

Minnesota Public Facilities Authority
American Recovery and Reinvestment Act (ARRA) Funds - CLEAN WATER STATE REVOLVING FUND

Summary of ARRA amounts allocated to PFA and status of funds still available for project awards:

ARRA allocations to PFA:

| ARRA allocations | Green Project Reserve | Non-Green | ARRA Totals |
|-----------------------------------|-----------------------|-------------------|-------------------|
| Principal Forgiveness | 5,880,428 | 38,841,248 | 44,721,676 |
| Loan | 11,641,281 | 23,319,803 | 34,961,084 |
| set-aside for fund administration | | | 2,881,240 |
| total ARRA allocation | 17,521,709 | 62,161,051 | 82,564,000 |

notes: Total allocation reflects a pending 30% transfer from the Drinking Water ARRA allocation.

Principal forgiveness must be not less than 50% of total ARRA funds.

The Green Project Reserve is at least 20% of total ARRA funds.

Status of funds reserved and remaining available:

| Projects awarded or reserved to date | Green Project Reserve | Non-Green | ARRA Totals |
|--------------------------------------|-----------------------|-------------------|-------------------|
| Principal Forgiveness | 5,880,428 | 38,841,248 | 44,721,676 |
| Loan | 11,641,281 | 23,319,803 | 34,961,084 |
| total projects to date | 17,521,709 | 62,161,051 | 79,682,760 |

note: see next page for detailed listing of project awards and reserves.

| Remaining available | Green Project Reserve | Non-Green | ARRA Totals |
|---------------------------------|-----------------------|-----------|-------------|
| Principal Forgiveness | - | - | - |
| Loan | - | - | - |
| total unreserved balance | - | - | - |

note: allocated amounts less awards and reserves to date

see page 2 for detailed list of projects awarded or reserved to date

Minnesota Public Facilities Authority
American Recovery and Reinvestment Act (ARRA) Funds - CLEAN WATER STATE REVOLVING FUND

Project Funding by PFA:

