

University of Minnesota

Status Report: Total Capital Appropriations 2002 to 2005  
(Projected as of 02/28/06) Definitions on last page

Prt	(B) Appropriation Amount	(C) Projected Spent as of 02/28/06	(D) Projected Encumbered as of 02/28/06	(E) (B-C-D) Allotted but Uncommitted	(F) Status	(G) Estimated Occupancy
-----	--------------------------------	---	--	--	---------------	-------------------------------

06 - 0281

**2002 State Capital Appropriations: Major Projects**

**Completed Projects**

NICHOLSON HALL RENOVATION	22,830,000	21,517,617	1,312,383	0	Substantial Completion	11/21/05
NICHOLSON HALL RENOV (CONTINGENCY)	1,170,000	0	216,000	954,000	To be Authorized for HEAPR	11/21/05
Subtotal - Nicholson Hall Renovation	24,000,000	21,517,617	1,528,383	954,000		

PLANT GROWTH FACILITIES - PH II	17,000,000	16,987,265	12,735	0	Substantial Completion	Occupied
PLANT GROWTH - PH II (CONTINGENCY)	700,000	642,517	57,483	0	Substantial Completion	Occupied
Subtotal - Plant Growth Facilities	17,700,000	17,629,781	70,219	0		

UMC BEDE HALL RENOVATION	7,266,000	7,266,000	0	0	Substantial Completion	Occupied
UMC BEDE HALL RENOV (CONTINGENCY)	435,000	83,241	202,759	149,000	Substantial Completion	Occupied
Subtotal - UMC Bede Hall Renovation	7,701,000	7,349,241	202,759	149,000		

**Completed Classroom Impr Projects**

UMC CLASSROOM IMPROVEMENTS	1,940,000	1,940,000	0	0		
UMC CLASSROOM IMPROVEMENTS	60,000	56,308	3,692	0	Substantially Comp	Occupied
Subtotal - Classroom Improvements	2,000,000	1,996,308	3,692	0		

Subtotals - '02 Appropriations	51,401,000	48,492,949	1,805,051	1,103,000		
--------------------------------	------------	------------	-----------	-----------	--	--

**'02 HEAPR Projects**

<b>Completed Projects</b>	14,131,333	14,131,333	0	0		
ST PAUL CHILLED WATER PLANT	20,839,267	19,703,987	1,135,280	0	Substantially Comp, Reallocating	08/01/05
CIV ENG INSTALL SERVICE DOOR	29,400	25,221	4,179	0	Substantial Completion	02/28/06
Subtotals - '02 HEAPR	35,000,000	33,860,542	1,139,458	0		

Total - 2002 Appropriations	86,401,000	82,353,491	2,944,509	1,103,000		
-----------------------------	------------	------------	-----------	-----------	--	--

**2003 State Capital Appropriations: Major Projects**

JONES HALL RENOVATION	7,522,000	7,522,000	0	0	Substantial Completion	Occupied
JONES HALL RENOVATION(CONTINGENCY)	478,000	478,000	0	0	Substantial Completion	Occupied
Subtotal - Jones Hall Renovation	8,000,000	8,000,000	0	0		

LIONS TRANSLATIONAL RESEARCH ADDTN	23,100,000	23,100,000	0	0	Substantial Completion	Occupied
LIONS TRANSLATIONAL RES(CONTINGENCY)	1,600,000	0	1,600,000	0	Substantial Completion	Occupied
Subtotal - Lions Translational Research Addtn	24,700,000	23,100,000	1,600,000	0		

TEACHING & TECHNOLOGY CTR(PREDESIG)	3,000,000	428,086	0	2,571,914	Pre-Design	N/A
VET DIAGNOSTIC LAB RENOVATION	1,410,000	1,410,000	0	0	Pacloseout	Occupied
VET DIAGNOSTIC LAB REN(CONTINGENCY)	90,000	31,980	0	58,020	Pacloseout	Occupied
Subtotal - Vet Diagnostic Lab Renovation	1,500,000	1,441,980	0	58,020		

**Completed Research & Outreach Projects**

NCROC FOREST GENETICS LAB ADDTN	2,096,045	2,096,045	0	0		
NCROC FOREST GENETICS LAB ADDTN	375,000	266,297	108,703	0	Substantial Completion	Occupied
NCROC FOREST GENETICS(CONTINGENCY)	24,000	0	24,000	0	Substantial Completion	Occupied
SROC LAB BUILDING REPAIRS(CONT-HEAPF)	12,955	0	12,955	0	Construction	N/A
Subtotal - Research & Outreach	2,508,000	2,362,342	145,658	0		

GENOMICS BUILDING-ROCHESTER	400,000	400,000	0	0	Design Development	Unknown
-----------------------------	---------	---------	---	---	--------------------	---------

