

COMMERCE

4/MCAR 551

NAME SECURITIES DIVISION, DEPT. OF COMMERCE

(L'45 c.452)

NATURE RULES AND REGULATIONS

BOOK

PAGE

✓ FILED 10/2/45* II AM

FILE NO. 9797-W

✓ 12/11/45* 7:45 AM

9797-W

✓ 2/8/46* 4PM

9797-W

✓ 10/11/48* 3:30 PM-AMEND.RULES AND REG.-----9797-W

✓ 5/25/62 3:45 pm Adm.Minn.Securities Act-supersedes all prior rules & regulations.

✓ 6/3/64 11:30 am True copy of Revised Rules filed

* SEE Inactive File-Corp.Div(OVER)

May 25, 1962.

- ✓ 7/8/70 10:45 am Stds. of Qualification etc. financial
statements
- ✓ 12/24/70 11:45 am Licensing of Broker-Dealers and Agents
- ✓ 1/13/75 2:00 pm Franchises C. 80C
- ✓ 5/21/75 1:45 pm Securities #2000 - 2136 incl.
- ✓ 9/23/75 (3:00 P.M. Real Estate Education (courses of
study) #1511 (82.22))
- ✓ 5/12/76 4:20 P.M. Rules & Forms S.Div.2077-2137 governing
exemptions & other matters.