the difference between the dollars allocated and the dollars spent and (4) the percentage difference between dollars allocated and dollars spent.<sup>1</sup>

The statewide percentage of funds allocated but not used has been growing at a steady rate since 2004. This growth is likely due to the fact that counties are spending cautiously; counties must pay back any portion of their allocation that they spend in excess of their allowable budget.

The department is working to diffuse the growth in unspent allocation. A new state to county budget allocation methodology will be implemented on January 1, 2009. As part of the new methodology, the Department has included a safety net feature, which will allow counties to spend more of their allocation without the fear of overspending. For more information about the new state to county budget allocation methodology for the DD waiver, see page 14 of this report. DHS Bulletin 07-56-03, which can be found on the DHS website, also contains a more detailed explanation of the new budget allocation methodology.

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<sup>1</sup> Please note that data for each county is conveyed individually in this report. However, three county groups have formed joint powers agreements with the DD Waiver. These agreements give each group the power to manage their allocations together. These county groups are known as alliances, and data for the alliances should be analyzed as one. The following county alliances exist: (1) Faribault, and Martin counties (2) Lincoln, Lyon and Murray counties, and (3) Meeker, McLeod, and Renville counties.



**DD Waiver Funds Allocated but not Used**

County Name	CY 2004				CY 2005				CY 2006			
	\$ Allocated (in thousands)	\$ Spent (in thousands)	Allocated - Spent		\$ Allocated (in thousands)	\$ Spent (in thousands)	Allocated - Spent		\$ Allocated (in thousands)	\$ Spent (in thousands)	Allocated - Spent	
			(in thousands)	(%)			(in thousands)	(%)			(in thousands)	(%)
Aitkin	\$3,102	\$2,866	\$236	7.6%	\$3,268	\$3,025	\$243	7.4%	\$3,365	\$3,013	\$352	10.5%
Anoka	\$41,434	\$41,783	- \$349	-0.8%	\$43,316	\$43,194	\$122	0.3%	\$45,126	\$43,855	\$1,271	2.8%
Becker	\$4,485	\$4,479	\$6	0.1%	\$4,689	\$4,551	\$137	2.9%	\$4,853	\$4,507	\$346	7.1%
Beltrami	\$6,297	\$6,068	\$230	3.6%	\$7,646	\$7,289	\$357	4.7%	\$7,897	\$7,424	\$472	6.0%
Benton	\$6,022	\$5,465	\$556	9.2%	\$6,498	\$5,803	\$695	10.7%	\$6,687	\$5,946	\$741	11.1%
Big Stone	\$1,771	\$1,752	\$20	1.1%	\$1,839	\$1,839	\$	0.0%	\$1,904	\$1,922	- \$18	-0.9%
Blue Earth	\$8,275	\$7,676	\$599	7.2%	\$8,844	\$8,178	\$666	7.5%	\$9,367	\$8,631	\$736	7.9%
Brown	\$5,826	\$5,574	\$252	4.3%	\$6,016	\$5,493	\$524	8.7%	\$6,214	\$5,425	\$789	12.7%
Carlton	\$7,992	\$8,167	- \$175	-2.2%	\$8,557	\$8,502	\$55	0.6%	\$8,846	\$8,521	\$326	3.7%
Carver	\$7,958	\$8,519	- \$561	-7.0%	\$8,568	\$9,034	- \$466	-5.4%	\$9,020	\$9,137	- \$117	-1.3%
Cass	\$5,882	\$5,526	\$356	6.1%	\$6,537	\$6,086	\$450	6.9%	\$6,755	\$6,508	\$247	3.7%
Chippewa	\$3,584	\$3,286	\$298	8.3%	\$3,756	\$3,323	\$433	11.5%	\$3,882	\$3,327	\$555	14.3%
Chisago	\$7,844	\$7,741	\$103	1.3%	\$8,066	\$7,726	\$340	4.2%	\$8,318	\$7,704	\$614	7.4%
Clay	\$11,750	\$10,704	\$1,046	8.9%	\$12,119	\$11,233	\$886	7.3%	\$12,553	\$11,747	\$806	6.4%
Clearwater	\$674	\$626	\$47	7.0%	\$748	\$708	\$40	5.3%	\$771	\$711	\$60	7.8%
Cook	\$796	\$708	\$87	11.0%	\$839	\$700	\$139	16.6%	\$890	\$827	\$63	7.1%
Cottonwood	\$2,962	\$2,822	\$141	4.8%	\$2,981	\$2,818	\$163	5.5%	\$3,111	\$2,990	\$120	3.9%
Crow Wing	\$7,213	\$6,917	\$296	4.1%	\$7,476	\$7,249	\$227	3.0%	\$7,820	\$7,586	\$234	3.0%
Dakota	\$49,093	\$47,434	\$1,659	3.4%	\$51,169	\$50,027	\$1,142	2.2%	\$53,061	\$51,276	\$1,785	3.4%
Dodge	\$3,962	\$3,869	\$93	2.3%	\$4,151	\$4,109	\$43	1.0%	\$4,419	\$4,092	\$327	7.4%
Douglas	\$4,973	\$5,067	- \$94	-1.9%	\$5,236	\$5,341	- \$105	-2.0%	\$5,478	\$5,488	- \$10	-0.2%
Faribault	\$4,015	\$3,749	\$265	6.6%	\$4,093	\$3,940	\$153	3.7%	\$4,278	\$3,962	\$316	7.4%
Fillmore	\$4,016	\$4,250	- \$233	-5.8%	\$4,218	\$4,543	- \$324	-7.7%	\$4,598	\$4,445	\$154	3.3%
Freeborn	\$6,588	\$6,458	\$130	2.0%	\$6,890	\$6,534	\$356	5.2%	\$7,184	\$7,132	\$51	0.7%
Goodhue	\$8,517	\$7,703	\$814	9.6%	\$9,018	\$8,226	\$792	8.8%	\$9,382	\$8,208	\$1,174	12.5%
Grant	\$1,045	\$958	\$87	8.4%	\$1,074	\$1,035	\$39	3.6%	\$1,109	\$1,088	\$21	1.9%
Hennepin	\$198,619	\$197,439	\$1,180	0.6%	\$207,220	\$203,366	\$3,855	1.9%	\$213,570	\$211,682	\$1,888	0.9%

Use and Availability of Home and Community-Based Waivers for Persons with Disabilities

