Affirmative Action Plan 2002 - 2004

Minnesota Higher Education Services Office

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Table of Contents

		Page
Statement of Commitment	•••••	1
Affirmative Action Officer: Designee and Duties		
Communication and Dissemination of Affirmative Action Plan.	••••••	5-6
Complaint Procedure	***************************************	6-8
Programs and Program Objectives	••••••	. 9-10
Methods of Auditing, Evaluating, Reporting Program Success		11-14
Weather Emergencies	***************************************	14-15
Reasonable Accommodation	••••••	. 15-18
Recruitment Plan	••••••	. 19-20
Retention Plan		. 20-21

Appendices

Minnesota	Higher	Education	Services	Office
2002-2004	Affirma	tive Action	Plan	

Transmittal Form

This review revealed underutilization of the following protected group(s) in the following goal units:

GOAL UNITS	PROTECTED GROUPS

	Female	Minority	Disability
MHESO Unclassified Compensation Plan			
Executive Management Group			
 Managers 			
Para-professionals	·		3
MAPE/MMA General Professional	2	·	
AFSME/Clerical/Commissioners Plan			2

This annual plan contains an internal procedure for processing complaints of alleged discrimination from employees, and each employee has been informed of this procedure as well as our agency's affirmative action goal for this fiscal year.

Mary-Log Dresbach

Affirmative Action Officer

This annual plan contains clear designations of those individuals and groups responsible for implementing the attached affirmative action plan as well as my personal statement of commitment to achieving the goals and timetables described herein.

Robert K. Poch

CEO/Director

This annual plan meets the requirements governing affirmative action under MN Statues 43A.19-43A.21 and MN Rules 3905.0100-3905.0700, and contains goals and timetables as well as methods for achieving them which are reasonable and proactive in dealing with the identified disparities.

irmative action Coordinator 11/4/03

TOTAL P.02

Goals and Timetables

Goals and timetables have been established as an integral part of affirmative action programs to provide employers with a target to aim for. The purpose behind goals and timetables is to eventually eliminate underutilization of protected group members in an employer's workforce.

This Plan is written to address disparity/underutilization among protected groups and within specific bargaining units. A disparity/underutilization exists when the agency's percentage of protected group persons within a bargaining unit is less than the percentage goals determined for the agency.

Historically, the MHESO has had extremely low turnover rates of its staff. Many employees have been with the agency for 10 years or more. In determining the goals for the 2002-2004 period, this factor has been taken into consideration along with the aging of the workforce and the underutilization in the two protected groups — women and those with disabilities.

MHESO staff are represented under the following bargaining units/compensation plans:

MHESO Managerial and Professional Compensation Plan (Unclassified Plan)

MAPE/General Professional (Classified)

AFSME/Clerical

MMA/Supervisors

Commissioner's Plan

For purposes of this Affirmative Action Plan, the MHESO Unclassified Compensation Plan employees have been separated as follows into the following job groups:

MHESO Unclassified Compensation Plan: Goals & Timetables

- Executive Management Group this professional job group (004-chief executives and general administrators, public administration). This group is comprised of division directors and the agency head. No goals are set for this group for the 2002-2004 period.
- Managers this professional job group (022--managers and administrators--salaried).

This group is comprised of eight managers. Two employees were promoted into managerial positions during 2001, and no additional vacancies are anticipated during the remainder of year 2002 through 2004. No goals are set for the 2000-2002 period for this group of unclassified staff.

• Professionals/Para-professionals—this professional job group (303-supervisors general office, and 389-402—administrative support positions).

The agency is underutilized by 3 individuals with disabilities in this professional group

for which goals are considered. Based on our consideration of turnover and possible expansion due to a federal grant which includes a number of staff in this professional group, we will attempt to fill at least one vacancy with a qualified individual with disabilities. In addition, even though no goals are set to address the underutilization of minorities in this group, the nature of the work of a significant number of staff in this group, funded through a federal grant, involves working with 4th to 6th grade minority students and students/families with no prior experience with higher education. Therefore, we will continue to actively recruit persons of color when position openings occur in this group.

MAPE/MMA General Professional: Goals & Timetables

For purposes of this Affirmative Action Plan, six MAPE and one MMA employee have been combined under the job group entitled *Professional/Technical*, *Sales*, *Administrative Support*. This professional job group includes (308- computer operators, 337-bookkeepers, accounting, and auditing clerks, 305- supervisors, financial records processing). Included in this group are three agency auditors and one audit supervisor, two computer staff, and one accounting staff member. This professional job group is underutilized by two women. It is possible that one staff person in this group may retire within the 2002-2004 period. Should that occur, we may be able to correct the underutilization by one woman in this group.

AFSME/Clerical/Commissioners Plan: Goals & Timetables

This professional job group includes seventeen AFSME administrative support staff (379-382-general office clerks) and one Commissioners Plan employee (328-personnel clerk/personnel officer, and (389-402-- administrative support). In this group, the MHESO is underutilized by 2 positions for individuals with disabilities. We will attempt to address this underutilization by one individual with disabilities should any openings occur during the remainder of the 2002-2004 period.

Methods utilized to meet these goals and timetables would include those cited in other parts of our plan to work towards the accomplishment of these goals.

Statement of Commitment

The Minnesota Higher Education Services Office (MHESO) fully recognizes and supports the State of Minnesota's Equal Employment Opportunity Policies and Statewide Affirmative Action efforts. The MHESO is committed to the policy of implementing and maintaining an affirmative action program designed to identify and correct imbalances of protected groups in the work force and to foster correction of any imbalances which may exist.

The MHESO is supportive of efforts to provide equal opportunity to current and prospective employees without regard to race, religion, creed, color, age, national origin, sex, sexual orientation, marital status, disability, membership or activity in a local human rights commission, or status with regard to public assistance. To ensure equal opportunity of all protected groups, the MHESO will ensure that all positions are accessible to all qualified persons and will make efforts to recruit, hire, retain and support qualified protected group members.

The MHESO fully supports the non-discriminatory provision of all state and federal laws, rules, regulations, policies, and guidelines regarding non-discrimination and equal opportunity.

As Chief Executive Officer of the Higher Education Services Office, I am strongly committed to the principles and policies of effective affirmative action. All management and supervisory staff have responsibility for progressive affirmative action in the performance of their responsibilities and I enlist the assistance of all employees in the effort to achieve equal opportunity for all groups within this agency.

