### SENATE STATE OF MINNESOTA NINETY-SECOND SESSION

S.F. No. 4198

(SENATE AUTHORS: UTKE)

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**DATE** 03/23/2022 **OFFICIAL STATUS D-PG** Introduction and first reading

5564 Referred to Health and Human Services Finance and Policy

04/04/2022 6186a Comm report: To pass as amended and re-refer to Finance

A bill for an act 1.1

> relating to health and human services; modifying health provisions related to closed loop health exchangers, spa pools, hospital construction moratoriums, and recommendations to the J-1 visa waiver program; exempting certain licensed individuals from background checks; modifying human services provisions related to dental provider reporting and medical assistance coverage and reimbursement; modifying scope of practice for pharmacists in performing certain lab tests and administering vaccines; modifying collaborative practice authorization for dental hygienists; temporarily modifying the authority of the Emergency Medical Services Regulatory Board; establishing interstate compacts for nurses, audiologists and speech pathologists, and licensed professional counselors; modifying criteria for the treatment of intractable pain; reducing the license fees for medical gas manufacturers and wholesalers; modifying the expiration dates and repealing certain mandated reports from the commissioner of health; expanding and renaming the higher education facilities authority to include nonprofit health care organizations; making human services forecast adjustments; appropriating money; amending Minnesota Statutes 2020, sections 3.732, subdivision 1; 62J.692, subdivision 5; 103I.005, subdivisions 17a, 20a, by adding a subdivision; 136A.25; 136A.26; 136A.27; 136A.28; 136A.29, subdivisions 1, 3, 6, 9, 10, 14, 19, 20, 21, 22, by adding a subdivision; 136A.32, subdivision 4; 136A.33; 136A.34, subdivisions 3, 4; 136A.36; 136A.38; 136A.41; 136A.42; 136F.67, subdivision 1; 144.051, subdivision 6; 144.057, subdivision 1; 144.1222, subdivision 2d; 144.193; 144.4199, subdivision 8; 144.497; 144A.10, subdivision 17; 144A.483, subdivision 1; 145.4134; 145.928, subdivision 13; 147.01, subdivision 7; 147.03, subdivisions 1, 2; 147.037; 147A.28; 147C.15, subdivision 3; 147C.40, subdivision 5; 148.212, subdivision 1; 150A.10, subdivision 1a; 150A.105, subdivision 8; 151.01, subdivision 27; 151.065, subdivisions 1, 3, 7; 152.125; 245C.31, subdivisions 1, 2, by adding a subdivision; 256B.0625, by adding a subdivision; 354B.20, subdivision 7; Minnesota Statutes 2021 Supplement, sections 10A.01, subdivision 35; 144.551, subdivision 1; 245C.03, subdivision 5a; 256B.0371, subdivision 4; Laws 2021, First Special Session chapter 7, article 16, sections 2, subdivisions 29, 31, 33; 5; article 17, sections 3; 6; 10; 11; 12; 17, subdivision 3; proposing coding for new law in Minnesota Statutes, chapters 103I; 145; 147A; 148; 148B; 151; repealing Minnesota Statutes 2020, sections 62U.10, subdivision 3; 136A.29, subdivision 4; 144.1911, subdivision 10; 144.564, subdivision 3; 144A.483, subdivision 2; 147.02, subdivision 2a; 254A.21.

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BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MINNESOTA:

2.2	ARTICLE 1
2.3	HEALTH DEPARTMENT
2.4	Section 1. Minnesota Statutes 2020, section 103I.005, subdivision 17a, is amended to
2.5	read:
2.6	Subd. 17a. Temporary boring Submerged closed loop heat exchanger. "Temporary
2.7	boring" "Submerged closed loop heat exchanger" means an excavation that is 15 feet or
2.8	more in depth, is sealed within 72 hours of the time of construction, and is drilled, cored,
2.9	washed, driven, dug, jetted, or otherwise constructed to a heating and cooling system that:
2.10	(1) conduct physical, chemical, or biological testing of groundwater, including
2.11	groundwater quality monitoring is installed in a water supply well;
2.12	(2) monitor or measure physical, chemical, radiological, or biological parameters of
2.13	earth materials or earth fluids, including hydraulic conductivity, bearing capacity, or
2.14	resistance utilizes the convective flow of groundwater as the primary medium of heat
2.15	exchange;
2.16	(3) measure groundwater levels, including use of a piezometer contains potable water
2.17	as the heat transfer fluid; and
2.18	(4) determine groundwater flow direction or velocity operates using nonconsumptive
2.19	recirculation.
2.20	A submerged closed loop heat exchanger also includes submersible pumps, a heat exchanger
2.21	device, piping, and other necessary appurtenances.
2.22	Sec. 2. Minnesota Statutes 2020, section 103I.005, is amended by adding a subdivision
2.23	to read:
2.24	Subd. 17b. Temporary boring. "Temporary boring" means an excavation that is 15
2.25	feet or more in depth, is sealed within 72 hours of the time of construction, and is drilled,
2.26	cored, washed, driven, dug, jetted, or otherwise constructed to:
2.27	(1) conduct physical, chemical, or biological testing of groundwater, including
2.28	groundwater quality monitoring;
2.29	(2) monitor or measure physical, chemical, radiological, or biological parameters of
2.30	earth materials or earth fluids, including hydraulic conductivity, bearing capacity, or
2.31	resistance;

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3.1	(3) meas	sure groundwater leve	els, including us	se of a piezometer; and	<u>.</u>		
3.2	(4) deter	(4) determine groundwater flow direction or velocity.					
3.3	Sec. 3. Mi	Sec. 3. Minnesota Statutes 2020, section 103I.005, subdivision 20a, is amended to read:					
3.4	Subd. 20	a. Water supply well	l. "Water supply	well" means a well tha	t is not a dewatering		
3.5	well or envi	ronmental well and in	ncludes wells u	sed:			
3.6	(1) for p	otable water supply;					
3.7	(2) for in	rrigation;					
3.8	(3) for a	gricultural, commerci	al, or industria	l water supply;			
3.9	(4) for h	eating or cooling; and	1				
3.10	(5) <u>for c</u>	ontaining a submerge	d closed loop h	neat exchanger; and			
3.11	<u>(6)</u> for te	esting water yield for i	rrigation, comn	nercial or industrial uses	s, residential supply,		
3.12	or public wa	ater supply.					
3.13 3.14	EXCHANG	GER.		UBMERGED CLOSI			
3.15				g any other provision o	<del></del>		
3.16				ibmerged closed loop h			
<ul><li>3.17</li><li>3.18</li></ul>	water supply	y well. A project may	consist of more	e than one water supply	well on a particular		
3.19	Subd. 2.	Setbacks. Water supp	oly wells used o	nly for the nonpotable p	ourpose of providing		
3.20	heating and	cooling using a subme	erged closed loo	p heat exchanger are ex	tempt from isolation		
3.21	distance req	uirements greater tha	n ten feet.				
3.22	Subd. 3.	Construction. The s	creened interva	al of a water supply we	ll constructed to		
3.23	contain a su	bmerged closed loop	heat exchanger	completed within a sin	ngle aquifer may be		
3.24	designed an	d constructed using a	ny combination	n of screen, casing, lead	der, riser, sump, or		
3.25	other piping	combinations, so long	g as the screen c	onfiguration does not in	terconnect aquifers.		
3.26	Subd. 4.	Permits. A submerg	ed closed loop	heat exchanger is not s	ubject to the permit		
3.27	requirement	ts in this chapter.					

Subd. 5. Variances. A variance is not required to install or operate a submerged closed 3.28 loop heat exchanger. 3.29

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Sec. 5. Minnesota Statutes 2020, section 144.057, subdivision 1, is amended to read:

Subdivision 1. **Background studies required.** (a) Except as specified in paragraph (b), the commissioner of health shall contract with the commissioner of human services to conduct background studies of:

- (1) individuals providing services that have direct contact, as defined under section 245C.02, subdivision 11, with patients and residents in hospitals, boarding care homes, outpatient surgical centers licensed under sections 144.50 to 144.58; nursing homes and home care agencies licensed under chapter 144A; assisted living facilities and assisted living facilities with dementia care licensed under chapter 144G; and board and lodging establishments that are registered to provide supportive or health supervision services under section 157.17;
- (2) individuals specified in section 245C.03, subdivision 1, who perform direct contact services in a nursing home or a home care agency licensed under chapter 144A; an assisted living facility or assisted living facility with dementia care licensed under chapter 144G; or a boarding care home licensed under sections 144.50 to 144.58. If the individual under study resides outside Minnesota, the study must include a check for substantiated findings of maltreatment of adults and children in the individual's state of residence when the information is made available by that state, and must include a check of the National Crime Information Center database;
- (3) all other employees in assisted living facilities or assisted living facilities with dementia care licensed under chapter 144G, nursing homes licensed under chapter 144A, and boarding care homes licensed under sections 144.50 to 144.58. A disqualification of an individual in this section shall disqualify the individual from positions allowing direct contact or access to patients or residents receiving services. "Access" means physical access to a client or the client's personal property without continuous, direct supervision as defined in section 245C.02, subdivision 8, when the employee's employment responsibilities do not include providing direct contact services;
- (4) individuals employed by a supplemental nursing services agency, as defined under section 144A.70, who are providing services in health care facilities; and
- 4.30 (5) controlling persons of a supplemental nursing services agency, as defined under section 144A.70.
- (b) The commissioner of human services is not required to conduct a background study
   on any individual identified in paragraph (a) if the individual has a valid license issued by
   a health-related licensing board as defined in section 214.01, subdivision 2, and has completed

the criminal background check as required in section 214.075. An entity that employ	<u>s</u>
individuals who meet the requirements of this paragraph must separate those individu	uals
from the entity's roster for NETStudy 2.0.	
(c) If a facility or program is licensed by the Department of Human Services and su	ıbject
to the background study provisions of chapter 245C and is also licensed by the Depart	tment
of Health, the Department of Human Services is solely responsible for the backgroun	ıd
studies of individuals in the jointly licensed programs.	
<b>EFFECTIVE DATE.</b> This section is effective the day following final enactment.	<u>:</u>
Sec. 6. Minnesota Statutes 2020, section 144.1222, subdivision 2d, is amended to re-	ead:
Subd. 2d. Hot tubs on rental houseboats property. (a) A hot water spa pool into	nded
for seated recreational use, including a hot tub or whirlpool, that is located on a house	eboat
that is rented to the public is not a public pool and is exempt from the requirements for	or
public pools under this section and Minnesota Rules, chapter 4717.	
(b) A spa pool intended for seated recreational use, including a hot tub or whirlpo	ol,
hat is located on the property of a stand-alone single-unit rental property that is rental	ed to
he public by the property owner or through a resort and the spa pool is only intended	to be
used by the occupants of the rental property, is not a public pool and is exempt from	<u>the</u>
equirements for public pools under this section and Minnesota Rules, chapter 4717.	
(c) A hot water spa pool under this subdivision must be conspicuously posted wit	h the
following notice to renters:	
"NOTICE	
This spa is exempt from state and local sanitary requirements that prevent disease	<b>;</b>
transmission.	
USE AT YOUR OWN RISK	
This notice is required under Minnesota Statutes, section 144.1222, subdivision 2	d."
Sec. 7. Minnesota Statutes 2021 Supplement, section 144.551, subdivision 1, is ame	ended
to read:	
Subdivision 1. Restricted construction or modification. (a) The following constru	ıction
or modification may not be commenced:	
(1) any erection, building, alteration, reconstruction, modernization, improvement	t,
extension, lease, or other acquisition by or on behalf of a hospital that increases the b	ed

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- capacity of a hospital, relocates hospital beds from one physical facility, complex, or site to another, or otherwise results in an increase or redistribution of hospital beds within the state; and
  - (2) the establishment of a new hospital.
  - (b) This section does not apply to:
  - (1) construction or relocation within a county by a hospital, clinic, or other health care facility that is a national referral center engaged in substantial programs of patient care, medical research, and medical education meeting state and national needs that receives more than 40 percent of its patients from outside the state of Minnesota;
  - (2) a project for construction or modification for which a health care facility held an approved certificate of need on May 1, 1984, regardless of the date of expiration of the certificate;
  - (3) a project for which a certificate of need was denied before July 1, 1990, if a timely appeal results in an order reversing the denial;
  - (4) a project exempted from certificate of need requirements by Laws 1981, chapter 200, section 2;
  - (5) a project involving consolidation of pediatric specialty hospital services within the Minneapolis-St. Paul metropolitan area that would not result in a net increase in the number of pediatric specialty hospital beds among the hospitals being consolidated;
  - (6) a project involving the temporary relocation of pediatric-orthopedic hospital beds to an existing licensed hospital that will allow for the reconstruction of a new philanthropic, pediatric-orthopedic hospital on an existing site and that will not result in a net increase in the number of hospital beds. Upon completion of the reconstruction, the licenses of both hospitals must be reinstated at the capacity that existed on each site before the relocation;
  - (7) the relocation or redistribution of hospital beds within a hospital building or identifiable complex of buildings provided the relocation or redistribution does not result in: (i) an increase in the overall bed capacity at that site; (ii) relocation of hospital beds from one physical site or complex to another; or (iii) redistribution of hospital beds within the state or a region of the state;
  - (8) relocation or redistribution of hospital beds within a hospital corporate system that involves the transfer of beds from a closed facility site or complex to an existing site or complex provided that: (i) no more than 50 percent of the capacity of the closed facility is transferred; (ii) the capacity of the site or complex to which the beds are transferred does

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not increase by more than 50 percent; (iii) the beds are not transferred outside of a federal health systems agency boundary in place on July 1, 1983; (iv) the relocation or redistribution does not involve the construction of a new hospital building; and (v) the transferred beds are used first to replace within the hospital corporate system the total number of beds previously used in the closed facility site or complex for mental health services and substance use disorder services. Only after the hospital corporate system has fulfilled the requirements of this item may the remainder of the available capacity of the closed facility site or complex be transferred for any other purpose;

- (9) a construction project involving up to 35 new beds in a psychiatric hospital in Rice County that primarily serves adolescents and that receives more than 70 percent of its patients from outside the state of Minnesota;
- (10) a project to replace a hospital or hospitals with a combined licensed capacity of 130 beds or less if: (i) the new hospital site is located within five miles of the current site; and (ii) the total licensed capacity of the replacement hospital, either at the time of construction of the initial building or as the result of future expansion, will not exceed 70 licensed hospital beds, or the combined licensed capacity of the hospitals, whichever is less;
- (11) the relocation of licensed hospital beds from an existing state facility operated by the commissioner of human services to a new or existing facility, building, or complex operated by the commissioner of human services; from one regional treatment center site to another; or from one building or site to a new or existing building or site on the same campus;
- (12) the construction or relocation of hospital beds operated by a hospital having a statutory obligation to provide hospital and medical services for the indigent that does not result in a net increase in the number of hospital beds, notwithstanding section 144.552, 27 beds, of which 12 serve mental health needs, may be transferred from Hennepin County Medical Center to Regions Hospital under this clause;
- (13) a construction project involving the addition of up to 31 new beds in an existing nonfederal hospital in Beltrami County;
- (14) a construction project involving the addition of up to eight new beds in an existing nonfederal hospital in Otter Tail County with 100 licensed acute care beds;
- 7.31 (15) a construction project involving the addition of 20 new hospital beds in an existing 7.32 hospital in Carver County serving the southwest suburban metropolitan area;

- (16) a project for the construction or relocation of up to 20 hospital beds for the operation of up to two psychiatric facilities or units for children provided that the operation of the facilities or units have received the approval of the commissioner of human services;
- (17) a project involving the addition of 14 new hospital beds to be used for rehabilitation services in an existing hospital in Itasca County;
- (18) a project to add 20 licensed beds in existing space at a hospital in Hennepin County that closed 20 rehabilitation beds in 2002, provided that the beds are used only for rehabilitation in the hospital's current rehabilitation building. If the beds are used for another purpose or moved to another location, the hospital's licensed capacity is reduced by 20 beds;
- (19) a critical access hospital established under section 144.1483, clause (9), and section 1820 of the federal Social Security Act, United States Code, title 42, section 1395i-4, that delicensed beds since enactment of the Balanced Budget Act of 1997, Public Law 105-33, to the extent that the critical access hospital does not seek to exceed the maximum number of beds permitted such hospital under federal law;
- (20) notwithstanding section 144.552, a project for the construction of a new hospital in the city of Maple Grove with a licensed capacity of up to 300 beds provided that:
- (i) the project, including each hospital or health system that will own or control the entity that will hold the new hospital license, is approved by a resolution of the Maple Grove City Council as of March 1, 2006;
- (ii) the entity that will hold the new hospital license will be owned or controlled by one or more not-for-profit hospitals or health systems that have previously submitted a plan or plans for a project in Maple Grove as required under section 144.552, and the plan or plans have been found to be in the public interest by the commissioner of health as of April 1, 2005;
- (iii) the new hospital's initial inpatient services must include, but are not limited to, medical and surgical services, obstetrical and gynecological services, intensive care services, orthopedic services, pediatric services, noninvasive cardiac diagnostics, behavioral health services, and emergency room services;
  - (iv) the new hospital:
- (A) will have the ability to provide and staff sufficient new beds to meet the growing needs of the Maple Grove service area and the surrounding communities currently being served by the hospital or health system that will own or control the entity that will hold the new hospital license;

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(B) will provide uncompensated care;

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- (C) will provide mental health services, including inpatient beds;
- (D) will be a site for workforce development for a broad spectrum of health-care-related occupations and have a commitment to providing clinical training programs for physicians and other health care providers;
  - (E) will demonstrate a commitment to quality care and patient safety;
- (F) will have an electronic medical records system, including physician order entry;
- 9.8 (G) will provide a broad range of senior services;
  - (H) will provide emergency medical services that will coordinate care with regional providers of trauma services and licensed emergency ambulance services in order to enhance the continuity of care for emergency medical patients; and
    - (I) will be completed by December 31, 2009, unless delayed by circumstances beyond the control of the entity holding the new hospital license; and
    - (v) as of 30 days following submission of a written plan, the commissioner of health has not determined that the hospitals or health systems that will own or control the entity that will hold the new hospital license are unable to meet the criteria of this clause;
      - (21) a project approved under section 144.553;
    - (22) a project for the construction of a hospital with up to 25 beds in Cass County within a 20-mile radius of the state Ah-Gwah-Ching facility, provided the hospital's license holder is approved by the Cass County Board;
    - (23) a project for an acute care hospital in Fergus Falls that will increase the bed capacity from 108 to 110 beds by increasing the rehabilitation bed capacity from 14 to 16 and closing a separately licensed 13-bed skilled nursing facility;
    - (24) notwithstanding section 144.552, a project for the construction and expansion of a specialty psychiatric hospital in Hennepin County for up to 50 beds, exclusively for patients who are under 21 years of age on the date of admission. The commissioner conducted a public interest review of the mental health needs of Minnesota and the Twin Cities metropolitan area in 2008. No further public interest review shall be conducted for the construction or expansion project under this clause;
    - (25) a project for a 16-bed psychiatric hospital in the city of Thief River Falls, if the commissioner finds the project is in the public interest after the public interest review conducted under section 144.552 is complete;

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(26)(i) a project for a 20-bed psychiatric hospital, within an existing facility in the city of Maple Grove, exclusively for patients who are under 21 years of age on the date of admission, if the commissioner finds the project is in the public interest after the public interest review conducted under section 144.552 is complete;

- (ii) this project shall serve patients in the continuing care benefit program under section 256.9693. The project may also serve patients not in the continuing care benefit program; and
- (iii) if the project ceases to participate in the continuing care benefit program, the commissioner must complete a subsequent public interest review under section 144.552. If the project is found not to be in the public interest, the license must be terminated six months from the date of that finding. If the commissioner of human services terminates the contract without cause or reduces per diem payment rates for patients under the continuing care benefit program below the rates in effect for services provided on December 31, 2015, the project may cease to participate in the continuing care benefit program and continue to operate without a subsequent public interest review;
- (27) a project involving the addition of 21 new beds in an existing psychiatric hospital in Hennepin County that is exclusively for patients who are under 21 years of age on the date of admission;
- (28) a project to add 55 licensed beds in an existing safety net, level I trauma center hospital in Ramsey County as designated under section 383A.91, subdivision 5, of which 15 beds are to be used for inpatient mental health and 40 are to be used for other services. In addition, five unlicensed observation mental health beds shall be added;
- (29) upon submission of a plan to the commissioner for public interest review under section 144.552 and the addition of the 15 inpatient mental health beds specified in clause (28), to its bed capacity, a project to add 45 licensed beds in an existing safety net, level I trauma center hospital in Ramsey County as designated under section 383A.91, subdivision 5. Five of the 45 additional beds authorized under this clause must be designated for use for inpatient mental health and must be added to the hospital's bed capacity before the remaining 40 beds are added. Notwithstanding section 144.552, the hospital may add licensed beds under this clause prior to completion of the public interest review, provided the hospital submits its plan by the 2021 deadline and adheres to the timelines for the public interest review described in section 144.552; or
- (30) upon submission of a plan to the commissioner for public interest review under section 144.552, a project to add up to 30 licensed beds in an existing psychiatric hospital

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in Hennepin County that exclusively provides care to patients who are under 21 years of age on the date of admission. Notwithstanding section 144.552, the psychiatric hospital may add licensed beds under this clause prior to completion of the public interest review, provided the hospital submits its plan by the 2021 deadline and adheres to the timelines for the public interest review described in section 144.552-;

- (31) a project to add licensed beds in a hospital that: (i) is designated as a critical access hospital under section 144.1483, clause (9), and United States Code, title 42, section 1395i-4; (ii) has a licensed bed capacity of fewer than 25 beds; and (iii) has an attached nursing home, so long as the total number of licensed beds in the hospital after the bed addition does not exceed 25 beds. Notwithstanding section 144.552, a public interest review is not required for a project authorized under this clause; or
- (32) upon submission of a plan to the commissioner for public interest review under section 144.552, a project to add 22 licensed beds at a Minnesota freestanding children's hospital in St. Paul that is part of an independent pediatric health system with freestanding inpatient hospitals located in Minneapolis and St. Paul. The beds shall be utilized for pediatric inpatient behavioral health services. Notwithstanding section 144.552, the hospital may add licensed beds under this clause prior to completion of the public interest review, provided the hospital submits its plan by the 2022 deadline and adheres to the timelines for the public interest review described in section 144.552.

# Sec. 8. [145.267] FETAL ALCOHOL SPECTRUM DISORDERS PREVENTION GRANTS.

- (a) The commissioner of health shall award a grant to a statewide organization that focuses solely on prevention of and intervention with fetal alcohol spectrum disorders. The grant recipient must make subgrants to eligible regional collaboratives in rural and urban areas of the state for the purposes specified in paragraph (c).
- (b) "Eligible regional collaboratives" means a partnership between at least one local government or Tribal government and at least one community-based organization and, where available, a family home visiting program. For purposes of this paragraph, a local government includes a county or a multicounty organization, a county-based purchasing entity, or a community health board.
- (c) Eligible regional collaboratives must use subgrant funds to reduce the incidence of fetal alcohol spectrum disorders and other prenatal drug-related effects in children in Minnesota by identifying and serving pregnant women suspected of or known to use or

abuse alcohol or other drugs. Eligible regional collaboratives must provide intensive services 12.1 to chemically dependent women to increase positive birth outcomes. 12.2 (d) An eligible regional collaborative that receives a subgrant under this section must 12.3 report to the grant recipient by January 15 of each year on the services and programs funded 12.4 by the subgrant. The report must include measurable outcomes for the previous year, 12.5 including the number of pregnant women served and the number of toxin-free babies born. 12.6 The grant recipient must compile the information in the subgrant reports and submit a 12.7 summary report to the commissioner of health by February 15 of each year. 12.8 **EFFECTIVE DATE.** This section is effective July 1, 2023. 12.9 Sec. 9. Minnesota Statutes 2020, section 151.01, subdivision 27, is amended to read: 12.10 Subd. 27. **Practice of pharmacy.** "Practice of pharmacy" means: 12.11 (1) interpretation and evaluation of prescription drug orders; 12.12 12.13 (2) compounding, labeling, and dispensing drugs and devices (except labeling by a manufacturer or packager of nonprescription drugs or commercially packaged legend drugs 12.14 12.15 and devices); (3) participation in clinical interpretations and monitoring of drug therapy for assurance 12.16 of safe and effective use of drugs, including the performance of ordering and performing 12.17 laboratory tests that are waived under the federal Clinical Laboratory Improvement Act of 12.18 1988, United States Code, title 42, section 263a et seq., provided that a pharmacist may 12.19 12.20 interpret the results of laboratory tests but may modify A pharmacist may collect specimens, interpret results, notify the patient of results, and refer patients to other health care providers 12.21 for follow-up care and may initiate, modify, or discontinue drug therapy only pursuant to 12.22 a protocol or collaborative practice agreement. A pharmacy technician or pharmacist intern 12.23 may perform tests authorized under this clause if the technician or intern is working under 12.24 the direct supervision of a pharmacist; 12.25 (4) participation in drug and therapeutic device selection; drug administration for first 12.26 dosage and medical emergencies; intramuscular and subcutaneous administration used for 12.27 the treatment of alcohol or opioid dependence; drug regimen reviews; and drug or 12.28 12.29 drug-related research; (5) drug administration, through intramuscular and subcutaneous administration used 12.30 to treat mental illnesses as permitted under the following conditions: 12.31

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(i) upon the order of a prescriber and the prescriber is notified after administration is
complete; or

- (ii) pursuant to a protocol or collaborative practice agreement as defined by section 151.01, subdivisions 27b and 27c, and participation in the initiation, management, modification, administration, and discontinuation of drug therapy is according to the protocol or collaborative practice agreement between the pharmacist and a dentist, optometrist, physician, podiatrist, or veterinarian, or an advanced practice registered nurse authorized to prescribe, dispense, and administer under section 148.235. Any changes in drug therapy or medication administration made pursuant to a protocol or collaborative practice agreement must be documented by the pharmacist in the patient's medical record or reported by the pharmacist to a practitioner responsible for the patient's care;
- (6) participation in administration of influenza vaccines and vaccines approved by the United States Food and Drug Administration related to COVID-19 or SARS-CoV-2 to all eligible individuals six years of age and older and all other vaccines to patients 13 years of age and older by written protocol with a physician licensed under chapter 147, a physician assistant authorized to prescribe drugs under chapter 147A, or an advanced practice registered nurse authorized to prescribe drugs under section 148.235, provided that the pharmacist:
  - (i) the protocol includes, at a minimum:
- 13.19 (A) the name, dose, and route of each vaccine that may be given;
- 13.20 (B) the patient population for whom the vaccine may be given;
- 13.21 (C) contraindications and precautions to the vaccine;
- 13.22 (D) the procedure for handling an adverse reaction;
- 13.23 (E) the name, signature, and address of the physician, physician assistant, or advanced
  13.24 practice registered nurse;
- 13.25 (F) a telephone number at which the physician, physician assistant, or advanced practice
  13.26 registered nurse can be contacted; and
- 13.27 (G) the date and time period for which the protocol is valid;
- (ii) the pharmacist (i) has successfully completed a program approved by the Accreditation
  Council for Pharmacy Education specifically for the administration of immunizations or a
  program approved by the board;

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(iii) the pharmacist (ii) utilizes the Minnesota Immunization Information Connection to
assess the immunization status of individuals prior to the administration of vaccines, except
when administering influenza vaccines to individuals age nine and older;
(iv) the pharmacist (iii) reports the administration of the immunization to the Minnesota
Immunization Information Connection; and

(v) the pharmacist (iv) complies with guidelines for vaccines and immunizations established by the federal Advisory Committee on Immunization Practices, except that a pharmacist does not need to comply with those portions of the guidelines that establish immunization schedules when if the pharmacist is administering a vaccine pursuant to a valid, patient-specific order issued by a physician licensed under chapter 147, a physician assistant authorized to prescribe drugs under chapter 147A, or an advanced practice registered nurse authorized to prescribe drugs under section 148.235, provided that the order is consistent with the United States Food and Drug Administration approved labeling of the vaccine; and

(v) if the patient is 18 years of age or younger, informs the patient and any adult caregiver accompanying the patient of the importance of a well-child visit with a pediatrician or other licensed primary care provider;

- (7) participation in the initiation, management, modification, and discontinuation of drug therapy according to a written protocol or collaborative practice agreement between: (i) one or more pharmacists and one or more dentists, optometrists, physicians, podiatrists, or veterinarians; or (ii) one or more pharmacists and one or more physician assistants authorized to prescribe, dispense, and administer under chapter 147A, or advanced practice registered nurses authorized to prescribe, dispense, and administer under section 148.235. Any changes in drug therapy made pursuant to a protocol or collaborative practice agreement must be documented by the pharmacist in the patient's medical record or reported by the pharmacist to a practitioner responsible for the patient's care;
  - (8) participation in the storage of drugs and the maintenance of records;
- 14.28 (9) patient counseling on therapeutic values, content, hazards, and uses of drugs and devices;
- 14.30 (10) offering or performing those acts, services, operations, or transactions necessary 14.31 in the conduct, operation, management, and control of a pharmacy;
- 14.32 (11) participation in the initiation, management, modification, and discontinuation of 14.33 therapy with opiate antagonists, as defined in section 604A.04, subdivision 1, pursuant to:

15.1	(i) a written protocol as allowed under clause (7); or
15.2	(ii) a written protocol with a community health board medical consultant or a practitioner
15.3	designated by the commissioner of health, as allowed under section 151.37, subdivision 13;
15.4	and
15.5	(12) prescribing self-administered hormonal contraceptives; nicotine replacement
15.6	medications; and opiate antagonists for the treatment of an acute opiate overdose pursuant
15.7	to section 151.37, subdivision 14, 15, or 16.
15.8	Sec. 10. [151.103] DELEGATION OF VACCINE ADMINISTRATION.
15.9	(a) A pharmacy technician or pharmacist intern may administer vaccines under section
15.10	151.01, subdivision 27, clause (6), if the technician or intern:
15.11	(1) is under the direct supervision of a pharmacist while administering the vaccine;
15.12	(2) has successfully completed a program approved by the Accreditation Council for
15.13	Pharmacy Education (ACPE) specifically for the administration of immunizations or a
15.14	program approved by the board;
15.15	(3) has a current certificate in basic cardiopulmonary resuscitation; and
15.16	(4) if delegated to a pharmacy technician, the technician has completed:
15.17	(i) one of the training programs listed under Minnesota Rules, part 6800.3850, subpart
15.18	1h, item B; and
15.19	(ii) a minimum of two hours of ACPE-approved, immunization-related continuing
15.20	pharmacy education as part of the pharmacy technician's two-year continuing education
15.21	schedule.
15.22	(b) Direct supervision under this section must be in-person and must not be done through
15.23	telehealth as defined under section 62A.673, subdivision 2.
15.24	Sec. 11. Minnesota Statutes 2021 Supplement, section 245C.03, subdivision 5a, is amended
15.25	to read:
15.26	Subd. 5a. Facilities serving children or adults licensed or regulated by the
15.27	<b>Department of Health.</b> (a) Except as specified in paragraph (b), the commissioner shall
15.28	conduct background studies of:
15.29	(1) individuals providing services who have direct contact, as defined under section
15.30	245C.02, subdivision 11, with patients and residents in hospitals, boarding care homes,

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outpatient surgical centers licensed under sections 144.50 to 144.58; nursing homes and home care agencies licensed under chapter 144A; assisted living facilities and assisted living facilities with dementia care licensed under chapter 144G; and board and lodging establishments that are registered to provide supportive or health supervision services under section 157.17;

- (2) individuals specified in subdivision 2 who provide direct contact services in a nursing home or a home care agency licensed under chapter 144A; an assisted living facility or assisted living facility with dementia care licensed under chapter 144G; or a boarding care home licensed under sections 144.50 to 144.58. If the individual undergoing a study resides outside of Minnesota, the study must include a check for substantiated findings of maltreatment of adults and children in the individual's state of residence when the state makes the information available;
- (3) all other employees in assisted living facilities or assisted living facilities with dementia care licensed under chapter 144G, nursing homes licensed under chapter 144A, and boarding care homes licensed under sections 144.50 to 144.58. A disqualification of an individual in this section shall disqualify the individual from positions allowing direct contact with or access to patients or residents receiving services. "Access" means physical access to a client or the client's personal property without continuous, direct supervision as defined in section 245C.02, subdivision 8, when the employee's employment responsibilities do not include providing direct contact services;
- (4) individuals employed by a supplemental nursing services agency, as defined under section 144A.70, who are providing services in health care facilities; and
- 16.23 (5) controlling persons of a supplemental nursing services agency, as defined by section 16.24 144A.70.
  - (b) The commissioner of human services is not required to conduct a background study on any individual identified in paragraph (a) if the individual has a valid license issued by a health-related licensing board as defined in section 214.01, subdivision 2, and has completed the criminal background check as required in section 214.075. An entity that employs individuals who meet the requirements of this paragraph must separate those individuals from the entity's roster for NETStudy 2.0.
  - (c) If a facility or program is licensed by the Department of Human Services and the Department of Health and is subject to the background study provisions of this chapter, the Department of Human Services is solely responsible for the background studies of individuals in the jointly licensed program.