| Project Name | Project Description | Date Reserved | Date Awarded | ARRA Green | | ARRA non-Green | | Non-ARRA | Total PFA Funding |
|---|---|---------------|--------------|-----------------------|------------|-----------------------|------------|-------------|-------------------|
| | | | | Principal Forgiveness | Loan | Principal Forgiveness | Loan | CW SRF Loan | |
| <u>Funds Awarded (sorted by date awarded):</u> | | | | | | | | | |
| City of Duluth | Wastewater overflow storage tank | 05/21/09 | 06/02/09 | | | 4,183,384 | 696,835 | 100,000 | 4,980,219 |
| City of Howard Lake | Wastewater lift station and forcemain | 02/19/09 | 06/02/09 | | | 5,999,619 | 3,892,476 | 106,000 | 9,998,095 |
| City of Montevideo | Treatment plant improvements | 03/30/09 | 06/02/09 | | | 4,472,523 | 8,879,466 | 1,500,000 | 14,851,989 |
| City of St. Hilaire | Sanitary sewer rehab | 05/18/09 | 06/08/09 | | | 258,062 | 24,155 | 25,000 | 307,217 |
| Western Lake Superior San | Wastewater pump station | 02/27/09 | 06/08/09 | | | 472,385 | 1,789,541 | 100,000 | 2,361,926 |
| City of New York Mills | Collection system improvements | 06/02/09 | 06/11/09 | | | 683,201 | 125,947 | 4,187 | 813,335 |
| City of Verndale | Sanitary sewer replacement | 06/02/09 | 06/11/09 | | | 337,898 | 14,361 | 50,000 | 402,259 |
| City of Elbow Lake | Sanitary sewer replacement | 06/02/09 | 06/18/09 | | | 614,717 | 166,037 | 53,265 | 834,019 |
| City of Blue Earth | WW treatment improvements, phase 2 | 06/11/09 | 07/02/09 | | | 989,164 | | 741,656 | 1,730,820 |
| City of Kandiyohi | Force main and lift station | 06/08/09 | 07/02/09 | | | 2,841,366 | 527,212 | 14,000 | 3,382,578 |
| City of Waseca | Sanitary sewer and trmt facility improvemen | 06/16/09 | 07/02/09 | | | 6,000,000 | 5,779,176 | 3,824,323 | 15,603,499 |
| City of Wheaton | Sewer Rehabilitation, Phase 2 | 06/09/09 | 07/02/09 | | | 412,000 | | 1,648,000 | 2,060,000 |
| City of Faribault | Rehab Existing Fac & Advanced Trtmt | 06/16/09 | 07/15/09 | | | 2,000,000 | | 24,586,798 | 26,586,798 |
| City of Fountain | New mechanical treatment facility | 06/11/09 | 07/15/09 | | | 258,531 | | 1,034,122 | 1,292,653 |
| City of Clear Lake | Phase 1b, lift station | 05/21/09 | 07/20/09 | | | 500,328 | | 95,301 | 595,629 |
| City of Litchfield | Rehab existing wastewater treatment facility | 06/05/09 | 08/12/09 | | | 1,771,512 | 1,424,597 | 5,661,451 | 8,857,560 |
| Metropolitan Council | Blue Lake Plant Imp. - Liquids Phase 1 | 06/08/09 | 08/14/09 | | | 2,000,000 | | 8,000,000 | 10,000,000 |
| Metropolitan Council | South St. Paul Forcemain - Phase 1A | 06/08/09 | 08/14/09 | | | 2,000,000 | | 8,000,000 | 10,000,000 |
| Metropolitan Council | Blue Lake Int. Imp. - Victoria Int. Sewer Tur | 06/16/09 | 08/14/09 | | | 2,000,000 | | 8,000,000 | 10,000,000 |
| Metropolitan Council | MWWTP Tunnel Energy Reduction | 06/23/09 | 08/14/09 | 196,250 | 588,750 | | | | 785,000 |
| Metropolitan Council | Blue Lake Plant Imp. - Solids Improvements | 06/23/09 | 08/14/09 | 2,000,000 | 6,000,000 | | | | 8,000,000 |
| <u>Funds Reserved (sorted by date reserved): *</u> | | | | | | | | | |
| City of Clearwater | Phase 1b, lift station and force main | 06/02/09 | | | | 599,379 | | 114,167 | 713,546 |
| City of Willmar | Wastewater pumping stations | 06/16/09 | | | | 447,179 | | 1,788,716 | 2,235,895 |
| City of Grand Rapids | Plant relocation phase 1 | 06/25/09 | | 1,684,178 | 5,052,531 | | | 24,077,217 | 30,813,926 |
| City of Big Lake | New pretrmt facilities, lift station, biosolids i | 08/06/09 | | 2,000,000 | | | | 22,997,050 | 24,997,050 |
| | | | | 5,880,428 | 11,641,281 | 38,841,248 | 23,319,803 | 112,521,253 | 192,204,013 |

* Note: Pursuant to MN Laws of 2009 Chapter 16, Section 3, ARRA funds have been reserved for these projects in the order that they are certified by the Minnesota Pollution Control Agency and submit as-bid costs to the PFA. The date these criteria were met is reflected above.

Minnesota Public Facilities Authority
American Recovery and Reinvestment Act (ARRA) Funds - DRINKING WATER STATE REVOLVING FUND

Summary of ARRA amounts allocated to PFA and status of funds still available for project awards:

ARRA allocations to PFA:

| ARRA allocations | Green Project Reserve | Non-Green | ARRA Totals |
|------------------------------|-----------------------|-------------------|-------------------|
| Principal Forgiveness | 1,228,850 | 16,279,945 | 17,508,795 |
| Loan | 3,686,550 | 3,381,655 | 7,068,205 |
| total ARRA allocation | 4,915,400 | 19,661,600 | 24,577,000 |

notes: Total allocation reflects a pending 30% transfer to the Clean Water ARRA allocation.

Principal forgiveness must be not less than 50% of total ARRA funds.

The Green Project Reserve is 20% of total ARRA funds.

Status of funds reserved and remaining available:

| Projects awarded or reserved to date | Green Project Reserve | Non-Green | ARRA Totals |
|--------------------------------------|-----------------------|-------------------|-------------------|
| Principal Forgiveness | - | 16,029,945 | 16,029,945 |
| Loan | - | 3,381,655 | 3,381,655 |
| total projects to date | - | 19,411,600 | 19,411,600 |

note: see next page for detailed listing of project awards and reserves.

| Remaining available | Green Project Reserve | Non-Green | ARRA Totals |
|---------------------------------|-----------------------|----------------|------------------|
| Principal Forgiveness * | 1,228,850 | 250,000 | 1,478,850 |
| Loan | 3,686,550 | - | 3,686,550 |
| total unreserved balance | 4,915,400 | 250,000 | 5,165,400 |

note: allocated amounts less awards and reserves to date

* The remaining non-green principal forgiveness is reserved under MN Laws 2009, Chapter 16, Section 4, Subdivision 4(e) for small public water systems to comply with national primary drinking water standards.