County Name	CY 2004				CY 2005				CY 2006			
	\$ Allocated	\$ Spent	Allocated - Spent		\$ Allocated	\$ Spent	Allocated - Spent		\$ Allocated	\$ Spent	Allocated - Spent	
	(in thousands)	(in thousands)	(in thousands)	(%)	(in thousands)	(in thousands)	(in thousands)	(%)	(in thousands)	(in thousands)	(in thousands)	(%)
Houston	\$4,922	\$4,888	\$34	0.7%	\$5,034	\$4,973	\$61	1.2%	\$5,199	\$5,123	\$76	1.5%
Hubbard	\$2,379	\$2,051	\$327	13.8%	\$2,526	\$2,151	\$375	14.9%	\$2,612	\$2,253	\$358	13.7%
Isanti	\$3,909	\$3,823	\$86	2.2%	\$4,076	\$3,716	\$360	8.8%	\$4,319	\$3,986	\$333	7.7%
Itasca	\$8,965	\$8,310	\$655	7.3%	\$9,360	\$9,048	\$311	3.3%	\$9,721	\$9,178	\$543	5.6%
Jackson	\$2,115	\$2,090	\$25	1.2%	\$2,252	\$2,053	\$198	8.8%	\$2,338	\$2,085	\$253	10.8%
Kanabec	\$2,377	\$2,127	\$250	10.5%	\$2,483	\$2,242	\$241	9.7%	\$2,565	\$2,367	\$198	7.7%
Kandiyohi	\$6,668	\$6,542	\$126	1.9%	\$6,914	\$6,406	\$507	7.3%	\$7,141	\$6,356	\$785	11.0%
Kittson	\$970	\$868	\$102	10.5%	\$1,067	\$986	\$80	7.5%	\$1,242	\$1,191	\$51	4.1%
Koochiching	\$3,470	\$3,247	\$223	6.4%	\$3,737	\$3,440	\$297	7.9%	\$3,861	\$3,583	\$279	7.2%
Lac Qui Parle	\$2,348	\$2,053	\$295	12.6%	\$2,405	\$2,187	\$218	9.1%	\$2,483	\$2,281	\$203	8.2%
Lake	\$3,853	\$3,864	-\$11	-0.3%	\$4,067	\$4,067	\$	0.0%	\$4,201	\$4,030	\$170	4.1%
Lake of the Woods	\$705	\$712	-\$7	-1.0%	\$804	\$805	-\$1	-0.1%	\$830	\$868	-\$38	-4.5%
Le Sueur	\$5,466	\$5,015	\$450	8.2%	\$5,952	\$5,333	\$619	10.4%	\$6,254	\$5,635	\$619	9.9%
Lincoln	\$1,768	\$1,701	\$67	3.8%	\$1,884	\$1,651	\$234	12.4%	\$1,965	\$1,531	\$434	22.1%
Lyon	\$5,498	\$5,374	\$124	2.3%	\$5,861	\$5,680	\$182	3.1%	\$6,106	\$5,963	\$143	2.3%
McLeod	\$5,248	\$5,066	\$182	3.5%	\$5,434	\$5,280	\$154	2.8%	\$5,784	\$5,622	\$162	2.8%
Mahnomen	\$1,720	\$1,589	\$130	7.6%	\$1,861	\$1,700	\$161	8.6%	\$1,922	\$1,768	\$154	8.0%
Marshall	\$2,802	\$2,700	\$102	3.7%	\$3,121	\$2,844	\$277	8.9%	\$3,224	\$2,978	\$246	7.6%
Martin	\$3,724	\$3,452	\$272	7.3%	\$3,849	\$3,749	\$99	2.6%	\$4,013	\$3,945	\$68	1.7%
Meeker	\$3,506	\$3,393	\$113	3.2%	\$3,620	\$3,497	\$123	3.4%	\$4,254	\$4,045	\$209	4.9%
Mille Lacs	\$4,326	\$4,091	\$235	5.4%	\$4,459	\$3,936	\$523	11.7%	\$4,605	\$4,026	\$580	12.6%
Morrison	\$6,526	\$6,464	\$62	0.9%	\$6,670	\$6,568	\$103	1.5%	\$6,902	\$6,633	\$269	3.9%
Mower	\$8,373	\$8,194	\$178	2.1%	\$8,789	\$8,545	\$244	2.8%	\$9,196	\$8,855	\$342	3.7%
Murray	\$1,722	\$1,484	\$238	13.8%	\$1,815	\$1,497	\$317	17.5%	\$1,874	\$1,532	\$343	18.3%
Nicollet	\$3,388	\$3,156	\$232	6.9%	\$3,542	\$3,437	\$105	3.0%	\$3,658	\$3,588	\$70	1.9%
Nobles	\$3,436	\$3,276	\$159	4.6%	\$3,554	\$3,334	\$220	6.2%	\$3,672	\$3,504	\$168	4.6%
Norman	\$1,971	\$1,803	\$168	8.5%	\$2,120	\$1,965	\$155	7.3%	\$2,188	\$1,964	\$225	10.3%
Olmsted	\$22,769	\$23,052	-\$283	-1.2%	\$24,181	\$24,326	-\$145	-0.6%	\$25,329	\$25,081	\$248	1.0%
Otter Tail	\$8,725	\$8,264	\$461	5.3%	\$9,068	\$8,344	\$724	8.0%	\$9,364	\$8,595	\$770	8.2%
Pennington	\$2,012	\$1,946	\$66	3.3%	\$2,384	\$2,476	-\$92	-3.9%	\$2,475	\$2,442	\$33	1.3%
Pine	\$4,589	\$4,293	\$296	6.5%	\$4,907	\$4,465	\$442	9.0%	\$5,043	\$4,598	\$445	8.8%

Use and Availability of Home and Community-Based Waivers for Persons with Disabilities

County Name	CY 2004				CY 2005				CY 2006			
	\$ Allocated	\$ Spent	Allocated - Spent		\$ Allocated	\$ Spent	Allocated - Spent		\$ Allocated	\$ Spent	Allocated - Spent	
	(in thousands)	(in thousands)	(in thousands)	(%)	(in thousands)	(in thousands)	(in thousands)	(%)	(in thousands)	(in thousands)	(in thousands)	(%)
Pipestone	\$1,665	\$1,571	\$94	5.6%	\$1,720	\$1,607	\$113	6.6%	\$1,776	\$1,615	\$161	9.1%
Polk	\$8,521	\$8,320	\$201	2.4%	\$8,914	\$8,767	\$147	1.7%	\$9,404	\$8,970	\$433	4.6%
Pope	\$2,619	\$2,411	\$208	7.9%	\$2,737	\$2,610	\$127	4.7%	\$2,829	\$2,599	\$230	8.1%
Ramsey	\$97,875	\$94,719	\$3,157	3.2%	\$101,863	\$100,354	\$1,509	1.5%	\$105,249	\$104,817	\$433	0.4%
Red Lake	\$779	\$717	\$61	7.9%	\$831	\$651	\$179	21.6%	\$858	\$641	\$217	25.3%
Redwood	\$3,335	\$3,265	\$70	2.1%	\$3,621	\$3,293	\$327	9.0%	\$3,739	\$3,270	\$470	12.6%
Renville	\$3,935	\$3,925	\$11	0.3%	\$4,143	\$3,897	\$247	6.0%	\$4,336	\$4,065	\$272	6.3%
Rice	\$12,890	\$12,861	\$29	0.2%	\$13,482	\$13,370	\$112	0.8%	\$14,231	\$14,046	\$185	1.3%
Rock	\$2,703	\$2,738	-\$35	-1.3%	\$2,820	\$2,805	\$15	0.5%	\$2,899	\$2,770	\$129	4.4%
Roseau	\$2,648	\$2,539	\$109	4.1%	\$2,923	\$2,658	\$265	9.1%	\$3,117	\$2,812	\$305	9.8%
St. Louis	\$46,715	\$49,329	-\$2,613	-5.6%	\$49,494	\$51,020	-\$1,526	-3.1%	\$51,286	\$51,279	\$8	0.0%
Scott	\$12,027	\$11,058	\$968	8.1%	\$12,604	\$11,520	\$1,084	8.6%	\$13,049	\$11,931	\$1,118	8.6%
Sherburne	\$7,810	\$7,384	\$425	5.4%	\$8,158	\$7,421	\$737	9.0%	\$8,514	\$7,752	\$762	8.9%
Sibley	\$2,695	\$2,631	\$63	2.4%	\$2,826	\$2,683	\$144	5.1%	\$2,947	\$2,790	\$157	5.3%
Stearns	\$18,937	\$18,745	\$192	1.0%	\$19,618	\$19,184	\$433	2.2%	\$20,442	\$19,551	\$891	4.4%
Steele	\$4,705	\$4,491	\$214	4.5%	\$4,886	\$4,951	-\$64	-1.3%	\$5,149	\$5,120	\$29	0.6%
Stevens	\$2,191	\$1,988	\$203	9.3%	\$2,271	\$2,032	\$240	10.5%	\$2,334	\$2,095	\$239	10.2%
Swift	\$2,023	\$1,992	\$31	1.5%	\$2,127	\$2,097	\$29	1.4%	\$2,239	\$2,163	\$76	3.4%
Todd	\$4,555	\$4,244	\$311	6.8%	\$4,690	\$4,463	\$228	4.9%	\$4,881	\$4,553	\$328	6.7%
Traverse	\$533	\$437	\$96	18.0%	\$561	\$462	\$98	17.5%	\$579	\$472	\$107	18.4%
Wabasha	\$5,312	\$5,184	\$127	2.4%	\$5,521	\$5,423	\$98	1.8%	\$5,755	\$5,547	\$207	3.6%
Wadena	\$2,371	\$2,211	\$160	6.7%	\$2,562	\$2,398	\$163	6.4%	\$2,647	\$2,423	\$224	8.5%
Waseca	\$2,229	\$2,185	\$44	2.0%	\$2,303	\$2,254	\$50	2.2%	\$2,517	\$2,510	\$7	0.3%
Washington	\$26,923	\$26,739	\$184	0.7%	\$28,494	\$28,322	\$171	0.6%	\$29,482	\$28,944	\$538	1.8%
Watsonwan	\$2,850	\$2,617	\$232	8.2%	\$3,012	\$2,802	\$211	7.0%	\$3,143	\$3,016	\$127	4.1%
Wilkin	\$2,371	\$2,096	\$275	11.6%	\$2,469	\$2,201	\$269	10.9%	\$2,625	\$2,224	\$401	15.3%
Winona	\$9,652	\$9,199	\$453	4.7%	\$10,098	\$9,582	\$516	5.1%	\$10,432	\$9,966	\$467	4.5%
Wright	\$11,568	\$10,999	\$569	4.9%	\$12,102	\$11,306	\$796	6.6%	\$12,448	\$11,696	\$752	6.0%
Yellow Medicine	\$2,509	\$2,268	\$241	9.6%	\$2,673	\$2,512	\$160	6.0%	\$2,784	\$2,616	\$167	6.0%
<b>State Wide</b>	<b>\$859,885</b>	<b>\$841,355</b>	<b>\$18,530</b>	<b>2.2%</b>	<b>\$902,151</b>	<b>\$877,218</b>	<b>\$24,933</b>	<b>2.8%</b>	<b>\$936,494</b>	<b>\$905,010</b>	<b>\$31,484</b>	<b>3.4%</b>