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(e) (d) The commissioner of health shall review and make decisions regarding
reconsideration requests, including whether to grant variances, according to the procedures
and criteria in this chapter. The commissioner of health shall inform the requesting individual
and the Department of Human Services of the commissioner of health's decision regarding
the reconsideration. The commissioner of health's decision to grant or deny a reconsideration
of a disqualification is a final administrative agency action.

- Sec. 12. Minnesota Statutes 2020, section 245C.31, subdivision 1, is amended to read:
- Subdivision 1. **Board determines disciplinary or corrective action.** (a) When the subject of a background study is regulated by a health-related licensing board as defined in chapter 214, and the commissioner determines that the regulated individual is responsible for substantiated maltreatment under section 626.557 or chapter 260E, instead of the commissioner making a decision regarding disqualification, the board shall make a determination whether to impose disciplinary or corrective action under chapter 214 The commissioner shall notify a health-related licensing board as defined in section 214.01, subdivision 2, if the commissioner determines that an individual who is licensed by the health-related licensing board and who is included on the board's roster list provided in accordance with subdivision 3a is responsible for substantiated maltreatment under section 626.557 or chapter 260E, in accordance with subdivision 2. Upon receiving notification, the health-related licensing board shall make a determination as to whether to impose disciplinary or corrective action under chapter 214.
- (b) This section does not apply to a background study of an individual regulated by a health-related licensing board if the individual's study is related to child foster care, adult foster care, or family child care licensure.
- Sec. 13. Minnesota Statutes 2020, section 245C.31, subdivision 2, is amended to read:
- Subd. 2. **Commissioner's notice to board.** (a) The commissioner shall notify the <u>a</u> health-related licensing board:
- 17.27 (1) upon completion of a background study that produces of a record showing that the individual licensed by the board was determined to have been responsible for substantiated maltreatment;
- 17.30 (2) upon the commissioner's completion of an investigation that determined the an individual licensed by the board was responsible for substantiated maltreatment; or

- (3) upon receipt from another agency of a finding of substantiated maltreatment for which the an individual licensed by the board was responsible.
- (b) The commissioner's notice to the health-related licensing board shall indicate whether the commissioner would have disqualified the individual for the substantiated maltreatment if the individual were not regulated by the board.
- 18.6 (c) The commissioner shall concurrently send the notice under this subdivision to the individual who is the subject of the <del>background study</del> notification.
- Sec. 14. Minnesota Statutes 2020, section 245C.31, is amended by adding a subdivision to read:
- Subd. 3a. Agreements with health-related licensing boards. The commissioner and each health-related licensing board shall enter into an agreement in order for each board to provide the commissioner with a quarterly roster list of individuals who have a license issued by the board in active status. The list must include for each licensed individual the individual's name, date of birth, and license number; the date the license was issued; and the status of the license.
- Sec. 15. Laws 2021, First Special Session chapter 7, article 16, section 2, subdivision 33, is amended to read:

## 18.18 Subd. 33. Grant Programs; Chemical

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#### 18.19 **Dependency Treatment Support Grants**

18.20	Appropriations by Fund				
18.21	General	4,273,000	4,274,000		
18.22	Lottery Prize	1,733,000	1,733,000		
18.23 18.24	Opiate Epidemic Response 500,000 500,0				
18.25	(a) Problem Gambling. \$225,000 in fiscal				
18.26	year 2022 and \$225,000 in fiscal year 2023				
18.27	are from the lottery prize fund for a grant to				
18.28	the state affiliate recognized by the National				
18.29	Council on Problem Gambling. The affiliate				
18.30	must provide services to increase public				
18.31	awareness of problem gambling, education,				
18.32	training for individuals and organizations				
18.33	providing effective treatment services to				

the ambulance service personnel longevity

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21.1	among the eight emergency medical services
21.2	regions. This is a onetime appropriation.
21.3	(e) Ambulance Training Grants. \$565,000
21.4	in fiscal year 2022 and \$361,000 in fiscal year
21.5	2023 are for training grants under Minnesota
21.6	Statutes, section 144E.35.
21.7	(f) Base Level Adjustment. The general fund
21.8	base is \$3,776,000 in fiscal year 2024 and
21.9	\$3,776,000 in fiscal year 2025.
21.10	Sec. 17. TEMPORARY REQUIREMENTS GOVERNING AMBULANCE SERVICE
21.11	OPERATIONS AND THE PROVISION OF EMERGENCY MEDICAL SERVICES.
21.12	Subdivision 1. Application. Notwithstanding any law to the contrary in Minnesota
21.13	Statutes, chapter 144E, an ambulance service may operate according to this section, and
21.14	emergency medical technicians, advanced emergency medical technicians, and paramedics
21.15	may provide emergency medical services according to this section.
21.16	Subd. 2. Definitions. (a) The terms defined in this subdivision apply to this section.
21.17	(b) "Advanced emergency medical technician" has the meaning given in Minnesota
21.18	Statutes, section 144E.001, subdivision 5d.
21.19	(c) "Advanced life support" has the meaning given in Minnesota Statutes, section
21.20	144E.001, subdivision 1b.
21.21	(d) "Ambulance" has the meaning given in Minnesota Statutes, section 144E.001,
21.22	subdivision 2.
21.23	(e) "Ambulance service personnel" has the meaning given in Minnesota Statutes, section
21.24	144E.001, subdivision 3a.
21.25	(f) "Basic life support" has the meaning given in Minnesota Statutes, section 144E.001,
21.26	subdivision 4b.
21.27	(g) "Board" means the Emergency Medical Services Regulatory Board.
21.28	(h) "Emergency medical technician" has the meaning given in Minnesota Statutes, section
21.29	144E.001, subdivision 5c.
21.30	(i) "Paramedic" has the meaning given in Minnesota Statutes, section 144E.001,
21.31	subdivision 5e.

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(j) "Primary service area" means the area designated by the board according to Minnesota 22.1 22.2 Statutes, section 144E.06, to be served by an ambulance service. Subd. 3. Staffing. (a) For emergency ambulance calls in an ambulance service's primary 22.3 service area, an ambulance service must staff an ambulance that provides basic life support 22.4 22.5 with at least: (1) one emergency medical technician, who must be in the patient compartment when 22.6 a patient is being transported; and 22.7 (2) one individual to drive the ambulance. The driver must hold a valid driver's license 22.8 from any state, must have attended an emergency vehicle driving course approved by the 22.9 ambulance service, and must have completed a course on cardiopulmonary resuscitation 22.10 approved by the ambulance service. 22.11 (b) For emergency ambulance calls in an ambulance service's primary service area, an 22.12 ambulance service must staff an ambulance that provides advanced life support with at least: 22.13 (1) one paramedic; one registered nurse who meets the requirements in Minnesota 22.14 Statutes, section 144E.001, subdivision 3a, clause (2); or one physician assistant who meets 22.15 the requirements in Minnesota Statutes, section 144E.001, subdivision 3a, clause (3), and 22.16 who must be in the patient compartment when a patient is being transported; and 22.17 (2) one individual to drive the ambulance. The driver must hold a valid driver's license 22.18 from any state, must have attended an emergency vehicle driving course approved by the 22.19 ambulance service, and must have completed a course on cardiopulmonary resuscitation 22.20 22.21 approved by the ambulance service. (c) The ambulance service director and medical director must approve the staffing of 22.22 22.23 an ambulance according to this subdivision. (d) An ambulance service staffing an ambulance according to this subdivision must 22.24 immediately notify the board in writing and in a manner prescribed by the board. The notice 22.25 must specify how the ambulance service is staffing its basic life support or advanced life 22.26 22.27 support ambulances and the time period the ambulance service plans to staff the ambulances according to this subdivision. If an ambulance service continues to staff an ambulance 22.28 according to this subdivision after the date provided to the board in its initial notice, the 22.29 ambulance service must provide a new notice to the board in a manner that complies with 22.30 this paragraph. 22.31 (e) If an individual serving as a driver under this subdivision commits an act listed in 22.32 Minnesota Statutes, section 144E.27, subdivision 5, paragraph (a), the board may temporarily 22.33

23.1	suspend or prohibit the individual from driving an ambulance or place conditions on the
23.2	individual's ability to drive an ambulance using the procedures and authority in Minnesota
23.3	Statutes, section 144E.27, subdivisions 5 and 6.
23.4	Subd. 4. Use of expired emergency medications and medical supplies. (a) If an
23.5	ambulance service experiences a shortage of an emergency medication or medical supply,
23.6	ambulance service personnel may use an emergency medication or medical supply for up
23.7	to six months after the emergency medication's or medical supply's specified expiration
23.8	date, provided:
23.9	(1) the ambulance service director and medical director approve the use of the expired
23.10	emergency medication or medical supply;
23.11	(2) ambulance service personnel use an expired emergency medication or medical supply
23.12	only after depleting the ambulance service's supply of that emergency medication or medical
23.13	supply that is unexpired;
23.14	(3) the ambulance service has stored and maintained the expired emergency medication
23.15	or medical supply according to the manufacturer's instructions;
23.16	(4) if possible, ambulance service personnel obtain consent from the patient to use the
23.17	expired emergency medication or medical supply prior to its use; and
23.18	(5) when the ambulance service obtains a supply of that emergency medication or medical
23.19	supply that is unexpired, ambulance service personnel cease use of the expired emergency
23.20	medication or medical supply and instead use the unexpired emergency medication or
23.21	medical supply.
23.22	(b) Before approving the use of an expired emergency medication, an ambulance service
23.23	director and medical director must consult with the Board of Pharmacy regarding the safety
23.24	and efficacy of using the expired emergency medication.
23.25	(c) An ambulance service must keep a record of all expired emergency medications and
23.26	all expired medical supplies used and must submit that record in writing to the board in a
23.27	time and manner specified by the board. The record must list the specific expired emergency
23.28	medications and medical supplies used and the time period during which ambulance service
23.29	personnel used the expired emergency medication or medical supply.
23.30	Subd. 5. Provision of emergency medical services after certification expires. (a) At
23.31	the request of an emergency medical technician, advanced emergency medical technician,
23.32	or paramedic, and with the approval of the ambulance service director, an ambulance service
23.33	medical director may authorize the emergency medical technician, advanced emergency

medical technician, or paramedic to provide emergency medical services for the ambulance service for up to three months after the certification of the emergency medical technician, advanced emergency medical technician, or paramedic expires.

- (b) An ambulance service must immediately notify the board each time its medical director issues an authorization under paragraph (a). The notice must be provided in writing and in a manner prescribed by the board and must include information on the time period each emergency medical technician, advanced emergency medical technician, or paramedic will provide emergency medical services according to an authorization under this subdivision; information on why the emergency medical technician, advanced emergency medical technician, or paramedic needs the authorization; and an attestation from the medical director that the authorization is necessary to help the ambulance service adequately staff its ambulances.
- Subd. 6. Reports. The board must provide quarterly reports to the chairs and ranking minority members of the legislative committees with jurisdiction over the board regarding actions taken by ambulance services according to subdivisions 3, 4, and 5. The board must submit reports by June 30, September 30, and December 31 of 2022; and by March 31, June 30, September 30, and December 31 of 2023. Each report must include the following information:
- (1) for each ambulance service staffing basic life support or advanced life support ambulances according to subdivision 3, the primary service area served by the ambulance service, the number of ambulances staffed according to subdivision 3, and the time period the ambulance service has staffed and plans to staff the ambulances according to subdivision 3;
- (2) for each ambulance service that authorized the use of an expired emergency medication or medical supply according to subdivision 4, the expired emergency medications and medical supplies authorized for use and the time period the ambulance service used each expired emergency medication or medical supply; and
- (3) for each ambulance service that authorized the provision of emergency medical services according to subdivision 5, the number of emergency medical technicians, advanced emergency medical technicians, and paramedics providing emergency medical services under an expired certification and the time period each emergency medical technician, advanced emergency medical technician, or paramedic provided and will provide emergency medical services under an expired certification.
- Subd. 7. Expiration. This section expires January 1, 2024.

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25.1	<b>EFFECTIVE DATE.</b> This section is effective the day following final enactment.
25.2	Sec. 18. DIRECTION TO COMMISSIONER OF HEALTH; J-1 VISA WAIVER
25.3	PROGRAM RECOMMENDATION.
25.4	(a) For purposes of this section:
25.5	(1) "Department of Health recommendation" means a recommendation from the state
25.6	Department of Health that a foreign medical graduate should be considered for a J-1 visa
25.7	waiver under the J-1 visa waiver program; and
25.8	(2) "J-1 visa waiver program" means a program administered by the United States
25.9	Department of State under United States Code, title 8, section 1184(1), in which a waiver
25.10	is sought for the requirement that a foreign medical graduate with a J-1 visa must return to
25.11	the graduate's home country for two years at the conclusion of the graduate's medical study
25.12	before applying for employment authorization in the United States.
25.13	(b) In administering the program to issue Department of Health recommendations for
25.14	purposes of the J-1 visa waiver program, the commissioner of health shall allow an applicant
25.15	to submit to the commissioner evidence that the foreign medical graduate for whom the
25.16	waiver is sought is licensed to practice medicine in Minnesota in place of evidence that the
25.17	foreign medical graduate has passed steps 1, 2, and 3 of the United States Medical Licensing
25.18	Examination.
25.19	Sec. 19. BASE LEVEL ADJUSTMENT; FETAL ALCOHOL SPECTRUM
25.20	DISORDERS PREVENTION GRANTS.
25.21	The general fund base for the commissioner of health for health improvement is increased
25.22	by \$750,000 in fiscal year 2024 and increased by \$750,000 in fiscal year 2025 for fetal
25.23	alcohol spectrum disorders prevention grants under Minnesota Statutes, section 145.267.
	<u> </u>
25.24	Sec. 20. APPROPRIATION.
25.25	\$103,000 in fiscal year 2023 is appropriated from the state government special revenue
25.26	fund to the commissioner of health to implement requirements for the submerged closed
25.27	loop heat exchanger. The base for this appropriation is \$86,000 in fiscal year 2024 and
25.28	\$86,000 in fiscal year 2025.
25.29	Sec. 21. REPEALER.
25.30	Minnesota Statutes 2020, section 254A.21, is repealed, effective July 1, 2023.
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26.1 **ARTICLE 2** 

## DEPARTMENT OF HUMAN SERVICES AND HEALTH CARE

Section 1. Minnesota Statutes 2021 Supplement, section 256B.0371, subdivision 4, is amended to read:

- Subd. 4. **Dental utilization report.** (a) The commissioner shall submit an annual report beginning March 15, 2022, and ending March 15, 2026, to the chairs and ranking minority members of the legislative committees with jurisdiction over health and human services policy and finance that includes the percentage for adults and children one through 20 years of age for the most recent complete calendar year receiving at least one dental visit for both fee-for-service and the prepaid medical assistance program. The report must include:
- (1) statewide utilization for both fee-for-service and for the prepaid medical assistance program;
- 26.13 (2) utilization by county;

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- (3) utilization by children receiving dental services through fee-for-service and through a managed care plan or county-based purchasing plan;
- 26.16 (4) utilization by adults receiving dental services through fee-for-service and through a managed care plan or county-based purchasing plan.
  - (b) The report must also include a description of any corrective action plans required to be submitted under subdivision 2.
- 26.20 (c) The initial report due on March 15, 2022, must include the utilization metrics described in paragraph (a) for each of the following calendar years: 2017, 2018, 2019, and 2020.
  - (d) In the annual report due on March 15, 2023, and in each report due thereafter, the commissioner shall include the following:
  - (1) the number of dentists enrolled with the commissioner as a medical assistance dental provider and the congressional district or districts in which the dentist provides services;
  - (2) the number of enrolled dentists who provided fee-for-service dental services to medical assistance or MinnesotaCare patients within the previous calendar year in the following increments: one to nine patients, ten to 100 patients, and over 100 patients;
  - (3) the number of enrolled dentists who provided dental services to medical assistance or MinnesotaCare patients through a managed care plan or county-based purchasing plan within the previous calendar year in the following increments: one to nine patients, ten to 100 patients, and over 100 patients; and

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27.1	(4) the m	umber of dentists who	provided dental	services to a new pati	ent who was enrolled			
27.2	in medical assistance or MinnesotaCare within the previous calendar year.							
27.3	(e) The r	(e) The report due on March 15, 2023, must include the metrics described in paragraph						
27.4	_	(d) for each of the following years: 2017, 2018, 2019, 2020, and 2021.						
27.5	Saa 2 M:	anagata Statutas 2020	) and an 256D	0625 is some and address	. 1 1			
27.5		nnesota Statutes 2020	), section 250B.	0023, is amended by	adding a subdivision			
27.6	to read:							
27.7	<u>Subd.</u> 13	<b>Sk.</b> Vaccines and lab	oratory tests pi	ovided by pharmac	ists. (a) Medical			
27.8	assistance c	overs vaccines initiat	ed, ordered, or a	administered by a lice	ensed pharmacist,			
27.9	according to	the requirements of	section 151.01,	subdivision 27, claus	se (6), at no less than			
27.10	the rate for	which the same servi	ces are covered	when provided by an	y other licensed			
27.11	practitioner.	<u>.</u>						
27.12	(b) Medi	ical assistance covers	laboratory tests	ordered and perform	ned by a licensed			
27.13	pharmacist,	according to the requ	irements of sec	tion 151.01, subdivis	ion 27, clause (3), at			
27.14	no less than	the rate for which the	e same services	are covered when pro	ovided by any other			
27.15	licensed pra	ctitioner.						
27.16	<b>EFFEC</b>	TIVE DATE. This se	ction is effective	January 1, 2023, or u	pon federal approval,			
27.17	whichever is	s later. The commissi	oner of human s	services shall notify the	he revisor of statutes			
27.18	when federa	al approval is obtained	<u>d.</u>					
27.19	Sec. 3. <b>DI</b>	RECTION TO THE	E COMMISSIO	ONER OF HUMAN	SERVICES;			
27.20	ENTERAL	ANUTRITION AND	SUPPLIES.					
27.21	Notwith	standing Minnesota S	Statutes, section	256B.766, paragraph	ı (i), but subject to			
27.22	Minnesota S	Statutes, section 256E	3.766, paragraph	(l), effective for date	es of service on or			
27.23	after July 1,	2022, through June 3	30, 2023, the con	mmissioner of humar	n services shall not			
27.24	adjust rates	paid for enteral nutrit	tion and supplie	<u>S.</u>				
27.25	<b>EFFEC</b>	TIVE DATE. This se	ection is effective	re July 1, 2022.				
27.26			ARTICLI	F. 3				
27.27	HEALT	ΓH-RELATED LIC			OF PRACTICE			
27.28	Section 1.	Minnesota Statutes 2	2020, section 14	4.051, subdivision 6,	is amended to read:			
27 29	Subd 6	Release of private of	or confidential (	data. For providers re	egulated nursuant to			

Article 3 Section 1.

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sections 144A.43 to 144A.482, 148.5185, and chapter 144G, the department may release

private or confidential data, except Social Security numbers, to the appropriate state, federal,

or local agency and law enforcement office to enhance investigative or enforcement efforts or further a public health protective process. Types of offices include Adult Protective Services, Office of the Ombudsman for Long-Term Care and Office of the Ombudsman for Mental Health and Developmental Disabilities, the health licensing boards, Department of Human Services, county or city attorney's offices, police, and local or county public health offices.

- Sec. 2. Minnesota Statutes 2020, section 147.01, subdivision 7, is amended to read:
- Subd. 7. **Physician application and license fees.** (a) The board may charge the following nonrefundable application and license fees processed pursuant to sections 147.02, 147.03, 147.037, 147.0375, and 147.38:
- 28.11 (1) physician application fee, \$200;

- 28.12 (2) physician annual registration renewal fee, \$192;
- 28.13 (3) physician endorsement to other states, \$40;
- 28.14 (4) physician emeritus license, \$50;
- 28.15 (5) physician temporary license, \$60;
- 28.16 (6) (5) physician late fee, \$60;
- 28.17 (7) (6) duplicate license fee, \$20;
- 28.18 (8) (7) certification letter fee, \$25;
- 28.19 (9) (8) education or training program approval fee, \$100;
- 28.20 (10) (9) report creation and generation fee, \$60 per hour;
- 28.21 (11) (10) examination administration fee (half day), \$50;
- 28.22 (12) (11) examination administration fee (full day), \$80;
- 28.23 (13) (12) fees developed by the Interstate Commission for determining physician
  28.24 qualification to register and participate in the interstate medical licensure compact, as
  28.25 established in rules authorized in and pursuant to section 147.38, not to exceed \$1,000; and
- 28.26 (14) (13) verification fee, \$25.
- 28.27 (b) The board may prorate the initial annual license fee. All licensees are required to
  28.28 pay the full fee upon license renewal. The revenue generated from the fee must be deposited
  28.29 in an account in the state government special revenue fund.
- 28.30 **EFFECTIVE DATE.** This section is effective the day following final enactment.

Sec. 3. Minnesota Statutes 2020, section 147.03, subdivision 1, is amended to read:

- Subdivision 1. **Endorsement; reciprocity.** (a) The board may issue a license to practice medicine to any person who satisfies the requirements in paragraphs (b) to (e).
- (b) The applicant shall satisfy all the requirements established in section 147.02, subdivision 1, paragraphs (a), (b), (d), (e), and (f), or section 147.037, subdivision 1, paragraphs (a) to (e).
- 29.7 (c) The applicant shall:

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- 29.8 (1) have passed an examination prepared and graded by the Federation of State Medical
  29.9 Boards, the National Board of Medical Examiners, or the United States Medical Licensing
  29.10 Examination (USMLE) program in accordance with section 147.02, subdivision 1, paragraph
  29.11 (c), clause (2); the National Board of Osteopathic Medical Examiners; or the Medical Council
  29.12 of Canada; and
- 29.13 (2) have a current license from the equivalent licensing agency in another state or Canada and, if the examination in clause (1) was passed more than ten years ago, either:
- 29.15 (i) pass the Special Purpose Examination of the Federation of State Medical Boards with 29.16 a score of 75 or better within three attempts; or
- 29.17 (ii) have a current certification by a specialty board of the American Board of Medical 29.18 Specialties, of the American Osteopathic Association, the Royal College of Physicians and 29.19 Surgeons of Canada, or of the College of Family Physicians of Canada; or
- 29.20 (3) if the applicant fails to meet the requirement established in section 147.02, subdivision 1, paragraph (c), clause (2), because the applicant failed to pass each of steps one, two, and three of the USMLE within the required three attempts, the applicant may be granted a license provided the applicant:
- 29.24 (i) has passed each of steps one, two, and three with passing scores as recommended by
  29.25 the USMLE program within no more than four attempts for any of the three steps;
- 29.26 (ii) is currently licensed in another state; and
- 29.27 (iii) has current certification by a specialty board of the American Board of Medical
  29.28 Specialties, the American Osteopathic Association Bureau of Professional Education, the
  29.29 Royal College of Physicians and Surgeons of Canada, or the College of Family Physicians
  29.30 of Canada.

30.1	(d) The applicant must not be under license suspension or revocation by the licensing
30.2	board of the state or jurisdiction in which the conduct that caused the suspension or revocation
30.3	occurred.
30.4	(e) The applicant must not have engaged in conduct warranting disciplinary action against
30.5	a licensee, or have been subject to disciplinary action other than as specified in paragraph
30.6	(d). If an applicant does not satisfy the requirements stated in this paragraph, the board may
30.7	issue a license only on the applicant's showing that the public will be protected through
30.8	issuance of a license with conditions or limitations the board considers appropriate.
30.9	(f) Upon the request of an applicant, the board may conduct the final interview of the
30.10	applicant by teleconference.
30.11	EFFECTIVE DATE. This section is effective the day following final enactment.
30.12	Sec. 4. Minnesota Statutes 2020, section 147.03, subdivision 2, is amended to read:
30.13	Subd. 2. Temporary permit. (a) An applicant for licensure under this section may
30.14	request the board issue a temporary permit in accordance with this subdivision. Upon receipt
30.15	of the application for licensure, a request for a temporary permit, and a nonrefundable
30.16	physician application fee specified under section 147.01, subdivision 7, the board may issue
30.17	a temporary permit to practice medicine to as a physician eligible for licensure under this
30.18	section only if the application for licensure is complete, all requirements in subdivision 1
30.19	have been met, and a nonrefundable fee set by the board has been paid if the applicant is:
30.20	(1) currently licensed in good standing to practice medicine as a physician in another
30.21	state, territory, or Canadian province; and
30.22	(2) not the subject of a pending investigation or disciplinary action in any state, territory,
30.23	or Canadian province.
30.24	The permit remains (b) A temporary permit issued under this subdivision is nonrenewable
30.25	and valid only until the meeting of the board at which a decision is made on the physician's
30.26	application for licensure or for 90 days, whichever occurs first.
30.27	(c) The board may revoke a temporary permit issued under this subdivision if the
30.28	physician is the subject of an investigation or disciplinary action or is disqualified for
30.29	licensure for any other reason.
30.30	(d) Notwithstanding section 13.41, subdivision 2, the board may release information
30.31	regarding action taken by the board pursuant to this subdivision.
30.32	<b>EFFECTIVE DATE.</b> This section is effective the day following final enactment.

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Sec. 5. Minnesota Statutes 2020, section 147.037, is amended to read:

## 147.037 LICENSING OF FOREIGN MEDICAL SCHOOL GRADUATES; TEMPORARY PERMIT.

- Subdivision 1. **Requirements.** The board shall issue a license to practice medicine to any person who satisfies the requirements in paragraphs (a) to (g).
- (a) The applicant shall satisfy all the requirements established in section 147.02, subdivision 1, paragraphs (a), (e), (f), (g), and (h).
- (b) The applicant shall present evidence satisfactory to the board that the applicant is a graduate of a medical or osteopathic school approved by the board as equivalent to accredited United States or Canadian schools based upon its faculty, curriculum, facilities, accreditation, or other relevant data. If the applicant is a graduate of a medical or osteopathic program that is not accredited by the Liaison Committee for Medical Education or the American Osteopathic Association, the applicant may use the Federation of State Medical Boards' Federation Credentials Verification Service (FCVS) or its successor. If the applicant uses this service as allowed under this paragraph, the physician application fee may be less than \$200 but must not exceed the cost of administering this paragraph.
- (c) The applicant shall present evidence satisfactory to the board that the applicant has been awarded a certificate by the Educational Council for Foreign Medical Graduates, and the applicant has a working ability in the English language sufficient to communicate with patients and physicians and to engage in the practice of medicine.
- (d) The applicant shall present evidence satisfactory to the board of the completion of one year of graduate, clinical medical training in a program accredited by a national accrediting organization approved by the board or other graduate training approved in advance by the board as meeting standards similar to those of a national accrediting organization. This requirement does not apply:
- (1) to an applicant who is admitted as a permanent immigrant to the United States on or before October 1, 1991, as a person of exceptional ability in the sciences according to Code of Federal Regulations, title 20, section 656.22(d); or
- (2) to an applicant holding a valid license to practice medicine in another country and issued a permanent immigrant visa after October 1, 1991, as a person of extraordinary ability in the field of science or as an outstanding professor or researcher according to Code of Federal Regulations, title 8, section 204.5(h) and (i), or a temporary nonimmigrant visa as

a person of extraordinary ability in the field of science according to Code of Federal
Regulations, title 8, section 214.2(o),
provided that a person under clause (1) or (2) is admitted pursuant to rules of the United
States Department of Labor.