The designated MHESO Affirmative Action Officer is Mary Lou Dresbach, Director of Human Resources and Agency Services.

I encourage employees of the Minnesota Higher Education Services Office to take an active role in the support of this policy as we continuously strive to improve service to our customers and to fulfill the mission of this agency.

D-10 10 10

Date

Robert K. Poch, CEO/Director

Minnesota Higher Education Services Office

Affirmative Action Officer: Designee and Duties

A. Director of the Minnesota Higher Education Services Office

The Director of MHESO is responsible for the overall direction of the agency's Affirmative Action Plan in compliance with existing federal and state laws, rules and regulations. The Director will take the appropriate actions to remove all artificial barriers to equal opportunity within the agency.

Accountability

The Director of MHESO is accountable to the Minnesota Higher Education Services Council, the Governor, the Legislature, and the Commissioner of Employee Relations for the implementation and maintenance of the agency's Affirmative Action Plan.

Duties of the Director

- 1. To designate a top MHESO management executive as the agency's Affirmative Action Officer.
- 2. To take action on complaints of alleged discrimination.
- 3. To issue a statement affirming support of the State's equal opportunity policy for the MHESO and to ensure that such a statement is disseminated to all employees.
- 4. To make such decisions and changes in policy or procedure as may be needed to facilitate affirmative action goals and policies within the agency.
- 5. To ensure the submission of reports, as directed by the Commissioner of Employee Relations, regarding the agency's efforts in meeting affirmative action goals and progress resulting from those efforts.

B. Affirmative Action Officer/Designee

The Affirmative Action Officer/Designee is responsible for the overall implementation, administration, and maintenance of the Affirmative Action Plan.

Accountability

The Affirmative Action Officer is accountable directly to the MHESO Director for all affirmative action matters, including directing and implementing the agency's affirmative action plan.

Duties of the Affirmative Action Officer

- 1. To write and update the agency's affirmative action plan.
- 2. To coordinate and monitor the plan.
- 3. To investigate or arrange for an investigation of complaints of discrimination and to ensure report findings with recommendations to the MHESO Director.
- 4. To ensure that all affirmative action reporting requirements as prescribed and required by the Office of Diversity and Equal Opportunity [ODEO]-- Department of Employee Relations are completed.
- 5. To ensure the communication and dissemination of the agency's Affirmative Action Plan.
- 6. To act as the liaison between the MHESO and the Office of Diversity and Equal Opportunity-- Department of Employee Relations, for purposes of affirmative action.
- 7. To review, respond to, and facilitate approved requests for reasonable accommodation from employees and prospective employees in a fair and equitable manner.
- 8. To determine the need for affirmative action training within the agency and to facilitate opportunities for such training with the assistance of the Office of Diversity and Equal Opportunity, and Training & Resource Center of the Department of Employee Relations, the Office of the Attorney General, and outside resources, as necessary.
- 9. To review and recommend changes in policies, procedures, and programs to facilitate affirmative action and the achievement of affirmative action goals contained in this plan.
- 10. To support and participate in the recruitment, selection, retention and support of members of a protected class as employees with the MHESO.

- 11. To maintain contact with protected group resources for recruitment purposes.
- 12. To notify all contractors and subcontractors with whom the agency does business, of their affirmative action responsibilities.

C. Division Directors, Managers, and Supervisors

Division Directors, Managers, and Supervisors are responsible for supporting the agency's Affirmative Action Plan and ensuring the equal treatment of all employees.

Accountability

Division Directors are directly accountable to the MHESO Director. Managers and supervisors are accountable to Division Directors.

Duties of Division Directors, Managers, and Supervisors

- 1. To assist the agency's Affirmative Action Officer in identifying and resolving problems and eliminating barriers which inhibit equal employment opportunity.
- 2. To assist the agency's Affirmative Action Officer and agency human resources staff in recruiting, hiring, retaining and supporting members of a protected class.
- 3. To select candidates for positions or promotional opportunities on the basis of experience, skills, training/education, the agency's affirmative action goals, and in consultation with the Affirmative Action Officer as per the pre-employment review process.
- 4. To advise the Affirmative Action Officer of all requests for reasonable accommodation by employees and prospective employees under their supervision.
- 5. To ensure that the agency's Affirmative Action plan is communicated to all MHESO employees and that copies of the agency's Affirmative Action Plan are made available to all employees under their supervision.
- 6. To assist the agency's Affirmative Action Officer by advising employees under their supervision of the availability of training and improvement opportunities, as appropriate.

7. In conjunction with the Affirmative Action Officer, acknowledge receipt of complaints related to discrimination and/or harassment within 10 working days, and to ensure that no reprisals are made against an employee for filing a discrimination complaint.

Communication and Dissemination of Affirmative Action Plan

The 2002-2004 Affirmative Action Plan will be distributed to all agency staff at an agency staff meeting upon approval by the Office of Diversity [ODEO]—Department of Employee Relations. There will be opportunity for questions to be answered at that meeting by the Affirmative Action Officer. The copies of the Affirmative Action Plan will include a cover memo explaining the responsibility of all employees to read, understand, support and implement the Plan, and will also include an *Acknowledgment of Receipt* form which each employee is to sign and return to the Human Resources Director once he/she has read the Plan and has had his/her questions answered. As new staff join the agency, agency Human Resources staff Officer will give the new staff person a copy of the Plan, and ensure that the employee's questions are answered. Additional copies of the Affirmative Action Plan will be available from the MHESO Human Resources unit and will be placed on the agency intranet. The Plan can be made available in alternative formats such as large print, braille or on audio tape upon request to the MHESO Human Resources staff.

The MHESO Affirmative Action Officer/Designee will ensure that all employees receive a copy of the MHESO Affirmative Action Plan, and are advised of the policy of non-discrimination, reasonable accommodation and the procedure for filing a complaint.

Internal Communication of Affirmative Action Plan

- 1. Copies of this plan will be given to current agency staff at an agency staff meeting, with future employees receiving copies at their agency employment orientation sessions.
- 2. A copy of the plan will be posted in the agency's Human Resources information cubicle and copies will be available from the MHESO Human Resources staff. The Plan will also be available as part of the MHESO intranet.
- 3. All managers and supervisors will be responsible for assisting in the communication of the policy and spirit of the Plan to employees under their supervision.
- 4. All position announcements will contain material identifying the agency as an equal opportunity employer committed to affirmative action and workplace diversity.