2) *The county specific allowed reserve amount approved and used;*

Each county or alliance of counties must submit a document detailing their policies and procedures for management of their DD waiver allocation every five years or as changes in policy occur. A county must explain how much of its allocation it will be held as a reserve amount each year. Each county must explain its rationale for choosing this reserve amount. Their explanation must include:

- Budget reserves needed to meet anticipated or unanticipated changes in current participant needs within the budget year;
- An analysis of historical spending data and trends;
- The demographics of its current waiver population; and
- Recent changes in law or other service programs that could increase demand for waiver services among current participants.

No county may hold a reserve of larger than 5%. DHS collects and maintains a hard copy of each county's policy and procedure guidelines document, which includes the county's planned reserve. Specific county reserve guidelines are available from the Department upon request.

3) *The number, ages, and living situations of individuals screened and waiting for services;*

The appendix of this report contains a series of data reports for each county. The report titled "People Waiting for DD Waiver by Living Arrangement" details, by county, the number of people waiting for DD waiver services by age and living arrangement. A table that shows the statewide number of people waiting for DD waiver services can be found on the next page of this document. This table shows that in CY 2007, there were 4,893 people waiting for services from the DD waiver.

Of the 4,893 people waiting for DD waiver services in CY2007, most accessed at least one other Medicaid or state funded service while waiting. A second data report found in the appendix titled "People Waiting for DD Waiver Current Services and Supports" details for each county the number of people currently waiting for DD waiver services and the services that those individuals are receiving while they wait for the waiver. A table that shows a statewide summary of this information can be found on page 22 of this report

Use and Availability of Home and Community-Based Waivers for Persons with Disabilities



**People Waiting For DD Waiver**  
BY LIVING ARRANGEMENT AND AGE

Current Living Arrangement	Request DD Waiver	Age Ranges					
		0 - 12	13 - 17	18 - 22	23 -39	40 - 64	65 - 65+
Board & Lodging	8	2	0	0	3	1	2
Foster care - family	165	44	36	27	37	16	5
Foster care - live in caregiver	12	0	1	1	6	3	1
Foster care - shift staff	58	2	2	13	15	20	5
Home of immediate/extended family	4,131	2,032	816	765	427	90	1
ICF/MR Community	274	2	6	18	60	161	27
METO	11	0	0	4	6	1	0
Nursing Facility	2	0	0	0	0	1	1
Other (not specified)	171	33	23	36	44	28	7
Own home < 24 hour support	57	0	1	5	25	23	3
Own home w/ 24 hour support	4	0	0	0	0	2	2
<b>Total</b>	<b>4,893</b>	<b>2,115</b>	<b>885</b>	<b>869</b>	<b>623</b>	<b>346</b>	<b>54</b>

Data Source: Medicaid Management Information System (MMIS) Screening & Service Agreement Data

Minnesota Department of Human Service  
Continuing Care Administration

Report run date:  
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Report author:  
pwmvg57

Use and Availability of Home and Community-Based Waivers for Persons with Disabilities



**People Waiting For DD Waiver**  
CURRENT SERVICES AND SUPPORTS

Current Living Arrangement	Request DD Wvr	CM	HC	CSG	FSG	Respite	Mods	SILS	On CCT Waiver	HM	Educ	DT&H	J&T
Board & Lodging	8	8	1	0	0	0	1	1	2	0	3	2	3
Foster care - family	165	157	36	0	0	23	2	0	16	4	116	24	15
Foster care - live in caregiver	12	9	1	0	0	0	0	1	3	0	1	3	3
Foster care - shift staff	58	25	2	0	0	1	0	0	15	0	16	10	1
Home of immediate/extended family	4,131	4,092	1,209	562	705	569	137	1	206	59	3,595	309	59
ICF/MR Community	274	274	5	0	0	1	7	0	5	0	23	236	3
METO	11	11	0	0	0	0	0	0	2	0	6	2	0
Nursing Facility	2	2	0	0	0	0	0	0	1	0	0	2	0
Other (not specified)	171	168	22	6	5	15	3	0	24	2	71	20	3
Own home < 24 hour support	57	49	5	0	0	2	0	1	4	2	5	15	15
Own home w/ 24 hour support	4	4	0	0	0	0	0	1	1	0	0	1	1
<b>Total</b>	<b>4,893</b>	<b>4,799</b>	<b>1,281</b>	<b>568</b>	<b>710</b>	<b>611</b>	<b>150</b>	<b>5</b>	<b>279</b>	<b>67</b>	<b>3,836</b>	<b>624</b>	<b>103</b>
Percentages	100.00	98.08	26.18	11.61	14.51	12.49	3.07	0.10	5.70	1.37	78.40	12.75	2.11

Key:  
CM-Case Management, HC-Home Care, CSG-Consumer Support Grant, FSG-Family Support Grant, Mods-Modifications, SILS-Semi Independent Living Services, HM-Home Maker, Educ-Education, DT&H-Day Training Habilitation, J&T-Jobs & Training, CCT-CAC, CADI and TBI Waiver

Data Source: Medicaid Management Information System (MMIS) Screening & Service Agreement Data

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- 4) *The urgency of need for services to begin within one, two, or more than two years for each individual;*

When screening an individual, a county case manager/service coordinator discusses with the person or the legal representative how soon they would like or need to access DD Waiver services. The discussion is based on the needs of the person and his or her support system. The individual chooses whether they need waiver services:

- Within 0 – 12 months
- Within 13 – 36 months
- 37+ months

A data report in the appendix of this document titled “People Waiting for DD Waiver by Waiver Need Index and Age” exhibits, for each county, the number of people waiting for waiver services and how soon they need waiver services. This is also known as the Waiver Need Index. The case manager is instructed in the DD Screening Document Codebook not to make a decision about a participant’s Waiver Need Index based on perceived waiting lists or anticipation of future waiver allocations. A table that shows the statewide Waiver Need Index can be found on the next page of this report.