(e) The applicant must:

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- (1) have passed an examination prepared and graded by the Federation of State Medical Boards, the United States Medical Licensing Examination program in accordance with section 147.02, subdivision 1, paragraph (c), clause (2), or the Medical Council of Canada; and
- 32.10 (2) if the examination in clause (1) was passed more than ten years ago, either:
- 32.11 (i) pass the Special Purpose Examination of the Federation of State Medical Boards with 32.12 a score of 75 or better within three attempts; or
- 32.13 (ii) have a current certification by a specialty board of the American Board of Medical 32.14 Specialties, of the American Osteopathic Association, of the Royal College of Physicians 32.15 and Surgeons of Canada, or of the College of Family Physicians of Canada; or
  - (3) if the applicant fails to meet the requirement established in section 147.02, subdivision 1, paragraph (c), clause (2), because the applicant failed to pass each of steps one, two, and three of the USMLE within the required three attempts, the applicant may be granted a license provided the applicant:
- 32.20 (i) has passed each of steps one, two, and three with passing scores as recommended by
  32.21 the USMLE program within no more than four attempts for any of the three steps;
- 32.22 (ii) is currently licensed in another state; and
- 32.23 (iii) has current certification by a specialty board of the American Board of Medical 32.24 Specialties, the American Osteopathic Association, the Royal College of Physicians and 32.25 Surgeons of Canada, or the College of Family Physicians of Canada.
- 32.26 (f) The applicant must not be under license suspension or revocation by the licensing 32.27 board of the state or jurisdiction in which the conduct that caused the suspension or revocation 32.28 occurred.
- 32.29 (g) The applicant must not have engaged in conduct warranting disciplinary action 32.30 against a licensee, or have been subject to disciplinary action other than as specified in 32.31 paragraph (f). If an applicant does not satisfy the requirements stated in this paragraph, the

board may issue a license only on the applicant's showing that the public will be protected through issuance of a license with conditions or limitations the board considers appropriate.

Subd. 1a. Temporary permit. The board may issue a temporary permit to practice medicine to a physician eligible for licensure under this section only if the application for licensure is complete, all requirements in subdivision 1 have been met, and a nonrefundable fee set by the board has been paid. The permit remains valid only until the meeting of the board at which a decision is made on the physician's application for licensure.

Subd. 2. **Medical school review.** The board may contract with any qualified person or organization for the performance of a review or investigation, including site visits if necessary, of any medical or osteopathic school prior to approving the school under section 147.02, subdivision 1, paragraph (b), or subdivision 1, paragraph (b), of this section. To the extent possible, the board shall require the school being reviewed to pay the costs of the review or investigation.

**EFFECTIVE DATE.** This section is effective the day following final enactment.

#### Sec. 6. [147A.025] TEMPORARY PERMIT.

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- (a) An applicant for licensure under section 147A.02 may request the board issue a
   temporary permit in accordance with this section. Upon receipt of the application for
   licensure, a request for a temporary permit, and a nonrefundable physician assistant
   application fee as specified under section 147A.28, the board may issue a temporary permit
   to practice as a physician assistant if the applicant is:
- 33.21 (1) currently licensed in good standing to practice as a physician assistant in another 33.22 state, territory, or Canadian province; and
- (2) not subject to a pending investigation or disciplinary action in any state, territory, or
   Canadian province.
- (b) A temporary permit issued under this section is nonrenewable and valid until a
   decision is made on the physician assistant's application for licensure or for 90 days,
   whichever occurs first.
- (c) The board may revoke the temporary permit that has been issued under this section if the applicant is the subject of an investigation or disciplinary action or is disqualified for licensure for any other reason.
- 33.31 (d) Notwithstanding section 13.41, subdivision 2, the board may release information regarding any action taken by the board pursuant to this section.

**EFFECTIVE DATE.** This section is effective the day following final enactment.

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Sec. 7. Minnesota Statutes 2020, section 147A.28, is amended to read: 34.2 147A.28 PHYSICIAN ASSISTANT APPLICATION AND LICENSE FEES. 34.3 (a) The board may charge the following nonrefundable fees: 34.4 34.5 (1) physician assistant application fee, \$120; (2) physician assistant annual registration renewal fee (prescribing authority), \$135; 34.6 34.7 (3) (2) physician assistant annual registration license renewal fee (no prescribing authority), \$115; 34.8 (4) physician assistant temporary registration, \$115; 34.9 (5) physician assistant temporary permit, \$60; 34.10 (6) (3) physician assistant locum tenens permit, \$25; 34.11 (7) (4) physician assistant late fee, \$50; 34.12 (8) (5) duplicate license fee, \$20; 34.13 (9) (6) certification letter fee, \$25; 34.14 (10) (7) education or training program approval fee, \$100; 34.15 (11) (8) report creation and generation fee, \$60 per hour; and 34.16 (12) (9) verification fee, \$25. 34.17 (b) The board may prorate the initial annual license fee. All licensees are required to 34.18 pay the full fee upon license renewal. The revenue generated from the fees must be deposited 34.19 in an account in the state government special revenue fund. 34.20 **EFFECTIVE DATE.** This section is effective the day following final enactment. 34.21 Sec. 8. Minnesota Statutes 2020, section 147C.15, subdivision 3, is amended to read: 34.22 Subd. 3. **Temporary permit.** (a) An applicant for licensure under this section may 34.23 request the board issue a temporary permit in accordance with this subdivision. Upon receipt 34.24 of the application for licensure, a request for a temporary permit, and a nonrefundable 34.25 respiratory therapist application fee as specified under section 147C.40, subdivision 5, the 34.26 board may issue a temporary permit to practice as a respiratory therapist to an applicant 34.27 eligible for licensure under this section if the application for licensure is complete, all 34.28

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(11) (10) verification fee, \$25.

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(9) (8) education or training program approval fee, \$100;

(10) (9) report creation and generation fee, \$60 per hour; and

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(b) The board may prorate the initial annual license fee. All licensees are required to pay the full fee upon license renewal. The revenue generated from the fees must be deposited in an account in the state government special revenue fund.

#### **EFFECTIVE DATE.** This section is effective the day following final enactment.

Sec. 10. Minnesota Statutes 2020, section 148.212, subdivision 1, is amended to read:

Subdivision 1. **Issuance.** Upon receipt of the applicable licensure or reregistration fee and permit fee, and in accordance with rules of the board, the board may issue a nonrenewable temporary permit to practice professional or practical nursing to an applicant for licensure or reregistration who is not the subject of a pending investigation or disciplinary action, nor disqualified for any other reason, under the following circumstances:

- (a) The applicant for licensure by endorsement under section 148.211, subdivision 2, is currently licensed to practice professional or practical nursing in another state, territory, or Canadian province. The permit is valid until the date of board action on the application or for  $60 \underline{90}$  days, whichever comes first.
- (b) The applicant for licensure by endorsement under section 148.211, subdivision 2, or for reregistration under section 148.231, subdivision 5, is currently registered in a formal, structured refresher course or its equivalent for nurses that includes clinical practice.

#### **EFFECTIVE DATE.** This section is effective the day following final enactment.

#### Sec. 11. [148.2855] NURSE LICENSURE COMPACT.

The Nurse Licensure Compact is enacted into law and entered into with all other jurisdictions legally joining in it, in the form substantially as follows:

36.22 ARTICLE 1

#### 36.23 DEFINITIONS

#### As used in this compact:

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(a) "Adverse action" means any administrative, civil, equitable, or criminal action permitted by a state's law that is imposed by a licensing board or other authority against a nurse, including actions against an individual's license or multistate licensure privilege such as revocation, suspension, probation, monitoring of the licensee, limitation on the licensee's practice, or any other encumbrance on licensure affecting a nurse's authorization to practice, including issuance of a cease and desist action.

37.1	(b) "Alternative program" means a nondisciplinary monitoring program approved by a
37.2	licensing board.
37.3	(c) "Coordinated licensure information system" means an integrated process for collecting,
37.4	storing, and sharing information on nurse licensure and enforcement activities related to
37.5	nurse licensure laws that is administered by a nonprofit organization composed of and
37.6	controlled by licensing boards.
37.7	(d) "Current significant investigative information" means:
37.8	(1) investigative information that a licensing board, after a preliminary inquiry that
37.9	includes notification and an opportunity for the nurse to respond, if required by state law,
37.10	has reason to believe is not groundless and, if proved true, would indicate more than a minor
37.11	infraction; or
37.12	(2) investigative information that indicates that the nurse represents an immediate threat
37.13	to public health and safety, regardless of whether the nurse has been notified and had an
37.14	opportunity to respond.
37.15	(e) "Encumbrance" means a revocation or suspension of, or any limitation on, the full
37.16	and unrestricted practice of nursing imposed by a licensing board.
37.17	(f) "Home state" means the party state that is the nurse's primary state of residence.
37.18	(g) "Licensing board" means a party state's regulatory body responsible for issuing nurse
37.19	licenses.
37.20	(h) "Multistate license" means a license to practice as a registered or a licensed
37.21	practical/vocational nurse (LPN/VN) issued by a home state licensing board that authorizes
37.22	the licensed nurse to practice in all party states under a multistate licensure privilege.
37.23	(i) "Multistate licensure privilege" means a legal authorization associated with a multistate
37.24	license permitting the practice of nursing as either a registered nurse (RN) or LPN/VN in
37.25	a remote state.
37.26	(j) "Nurse" means an RN or LPN/VN, as those terms are defined by each party state's
37.27	practice laws.
37.28	(k) "Party state" means any state that has adopted this compact.
37.29	(l) "Remote state" means a party state other than the home state.
37.30	(m) "Single-state license" means a nurse license issued by a party state that authorizes
37.31	practice only within the issuing state and does not include a multistate licensure privilege
37.32	to practice in any other party state.

38.1	(n) "State" means a state, territory, or possession of the United States and the District
38.2	of Columbia.
38.3	(o) "State practice laws" means a party state's laws, rules, and regulations that govern
38.4	the practice of nursing, define the scope of nursing practice, and create the methods and
38.5	grounds for imposing discipline. State practice laws do not include requirements necessary
38.6	to obtain and retain a license, except for qualifications or requirements of the home state.
38.7	ARTICLE 2
38.8	GENERAL PROVISIONS AND JURISDICTION
38.9	(a) A multistate license to practice registered or licensed practical/vocational nursing
38.10	issued by a home state to a resident in that state will be recognized by each party state as
38.11	authorizing a nurse to practice as an RN or LPN/VN under a multistate licensure privilege
38.12	in each party state.
38.13	(b) A state must implement procedures for considering the criminal history records of
38.14	applicants for initial multistate license or licensure by endorsement. The procedures shall
38.15	include the submission of fingerprints or other biometric-based information by applicants
38.16	for the purpose of obtaining an applicant's criminal history record information from the
38.17	Federal Bureau of Investigation and the agency responsible for retaining that state's criminal
38.18	records.
38.19	(c) Each party state shall require the following for an applicant to obtain or retain a
38.20	multistate license in the home state:
38.21	(1) meets the home state's qualifications for licensure or renewal of licensure, as well
38.22	as all other applicable state laws;
38.23	(2)(i) has graduated or is eligible to graduate from a licensing board-approved RN or
38.24	LPN/VN prelicensure education program; or
38.25	(ii) has graduated from a foreign RN or LPN/VN prelicensure education program that:
38.26	(A) has been approved by the authorized accrediting body in the applicable country; and
38.27	(B) has been verified by an independent credentials review agency to be comparable to
38.28	a licensing board-approved prelicensure education program;
38.29	(3) has, if a graduate of a foreign prelicensure education program not taught in English
38.30	or if English is not the individual's native language, successfully passed an English
38.31	proficiency examination that includes the components of reading, speaking, writing, and
38.32	listening;

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39.1	(4) has successfully passed an NCLEX-RN or NCLEX-PN Examination or recognized
39.2	predecessor, as applicable;
39.3	(5) is eligible for or holds an active, unencumbered license;
39.4	(6) has submitted, in connection with an application for initial licensure or licensure by
39.5	endorsement, fingerprints or other biometric data for the purpose of obtaining criminal
39.6	history record information from the Federal Bureau of Investigation and the agency
39.7	responsible for retaining that state's criminal records;
39.8	(7) has not been convicted or found guilty, or has entered into an agreed disposition, of
39.9	a felony offense under applicable state or federal criminal law;
39.10	(8) has not been convicted or found guilty, or has entered into an agreed disposition, of
39.11	a misdemeanor offense related to the practice of nursing as determined on a case-by-case
39.12	basis;
39.13	(9) is not currently enrolled in an alternative program;
39.14	(10) is subject to self-disclosure requirements regarding current participation in an
39.15	alternative program; and
39.16	(11) has a valid United States Social Security number.
39.17	(d) All party states shall be authorized, in accordance with existing state due process
39.18	law, to take adverse action against a nurse's multistate licensure privilege such as revocation,
39.19	suspension, probation, or any other action that affects a nurse's authorization to practice
39.20	under a multistate licensure privilege, including cease and desist actions. If a party state
39.21	takes such action, it shall promptly notify the administrator of the coordinated licensure
39.22	information system. The administrator of the coordinated licensure information system shall
39.23	promptly notify the home state of any such actions by remote states.
39.24	(e) A nurse practicing in a party state must comply with the state practice laws of the
39.25	state in which the client is located at the time service is provided. The practice of nursing
39.26	is not limited to patient care, but shall include all nursing practice as defined by the state
39.27	practice laws of the party state in which the client is located. The practice of nursing in a
39.28	party state under a multistate licensure privilege shall subject a nurse to the jurisdiction of
39.29	the licensing board, the courts, and the laws of the party state in which the client is located

(f) Individuals not residing in a party state shall continue to be able to apply for a party state's single-state license as provided under the laws of each party state. However, the single-state license granted to these individuals will not be recognized as granting the

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at the time service is provided.

privilege to practice nursing in any other party state. Nothing in this compact shall affect the requirements established by a party state for the issuance of a single-state license.

- (g) Any nurse holding a home state multistate license, on the effective date of this compact, may retain and renew the multistate license issued by the nurse's then-current home state, provided that:
- (1) a nurse, who changes primary state of residence after this compact's effective date, must meet all applicable paragraph (c) requirements to obtain a multistate license from a new home state; or
- (2) a nurse who fails to satisfy the multistate licensure requirements in paragraph (c) due to a disqualifying event occurring after this compact's effective date shall be ineligible to retain or renew a multistate license, and the nurse's multistate license shall be revoked or deactivated in accordance with applicable rules adopted by the Interstate Commission of Nurse Licensure Compact Administrators ("Commission").

40.14 ARTICLE 3

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### APPLICATIONS FOR LICENSURE IN A PARTY STATE

- (a) Upon application for a multistate license, the licensing board in the issuing party state shall ascertain, through the coordinated licensure information system, whether the applicant has ever held or is the holder of a license issued by any other state, whether there are any encumbrances on any license or multistate licensure privilege held by the applicant, whether any adverse action has been taken against any license or multistate licensure privilege held by the applicant, and whether the applicant is currently participating in an alternative program.
- (b) A nurse may hold a multistate license issued by the home state in only one party state at a time.
- 40.25 (c) If a nurse changes primary state of residence by moving between two party states,
  40.26 the nurse must apply for licensure in the new home state, and the multistate license issued
  40.27 by the prior home state will be deactivated in accordance with applicable rules adopted by
  40.28 the commission:
  - (1) the nurse may apply for licensure in advance of a change in primary state of residence; and
- 40.31 (2) a multistate license shall not be issued by the new home state until the nurse provides
  40.32 satisfactory evidence of a change in primary state of residence to the new home state and
  40.33 satisfies all applicable requirements to obtain a multistate license from the new home state.

(d) If a nurse changes primary state of residence by moving from a party state to a 41.1 nonparty state, the multistate license issued by the prior home state will convert to a 41.2 41.3 single-state license, valid only in the former home state. ARTICLE 4 41.4 ADDITIONAL AUTHORITIES INVESTED IN PARTY STATE LICENSING BOARDS 41.5 (a) In addition to the other powers conferred by state law, a licensing board shall have 41.6 41.7 the authority to: (1) take adverse action against a nurse's multistate licensure privilege to practice within 41.8 41.9 that party state: 41.10 (i) only the home state shall have the power to take adverse action against a nurse's license issued by the home state; and 41.11 (ii) for purposes of taking adverse action, the home state licensing board shall give the 41.12 same priority and effect to reported conduct received from a remote state as it would if the 41.13 conduct occurred within the home state. In so doing, the home state shall apply its own state 41.14 41.15 laws to determine appropriate action; (2) issue cease and desist orders or impose an encumbrance on a nurse's authority to 41.16 41.17 practice within that party state; (3) complete any pending investigations of a nurse who changes primary state of residence 41.18 during the course of the investigations. The licensing board shall also have the authority to 41.19 take appropriate action and shall promptly report the conclusions of the investigations to 41.20 the administrator of the coordinated licensure information system. The administrator of the 41.21 coordinated licensure information system shall promptly notify the new home state of any 41.22 such actions; 41.23 (4) issue subpoenas for hearings and investigations that require the attendance and 41.24 testimony of witnesses, as well as the production of evidence. Subpoenas issued by a licensing 41.25 board in a party state for the attendance and testimony of witnesses or the production of 41.26 41.27 evidence from another party state shall be enforced in the latter state by any court of competent jurisdiction according to the practice and procedure of that court applicable to 41.28 subpoenas issued in proceedings pending before it. The issuing authority shall pay any 41.29 witness fees, travel expenses, mileage, and other fees required by the service statutes of the 41.30 state in which the witnesses or evidence are located; 41.31 (5) obtain and submit, for each nurse licensure applicant, fingerprint or other 41.32

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biometric-based information to the Federal Bureau of Investigation for criminal background

nonpublic or confidential under state law.

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applications, including the reasons for the denials, and nurse participation in alternative

programs known to the licensing board, regardless of whether the participation is deemed

43.1	(d) Current significant investigative information and participation in nonpublic or
43.2	confidential alternative programs shall be transmitted through the coordinated licensure
43.3	information system only to party state licensing boards.
43.4	(e) Notwithstanding any other provision of law, all party state licensing boards
43.5	contributing information to the coordinated licensure information system may designate
43.6	information that shall not be shared with nonparty states or disclosed to other entities or
43.7	individuals without the express permission of the contributing state.
43.8	(f) Any personally identifiable information obtained from the coordinated licensure
43.9	information system by a party state licensing board shall not be shared with nonparty states
43.10	or disclosed to other entities or individuals except to the extent permitted by the laws of the
43.11	party state contributing the information.
43.12	(g) Any information contributed to the coordinated licensure information system that is
43.13	subsequently required to be expunged by the laws of the party state contributing that
43.14	information shall also be expunged from the coordinated licensure information system.
43.15	(h) The compact administrator of each party state shall furnish a uniform data set to the
43.16	compact administrator of each other party state, which shall include, at a minimum:
43.17	(1) identifying information;
43.18	(2) licensure data;
43.19	(3) information related to alternative program participation; and
43.20	(4) other information that may facilitate the administration of this compact, as determined
43.21	by commission rules.
43.22	(i) The compact administrator of a party state shall provide all investigative documents
43.23	and information requested by another party state.
43.24	ARTICLE 6
43.25	ESTABLISHMENT OF THE INTERSTATE COMMISSION OF NURSE LICENSURE
43.26	COMPACT ADMINISTRATORS
43.27	(a) The party states hereby create and establish a joint public entity known as the Interstate
43.28	Commission of Nurse Licensure Compact Administrators:
43.29	(1) the commission is an instrumentality of the party states;
43.30	(2) venue is proper, and judicial proceedings by or against the commission shall be
43.31	brought solely and exclusively in a court of competent jurisdiction where the principal office

44.1	of the commission is located. The commission may waive venue and jurisdictional defenses
44.2	to the extent it adopts or consents to participate in alternative dispute resolution proceedings;
44.3	<u>and</u>
44.4	(3) nothing in this compact shall be construed to be a waiver of sovereign immunity.
44.5	(b) Membership, voting, and meetings:
44.6	(1) each party state shall have and be limited to one administrator. The head of the state
44.7	licensing board or designee shall be the administrator of this compact for each party state.
44.8	Any administrator may be removed or suspended from office as provided by the laws of
44.9	the state from which the administrator is appointed. Any vacancy occurring in the commission
44.10	shall be filled in accordance with the laws of the party state in which the vacancy exists;
44.11	(2) each administrator shall be entitled to one vote with regard to the promulgation of
44.12	rules and creation of bylaws and shall otherwise have an opportunity to participate in the
44.13	business and affairs of the commission. An administrator shall vote in person or by such
44.14	other means as provided in the bylaws. The bylaws may provide for an administrator's
44.15	participation in meetings by telephone or other means of communication;
44.16	(3) the commission shall meet at least once during each calendar year. Additional
44.17	meetings shall be held as set forth in the bylaws or rules of the commission;
44.18	(4) all meetings shall be open to the public, and public notice of meetings shall be given
44.19	in the same manner as required under the rulemaking provisions in article 7;
44.20	(5) the commission may convene in a closed, nonpublic meeting if the commission must
44.21	discuss:
44.22	(i) noncompliance of a party state with its obligations under this compact;
44.23	(ii) the employment, compensation, discipline, or other personnel matters, practices, or
44.24	procedures related to specific employees or other matters related to the commission's internal
44.25	personnel practices and procedures;
44.26	(iii) current, threatened, or reasonably anticipated litigation;
44.27	(iv) negotiation of contracts for the purchase or sale of goods, services, or real estate;
44.28	(v) accusing any person of a crime or formally censuring any person;
44.29	(vi) disclosure of trade secrets or commercial or financial information that is privileged
44.30	or confidential;

(vii) disclosure of information of a personal nature where disclosure would constitute a 45.1 clearly unwarranted invasion of personal privacy; 45.2 (viii) disclosure of investigatory records compiled for law enforcement purposes; 45.3 45.4 (ix) disclosure of information related to any reports prepared by or on behalf of the 45.5 commission for the purpose of investigation of compliance with this compact; or (x) matters specifically exempted from disclosure by federal or state statute; and 45.6 45.7 (6) if a meeting or portion of a meeting is closed pursuant to this provision, the commission's legal counsel or designee shall certify that the meeting may be closed and 45.8 shall reference each relevant exempting provision. The commission shall keep minutes that 45.9 fully and clearly describe all matters discussed in a meeting and shall provide a full and 45.10 accurate summary of actions taken and the reasons therefore, including a description of the 45.11 views expressed. All documents considered in connection with an action shall be identified 45.12 in the minutes. All minutes and documents of a closed meeting shall remain under seal, 45.13 subject to release by a majority vote of the commission or order of a court of competent 45.14 jurisdiction. 45.15 (c) The commission shall, by a majority vote of the administrators, prescribe bylaws or 45.16 rules to govern its conduct as may be necessary or appropriate to carry out the purposes and 45.17 exercise the powers of this compact, including but not limited to: 45.18 (1) establishing the fiscal year of the commission; 45.19 (2) providing reasonable standards and procedures: 45.20 (i) for the establishment and meetings of other committees; and 45.21 (ii) governing any general or specific delegation of any authority or function of the 45.22 commission; 45.23 45.24 (3) providing reasonable procedures for calling and conducting meetings of the commission, ensuring reasonable advance notice of all meetings and providing an opportunity 45.25 for attendance of the meetings by interested parties, with enumerated exceptions designed 45.26 to protect the public's interest, the privacy of individuals, and proprietary information, 45.27 including trade secrets. The commission may meet in closed session only after a majority 45.28 45.29 of the administrators vote to close a meeting in whole or in part. As soon as practicable, the commission must make public a copy of the vote to close the meeting revealing the vote of 45.30 45.31 each administrator, with no proxy votes allowed;

16.1	(4) establishing the titles, duties, and authority and reasonable procedures for the election
16.2	of the officers of the commission;
16.3	(5) providing reasonable standards and procedures for the establishment of the personnel
16.4	policies and programs of the commission. Notwithstanding any civil service or other similar
16.5	laws of any party state, the bylaws shall exclusively govern the personnel policies and
16.6	programs of the commission; and
16.7	(6) providing a mechanism for winding up the operations of the commission and the
16.8	equitable disposition of any surplus funds that may exist after the termination of this compact
16.9	after the payment or reserving of all of its debts and obligations.
46.10	(d) The commission shall publish its bylaws, rules, and any amendments in a convenient
46.11	form on the website of the commission.
16.12	(e) The commission shall maintain its financial records in accordance with the bylaws.
16.13	(f) The commission shall meet and take actions consistent with the provisions of this
16.14	compact and the bylaws.
46.15	(g) The commission shall have the following powers:
16.16	(1) to promulgate uniform rules to facilitate and coordinate implementation and
16.17	administration of this compact. The rules shall have the force and effect of law and shall
16.18	be binding in all party states;
16.19	(2) to bring and prosecute legal proceedings or actions in the name of the commission,
16.20	provided that the standing of any licensing board to sue or be sued under applicable law
16.21	shall not be affected;
16.22	(3) to purchase and maintain insurance and bonds;
16.23	(4) to borrow, accept, or contract for services of personnel, including but not limited to
16.24	employees of a party state or nonprofit organizations;
16.25	(5) to cooperate with other organizations that administer state compacts related to the
16.26	regulation of nursing, including but not limited to sharing administrative or staff expenses,
16.27	office space, or other resources;
16.28	(6) to hire employees, elect or appoint officers, fix compensation, define duties, grant
16.29	such individuals appropriate authority to carry out the purposes of this compact, and establish
16.30	the commission's personnel policies and programs relating to conflicts of interest,
16.31	qualifications of personnel, and other related personnel matters;

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<u>(7)</u>	to accept any and all appropriate donations, grants, and gifts of money, equipment,
suppli	es, materials, and services, and to receive, utilize, and dispose of the same; provided
that at	all times the commission shall avoid any appearance of impropriety or conflict of
intere	st;
<u>(8)</u>	) to lease, purchase, accept appropriate gifts or donations of, or otherwise to own,
hold,	improve, or use any property, whether real, personal, or mixed; provided that at all
times	the commission shall avoid any appearance of impropriety;
<u>(9)</u>	to sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose
of any	property, whether real, personal, or mixed;
<u>(10</u>	0) to establish a budget and make expenditures;
<u>(1</u>	1) to borrow money;
<u>(12</u>	2) to appoint committees, including advisory committees comprised of administrators,
state 1	nursing regulators, state legislators or their representatives, and consumer
repres	sentatives, and other such interested persons;
<u>(1.</u>	3) to provide and receive information from, and to cooperate with, law enforcement
agenc	ies;
<u>(1</u> 4	4) to adopt and use an official seal; and
<u>(1:</u>	5) to perform other functions as may be necessary or appropriate to achieve the purposes
of this	s compact consistent with the state regulation of nurse licensure and practice.
<u>(h)</u>	Financing of the commission:
<u>(1</u> )	) the commission shall pay or provide for the payment of the reasonable expenses of
its est	ablishment, organization, and ongoing activities;
<u>(2)</u>	) the commission may also levy on and collect an annual assessment from each party
state t	o cover the cost of its operations, activities, and staff in its annual budget as approved
each y	year. The aggregate annual assessment amount, if any, shall be allocated based on a
formu	la to be determined by the commission, which shall promulgate a rule that is binding
upon	all party states;
<u>(3)</u>	the commission shall not incur obligations of any kind prior to securing the funds
adequ	ate to meet the same; nor shall the commission pledge the credit of any of the party
states,	except by and with the authority of the party state; and
<u>(4)</u>	) the commission shall keep accurate accounts of all receipts and disbursements. The
receip	ts and disbursements of the commission shall be subject to the audit and accounting

procedures established under its bylaws. However, all receipts and disbursements of funds handled by the commission shall be audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the commission.

## (i) Qualified immunity, defense, and indemnification:

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(1) the administrators, officers, executive director, employees, and representatives of the commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred, within the scope of commission employment, duties, or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit or liability for any damage, loss, injury, or liability caused by the intentional, willful, or wanton misconduct of that person;

(2) the commission shall defend any administrator, officer, executive director, employee, or representative of the commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining the person's counsel; and provided further that the actual or alleged act, error, or omission did not result from that person's intentional, willful, or wanton misconduct; and

(3) the commission shall indemnify and hold harmless any administrator, officer, executive director, employee, or representative of the commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or that the person had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional, willful, or wanton misconduct of that person.

48.32 ARTICLE 7

48.33 RULEMAKING

49.1	(a) The commission shall exercise its rulemaking powers pursuant to this article and the
49.2	rules adopted thereunder. Rules and amendments shall become binding as of the date
49.3	specified in each rule or amendment and shall have the same force and effect as provisions
49.4	of this compact.
49.5	(b) Rules or amendments to the rules shall be adopted at a regular or special meeting of
49.6	the commission.
49.7	(c) Prior to promulgation and adoption of a final rule or rules by the commission, and
49.8	at least 60 days in advance of the meeting at which the rule will be considered and voted
49.9	on, the commission shall file a notice of proposed rulemaking:
49.10	(1) on the website of the commission; and
49.11	(2) on the website of each licensing board or the publication in which the state would
49.12	otherwise publish proposed rules.
49.13	(d) The notice of proposed rulemaking shall include:
49.14	(1) the proposed time, date, and location of the meeting in which the rule will be
49.15	considered and voted on;
49.16	(2) the text of the proposed rule or amendment, and the reason for the proposed rule;
49.17	(3) a request for comments on the proposed rule from any interested person; and
49.18	(4) the manner in which interested persons may submit notice to the commission of their
49.19	intention to attend the public hearing and any written comments.
49.20	(e) Prior to adoption of a proposed rule, the commission shall allow persons to submit
49.21	written data, facts, opinions, and arguments that shall be made available to the public.
49.22	(f) The commission shall grant an opportunity for a public hearing before it adopts a
49.23	rule or amendment.
49.24	(g) The commission shall publish the place, time, and date of the scheduled public
49.25	hearing:
49.26	(1) hearings shall be conducted in a manner providing each person who wishes to
49.27	comment a fair and reasonable opportunity to comment orally or in writing. All hearings
49.28	will be recorded and a copy will be made available upon request; and
49.29	(2) nothing in this section shall be construed as requiring a separate hearing on each
49.30	rule. Rules may be grouped for the convenience of the commission at hearings required by
49.31	this section.