**Completed UMM Capital Projects**

UMM SOC SCI BLDG RENOVATION	600,000	600,000	0	0		
UMM SOC SCI BLDG RENOVATION	7,495,000	4,378,841	3,116,159	0	Construction	08/01/06
UMM SOC SCI BLDG (CONTINGENCY)	505,000	0	505,000	0	Construction	08/01/06
Subtotal - UMM	8,600,000	4,978,841	3,621,159	0		

Total - 2003 Appropriations	48,708,000	40,711,249	5,366,817	2,629,934		
-----------------------------	------------	------------	-----------	-----------	--	--

# University of Minnesota

Status Report: Total Capital Appropriations 2002 to 2005  
(Projected as of 02/28/06) Definitions on last page

(A) Project Name	(B) Appropriation Amount	(C) Projected Spent as of 02/28/06	(D) Projected Encumbered as of 02/28/06	(E) (B-C-D) Allotted but Uncommitted	(F) Status	(G) Estimated Occupancy
<b>2005 State Capital Appropriations: Major Projects</b>						
KOLTHOFF FACILITY RENEWAL	17,400,000	319,000	36,434	17,044,566	Schematic Design	05/01/08
EDUCATION SCIENCES BUILDING RENEWAL	14,500,000	162,913	1,009,621	13,327,465	Design Development	11/12/07
<b>Completed AHC Education Projects</b>	1,001,303	1,001,303	0	0		
SIMULATION LAB	658,692	0	0	658,692	Programming	Unknown
BEN POMEROY STUDENT ALUMNI CENTER	3,697,505	112,696	293,755	3,291,054	Design Development	03/31/07
MAYO AUDITORIUM REMODEL	3,600,000	8,094	274,492	3,317,414	Design Development	12/31/06
AHC CLASSROOM IMPROVEMENTS	2,642,500	1,237	97,940	2,543,323	Design Development	09/01/06
Subtotal-AHC Education Projects	11,600,000	1,123,330	666,188	9,810,482		
NCROC FARM GROUNDS MAINT RENOVATI	283,000	0	0	283,000	Programming	Unknown
BSL-FACILITY OF AF&E SCIENCE	3,300,000	3,070	24,699	3,272,230	Pre-Design	08/01/07
ROC STATEWIDE WATER RESEARCH	619,000	0	0	619,000	Programming	Unknown
MAYO-STABILE BUILDING PURCHASE	21,726,000	5,820	21,720,180	0	Construction	02/01/07
UMD LIFE SCIENCE BUILDING RENOVATION	10,100,000	557,764	584,776	8,957,460	Construction Doc	09/30/07
UMD SPORTS & HEALTH CENTER	8,700,000	3,230,251	5,469,749	0	Construction	11/01/06
UMM BIO MASS/ALT ENERGY FACILITY	4,000,000	17,644	22,789	3,959,566	Schematic Design	09/01/07
UMM FOOTBALL STADIUM	1,800,000	51,829	75,573	1,672,598	Construction Doc	08/20/06
Subtotals - '05 Appropriations	94,028,000	5,471,621	29,610,011	58,946,368		
<b>'05 HEAPR Projects</b>						
<b>Completed Projects</b>	217,333	217,333	0	0		
2005 CROOKSTON HEAPR PROJECTS	102,513	17,280	2,720	82,513	Programming	09/01/06
2005 MORRIS HEAPR PROJECTS	53,711	0	0	53,711	Programming	08/01/06
UMM ELECTRICAL DISTRIBUTION	53,601	0	0	53,601	Programming	08/01/06
UMM CAMPUS EMS SYSTEM UPGRADES	65,000	17,000	48,000	0	Design	03/17/06
UMM HAZARDOUS WASTE MGMT	20,000	2,797	17,203	0	Design	08/18/06
UMM PE CENTER CHILLED WATER	330,000	18,293	10,650	301,058	Design	05/01/06
UMM HFA RENOVATION(LOWER LEVEL)	130,000	1,135	2,136	126,729	Design	08/18/06
UMM CAMDEN UTILITY IMPROVEMENT	80,000	3,749	3,198	73,054	Design	08/18/06
UMD DARLAND STONE REPLACEMENT	3,080,000	0	3,080,000	0	Construction	09/01/06
UMD BOHANNON VENTILATION (DESIGN ON	500,000	35,000	465,000	0	Design	07/01/06
UMC LYSACKER GYM FLOOR REPAIR	147,487	126,224	21,264	0	Substantially Complete	Occupied
POUCHER BUILDING DEMOLITION	220,000	13,514	33,526	172,959	Construction Doc	05/01/06
HOLMAN BUILDING DEMOLITION	500,000	675	0	499,325	Programming	05/01/06
U PRESS BUILDING DEMOLITION	500,000	467	0	499,533	Programming	05/01/06
ARBORETUM/SNYDER REROOF	500,000	414,555	85,445	0	Construction	10/15/05
TATE LAB PHYSICS CHILLER REPLACEMENT	300,000	15,139	7,861	277,000	Design Development	03/31/06
ST PAUL CHILLED WATER PHASE II	5,630,000	92,921	1,169,913	4,367,166	Design Development	11/01/08
COFFEY ELEVATOR MODERNIZATION	467,000	3,907	45,944	417,149	Design Development	05/12/06
COB UPGRADE TWO ELEVATORS	952,000	5,118	89,980	856,902	Design Development	08/20/06
DWAN ELEVATOR H029 UPGRADE	324,000	4,460	45,864	273,676	Design Development	05/12/06
FORD UPGRADE TWO ELEVATORS	764,000	4,084	89,945	669,971	Design Development	08/01/06
ITASCA '06 MISC REPAIRS	45,000	37,393	7,607	0	Construction	Unknown
CEDAR CREEK '06 MISC REPAIRS	45,000	0	0	45,000	Programming	Unknown
MAYO UPGRADE OF 7 ELEVATORS	1,400,000	34,116	183,230	1,182,654	Construction Doc	09/01/06
MAYO ROOF REPLACEMENT-E,H,K,L,ZZ	727,000	22,412	34,669	669,919	Construction Doc	09/01/06
STAKMAN ROOF INVESTIGATION	14,000	5,069	4,942	3,989	Construction Doc	09/01/06
CHRISTENSEN LAB WINDOW REPLACEMENT	50,000	1,008	52	48,940	Programming	11/22/06
HUMPHREY ELEC SYST SERVICE UPGRADE	250,000	240,556	0	9,444	Programming	05/01/06
UNIV OFFICE PLAZA ROOF REPLACEMENT	563,000	856	12,885	549,259	Design Development	09/01/06
SMITH HALL ROOF REPLACEMENT	1,700,000	1,996	36,819	1,661,185	Design Development	09/01/06
WEISMAN HVAC UPGRADE	473,000	31,493	68,261	373,246	Design Development	11/22/06
ANDREW BOSS LAB MASONRY & ROOF	395,000	11,311	14,929	368,760	Construction Doc	09/01/06
MASONIC ROOF REPAIR-C,D,H,L-P	36,000	2,701	8,208	25,090	Construction Doc	10/15/06