Use and Availability of Home and Community-Based Waivers for Persons with Disabilities



**People Waiting For DD Waiver**  
BY WAIVER NEED INDEX AND AGE

Waiver Need Index / Profile Code	Request DD Waiver	Age Ranges					
		0 - 12	13 - 17	18 - 22	23 -39	40 - 64	65 - 65+
Blank	234	16	61	30	77	37	12
001 (0-12 Months)	3,470	1,603	628	650	306	166	27
002 (13-36 Months)	689	297	107	127	89	65	4
003 (37+ Months)	500	199	89	62	61	78	11
<b>Total</b>	<b>4,893</b>	<b>2,115</b>	<b>885</b>	<b>869</b>	<b>623</b>	<b>346</b>	<b>54</b>

Data Source: Medicaid Management Information System (MMIS) Screening & Service Agreement Data

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5) *The services needed*

A data report in the appendix of this document titled “People Waiting for DD Waiver Planned Services and Supports” shows, by county, the types of waiver services needed by people who are waiting for DD waiver services. On the next page is a table that shows the statewide number of services requested by persons waiting for DD waiver funding. In-Home Family Support was the most requested service, with 58% of the people waiting. Adult Day Care was the least requested service, with 0.12% of the people waiting.

Use and Availability of Home and Community-Based Waivers for Persons with Disabilities



**People Waiting For DD Waiver**  
**PLANNED SERVICES AND SUPPORTS**

Current Living Arrangement	Request DD Waiver (0)	Adult Day Care (1)	Alternate Day Services (2)	Assistive Technology (3)	Caregiver Training & Education (4)	Consumer-directed Community Supports (5)	Consumer training & Education (6)	Crisis Respite (7)	Day Training & Habilitation (8)	Modifications / Equipment (9)	Homemaker (10)	Housing Access (11)	In Home Family Support (12)	Live-in Personal Caregiver (13)	Personal Support (14)	Respite Care (Not ICF/MR) (15)	Special Services (16)	Supported Employment (17)	Supported Living Services (SLS) (18)	Transportation, Chore, Extended PCA (19)	24 Hour Emergency Assistance (20)
Board & Lodging	3	0	0	1	1	0	0	0	1	1	0	1	1	1	2	1	2	1	1	2	1
Foster care - family	134	0	1	23	29	19	12	30	10	27	13	0	31	2	21	69	33	8	71	22	2
Foster care - live in caregiver	31	0	0	2	2	1	0	5	1	8	0	0	0	0	4	2	4	1	26	2	0
Foster care - shift staff	477	1	1	41	4	6	11	106	30	115	2	4	24	0	22	30	65	27	457	31	4
Home of immediate/extended family	3,775	2	15	1,364	1,210	1,671	377	663	177	1,363	525	19	2,553	30	1,208	2,382	1,170	54	220	791	118
ICF/MR Community	94	1	1	11	4	6	3	17	66	23	4	0	17	0	4	12	12	2	66	3	2
METO	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Nursing Facility	3	0	0	3	3	3	0	2	0	2	1	0	3	0	2	2	2	0	0	0	0
Other (not specified)	297	1	3	101	99	88	38	81	15	109	45	7	174	7	98	163	104	11	82	88	19
Own home < 24 hour support	71	1	0	4	1	7	4	9	9	8	7	7	13	0	21	6	9	16	48	13	2
Own home w/ 24 hour support	5	0	0	0	0	1	1	1	0	2	1	1	2	0	0	0	1	1	3	2	0
<b>Total</b>	<b>4,891</b>	<b>6</b>	<b>21</b>	<b>1,550</b>	<b>1,353</b>	<b>1,802</b>	<b>446</b>	<b>914</b>	<b>309</b>	<b>1,658</b>	<b>598</b>	<b>39</b>	<b>2,818</b>	<b>40</b>	<b>1,382</b>	<b>2,667</b>	<b>1,402</b>	<b>121</b>	<b>974</b>	<b>954</b>	<b>148</b>
Percentages	100.00	0.12	0.43	31.69	27.66	36.84	9.12	18.69	6.32	33.80	12.23	0.80	57.62	0.82	28.26	54.53	28.66	2.47	19.91	19.51	3.03

Data Source: Medicaid Management Information System (MMIS) Screening & Service Agreement Data

Minnesota Department of Human Service  
 Continuing Care Administration

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 02/25/2008

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 pwxmg57

6) *The number of additional persons served by approval of increased capacity within existing allocations*

Counties manage their DD Waiver allocation in an aggregate model. Within this model, counties can serve additional persons through increased capacity within their existing allocations. The report on the next page presents, for each county, the number of additional people that were served through this excess capacity in CY2005, CY2006 and CY2007. It is important to note that this report does not take into account the number of people who leave the waiver every year.

**Number of Additional Persons Served on the DD Waiver within Existing Allocations**

County Name	2005	2006	2007	County Name	2005	2006	2007
Aitkin	2	5	0	Marshall	0	2	1
Anoka	11	28	24	Martin	2	1	1
Becker	0	3	5	Meeker	0	0	3
Beltrami	2	4	2	Mille Lacs	0	0	6
Benton	1	4	8	Morrison	0	3	0
Big Stone	0	0	0	Mower	4	4	1
Blue Earth	2	9	4	Murray	1	0	2
Brown	1	2	4	Nicollet	1	0	1
Carlton	0	3	2	Nobles	0	2	2
Carver	0	9	0	Norman	0	1	3
Cass	4	4	7	Olmsted	6	3	3
Chippewa	1	1	1	Otter Tail	5	10	1
Chisago	0	0	3	Pennington	1	0	0
Clay	2	2	2	Pine	7	5	1
Clearwater	0	1	0	Pipestone	0	2	2
Cook	3	2	0	Polk	2	1	1
Cottonwood	2	3	0	Pope	2	1	3
Crow Wing	3	8	2	Ramsey	58	29	84
Dakota	31	22	16	Red Lake	0	1	0
Dodge	2	2	0	Redwood	0	0	2
Douglas	2	0	1	Renville	1	0	1
Faribault	0	1	0	Rice	4	3	4
Fillmore	0	1	2	Rock	0	0	0
Freeborn	1	8	2	Roseau	0	0	0
Goodhue	7	5	5	St. Louis	17	2	0
Grant	0	1	0	Scott	5	11	14
Hennepin	76	110	44	Sherburne	1	8	12
Houston	0	2	2	Sibley	0	0	0
Hubbard	1	2	2	Stearns	1	5	4
Isanti	2	2	3	Steele	4	1	0
Itasca	2	7	5	Stevens	2	3	2
Jackson	2	1	0	Swift	0	0	3
Kanabec	4	2	1	Todd	2	0	2
Kandiyohi	2	0	3	Traverse	0	2	0
Kittson	0	0	0	Wabasha	2	0	0
Koochiching	0	2	0	Wadena	3	2	6
Lac Qui Parle	0	1	1	Waseca	0	2	0
Lake	1	0	0	Washington	11	3	10
Lake of the Woods	0	0	0	Watsonwan	3	1	0
Le Sueur	1	2	2	Wilkin	1	1	2
Lincoln	1	1	1	Winona	2	2	3
Lyon	4	1	5	Wright	7	2	4
McLeod	3	6	2	Yellow Medicine	2	3	2
Mahnomen	1	0	0	<b>Statewide</b>	<b>334</b>	<b>383</b>	<b>347</b>