50.1	(h) If no person appears at the public hearing, the commission may proceed with
50.2	promulgation of the proposed rule.
50.3	(i) Following the scheduled hearing date or by the close of business on the scheduled
50.4	hearing date if the hearing was not held, the commission shall consider all written and oral
50.5	comments received.
50.6	(j) The commission shall, by majority vote of all administrators, take final action on the
50.7	proposed rule and shall determine the effective date of the rule, if any, based on the
50.8	rulemaking record and the full text of the rule.
50.9	(k) Upon determination that an emergency exists, the commission may consider and
50.10	adopt an emergency rule without prior notice or opportunity for comment or hearing,
50.11	provided that the usual rulemaking procedures provided in this compact and in this section
50.12	shall be retroactively applied to the rule as soon as reasonably possible, in no event later
50.13	than 90 days after the effective date of the rule. For the purposes of this provision, an
50.14	emergency rule is one that must be adopted immediately in order to:
50.15	(1) meet an imminent threat to public health, safety, or welfare;
50.16	(2) prevent a loss of commission or party state funds; or
50.17	(3) meet a deadline for the promulgation of an administrative rule that is required by
50.18	federal law or rule.
50.19	(l) The commission may direct revisions to a previously adopted rule or amendment for
50.20	purposes of correcting typographical errors, errors in format, errors in consistency, or
50.21	grammatical errors. Public notice of any revisions shall be posted on the website of the
50.22	commission. The revision shall be subject to challenge by any person for a period of 30
50.23	days after posting. The revision may be challenged only on grounds that the revision results
50.24	in a material change to a rule. A challenge shall be made in writing and delivered to the
50.25	commission before the end of the notice period. If no challenge is made, the revision will
50.26	take effect without further action. If the revision is challenged, the revision shall not take
50.27	effect without the approval of the commission.
50.28	ARTICLE 8
50.29	OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT
50.30	(a) Oversight:
50.31	(1) each party state shall enforce this compact and take all actions necessary and
50.32	appropriate to effectuate this compact's purposes and intent; and

(2) the commission shall be entitled to receive service of process in any proceeding that may affect the powers, responsibilities, or actions of the commission and shall have standing to intervene in such a proceeding for all purposes. Failure to provide service of process in the proceeding to the commission shall render a judgment or order void as to the commission, this compact, or promulgated rules.
 (b) Default, technical assistance, and termination:

- (1) if the commission determines that a party state has defaulted in the performance of its obligations or responsibilities under this compact or the promulgated rules, the commission shall:
- (i) provide written notice to the defaulting state and other party states of the nature of
  the default, the proposed means of curing the default, or any other action to be taken by the
  commission; and
  - (ii) provide remedial training and specific technical assistance regarding the default;
  - (2) if a state in default fails to cure the default, the defaulting state's membership in this compact may be terminated upon an affirmative vote of a majority of the administrators, and all rights, privileges, and benefits conferred by this compact may be terminated on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default;
  - (3) termination of membership in this compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the commission to the governor of the defaulting state and to the executive officer of the defaulting state's licensing board and each of the party states;
  - (4) a state whose membership in this compact has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination;
  - (5) the commission shall not bear any costs related to a state that is found to be in default or whose membership in this compact has been terminated, unless agreed upon in writing between the commission and the defaulting state; and
- (6) the defaulting state may appeal the action of the commission by petitioning the U.S.
   District Court for the District of Columbia or the federal district in which the commission
   has its principal offices. The prevailing party shall be awarded all costs of the litigation,
   including reasonable attorney fees.
  - (c) Dispute resolution:

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party state has withdrawn from the prior compact.

53.1	(c) Any party state may withdraw from this compact by legislative enactment. A party
53.2	state's withdrawal shall not take effect until six months after enactment of the repealing
53.3	statute.
53.4	(d) A party state's withdrawal or termination shall not affect the continuing requirement
53.5	of the withdrawing or terminated state's licensing board to report adverse actions and
53.6	significant investigations occurring prior to the effective date of the withdrawal or
53.7	termination.
53.8	(e) Nothing in this compact shall be construed to invalidate or prevent any nurse licensure
53.9	agreement or other cooperative arrangement between a party state and a nonparty state that
53.10	is made in accordance with the other provisions of this compact.
53.11	(f) This compact may be amended by the party states. No amendment to this compact
53.12	shall become effective and binding upon the party states unless and until it is enacted into
53.13	the laws of all party states.
53.14	(g) Representatives of nonparty states to this compact shall be invited to participate in
53.15	the activities of the commission on a nonvoting basis prior to the adoption of this compact
53.16	by all states.
53.17	ARTICLE 10
53.18	CONSTRUCTION AND SEVERABILITY
53.19	This compact shall be liberally construed so as to effectuate the purposes thereof. This
53.20	compact shall be severable, and if any phrase, clause, sentence, or provision of this compact
53.21	is declared to be contrary to the constitution of any party state or of the United States, or if
53.22	the applicability thereof to any government, agency, person, or circumstance is held invalid,
53.23	the validity of the remainder of this compact and the applicability thereof to any government,
53.24	agency, person, or circumstance shall not be affected thereby. If this compact is held to be
53.25	contrary to the constitution of any party state, this compact shall remain in full force and
53.26	effect for the remaining party states and in full force and effect for the party state affected
53.27	as to all severable matters.
53.28	Sec. 12. [148.2856] APPLICATION OF NURSE LICENSURE COMPACT TO
53.29	EXISTING LAWS.
53.30	(a) Section 148.2855 does not supersede existing state labor laws.

be adjudicated following the procedures in sections 14.50 to 14.62 and must be subject to	to
the judicial review provided for in sections 14.63 to 14.69.	

- (c) The board may take action against an individual's multistate privilege based on the grounds listed in section 148.261, subdivision 1, and any other statute authorizing or requiring the board to take corrective or disciplinary action.
- (d) The board may take all forms of disciplinary action provided in section 148.262, subdivision 1, and corrective action provided in section 214.103, subdivision 6, against an individual's multistate privilege.
- 54.10 (e) The cooperation requirements of section 148.265 apply to individuals who practice 54.11 professional or practical nursing in Minnesota under section 148.2855.
- (f) Complaints against individuals who practice professional or practical nursing in
  Minnesota under section 148.2855 must be addressed according to sections 214.10 and
  214.103.

# Sec. 13. [148.5185] AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY INTERSTATE COMPACT.

## Section 1. Definitions

- As used in this Compact, and except as otherwise provided, the following definitions shall apply:
- A. "Active duty military" means full-time duty status in the active uniformed service of
  the United States, including members of the National Guard and Reserve on active duty
  orders pursuant to 10 U.S.C. sections 1209 and 1211.
- B. "Adverse action" means any administrative, civil, equitable, or criminal action
  permitted by a state's laws which is imposed by a licensing board or other authority against
  an audiologist or speech-language pathologist, including actions against an individual's
  license or privilege to practice such as revocation, suspension, probation, monitoring of the
  licensee, or restriction on the licensee's practice.
- 54.28 <u>C. "Alternative program" means a non-disciplinary monitoring process approved by an</u> 54.29 audiology or speech-language pathology licensing board to address impaired practitioners.
- D. "Audiologist" means an individual who is licensed by a state to practice audiology.
- 54.31 <u>E. "Audiology" means the care and services provided by a licensed audiologist as set</u> 54.32 forth in the member state's statutes and rules.

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55.1	F. "Audiology and Speech-Language Pathology Compact Commission" or "Commission"
55.2	means the national administrative body whose membership consists of all states that have
55.3	enacted the Compact.
55.4	G. "Audiology and speech-language pathology licensing board," "audiology licensing
55.5	board," "speech-language pathology licensing board," or "licensing board" means the agency
55.6	of a state that is responsible for the licensing and regulation of audiologists or
55.7	speech-language pathologists or both.
55.8	H. "Compact privilege" means the authorization granted by a remote state to allow a
55.9	licensee from another member state to practice as an audiologist or speech-language
55.10	pathologist in the remote state under its laws and rules. The practice of audiology or
55.11	speech-language pathology occurs in the member state where the patient, client, or student
55.12	is located at the time of the patient, client, or student encounter.
55.13	I. "Current significant investigative information" means investigative information that
55.14	a licensing board, after an inquiry or investigation that includes notification and an
55.15	opportunity for the audiologist or speech-language pathologist to respond, if required by
55.16	state law, has reason to believe is not groundless and, if proved true, would indicate more
55.17	than a minor infraction.
55.18	J. "Data system" means a repository of information about licensees, including, but not
55.19	limited to, continuing education, examination, licensure, investigation, compact privilege,
55.20	and adverse action.
55.21	K. "Encumbered license" means a license in which an adverse action restricts the practice
55.22	of audiology or speech-language pathology by the licensee and said adverse action has been
55.23	reported to the National Practitioners Data Bank (NPDB).
55.24	L. "Executive Committee" means a group of directors elected or appointed to act on
55.25	behalf of, and within the powers granted to them by, the Commission.
55.26	M. "Home state" means the member state that is the licensee's primary state of residence.
55.27	N. "Impaired practitioner" means individuals whose professional practice is adversely
55.28	affected by substance abuse, addiction, or other health-related conditions.
55.29	O. "Licensee" means an individual who currently holds an authorization from the state
55.30	licensing board to practice as an audiologist or speech-language pathologist.
55.31	P. "Member state" means a state that has enacted the Compact.

	Q. "Privilege to practice" means a legal authorization permitting the practice of audiology
<u>C</u>	or speech-language pathology in a remote state.
	R. "Remote state" means a member state other than the home state where a licensee is
6	exercising or seeking to exercise the compact privilege.
	S. "Rule" means a regulation, principle, or directive promulgated by the Commission
t	hat has the force of law.
	T. "Single-state license" means an audiology or speech-language pathology license
i	ssued by a member state that authorizes practice only within the issuing state and does not
<u>i</u>	nclude a privilege to practice in any other member state.
	U. "Speech-language pathologist" means an individual who is licensed by a state to
r	practice speech-language pathology.
_	
_	V. "Speech-language pathology" means the care and services provided by a licensed
S	peech-language pathologist as set forth in the member state's statutes and rules.
	W. "State" means any state, commonwealth, district, or territory of the United States of
	America that regulates the practice of audiology and speech-language pathology.
	X. "State practice laws" means a member state's laws, rules, and regulations that govern
t	he practice of audiology or speech-language pathology, define the scope of audiology or
3	peech-language pathology practice, and create the methods and grounds for imposing
C	liscipline.
	Y. "Telehealth" means the application of telecommunication technology to deliver
а	audiology or speech-language pathology services at a distance for assessment, intervention,
C	or consultation.
	Section 2. State Participation in the Compact
	A. A license issued to an audiologist or speech-language pathologist by a home state to
8	resident in that state shall be recognized by each member state as authorizing an audiologist
C	or speech-language pathologist to practice audiology or speech-language pathology, under
8	privilege to practice, in each member state.
	B. A state must implement or utilize procedures for considering the criminal history
r	ecords of applicants for initial privilege to practice. These procedures shall include the
S	submission of fingerprints or other biometric-based information by applicants for the purpose
	of obtaining an applicant's criminal history record information from the Federal Bureau of
I	nvestigation and the agency responsible for retaining that state's criminal records.

1. A member state must fully implement a criminal background check requirement,
within a time frame established by rule, by receiving the results of the Federal Bureau of
Investigation record search on criminal background checks and use the results in making
licensure decisions.
2. Communication between a member state and the Commission and among member
states regarding the verification of eligibility for licensure through the Compact shall not
include any information received from the Federal Bureau of Investigation relating to a
federal criminal records check performed by a member state under Public Law 92-544.
rederal criminal records check performed by a member state under 1 ubite Law 92-344.
C. Upon application for a privilege to practice, the licensing board in the issuing remote
state shall ascertain, through the data system, whether the applicant has ever held, or is the
holder of, a license issued by any other state, whether there are any encumbrances on any
license or privilege to practice held by the applicant, and whether any adverse action has
been taken against any license or privilege to practice held by the applicant.
D. Each member state shall require an applicant to obtain or retain a license in the home
state and meet the home state's qualifications for licensure or renewal of licensure, as well
as all other applicable state laws.
E. For an audiologist:
1. Must meet one of the following educational requirements:
a. On or before December 31, 2007, has graduated with a master's degree or doctoral
degree in audiology, or equivalent degree regardless of degree name, from a program that
is accredited by an accrediting agency recognized by the Council for Higher Education
Accreditation, or its successor, or by the United States Department of Education and operated
by a college or university accredited by a regional or national accrediting organization
recognized by the board; or
b. On or after January 1, 2008, has graduated with a doctoral degree in audiology, or
equivalent degree regardless of degree name, from a program that is accredited by an
accrediting agency recognized by the Council for Higher Education Accreditation, or its
successor, or by the United States Department of Education and operated by a college or
university accredited by a regional or national accrediting organization recognized by the
board; or
c. Has graduated from an audiology program that is housed in an institution of higher
education outside of the United States (a) for which the program and institution have been
approved by the authorized accrediting body in the applicable country and (b) the degree

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of a felony related to the practice of speech-language pathology, under applicable state or federal criminal law; and

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6. Has not been convicted or found guilty, and has not entered into an agreed disposition,

7. Has a valid United States Social Security or National Practitioner Identification

59.2	number.
59.3	G. The privilege to practice is derived from the home state license.
59.4	H. An audiologist or speech-language pathologist practicing in a member state must
59.5	comply with the state practice laws of the state in which the client is located at the time
59.6	service is provided. The practice of audiology and speech-language pathology shall include
59.7	all audiology and speech-language pathology practice as defined by the state practice laws
59.8	of the member state in which the client is located. The practice of audiology and
59.9	speech-language pathology in a member state under a privilege to practice shall subject an
59.10	audiologist or speech-language pathologist to the jurisdiction of the licensing board, the
59.11	courts and the laws of the member state in which the client is located at the time service is
59.12	provided.
59.13	I. Individuals not residing in a member state shall continue to be able to apply for a
59.14	member state's single-state license as provided under the laws of each member state.
59.15	However, the single-state license granted to these individuals shall not be recognized as
59.16	granting the privilege to practice audiology or speech-language pathology in any other
59.17	member state. Nothing in this Compact shall affect the requirements established by a member
59.18	state for the issuance of a single-state license.
59.19	J. Member states may charge a fee for granting a compact privilege.
59.20	K. Member states must comply with the bylaws and rules and regulations of the
59.21	Commission.
59.22	Section 3. Compact Privilege
59.23	A. To exercise the compact privilege under the terms and provisions of the Compact,
59.24	the audiologist or speech-language pathologist shall:
59.25	1. Hold an active license in the home state;
59.26	2. Have no encumbrance on any state license;
59.27	3. Be eligible for a compact privilege in any member state in accordance with Section
59.28	<u>2;</u>
59.29	4. Have not had any adverse action against any license or compact privilege within the
59.30	previous two years from date of application;
59.31	5. Notify the Commission that the licensee is seeking the compact privilege within a
50 32	remote state(s):

60.1	6. Pay any applicable fees, including any state fee, for the compact privilege; and
60.2	7. Report to the Commission adverse action taken by any non-member state within 30
60.3	days from the date the adverse action is taken.
60.4	B. For the purposes of the compact privilege, an audiologist or speech-language
60.5	pathologist shall only hold one home state license at a time.
60.6	C. Except as provided in Section 5, if an audiologist or speech-language pathologist
60.7	changes primary state of residence by moving between two member states, the audiologist
60.8	or speech-language pathologist must apply for licensure in the new home state, and the
60.9	license issued by the prior home state shall be deactivated in accordance with applicable
60.10	rules adopted by the Commission.
60.11	D. The audiologist or speech-language pathologist may apply for licensure in advance
60.12	of a change in primary state of residence.
60.13	E. A license shall not be issued by the new home state until the audiologist or
60.14	speech-language pathologist provides satisfactory evidence of a change in primary state of
60.15	residence to the new home state and satisfies all applicable requirements to obtain a license
60.16	from the new home state.
60.17	F. If an audiologist or speech-language pathologist changes primary state of residence
60.18	by moving from a member state to a non-member state, the license issued by the prior home
60.19	state shall convert to a single-state license, valid only in the former home state.
60.20	G. The compact privilege is valid until the expiration date of the home state license. The
60.21	licensee must comply with the requirements of Section 3A to maintain the compact privilege
60.22	in the remote state.
60.23	H. A licensee providing audiology or speech-language pathology services in a remote
60.24	state under the compact privilege shall function within the laws and regulations of the remote
60.25	state.
60.26	I. A licensee providing audiology or speech-language pathology services in a remote
60.27	state is subject to that state's regulatory authority. A remote state may, in accordance with
60.28	due process and that state's laws, remove a licensee's compact privilege in the remote state
60.29	for a specific period of time, impose fines, or take any other necessary actions to protect
60.30	the health and safety of its citizens.
60.31	J. If a home state license is encumbered, the licensee shall lose the compact privilege in
60.32	any remote state until the following occur:

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witness fees, travel expenses, mileage and other fees required by the service statutes of the

state in which the witnesses or evidence are located.

B. Only the home state shall have the power to take adverse action against an audiologist's 62.1 or speech-language pathologist's license issued by the home state. 62.2 62.3 C. For purposes of taking adverse action, the home state shall give the same priority and effect to reported conduct received from a member state as it would if the conduct had 62.4 62.5 occurred within the home state. In so doing, the home state shall apply its own state laws 62.6 to determine appropriate action. D. The home state shall complete any pending investigations of an audiologist or 62.7 speech-language pathologist who changes primary state of residence during the course of 62.8 the investigations. The home state shall also have the authority to take appropriate action(s) 62.9 62.10 and shall promptly report the conclusions of the investigations to the administrator of the data system. The administrator of the data system shall promptly notify the new home state 62.11 of any adverse actions. 62.12 E. If otherwise permitted by state law, the member state may recover from the affected 62.13 audiologist or speech-language pathologist the costs of investigations and disposition of 62.14 cases resulting from any adverse action taken against that audiologist or speech-language 62.15 pathologist. 62.16F. The member state may take adverse action based on the factual findings of the remote 62.17 state, provided that the home state follows its own procedures for taking the adverse action. 62.18 G. Joint Investigations 62.19 62.20 1. In addition to the authority granted to a member state by its respective audiology or speech-language pathology practice act or other applicable state law, any member state may 62.21 participate with other member states in joint investigations of licensees. 62.22 2. Member states shall share any investigative, litigation, or compliance materials in 62.23 62.24 furtherance of any joint or individual investigation initiated under the Compact. H. If adverse action is taken by the home state against an audiologist's or speech-language 62.25 pathologist's license, the audiologist's or speech-language pathologist's privilege to practice 62.26 62.27 in all other member states shall be deactivated until all encumbrances have been removed from the state license. All home state disciplinary orders that impose adverse action against 62.28 an audiologist's or speech-language pathologist's license shall include a statement that the 62.29 audiologist's or speech-language pathologist's privilege to practice is deactivated in all 62.30

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member states during the pendency of the order.

I. If a member state takes adverse action, it shall promptly notify the administrator of
the data system. The administrator of the data system shall promptly notify the home state
of any adverse actions by remote states.
J. Nothing in this Compact shall override a member state's decision that participation i
an alternative program may be used in lieu of adverse action.
Section 7. Establishment of the Audiology and Speech-Language Pathology Compact
Commission
A. The Compact member states hereby create and establish a joint public agency know
s the Audiology and Speech-Language Pathology Compact Commission:
1. The Commission is an instrumentality of the Compact states.
2. Venue is proper and judicial proceedings by or against the Commission shall be
prought solely and exclusively in a court of competent jurisdiction where the principal offic
of the Commission is located. The Commission may waive venue and jurisdictional defense
o the extent it adopts or consents to participate in alternative dispute resolution proceedings
3. Nothing in this Compact shall be construed to be a waiver of sovereign immunity.
B. Membership, Voting, and Meetings
1. Each member state shall have two delegates selected by that member state's licensin
oard. The delegates shall be current members of the licensing board. One shall be an
udiologist and one shall be a speech-language pathologist.
2. An additional five delegates, who are either a public member or board administrate
from a state licensing board, shall be chosen by the Executive Committee from a pool of
ominees provided by the Commission at Large.
3. Any delegate may be removed or suspended from office as provided by the law of
the state from which the delegate is appointed.
4. The member state board shall fill any vacancy occurring on the Commission, withi
90 days.
5. Each delegate shall be entitled to one vote with regard to the promulgation of rules
and creation of bylaws and shall otherwise have an opportunity to participate in the busines
nd affairs of the Commission.
6. A delegate shall vote in person or by other means as provided in the bylaws. The
bylaws may provide for delegates' participation in meetings by telephone or other means
of communication.

64.1	7. The Commission shall meet at least once during each calendar year. Additional
64.2	meetings shall be held as set forth in the bylaws.
64.3	C. The Commission shall have the following powers and duties:
64.4	1. Establish the fiscal year of the Commission;
64.5	2. Establish bylaws;
64.6	3. Establish a Code of Ethics;
64.7	4. Maintain its financial records in accordance with the bylaws;
64.8	5. Meet and take actions as are consistent with the provisions of this Compact and the
64.9	bylaws;
64.10	6. Promulgate uniform rules to facilitate and coordinate implementation and
64.11	administration of this Compact. The rules shall have the force and effect of law and shall
64.12	be binding in all member states;
64.13	7. Bring and prosecute legal proceedings or actions in the name of the Commission,
64.14	provided that the standing of any state audiology or speech-language pathology licensing
64.15	board to sue or be sued under applicable law shall not be affected;
64.16	8. Purchase and maintain insurance and bonds;
64.17	9. Borrow, accept, or contract for services of personnel, including, but not limited to,
64.18	employees of a member state;
64.19	10. Hire employees, elect or appoint officers, fix compensation, define duties, grant
64.20	individuals appropriate authority to carry out the purposes of the Compact, and establish
64.21	the Commission's personnel policies and programs relating to conflicts of interest,
64.22	qualifications of personnel, and other related personnel matters;
64.23	11. Accept any and all appropriate donations and grants of money, equipment, supplies,
64.24	materials and services, and to receive, utilize and dispose of the same; provided that at all
64.25	times the Commission shall avoid any appearance of impropriety and/or conflict of interest;
64.26	12. Lease, purchase, accept appropriate gifts or donations of, or otherwise own, hold,
64.27	improve or use, any property, real, personal, or mixed; provided that at all times the
64.28	Commission shall avoid any appearance of impropriety;
64.29	13. Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of
64.30	any property real, personal, or mixed;
64.21	14 Establish a hudget and make expanditures:

	SF4198	REVISOR	DTT	S4198-1	1st Engrossment			
55.1	15. Borre	ow money;						
55.2	16. Appo	oint committees, incl	uding standing	committees composed	of members, and			
55.3	16. Appoint committees, including standing committees composed of members, and other interested persons as may be designated in this Compact and the bylaws;							
55.4	17. Provi	de and receive inforr	nation from, and	cooperate with, law en	aforcement agencies;			
55.5	18. Estab	olish and elect an Ex	ecutive Commit	tee; and				
65.6	19. Perfo	orm other functions ε	s may be necess	ary or appropriate to a	chieve the purposes			
65.7	of this Com	pact consistent with	the state regulat	ion of audiology and s	speech-language			
55.8	pathology li	censure and practice	<u>.</u>					
55.9	D. The E	Executive Committee	2					
55.10	The Exec	cutive Committee sh	all have the pow	ver to act on behalf of	the Commission			
55.11	according to	the terms of this Co	ompact.					
55.12	1. The E	xecutive Committee	shall be compos	sed of ten members:				
55.13	a. Seven	voting members wh	o are elected by	the Commission from	the current			
55.14	membership	of the Commission	<u>.</u>					
55.15	b. Two e	x-officios, consisting	g of one nonvoti	ng member from a rec	cognized national			
55.16	audiology pr	rofessional associati	on and one nonv	oting member from a	recognized national			
55.17	speech-lang	uage pathology asso	ciation; and					
55.18	c. One ex	x-officio, nonvoting	member from th	e recognized member	ship organization of			
55.19	the audiolog	gy and speech-langua	age pathology lic	censing boards.				
65.20	E. The ex	x-officio members s	hall be selected	by their respective org	anizations.			
55.21	1. The C	ommission may rem	ove any membe	r of the Executive Cor	mmittee as provided			
55.22	in bylaws.							
55.23	2. The E	xecutive Committee	shall meet at lea	ast annually.				
55.24	3. The E	xecutive Committee	shall have the fo	ollowing duties and re	sponsibilities:			
55.25	a. Recon	nmend to the entire (	Commission char	nges to the rules or byl	aws, changes to this			
65.26	Compact leg	gislation, fees paid b	y Compact mem	ber states such as ann	ual dues, and any			
65.27	commission	Compact fee charge	ed to licensees for	or the compact privileg	ge;			
65.28	b. Ensure	e Compact administr	ration services as	re appropriately provid	ded, contractual or			
55.29	otherwise;							

Article 3 Sec. 13.

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c. Prepare and recommend the budget;

- 5. The Commission or the Executive Committee or other committees of the Commission may convene in a closed, non-public meeting if the Commission or Executive Committee or other committees of the Commission must discuss: 66.11
- a. Non-compliance of a member state with its obligations under the Compact; 66.12
- b. The employment, compensation, discipline, or other matters, practices, or procedures 66.13 related to specific employees or other matters related to the Commission's internal personnel 66.14 practices and procedures; 66.15
- c. Current, threatened, or reasonably anticipated litigation; 66.16
- 66.17 d. Negotiation of contracts for the purchase, lease, or sale of goods, services, or real 66.18 estate;
- e. Accusing any person of a crime or formally censuring any person; 66.19
- f. Disclosure of trade secrets or commercial or financial information that is privileged 66.20 or confidential; 66.21
- g. Disclosure of information of a personal nature where disclosure would constitute a 66.22 66.23 clearly unwarranted invasion of personal privacy;
- h. Disclosure of investigative records compiled for law enforcement purposes; 66.24
- 66.25 i. Disclosure of information related to any investigative reports prepared by or on behalf of or for use of the Commission or other committee charged with responsibility of 66.26 66.27 investigation or determination of compliance issues pursuant to the Compact; or
- j. Matters specifically exempted from disclosure by federal or member state statute. 66.28

67.1	6. If a meeting, or portion of a meeting, is closed pursuant to this provision, the
67.2	Commission's legal counsel or designee shall certify that the meeting may be closed and
67.3	shall reference each relevant exempting provision.
67.4	7. The Commission shall keep minutes that fully and clearly describe all matters discussed
67.5	in a meeting and shall provide a full and accurate summary of actions taken, and the reasons
67.6	therefore, including a description of the views expressed. All documents considered in
67.7	connection with an action shall be identified in minutes. All minutes and documents of a
67.8	closed meeting shall remain under seal, subject to release by a majority vote of the
67.9	Commission or order of a court of competent jurisdiction.
67.10	8. Financing of the Commission
67.11	a. The Commission shall pay, or provide for the payment of, the reasonable expenses
67.12	of its establishment, organization, and ongoing activities.
67.13	b. The Commission may accept any and all appropriate revenue sources, donations, and
67.14	grants of money, equipment, supplies, materials, and services.
67.15	c. The Commission may levy on and collect an annual assessment from each member
67.16	state or impose fees on other parties to cover the cost of the operations and activities of the
67.17	Commission and its staff, which must be in a total amount sufficient to cover its annual
67.18	budget as approved each year for which revenue is not provided by other sources. The
67.19	aggregate annual assessment amount shall be allocated based upon a formula to be determined
67.20	by the Commission, which shall promulgate a rule binding upon all member states.
67.21	9. The Commission shall not incur obligations of any kind prior to securing the funds
67.22	adequate to meet the same; nor shall the Commission pledge the credit of any of the member
67.23	states, except by and with the authority of the member state.
67.24	10. The Commission shall keep accurate accounts of all receipts and disbursements. The
67.25	receipts and disbursements of the Commission shall be subject to the audit and accounting
67.26	procedures established under its bylaws. However, all receipts and disbursements of funds
67.27	handled by the Commission shall be audited yearly by a certified or licensed public
67.28	accountant, and the report of the audit shall be included in and become part of the annual
67.29	report of the Commission.
67.30	F. Qualified Immunity, Defense, and Indemnification
67.31	1. The members, officers, executive director, employees and representatives of the
67.32	Commission shall be immune from suit and liability, either personally or in their official
67.33	capacity, for any claim for damage to or loss of property or personal injury or other civil

liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing in this paragraph shall be construed to protect any person from suit or liability for any damage, loss, injury, or liability caused by the intentional or willful or wanton misconduct of that person.

2. The Commission shall defend any member, officer, executive director, employee, or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining his or her own counsel; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or willful or wanton misconduct.

3. The Commission shall indemnify and hold harmless any member, officer, executive director, employee, or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or willful or wanton misconduct of that person.

#### Section 8. Data System

- A. The Commission shall provide for the development, maintenance, and utilization of a coordinated database and reporting system containing licensure, adverse action, and investigative information on all licensed individuals in member states.
- B. Notwithstanding any other provision of state law to the contrary, a member state shall submit a uniform data set to the data system on all individuals to whom this Compact is applicable as required by the rules of the Commission, including:
- 68.31 1. Identifying information;
- 68.32 2. Licensure data;

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3. Adverse actions against a license or compact privilege;

otherwise publish proposed rules.