# University of Minnesota

Status Report: Total Capital Appropriations 2002 to 2005  
(Projected as of 02/28/06) Definitions on last page

(A) Project Name	(B) Appropriation Amount	(C) Projected Spent as of 02/28/06	(D) Projected Encumbered as of 02/28/06	(E) (B-C-D) Allotted but Uncommitted	(F) Status	(G) Estimated Occupancy
HELLER HALL ROOF REPLACEMENT	161,000	3,019	6,364	151,617	Construction Doc	09/15/06
CHILD CARE CENTER ROOF REPLACEMENT	468,000	1,926	13,625	452,450	Construction Doc	09/12/06
DIEHL HALL ROOF REPLACEMENT-AREA A	371,000	3,482	7,810	359,708	Construction Doc	10/01/06
UMM TRANSFORMER-BEHMLER/SOCSCI	50,000	49,456	544	0	Construction	02/28/06
MOOS ELECTRICAL BACKUP SYSTEM	300,000	0	0	300,000	Programming	Unknown
WCROC MISC SITE IMPROVEMENTS	30,000	27,919	2,081	0	Construction	Unknown
WILLIAMSON HALL HVAC REPLACEMENT	1,250,000	5,704	112,751	1,131,545	Design Development	07/01/06
CLOQUET FORESTRY HVAC UPGRADE	40,000	0	0	40,000	Programming	03/31/06
UMC STEAM UTILITY INFRASTRUCTURE	5,000,000	113,791	1,045,578	3,840,631	Schematic Design	08/15/06
SROC EXT IMPROVEMENTS-ANNEX BLDG	55,000	0	0	55,000	Programming	Unknown
MOOS TOWER SPRINKLER UPGRADE	3,000,000	4,246	6,245	2,989,508	Schematic Design	10/11/06
CROPS SERVICE DUST COLLECTOR REPLC	200,000	4,116	145,332	50,552	Design Development	Unknown
FERGUSON FIRE ALARM UPGRADE	115,000	0	18,001	96,999	Design Development	06/30/06
UMM REPLACE FAILED CABLES	35,000	32,942	77	1,981	Substantially Complete	02/28/06
STAKMAN HALL WINDOW REPLACEMENT	400,000	11,450	12,789	375,760	Construction Doc	09/01/06
MCNEAL HALL WINDOW REPLACEMENT	300,000	8,441	11,985	279,574	Construction Doc	09/01/06
UMM INDEPENDENCE EMERG GENERATOR	18,000	288	0	17,712	Programming	03/31/06
NORTHROP EXT STABILIZATION & CODE UF	5,300,355	0	1,250	5,299,105	Design Development	Unknown
MUSIC EDUCATION BUILDING DEMOLITION	500,000	0	104	499,896	Design Development	Unknown
UMC ROBERTSON HALL BLDG DEMOLITION	500,000	0	0	500,000	Design Development	Unknown
2331 UNIV AVE (LADDER BLDG) EVALUATIO	250,000	0	1,791	248,209	Design Development	Unknown
UMC LAMB & SHEEP BARN SINKS	50,000	0	50,000	0	Construction	Occupied
UMC ACCESSIBILITY IMPROVEMENTS	5,000	0	0	5,000	Programming	08/01/06
UMC EYEWASH FOUNTAIN - OWEN	2,000	0	0	2,000	Programming	08/01/06
UMC EYEWASH FOUNTAIN - DOWELL	2,000	0	0	2,000	Programming	08/01/06
UMC INSTALL FIRE SYSTEM - DOWELL	7,000	0	0	7,000	Programming	08/01/06
UMC INSTALL FIRE SYSTEM - LRC	28,000	0	0	28,000	Programming	08/01/06
UMC INSTALL FIRE SYSTEM - ECDC	53,000	0	0	53,000	Programming	08/01/06
UMC INSTALL FIRE SYSTEM - DOWELL ANNI	8,000	0	0	8,000	Programming	08/01/06
UMC SIDEWALK REPLACEMENTS	70,000	0	0	70,000	Programming	08/01/06
WEISMAN PREACTION VALVE SPRINKLER	17,000	0	0	17,000	Programming	Unknown
UMM SOCIAL SCIENCE BUILDING ENVELOPI	150,000	0	0	150,000	Programming	Unknown
NWROC AIR CONDITIONING - ARC	30,000	0	0	30,000	Programming	Unknown
EAST RIVER ROAD GARAGE/WALL WATER S	200,000	0	0	200,000	Programming	Unknown
DESIGN FUND FOR 06 HEAPR	400,000	0	0	400,000	Programming	Unknown
Subtotals - '05 HEAPR	40,000,000	1,649,345	7,026,576	31,324,079		