Source: DD Waiver Management System 3.1

- 7) *Results of action by the commissioner to streamline administrative requirements and improve county resource management; See Waiver Program Goals and Outcomes*

The Department is working on several projects that will improve the administration of the home and community based service waiver programs within the state of Minnesota. See the section of this report entitled Waiver Program Goals and Outcomes, beginning on page 12, for more detailed information on these projects.

- 8) *Additional action that would decrease the number of those eligible and waiting for waiver services.*

The Department is currently exploring the possible implementation of a Consumer Directed State Plan service under 1915(j) authority of the Social Security Act. The 2007 Legislature passed a bill that allows the Department to pursue a State Plan service with increased flexibility for persons receiving (or eligible for) Medical Assistance (MA) with personal assistance needs. Services and supports available through this option must assure health and safety and be identified in an approved plan. The creation of a new venue for receiving services may have the potential to fully meet the needs of some individuals who are currently waiting for DD Waiver services.

Additionally, the Division is working with stakeholders to explore the use of assistive technology such as smart homes and sensors to serve people in new and less restrictive ways.

### **Reports on CAC, CADI, and TBI (CCT) Waivers**

1. *County, age, and major diagnosis for individuals receiving those services;*

A data report in the appendix of this document, titled "People Receiving CCT Waiver Services by Age and Primary Diagnosis" describes, for each county, the age and diagnosis of people receiving services through the CAC, CADI and TBI Waivers as of January 2008. The table on the next page of this report provides a summary of the statewide number of people receiving services through the CAC, CADI and TBI Waivers.

Use and Availability of Home and Community-Based Waivers for Persons with Disabilities



**People Receiving CCT Waivered Services**  
**BY PRIMARY DIAGNOSIS AND AGE**

ICD-9-CM Category	On CCT Waiver	Age Ranges				
		0 - 12	13 - 17	18 - 22	23 -39	40 - 64
01. Infectious & Parasitic Diseases	141	5	0	0	22	114
02. Neoplasms	233	13	2	5	44	169
03. Endocrine, Nutritional and Metabolic Diseases & Immunity Disorders	792	43	9	8	71	661
04. Diseases of the Blood and Blood-Forming Organs	19	1	0	1	1	16
05. Mental Disorders	4,903	396	314	412	1,066	2,715
06. Diseases of the Nervous System and Sense Organs	2,245	218	119	144	455	1,309
07. Diseases of the Circulatory System	927	13	1	2	62	849
08. Diseases of the Respiratory System	373	17	5	9	17	325
09. Diseases of the Digestive System	123	3	0	0	7	113
10. Diseases of the Genitourinary System	127	6	0	2	18	101
11. Complications of Pregnancy, Childbirth, and the Puerperium	2	0	0	1	0	1
12. Diseases of the Skin and Subcutaneous Tissue	55	0	1	2	10	42
13. Diseases of the Musculoskeletal System and Connective Tissue	915	6	1	5	52	851
14. Congenital Anomalies	437	191	41	51	81	73
15. Certain Conditions Originating in the Perinatal Period	62	24	9	17	11	1
16. Symptoms, Signs and Ill-Defined Conditions	168	19	6	10	24	109
17. Injury and Poisoning	1,249	37	33	58	353	768
18. Supplementary Classification of Factors Influencing Health Status and Contact with Health Services	94	17	9	4	13	51
<b>Total</b>	<b>12,865</b>	<b>1,009</b>	<b>550</b>	<b>731</b>	<b>2,307</b>	<b>8,268</b>

Data Source: Medicaid Management Information System (MMIS) Screening & Service Agreement Data

Minnesota Department of Human Service  
 Continuing Care Administration

Report run date:  
 02/25/2008

Report author:  
 pwmvg57

2) *County, age, and major diagnosis for individuals screened and waiting for CAC, CADI, and TBI waiver services*

The current Long Term Continuing Care (LTCC) screening document that the department uses to screen individuals who are interested in the CAC, CADI and TBI (CCT) Waiver services does not provide a waiting list for people on the CCT waivers. A data report in the appendix of this document, titled “People Waiting for CCT Waiver Services by Age and Primary Diagnosis” describes, for each county, a summary of the age and diagnosis of people screened and waiting for services on the CAC, CADI and TBI Waivers using the following information:

- LTCC screening document field 68 – Did the client choose waiver services?
- LTCC screening document field 89 – Was the client eligible for waiver services?
- A report on the age and diagnosis of those individuals who answered yes to both of the questions listed above but who are not currently receiving services on the waiver was pulled.

People are generally screened as they need services on the CAC, CADI and TBI Waivers. There are no caps on the number of allocations available for the CAC, CADI and TBI Waivers; however, counties may have a waiting list based on availability of funds within their aggregate allocation.

On the next page is a table with the statewide number of people screened and waiting for services on the CAC, CADI and TBI Waivers using the parameters described above.



**People Screened but not yet on program For One Of CCT Waiver(s)**  
**BY PRIMARY DIAGNOSIS AND AGE**

ICD-9-CM Category	Request CCT Waiver	Age Ranges				
		0 - 12	13 - 17	18 - 22	23 -39	40 - 64
02. Neoplasms	16	1	0	0	1	14
03. Endocrine, Nutritional and Metabolic Diseases & Immunity Disorders	16	0	0	1	1	14
04. Diseases of the Blood and Blood-Forming Organs	3	2	1	0	0	0
05. Mental Disorders	133	7	8	18	36	64
06. Diseases of the Nervous System and Sense Organs	41	6	2	3	6	24
07. Diseases of the Circulatory System	23	0	0	0	0	23
08. Diseases of the Respiratory System	11	1	0	1	0	9
09. Diseases of the Digestive System	4	0	0	0	0	4
10. Diseases of the Genitourinary System	7	0	0	0	1	6
12. Diseases of the Skin and Subcutaneous Tissue	1	0	0	0	0	1
13. Diseases of the Musculoskeletal System and Connective Tissue	14	0	0	0	0	14
14. Congenital Anomalies	7	5	0	1	0	1
16. Symptoms, Signs and Ill-Defined Conditions	7	0	2	0	2	3
17. Injury and Poisoning	24	0	1	0	9	14
18. Supplementary Classification of Factors Influencing Health Status and Contact with Health Services	4	0	0	1	1	2
<b>Total</b>	<b>311</b>	<b>22</b>	<b>14</b>	<b>25</b>	<b>57</b>	<b>193</b>

Data Source: Medicaid Management Information System (MMIS) Screening & Service Agreement Data

Minnesota Department of Human Service  
 Continuing Care Administration

Report run date:  
 02/25/2008

Report author:  
 pwxg57



- 3) *County, age, and major diagnosis for individuals residing in nursing facilities and are under age 65;*

A data report in the appendix of this document titled “People Under Age 65 Residing in Nursing Facilities by Age and Primary Diagnosis” describes, for each county, the age and diagnosis of people on the next page the age of 65 who are residing in nursing facilities. The table on the next page provides a statewide summary of the number of people under age 65 who are residing in nursing facilities.