- 5. The agency's commitment will be communicated in reports, employee orientation materials, and agency policy manuals, the job postings, and other such notices.
- 6. Revisions/updates to the Plan will be provided to all employees.

Communication of Affirmative Action Plan to External Customers

- 1. All contracts and agreements will contain equal opportunity provisions, as appropriate.
- 2. A mailing list consisting of community groups and publications serving protected group persons will be maintained and utilized to inform such entities of agency activities and employment/position opportunities.
- 3. All public relations and promotional materials will be reviewed for equal opportunity content and contain statements identifying the agency as an equal opportunity employer. In addition, the agency's commitment will be communicated in newsletters, publications, and other appropriate published materials.

Discrimination/Harassment Complaint Procedure

The Management Planning Team [MPT] seeks to maintain a working environment free from discrimination, discriminatory harassment or hostility; and where open, honest communication is encouraged.

Responsibility of Employees

All employees shall respond promptly to any and all requests by the Affirmative Action Officer or investigator for information and for access to data and records for the purpose of enabling the Affirmative Action Officer or investigator to carry out responsibilities under this complaint procedure.

Intentional use of this policy or complaint procedure for reasons of personal malice or abuse toward another employee is prohibited.

Who May File

Any employee, applicant, or eligible of the Minnesota Higher Education Services Office who believes that s/he has been discriminated against by reason of race, color, creed, religion, national origin, sex, marital status, status with regard to public assistance, membership or activity in a

local human rights commission, disability, sexual orientation, or age may file a complaint. Employees who are terminated are encouraged to file their internal complaint prior to their actual separation, however, complaints will be taken for up to a year subsequent to the actual separation date.

DISCRIMINATION/HARASSMENT COMPLAINT PROCEDURE

An employee, a group of employees, and/or job applicants, including eligible candidates (hereafter referred to as "complainant"), with a complaint of discrimination or harassment, may file a complaint using the following procedure:

- 1) The complainant shall meet with the Human Resources Director to describe the nature of the complaint, the facts upon which it is based and the relief requested.*
- 2) The Human Resources Director determines if the complaint is one of a discriminatory nature based on one or more of the protected characteristics, sexual harassment or general harassment. The Human Resources Director notifies the complainant of the determination and investigatory implications within ten (10) working days after the submission of the complaint.
- 3) The applicant, eligible candidate, or employee is informed of other options for resolving the complaint. These options include: the Minnesota Department of Employee Relations; the Equal Employment Opportunity Commission (EEOC), the Minnesota Department of Human Rights; or the civil court system.
- 4) The Human Resources Director investigates or makes arrangements for an outside investigator to investigate the complaint. A report of findings and a recommendation for resolution is presented to the Agency Director.
- 5) If the complainant remains dissatisfied, the complainant may file a complaint with the Equal Employment Opportunity Commission (EEOC), or the Minnesota Department of Human Rights within one (1) year of the incident.
- 6) The Commissioner of Employee Relations is to be advised within 30 days after final resolution.
 - * If the Human Resources Director is the alleged offending person, the employee may go directly to the Agency Director, who will make arrangements for an independent investigation.

Programs and Program Objectives: 2000-2002

Program: Affirmative Action Education

Objective: To encourage division directors, managers, supervisors, and employees to learn more about affirmative action issues including: prevention of various types of harassment and discrimination, cultural diversity, and other issues relating to affirmative action.

Responsibility: Affirmative Action Officer, Personnel Officer, Executive Management

Team (MPT)

Action Steps:

1. Work with the Department of Employee Relations and the Office of the Attorney General to identify educational opportunities and resources that agency staff can access to learn more about topics and issues related to affirmative action.

- 2. Work with the Department of Employee Relations, the Office of the Attorney General, and others to facilitate an on-going agency-wide diversity education initiative with the following purpose: "to provide all agency staff with the knowledge and skills necessary to: better understand one another and our customers, and to utilize all forms of diversity in strengthening the services and products that we provide internally and externally."
- 3. Emphasize to supervisors and managers their role in implementing the Affirmative Action Plan, fostering diversity and respect in the workplace, and creating an atmosphere that contributes to retention of members of a protected class.
- 4. Include coverage of the agency Affirmative Action Plan as part of the agency orientation/information session with newly hired staff.

Completion Date: Ongoing.

The agency has a Diversity Leadership Team comprised of agency staff representing all work divisions within the agency. The MHESO Diversity Education and Training Purpose Statement clearly articulates the goals of the agency's diversity initiatives:

The purpose for diversity education and training at the Minnesota Higher Education Services Office is to provide all agency staff with the knowledge and skills necessary to:

better understand one another and our customers; and

• utilize all forms of diversity in strengthening the services and products that we provide internally and externally.

Program: Affirmative Action Hiring

Objective: To continue to attempt to hire affirmatively and include Affirmative Action consideration in the hiring process.

Responsibility: Affirmative Action Officer and Human Resources staff

Action Steps:

- 1. The Director of Human Resources and Human Resources staff will collect, maintain and provide current information regarding disparities to division directors, managers, and supervisors.
- 2. Prior to assisting supervisors and managers with the hiring process, the Personnel Officer will check Affirmative Action data, identifying disparities that exist within the agency.
- 3. When a disparity exists, the Personnel Officer will remind supervisors and managers that the pre-employment review procedure must be used prior to interview activities. The Pre-employment Review Procedure is followed for recruitment and all hiring decisions especially for goal units with unmet affirmative action goals.
- 4. MHESO Human Resources staff will maintain files on hiring situations where a protected group candidate was not hired and a disparity existed.

Completion Date: Ongoing.

Since our last Plan, the agency has been successful in eliminating the underutilization of women and minorities in the paraprofessional group.

Methods of Auditing, Evaluating, Reporting Program Success

Pre-employment Review Procedure

In order to meet the agency's affirmative action goals, the following actions will be taken:

A. Consultation with Managers

Managers will be advised of the goals and disparities for the various bargaining units by the Affirmative Action Officer/designee whenever a vacancy occurs.