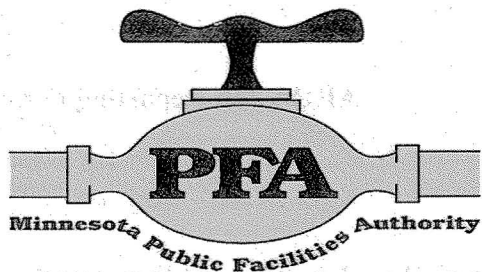
see page 2 for detailed list of projects awarded or reserved to date

Minnesota Public Facilities Authority
American Recovery and Reinvestment Act (ARRA) Funds - DRINKING WATER STATE REVOLVING FUND

Project Funding by PFA:

| Project Name | Project Description | Date Reserved | Date Awarded | ARRA Green | | ARRA non-Green | | Non-ARRA | Total PFA Funding |
|---|---|---------------|--------------|-----------------------|------|-----------------------|-----------|-------------|-------------------|
| | | | | Principal Forgiveness | Loan | Principal Forgiveness | Loan | DW SRF Loan | |
| <u>Funds Awarded (sorted by date awarded):</u> | | | | | | | | | |
| City of New York Mills | Water main replacement | 05/12/09 | 06/02/09 | | | 173,917 | 691,668 | 4,000 | 869,585 |
| City of Dassel | Water main replacement and looping | 05/08/09 | 06/11/09 | | | 88,165 | 352,662 | | 440,827 |
| City of Verndale | Water main replacement | 05/28/09 | 06/11/09 | | | 57,858 | 197,433 | 34,000 | 289,291 |
| City of Swanville | Water main replacement | 05/28/09 | 06/15/09 | | | 182,500 | 730,000 | | 912,500 |
| City of Elbow Lake | Water main replacement | 05/13/09 | 06/18/09 | | | 881,907 | 102,659 | 65,323 | 1,049,889 |
| City of Remer | Drinking water system improvements | 05/13/09 | 06/18/09 | | | 288,746 | 79,748 | | 368,494 |
| City of Hoffman | Water main replacement | 05/27/09 | 06/29/09 | | | 588,000 | 112,000 | | 700,000 |
| City of Sleepy Eye | Water Treatment Facilities | 06/19/09 | 07/15/09 | | | 922,460 | 1,038,325 | 2,651,515 | 4,612,300 |
| City of Sleepy Eye | Additional Well #16 | 06/24/09 | 07/15/09 | | | 25,540 | 77,160 | 25,000 | 127,700 |
| City of St. Peter | Jefferson Plant and Water Main | 06/17/09 | 07/15/09 | | | 2,000,000 | | 12,962,618 | 14,962,618 |
| City of St. Peter | St. Julien Plant | 06/17/09 | 07/15/09 | | | 942,210 | | 3,768,840 | 4,711,050 |
| City of Deer River | New well and well house | 07/06/09 | 07/25/09 | | | 263,516 | | 50,193 | 313,709 |
| City of Eagle Bend | Treatment plant rehab | 07/30/09 | 08/07/09 | | | 74,009 | | 296,036 | 370,045 |
| <u>Funds Reserved (sorted by date reserved): *</u> | | | | | | | | | |
| City of Bird Island | rehab water treatment plant | 05/15/09 | | | | 546,000 | | 104,000 | 650,000 |
| City of Red Lake Falls | Drinking water treatment plant and wells | 05/27/09 | | | | 1,894,732 | | 360,901 | 2,255,633 |
| City of Cosmos | Watermain replacement and looping | 06/23/09 | | | | 85,875 | | 343,501 | 429,376 |
| City of Baudette | New water tower | 06/26/09 | | | | 187,690 | | 750,760 | 938,450 |
| City of Bird Island | Replace well #3 | 08/07/09 | | | | 265,146 | | 50,504 | 315,650 |
| City of Minneapolis | Dewatering plant modifications | 08/07/09 | | | | 2,000,000 | | 23,000,000 | 25,000,000 |
| City of Oronoco | Water Standpipe and Distribution System | 08/07/09 | | | | 552,876 | | 2,211,505 | 2,764,381 |
| City of Redwood Falls | Replacing the water treatment plant | 08/07/09 | | | | 2,324,197 | | 6,470,803 | 8,795,000 |
| City of Two Harbors | Replace water tower | 08/07/09 | | | | 1,184,601 | | 4,738,404 | 5,923,005 |
| City of Wabasso | Replace water tower, replace and loop water | 08/07/09 | | | | 500,000 | | | 500,000 |
| | | | | | | 16,029,945 | 3,381,655 | 57,887,903 | 77,299,503 |