**People Residing In Nursing Facility**  
**BY PRIMARY DIAGNOSIS AND AGE**

ICD-9-CM Category	Total	Age Ranges				
		0 - 12	13 - 17	18 - 22	23 -39	40 - 64
01. Infectious & Parasitic Diseases	17	0	0	0	1	16
02. Neoplasms	50	0	1	0	2	47
03. Endocrine, Nutritional and Metabolic Diseases & Immunity Disorders	168	0	0	1	7	160
04. Diseases of the Blood and Blood-Forming Organs	2	0	0	0	0	2
05. Mental Disorders	503	0	0	0	18	485
06. Diseases of the Nervous System and Sense Organs	475	0	0	3	31	441
07. Diseases of the Circulatory System	265	0	0	0	8	257
08. Diseases of the Respiratory System	62	0	0	0	1	61
09. Diseases of the Digestive System	38	0	0	0	1	35
10. Diseases of the Genitourinary System	35	0	0	0	0	35
11. Complications of Pregnancy, Childbirth, and the Puerperium	2	0	0	0	0	2
12. Diseases of the Skin and Subcutaneous Tissue	27	0	0	0	2	25
13. Diseases of the Musculoskeletal System and Connective Tissue	54	0	0	0	0	54
14. Congenital Anomalies	25	0	0	4	2	19
16. Symptoms, Signs and Ill-Defined Conditions	49	0	0	1	5	43
17. Injury and Poisoning	198	0	0	3	41	154
18. Supplementary Classification of Factors Influencing Health Status and Contact with Health Services	9	0	0	0	0	9
No LTC Screening Found	81	0	0	0	7	74
<b>Total</b>	<b>2,058</b>	<b>0</b>	<b>1</b>	<b>12</b>	<b>126</b>	<b>1,919</b>

Data Source: Medicaid Management Information System (MMIS) Screening & Service Agreement Data

Minnesota Department of Human Service  
 Continuing Care Administration

Report run date:  
 02/25/2008

Report author:  
 pwxmg57

4) *Funds allocated but not authorized*

The table beginning on the next page details the amount of funds allocated but not authorized in the CAC, CADI and TBI Waivers aggregate allocation for fiscal years (FY) 2005, 2006 and 2007.

The table details for each county: (1) the total dollars allocated to a county in a given year, (2) the total dollars authorized on services by the county in that year, (3) the difference between the dollars allocated and the dollars spent and (4) the percentage difference between dollars allocated and dollars spent.

**CAC, CADI and TBI Aggregate Waiver Funds Allocated but not Authorized**

County Name	FY 2005				FY 2006				FY 2007			
	\$ Allocated (in thousands)	\$ Authorized (in thousands)	Allocated - Authorized		\$ Allocated (in thousands)	\$ Authorized (in thousands)	Allocated - Authorized		\$ Allocated (in thousands)	\$ Authorized (in thousands)	Allocated - Authorized	
			(in thousands)	(%)			(in thousands)	(%)			(in thousands)	(%)
Aitkin	\$1,419	\$1,082	\$336	23.7%	\$1,693	\$1,312	\$381	22.5%	\$1,877	\$1,528	\$349	18.6%
Anoka	\$17,376	\$16,350	\$1,026	5.9%	\$20,243	\$19,880	\$362	1.8%	\$24,317	\$23,892	\$425	1.7%
Becker	\$3,030	\$2,932	\$98	3.2%	\$3,917	\$3,862	\$55	1.4%	\$4,343	\$4,244	\$99	2.3%
Beltrami	\$2,941	\$2,534	\$406	13.8%	\$3,506	\$3,174	\$332	9.5%	\$4,269	\$4,186	\$82	1.9%
Benton	\$2,795	\$2,468	\$328	11.7%	\$3,162	\$2,775	\$387	12.2%	\$3,704	\$3,347	\$357	9.6%
Big Stone	\$448	\$432	\$15	3.4%	\$616	\$564	\$52	8.4%	\$820	\$775	\$46	5.6%
Blue Earth	\$4,528	\$4,405	\$123	2.7%	\$5,296	\$4,871	\$425	8.0%	\$6,400	\$6,187	\$212	3.3%
Brown	\$3,631	\$2,738	\$893	24.6%	\$4,457	\$3,848	\$609	13.7%	\$5,029	\$4,732	\$297	5.9%
Carlton	\$4,369	\$4,121	\$247	5.7%	\$4,818	\$4,539	\$279	5.8%	\$5,764	\$5,024	\$739	12.8%
Carver	\$4,173	\$3,448	\$725	17.4%	\$5,302	\$4,462	\$840	15.9%	\$6,117	\$5,077	\$1,040	17.0%
Cass	\$2,281	\$2,175	\$105	4.6%	\$2,785	\$2,274	\$511	18.3%	\$3,299	\$2,966	\$333	10.1%
Chippewa	\$851	\$817	\$34	4.0%	\$963	\$916	\$47	4.8%	\$1,106	\$1,061	\$45	4.1%
Chisago	\$4,837	\$4,149	\$688	14.2%	\$5,890	\$5,372	\$518	8.8%	\$7,891	\$7,085	\$805	10.2%
Clay	\$9,817	\$8,736	\$1,081	11.0%	\$12,134	\$11,139	\$995	8.2%	\$14,643	\$13,847	\$797	5.4%
Clearwater	\$654	\$610	\$44	6.8%	\$679	\$566	\$113	16.6%	\$749	\$691	\$58	7.8%
Cook	\$298	\$280	\$17	5.8%	\$367	\$326	\$41	11.3%	\$493	\$401	\$92	18.6%
Cottonwood	\$578	\$418	\$159	27.6%	\$779	\$452	\$328	42.1%	\$903	\$584	\$319	35.3%
Crow Wing	\$4,897	\$4,102	\$795	16.2%	\$5,574	\$5,165	\$408	7.3%	\$6,501	\$6,211	\$290	4.5%
Dakota	\$31,885	\$30,077	\$1,808	5.7%	\$38,604	\$37,227	\$1,377	3.6%	\$47,390	\$45,991	\$1,400	3.0%
Dodge	\$894	\$817	\$76	8.5%	\$921	\$801	\$121	13.1%	\$1,238	\$1,039	\$199	16.1%
Douglas	\$3,379	\$3,252	\$127	3.8%	\$4,002	\$3,820	\$183	4.6%	\$4,703	\$4,573	\$131	2.8%
Faribault	\$233	\$165	\$69	29.4%	\$277	\$232	\$45	16.4%	\$391	\$450	-\$59	-15.1%
Fillmore	\$1,324	\$1,138	\$187	14.1%	\$1,517	\$1,206	\$311	20.5%	\$1,617	\$1,368	\$249	15.4%
Freeborn	\$809	\$711	\$98	12.1%	\$1,113	\$853	\$260	23.3%	\$1,483	\$1,138	\$345	23.3%
Goodhue	\$2,611	\$2,483	\$128	4.9%	\$3,251	\$3,101	\$149	4.6%	\$4,001	\$3,665	\$336	8.4%
Grant	\$465	\$277	\$188	40.3%	\$499	\$337	\$162	32.5%	\$604	\$498	\$106	17.6%
Hennepin	\$72,368	\$65,478	\$6,890	9.5%	\$84,738	\$79,510	\$5,228	6.2%	\$99,975	\$99,548	\$427	0.4%