B. Selection Process

- 1. A vacant position is created due to a resignation, promotion, transfer, leave of absence, termination, or establishment of a new position. The director or manager of the affected division/program may or may not request to fill the position.
- 2. If a decision is made to fill the vacancy, the Affirmative Action Officer/designee will be notified. Based on the agency's affirmative action goals, the AAO/designee will determine if a disparity exists in the bargaining unit that the vacancy occurs and will work closely with the director, manager and/or supervisor in each step of the hiring and/or promotional process which includes the following initial steps:
 - a. A position analysis is completed for the vacant position.
 - b. The position description is reviewed and revised, if needed.
 - c. The Human Resources staff establishes the method of filling the vacant position as follows:

Classified Position:

A classified position will be filled according to the appropriate bargaining unit contract.

- a. The Human Resources staff will determine whether any bargaining unit employees are eligible to bid on the position and the position will be posted for the required length of time in accordance with the contract.
- b. If the posting and bidding process is unsuccessful, a certification list of eligible candidates will be obtained from the Department of Employee Relations.

- c. Job-related questions will be devised by the Interviewer and reviewed by the AAO/designee and Personnel Officer to ensure they are uniform, appropriate, and job-related. Interviews will be conducted uniformly using the job-related questions.
- d. The hiring authority will make efforts to include candidates from diverse groups within the pool of candidates. Human Resources staff will inform the supervisor/manager/interviewer of any disparities that currently exist in the agency for this classification type.
- e. Candidates' applications/resumes will be compared and/or evaluated on the qualifications stated in the position description.
- f. Candidates will be contacted per a telephone call and/or letter to verify a candidate's availability and interest in the position. All candidates in the disparity group <u>must</u> be contacted and offered an opportunity for an interview. Interviews will be scheduled for the candidates responding to telephone calls and/or letters. The interviewer should document in writing the conversation with each candidate contacted (i.e., interviewed, stated lack of interest in the position, or candidate's current employment status).
- g. The interviewer will contact Human Resources staff to coordinate interview times and dates with finalists.
- h. The interviewer will create written documentation related to the interview process, including the interview questions, the responses by candidates, individual resumes submitted by the candidates, etc. that support the selection of the best candidate for the position based on the candidate's knowledge, skills and abilities. Such documentation will be submitted to the AAO/Designee and an offer of employment cannot be made until such written documentation has been reviewed and approved. If the AAO/Designee determines that the reasons for selection of the candidate are insufficient, the decision will be discussed with the division director and interviewer.
- i. If the hiring decision resulted in not to hire a protected group candidate is not satisfactorily resolved, the matter will be referred to the MHESO Director for review and resolution <u>prior</u> to an offer of employment being made. The MHESO Director will document all decisions in writing and provide a copy to the AAO/Designee for the MHESO Human Resources files.
- j. All candidates will be notified of the hiring decision.
- k. Documentation (i.e., copies of letters, telephone responses, comments by interviewees, etc.) related to the selection process, will be retained for all appointments for a period of at least

one calendar year from the date of appointment. Data on appointments, where a disparity exists, will include the agency's documented efforts to act affirmatively.

Unclassified Position:

- a. An announcement and/or advertisement of the position is created by the division director/supervisor and submitted for review to the Human Resources staff/Director of Human Resources and Agency Services.
- b. The Human Resources staff, with the assistance of the AAO/Designee will advertise and recruit affirmatively for the position. The Human Resources staff will inform the supervisor/manager/interviewer of any disparities that currently exist in the agency for this classification type.
- c. Job-related questions will be devised by the interviewer and reviewed by the AAO/Designee/Human Resources staff to ensure they are uniform, appropriate, and job-related. Interviews will be conducted uniformly using the job-related questions.
- d. The interviewer will review each candidate's application/resume and compare the qualifications to those stated in the position description and/or position announcement.
- e. The candidates selected as finalists will be contacted per a telephone call and/or letter to confirm the candidate's availability and interest in the position and to schedule an interview. The interviewer should document in writing the conversation with each candidate contacted (i.e., interviewed, stated lack of interest in the position, candidate's current employment status).
- f. The interviewer will contact the Human Resources staff or Director of Human Resources to coordinate interview times and dates with finalists.
- g. The interviewer will create written documentation related to the interview process, including the interview questions, the responses by candidates, individual resumes submitted by the candidates, etc. that support the selection of the best candidate for the position based on the candidate's knowledge, skills and abilities. Such documentation will be submitted to the AAO/Designee and an offer of employment cannot be made until such written documentation has been reviewed and approved. If the AAO/Designee determines that the reasons for selection of the candidate are insufficient, the decision will be discussed with the division director and interviewer.

- h. If the decision to not hire a protected group candidate is not satisfactorily resolved, the matter will be referred to the MHESO Director for review and resolution <u>prior</u> to an offer of employment being made. The MHESO Director will document all decisions in writing and provide a copy to the AAO/Designee for the MHESO Human Resources files.
- i. All candidates will be notified of the hiring decision.
- j. Documentation (i.e., copies of letters, telephone responses, comments by interviewees, etc.) related to the selection process, will be retained for all appointments for a period of at least one calendar year from the date of appointment. Data on appointments, where a disparity exists, will include the agency's documented efforts to act affirmatively.

Pre-review Procedure - Layoffs

An Appointing Authority may "layoff" an employee by reason of abolition of the position, shortage of work or funds, or other reasons outside the employee's control which do not reflect discredit on the service of the employee. The MHESO would follow the layoff procedures specified in the bargaining unit agreements under which MHESO employees serve. The impact on agency affirmative action goals and timetables, due to layoffs, will be documented in writing by Human Resources staff. The AAO, in conjunction with the MHESO Director, will determine how the agency's affirmative action goals and timetables could be addressed under the current employment situation.

Recordkeeping and Evaluation

Data regarding hires is recorded on the Protected Group Report forms.

Evaluation documentation is maintained in the central files located in the MHESO Human Resources work unit, including:

- Affirmative Action Plan and any related materials
- MHESO Employment Form
- records for recruitment fees paid and documentation on specific recruitment activities
- Affirmative Action complaints
- ADA complaints

Weather Emergencies & Evacuation

Weather emergencies are declared by the Department of Employee Relations. The communication from the Department of Employee Relations relating to weather emergencies and will be followed by employees of the Minnesota Higher Education Services Office [Appendix A-2].

In case of an emergency, all employees who have a disability will receive notification, if at work, by the supervisor or designated backup staff person. If a weather emergency is called after work hours, employees who are deaf or who have a hearing disability can obtain notification by watching weather broadcasts on KSTP, WCCO, or KARE 11 Television. Broadcasts are closed captioned. In addition, if employees who are deaf or who have a hearing disability prefer, they may arrange for notification at home from their supervisor or designee. The supervisor or designee may use the Minnesota Relay Service (1800-627-3529) to contact the employee using the TTY.