Total - 2005 Appropriations	134,028,000	7,120,966	36,636,587	90,270,447		
Grand Totals 2002 to 2005	269,137,000	130,185,705	44,947,913	94,003,381		

NOTE: All capital appropriations prior to 2002 have been expended or returned to the State with the exception of UMC Kiehle (\$48,634) and Folwell Hall Design (\$141,479 being used to design exterior project details)

# University of Minnesota

Status Report: Total Capital Appropriations 2002 to 2005  
(Projected as of 02/28/06) Definitions on last page

(A) Project Name	(B) Appropriation Amount	(C) Projected Spent as of 02/28/06	(D) Projected Encumbered as of 02/28/06	(E) (B-C-D) Allotted but Uncommitted	(F) Status	(G) Estimated Occupancy
---------------------	--------------------------------	---	--	--	---------------	-------------------------------

## Notes:

### 1) Definitions of columns:

- B. Appropriation Amount: The Legislative appropriation for each project. Although HEAPR funds are appropriated in a block of funds, they are detailed in this report by the University's allocation.
- C. Spent: The amount the University has paid to contractors from signed contracts.
- D. Encumbered: This includes three categories.
  - a. Project amount specifically under contract with a general contractor, architect, engineer, or other vendor.
  - b. Known/budgeted cost required to complete a project under contract for construction.
  - c. Internal project where work has begun and/or internal purchase order/work has been completed.
- E. Uncommitted: The balance of the appropriation, which has been allotted to a specific project, but has not been spent or encumbered.

### 2) Definitions of project phases:

- a. Programming: Defining in detail the scope of the project, describing the facility components required to accommodate the academic/operational program, and establishing the functional and physical relationships of those components.
- b. Schematic Design: Evaluating alternatives for meeting the project program and establishing the general size, shape, and massing of building elements; exterior finishes; and design criteria for structural, mechanical, and electrical systems.
- c. Design Development: Developing the preliminary design into a detailed design that establishes final floor plans, building elevations, interior and exterior materials, room finishes, building systems, furnishings, and equipment.
- d. Construction Documents: Preparing detailed drawings and specifications required to obtain bids and to describe and direct the construction work.
- e. Bidding: Soliciting bids from contractors for completing the work described in the construction documents.
- f. Construction: Mobilizing of the contractor's equipment, purchasing of building materials, and implementing the work described in the construction documents.
- g. Substantial Completion: Completing work on the project to a point that the Owner can occupy and use the facility for its intended use.
- h. Pcloseout: Making final payments to contractors and vendors, closing all contracts, and preparing the final project accounting.