Use and Availability of Home and Community-Based Waivers for Persons with Disabilities

County Name	FY 2005				FY 2006				FY 2007			
	\$ Allocated	\$ Authorized	Allocated - Authorized		\$ Allocated	\$ Authorized	Allocated - Authorized		\$ Allocated	\$ Authorized	Allocated - Authorized	
	(in thousands)	(in thousands)	(in thousands)	(%)	(in thousands)	(in thousands)	(in thousands)	(%)	(in thousands)	(in thousands)	(in thousands)	(%)
Houston	\$1,269	\$950	\$319	25.1%	\$1,507	\$921	\$586	38.9%	\$1,637	\$1,113	\$523	32.0%
Hubbard	\$1,847	\$1,534	\$313	16.9%	\$2,098	\$1,927	\$171	8.2%	\$2,318	\$2,081	\$237	10.2%
Isanti	\$2,117	\$1,623	\$494	23.3%	\$2,482	\$1,791	\$691	27.8%	\$3,320	\$2,669	\$651	19.6%
Itasca	\$4,143	\$2,787	\$1,356	32.7%	\$4,892	\$3,381	\$1,511	30.9%	\$5,307	\$4,508	\$799	15.1%
Jackson	\$919	\$791	\$128	14.0%	\$1,048	\$842	\$206	19.6%	\$1,236	\$978	\$257	20.8%
Kanabec	\$1,121	\$968	\$153	13.6%	\$1,295	\$1,112	\$183	14.1%	\$1,757	\$1,379	\$379	21.5%
Kandiyohi	\$5,719	\$4,691	\$1,028	18.0%	\$6,350	\$4,560	\$1,790	28.2%	\$7,453	\$5,506	\$1,947	26.1%
Kittson	\$166	\$102	\$64	38.4%	\$192	\$136	\$56	29.3%	\$236	\$131	\$104	44.3%
Koochiching	\$1,116	\$857	\$259	23.2%	\$1,194	\$1,040	\$155	12.9%	\$1,547	\$1,506	\$40	2.6%
Lac Qui Parle	\$1,146	\$900	\$246	21.5%	\$1,235	\$1,059	\$176	14.3%	\$1,330	\$1,005	\$325	24.4%
Lake	\$1,165	\$1,087	\$78	6.7%	\$1,334	\$1,325	\$9	0.7%	\$1,546	\$1,485	\$60	3.9%
Lake of the Woods	\$276	\$229	\$48	17.2%	\$302	\$252	\$50	16.6%	\$418	\$396	\$22	5.3%
Le Sueur	\$1,510	\$1,406	\$104	6.9%	\$1,855	\$1,740	\$115	6.2%	\$1,932	\$1,610	\$322	16.7%
Lincoln	\$559	\$338	\$221	39.5%	\$778	\$462	\$316	40.6%	\$904	\$541	\$363	40.2%
Lyon	\$3,801	\$3,459	\$342	9.0%	\$4,602	\$4,133	\$469	10.2%	\$5,166	\$5,049	\$116	2.3%
McLeod	\$3,177	\$2,848	\$328	10.3%	\$3,857	\$3,350	\$508	13.2%	\$4,535	\$4,159	\$376	8.3%
Mahnomen	\$485	\$369	\$116	23.9%	\$545	\$506	\$38	7.0%	\$656	\$570	\$86	13.2%
Marshall	\$600	\$398	\$202	33.7%	\$637	\$541	\$96	15.0%	\$739	\$703	\$36	4.8%
Martin	\$1,813	\$1,606	\$208	11.5%	\$2,136	\$1,686	\$451	21.1%	\$2,406	\$2,162	\$244	10.1%
Meeker	\$1,936	\$1,566	\$370	19.1%	\$2,307	\$1,672	\$635	27.5%	\$2,495	\$1,817	\$678	27.2%
Mille Lacs	\$3,207	\$2,723	\$484	15.1%	\$3,866	\$3,366	\$500	12.9%	\$4,813	\$4,437	\$376	7.8%
Morrison	\$1,589	\$1,471	\$118	7.4%	\$1,966	\$1,716	\$250	12.7%	\$2,434	\$2,349	\$86	3.5%
Mower	\$1,800	\$1,468	\$332	18.4%	\$2,203	\$1,860	\$342	15.5%	\$2,541	\$2,532	\$9	0.4%
Murray	\$399	\$331	\$69	17.3%	\$489	\$319	\$170	34.8%	\$693	\$437	\$257	37.0%
Nicollet	\$2,290	\$2,033	\$256	11.2%	\$2,604	\$2,313	\$292	11.2%	\$3,076	\$3,013	\$63	2.0%
Nobles	\$1,866	\$1,429	\$437	23.4%	\$2,062	\$1,579	\$483	23.4%	\$2,227	\$1,752	\$474	21.3%
Norman	\$831	\$691	\$140	16.8%	\$818	\$723	\$95	11.7%	\$909	\$895	\$14	1.5%
Olmsted	\$10,343	\$9,394	\$948	9.2%	\$12,074	\$10,897	\$1,177	9.7%	\$14,015	\$13,364	\$651	4.6%
Otter Tail	\$3,898	\$3,528	\$371	9.5%	\$4,676	\$4,277	\$399	8.5%	\$5,725	\$5,195	\$530	9.3%
Pennington	\$2,054	\$1,920	\$135	6.6%	\$2,261	\$2,072	\$189	8.3%	\$2,652	\$2,527	\$125	4.7%
Pine	\$1,452	\$1,335	\$117	8.1%	\$1,703	\$1,560	\$143	8.4%	\$2,453	\$2,107	\$346	14.1%

Use and Availability of Home and Community-Based Waivers for Persons with Disabilities