If a fire or weather emergency occurs during normal work hours, MHESO emergency monitors [see Appendix A-3] are responsible for the safe evacuation of individuals with disabilities to a safe location. If necessary, emergency monitors will seek assistance from police or fire personnel in the evacuation of individuals with disabilities. [See Appendix A-4 for basic Fire evacuation procedures.]

Employees of the Minnesota Higher Education Services Office will follow the procedures outlined in [Appendix A-5] for weather emergencies. Included in this Affirmative Action Plan is a copy of the State Administrative Procedure 5.4 regarding time off in emergencies [Appendix A-6], and also the MHESO Policy for Time-off due to Natural Disaster or Emergency [Appendix A-7].

Reasonable Accommodation

It is the state's policy to reasonably accommodate qualified individuals with physical or mental disabilities in the accomplishment of their employment responsibilities unless the accommodation would impose an undue hardship. The Minnesota Higher Education Services Office is committed to the fair and equal employment of individuals with disabilities, and understands reasonable accommodation is often a key factor in the employment and retention of individuals with disabilities. The Director of Human Resources and Agency Services, Mary Lou Dresbach, [ADA Coordinator/AAO] and the MHESO Human Resources staff, Lynne Richard, are responsible for ADA compliance.

Definitions

- 1. For purposes of determining eligibility for a reasonable accommodation, a **person with a disability** is a person who has a physical or mental impairment that substantially or materially limits one or more major life activities.
- 2. **Reasonable accommodation.** An accommodation is any modification or adjustment to a job, an employment practice, or the work environment that makes it possible for a qualified individual with a disability to participate in and benefit from all aspects of employment. The accommodation

is reasonable if it is eliminating the barrier and does not cause an undue hardship.

3. **Undue hardship.** An undue hardship is an action that is unduly costly, extensive, substantial, or disruptive or that would fundamentally alter the nature or operation of the agency.

Reasonable Accommodation:

A reasonable accommodation is a modification or adjustment to a job, an employment practice, or the work environment that makes it possible for a qualified individual with a disability to enjoy an equal employment opportunity.

Examples of accommodations may include acquiring or modifying equipment or devices; modifying training materials; making facilities readily accessible; modifying work schedules; and reassignment to a vacant position.

Reasonable accommodation applies to three aspects of employment:

- a. To assure equal opportunity in the employment process;
- b. To enable a qualified individual with a disability to perform the essential functions of a job; and
- c. To enable an employee with a disability to enjoy equal benefits and privileges of employment.

Procedure for Requesting a Reasonable Accommodation: Current Employees and Employees seeking Promotion

- 1. The employee will inform his/her supervisor of the need for an accommodation. The employee or the supervisor, if requested by the employee, will complete the *Request for Reasonable Accommodation* form contained in this Affirmative Action Plan [See Appendix A-8]. If necessary, the supervisor will work with the ADA Coordinator to obtain documentation of the individual's functional limitations.
- 2. When an accommodation has been requested, the supervisor/manager will, in consultation with the employee:
 - discuss the purpose of the job and the essential functions. (It may be necessary to complete a step-by-step analysis);
 - · determine the precise job-related limitations;
 - identify potential accommodations and assess the effectiveness of each; and
 - select and implement the most appropriate accommodation for both the individual and the employer. While an individual's preference will be given consideration, the Minnesota

Higher Education Services Office is free to choose among equally effective accommodations and may choose the one that is less expensive or easier to provide.

- 3. The supervisor and/or employee may seek technical assistance from the agency ADA coordinator/AAO as needed.
- 4. The supervisor, in consultation with the ADA Coordinator, will provide a decision to the employee within a reasonable amount of time.
- 5. If an accommodation cannot overcome the existing barriers, or if the accommodation would cause undue hardship to the operation of the agency, the employee and the ADA Coordinator will work together to determine whether reassignment may be an appropriate accommodation. Options to be considered include:
 - Look for a vacant position that is equivalent to the one held by the employee.
 - If the employee is not qualified for a vacant position with or without a reasonable accommodation, or no equivalent vacant position exists, the agency may, as an accommodation, reassign the individual to a vacant position in a lower classification for which the employee is qualified. In this case, the agency is not required to maintain the employee's salary at the previous level.
 - Look for transfer, mobility, non-competitive and competitive opportunities.

Procedure for Requesting a Reasonable Accommodation: Job Applicant

- 1. The job applicant shall inform the ADA Coordinator of the need for an accommodation. The ADA Coordinator and the appropriate MHESO supervisor/manager will discuss the needed accommodation and possible alternatives with the applicant.
- 2. The ADA Coordinator and the MHESO supervisor/manager will make a decision regarding the request for accommodation.
- 3. If the request is approved, the supervisor/manager will make sure that the accommodation is provided. If the request is not approved, the ADA Coordinator/AAO will inform the applicant in writing within 3 working days of receiving the written request.

The Funding of Accommodations

Funding will be approved by the Minnesota Higher Education Services Office for reasonable accommodation which do not cause undue hardship (MN Statutes 43A.191(c). The supervisor, division director and/or Director of Financial Services will determine the funding source at the time of the request.

Procedure for Determining Whether Requested Accommodation is an Undue Hardship

- 1. If, in the opinion of the supervisor receiving the request for accommodation, the cost or scope of the accommodation might alter the nature or operation of the work unit or division, the supervisor and Affirmative Action Officer/ADA Coordinator will meet with the division director to review the requested accommodation(s), and will consider:
 - the nature and cost of the accommodation in relation to the size and financial resources of the state as an employer; and
 - the impact of the accommodation on the nature or operation of the department.
- 2. If the division director determines that the accommodation will impose an undue hardship, the AAO will forward an analysis of the situation and the reasons it is determined to cause an undue hardship, along with a recommendation to the MHESO Director within 10 working days following the employee's request or within three working days following an applicant's request.
- 3. The MHESO Director will provide a decision in writing to the AAO, supervisor, division director, and the employee or applicant within 3 working days after receipt of the analysis and recommendation.

Appeals relating to Accommodation Requests

Employees or applicants who are dissatisfied with the decision(s) pertaining to his/her accommodation request may file an appeal with the MHESO Director, within a reasonable period of time, for a final decision.