* Note: Pursuant to MN Laws of 2009 Chapter 16, Section 4, ARRA funds have been reserved for these projects in the order that they are certified by the Minnesota Department of Health and submit as-bid costs to the PFA. The date these criteria were met is reflected above.



**Clean Water and Drinking Water SRFs
American Recovery and Reinvestment Act
Job Reporting Requirements**

This job reporting is required for projects funded in whole or in part from the American Recovery and Reinvestment Act of 2009. Submit one form with each PFA project disbursement request.

| | | |
|-------------------------------|----------|---|
| Recipient: | Name | City of Howard Lake |
| | DUNS No. | 788558781 |
| PFA grant/loan agreement ID#: | | MPFA-08-0077-R-FY09 - ARRA-09-0077-R-FY09 |

reporting form being submitted with PFA disbursement request #:

Professional Services & Construction Activity for the period:

| | | |
|-----------------------------------|--------|-------------------------|
| This reporting form completed by: | name | Barry L. Glienke, P.E. |
| | phone | 320-231-3956 |
| | e-mail | barrygl@bolton-menk.com |

Reporting of time worked and related payroll, by contractor:

Engineering and Professional Services:

| | company name | hours worked | total payroll |
|---|-------------------------|--------------|---------------|
| 1 | Bolton & Menk, Inc | 390.5 | \$10,107.85 |
| 2 | LS Engineers | 1 | \$ 24 |
| 3 | Pettipiece & Associates | 6 | \$ 183 |
| 4 | | | |
| 5 | | | |
| 6 | | | |

Construction Activity:

| Prime Contractor: | company name | hours worked | total payroll |
|-------------------|-----------------------------|--------------|---------------|
| 1 | LaTour Construction Company | 1147 | \$ 52,353 |
| 2 | | | |

| Subcontractors: | company name | hours worked | total payroll |
|-----------------|-----------------------|--------------|---------------|
| 1 | Underground Solutions | 150.5 | \$ 5,400 |
| 2 | MP Technologies | 573 | \$ 190,993 |
| 3 | MP Next Level | 1031.5 | \$ 42,346 |
| 4 | Northern Dewatering | 80.5 | \$ 3,073 |
| 5 | | | |
| 6 | | | |
| 7 | | | |
| 8 | | | |

FOR PFA USE:

| | | | | |
|-----------------------------|----------------------|----------------|------|------------|
| date received: | <input type="text"/> | period totals: | 3380 | \$ 304,479 |
| date entered into database: | <input type="text"/> | FTE: | 21.1 | |

see instructions on back of form

APPENDIX 3

Minnesota Public Facilities Authority

ARRA Job Reporting Form

Instructions

This form, in excel format, will be provided to each borrower.

For each disbursement request submitted, send by e-mail the completed *ARRA Jobs Reporting Form* (excel document) to your PFA loan officer ¹. Please note that PFA can not disburse funds to a borrower unless the Jobs Reporting Form is submitted.

If you do not have access to e-mail, provide a hard copy of the completed form with each disbursement request to the PFA mailing address or fax ².

The first part of the form includes fixed information about the recipient: name, DUNS number and the PFA ARRA agreement number. Before using this form, please verify that the DUNS number shown is correct and that it is registered on the CCR (Central Contractor Registry (www.ccr.gov)).

The second section of the form includes information on each particular report submitted:

- The sequential number for this reporting form. This number will be the same as the number on the related *Disbursement Request Form* that is submitted to PFA.
- The period covered for the reporting form. This will be the same as the period on the related *Disbursement Request Form* that is submitted to PFA.
- Who completed the form, including telephone number and e-mail address.