County Name	FY 2005				FY 2006				FY 2007			
	\$ Allocated	\$ Authorized	Allocated - Authorized		\$ Allocated	\$ Authorized	Allocated - Authorized		\$ Allocated	\$ Authorized	Allocated - Authorized	
	(in thousands)	(in thousands)	(in thousands)	(%)	(in thousands)	(in thousands)	(in thousands)	(%)	(in thousands)	(in thousands)	(in thousands)	(%)
Pipestone	\$583	\$556	\$27	4.7%	\$626	\$549	\$77	12.3%	\$767	\$745	\$22	2.8%
Polk	\$3,698	\$3,201	\$497	13.4%	\$4,217	\$3,637	\$580	13.7%	\$4,819	\$4,159	\$660	13.7%
Pope	\$1,389	\$875	\$514	37.0%	\$1,548	\$1,057	\$491	31.7%	\$1,632	\$1,006	\$626	38.4%
Ramsey	\$45,954	\$39,790	\$6,164	13.4%	\$54,929	\$47,597	\$7,332	13.3%	\$63,015	\$58,752	\$4,262	6.8%
Red Lake	\$160	\$126	\$35	21.8%	\$205	\$178	\$27	13.3%	\$393	\$264	\$130	32.9%
Redwood	\$1,326	\$979	\$347	26.2%	\$1,440	\$1,212	\$227	15.8%	\$1,772	\$1,483	\$289	16.3%
Renville	\$1,469	\$1,235	\$234	15.9%	\$2,008	\$1,548	\$460	22.9%	\$2,368	\$1,914	\$454	19.2%
Rice	\$3,514	\$2,769	\$745	21.2%	\$4,332	\$3,425	\$907	20.9%	\$5,123	\$3,963	\$1,160	22.6%
Rock	\$1,343	\$1,123	\$220	16.4%	\$1,573	\$1,322	\$251	15.9%	\$1,796	\$1,509	\$287	16.0%
Roseau	\$1,049	\$913	\$136	13.0%	\$1,180	\$1,140	\$40	3.4%	\$1,617	\$1,572	\$45	2.8%
St. Louis	\$21,022	\$19,855	\$1,167	5.6%	\$25,779	\$23,840	\$1,939	7.5%	\$32,114	\$29,228	\$2,886	9.0%
Scott	\$3,674	\$3,646	\$28	0.8%	\$4,979	\$4,943	\$36	0.7%	\$6,521	\$6,107	\$415	6.4%
Sherburne	\$3,601	\$3,208	\$393	10.9%	\$4,597	\$3,986	\$611	13.3%	\$5,674	\$5,632	\$42	0.7%
Sibley	\$1,168	\$1,037	\$131	11.3%	\$1,250	\$1,117	\$133	10.7%	\$1,554	\$1,385	\$169	10.9%
Stearns	\$7,969	\$6,840	\$1,129	14.2%	\$10,227	\$10,129	\$98	1.0%	\$13,115	\$12,964	\$151	1.2%
Steele	\$1,830	\$1,656	\$174	9.5%	\$2,132	\$1,918	\$214	10.1%	\$2,776	\$2,193	\$583	21.0%
Stevens	\$918	\$756	\$162	17.6%	\$1,012	\$811	\$201	19.8%	\$1,155	\$968	\$187	16.2%
Swift	\$980	\$866	\$113	11.6%	\$1,017	\$884	\$133	13.1%	\$1,121	\$1,021	\$100	8.9%
Todd	\$2,953	\$2,367	\$585	19.8%	\$3,586	\$2,924	\$663	18.5%	\$3,868	\$3,243	\$625	16.2%
Traverse	\$305	\$190	\$114	37.5%	\$350	\$278	\$72	20.6%	\$369	\$236	\$133	36.0%
Wabasha	\$1,099	\$961	\$138	12.6%	\$1,328	\$1,236	\$92	6.9%	\$1,720	\$1,528	\$192	11.2%
Wadena	\$981	\$963	\$18	1.8%	\$1,530	\$1,459	\$71	4.6%	\$2,219	\$1,816	\$403	18.2%
Waseca	\$947	\$805	\$142	15.0%	\$1,100	\$950	\$150	13.7%	\$1,426	\$1,296	\$130	9.1%
Washington	\$8,086	\$7,222	\$865	10.7%	\$9,696	\$8,450	\$1,246	12.9%	\$11,046	\$10,878	\$168	1.5%
Watonwan	\$839	\$618	\$222	26.4%	\$953	\$623	\$330	34.6%	\$1,197	\$1,043	\$154	12.8%
Wilkin	\$759	\$673	\$86	11.3%	\$1,029	\$863	\$167	16.2%	\$1,269	\$1,176	\$93	7.3%
Winona	\$4,903	\$4,169	\$734	15.0%	\$5,870	\$5,102	\$768	13.1%	\$7,139	\$6,612	\$527	7.4%
Wright	\$9,988	\$9,447	\$542	5.4%	\$11,365	\$11,036	\$329	2.9%	\$13,285	\$12,301	\$983	7.4%
Yellow Medicine	\$1,808	\$1,415	\$393	21.7%	\$2,271	\$1,588	\$683	30.1%	\$2,569	\$1,608	\$962	37.4%
<b>State Wide</b>	<b>\$381,823</b>	<b>\$339,293</b>	<b>\$42,529</b>	<b>11.1%</b>	<b>\$454,603</b>	<b>\$409,504</b>	<b>\$45,098</b>	<b>9.9%</b>	<b>\$541,540</b>	<b>\$504,685</b>	<b>\$36,855</b>	<b>6.8%</b>

## Appendices of Waiver Reports

### Appendices of Waiver Reports

- The appendix of this report contains a county by county listing of the following data:

**For all Waivers:**

1. Case Load Summary Report

**For the DD Waiver:**

2. People Waiting for DD Waiver By Age and Living Arrangement
3. People Waiting for DD Waiver Current Services and Supports
4. People Waiting for DD Waiver By Waiver Need Index and Age
5. People Waiting for DD Waiver Planned Services and Supports

**For the CAC, CADI, TBI (CCT) Waivers:**

6. People Receiving CCT Waiver Services By Age and Primary Diagnosis
7. People Waiting for CCT Waiver Services By Age and Primary Diagnosis
8. People Under Age 65 Residing in Nursing Facilities By Age and Primary Diagnosis

**Appendix Table of Contents: Click on a county link to view county-specific data reports.**

County Name	County Name
<a href="#">Aitkin</a>	<a href="#">Marshall</a>
<a href="#">Anoka</a>	<a href="#">Martin</a>
<a href="#">Becker</a>	<a href="#">Meeker</a>
<a href="#">Beltrami</a>	<a href="#">Mille Lacs</a>
<a href="#">Benton</a>	<a href="#">Morrison</a>
<a href="#">Big Stone</a>	<a href="#">Mower</a>
<a href="#">Blue Earth</a>	<a href="#">Murray</a>
<a href="#">Brown</a>	<a href="#">Nicollet</a>
<a href="#">Carlton</a>	<a href="#">Nobles</a>
<a href="#">Carver</a>	<a href="#">Norman</a>
<a href="#">Cass</a>	<a href="#">Olmsted</a>
<a href="#">Chippewa</a>	<a href="#">Otter Tail</a>
<a href="#">Chisago</a>	<a href="#">Pennington</a>
<a href="#">Clay</a>	<a href="#">Pine</a>
<a href="#">Clearwater</a>	<a href="#">Pipestone</a>
<a href="#">Cook</a>	<a href="#">Polk</a>
<a href="#">Cottonwood</a>	<a href="#">Pope</a>
<a href="#">Crow Wing</a>	<a href="#">Ramsey</a>
<a href="#">Dakota</a>	<a href="#">Red Lake</a>
<a href="#">Dodge</a>	<a href="#">Redwood</a>
<a href="#">Douglas</a>	<a href="#">Renville</a>
<a href="#">Faribault</a>	<a href="#">Rice</a>
<a href="#">Fillmore</a>	<a href="#">Rock</a>
<a href="#">Freeborn</a>	<a href="#">Roseau</a>
<a href="#">Goodhue</a>	<a href="#">St. Louis</a>
<a href="#">Grant</a>	<a href="#">Scott</a>
<a href="#">Hennepin</a>	<a href="#">Sherburne</a>
<a href="#">Houston</a>	<a href="#">Sibley</a>
<a href="#">Hubbard</a>	<a href="#">Stearns</a>
<a href="#">Isanti</a>	<a href="#">Steele</a>
<a href="#">Itasca</a>	<a href="#">Stevens</a>
<a href="#">Jackson</a>	<a href="#">Swift</a>
<a href="#">Kanabec</a>	<a href="#">Todd</a>
<a href="#">Kandiyohi</a>	<a href="#">Traverse</a>
<a href="#">Kittson</a>	<a href="#">Wabasha</a>
<a href="#">Koochiching</a>	<a href="#">Wadena</a>
<a href="#">Lac Qui Parle</a>	<a href="#">Waseca</a>
<a href="#">Lake</a>	<a href="#">Washington</a>
<a href="#">Lake of the Woods</a>	<a href="#">Watonwan</a>
<a href="#">Le Sueur</a>	<a href="#">Wilkin</a>
<a href="#">Lincoln</a>	<a href="#">Winona</a>
<a href="#">Lyon</a>	<a href="#">Wright</a>
<a href="#">McLeod</a>	<a href="#">Yellow Medicine</a>
<a href="#">Mahnomon</a>	