If the individual believes the decision is based on discriminatory reasons, then they may file a complaint internally through the agency's complaint procedure as outlined in this plan.

Recruitment Plan

Sources Used: FY 2002/FY2003:

Minneapolis Star Tribune
St. Paul Pioneer Press
La Prensa de Minnesota
MN Women's Press
City Pages
Asian Pages
Insight News, Inc.
St. Paul Voice
Asian American Press

Expenses incurred: \$15,180.06

Results: The Minnesota Higher Education Services Office had no missed opportunities in hiring during the year.

Other methods used: none

Job Fairs Attended/Projected: none

<u>Strategies:</u> The Minnesota Higher Education Services Office projects little or no turnover in the next year in the EEO categories for which we have disparities. We will continue to use the same recruitment methods.

Internship Program Opportunities: MHESO will provide paraprofessional work opportunities and practical learning experiences for selected students to enhance their academic preparation and expose them to state government employment. Managers seeking student interns will contact educational institutions, as necessary and will work with MHESO Human Resources to formalize and implement specific internship arrangements.

<u>Supported Work:</u> Supported work positions/functions within the MHESO are not a viable option based on the current workforce. However, if the opportunity arises, MHESO will evaluate the possibility of establishing a position and will work with the ODEO to recruit qualified candidates.

<u>Recruitment:</u> Human Resources staff/Human Resources Director are responsible for recruitment efforts, such as overseeing the recruitment of qualified candidates. In regard to recruitment of persons

with disabilities, we are currently complying with the requirements of the Americans with Disabilities Act in terms of recruitment activities. MHESO will also request assistance from ODEO to improve recruitment of persons with disabilities. MHESO will continue the following as recruitment efforts:

- positions advertised on MHESO web site
- position opening announcements forwarded to ODEO for distribution to affirmative action officers at other state agencies

Retention Plan

Mary Lou Dresbach, Director of Human Resources/Affirmative Action Officer, is responsible for overseeing the Minnesota Higher Education Services Office's retention activities.

Human Resources staff, Lynne Richard, in conjunction with the Department of Employee Relations through use of the SEMA4 system, will monitor and analyze separation and layoff patterns of all employees at the Minnesota Higher Education Services Office to determine the impact on protected group members.

Methods and Activities to Retain MHESO Employees (both protected and non-protected staff)

- 1. Document the reasons behind turnover among protected and non-protected employees to identify and understand relevant issues for further analysis.
 - Review and revise the current exit interview process, as necessary to collect useful information related to employment at MHESO.
 - Request protected group employees to assist in the revision of the exit interview process.

Responsibility: Affirmative Action Officer/Human Resources staff

- 2. Continue to create and maintain a respectful working environment for all MHESO employees.
 - Encourage employees to assist in identifying opportunities for increasing staff knowledge and participation in events which celebrate the diversity of both internal and external customers.
 - Facilitate opportunities for managers and supervisors to learn more about their role and responsibilities in creating and maintaining a respectful work environment for all staff, and also to learn more about how their actions and behaviors contribute toward the retention of protected group members.
 - Inform and facilitate training/learning opportunities for staff to fulfill their

training/development goals in their individual annual workplans, and support such opportunities with a training budget.

Responsibility: All MHESO employees

- 3. Analyze separation and layoff patterns to determine the impact on protected group members.
 - Collect available separation and layoff data.
 - Determine impact on protected groups.
 - Ascertain whether any action is necessary and if so, develop recommendations.

Responsibility: Human Resources staff/Affirmative Action Officer

- 4. Continue the agency's diversity education training opportunities for staff.
 - Work with agency Executive Management Team (MPT) in supporting and actively engaging in diversity training opportunities for staff.
 - Work with DOER, the Office of the Attorney General, and outside consultants/organizations to address diversity related issues within the agency.

Responsibility: MHESO Diversity Leadership Team, MPT, Affirmative Action Officer

Analysis of Separation Patterns:

The following is an analysis of separation and layoff patterns for impact on protected class members for FY2002 and FY2003:

Note: There were no layoffs during FY 2001or FY2002.

FY2002

Bargaining Units	Resignation, Termination, Retirement	Protected Class	Non-Protected Class
HESO Unclassified Staff	Resignation	6	2
AFSCME	Resignation	0	1

FY2003

Bargaining Units	Resignation, Termination, Retirement	Protected Class	Non-Protected Class
HESO Unclassified Staff	Resignation	4	1
Commissioner's Plan	Retirement	0	1
AFSCME	Resignation	1	1

The above separations had an impact on the agency or its work environment in terms of filling of vacant positions to ensure that the agency continues to focus on maintaining a diverse workforce.

Minnesota Higher Education Services Office Complaint of Harassment/Discriminatory Harassment

Please Read Before Completion of this Form Any complaint of discriminatory harassment is considered confidential data under Minnesota Statutes 13.39, Subd. 1 and 2. You are not legally required to provide this information, but without it, an investigation cannot be conducted. This information may only be released to the agency Affirmative Action Officer/Designee, the complainant, the respondent, appropriate supervisory personnel and the Commissioner of Employee Relations. Complainant (You) Name: Date: Job Title: Agency: Work Address: City, State, Zip Code: The Division in which you work: Telephone # Supervisor:

	<u>Th</u>	e Complaint
Basis of Compl	aint (check all that apply):	
□ Race	□ Color	□ Marital Status
□ Sex	□ Creed	□ Sexual Orientation
□ Age	□ Religion	☐ Status with regard to Public Assistance
□ Disability	☐ Political affiliation	☐ Membership or activity in a local human rights commission
☐ National Orig	gin □ Other (give example	e)
Person you are	filing this discriminatory ha	arassment complaint against:
·		1

Revised 8/03 (Over)

Appendix A-1

If you filed this complaint with another agency agency:	or organization, please give the name of the		
Describe the event(s) that you feel constitute di events, time(s), place, and persons involved— ir additional sheets if necessary.)	*		
Additional information on your complaint you investigating this complaint. (Attach additiona			
investigating this complaint. (Attach additiona	i sheets if necessary.)		
Names of Other Witnesses: (include names, work address if different than HESO, telephone number if different than work phone at HESO). (Attach additional sheets if necessary.)			
I hereby certify that the information I have prove complete to the best of my knowledge and belief			
Complainant's Signature:	Date:		
Affirmative Action Officer's Signature:	Date:		

Revised 8/03 (Over)



Department of

Employee

Relations

Leadership and parmership in human resource management

Memo

DATE: November 14, 1997

TO: Agency Heads

Human Resource Directors/Designees

FROM: Karen L. Carpenter

Commissioner

RE: Weather Emergencies

The purpose of this memo is to remind you that once again we are approaching the time of year when we may have severe weather emergencies.