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board or other publicly accessible platform or the publication in which each state would

2. On the website of each member state audiology or speech-language pathology licensing

70.1	E. The Notice of Proposed Rulemaking shall include:
70.2	1. The proposed time, date, and location of the meeting in which the rule shall be
70.3	considered and voted upon;
70.4	2. The text of the proposed rule or amendment and the reason for the proposed rule;
70.5	3. A request for comments on the proposed rule from any interested person; and
70.6	4. The manner in which interested persons may submit notice to the Commission of
70.7	their intention to attend the public hearing and any written comments.
70.8	F. Prior to the adoption of a proposed rule, the Commission shall allow persons to submit
70.9	written data, facts, opinions, and arguments, which shall be made available to the public.
70.10	G. The Commission shall grant an opportunity for a public hearing before it adopts a
70.11	rule or amendment if a hearing is requested by:
70.12	1. At least 25 persons;
70.13	2. A state or federal governmental subdivision or agency; or
70.14	3. An association having at least 25 members.
70.15	H. If a hearing is held on the proposed rule or amendment, the Commission shall publish
70.16	the place, time, and date of the scheduled public hearing. If the hearing is held via electronic
70.17	means, the Commission shall publish the mechanism for access to the electronic hearing.
70.18	1. All persons wishing to be heard at the hearing shall notify the executive director of
70.19	the Commission or other designated member in writing of their desire to appear and testify
70.20	at the hearing not less than five business days before the scheduled date of the hearing.
70.21	2. Hearings shall be conducted in a manner providing each person who wishes to comment
70.22	a fair and reasonable opportunity to comment orally or in writing.
70.23	3. All hearings shall be recorded. A copy of the recording shall be made available on
70.24	request.
70.25	4. Nothing in this section shall be construed as requiring a separate hearing on each rule.
70.26	Rules may be grouped for the convenience of the Commission at hearings required by this
70.27	section.
70.28	I. Following the scheduled hearing date, or by the close of business on the scheduled
70.29	hearing date if the hearing was not held, the Commission shall consider all written and oral

comments received.

71.1	J. If no written notice of intent to attend the public hearing by interested parties is
71.2	received, the Commission may proceed with promulgation of the proposed rule without a
71.3	public hearing.
71.4	K. The Commission shall, by majority vote of all members, take final action on the
71.5	proposed rule and shall determine the effective date of the rule, if any, based on the
71.6	rulemaking record and the full text of the rule.
71.7	L. Upon determination that an emergency exists, the Commission may consider and
71.8	adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided
71.9	that the usual rulemaking procedures provided in the Compact and in this section shall be
71.10	retroactively applied to the rule as soon as reasonably possible, in no event later than 90
71.11	days after the effective date of the rule. For the purposes of this provision, an emergency
71.12	rule is one that must be adopted immediately in order to:
71.13	1. Meet an imminent threat to public health, safety, or welfare;
71.14	2. Prevent a loss of Commission or member state funds; or
71.15	3. Meet a deadline for the promulgation of an administrative rule that is established by
71.16	federal law or rule.
71.17	M. The Commission or an authorized committee of the Commission may direct revisions
71.18	to a previously adopted rule or amendment for purposes of correcting typographical errors,
71.19	errors in format, errors in consistency, or grammatical errors. Public notice of any revisions
71.20	shall be posted on the website of the Commission. The revision shall be subject to challenge
71.21	by any person for a period of 30 days after posting. The revision may be challenged only
71.22	on grounds that the revision results in a material change to a rule. A challenge shall be made
71.23	in writing and delivered to the chair of the Commission prior to the end of the notice period.
71.24	If no challenge is made, the revision shall take effect without further action. If the revision
71.25	is challenged, the revision may not take effect without the approval of the Commission.
71.26	Section 10. Oversight, Dispute Resolution, and Enforcement
71.27	A. Dispute Resolution
71.28	1. Upon request by a member state, the Commission shall attempt to resolve disputes
71.29	related to the Compact that arise among member states and between member and non-member
71.30	states.
71.31	2. The Commission shall promulgate a rule providing for both mediation and binding
71.32	dispute resolution for disputes as appropriate.

B. Enforcement

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1. The Co	mmission,	in the reaso	nable exe	cise of its	discretion,	shall	enforce the
provisions an	d rules of	this Compac	et.				

- 2. By majority vote, the Commission may initiate legal action in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices against a member state in default to enforce compliance with the provisions of the Compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded all costs of litigation, including reasonable attorney's fees.
- 72.10 3. The remedies herein shall not be the exclusive remedies of the Commission. The

  72.11 Commission may pursue any other remedies available under federal or state law.
- Section 11. Date of Implementation of the Interstate Commission for Audiology and
   Speech-Language Pathology Practice and Associated Rules, Withdrawal, and Amendment
- A. The Compact shall come into effect on the date on which the Compact statute is
  enacted into law in the tenth member state. The provisions, which become effective at that
  time, shall be limited to the powers granted to the Commission relating to assembly and the
  promulgation of rules. Thereafter, the Commission shall meet and exercise rulemaking
  powers necessary to the implementation and administration of the Compact.
  - B. Any state that joins the Compact subsequent to the Commission's initial adoption of the rules shall be subject to the rules as they exist on the date on which the Compact becomes law in that state. Any rule that has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that state.
- 72.23 <u>C. Any member state may withdraw from this Compact by enacting a statute repealing</u>
  72.24 <u>the same.</u>
- 72.25 <u>1. A member state's withdrawal shall not take effect until six months after enactment of</u>
  72.26 <u>the repealing statute.</u>
- 2. Withdrawal shall not affect the continuing requirement of the withdrawing state's
  audiology or speech-language pathology licensing board to comply with the investigative
  and adverse action reporting requirements of this act prior to the effective date of withdrawal.
- D. Nothing contained in this Compact shall be construed to invalidate or prevent any audiology or speech-language pathology licensure agreement or other cooperative arrangement between a member state and a non-member state that does not conflict with the provisions of this Compact.

E. This Compact may be amended by the member states. No amendment to this Compact 73.1 shall become effective and binding upon any member state until it is enacted into the laws 73.2 73.3 of all member states. Section 12. Construction and Severability 73.4 73.5 This Compact shall be liberally construed so as to effectuate the purposes thereof. The provisions of this Compact shall be severable and if any phrase, clause, sentence, or provision 73.6 of this Compact is declared to be contrary to the constitution of any member state or of the 73.7 United States or the applicability thereof to any government, agency, person, or circumstance 73.8 is held invalid, the validity of the remainder of this Compact and the applicability thereof 73.9 73.10 to any government, agency, person, or circumstance shall not be affected thereby. If this Compact shall be held contrary to the constitution of any member state, the Compact shall 73.11 remain in full force and effect as to the remaining member states and in full force and effect 73.12 as to the member state affected as to all severable matters. 73.13 Section 13. Binding Effect of Compact and Other Laws 73.14 A. Nothing herein prevents the enforcement of any other law of a member state that is 73.15 not inconsistent with the Compact. 73.16 B. All laws in a member state in conflict with the Compact are superseded to the extent 73.17 of the conflict. 73.18 C. All lawful actions of the Commission, including all rules and bylaws promulgated 73.19 by the Commission, are binding upon the member states. 73.20 D. All agreements between the Commission and the member states are binding in 73.21 accordance with their terms. 73.22 E. In the event any provision of the Compact exceeds the constitutional limits imposed 73.23 on the legislature of any member state, the provision shall be ineffective to the extent of the 73.24 conflict with the constitutional provision in question in that member state. 73.25 **EFFECTIVE DATE.** This section is effective on the date on which the compact statute 73.26 73.27 is enacted into law in the tenth member state in accordance with section 11 of this Compact. Sec. 14. [148.5186] APPLICATION OF AUDIOLOGY AND SPEECH-LANGUAGE 73.28 PATHOLOGY INTERSTATE COMPACT TO EXISTING LAWS. 73.29 73.30 Subdivision 1. Rulemaking. Rules developed by the Audiology and Speech-Language Pathology Compact Commission under section 148.5185 are not subject to sections 14.05 73.31 to 14.389. 73.32

74.26 (d) "Alternative program" means a non-disciplinary monitoring or practice remediation
74.27 process approved by a professional counseling licensing board to address impaired
74.28 practitioners.

professional counselor's authorization to practice, including issuance of a cease and desist

(e) "Continuing competence" and "continuing education" means a requirement, as a condition of license renewal, to provide evidence of participation in, and completion of, educational and professional activities relevant to practice or area of work.

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action.

75.1	(f) "Counseling compact commission" or "commission" means the national administrative
75.2	body whose membership consists of all states that have enacted the compact.
75.3	(g) "Current significant investigative information" means:
75.4	(1) investigative information that a licensing board, after a preliminary inquiry that
75.5	includes notification and an opportunity for the licensed professional counselor to respond,
75.6	if required by state law, has reason to believe is not groundless and, if proved true, would
75.7	indicate more than a minor infraction; or
75.8	(2) investigative information that indicates that the licensed professional counselor
75.9	represents an immediate threat to public health and safety regardless of whether the licensed
75.10	professional counselor has been notified and had an opportunity to respond.
75.11	(h) "Data system" means a repository of information about licensees, including but not
75.12	limited to continuing education, examination, licensure, investigative, privilege to practice,
75.13	and adverse action information.
75.14	(i) "Encumbered license" means a license in which an adverse action restricts the practice
75.15	of licensed professional counseling by the licensee and said adverse action has been reported
75.16	to the National Practitioners Data Bank (NPDB).
75.17	(j) "Encumbrance" means a revocation or suspension of, or any limitation on, the full
75.18	and unrestricted practice of licensed professional counseling by a licensing board.
75.19	(k) "Executive committee" means a group of directors elected or appointed to act on
75.20	behalf of, and within the powers granted to them by, the commission.
75.21	(1) "Home state" means the member state that is the licensee's primary state of residence.
75.22	(m) "Impaired practitioner" means an individual who has a condition that may impair
75.23	their ability to practice as a licensed professional counselor without some type of intervention
75.24	and may include but is not limited to alcohol and drug dependence, mental health impairment,
75.25	and neurological or physical impairment.
75.26	(n) "Investigative information" means information, records, and documents received or
75.27	generated by a professional counseling licensing board pursuant to an investigation.
75.28	(o) "Jurisprudence requirement," if required by a member state, means the assessment
75.29	of an individual's knowledge of the laws and rules governing the practice of professional
75.30	counseling in a state.

Article 3 Sec. 15.

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(2) require licensees to pass a nationally recognized exam approved by the commission;

(3) require licensees to have a 60 semester-hour or 90 quarter counseling or 60 semester-hours or 90 quarter-hours of graduate	
following topic areas:	coursework meruanig me
(i) professional counseling orientation and ethical practice;	
(ii) social and cultural diversity;	
(iii) human growth and development;	
(iv) career development;	
(v) counseling and helping relationships;	
(vi) group counseling and group work;	
(vii) diagnosis and treatment; assessment and testing;	
(viii) research and program evaluation; and	
(ix) other areas as determined by the commission;	
(4) require licensees to complete a supervised postgraduate pr	rofessional experience as
defined by the commission; and	
(5) have a mechanism in place for receiving and investigating co	omplaints about licensees.
(b) A member state shall:	
(1) participate fully in the commission's data system, including	g using the commission's
unique identifier as defined in rules;	
(2) notify the commission, in compliance with the terms of the	e compact and rules, of
any adverse action or the availability of investigative information	regarding a licensee;
(3) implement or utilize procedures for considering the crimin	nal history records of
applicants for an initial privilege to practice. These procedures sha	all include the submission
of fingerprints or other biometric-based information by applicants for	or the purpose of obtaining
an applicant's criminal history record information from the Federa	al Bureau of Investigation
and the agency responsible for retaining that state's criminal reco	rds;
(i) a member state must fully implement a criminal backgroun	nd check requirement,
within a timeframe established by rule, by receiving the results of	f the Federal Bureau of
Investigation record search and shall use the results in making lic	censure decisions; and
(ii) communication between a member state, the commission, a	and among member states
regarding the verification of eligibility for licensure through the	compact shall not include

78.1	any information received from the Federal Bureau of Investigation relating to a federal
78.2	criminal records check performed by a member state under Public Law 92-544;
78.3	(4) comply with the rules of the commission;
78.4	(5) require an applicant to obtain or retain a license in the home state and meet the home
78.5	state's qualifications for licensure or renewal of licensure, as well as all other applicable
78.6	state laws;
78.7	(6) grant the privilege to practice to a licensee holding a valid unencumbered license in
78.8	another member state in accordance with the terms of the compact and rules; and
78.9	(7) provide for the attendance of the state's commissioner to the counseling compact
78.10	commission meetings.
78.11	(c) Member states may charge a fee for granting the privilege to practice.
78.12	(d) Individuals not residing in a member state shall continue to be able to apply for a
78.13	member state's single state license as provided under the laws of each member state. However,
78.14	the single state license granted to these individuals shall not be recognized as granting a
78.15	privilege to practice professional counseling in any other member state.
78.16	(e) Nothing in this compact shall affect the requirements established by a member state
78.17	for the issuance of a single state license.
78.18	(f) A license issued to a licensed professional counselor by a home state to a resident in
78.19	that state shall be recognized by each member state as authorizing a licensed professional
78.20	counselor to practice professional counseling, under a privilege to practice, in each member
78.21	state.
78.22	ARTICLE III
78.23	PRIVILEGE TO PRACTICE
78.24	(a) To exercise the privilege to practice under the terms and provisions of the compact,
78.25	the licensee shall:
78.26	(1) hold a license in the home state;
78.27	(2) have a valid United States Social Security number or national practitioner identifier;
78.28	(3) be eligible for a privilege to practice in any member state in accordance with this
78.29	article, paragraphs (d), (g), and (h);
78.30	(4) have not had any encumbrance or restriction against any license or privilege to
78 31	practice within the previous two years:

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79.1	(5) notify the commission that the licensee is seeking the privilege to practice within a
79.2	remote state(s);
79.3	(6) pay any applicable fees, including any state fee, for the privilege to practice;
79.4	(7) meet any continuing competence or education requirements established by the home
79.5	state;
79.6	(8) meet any jurisprudence requirements established by the remote state in which the
79.7	licensee is seeking a privilege to practice; and
79.8	(9) report to the commission any adverse action, encumbrance, or restriction on license
79.9	taken by any nonmember state within 30 days from the date the action is taken.
79.10	(b) The privilege to practice is valid until the expiration date of the home state license.
79.11	The licensee must comply with the requirements of this article, paragraph (a), to maintain
79.12	the privilege to practice in the remote state.
79.13	(c) A licensee providing professional counseling in a remote state under the privilege
79.14	to practice shall adhere to the laws and regulations of the remote state.
79.15	(d) A licensee providing professional counseling services in a remote state is subject to
79.16	that state's regulatory authority. A remote state may, in accordance with due process and
79.17	that state's laws, remove a licensee's privilege to practice in the remote state for a specific
79.18	period of time, impose fines, or take any other necessary actions to protect the health and
79.19	safety of its citizens. The licensee may be ineligible for a privilege to practice in any member
79.20	state until the specific time for removal has passed and all fines are paid.
79.21	(e) If a home state license is encumbered, the licensee shall lose the privilege to practice
79.22	in any remote state until the following occur:
79.23	(1) the home state license is no longer encumbered; and
79.24	(2) have not had any encumbrance or restriction against any license or privilege to
79.25	practice within the previous two years.
79.26	(f) Once an encumbered license in the home state is restored to good standing, the
79.27	licensee must meet the requirements of this article, paragraph (a), to obtain a privilege to
79.28	practice in any remote state.
79.29	(g) If a licensee's privilege to practice in any remote state is removed, the individual
79.30	may lose the privilege to practice in all other remote states until the following occur:
79.31	(1) the specific period of time for which the privilege to practice was removed has ended;

30.1	(2) all fines have been paid; and
30.2	(3) have not had any encumbrance or restriction against any license or privilege to
30.3	practice within the previous two years.
30.4	(h) Once the requirements of this article, paragraph (g), have been met, the licensee must
30.5	meet the requirements in this article, paragraph (g), to obtain a privilege to practice in a
30.6	remote state.
30.7	ARTICLE IV
80.8	OBTAINING A NEW HOME STATE LICENSE BASED ON A PRIVILEGE TO
30.9	PRACTICE
30.10	(a) A licensed professional counselor may hold a home state license, which allows for
30.11	a privilege to practice in other member states, in only one member state at a time.
30.12	(b) If a licensed professional counselor changes primary state of residence by moving
30.13	between two member states:
30.14	(1) the licensed professional counselor shall file an application for obtaining a new home
30.15	state license based on a privilege to practice, pay all applicable fees, and notify the current
30.16	and new home state in accordance with applicable rules adopted by the commission;
30.17	(2) upon receipt of an application for obtaining a new home state license by virtue of a
30.18	privilege to practice, the new home state shall verify that the licensed professional counselor
30.19	meets the pertinent criteria outlined in article III via the data system, without need for
30.20	primary source verification, except for:
30.21	(i) a Federal Bureau of Investigation fingerprint-based criminal background check if not
30.22	previously performed or updated pursuant to applicable rules adopted by the commission
30.23	in accordance with Public Law 92-544;
30.24	(ii) other criminal background checks as required by the new home state; and
30.25	(iii) completion of any requisite jurisprudence requirements of the new home state;
30.26	(3) the former home state shall convert the former home state license into a privilege to
30.27	practice once the new home state has activated the new home state license in accordance
30.28	with applicable rules adopted by the commission;
30.29	(4) notwithstanding any other provision of this compact, if the licensed professional
30.30	counselor cannot meet the criteria in article V, the new home state may apply its requirements
30.31	for issuing a new single state license; and

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within that member state; and

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(2) issue subpoenas for both hearings and investigations that require the attendance and
testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing
board in a member state for the attendance and testimony of witnesses or the production of
evidence from another member state shall be enforced in the latter state by any court of
competent jurisdiction according to the practice and procedure of that court applicable to
subpoenas issued in proceedings pending before it. The issuing authority shall pay any
witness fees, travel expenses, mileage, and other fees required by the service statutes of the
state in which the witnesses or evidence are located.
(b) Only the home state shall have the power to take adverse action against a licensed
professional counselor's license issued by the home state.
(c) For purposes of taking adverse action, the home state shall give the same priority
and effect to reported conduct received from a member state as it would if the conduct had
occurred within the home state. In so doing, the home state shall apply its own state laws
to determine appropriate action.
(d) The home state shall complete any pending investigations of a licensed professional
counselor who changes primary state of residence during the course of the investigations.
The home state shall also have the authority to take appropriate action and shall promptly
report the conclusions of the investigations to the administrator of the data system. The
administrator of the coordinated licensure information system shall promptly notify the new
home state of any adverse actions.
(e) A member state, if otherwise permitted by state law, may recover from the affected
licensed professional counselor the costs of investigations and dispositions of cases resulting
from any adverse action taken against that licensed professional counselor.
(f) A member state may take adverse action based on the factual findings of the remote
state, provided that the member state follows its own procedures for taking the adverse
action.
(g) Joint investigations:
(g) John myestigations.
(1) in addition to the authority granted to a member state by its respective professional
counseling practice act or other applicable state law, any member state may participate with
other member states in joint investigations of licensees; and
(2) member states shall share any investigative, litigation, or compliance materials in
furtherance of any joint or individual investigation initiated under the compact.

(h) If adverse action is taken by the home state against the license of a licensed
professional counselor, the licensed professional counselor's privilege to practice in all other
member states shall be deactivated until all encumbrances have been removed from the
state license. All home state disciplinary orders that impose adverse action against the license
of a licensed professional counselor shall include a statement that the licensed professional
counselor's privilege to practice is deactivated in all member states during the pendency of
the order.
(i) If a member state takes adverse action, it shall promptly notify the administrator of
the data system. The administrator of the data system shall promptly notify the home state
of any adverse actions by remote states.
(j) Nothing in this compact shall override a member state's decision that participation
in an alternative program may be used in lieu of adverse action.
ARTICLE VIII
ESTABLISHMENT OF COUNSELING COMPACT COMMISSION
(a) The compact member states hereby create and establish a joint public agency known
as the counseling compact commission:
(1) the commission is an instrumentality of the compact states;
(2) venue is proper and judicial proceedings by or against the commission shall be
brought solely and exclusively in a court of competent jurisdiction where the principal office
of the commission is located. The commission may waive venue and jurisdictional defenses
to the extent it adopts or consents to participate in alternative dispute resolution proceedings;
<u>and</u>
(3) nothing in this compact shall be construed to be a waiver of sovereign immunity.
(b) Membership, voting, and meetings:
(1) each member state shall have and be limited to one delegate selected by that member
state's licensing board;
(2) the delegate shall be either:
(i) a current member of the licensing board at the time of appointment who is a licensed
professional counselor or public member; or
(ii) an administrator of the licensing board;

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84.1	(3) any delegate may be removed or suspended from office as provided by the law of
84.2	the state from which the delegate is appointed;
84.3	(4) the member state licensing board shall fill any vacancy occurring on the commission
84.4	within 60 days;
84.5	(5) each delegate shall be entitled to one vote with regard to the promulgation of rules
84.6	and creation of bylaws and shall otherwise have an opportunity to participate in the business
84.7	and affairs of the commission;
84.8	(6) a delegate shall vote in person or by such other means as provided in the bylaws.
84.9	The bylaws may provide for delegates' participation in meetings by telephone or other means
84.10	of communication;
84.11	(7) the commission shall meet at least once during each calendar year. Additional
84.12	meetings shall be held as set forth in the bylaws; and
84.13	(8) the commission shall by rule establish a term of office for delegates and may by rule
84.14	establish term limits.
84.15	(c) The commission shall have the following powers and duties:
84.16	(1) establish the fiscal year of the commission;
84.17	(2) establish bylaws;
84.18	(3) maintain its financial records in accordance with the bylaws;
84.19	(4) meet and take such actions as are consistent with the provisions of this compact and
84.20	the bylaws;
84.21	(5) promulgate rules which shall be binding to the extent and in the manner provided
84.22	for in the compact;
84.23	(6) bring and prosecute legal proceedings or actions in the name of the commission,
84.24	provided that the standing of any state licensing board to sue or be sued under applicable
84.25	law shall not be affected;
84.26	(7) purchase and maintain insurance and bonds;
84.27	(8) borrow, accept, or contract for services of personnel, including but not limited to
84.28	employees of a member state;
84.29	(9) hire employees, elect or appoint officers, fix compensation, define duties, grant such
84.30	individuals appropriate authority to carry out the purposes of the compact, and establish the

in bylaws;

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(3) The commission may remove any member of the executive committee as provided

- 86.28 (vi) disclosure of trade secrets or commercial or financial information that is privileged or confidential;

(v) accusing any person of a crime or formally censuring any person;

87.1	(vii) disclosure of information of a personal nature where disclosure would constitute a
87.2	clearly unwarranted invasion of personal privacy;
87.3	(viii) disclosure of investigative records compiled for law enforcement purposes;
87.4	(ix) disclosure of information related to any investigative reports prepared by or on
87.5	behalf of or for use of the commission or other committee charged with responsibility of
87.6	investigation or determination of compliance issues pursuant to the compact; or
87.7	(x) matters specifically exempted from disclosure by federal or member state statute;
87.8	(3) if a meeting, or portion of a meeting, is closed pursuant to this provision, the
87.9	commission's legal counsel or designee shall certify that the meeting may be closed and
87.10	shall reference each relevant exempting provision; and
87.11	(4) the commission shall keep minutes that fully and clearly describe all matters discussed
87.12	in a meeting and shall provide a full and accurate summary of actions taken and the reasons
87.13	therefore, including a description of the views expressed. All documents considered in
87.14	connection with an action shall be identified in such minutes. All minutes and documents
87.15	of a closed meeting shall remain under seal, subject to release by a majority vote of the
87.16	commission or order of a court of competent jurisdiction.
87.17	(f) Einanaina of the commission.
07.17	(f) Financing of the commission:
87.18	(i) the commission shall pay, or provide for the payment of, the reasonable expenses of
87.18	(i) the commission shall pay, or provide for the payment of, the reasonable expenses of
87.18 87.19	(i) the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities;
87.18 87.19 87.20	(i) the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities;  (ii) the commission may accept any and all appropriate revenue sources, donations, and
87.18 87.19 87.20 87.21	(i) the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities;  (ii) the commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services;
87.18 87.19 87.20 87.21	(i) the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities;  (ii) the commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services;  (iii) the commission may levy on and collect an annual assessment from each member
87.18 87.19 87.20 87.21 87.22 87.23	(i) the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities;  (ii) the commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services;  (iii) the commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the
87.18 87.19 87.20 87.21 87.22 87.23 87.24	<ul> <li>(i) the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities;</li> <li>(ii) the commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services;</li> <li>(iii) the commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the commission and its staff, which must be in a total amount sufficient to cover its annual</li> </ul>
87.18 87.19 87.20 87.21 87.22 87.23 87.24 87.25	(i) the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities;  (ii) the commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services;  (iii) the commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The
87.18 87.19 87.20 87.21 87.22 87.23 87.24 87.25 87.26	(i) the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities;  (ii) the commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services;  (iii) the commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined
87.18 87.19 87.20 87.21 87.22 87.23 87.24 87.25 87.26 87.27	(i) the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities;  (ii) the commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services;  (iii) the commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the commission, which shall promulgate a rule binding upon all member states;
87.18 87.19 87.20 87.21 87.22 87.23 87.24 87.25 87.26 87.27	(i) the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities;  (ii) the commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services;  (iii) the commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the commission, which shall promulgate a rule binding upon all member states;  (iv) the commission shall not incur obligations of any kind prior to securing the funds
87.18 87.19 87.20 87.21 87.22 87.23 87.24 87.25 87.26 87.27	(i) the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities;  (ii) the commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services;  (iii) the commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the commission, which shall promulgate a rule binding upon all member states;  (iv) the commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the commission pledge the credit of any of the member

procedures established under its bylaws. However, all receipts and disbursements of funds handled by the commission shall be audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the commission.

### (g) Qualified immunity, defense, and indemnification:

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(1) the members, officers, executive director, employees, and representatives of the commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit or liability for any damage, loss, injury, or liability caused by the intentional or willful or wanton misconduct of that person;

(2) the commission shall defend any member, officer, executive director, employee or representative of the commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining his or her own counsel; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or willful or wanton misconduct; and

(3) the commission shall indemnify and hold harmless any member, officer, executive director, employee, or representative of the commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or willful or wanton misconduct of that person.

88.32 ARTICLE IX

88.33 DATA SYSTEM

# RULEMAKING

(a) The commission shall promulgate reasonable rules in order to effectively and efficiently achieve the purpose of the compact. Notwithstanding the foregoing, in the event the commission exercises its rulemaking authority in a manner that is beyond the scope of

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the purposes of the compact, or the powers granted hereunder, then such an action by the 90.1 commission shall be invalid and have no force or effect. 90.2 90.3 (b) The commission shall exercise its rulemaking powers pursuant to the criteria set forth in this article and the rules adopted thereunder. Rules and amendments shall become 90.4 90.5 binding as of the date specified in each rule or amendment. (c) If a majority of the legislatures of the member states rejects a rule, by enactment of 90.6 a statute or resolution in the same manner used to adopt the compact within four years of 90.7 the date of adoption of the rule, then such rule shall have no further force and effect in any 90.8 member state. 90.9 (d) Rules or amendments to the rules shall be adopted at a regular or special meeting of 90.10 the commission. 90.11 (e) Prior to promulgation and adoption of a final rule or rules by the commission, and 90.12 at least thirty days in advance of the meeting at which the rule will be considered and voted 90.13 upon, the commission shall file a notice of proposed rulemaking: 90.14 (1) on the website of the commission or other publicly accessible platform; and 90.15 (2) on the website of each member state professional counseling licensing board or other 90.16 publicly accessible platform or the publication in which each state would otherwise publish 90.17 proposed rules. 90.18(f) The notice of proposed rulemaking shall include: 90.19 (1) the proposed time, date, and location of the meeting in which the rule will be 90.20 considered and voted upon; 90.21 (2) the text of the proposed rule or amendment and the reason for the proposed rule; 90.22 90.23 (3) a request for comments on the proposed rule from any interested person; and (4) the manner in which interested persons may submit notice to the commission of their 90.24 intention to attend the public hearing and any written comments. 90.25 (g) Prior to adoption of a proposed rule, the commission shall allow persons to submit 90.26 written data, facts, opinions, and arguments, which shall be made available to the public. 90.27 (h) The commission shall grant an opportunity for a public hearing before it adopts a 90.28 rule or amendment if a hearing is requested by: 90.29 (1) at least 25 persons; 90.30 (2) a state or federal governmental subdivision or agency; or 90.31

91.1	(3) an association having at least 25 members.
91.2	(i) If a hearing is held on the proposed rule or amendment, the commission shall publish
91.3	the place, time, and date of the scheduled public hearing. If the hearing is held via electronic
91.4	means, the commission shall publish the mechanism for access to the electronic hearing:
91.5	(1) all persons wishing to be heard at the hearing shall notify the executive director of
91.6	the commission or other designated member in writing of their desire to appear and testify
91.7	at the hearing not less than five business days before the scheduled date of the hearing;
91.8	(2) hearings shall be conducted in a manner providing each person who wishes to
91.9	comment a fair and reasonable opportunity to comment orally or in writing;
91.10	(3) all hearings will be recorded. A copy of the recording will be made available on
91.11	request; and
91.12	(4) nothing in this article shall be construed as requiring a separate hearing on each rule.
91.13	Rules may be grouped for the convenience of the commission at hearings required by this
91.14	article.
91.15	(j) Following the scheduled hearing date, or by the close of business on the scheduled
91.16	hearing date if the hearing was not held, the commission shall consider all written and oral
91.17	comments received.
91.18	(k) If no written notice of intent to attend the public hearing by interested parties is
91.19	received, the commission may proceed with promulgation of the proposed rule without a
91.20	public hearing.
91.21	(l) The commission shall, by majority vote of all members, take final action on the
91.22	proposed rule and shall determine the effective date of the rule, if any, based on the
91.23	rulemaking record and the full text of the rule.
91.24	(m) Upon determination that an emergency exists, the commission may consider and
91.25	adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided
91.26	that the usual rulemaking procedures provided in the compact and in this article shall be
91.27	retroactively applied to the rule as soon as reasonably possible, in no event later than 90
91.28	days after the effective date of the rule. For the purposes of this provision, an emergency
91.29	rule is one that must be adopted immediately in order to:
91.30	(1) meet an imminent threat to public health, safety, or welfare;

Article 3 Sec. 15.