The third section of the form provides space to report the hours worked for this reporting period and payroll associated with those hours reported. Information for this section will come from the consultants, prime contractors, and subcontractors. Please note that data on engineering / professional services, prime contractors and subcontractors are reported separately and includes:

- The company name.
- The total number of hours worked during the reporting period by all employees who worked on the project.
- The total amount of gross pay for all of the worker hours during the reporting period.

The bottom of the form has formulas to calculate the totals for the period: hours, total payroll, and Full Time Equivalent (FTE)

¹ PFA loan officers:

| | |
|--------------------|--|
| Kathe Barrett | kathe.barrett@state.mn.us |
| Rae Lynn Herbster | rae.lynn.herbster@state.mn.us |
| Stephen Lafontaine | stephen.lafontaine@state.mn.us |
| Nancy Johnson | nancy.lc.johnson@state.mn.us |
| Becky Sabie | rebecca.sabie@state.mn.us |

² PFA mailing address / fax:

MN Public Facilities Authority
1st National Bank Bldg., Suite E200
332 Minnesota Street
Saint Paul, MN 55101-1351

fax: 651 296-8833

technical note re MS Excel settings: please be sure that Tools Add-Ins Analysis ToolPak is checked.

MN Public Facilities Authority
Compiled data for ARRA job reporting
for all job reports received by PFA thru 08/19/09

| Program | Recipient | reported dates | | hours worked | payroll | FTE |
|------------------|--------------------------|----------------|----------|--------------|--------------|--------|
| | | from * | to | | | |
| Clean Water | Elbow Lake, City of | 06/17/09 | 08/01/09 | 994.42 | \$ 32,139 | 3.88 |
| | Clear Lake, City of | 06/17/09 | 07/31/09 | 1,302.75 | 55,198 | 5.09 |
| | Howard Lake, City of | 05/04/09 | 07/18/09 | 15,932.20 | 740,605 | 37.58 |
| | Kandiyohi, City of | 01/07/09 | 07/31/09 | 2,297.10 | 81,786 | 1.99 |
| | Montevideo, City of | 02/17/09 | 07/24/09 | 5,331.94 | 186,700 | 5.95 |
| | New York Mills, City of | 07/06/09 | 07/24/09 | 5,246.80 | 140,392 | 43.72 |
| | Saint Hilaire, City of | 05/12/09 | 06/30/09 | 634.50 | 31,869 | 2.27 |
| | Verndale, City of | 05/05/09 | 08/01/09 | 1,867.98 | 56,812 | 3.77 |
| | Waseca, City of | 07/15/09 | 08/04/09 | 754.80 | 40,219 | 6.29 |
| | Western Lake Superior SD | 06/08/09 | 06/26/09 | 3,212.75 | 131,967 | 26.77 |
| Wheaton, City of | 06/05/09 | 07/25/09 | 2,152.75 | 76,715 | 7.69 | |
| | | | | 39,727.99 | \$ 1,574,402 | 145.00 |
| Drinking Water | Dassel, City of | 07/06/09 | 07/24/09 | 1,076.50 | \$ 32,405 | 0.93 |
| | Elbow Lake, City of | 06/17/09 | 08/01/09 | 1,250.33 | 40,409 | 0.76 |
| | Hoffman, City of | 06/15/09 | 08/01/09 | 2,503.50 | 73,733 | 2.90 |
| | New York Mills, City of | 05/05/09 | 08/01/09 | 5,630.70 | 150,665 | 22.92 |
| | Remer, City of | 06/15/09 | 08/07/09 | 1,469.00 | 46,105 | 1.01 |
| | Sleepy Eye, City of | 07/01/09 | 08/04/09 | 3,465.66 | 107,325 | 6.32 |
| | Swanville, City of | 06/02/09 | 08/01/09 | 4,670.25 | 156,418 | 18.35 |
| | Verndale, City of | 06/05/09 | 07/25/09 | 1,343.17 | 40,851 | 3.98 |
| | | | | 21,409.11 | \$ 647,912 | 57.16 |

notes: PFA requires recipients to submit job reports with each request for funds; the above data reflects only the job reports that PFA has received to date.

* For the from date, we are using the construction start date to avoid distortion to the FTE calculation for projects with a long period of engineering activity with few hours worked.