As background, weather emergencies are declared when a number of conditions exist. The factors that are considered are:

- * Road maintenance. Are state highways open and snowplows operating?
- * Transit operations. Are local transit systems running?
- Additional weather conditions, Is wind, cold or jee a factor?
- Other considerations. Are power or heating systems affected?

This office receives notification from the Duty Officer in the Emergency Management Division of Public Safety if a severe weather situation is occurring anywhere in the state. This office also provides us with road conditions, snowplowing information and transit operations obtained from local law enforcement. We consider all of the information from these sources and then decide if it is necessary to declare an emergency and close state offices.

The decision to declare an emergency can be statewide or limited to a specific portion of the state. Any decision to close state offices due to an emergency does not apply to employees who are required by their agency to work during a weather emergency.

If you have employees who are deaf or have a hearing impairment

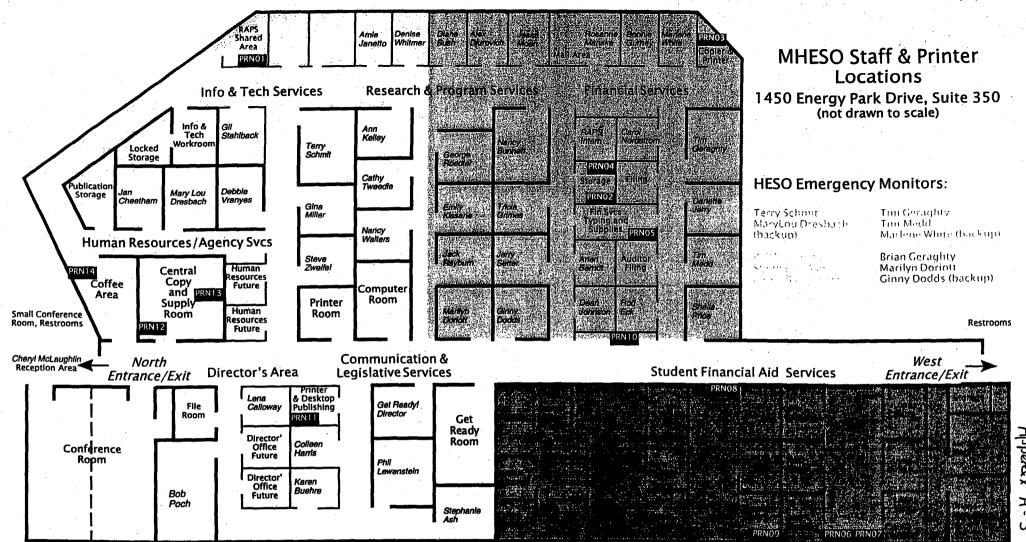
Supervisors of deaf and hearing impaired employees should have a procedure in place for these individuals to learn about a weather emergency. If your employee has a TTY machine (telecommunication device for the deaf), the supervisor can contact the employee through the Direct Connect Minnesota Relay Service (MRS) at (612) 297-5352 (meta) or 1.800-627-3529 (dut state). Here's how it works:

- The supervisor or other assigned co-worker calls the MRS number.
- The MRS operator dials the employee's number.
- When the supervisor is on the line, he/she can relay a message to the TTY machine and help conduct any conversation that may be necessary.

The weather emergency is meant for those extreme cases when it would be unsafe for most employees to travel to or from home. We recognize that there may be unique individual situations due to the employee's location that make it impossible for that employee to get to work when an emergency has not been declared. In those circumstances, supervisors should consider allowing the employee to make up the lost time or use compensatory time or annual leave.

I hope this information helps you understand how emergencies for state employees are determined and announced. Please feel free to share this information with your employees. Posters sent last year remain the same. If you need additional copies, contact Mary Ferguson at (612) 297-3053. If you have any questions, please contact your human resources office.

cc: Labor Relations Representatives:



Appendix A-3

WHAT TO DO IN A FIRE EMERGENCY

- 1. If you discover a fire, hear an explosion, or see or smell smoke in the building: Immediately telephone 9-911 and report the incident. Then **SOUND THE ALARM**—**ACTIVATE THE CLOSEST MANUAL PULL ALARM.** These alarms are located by all stairwell exits in the building.
- 2. When a fire alarm sounds, **COMPLETE EVACUATION IS REQUIRED.** HESO emergency monitors should begin checking their designated areas and instruct employees and visitors on evacuation procedures. When evacuating a room, employees should close doors behind them.
- 3. DO NOT USE ELEVATORS DURING A FIRE EMERGENCY.
- 4. Upon exiting the building, leave all walks and driveways open for arriving fire fighters. Do not return to the building until directed to do so by fire department personnel or a building authority. The silencing of the audible alarms or horns does not mean it is safe to enter the building.
- 5. Notify fire fighters on the scene if you suspect someone may be trapped inside the building.
- 6. HESO emergency monitors upon exiting the building should proceed to the West parking lot and notify the designated HESO staff that their assigned areas within the building have been cleared.

DO

DO immediately leave the building and close doors behind you.

DO use the stairwells to evacuate the building.

DON'T

DO NOT attempt to fight the fire.

DO NOT use the elevators.

DO NOT return to the building until told to do so.

SEVERE WEATHER EMERGENCY

Relocation within the Energy Technology Center (ETC) Building [1450 Energy Park Drive, St. Paul, MN 55108] in the Event of Severe Weather (Tornadoes/Severe Thunderstorms). The building is generally constructed to withstand high damaging winds. The greatest danger during such winds will be from flying glass or other debris. For this reason, seek shelter away from all windows.

If severe weather is in the area, the aid raid sirens will sound in the vicinity of the ETC building. Also, if severe weather has been predicted as a possibility, HESO management should monitor the weather conditions via radio, alerting agency staff as appropriate.

Terminology:

Tornado Watch: It means weather conditions are favorable for the formation of tornadoes. Be alert and stay informed of changing weather conditions.