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(2) prevent a loss of commission or member state funds;

(3) meet a deadline for the promulgation of an administrative rule that is established by federal law or rule; or

(4) protect public health and safety.

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(n) The commission or an authorized committee of the commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the commission. The revision shall be subject to challenge by any person for a period of thirty days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing and delivered to the chair of the commission prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the commission.

ARTICLE XI

# **OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT**

(a) Oversight:

- (1) the executive, legislative, and judicial branches of state government in each member state shall enforce this compact and take all actions necessary and appropriate to effectuate the compact's purposes and intent. The provisions of this compact and the rules promulgated hereunder shall have standing as statutory law;
- (2) all courts shall take judicial notice of the compact and the rules in any judicial or administrative proceeding in a member state pertaining to the subject matter of this compact which may affect the powers, responsibilities, or actions of the commission; and
- (3) the commission shall be entitled to receive service of process in any such proceeding and shall have standing to intervene in such a proceeding for all purposes. Failure to provide service of process to the commission shall render a judgment or order void as to the commission, this compact, or promulgated rules.
  - (b) Default, technical assistance, and termination:
- 92.28 (1) if the commission determines that a member state has defaulted in the performance 92.29 of its obligations or responsibilities under this compact or the promulgated rules, the 92.30 commission shall:

93.1	(i) provide written notice to the defaulting state and other member states of the nature
93.2	of the default, the proposed means of curing the default, or any other action to be taken by
93.3	the commission; and
93.4	(ii) provide remedial training and specific technical assistance regarding the default.
93.5	(c) If a state in default fails to cure the default, the defaulting state may be terminated
93.6	from the compact upon an affirmative vote of a majority of the member states, and all rights,
93.7	privileges, and benefits conferred by this compact may be terminated on the effective date
93.8	of termination. A cure of the default does not relieve the offending state of obligations or
93.9	liabilities incurred during the period of default.
93.10	(d) Termination of membership in the compact shall be imposed only after all other
93.11	means of securing compliance have been exhausted. Notice of intent to suspend or terminate
93.12	shall be given by the commission to the governor, the majority and minority leaders of the
93.13	defaulting state's legislature, and each of the member states.
93.14	(e) A state that has been terminated is responsible for all assessments, obligations, and
93.15	liabilities incurred through the effective date of termination, including obligations that
93.16	extend beyond the effective date of termination.
93.17	(f) The commission shall not bear any costs related to a state that is found to be in default
93.18	or that has been terminated from the compact, unless agreed upon in writing between the
93.19	commission and the defaulting state.
93.20	(g) The defaulting state may appeal the action of the commission by petitioning the
93.21	<u>United States District Court for the District of Columbia or the federal district where the</u>
93.22	commission has its principal offices. The prevailing member shall be awarded all costs of
93.23	such litigation, including reasonable attorney's fees.
93.24	(h) Dispute resolution:
93.25	(1) Upon request by a member state, the commission shall attempt to resolve disputes
93.26	related to the compact that arise among member states and between member and nonmember
93.27	states; and
93.28	(2) the commission shall promulgate a rule providing for both mediation and binding
93.29	dispute resolution for such disputes as appropriate.
93.30	(i) Enforcement:
93.31	(1) The commission, in the reasonable exercise of its discretion, shall enforce the
93.32	provisions and rules of this compact;

94.1	(2) by majority vote, the commission may initiate legal action in the United States District
94.2	Court for the District of Columbia or the federal district where the commission has its
94.3	principal offices against a member state in default to enforce compliance with the provisions
94.4	of the compact and its promulgated rules and bylaws. The relief sought may include both
94.5	injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing
94.6	member shall be awarded all costs of such litigation, including reasonable attorney's fees;
94.7	<u>and</u>
94.8	(3) the remedies herein shall not be the exclusive remedies of the commission. The
94.9	commission may pursue any other remedies available under federal or state law.
94.10	ARTICLE XII
94.11	DATE OF IMPLEMENTATION OF THE COUNSELING COMPACT COMMISSION
94.12	AND ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT
94.13	(a) The compact shall come into effect on the date on which the compact statute is
94.14	enacted into law in the tenth member state. The provisions, which become effective at that
94.15	time, shall be limited to the powers granted to the commission relating to assembly and the
94.16	promulgation of rules. Thereafter, the commission shall meet and exercise rulemaking
94.17	powers necessary to the implementation and administration of the compact.
94.18	(b) Any state that joins the compact subsequent to the commission's initial adoption of
94.19	the rules shall be subject to the rules as they exist on the date on which the compact becomes
94.20	law in that state. Any rule that has been previously adopted by the commission shall have
94.21	the full force and effect of law on the day the compact becomes law in that state.
94.22	(c) Any member state may withdraw from this compact by enacting a statute repealing
94.23	the same.
94.24	(1) a member state's withdrawal shall not take effect until six months after enactment
94.25	of the repealing statute; and
94.26	(2) withdrawal shall not affect the continuing requirement of the withdrawing state's
94.27	professional counseling licensing board to comply with the investigative and adverse action
94.28	reporting requirements of this act prior to the effective date of withdrawal.
94.29	(d) Nothing contained in this compact shall be construed to invalidate or prevent any
94.30	professional counseling licensure agreement or other cooperative arrangement between a
94.31	member state and a nonmember state that does not conflict with the provisions of this
94.32	compact.

(e) This compact may be amended by the member states. No amendment to this compact shall become effective and binding upon any member state until it is enacted into the laws of all member states.

ARTICLE XIII

# CONSTRUCTION AND SEVERABILITY

This compact shall be liberally construed so as to effectuate the purposes thereof. The provisions of this compact shall be severable and if any phrase, clause, sentence, or provision of this compact is declared to be contrary to the constitution of any member state or of the United States or the applicability thereof to any government, agency, person, or circumstance is held invalid, the validity of the remainder of this compact and the applicability thereof to any government, agency, person, or circumstance shall not be affected thereby. If this compact shall be held contrary to the constitution of any member state, the compact shall remain in full force and effect as to the remaining member states and in full force and effect as to the member state affected as to all severable matters.

ARTICLE XIV

### BINDING EFFECT OF COMPACT AND OTHER LAWS

- (a) A licensee providing professional counseling services in a remote state under the privilege to practice shall adhere to the laws and regulations, including scope of practice, of the remote state.
- (b) Nothing herein prevents the enforcement of any other law of a member state that is not inconsistent with the compact.
- (c) Any laws in a member state in conflict with the compact are superseded to the extent of the conflict.
- (d) Any lawful actions of the commission, including all rules and bylaws properly promulgated by the commission, are binding upon the member states.
- (e) All permissible agreements between the commission and the member states are binding in accordance with their terms.
- 95.28 (f) In the event any provision of the compact exceeds the constitutional limits imposed 95.29 on the legislature of any member state, the provision shall be ineffective to the extent of the 95.30 conflict with the constitutional provision in question in that member state.

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Sec. 16. Minnesota Statutes 2020, section 150A.10, subdivision 1a, is amended to read:

Subd. 1a. Collaborative practice authorization for dental hygienists in community settings. (a) Notwithstanding subdivision 1, a dental hygienist licensed under this chapter may be employed or retained by a health care facility, program, or nonprofit organization, or licensed dentist to perform the dental hygiene services listed in Minnesota Rules, part 3100.8700, subpart 1, without the patient first being examined by a licensed dentist if the dental hygienist:

- (1) has entered into a collaborative agreement with a licensed dentist that designates authorization for the services provided by the dental hygienist; and
- (2) has documented completion of a course on medical emergencies within each continuing education cycle.
- (b) A collaborating dentist must be licensed under this chapter and may enter into a collaborative agreement with no more than four dental hygienists unless otherwise authorized by the board. The board shall develop parameters and a process for obtaining authorization to collaborate with more than four dental hygienists. The collaborative agreement must include:
- (1) consideration for medically compromised patients and medical conditions for which a dental evaluation and treatment plan must occur prior to the provision of dental hygiene services;
- (2) age- and procedure-specific standard collaborative practice protocols, including recommended intervals for the performance of dental hygiene services and a period of time in which an examination by a dentist should occur;
  - (3) copies of consent to treatment form provided to the patient by the dental hygienist;
- (4) specific protocols for the placement of pit and fissure sealants and requirements for follow-up care to assure the ensure efficacy of the sealants after application; and
- (5) the procedure for creating and maintaining dental records for patients who are treated by the dental hygienist under Minnesota Rules, part 3100.9600, including specifying where records will be located.
  - The collaborative agreement must be signed and maintained by the dentist, the dental hygienist, and the facility, program, or organization; must be reviewed annually by the collaborating dentist and dental hygienist and must be made available to the board upon request.

(c) The collaborative agreement must be:

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(1) signed and maintained by the dentist; the dental hygienist; and the facility, program, or organization;

- (2) reviewed annually by the collaborating dentist and the dental hygienist; and
- (3) made available to the board upon request.
- (e) (d) Before performing any services authorized under this subdivision, a dental hygienist must provide the patient with a consent to treatment form which must include a statement advising the patient that the dental hygiene services provided are not a substitute for a dental examination by a licensed dentist. When the patient requires a referral for additional dental services, the dental hygienist shall complete a referral form and provide a copy to the patient, the facility, if applicable, the dentist to whom the patient is being referred, and the collaborating dentist, if specified in the collaborative agreement. A copy of the referral form shall be maintained in the patient's health care record. The patient does not become a new patient of record of the dentist to whom the patient was referred until the dentist accepts the patient for follow-up services after referral from the dental hygienist.
- (d) (e) For the purposes of this subdivision, a "health care facility, program, or nonprofit organization" includes a hospital; nursing home; home health agency; group home serving the elderly, disabled, or juveniles; state-operated facility licensed by the commissioner of human services or the commissioner of corrections; a state agency administered public health program or event; and federal, state, or local public health facility, community clinic, tribal clinic, school authority, Head Start program, or nonprofit organization that serves individuals who are uninsured or who are Minnesota health care public program recipients.
- (e) (f) For purposes of this subdivision, a "collaborative agreement" means a written agreement with a licensed dentist who authorizes and accepts responsibility for the services performed by the dental hygienist.
- (g) A collaborative practice dental hygienist must be reimbursed for all services performed through a health care facility, program, nonprofit organization, or licensed dentist.
- (h) The commissioner of human services shall report annually, beginning February 15, 2023, and each February 15 thereafter, to the Board of Dentistry on the services provided by collaborative practice dental hygienists to medical assistance and MinnesotaCare enrollees during the previous calendar year. The information reported must include, at a minimum, the geographic location and type of setting at which care was delivered, the number of

medical assistance and MinnesotaCare patients served, and the characteristics of the patient population.

- Sec. 17. Minnesota Statutes 2020, section 150A.105, subdivision 8, is amended to read:
- Subd. 8. **Definitions.** (a) For the purposes of this section, the following definitions apply.
- 98.5 (b) "Practice settings that serve the low-income and underserved" mean:
- 98.6 (1) critical access dental provider settings as designated by the commissioner of human services under section 256B.76, subdivision 4;
  - (2) dental hygiene collaborative practice settings identified in section 150A.10, subdivision 1a, paragraph (d) (e), and including medical facilities, assisted living facilities, federally qualified health centers, and organizations eligible to receive a community clinic grant under section 145.9268, subdivision 1;
- 98.12 (3) military and veterans administration hospitals, clinics, and care settings;
  - (4) a patient's residence or home when the patient is home-bound or receiving or eligible to receive home care services or home and community-based waivered services, regardless of the patient's income;
- 98.16 (5) oral health educational institutions; or

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- 98.17 (6) any other clinic or practice setting, including mobile dental units, in which at least 50 percent of the total patient base of the dental therapist or advanced dental therapist consists of patients who:
  - (i) are enrolled in a Minnesota health care program;
- 98.21 (ii) have a medical disability or chronic condition that creates a significant barrier to 98.22 receiving dental care;
  - (iii) do not have dental health coverage, either through a public health care program or private insurance, and have an annual gross family income equal to or less than 200 percent of the federal poverty guidelines; or
    - (iv) do not have dental health coverage, either through a state public health care program or private insurance, and whose family gross income is equal to or less than 200 percent of the federal poverty guidelines.
- 98.29 (c) "Dental health professional shortage area" means an area that meets the criteria 98.30 established by the secretary of the United States Department of Health and Human Services 98.31 and is designated as such under United States Code, title 42, section 254e.

- 99.1 Sec. 18. Minnesota Statutes 2020, section 151.065, subdivision 1, is amended to read:
- 99.2 Subdivision 1. **Application fees.** Application fees for licensure and registration are as
- 99.3 follows:
- 99.4 (1) pharmacist licensed by examination, \$175;
- 99.5 (2) pharmacist licensed by reciprocity, \$275;
- 99.6 (3) pharmacy intern, \$50;
- 99.7 (4) pharmacy technician, \$50;
- 99.8 (5) pharmacy, \$260;
- 99.9 (6) drug wholesaler, legend drugs only, \$5,260;
- 99.10 (7) drug wholesaler, legend and nonlegend drugs, \$5,260;
- 99.11 (8) drug wholesaler, nonlegend drugs, veterinary legend drugs, or both, \$5,260;
- 99.12 (9) drug wholesaler, medical gases, \$5,260 for the first facility and \$260 for each
  99.13 additional facility;
- 99.14 (10) third-party logistics provider, \$260;
- 99.15 (11) drug manufacturer, nonopiate legend drugs only, \$5,260;
- 99.16 (12) drug manufacturer, nonopiate legend and nonlegend drugs, \$5,260;
- 99.17 (13) drug manufacturer, nonlegend or veterinary legend drugs, \$5,260;
- 99.18 (14) drug manufacturer, medical gases, \$5,260 for the first facility and \$260 for each
  99.19 additional facility;
- 99.20 (15) drug manufacturer, also licensed as a pharmacy in Minnesota, \$5,260;
- 99.21 (16) drug manufacturer of opiate-containing controlled substances listed in section
- 99.22 152.02, subdivisions 3 to 5, \$55,260;
- 99.23 (17) medical gas dispenser, \$260;
- 99.24 (18) controlled substance researcher, \$75; and
- 99.25 (19) pharmacy professional corporation, \$150.
- 99.26 Sec. 19. Minnesota Statutes 2020, section 151.065, subdivision 3, is amended to read:
- 99.27 Subd. 3. **Annual renewal fees.** Annual licensure and registration renewal fees are as
- 99.28 follows:

- 100.1 (1) pharmacist, \$175;
- 100.2 (2) pharmacy technician, \$50;
- 100.3 (3) pharmacy, \$260;
- 100.4 (4) drug wholesaler, legend drugs only, \$5,260;
- 100.5 (5) drug wholesaler, legend and nonlegend drugs, \$5,260;
- 100.6 (6) drug wholesaler, nonlegend drugs, veterinary legend drugs, or both, \$5,260;
- 100.7 (7) drug wholesaler, medical gases, \$5,260 for the first facility and \$260 for each additional facility;
- 100.9 (8) third-party logistics provider, \$260;
- 100.10 (9) drug manufacturer, nonopiate legend drugs only, \$5,260;
- 100.11 (10) drug manufacturer, nonopiate legend and nonlegend drugs, \$5,260;
- 100.12 (11) drug manufacturer, nonlegend, veterinary legend drugs, or both, \$5,260;
- 100.13 (12) drug manufacturer, medical gases, \$5,260 for the first facility and \$260 for each additional facility;
- 100.15 (13) drug manufacturer, also licensed as a pharmacy in Minnesota, \$5,260;
- 100.16 (14) drug manufacturer of opiate-containing controlled substances listed in section
- 100.17 152.02, subdivisions 3 to 5, \$55,260;
- 100.18 (15) medical gas dispenser, \$260;
- 100.19 (16) controlled substance researcher, \$75; and
- 100.20 (17) pharmacy professional corporation, \$100.
- Sec. 20. Minnesota Statutes 2020, section 151.065, subdivision 7, is amended to read:
- Subd. 7. **Deposit of fees.** (a) The license fees collected under this section, with the
- exception of the fees identified in paragraphs (b) and (c), shall be deposited in the state
- 100.24 government special revenue fund.
- (b) \$5,000 of each fee collected under subdivision 1, clauses (6) to  $\frac{(9)}{(8)}$ , and (11) to
- 100.26 (13), and (15), and subdivision 3, clauses (4) to (7) (6), and (9) to (11), and (13), and \$55,000
- of each fee collected under subdivision 1, clause (16), and subdivision 3, clause (14), shall
- be deposited in the opiate epidemic response fund established in section 256.043.

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- 101.1 (c) If the fees collected under subdivision 1, clause (16), or subdivision 3, clause (14), are reduced under section 256.043, \$5,000 of the reduced fee shall be deposited in the opiate 101.2 epidemic response fund in section 256.043. 101.3
- Sec. 21. Minnesota Statutes 2020, section 152.125, is amended to read: 101.4

#### 152.125 INTRACTABLE PAIN.

- Subdivision 1. **Definition Definitions.** (a) For purposes of this section, the terms in this 101.6 subdivision have the meanings given. 101.7
- (b) "Drug diversion" means the unlawful transfer of prescription drugs from their licit 101.8 medical purpose to the illicit marketplace. 101.9
- (c) "Intractable pain" means a pain state in which the cause of the pain cannot be removed or otherwise treated with the consent of the patient and in which, in the generally accepted 101.11 course of medical practice, no relief or cure of the cause of the pain is possible, or none has 101.12 been found after reasonable efforts. Conditions associated with intractable pain include but 101.13 are not limited to cancer and the recovery period, sickle cell disease, noncancer pain, rare 101.14 diseases, orphan diseases, severe injuries, and health conditions requiring the provision of 101.15 palliative care or hospice care. Reasonable efforts for relieving or curing the cause of the 101.16 101.17 pain may be determined on the basis of, but are not limited to, the following:
- (1) when treating a nonterminally ill patient for intractable pain, an evaluation conducted by the attending physician, advanced practice registered nurse, or physician assistant and one or more physicians, advanced practice registered nurses, or physician assistants specializing in pain medicine or the treatment of the area, system, or organ of the body confirmed or perceived as the source of the intractable pain; or 101.22
- (2) when treating a terminally ill patient, an evaluation conducted by the attending 101.23 physician, advanced practice registered nurse, or physician assistant who does so in 101.24 accordance with the standard of care and the level of care, skill, and treatment that would 101.25 be recognized by a reasonably prudent physician, advanced practice registered nurse, or 101.26 physician assistant under similar conditions and circumstances. 101.27
  - (d) "Palliative care" has the meaning provided in section 144A.75, subdivision 12.
- (e) "Rare disease" means a disease, disorder, or condition that affects fewer than 200,000 101.29 individuals in the United States and is chronic, serious, life altering, or life threatening. 101.30

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Subd. 1a. Criteria for the evaluation and treatment of intractable pain. The evaluation 102.1 and treatment of intractable pain when treating a nonterminally ill patient is governed by 102.2 102.3 the following criteria: (1) a diagnosis of intractable pain by the treating physician, advanced practice registered 102.4 nurse, or physician assistant and either by a physician, advanced practice registered nurse, 102.5 or physician assistant specializing in pain medicine or a physician, advanced practice 102.6 registered nurse, or physician assistant treating the area, system, or organ of the body that 102.7 102.8 is the source of the pain is sufficient to meet the definition of intractable pain; and (2) the cause of the diagnosis of intractable pain must not interfere with medically 102.9 102.10 necessary treatment including but not limited to prescribing or administering a controlled substance in Schedules II to V of section 152.02. 102.11 102.12 Subd. 2. Prescription and administration of controlled substances for intractable pain. (a) Notwithstanding any other provision of this chapter, a physician, advanced practice 102.13 registered nurse, or physician assistant may prescribe or administer a controlled substance in Schedules II to V of section 152.02 to an individual a patient in the course of the 102.15 physician's, advanced practice registered nurse's, or physician assistant's treatment of the 102.16 individual patient for a diagnosed condition causing intractable pain. No physician, advanced 102.17 practice registered nurse, or physician assistant shall be subject to disciplinary action by 102.18 the Board of Medical Practice or Board of Nursing for appropriately prescribing or 102.19 administering a controlled substance in Schedules II to V of section 152.02 in the course 102.20 of treatment of an individual a patient for intractable pain, provided the physician, advanced 102.21 practice registered nurse, or physician assistant: 102.22 (1) keeps accurate records of the purpose, use, prescription, and disposal of controlled 102.23 substances, writes accurate prescriptions, and prescribes medications in conformance with 102.24 chapter 147- or 148 or in accordance with the current standard of care; and 102.25 (2) enters into a patient-provider agreement that meets the criteria in subdivision 5. 102.26 (b) No physician, advanced practice registered nurse, or physician assistant, acting in 102.27 good faith and based on the needs of the patient, shall be subject to any civil or criminal 102.28 action or investigation, disenrollment, or termination by the commissioner of health or 102.29 human services solely for prescribing a dosage that equates to an upward deviation from 102.30 morphine milligram equivalent dosage recommendations or thresholds specified in state or 102.31 federal opioid prescribing guidelines or policies, including but not limited to the Guideline 102.32 for Prescribing Opioids for Chronic Pain issued by the Centers for Disease Control and 102.33 Prevention, Minnesota opioid prescribing guidelines, the Minnesota opioid prescribing 102.34

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improvement program, and the Minnesota quality improvement program established under section 256B.0638.

- (c) A physician, advanced practice registered nurse, or physician assistant treating intractable pain by prescribing, dispensing, or administering a controlled substance in Schedules II to V of section 152.02 that includes but is not limited to opioid analgesics must not taper a patient's medication dosage solely to meet a predetermined morphine milligram equivalent dosage recommendation or threshold if the patient is stable and compliant with the treatment plan, is experiencing no serious harm from the level of medication currently being prescribed or previously prescribed, and is in compliance with the patient-provider agreement as described in subdivision 5.
- (d) A physician's, advanced practice registered nurse's, or physician assistant's decision
   to taper a patient's medication dosage must be based on factors other than a morphine
   milligram equivalent recommendation or threshold.
- (e) No pharmacist, health plan company, or pharmacy benefit manager shall refuse to
  fill a prescription for an opiate issued by a licensed practitioner with the authority to prescribe
  opiates solely based on the prescription exceeding a predetermined morphine milligram
  equivalent dosage recommendation or threshold.
- Subd. 3. **Limits on applicability.** This section does not apply to:
- (1) a physician's, advanced practice registered nurse's, or physician assistant's treatment of an individual a patient for chemical dependency resulting from the use of controlled substances in Schedules II to V of section 152.02;
- (2) the prescription or administration of controlled substances in Schedules II to V of section 152.02 to an individual a patient whom the physician, advanced practice registered nurse, or physician assistant knows to be using the controlled substances for nontherapeutic or drug diversion purposes;
- (3) the prescription or administration of controlled substances in Schedules II to V of section 152.02 for the purpose of terminating the life of an individual a patient having intractable pain; or
- (4) the prescription or administration of a controlled substance in Schedules II to V of section 152.02 that is not a controlled substance approved by the United States Food and Drug Administration for pain relief.
- Subd. 4. **Notice of risks.** Prior to treating an individual a patient for intractable pain in accordance with subdivision 2, a physician, advanced practice registered nurse, or physician

104.1	assistant shall discuss with the individual patient or the patient's legal guardian, if applicable,
104.2	the risks associated with the controlled substances in Schedules II to V of section 152.02
104.3	to be prescribed or administered in the course of the physician's, advanced practice registered
104.4	nurse's, or physician assistant's treatment of an individual a patient, and document the
104.5	discussion in the individual's patient's record as required in the patient-provider agreement
104.6	described in subdivision 5.
104.7	Subd. 5. Patient-provider agreement. (a) Before treating a patient for intractable pain,
104.8	a physician, advanced practice registered nurse, or physician assistant and the patient or the
104.9	patient's legal guardian, if applicable, must mutually agree to the treatment and enter into
104.10	a provider-patient agreement. The agreement must include a description of the prescriber's
104.11	and the patient's expectations, responsibilities, and rights according to best practices and
104.12	current standards of care.
104.13	(b) The agreement must be signed by the patient or the patient's legal guardian, if
104.14	applicable, and the physician, advanced practice registered nurse, or physician assistant and
104.15	included in the patient's medical records. A copy of the signed agreement must be provided
104.16	to the patient.
104.17	(c) The agreement must be reviewed by the patient and the physician, advanced practice
104.18	registered nurse, or physician assistant annually. If there is a change in the patient's treatment
104.19	plan, the agreement must be updated and a revised agreement must be signed by the patient
104.20	or the patient's legal guardian. A copy of the revised agreement must be included in the
104.21	patient's medical record and a copy must be provided to the patient.
104.22	(d) A patient-provider agreement is not required in an emergency or inpatient hospital
104.23	setting.
104.24	Sec. 22. APPROPRIATION.
104.25	\$157,000 in fiscal year 2023 is appropriated from the state government special revenue
104.26	fund to the Board of Nursing for the purposes of implementing Minnesota Statutes, section
104.27	148.2855. The base for this appropriation is \$6,000 in fiscal year 2024 and \$6,000 in fiscal
104.28	<u>year 2025.</u>
104.29	Sec. 23. REPEALER.
104.30	Minnesota Statutes 2020, section 147.02, subdivision 2a, is repealed.

**EFFECTIVE DATE.** This section is effective the day following final enactment.

105.1 **ARTICLE 4** 

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### MINNESOTA HEALTH AND EDUCATION FACILITIES AUTHORITY

Section 1. Minnesota Statutes 2020, section 3.732, subdivision 1, is amended to read:

Subdivision 1. **Definitions.** As used in this section and section 3.736 the terms defined in this section have the meanings given them.

- (1) "State" includes each of the departments, boards, agencies, commissions, courts, and officers in the executive, legislative, and judicial branches of the state of Minnesota and includes but is not limited to the Housing Finance Agency, the Minnesota Office of Higher Education, the Higher Health and Education Facilities Authority, the Health Technology Advisory Committee, the Armory Building Commission, the Zoological Board, the Department of Iron Range Resources and Rehabilitation, the Minnesota Historical Society, the State Agricultural Society, the University of Minnesota, the Minnesota State Colleges and Universities, state hospitals, and state penal institutions. It does not include a city, town, county, school district, or other local governmental body corporate and politic.
- 105.15 (2) "Employee of the state" means all present or former officers, members, directors, or employees of the state, members of the Minnesota National Guard, members of a bomb 105.16 disposal unit approved by the commissioner of public safety and employed by a municipality 105.17 defined in section 466.01 when engaged in the disposal or neutralization of bombs or other 105.18 similar hazardous explosives, as defined in section 299C.063, outside the jurisdiction of the 105.19 105.20 municipality but within the state, or persons acting on behalf of the state in an official capacity, temporarily or permanently, with or without compensation. It does not include 105.21 either an independent contractor except, for purposes of this section and section 3.736 only, 105.22 a guardian ad litem acting under court appointment, or members of the Minnesota National 105.23 Guard while engaged in training or duty under United States Code, title 10, or title 32, 105.24 section 316, 502, 503, 504, or 505, as amended through December 31, 1983. Notwithstanding 105.25 sections 43A.02 and 611.263, for purposes of this section and section 3.736 only, "employee 105.26 of the state" includes a district public defender or assistant district public defender in the Second or Fourth Judicial District, a member of the Health Technology Advisory Committee, 105.28 and any officer, agent, or employee of the state of Wisconsin performing work for the state 105.29 of Minnesota pursuant to a joint state initiative. 105.30
  - (3) "Scope of office or employment" means that the employee was acting on behalf of the state in the performance of duties or tasks lawfully assigned by competent authority.
    - (4) "Judicial branch" has the meaning given in section 43A.02, subdivision 25.

Sec. 2. Minnesota Statutes 2021 Supplement, section 10A.01, subdivision 35, is amended 106.1 106.2 to read: Subd. 35. Public official. "Public official" means any: 106.3 (1) member of the legislature; 106.4 106.5 (2) individual employed by the legislature as secretary of the senate, legislative auditor, director of the Legislative Budget Office, chief clerk of the house of representatives, revisor 106.6 of statutes, or researcher, legislative analyst, fiscal analyst, or attorney in the Office of 106.7 Senate Counsel, Research and Fiscal Analysis, House Research, or the House Fiscal Analysis 106.8 Department; 106.9 (3) constitutional officer in the executive branch and the officer's chief administrative 106.10 deputy; 106.11 (4) solicitor general or deputy, assistant, or special assistant attorney general; 106.12 (5) commissioner, deputy commissioner, or assistant commissioner of any state 106.13 department or agency as listed in section 15.01 or 15.06, or the state chief information 106.14 officer: 106 15 (6) member, chief administrative officer, or deputy chief administrative officer of a state 106.16 board or commission that has either the power to adopt, amend, or repeal rules under chapter 106.17 14, or the power to adjudicate contested cases or appeals under chapter 14; 106.18 (7) individual employed in the executive branch who is authorized to adopt, amend, or 106.19 repeal rules under chapter 14 or adjudicate contested cases under chapter 14; 106.20 (8) executive director of the State Board of Investment; 106.21 (9) deputy of any official listed in clauses (7) and (8); 106.22 (10) judge of the Workers' Compensation Court of Appeals; 106.23 (11) administrative law judge or compensation judge in the State Office of Administrative 106.24 Hearings or unemployment law judge in the Department of Employment and Economic 106.25 Development; 106.26 (12) member, regional administrator, division director, general counsel, or operations 106.27 manager of the Metropolitan Council; 106.28 (13) member or chief administrator of a metropolitan agency; 106.29 (14) director of the Division of Alcohol and Gambling Enforcement in the Department 106.30

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of Public Safety;

- 107.1 (15) member or executive director of the <u>Higher Health and Education Facilities</u>
- 107.2 Authority;
- 107.3 (16) member of the board of directors or president of Enterprise Minnesota, Inc.;
- 107.4 (17) member of the board of directors or executive director of the Minnesota State High
- 107.5 School League;
- 107.6 (18) member of the Minnesota Ballpark Authority established in section 473.755;
- 107.7 (19) citizen member of the Legislative-Citizen Commission on Minnesota Resources;
- 107.8 (20) manager of a watershed district, or member of a watershed management organization
- as defined under section 103B.205, subdivision 13;
- 107.10 (21) supervisor of a soil and water conservation district;
- 107.11 (22) director of Explore Minnesota Tourism;
- 107.12 (23) citizen member of the Lessard-Sams Outdoor Heritage Council established in section
- 107.13 **97A.056**;
- 107.14 (24) citizen member of the Clean Water Council established in section 114D.30;
- 107.15 (25) member or chief executive of the Minnesota Sports Facilities Authority established
- 107.16 in section 473J.07;
- 107.17 (26) district court judge, appeals court judge, or supreme court justice;
- 107.18 (27) county commissioner;
- 107.19 (28) member of the Greater Minnesota Regional Parks and Trails Commission;
- 107.20 (29) member of the Destination Medical Center Corporation established in section
- 107.21 469.41; or
- 107.22 (30) chancellor or member of the Board of Trustees of the Minnesota State Colleges
- 107.23 and Universities.
- Sec. 3. Minnesota Statutes 2020, section 136A.25, is amended to read:
- 107.25 **136A.25 CREATION.**
- A state agency known as the Minnesota Higher Health and Education Facilities Authority
- 107.27 is hereby created.

Sec. 4. Minnesota Statutes 2020, section 136A.26, is amended to read: 108.1

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### 136A.26 MEMBERSHIPS; OFFICERS; COMPENSATION; REMOVAL.

Subdivision 1. **Membership.** The Minnesota Higher Health and Education Facilities Authority shall consist of eight nine members appointed by the governor with the advice and consent of the senate, and a representative of the office of Higher Education.

All members to be appointed by the governor shall be residents of the state. At least two members must reside outside the metropolitan area as defined in section 473.121, subdivision 2. At least one of the members shall be a person having a favorable reputation for skill, knowledge, and experience in the field of state and municipal finance; and at least one shall be a person having a favorable reputation for skill, knowledge, and experience in the building construction field; and at least one of the members shall be a trustee, director, officer, or employee of an institution of higher education; and at least one of the members shall be a trustee, director, officer, or employee of a health care organization.

Subd. 1a. Private College Council member. The president of the Minnesota Private College Council, or the president's designee, shall serve without compensation as an advisory, nonvoting member of the authority.

Subd. 1b. Nonprofit health care association member. The chief executive officer of a Minnesota nonprofit membership association whose members are primarily nonprofit health care organizations, or the chief executive officer's designee, shall serve without compensation as an advisory, nonvoting member of the authority. The identity of the Minnesota nonprofit membership association shall be determined and may be changed from time to time by the members of the authority in accordance with and as shall be provided in the bylaws of the authority.

Subd. 2. Term; compensation; removal. The membership terms, compensation, removal of members, and filling of vacancies for authority members other than the representative of the office, and the president of the Private College Council, or the chief executive officer of the Minnesota nonprofit membership association described in subdivision 1b shall be as provided in section 15.0575.

Sec. 5. Minnesota Statutes 2020, section 136A.27, is amended to read:

#### **136A.27 POLICY.**

It is hereby declared that for the benefit of the people of the state, the increase of their commerce, welfare and prosperity and the improvement of their health and living conditions 108.32 it is essential that health care organizations within the state be provided with appropriate 108.33

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additional means to establish, acquire, construct, improve, and expand health care facilities in furtherance of their purposes; that this and future generations of youth be given the fullest opportunity to learn and to develop their intellectual and mental capacities; that it is essential that institutions of higher education within the state be provided with appropriate additional means to assist such youth in achieving the required levels of learning and development of their intellectual and mental capacities; and that health care organizations and institutions of higher education be enabled to refinance outstanding indebtedness incurred to provide existing facilities used for such purposes in order to preserve and enhance the utilization of facilities for purposes of health care and higher education, to extend or adjust maturities in relation to the resources available for their payment, and to save interest costs and thereby 109.10 reduce health care costs or higher education tuition, fees, and charges; and. It is hereby 109.11 further declared that it is the purpose of sections 136A.25 to 136A.42 to provide a measure 109.12 of assistance and an alternative method to enable health care organizations and institutions 109.13 of higher education in the state to provide the facilities and structures which are sorely needed to accomplish the purposes of sections 136A.25 to 136A.42, all to the public benefit 109.15 and good, to the extent and manner provided herein. 109.16

Sec. 6. Minnesota Statutes 2020, section 136A.28, is amended to read:

#### 136A.28 DEFINITIONS.

Subdivision 1. **Scope.** In sections 136A.25 to 136A.42, the following words and terms 109.19 shall, unless the context otherwise requires, have the meanings ascribed to them.

Subd. 1a. Affiliate. "Affiliate" means an entity that directly or indirectly controls, is controlled by, or is under common control with, another entity. For the purposes of this subdivision, "control" means either the power to elect a majority of the members of the governing body of an entity or the power, whether by contract or otherwise, to direct the management and policies of the entity. Affiliate also means an entity whose business or substantially all of whose property is operated under a lease, management agreement, or operating agreement by another entity, or an entity who operates the business or substantially all of the property of another entity under a lease, management agreement, or operating agreement.

- Subd. 2. Authority. "Authority" means the Higher Health and Education Facilities 109.30 Authority created by sections 136A.25 to 136A.42. 109.31
- Subd. 3. **Project.** "Project" means a structure or structures available for use as a dormitory 109.32 or other student housing facility, a dining hall, student union, administration building, 109.33 academic building, library, laboratory, research facility, classroom, athletic facility, health 109.34

care facility, child care facility, and maintenance, storage, or utility facility and other structures or facilities related thereto or required or useful for the instruction of students or the conducting of research or the operation of an institution of higher education, whether proposed, under construction, or completed, including parking and other facilities or structures essential or convenient for the orderly conduct of such institution for higher education, and shall also include landscaping, site preparation, furniture, equipment and machinery, and other similar items necessary or convenient for the operation of a particular facility or structure in the manner for which its use is intended but shall not include such items as books, fuel, supplies, or other items the costs of which are customarily deemed to result in a current operating charge, and shall a health care facility or an education facility whether proposed, under construction, or completed, and includes land or interests in land, appurtenances, site preparation, landscaping, buildings and structures, systems, fixtures, furniture, machinery, equipment, and parking. Project also includes other structures, facilities, improvements, machinery, equipment, and means of transport of a capital nature that are necessary or convenient for the operation of the facility. Project does not include: (1) any facility used or to be used for sectarian instruction or as a place of religious worship nor; (2) any facility which is used or to be used primarily in connection with any part of the program of a school or department of divinity for any religious denomination; nor (3) any books, supplies, medicine, medical supplies, fuel, or other items, the cost of which are customarily deemed to result in a current operating charge.

Subd. 4. **Cost.** "Cost," as applied to a project or any portion thereof financed under the provisions of sections 136A.25 to 136A.42, means all or any part of the cost of construction, acquisition, alteration, enlargement, reconstruction and remodeling of a project including all lands, structures, real or personal property, rights, rights-of-way, franchises, easements and interests acquired or used for or in connection with a project, the cost of demolishing or removing any buildings or structures on land so acquired, including the cost of acquiring any lands to which such buildings or structures may be moved, the cost of all machinery and equipment, financing charges, interest prior to, during and for a period after completion of such construction and acquisition, provisions for reserves for principal and interest and for extensions, enlargements, additions and improvements, the cost of architectural, engineering, financial and legal services, plans, specifications, studies, surveys, estimates of cost and of revenues, administrative expenses, expenses necessary or incident to determining the feasibility or practicability of constructing the project and such other expenses as may be necessary or incident to the construction and acquisition of the project, the financing of such construction and acquisition and the placing of the project in operation.

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Subd. 5. Bonds. "Bonds," or "revenue bonds" means revenue bonds of the authority 111.1 issued under the provisions of sections 136A.25 to 136A.42, including revenue refunding 111.2 111.3 bonds, notwithstanding that the same may be secured by mortgage or the full faith and credit of a participating institution for higher education or any other lawfully pledged security of 111.4 a participating institution for higher education. 111.5 Subd. 6. Institution of higher education. "Institution of higher education" means a 111.6 nonprofit educational institution within the state authorized to provide a program of education 111.7 beyond the high school level. 111.8 Subd. 6a. Health care organization. (a) "Health care organization" means a nonprofit 111.9 organization located within the state and authorized by law to operate a nonprofit health 111.10 care facility in the state. Health care organization also means a nonprofit affiliate of a health 111.11 care organization as defined under this paragraph, provided the affiliate is located within 111.12 the state or within a state that is geographically contiguous to Minnesota. 111.13 (b) Health care organization also means a nonprofit organization located within another 111.14 111.15 state that is geographically contiguous to Minnesota and authorized by law to operate a nonprofit health care facility in that state, provided that the nonprofit organization located 111.16 within the contiguous state is an affiliate of a health care organization located within the 111.17 state. 111.18 Subd. 6b. Education facility. "Education facility" means a structure or structures 111.19 available for use as a dormitory or other student housing facility, dining hall, student union, 111.20 administration building, academic building, library, laboratory, research facility, classroom, 111.21 athletic facility, student health care facility, or child care facility, and includes other facilities 111.22 or structures related thereto essential or convenient for the orderly conduct of an institution 111.23 111.24 of higher education. Subd. 6c. Health care facility. (a) "Health care facility" means a structure or structures 111.25 available for use within this state as a hospital, clinic, psychiatric residential treatment 111.26 facility, birth center, outpatient surgical center, comprehensive outpatient rehabilitation 111.27 111.28 facility, outpatient physical therapy or speech pathology facility, end-stage renal dialysis facility, medical laboratory, pharmacy, radiation therapy facility, diagnostic imaging facility, 111.29 medical office building, residence for nurses or interns, nursing home, boarding care home, 111.30 assisted living facility, residential hospice, intermediate care facility for persons with 111.31 developmental disabilities, supervised living facility, housing with services establishment, 111.32 board and lodging establishment with special services, adult day care center, day services 111.33

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facility, prescribed pediatric extended care facility, community residential setting, adult

112.1	foster home, or other facility related to medical or health care research, or the delivery or
112.2	administration of health care services, and includes other structures or facilities related
112.3	thereto essential or convenient for the orderly conduct of a health care organization.
112.4	(b) Health care facility also means a facility in a state that is geographically contiguous
112.5	to Minnesota operated by a health care organization that corresponds by purpose, function,
112.6	or use with a facility listed in paragraph (a).
112.7	Subd. 7. Participating institution of higher education. "Participating institution of
112.8	higher education" means a health care organization or an institution of higher education
112.9	that, under the provisions of sections 136A.25 to 136A.42, undertakes the financing and
112.10	construction or acquisition of a project or undertakes the refunding or refinancing of
112.11	obligations or of a mortgage or of advances as provided in sections 136A.25 to 136A.42.
112.12	Community colleges and technical colleges may be considered participating institutions of
112.13	higher education for the purpose of financing and constructing child care facilities and
112.14	parking facilities.
112.15	Sec. 7. Minnesota Statutes 2020, section 136A.29, subdivision 1, is amended to read:
112.16	Subdivision 1. <b>Purpose.</b> The purpose of the authority shall be to assist <u>health care</u>
112.17	organizations and institutions of higher education in the construction, financing, and
112.18	refinancing of projects. The exercise by the authority of the powers conferred by sections
112.19	136A.25 to 136A.42, shall be deemed and held to be the performance of an essential public
112.20	function. For the purpose of sections 136A.25 to 136A.42, the authority shall have the
112.21	powers and duties set forth in subdivisions 2 to 23.
112.22	Sec. 8. Minnesota Statutes 2020, section 136A.29, subdivision 3, is amended to read:
112.23	Subd. 3. <b>Employees.</b> The authority is authorized and empowered to appoint and employ
112.24	employees as it may deem necessary to carry out its duties, determine the title of the
112.25	employees so employed, and fix the salary of said its employees. Employees of the authority
112.26	shall participate in retirement and other benefits in the same manner that employees in the
112.27	unclassified service of the office managerial plan under section 43A.18, subdivision 3,
112.28	participate.
112.20	See 0 Minnegate Statutes 2020 section 1264 20 subdivision 6 is amended to read
112.29	Sec. 9. Minnesota Statutes 2020, section 136A.29, subdivision 6, is amended to read:
112.30	Subd. 6. <b>Projects</b> ; <b>generally.</b> (a) The authority is authorized and empowered to determine
112.31	the location and character of any project to be financed under the provisions of sections
112 32	136A 25 to 136A 42 and to construct reconstruct remodel maintain manage enlarge

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alter, add to, repair, operate, lease, as lessee or lessor, and regulate the same, to enter into contracts for any or all of such purposes, to enter into contracts for the management and operation of a project, and to designate a participating institution of higher education as its agent to determine the location and character of a project undertaken by such participating institution of higher education under the provisions of sections 136A.25 to 136A.42 and as the agent of the authority, to construct, reconstruct, remodel, maintain, manage, enlarge, alter, add to, repair, operate, lease, as lessee or lessor, and regulate the same, and as the agent of the authority, to enter into contracts for any or all of such purposes, including contracts for the management and operation of such project.

- (b) Notwithstanding paragraph (a), a project involving a health care facility within the state financed under sections 136A.25 to 136A.42, must comply with all applicable requirements in state law related to authorizing construction of or modifications to a health care facility, including the requirements of sections 144.5509, 144.551, 144A.071, and 252.291.
- (c) Contracts of the authority or of a participating institution of higher education to acquire or to construct, reconstruct, remodel, maintain, enlarge, alter, add to, or repair projects shall not be subject to the provisions of chapter 16C or section 574.26, or any other public contract or competitive bid law.
- Sec. 10. Minnesota Statutes 2020, section 136A.29, subdivision 9, is amended to read:
- Subd. 9. **Revenue bonds; limit.** (a) The authority is authorized and empowered to issue revenue bonds whose aggregate principal amount at any time shall not exceed \$1,300,000,000 113.22 \$4,000,000,000 and to issue notes, bond anticipation notes, and revenue refunding bonds of the authority under the provisions of sections 136A.25 to 136A.42, to provide funds for acquiring, constructing, reconstructing, enlarging, remodeling, renovating, improving, furnishing, or equipping one or more projects or parts thereof.
- (b) Of the \$4,000,000,000 limit in paragraph (a), the aggregate principal amount used to fund education facilities may not exceed \$1,750,000,000 at any time, and the aggregate principal amount used to fund health care facilities may not exceed \$2,250,000,000 at any time.
- Sec. 11. Minnesota Statutes 2020, section 136A.29, subdivision 10, is amended to read:
- Subd. 10. **Revenue bonds; issuance, purpose, conditions.** The authority is authorized and empowered to issue revenue bonds to acquire projects from or to make loans to participating institutions of higher education and thereby refinance outstanding indebtedness

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incurred by participating institutions of higher education to provide funds for the acquisition, construction or improvement of a facility before or after the enactment of sections 136A.25 to 136A.42, but otherwise eligible to be and being a project thereunder, whenever the authority finds that such refinancing will enhance or preserve such participating institutions and such facilities or utilization thereof for health care or educational purposes or extend or adjust maturities to correspond to the resources available for their payment, or reduce charges or fees imposed on patients or occupants, or the tuition, charges, or fees imposed on students for the use or occupancy of the facilities of such participating institutions of higher education or costs met by federal or state public funds, or enhance or preserve health care or educational programs and research or the acquisition or improvement of other facilities eligible to be a project or part thereof by the participating institution of higher education. The amount of revenue bonds to be issued to refinance outstanding indebtedness of a participating institution of higher education shall not exceed the lesser of (a) the fair value of the project to be acquired by the authority from the institution or mortgaged to the authority by the institution or (b) the amount of the outstanding indebtedness including any premium thereon and any interest accrued or to accrue to the date of redemption and any legal, fiscal and related costs in connection with such refinancing and reasonable reserves, as determined by the authority. The provisions of this subdivision do not prohibit the authority from issuing revenue bonds within and charged against the limitations provided in subdivision 9 to provide funds for improvements, alteration, renovation, or extension of the project refinanced.

Sec. 12. Minnesota Statutes 2020, section 136A.29, subdivision 14, is amended to read:

Subd. 14. Rules for use of projects. The authority is authorized and empowered to establish rules for the use of a project or any portion thereof and to designate a participating institution of higher education as its agent to establish rules for the use of a project undertaken for such participating institution of higher education.

Sec. 13. Minnesota Statutes 2020, section 136A.29, subdivision 19, is amended to read:

Subd. 19. Surety. Before the issuance of any revenue bonds under the provisions of sections 136A.25 to 136A.42, any member or officer of the authority authorized by resolution of the authority to handle funds or sign checks of the authority shall be covered under a surety or fidelity bond in an amount to be determined by the authority. Each such bond shall be conditioned upon the faithful performance of the duties of the office of the member or officer, and shall be executed by a surety company authorized to transact business in the state of Minnesota as surety. The cost of each such bond shall be paid by the authority.

Sec. 14. Minnesota Statutes 2020, section 136A.29, subdivision 20, is amended to read: 115.1 Subd. 20. Sale, lease, and disposal of property. The authority is authorized and 115.2 empowered to sell, lease, release, or otherwise dispose of real and personal property or 115.3 interests therein, or a combination thereof, acquired by the authority under authority of 115.4 sections 136A.25 to 136A.42 and no longer needed for the purposes of such this chapter or 115.5 of the authority, and grant such easements and other rights in, over, under, or across a project 115.6 as will not interfere with its use of such the property. Such The sale, lease, release, 115.7 115.8 disposition, or grant may be made without competitive bidding and in such the manner and for such consideration as the authority in its judgment deems appropriate. 115.9 Sec. 15. Minnesota Statutes 2020, section 136A.29, subdivision 21, is amended to read: 115.10 115.11 Subd. 21. Loans. The authority is authorized and empowered to make loans to any participating institution of higher education for the cost of a project in accordance with an 115.12 agreement between the authority and the participating institution of higher education; 115.13 provided that no such loan shall exceed the total cost of the project as determined by the 115.14 participating institution of higher education and approved by the authority. 115.15 Sec. 16. Minnesota Statutes 2020, section 136A.29, subdivision 22, is amended to read: 115.16 Subd. 22. Costs, expenses, and other charges. The authority is authorized and 115.17 empowered to charge to and apportion among participating institutions of higher education 115.18 its administrative costs and expenses incurred in the exercise of the powers and duties 115.19 conferred by sections 136A.25 to 136A.42 in the manner as the authority in its judgment 115.20 deems appropriate. 115.21

Sec. 17. Minnesota Statutes 2020, section 136A.29, is amended by adding a subdivision to read:

Subd. 24. Determination of affiliate status. The authority is authorized and empowered to determine whether an entity is an affiliate as defined in section 136A.28, subdivision 1a.

A determination by the authority of affiliate status shall be deemed conclusive for the purposes of sections 136A.25 to 136A.42.

Sec. 18. Minnesota Statutes 2020, section 136A.32, subdivision 4, is amended to read:

Subd. 4. **Provisions of resolution authorizing bonds.** Any resolution or resolutions authorizing any revenue bonds or any issue of revenue bonds may contain provisions, which shall be a part of the contract with the holders of the revenue bonds to be authorized, as to:

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(1) pledging all or any part of the revenues of a project or projects, any revenue producing
contract or contracts made by the authority with any individual partnership, corporation or
association or other body one or more partnerships, corporations or associations, or other
<u>bodies</u> , public or private, to secure the payment of the revenue bonds or of any particular
issue of revenue bonds, subject to such agreements with bondholders as may then exist;
(2) the rentals, fees and other charges to be charged, and the amounts to be raised in
each year thereby, and the use and disposition of the revenues;

- 116.8 (3) the setting aside of reserves or sinking funds, and the regulation and disposition 116.9 thereof;
- (4) limitations on the right of the authority or its agent to restrict and regulate the use of the project;
- 116.12 (5) limitations on the purpose to which the proceeds of sale of any issue of revenue bonds then or thereafter to be issued may be applied and pledging such proceeds to secure the payment of the revenue bonds or any issue of the revenue bonds;
- 116.15 (6) limitations on the issuance of additional bonds, the terms upon which additional bonds may be issued and secured and the refunding of outstanding bonds;
- 116.17 (7) the procedure, if any, by which the terms of any contract with bondholders may be
  116.18 amended or abrogated, the amount of bonds the holders of which must consent thereto, and
  116.19 the manner in which such consent may be given;
- (8) limitations on the amount of moneys derived from the project to be expended for operating, administrative or other expenses of the authority;
- (9) defining the acts or omissions to act which shall constitute a default in the duties of the authority to holders of its obligations and providing the rights and remedies of such holders in the event of a default; or
- 116.25 (10) the mortgaging of a project and the site thereof for the purpose of securing the bondholders.
- Sec. 19. Minnesota Statutes 2020, section 136A.33, is amended to read:

#### 136A.33 TRUST AGREEMENT.

In the discretion of the authority any revenue bonds issued under the provisions of sections 136A.25 to 136A.42, may be secured by a trust agreement by and between the authority and a corporate trustee or trustees, which may be any trust company or bank having the powers of a trust company within the state. Such The trust agreement or the resolution

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providing for the issuance of such revenue bonds may pledge or assign the revenues to be received or proceeds of any contract or contracts pledged and may convey or mortgage the project or any portion thereof. Such The trust agreement or resolution providing for the issuance of such revenue bonds may contain such provisions for protecting and enforcing the rights and remedies of the bondholders as may be reasonable and proper and not in violation of laws, including particularly such provisions as have hereinabove been specifically authorized to be included in any resolution or resolutions of the authority authorizing revenue bonds thereof. Any bank or trust company incorporated under the laws of the state which that may act as depository of the proceeds of bonds or of revenues or other moneys may furnish such indemnifying bonds or pledges such pledge securities as may be required by 117.10 the authority. Any such trust agreement may set forth the rights and remedies of the bondholders and of the trustee or trustees and may restrict the individual right of action by 117.12 bondholders. In addition to the foregoing, any such trust agreement or resolution may contain 117.13 such other provisions as the authority may deem reasonable and proper for the security of 117.14 the bondholders. All expenses incurred in carrying out the provisions of such the trust 117.15 agreement or resolution may be treated as a part of the cost of the operation of a project. 117.16

Sec. 20. Minnesota Statutes 2020, section 136A.34, subdivision 3, is amended to read:

Subd. 3. **Investment.** Any such escrowed proceeds, pending such use, may be invested and reinvested in direct obligations of the United States of America, or in certificates of deposit or time deposits secured by direct obligations of the United States of America, or in shares or units in any money market mutual fund whose investment portfolio consists solely of direct obligations of the United States of America, maturing at such time or times as shall be appropriate to assure the prompt payment, as to principal, interest and redemption premium, if any, of the outstanding revenue bonds to be so refunded. The interest, income and profits, if any, earned or realized on any such investment may also be applied to the payment of the outstanding revenue bonds to be so refunded. After the terms of the escrow have been fully satisfied and carried out, any balance of such proceeds and interest, income and profits, if any, earned or realized on the investments thereof may be returned to the authority for use by it in any lawful manner.

Sec. 21. Minnesota Statutes 2020, section 136A.34, subdivision 4, is amended to read:

Subd. 4. Additional purpose; improvements. The portion of the proceeds of any such revenue bonds issued for the additional purpose of paying all or any part of the cost of constructing and acquiring additions, improvements, extensions or enlargements of a project may be invested or deposited in time deposits as provided in section 136A.32, subdivision 7.

Sec. 22. Minnesota Statutes 2020, section 136A.36, is amended to read:

#### 136A.36 REVENUES.

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The authority may fix, revise, charge and collect rates, rents, fees and charges for the use of and for the services furnished or to be furnished by each project and to may contract with any person, partnership, association or corporation, or other body, public or private, in respect thereof. Such The rates, rents, fees, and charges may vary between projects involving an education facility and projects involving a health care facility and shall be fixed and adjusted in respect of the aggregate of rates, rents, fees, and charges from such the project so as to provide funds sufficient with other revenues, if any:

- (1) to pay the cost of maintaining, repairing and operating the project and each and every portion thereof, to the extent that the payment of such cost has not otherwise been adequately provided for;
- (2) to pay the principal of and the interest on outstanding revenue bonds of the authority issued in respect of such project as the same shall become due and payable; and
- 118.17 (3) to create and maintain reserves required or provided for in any resolution authorizing, or trust agreement securing, such revenue bonds of the authority. Such The rates, rents, fees 118.18 and charges shall not be subject to supervision or regulation by any department, commission, board, body, bureau or agency of this state other than the authority. A sufficient amount of 118.20 the revenues derived in respect of a project, except such part of such the revenues as may 118.21 be necessary to pay the cost of maintenance, repair and operation and to provide reserves 118.22 and for renewals, replacements, extensions, enlargements and improvements as may be 118.23 provided for in the resolution authorizing the issuance of any revenue bonds of the authority 118.24 or in the trust agreement securing the same, shall be set aside at such regular intervals as 118.25 may be provided in such the resolution or trust agreement in a sinking or other similar fund 118.26 which that is hereby pledged to, and charged with, the payment of the principal of and the 118.27 interest on such revenue bonds as the same shall become due, and the redemption price or 118.28 the purchase price of bonds retired by call or purchase as therein provided. Such The pledge 118.29 shall be valid and binding from the time when the pledge is made; the rates, rents, fees and 118.30 charges and other revenues or other moneys so pledged and thereafter received by the 118.31 authority shall immediately be subject to the lien of such the pledge without physical delivery 118.32 thereof or further act, and the lien of any such pledge shall be valid and binding as against 118.33 all parties having claims of any kind against the authority, irrespective of whether such 118.34

parties have notice thereof. Neither the resolution nor any trust agreement by which a pledge is created need be filed or recorded except in the records of the authority. The use and disposition of moneys to the credit of such sinking or other similar fund shall be subject to the provisions of the resolution authorizing the issuance of such bonds or of such trust agreement. Except as may otherwise be provided in such the resolution or such trust agreement, such the sinking or other similar fund shall be a fund for all such revenue bonds issued to finance a project or projects at one or more participating institutions of higher education without distinction or priority of one over another; provided the authority in any such resolution or trust agreement may provide that such sinking or other similar fund shall be the fund for a particular project at an a participating institution of higher education and for the revenue bonds issued to finance a particular project and may, additionally, permit and provide for the issuance of revenue bonds having a subordinate lien in respect of the security herein authorized to other revenue bonds of the authority and, in such case, the authority may create separate or other similar funds in respect of such the subordinate lien bonds.

Sec. 23. Minnesota Statutes 2020, section 136A.38, is amended to read:

#### 136A.38 BONDS ELIGIBLE FOR INVESTMENT.

Bonds issued by the authority under the provisions of sections 136A.25 to 136A.42, are hereby made securities in which all public officers and public bodies of the state and its political subdivisions, all insurance companies, trust companies, banking associations, investment companies, executors, administrators, trustees and other fiduciaries may properly and legally invest funds, including capital in their control or belonging to them; it being the purpose of this section to authorize the investment in such bonds of all sinking, insurance, retirement, compensation, pension and trust funds, whether owned or controlled by private or public persons or officers; provided, however, that nothing contained in this section may be construed as relieving any person, firm, or corporation from any duty of exercising due care in selecting securities for purchase or investment; and provide further, that in no event shall assets of pension funds of public employees of the state of Minnesota or any of its agencies, boards or subdivisions, whether publicly or privately administered, be invested in bonds issued under the provisions of sections 136A.25 to 136A.42. Such bonds are hereby constituted "authorized securities" within the meaning and for the purposes of Minnesota Statutes 1969, section 50.14. Such The bonds are hereby made securities which that may properly and legally be deposited with and received by any state or municipal officer or any agency or political subdivision of the state for any purpose for which the deposit of bonds or obligations of the state now or may hereafter be authorized by law.