Severe Thunderstorm Watch: It means weather conditions are favorable for severe thunderstorms including high winds, hail, heavy rain, lightening, and tornado formation. Be alert and stay informed of changing weather conditions.

Tornado Warning: It means a tornado has been sighted. Seek shelter immediately.

Severe Thunderstorm Warning: It means severe thunderstorms have been sighted or indicated on radar. Be prepared to seek shelter. Tornadoes may accompany severe thunderstorms.

HESO Emergency Monitors: [see Attachment A-3]

- 1) When HESO emergency monitors become aware of severe weather approaching, they will walk through their assigned areas and request all persons to move to sheltered areas away from any source of flying glass or other debris. In cases of a tornado alert, employees should be directed to the lower level of the ETC building, keeping away from the inner atrium glass area.
- 2) Emergency monitors are responsible for ensuring that individuals with a disability are assisted to an appropriate sheltered location in the workplace or on the lower level of the building.
- 3) Check to make sure all employees in your assigned area have moved to a place of shelter.
- 4) Ensure that office fire doors are closed.
- 5) Join all other HESO staff who have relocated to sheltered areas either within the HESO workplace, or on the lower level of the building. Sheltered areas include: restrooms, windowless interior offices or other rooms without windows, as well as the lower level of the ETC building away from the inner atrium glass area.

DEPARTMENT OF EMPLOYEE RELATIONS ADMINISTRATIVE PROCEDURE 5.4

STATUTORY
REFERENCE 43A.05
Subd. 4

PAGE NO 1 OF 3 EFF. DATE 12-23-82 REV. DATE

COMMISSIONER'S SIGNATURE Line Brobill

Appendix A-6:

TIME OFF IN EMERGENCIES

Description and Scope - M.S. § 43A.05, Subd. 4 permits the Commissioner of DOER to excuse employees from duty and to authorize appointing authorities in the executive branch to pay employees for time off work during natural or man-made emergency situations. This Administrative Procedure specifies that the commissioner has the authority to declare an emergency situation, close agencies, and authorize payment to employees who do not report to work or are sent home from work after an emergency has been declared. Appointing Authorities retain the right to close or not close their facilities at any time. If an appointing authority closes a facility and an emergency is not declared by the commissioner, the pay provisions of this procedure will not take effect. In this case, employees will be required to use annual leave, an adjusted work schedule, compensatory time, or leave without pay to cover the absence from work.

Objective - To provide appointing authorities and employees with a clearly defined procedure to follow during emergency situations thus increasing and improving the capabilities of state government to function during natural or man-made emergencies.

<u>Definitions</u> - Key Terms -

"Full pay" means regularly scheduled straight time pay for the scheduled work day. It does not include shift or equipment differential or overtime.

"Time_off in Emergency Plan" is a comprehensive emergency procedure developed and implemented by each appointing authority.

Responsibilities.

A Appointing Authorities:

- Détermine if facilities should remain open or be closed when an emergency leave has not been declared.
- Develop and maintain a Time-off in Emergency Plan which specifies:
 - 1. Essential staffing requirements to be maintained during emergency situations.
 - 2. The name and phone number of the individual(s) who can make closure decisions.
 - 3. Steps/procedures to follow in making closure decisions.
 - 4. The name and phone number of the agency contact and back-up person responsible for implementing the plan.

ADMINISTRATIVE PROCEDURE 5.4 Page 3 of 3

Appendix A-6

2. Commissioner initiated:

- a) Commissioner of DOER consults with Commissioner of Public Safety.
- b) Commissioner of DOER declares emergency or determines that emergency doesn't exist.
- c) Commissioner of DOER communicates decision to all affected Appointing Authorities.
- . d) Commissioner of DOER authorizes emergency pay.
- B. Employees who report to work and are then sent home shall not be paid for more than their regularly scheduled hours. Employees shall not be enriched through additional compensation, including compensatory time, or increased benefits as a result of an emergency situation.
- C. Employees who are required by their appointing authorities to remain at work shall not be paid for more than their regularly scheduled hours or the actual number of hours worked.
- D. Employees on approved sick or pre-arranged vacation leave shall not have such leave time restored to their balances.
- E. Employees on any approved leave without pay shall not be paid for any emergency leave time.
- F. Employees who call in, on the day of an emergency, for vacation time, compensatory time or leave without pay will be credited with emergency leave from the point of the declaration of the emergency to the end of the scheduled shift, if the appointing authority ceases operations during their regular shift.
- G. Agencies using the positive time reporting system should instruct employees to record this time under "Other Authorized Leave Hours Taken" with an X designating the type of leave taken. Also note in the Remarks section "Weather Emergency" or "Emergency Situation" as appropriate."
- H. An employee's absence with pay for emergency situations shall not exceed 16 hours during that emergency unless the Commissioner of DOER authorizes a longer period.

Other Relevant Laws, Personnel Rules, Contracts and Administrative Procedures

M.S. § 43A.05, Subd. 4 - Time Off in Emergencies Collective Bargaining Agreements

Minnesota Higher Education Services Office (MHESO)

TIME OFF DUE TO NATURAL DISASTER OR EMERGENCY

In the event of a natural disaster or man-made emergency situation, the following provisions will be followed:

- 1) The emergency will be declared and verified by the Commissioner of Employee Relations (DOER), after consultation with the Commissioner of Public Safety, as stated in DOER Administrative Procdure 5.4.
- 2) If an emergency is declared and it is determined that State of Minnesota agencies should be closed, the decision to close the MHESO will be determined by the Agency Director (651-642-0502) of Designee. The decision to close the agency will be relayed to each Division Director. The Division Director is responsible for notifying his/her staff.
- 3) When feasible, during an emergency situation, a recorded message will be made to respond to agency telephone calls.
- 4) If the agency is closed due to an emergency during normal working hours (8:00 a.m.-4:30 p.m.), no staff members will be required to remain at their work stations.
- 5) Emergency payment of wages will be determined and authorized by the Commissioner of Employee Relations in accordance with DOER Administrative Procedure 5.4.
- 6) The internal operating procedures during a natural disaster or man-made emergency which requires employees to remain in the Energy Technology Center building are coordinated with building management, the Director of the Higher Education Services Office, the Director of Financial Services, and the Director of Human Resources & Agency Services.
- 7) The primary agency contact person responsible for implementing this Plan is the Director of Financial Services (651-642-0567), and the Director of Human Resources & Agency Services is backup (651-642-0530).

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