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Sec. 24. Minnesota Statutes 2020, section 136A.41, is amended to read:

### 136A.41 CONFLICT OF INTEREST.

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Notwithstanding any other law to the contrary it shall not be or constitute a conflict of interest for a trustee, director, officer or employee of any participating institution of higher education, financial institution, investment banking firm, brokerage firm, commercial bank or trust company, architecture firm, insurance company, construction company, or any other firm, person or corporation to serve as a member of the authority, provided such trustee, director, officer or employee shall abstain from deliberation, action and vote by the authority in each instance where the business affiliation of any such trustee, director, officer or employee is involved. 120.10

Sec. 25. Minnesota Statutes 2020, section 136A.42, is amended to read:

#### 136A.42 ANNUAL REPORT.

- The authority shall keep an accurate account of all of its activities and all of its receipts 120.13 and expenditures and shall annually report to the office. Each year, the authority shall submit 120.14 to the Minnesota Historical Society and the Legislative Reference Library a report of the 120.15 authority's activities in the previous year, including all financial activities. 120.16
- Sec. 26. Minnesota Statutes 2020, section 136F.67, subdivision 1, is amended to read: 120.17
- Subdivision 1. Authorization. A technical college or a community college must not 120.18 seek financing for child care facilities or parking facilities through the Higher Health and 120.19 Education Facilities Authority, as provided in section 136A.28, subdivision 7, without the 120.20 explicit authorization of the board. 120.21
- Sec. 27. Minnesota Statutes 2020, section 354B.20, subdivision 7, is amended to read: 120.22
- 120.23 Subd. 7. Employing unit. "Employing unit," if the agency employs any persons covered by the individual retirement account plan under section 354B.211, means: 120.24
- 120.25 (1) the board;
- (2) the Minnesota Office of Higher Education; and 120.26
- (3) the Higher Health and Education Facilities Authority. 120.27

#### Sec. 28. **REVISOR INSTRUCTION.** 120.28

The revisor of statutes shall renumber the law establishing and governing the Minnesota 120.29 Higher Education Facilities Authority, renamed the Minnesota Health and Education 120.30

121.1	Facilities Authority in this act, as Minnesota Statutes,	chapter 16F, coded in	Minnesota
121.2	Statutes 2020, sections 136A.25 to 136A.42, as amend	ed or repealed in this a	act. The revisor
121.3	of statutes shall also duplicate any required definitions from Minnesota Statutes, chapter		
121.4	136A, revise any statutory cross-references consistent	with the recoding, an	d report the
121.5	history in Minnesota Statutes, chapter 16F.		
121.6	Sec. 29. REPEALER.		
121.7	Minnesota Statutes 2020, section 136A.29, subdiv	ision 4, is repealed.	
121.8	ARTICLE 5		
121.9	HUMAN SERVICES FORECAST ADJUSTME	NTS AND CARRY	FORWARD
121.10	AUTHORITY		
121.11	Section 1. HUMAN SERVICES APPROPRIATION	<u>N.</u>	
121.12	The dollar amounts shown in the columns marked	"Appropriations" are	added to or, if
121.13	shown in parentheses, are subtracted from the appropri	riations in Laws 2021	, First Special
121.14	Session chapter 7, article 16, from the general fund or	any fund named to th	e Department
121.15	of Human Services for the purposes specified in this a	article, to be available	for the fiscal
121.16	year indicated for each purpose. The figures "2022" and "2023" used in this article mean		
121.17	that the appropriations listed under them are available	for the fiscal years er	nding June 30,
121.18	2022, or June 30, 2023, respectively. "The first year" is	s fiscal year 2022. "Th	e second year"
121.19	is fiscal year 2023. "The biennium" is fiscal years 202	22 and 2023.	
121.20		APPROPRIAT	IONS
121.21		Available for the	e Year
121.22		<b>Ending June</b>	30
121.23		<u>2022</u>	<u>2023</u>
121.24	Sec. 2. <b>COMMISSIONER OF HUMAN</b>		
121.25	<u>SERVICES</u>		
121.26	Subdivision 1. Total Appropriation §	<u>(585,901,000)</u> <u>\$</u>	182,791,000
121.27	Appropriations by Fund		
121.28	General Fund (406,629,000) 185,395,000		
121.29	Health Care Access Fund (86 146 000) (11 700 000)		
121.30 121.31	Fund (86,146,000) (11,799,000) Federal TANF (93,126,000) 9,195,000		
121.32	Subd. 2. Forecasted Programs		
121.33	(a) MFIP/DWP		

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122.1	Appropriations by Fund		
122.2	General Fund 72,106,000 (14,397,000)		
122.3	<u>Federal TANF</u> (93,126,000) <u>9,195,000</u>		
122.4	(b) MFIP Child Care Assistance	(103,347,000)	(73,738,000)
122.5	(c) General Assistance	(4,175,000)	(1,488,000)
122.6	(d) Minnesota Supplemental Aid	318,000	<u>1,613,000</u>
122.7	(e) Housing Support	(1,994,000)	9,257,000
122.8	(f) Northstar Care for Children	(9,613,000)	(4,865,000)
122.9	(g) MinnesotaCare	(86,146,000)	(11,799,000)
122.10	These appropriations are from the health care		
122.11	access fund.		
122.12	(h) Medical Assistance		
122.13	Appropriations by Fund		
122.14	General Fund (348,364,000) 292,880,000		
122.15 122.16	$\frac{\text{Health Care Access}}{\text{Fund}} \qquad \qquad \underline{0} \qquad \qquad \underline{0}$		
122.17	(i) Alternative Care Program	<u>0</u>	<u>0</u>
122.18	(j) Behavioral Health Fund	(11,560,000)	(23,867,000)
122.19	Subd. 3. Technical Activities	<u>0</u>	<u>0</u>
122.20	These appropriations are from the federal		
122.21	TANF fund.		
122.22	<b>EFFECTIVE DATE.</b> This section is effective the	e day following fin	al enactment.
122.23	Sec. 3. Laws 2021, First Special Session chapter 7,	article 16, section	2, subdivision 29,
122.24	is amended to read:		
122.25	Subd. 29. Grant Programs; Disabilities Grants	31,398,000	31,010,000
122.26	(a) Training Stipends for Direct Support		
122.27	Services Providers. \$1,000,000 in fiscal year		
122.28	2022 is from the general fund for stipends for		
122.29	individual providers of direct support services		
122.30	as defined in Minnesota Statutes, section		
122.31	256B.0711, subdivision 1. These The stipends		

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123.1	are available to individual providers who have
123.2	completed designated voluntary trainings
123.3	made available through the State-Provider
123.4	Cooperation Committee formed by the State
123.5	of Minnesota and the Service Employees
123.6	International Union Healthcare Minnesota.
123.7	Any unspent appropriation in fiscal year 2022
123.8	is available in fiscal year 2023. This is a
123.9	onetime appropriation. This appropriation is
123.10	available only if the labor agreement between
123.11	the state of Minnesota and the Service
123.12	Employees International Union Healthcare
123.13	Minnesota under Minnesota Statutes, section
123.14	179A.54, is approved under Minnesota
123.15	Statutes, section 3.855.
123.16	(b) Parent-to-Parent Peer Support. \$125,000
123.17	in fiscal year 2022 and \$125,000 in fiscal year
123.18	2023 are from the general fund for a grant to
123.19	an alliance member of Parent to Parent USA
123.20	to support the alliance member's
123.21	parent-to-parent peer support program for
123.22	families of children with a disability or special
123.23	health care need.
123.24	(c) Self-Advocacy Grants. (1) \$143,000 in
123.25	fiscal year 2022 and \$143,000 in fiscal year
123.26	2023 are from the general fund for a grant
123.27	under Minnesota Statutes, section 256.477,
123.28	subdivision 1.
123.29	(2) \$105,000 in fiscal year 2022 and \$105,000
123.30	in fiscal year 2023 are from the general fund
123.31	for subgrants under Minnesota Statutes,
123.32	section 256.477, subdivision 2.
123.33	(d) Minnesota Inclusion Initiative Grants.
123.34	\$150,000 in fiscal year 2022 and \$150,000 in
123.35	fiscal year 2023 are from the general fund for

124.1	grants under Minnesota Statutes, section		
124.2	256.4772.		
124.3	(e) Grants to Expand Access to Child Care		
124.4	for Children with Disabilities. \$250,000 in		
124.5	fiscal year 2022 and \$250,000 in fiscal year		
124.6	2023 are from the general fund for grants to		
124.7	expand access to child care for children with		
124.8	disabilities. Any unexpended amount in fiscal		
124.9	year 2022 is available through June 30, 2023.		
124.10	This is a onetime appropriation.		
124.11	(f) Parenting with a Disability Pilot Project.		
124.12	The general fund base includes \$1,000,000 in		
124.13	fiscal year 2024 and \$0 in fiscal year 2025 to		
124.14	implement the parenting with a disability pilot		
124.15	project.		
124.16	(g) Base Level Adjustment. The general fund		
124.17	base is \$29,260,000 in fiscal year 2024 and		
124.18	\$22,260,000 in fiscal year 2025.		
124.19	Sec. 4. Laws 2021, First Special Session chapter 7, article 16, section 2, subdivision 31,		
124.20	is amended to read:		
124.21 124.22	Subd. 31. Grant Programs; Adult Mental Health Grants		
124.23 124.24	Appropriations by Fund  General 98,772,000 98,703,000		
124.25	Opiate Epidemic		
124.26	Response 2,000,000 2,000,000		
124.27	(a) Culturally and Linguistically		
124.28	Appropriate Services Implementation		
124.29	<b>Grants.</b> \$2,275,000 in fiscal year 2022 and		
124.30	\$2,206,000 in fiscal year 2023 are from the		
124.31	general fund for grants to disability services,		
124.32	mental health, and substance use disorder		
124.33	treatment providers to implement culturally		
124.34	and linguistically appropriate services		

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125.1	standards, according to the impler	nentation			
125.2	and transition plan developed by t	the			
125.3	commissioner. Any unexpended a	mount in			
125.4	fiscal year 2022 is available through	gh June 30	<u>0,</u>		
125.5	2023. The general fund base for the	nis			
125.6	appropriation is \$1,655,000 in fisca	al year 202	24		
125.7	and \$0 in fiscal year 2025.				
125.8	(b) Base Level Adjustment. The g	general fun	nd		
125.9	base is \$93,295,000 in fiscal year	2024 and			
125.10	\$83,324,000 in fiscal year 2025. T	The opiate	;		
125.11	epidemic response fund base is \$2	,000,000 i	in		
125.12	fiscal year 2024 and \$0 in fiscal y	ear 2025.			
125.13	Sec. 5. Laws 2021, First Special	Session of	chapter 7,	article 16, section	on 2, subdivision 33,
125.14	is amended to read:				
125.15	Subd. 33. Grant Programs; Che	mical			
125.16	<b>Dependency Treatment Support</b>	t Grants			
125.17	Appropriations by I	Fund			
125.18	General 4,273,0	000 4	1,274,000		
125.19	Lottery Prize 1,733,0	000 1	,733,000		
125.20 125.21	Opiate Epidemic Response 500,0	100	500,000		
123.21	1		300,000		
125.22	(a) <b>Problem Gambling.</b> \$225,000	) in fiscal			
125.23	year 2022 and \$225,000 in fiscal y	•			
125.24	are from the lottery prize fund for	a grant to	)		
125.25	the state affiliate recognized by th	e Nationa	1		
125.26	Council on Problem Gambling. The	he affiliate	e		
125.27	must provide services to increase	public			
125.28	awareness of problem gambling, e	education,	,		
125.29	training for individuals and organi	izations			
125.30	providing effective treatment serv	ices to			
125.31	problem gamblers and their famili	ies, and			
125.32	research related to problem gamble	ling.			
125.33	(b) Recovery Community Organ	nization			
125.34	Grants. \$2,000,000 in fiscal year	2022 and			
125.35	\$2,000,000 in fiscal year 2023 are	from the			

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- general fund for grants to recovery community
- organizations, as defined in Minnesota
- 126.3 Statutes, section 254B.01, subdivision 8, to
- provide for costs and community-based peer
- recovery support services that are not
- otherwise eligible for reimbursement under
- 126.7 Minnesota Statutes, section 254B.05, as part
- of the continuum of care for substance use
- disorders. Any unexpended amount in fiscal
- 126.10 year 2022 is available through June 30, 2023.
- 126.11 The general fund base for this appropriation
- 126.12 is \$2,000,000 in fiscal year 2024 and \$0 in
- 126.13 fiscal year 2025
- 126.14 (c) Base Level Adjustment. The general fund
- 126.15 base is \$4,636,000 in fiscal year 2024 and
- 126.16 \$2,636,000 in fiscal year 2025. The opiate
- 126.17 epidemic response fund base is \$500,000 in
- 126.18 fiscal year 2024 and \$0 in fiscal year 2025.
- Sec. 6. Laws 2021, First Special Session chapter 7, article 17, section 3, is amended to read:
- 126.21 Sec. 3. GRANTS FOR TECHNOLOGY FOR HCBS RECIPIENTS.
- (a) This act includes \$500,000 in fiscal year 2022 and \$2,000,000 in fiscal year 2023
- 126.23 for the commissioner of human services to issue competitive grants to home and
- 126.24 community-based service providers. Grants must be used to provide technology assistance,
- including but not limited to Internet services, to older adults and people with disabilities
- who do not have access to technology resources necessary to use remote service delivery
- and telehealth. Any unexpended amount in fiscal year 2022 is available through June 30,
- 126.28 2023. The general fund base included in this act for this purpose is \$1,500,000 in fiscal year
- 126.29 2024 and \$0 in fiscal year 2025.
- (b) All grant activities must be completed by March 31, 2024.
- (c) This section expires June 30, 2024.

Sec. 7. Laws 2021, First Special Session chapter 7, article 17, section 6, is amended to read:

#### Sec. 6. TRANSITION TO COMMUNITY INITIATIVE.

- (a) This act includes \$5,500,000 in fiscal year 2022 and \$5,500,000 in fiscal year 2023 for additional funding for grants awarded under the transition to community initiative described in Minnesota Statutes, section 256.478. Any unexpended amount in fiscal year 2022 is available through June 30, 2023. The general fund base in this act for this purpose is \$4,125,000 in fiscal year 2024 and \$0 in fiscal year 2025.
- (b) All grant activities must be completed by March 31, 2024.
- 127.10 (c) This section expires June 30, 2024.

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Sec. 8. Laws 2021, First Special Session chapter 7, article 17, section 10, is amended to read:

# 127.13 Sec. 10. PROVIDER CAPACITY GRANTS FOR RURAL AND UNDERSERVED 127.14 COMMUNITIES.

- (a) This act includes \$6,000,000 in fiscal year 2022 and \$8,000,000 in fiscal year 2023 127.15 for the commissioner to establish a grant program for small provider organizations that 127.16 provide services to rural or underserved communities with limited home and 127.17 community-based services provider capacity. The grants are available to build organizational 127.18 capacity to provide home and community-based services in Minnesota and to build new or 127.19 expanded infrastructure to access medical assistance reimbursement. Any unexpended 127.20 amount in fiscal year 2022 is available through June 30, 2023. The general fund base in this 127.21 act for this purpose is \$8,000,000 in fiscal year 2024 and \$0 in fiscal year 2025. 127.22
- (b) The commissioner shall conduct community engagement, provide technical assistance, and establish a collaborative learning community related to the grants available under this section and work with the commissioner of management and budget and the commissioner of the Department of Administration to mitigate barriers in accessing grant funds. Funding awarded for the community engagement activities described in this paragraph is exempt from state solicitation requirements under Minnesota Statutes, section 16B.97, for activities that occur in fiscal year 2022.
- (c) All grant activities must be completed by March 31, 2024.
- (d) This section expires June 30, 2024.

Sec. 9. Laws 2021, First Special Session chapter 7, article 17, section 11, is amended to read:

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#### Sec. 11. EXPAND MOBILE CRISIS.

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- (a) This act includes \$8,000,000 in fiscal year 2022 and \$8,000,000 in fiscal year 2023 for additional funding for grants for adult mobile crisis services under Minnesota Statutes, section 245.4661, subdivision 9, paragraph (b), clause (15). Any unexpended amount in fiscal year 2022 is available through June 30, 2023. The general fund base in this act for this purpose is \$4,000,000 in fiscal year 2024 and \$0 in fiscal year 2025.
- 128.9 (b) Beginning April 1, 2024, counties may fund and continue conducting activities 128.10 funded under this section.
- (c) All grant activities must be completed by March 31, 2024.
- (d) This section expires June 30, 2024.
- Sec. 10. Laws 2021, First Special Session chapter 7, article 17, section 12, is amended to read:

# 128.15 Sec. 12. PSYCHIATRIC RESIDENTIAL TREATMENT FACILITY AND CHILD 128.16 AND ADOLESCENT MOBILE TRANSITION UNIT.

- (a) This act includes \$2,500,000 in fiscal year 2022 and \$2,500,000 in fiscal year 2023 for the commissioner of human services to create children's mental health transition and support teams to facilitate transition back to the community of children from psychiatric residential treatment facilities, and child and adolescent behavioral health hospitals. Any unexpended amount in fiscal year 2022 is available through June 30, 2023. The general fund base included in this act for this purpose is \$1,875,000 in fiscal year 2024 and \$0 in fiscal year 2025.
- 128.24 (b) Beginning April 1, 2024, counties may fund and continue conducting activities 128.25 funded under this section.
- 128.26 (c) This section expires March 31, 2024.
- Sec. 11. Laws 2021, First Special Session chapter 7, article 17, section 17, subdivision 3, is amended to read:
- Subd. 3. **Respite services for older adults grants.** (a) This act includes \$2,000,000 in fiscal year 2022 and \$2,000,000 in fiscal year 2023 for the commissioner of human services

129.1	to establish a grant program for respite services for older adults. The commissioner must
129.2	award grants on a competitive basis to respite service providers. Any unexpended amount
129.3	in fiscal year 2022 is available through June 30, 2023. The general fund base included in
129.4	this act for this purpose is \$2,000,000 in fiscal year 2024 and \$0 in fiscal year 2025.
129.5	(b) All grant activities must be completed by March 31, 2024.
129.6	(c) This subdivision expires June 30, 2024.
129.7	ARTICLE 6
129.8	MANDATED REPORTS
129.9	Section 1. Minnesota Statutes 2020, section 62J.692, subdivision 5, is amended to read:
129.10	Subd. 5. Report. (a) Sponsoring institutions receiving funds under this section must
129.11	sign and submit a medical education grant verification report (GVR) to verify that the correct
129.12	grant amount was forwarded to each eligible training site. If the sponsoring institution fails
129.13	to submit the GVR by the stated deadline, or to request and meet the deadline for an
129.14	extension, the sponsoring institution is required to return the full amount of funds received
129.15	to the commissioner within 30 days of receiving notice from the commissioner. The
129.16	commissioner shall distribute returned funds to the appropriate training sites in accordance
129.17	with the commissioner's approval letter.
129.18	(b) The reports must provide verification of the distribution of the funds and must include:
129.19	(1) the total number of eligible trainee FTEs in each clinical medical education program;
129.20	(2) the name of each funded program and, for each program, the dollar amount distributed
129.21	to each training site and a training site expenditure report;
129.22	(3) documentation of any discrepancies between the initial grant distribution notice
129.23	included in the commissioner's approval letter and the actual distribution;
129.24	(4) a statement by the sponsoring institution stating that the completed grant verification
129.25	report is valid and accurate; and
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of the use of funds for medical education.

(5) other information the commissioner deems appropriate to evaluate the effectiveness

(c) Each year, the commissioner shall provide an annual summary report to the legislature

on the implementation of this section. This report is exempt from section 144.05, subdivision

Sec. 2. Minnesota Statutes 2020, section 144.193, is amended to read:

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### 144.193 INVENTORY OF BIOLOGICAL AND HEALTH DATA.

By February 1, 2014, and annually after that date, the commissioner shall prepare an inventory of biological specimens, registries, and health data and databases collected or maintained by the commissioner. In addition to the inventory, the commissioner shall provide the schedules for storage of health data and biological specimens. The inventories must be listed in reverse chronological order beginning with the year 2012. The commissioner shall make the inventory and schedules available on the department's website and submit the inventory and schedules to the chairs and ranking minority members of the committees of the legislature with jurisdiction over health policy and data practices issues.

- Sec. 3. Minnesota Statutes 2020, section 144.4199, subdivision 8, is amended to read:
- Subd. 8. **Report.** By January 15 of each year, the commissioner shall submit a report to the chairs and ranking minority members of the house of representatives Ways and Means Committee, the senate Finance Committee, and the house of representatives and senate committees with jurisdiction over health and human services finance, detailing expenditures made in the previous calendar year from the public health response contingency account.
- 130.17 This report is exempt from section 144.05, subdivision 7.
- Sec. 4. Minnesota Statutes 2020, section 144.497, is amended to read:

### 130.19 144.497 ST ELEVATION MYOCARDIAL INFARCTION.

- The commissioner of health shall assess and report on the quality of care provided in the state for ST elevation myocardial infarction response and treatment. The commissioner shall:
- (1) utilize and analyze data provided by ST elevation myocardial infarction receiving centers to the ACTION Registry-Get with the guidelines or an equivalent data platform that does not identify individuals or associate specific ST elevation myocardial infarction heart attack events with an identifiable individual;
- 130.27 (2) quarterly post a summary report of the data in aggregate form on the Department of Health website; and
- (3) annually inform the legislative committees with jurisdiction over public health of progress toward improving the quality of care and patient outcomes for ST elevation

  myocardial infarctions; and

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(4) (3) coordinate to the extent possible with national voluntary health organizations involved in ST elevation myocardial infarction heart attack quality improvement to encourage ST elevation myocardial infarction receiving centers to report data consistent with nationally recognized guidelines on the treatment of individuals with confirmed ST elevation myocardial infarction heart attacks within the state and encourage sharing of information among health care providers on ways to improve the quality of care of ST elevation myocardial infarction patients in Minnesota.

- Sec. 5. Minnesota Statutes 2020, section 144A.10, subdivision 17, is amended to read:
- Subd. 17. Agency quality improvement program; annual report on survey
  process. (a) The commissioner shall establish a quality improvement program for the nursing
  facility survey and complaint processes. The commissioner must regularly consult with
  consumers, consumer advocates, and representatives of the nursing home industry and
  representatives of nursing home employees in implementing the program. The commissioner,
  through the quality improvement program, shall submit to the legislature an annual survey
  and certification quality improvement report, beginning December 15, 2004, and each
- December 15 thereafter. This report is exempt from section 144.05, subdivision 7.

(b) The report must include, but is not limited to, an analysis of:

- (2) cross-referencing of citations by region within the state and between states within the Centers for Medicare and Medicaid Services region in which Minnesota is located;

(1) the number, scope, and severity of citations by region within the state;

- (3) the number and outcomes of independent dispute resolutions;
- 131.22 (4) the number and outcomes of appeals;
- (5) compliance with timelines for survey revisits and complaint investigations;
- 131.24 (6) techniques of surveyors in investigations, communication, and documentation to identify and support citations;
- 131.26 (7) compliance with timelines for providing facilities with completed statements of deficiencies; and
- (8) other survey statistics relevant to improving the survey process.
- (c) The report must also identify and explain inconsistencies and patterns across regions of the state; include analyses and recommendations for quality improvement areas identified by the commissioner, consumers, consumer advocates, and representatives of the nursing

home industry and nursing home employees; and provide action plans to address problems that are identified.

1st Engrossment

- Sec. 6. Minnesota Statutes 2020, section 144A.483, subdivision 1, is amended to read:
- Subdivision 1. **Annual legislative report on home care licensing.** The commissioner shall establish a quality improvement program for the home care survey and home care complaint investigation processes. The commissioner shall submit to the legislature an annual report, beginning October 1, 2015, and each October 1 thereafter, until October 1, 2027. Each report will review the previous state fiscal year of home care licensing and
- regulatory activities. The report must include, but is not limited to, an analysis of:
- (1) the number of FTEs in the Division of Compliance Monitoring, including the Office of Health Facility Complaints units assigned to home care licensing, survey, investigation, and enforcement process;
- 132.13 (2) numbers of and descriptive information about licenses issued, complaints received 132.14 and investigated, including allegations made and correction orders issued, surveys completed 132.15 and timelines, and correction order reconsiderations and results;
- 132.16 (3) descriptions of emerging trends in home care provision and areas of concern identified 132.17 by the department in its regulation of home care providers;
- 132.18 (4) information and data regarding performance improvement projects underway and planned by the commissioner in the area of home care surveys; and
- 132.20 (5) work of the Department of Health Home Care Advisory Council.
- Sec. 7. Minnesota Statutes 2020, section 145.4134, is amended to read:

# 132.22 **145.4134 COMMISSIONER'S PUBLIC REPORT.**

(a) By July 1 of each year, except for 1998 and 1999 information, the commissioner 132.23 shall issue a public report providing statistics for the previous calendar year compiled from 132.24 the data submitted under sections 145.4131 to 145.4133 and sections 145.4241 to 145.4249. For 1998 and 1999 information, the report shall be issued October 1, 2000. Each report 132.27 shall provide the statistics for all previous calendar years, adjusted to reflect any additional information from late or corrected reports. The commissioner shall ensure that none of the 132.28 information included in the public reports can reasonably lead to identification of an 132.29 individual having performed or having had an abortion. All data included on the forms 132.30 under sections 145.4131 to 145.4133 and sections 145.4241 to 145.4249 must be included 132.31 in the public report, except that the commissioner shall maintain as confidential, data which 132.32

alone or in combination may constitute information from which an individual having performed or having had an abortion may be identified using epidemiologic principles. The commissioner shall submit the report to the senate Health and Family Security Committee and the house of representatives Health and Human Services Committee.

- (b) The commissioner may, by rules adopted under chapter 14, alter the submission dates established under sections 145.4131 to 145.4133 for administrative convenience, fiscal savings, or other valid reason, provided that physicians or facilities and the commissioner of human services submit the required information once each year and the commissioner issues a report once each year.
- Sec. 8. Minnesota Statutes 2020, section 145.928, subdivision 13, is amended to read:
  - Subd. 13. **Reports.** (a) The commissioner shall submit a biennial report to the legislature on the local community projects, tribal government, and community health board prevention activities funded under this section. These reports must include information on grant recipients, activities that were conducted using grant funds, evaluation data, and outcome measures, if available. These reports are due by January 15 of every other year, beginning in the year 2003.
  - (b) The commissioner shall release an annual report to the public and submit the annual report to the chairs and ranking minority members of the house of representatives and senate committees with jurisdiction over public health on grants made under subdivision 7 to decrease racial and ethnic disparities in infant mortality rates. The report must provide specific information on the amount of each grant awarded to each agency or organization, an itemized list submitted to the commissioner by each agency or organization awarded a grant specifying all uses of grant funds and the amount expended for each use, the population served by each agency or organization, outcomes of the programs funded by each grant, and the amount of the appropriation retained by the commissioner for administrative and associated expenses. The commissioner shall issue a report each January 15 for the previous fiscal year beginning January 15, 2016.

### Sec. 9. **REPEALER.**

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Minnesota Statutes 2020, sections 62U.10, subdivision 3; 144.1911, subdivision 10; 144.564, subdivision 3; and 144A.483, subdivision 2, are repealed.

# APPENDIX Repealed Minnesota Statutes: S4198-1

#### 62U.10 HEALTH CARE TRANSFER, SAVINGS, AND REPAYMENT.

Subd. 3. Actual spending and savings determination. By June 1, 2010, and each June 1 thereafter until June 1, 2020, the commissioner of health shall determine the actual total private and public health care spending for residents of this state for the calendar year two years before the current calendar year, based on data collected under chapter 62J, and shall determine the difference between the projected spending, as determined under subdivision 2, and the actual spending for that year. The actual spending must be certified by an independent actuarial consultant. If the actual spending is less than the projected spending, the commissioner shall determine, based on the proportion of spending for state-administered health care programs to total private and public health care spending for the calendar year two years before the current calendar year, the percentage of the calculated aggregate savings amount accruing to state-administered health care programs.

#### 136A.29 POWERS: DUTIES.

Subd. 4. **Mutual agreement; staff, equipment, office space.** By mutual agreement between the authority and the office, authority staff employees may also be members of the office staff. By mutual agreement, authority employees may be provided office space in the office of the Office of Higher Education, and said employees may make use of equipment, supplies, and office space, provided that the authority fully reimburses the office for salaries and for space, equipment, supplies, and materials used. In the absence of such mutual agreement between the authority and the office, the authority may maintain an office at such place or places as it may designate.

#### 144.1911 INTERNATIONAL MEDICAL GRADUATES ASSISTANCE PROGRAM.

Subd. 10. **Report.** The commissioner shall submit an annual report to the chairs and ranking minority members of the legislative committees with jurisdiction over health care and higher education on the progress of the integration of international medical graduates into the Minnesota health care delivery system. The report shall include recommendations on actions needed for continued progress integrating international medical graduates. The report shall be submitted by January 15 each year, beginning January 15, 2016.

## 144.564 MONITORING OF SUBACUTE OR TRANSITIONAL CARE SERVICES.

Subd. 3. **Annual report.** The commissioner shall monitor the provision of services described in this section and shall report annually to the legislature concerning these services, including recommendations on the need for legislation.

### 144A.483 AGENCY QUALITY IMPROVEMENT PROGRAM.

Subd. 2. **Study of correction order appeal process.** Starting July 1, 2015, the commissioner shall study whether to add a correction order appeal process conducted by an independent reviewer such as an administrative law judge or other office and submit a report to the legislature by February 1, 2016. The commissioner shall review home care regulatory systems in other states as part of that study. The commissioner shall consult with the home care providers and representatives.

#### 147.02 EXAMINATION; LICENSING.

Subd. 2a. **Temporary permit.** The board may issue a temporary permit to practice medicine to a physician eligible for licensure under this section only if the application for licensure is complete, all requirements in subdivision 1 have been met, and a nonrefundable fee set by the board has been paid. The permit remains valid only until the meeting of the board at which a decision is made on the physician's application for licensure.

## 254A.21 FETAL ALCOHOL SPECTRUM DISORDERS PREVENTION GRANTS.

- (a) The commissioner of human services shall award a grant to a statewide organization that focuses solely on prevention of and intervention with fetal alcohol spectrum disorders. The grant recipient must make subgrants to eligible regional collaboratives in rural and urban areas of the state for the purposes specified in paragraph (c).
- (b) "Eligible regional collaboratives" means a partnership between at least one local government or tribal government and at least one community-based organization and, where available, a family home visiting program. For purposes of this paragraph, a local government includes a county or a multicounty organization, a county-based purchasing entity, or a community health board.
- (c) Eligible regional collaboratives must use subgrant funds to reduce the incidence of fetal alcohol spectrum disorders and other prenatal drug-related effects in children in Minnesota by identifying and serving pregnant women suspected of or known to use or abuse alcohol or other

# APPENDIX Repealed Minnesota Statutes: S4198-1

drugs. Eligible regional collaboratives must provide intensive services to chemically dependent women to increase positive birth outcomes.

(d) An eligible regional collaborative that receives a subgrant under this section must report to the grant recipient by January 15 of each year on the services and programs funded by the subgrant. The report must include measurable outcomes for the previous year, including the number of pregnant women served and the number of toxic-free babies born. The grant recipient must compile the information in the subgrant reports and submit a summary report to the commissioner of human services by February 15 of each year.